

The Orbitofrontal Cortex Represents Advantageous Choice in the Iowa Gambling Task

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1 **The orbitofrontal cortex represents advantageous choice in the Iowa gambling task**

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17

18

19 **Abstract**

20 A good-based model proposes that the orbitofrontal cortex (OFC) represents binary choice outcome,
21 i.e., the chosen good. Previous studies have found that the OFC represents the binary choice outcome
22 in decision-making tasks involving commodity type, cost, risk, and delay. Real-life decisions are often
23 complex and involve uncertainty, rewards, and penalties; however, whether the OFC represents binary
24 choice outcomes in a such decision-making situation, e.g., Iowa gambling task (IGT), remains unclear.
25 Here, we propose that the OFC represents binary choice outcome, i.e., advantageous choice versus
26 disadvantageous choice, in the IGT. We propose two hypotheses: first, the activity pattern in the human
27 OFC represents an advantageous choice; and second, choice induces an OFC-related functional network.
28 Using functional magnetic resonance imaging and advanced machine learning tools, we found that the
29 OFC represented an advantageous choice in the IGT. The OFC representation of advantageous choice
30 was related to decision-making performance. Choice modulated the functional connectivity between
31 the OFC and the superior medial gyrus. In conclusion, the OFC represents an advantageous choice
32 during the IGT. In the framework of a good-based model, the results extend the role of the OFC to
33 complex decision-making when making a binary choice.

34

35 **Keywords:** orbitofrontal cortex, advantageous choice, multivoxel pattern analysis, psycho-
36 physiological interaction, fMRI

37

38 **Introduction**

39 The identified neurobiological mechanism underlying economic decision-making includes a valuation
40 stage and a choice stage¹⁻³. Decision makers evaluate the subjective values and characteristics of
41 available options in the valuation stage. However, encoding subjective value and characteristics is not
42 sufficient for making decisions, and one of the available options still needs to be chosen by decision
43 makers at the choice stage¹⁻³. At this stage, a good-based model, a central neurobiological model of
44 economic decision-making, proposes that the orbitofrontal cortex (OFC) represents the binary choice
45 outcome, i.e., the chosen good⁴. A good is defined by a group of determinants characterizing the
46 conditions in which the commodity is offered, which can include commodity type, time delay, cost, risk,
47 and ambiguity⁴.

48

49 Consistent with a good-based model, previous studies have found that the OFC represents the binary
50 choice outcome in juice-choice tasks⁵ and decision-making tasks involving costs⁶, risks⁷, and delays⁸.
51 For example, different OFC neurons respond when a monkey chooses between different juice types⁵.
52 Some OFC neuronal responses in monkeys encode choosing a high-cost option versus choosing a low-
53 cost option⁶. Some other OFC neurons in monkeys encode choosing a risky option versus choosing a
54 nonrisky option⁷. The OFC activity pattern in the human brain can classify choosing smaller-but-
55 immediate options versus choosing larger-but-delayed options⁸. However, real-life decisions are often
56 complex and involve uncertainty, rewards, and penalties.

57

58 The inability to make choices in a complex decision-making situation, e.g., Iowa gambling task (IGT),
59 is a symptom of several brain disorders, including borderline personality disorder⁹, attention-

60 deficit/hyperactivity disorder⁹, anorexia nervosa¹⁰, addiction¹¹, obsessive-compulsive disorder¹², and
61 schizophrenia¹³. In the IGT, reward value is a key decision-making parameter¹⁴. Whether the OFC
62 represents a binary choice outcome in the IGT, advantageous choice (i.e., choosing an option with a
63 high reward value) versus disadvantageous choice (i.e., choosing an option with a low reward value),
64 remains unclear.

65

66 A line of studies has implicated the OFC at the valuation stage, i.e., evaluating available options such
67 as the value¹⁵⁻¹⁸, risk^{19,20}, ambiguity^{21,22}, and environmental statistics²³. For example, Hare et al. and
68 Kable et al. reported that OFC activity was correlated with high values versus low values^{24,25}. Both
69 Bartra et al. and Clithero et al. have shown that the OFC is a key brain area related to high subjective
70 values versus low subjective values of different types of rewards using meta-analysis^{26,27}. Some studies
71 have also investigated the neural basis of high ambiguity versus low ambiguity in decision-making.
72 For example, Levy et al. showed that OFC activity is correlated with ambiguity level²¹. Hsu et al. also
73 revealed that the OFC showed greater activation in response to the level of ambiguity²². Huettel et al.
74 found increased activation in the inferior frontal sulcus, insula, and parietal cortex when ambiguity was
75 present²⁸. Bach et al. found that ambiguity is related to parietal cortex activity²⁹. Therefore, these studies
76 have implicated the OFC in evaluating risk, ambiguity, and value.

77

78 Another line of neurobiological studies investigated advantageous versus disadvantageous choice in the
79 IGT; however, they found that blood oxygenation level-dependent (BOLD) activation using individual
80 voxel-based methods in the OFC was not significantly associated with advantageous choice versus
81 disadvantageous choice³⁰⁻⁴⁰. For example, Brevers et al. did not find any advantageous choice-related

82 activation in the OFC in healthy controls or poker gamblers in the IGT³⁷. One potential explanation for
83 the finding that the OFC was not implicated in advantageous choice in the IGT in these studies is that
84 ensembles of many voxels, rather than single voxel activation, are responsible for generating economic
85 choices. For example, multiple voxels in the OFC were shown to contain information on the
86 discrimination between choosing a larger-but-delayed option and choosing a smaller-but-immediate
87 option in decision-making involving delays⁸. Therefore, the individual-voxel-based methods used by
88 previous studies might not be suitable. As multivoxel pattern analysis (MVPA) can detect fine-grained
89 spatial patterns across multiple voxels that might discriminate between cognitive processes⁴¹, it may be
90 a potential method to detect advantageous choice in the OFC in the IGT. Therefore, in the present study,
91 we hypothesized that the OFC represented advantageous choice in the IGT.

92

93 **Methods**

94 *Participants.* Fifty-five healthy participants were recruited in the study, and one participant was
95 excluded after presenting with significant head motion (>3.0 mm) during functional magnetic resonance
96 imaging (fMRI) scanning. The remaining fifty-four participants included 45 males and nine females
97 [age: mean, 22.7 years; standard deviation (SD), 2.1 years; range, 19 to 27 years; education: mean, 16.3
98 years; SD, 1.8 years; range, 13 to 19 years]. All participants were free of psychiatric or neurological
99 history and had normal or corrected-to-normal vision. The study was approved by the Human Research
100 Ethics Committee of the University of Science and Technology of China. The methods and procedures
101 used in this study were carried out in accordance with the approved guidelines. Written informed
102 consent was obtained from all participants before the study, consistent with the Declaration of Helsinki
103 guidelines.

104
105 *Task paradigm.* In the present study, we used the Iowa gambling task (IGT)¹⁴ (Fig. 1), a popular
106 decision-making task for indexing real-life complex decision-making. In each trial, the participants
107 selected a card from among four decks of cards. The four decks were labelled A, B, C, and D as
108 presented from left to right. On each card, there were different numbers of gain and possible loss points,
109 and the participant received the net (gain - loss) points for choosing that card. Participants did not know
110 the expected reward and variability in the outcomes for all decks before engaging in the task. In the
111 task, the participants were asked to maximize the points they gained. Specifically, for each selection
112 from deck A or B (“low reward value decks”), participants would gain 100 points, but the losses were
113 organized so that over 10 selections from the decks, the participants would have an overall loss of 250
114 points. Specifically, deck A provided -150, -200, -250, -300 and -350 (loss) points every ten selections,
115 whereas deck B provided -1250 (loss) points in one out of ten selections. For each selection from deck
116 C or D (“high reward value decks”), the participants would win 50 points, and the losses were organized
117 so that if participants made over 10 selections from these decks, they would obtain an overall profit of
118 250 points. The two decks differed in the frequency and magnitude of the punishment. Similar to the
119 previous two decks, deck C provided -25, -40, -50, -60 and -75 (loss) points every ten selections,
120 whereas deck D provided -250 (loss) points once every ten selections. Decks A and B had negative
121 reward expectations and were operationally defined as having a low reward value. In contrast, decks C
122 and D had positive reward expectations and were defined as having a high reward value. Therefore,

123 choosing decks C and D was an advantageous choice, and choosing decks A and B was a
 124 disadvantageous choice. Similar to previous studies^{42,43}, the IGT was extended to 180 trials from the
 125 original 100 trials to facilitate rule learning¹⁴. The IGT consisted of three scan runs, with three blocks
 126 for each scan run and 20 trials for each block. The participants who had positive net winnings at the end
 127 of the task would obtain extra money (10¥/1000 points). The final net winnings were defined as the
 128 total score.

129

130 *Behavioural analysis—reinforcement learning model.* This procedure followed that of a previous
 131 study⁴³. The reinforcement learning model⁴⁴ was adapted to analyse the behavioural data. Reward
 132 prediction errors (RPEs) were included in the model, according to the suggestion by Sutton and Barto⁴⁵.
 133 An RPE (δ_t) was defined as the difference between the actual reward r_t and the predicted reward \hat{v}_t
 134 at trial t. The formula for this definition was as follows:

$$135 \quad \delta_t = r_t - \hat{v}_t. \quad (1)$$

136 The RPE was used to update reward prediction in the model using the following formula:

$$137 \quad \hat{v}_{t+1} = \hat{v}_t + \alpha \cdot \delta_t. \quad (2)$$

138 where α is the learning rate for the RPE in the update formula⁴⁴. Then, maximum likelihood estimation
 139 (MLE) was adopted to estimate the learning rate based on the samples. Here, π_{it} was defined as the
 140 probability of choice i at trial t. We transformed the data with an exponential function when we
 141 calculated the value of π_{it} using the following formula:

$$142 \quad \pi_{it} = \frac{e^{\hat{v}_{it}}}{\sum_{j=1}^n e^{\hat{v}_{jt}}}. \quad (3)$$

143 The learning rate was estimated separately by maximizing the likelihood function for each participant:

$$144 \quad \text{Maximum log - likelihood} = \max \sum_{t=1}^M \log \pi_{i_t,t} \quad (4)$$

145 where i_t represents the deck selected at trial t, $i_t \in \{1, 2, 3, 4\}$, and $\pi_{i_t,t}$ represents the probability
 146 of selecting deck i_t at trial t.

147 To test whether the participants' decision-making performance was better than random chance, we
 148 performed a random selection simulation 1000 times. We compared the learning rate from the
 149 participants' choices with that from the simulation using the t test. We tested group differences using t
 150 test if data conformed normality and using Mann-Whitney test if data do not conform normality in the

151 present study. Cohen's d values were calculated via G*Power 3.1 software⁴⁶. We calculated the total net
152 good decks, which was the number of advantageous choices minus the number of disadvantageous
153 choices in 180 trials.

154

155 *fMRI data acquisition and preprocessing.* Gradient echo-planar imaging data were acquired using a 3.0
156 T, 8-channel head coil Trio scanner (Siemens Medical Solution, Erlangen, Germany) with a circularly
157 polarized head coil in Hefei. We restrained head motion with foam padding. A T2*-weighted echo-
158 planar imaging sequence (FOV = 240 mm, TE = 30 ms, TR = 2000 ms, flip angle = 85° , matrix = 64
159 \times 64) with 33 axial slices (no gaps, 3.7 mm thick) covering the whole brain was used to acquire the
160 functional MR images. There were three runs of IGT, each of which contained 210 epochs. Furthermore,
161 high-resolution T1-weighted spin-echo imaging data (1 mm isotropic voxel) were also acquired for
162 anatomical overlay.

163

164 We preprocessed the imaging following the workflows proposed in a previous paper⁴⁷. All functional
165 MR images were preprocessed using Analysis of Functional Neuroimages (Version AFNI_18.2.03)
166 software⁴⁸. All fMRI data were corrected for temporal shifts between slices and motion and grand-mean
167 scaled. Low-frequency signal drifts were filtered using a cutoff of 128 s. Volumes meeting the following
168 criteria were removed: translation > 0.3 mm or rotation $> 0.3^\circ$ between consecutive volumes⁴⁹. For each
169 run, we dropped the first two volumes to enhance stability. Linear regression was also performed to
170 remove linear trends. All functional volumes were non-linearly transformed to MNI space (resampled
171 voxel size: $4 \times 4 \times 4$ mm³) according to the spatial transformation between the anatomical data and
172 the MNI space. Volumes were spatially smoothed with a Gaussian kernel (full-width at half-maximum
173 = 8 mm) and were used for general linear model and psycho-physiological interaction (PPI) analysis.
174 Unsmoothed data were used for MVPA.

175

176 *General linear model for value signals.* To illustrate the neural activations of the values, including RPE,
177 gain, loss, and reward predictions for the four decks, a general linear model was used to examine the
178 BOLD signals in which brain regions were correlated with these values. The general linear model was
179 run for each value and included 1) an interest regressor, *i.e.*, one-value regressor, defined as RPE, gain,
180 loss, or reward prediction for the four decks during the epochs when feedback was presented and 0 for

181 other epochs, and 2) six noninterest regressors for head motion. Then, the parameter estimates were
182 extracted for each value and for each participant. We performed a group-level one-sample t test for
183 parameter estimates using family-wise error correction.

184

185 *Whole brain searchlight-based multivoxel pattern analysis.* We first used whole brain searchlight-based
186 MVPA to classify advantageous choice versus disadvantageous choice. We adapted the within-subject
187 MVPA methods from a previous study⁵⁰. We used the least squares-separate (LSS) method to extract
188 choice-related activations according to a previous study⁵¹. LSS is the most effective method to estimate
189 choice activation⁵¹ and has been widely used in the field^{50,52,53}. According to the LSS method, a general
190 linear model was used to extract activation for each choice. There were 180 choices, $C_{1...180}$, including
191 advantageous choices and disadvantageous choices, for each participant. A general linear model was
192 run for each choice. For the i^{th} choice, C_i , the general linear model included two choice regressors. The
193 first was the choice regressor of interest. During a trial with choice C_i , this regressor was defined as 1
194 during the epoch when a button press was made in the selection phase and 0 for the other epochs; during
195 trials with choices $C_{1...i-1,i+1...180}$, this regressor was defined as 0 for all epochs. The other was the
196 choice regressor of nuisance. During a trial with choice C_i , this regressor was defined as 0 for all epochs;
197 during trials with choices $C_{1...i-1,i+1...180}$, this regressor was defined as 1 during the epoch when a
198 button press was made in the selection phase and 0 for the other epochs. The value of β for the choice
199 regressor of interest in the general linear model was the activation for choice C_i . The general linear
200 model was repeated 180 times to extract activations for 180 choices for each participant. The general
201 linear model was performed using MATLAB's *regstats* function (MATLAB v2019a, Mathworks Inc,
202 Natick, MA, PC).

203

204 We implemented two steps to control the effects of values, as choices can be expected to be related to
205 value signals, including RPE, gain, loss, and reward predictions for the four decks. For step 1, we used
206 the Gram-Schmidt orthogonalization algorithm to orthogonalize choices and values before
207 implementing the general linear model^{54,55}. Specifically, we orthogonalized choice and RPE, gain, loss,
208 and reward predictions for the four decks. For step 2, the orthogonalized choice regressor of interest,
209 the orthogonalized choice regressor of nuisance, the regressors for RPE, gain, loss, and reward
210 predictions for the four decks [those defined as RPE, gain, loss, or reward predictions for the four decks

211 during the epochs when feedback was presented and 0 for the other epochs], and six regressors of no
212 interest for head motion were included in each general linear model. We extracted β , the activation of
213 the orthogonalized choice regressor of interest, for each voxel in the whole brain in each general linear
214 model. The extracted activations were grouped into two categories according to the choice type, i.e.,
215 advantageous choice versus disadvantageous choice, for each voxel and for each participant.

216

217 We performed whole brain searchlight-based MVPA that did not depend on a priori assumptions but
218 searched for predictive information across the whole brain. For each voxel v_i , considering the local
219 patterns that contained the spatial correlation that might decode advantageous choice versus
220 disadvantageous choice, we constructed a spherical collection of voxels ($S_{I\dots N}$), with 33 voxels⁵⁶ centred
221 on voxel v_i . For each voxel $S_{I\dots N}$ in the collection, we extracted β ; namely, $V_{I\dots N}$. $V_{I\dots N}$ were
222 normalized to the range from 0 to 1 for advantageous choice and disadvantageous choice separately to
223 give all voxels equal importance during classifier training^{57,58}. The values $V_{I\dots N}$ were then used to train
224 and test the classifier model, which was a support vector machine with a linear kernel. The decoding
225 accuracy of the central voxel v_i was acquired by five-fold cross-validation. The implementation of the
226 support vector machine and cross-validation were based on *sklearn.svm.SVC* in Python's scikit-learn
227 toolbox (version 0.21.2)⁵⁹. During training and testing of the classification model, random
228 undersampling was used to handle the imbalance in samples between advantageous choice and
229 disadvantageous choice. For example, if the number of advantageous choices was larger than that of
230 disadvantageous choices, advantageous choices were removed randomly to make the numbers the same
231 as the disadvantageous choices by the *numpy.random.shuffle* function in Python (version 3.6.8). Equal
232 numbers of both choices were labelled the original data sample, which was then randomly partitioned
233 into five equal sized subsamples for five-fold cross-validation. The same procedure was performed for
234 each voxel over the whole brain for each participant. The whole brain decoding accuracy was
235 normalized by subtracting the mean of the whole brain accuracy for each participant.

236

237 We performed a group-level one-sample t test for whole brain searchlight-based MVPA for decoding
238 accuracy using family-wise error correction.

239

240 We also tested whether choice-related activations were correlated with value signals, i.e., RPE, gain,

241 loss, and reward predictions for the four decks using both whole brain analysis and region of interest
242 (ROI) analysis. Specifically, the extracted activations in the general linear model were grouped into two
243 categories according to the median split of the values of the trials, i.e., high and low subgroups for RPE,
244 gain, loss, and reward predictions for the four decks for each participant. We tested whether these
245 subgroups showed differences for RPE, reward predictions for the four decks, gain, and loss separately
246 using the t test in the whole brain with family-wise error correction. We further included the left and
247 right OFC ROIs from the Anatomical Automatic Labeling atlas (AAL2)⁶⁰. The left OFC ROI included
248 OFCmed_L, OFCant_L, OFCpost_L, and OFClat_L and the right OFC ROI included OFCmed_R,
249 OFCant_R, OFCpost_R, and OFClat_R. The extracted activations for values above were averaged in
250 the left and right OFC ROIs separately, then, were fed into group comparisons using the t test with
251 uncorrected $p < 0.05$.

252

253 *ROI-based MVPA.* We further tested whether the OFC represented choice using ROI-based MVPA. First,
254 we included OFC ROIs from the AAL2 that showed overlapping areas with the peak voxel for
255 significant clusters in the whole brain searchlight-based MVPA. Second, we extracted the activations
256 associated with each choice for each OFC ROI. Activations were also normalized to the range from 0
257 to 1 for advantageous choice and disadvantageous choice separately^{57,58}. The decoding accuracy for
258 each OFC ROI was acquired by five-fold cross-validation.

259

260 We tested whether the decoding accuracy was greater than chance level (0.5) for each OFC ROI using
261 a one-sample t test. We tested whether the decoding accuracy was correlated with the learning rate, total
262 score, and total net good decks using Pearson correlations.

263

264 To test whether the signal-to-noise ratio (SNR) affected the decoding results, Pearson correlations
265 between the SNR and decoding accuracy for each ROI were determined.

266

267 *PPI analysis.* To investigate whether the functional connectivity of the OFC identified in ROI-based
268 MVPA differed between advantageous and disadvantageous choices, we ran PPI analysis. First, we
269 created a “seed” time series by extracting mean time courses for each OFC identified in ROI-based
270 MVPA. Second, we computed the interaction terms between the “seed” and either the (1) advantageous

271 choice regressor, defined as 1 during the epoch when a button press was made in the selection phase
272 and 0 for other epochs during trials with advantageous choice and as 0 for all epochs during trials with
273 disadvantageous choice or the (2) disadvantageous choice regressor, defined as 1 during the epoch when
274 a button press was made in the selection phase and 0 for other epochs during trials with disadvantageous
275 choice and as 0 for all epochs during trials with advantageous choice. Third, we estimated a PPI general
276 linear model including the following regressors: (1) the advantageous choice regressor, (2) the
277 disadvantageous choice regressor, (3) the OFC seed time course, (4) the interaction term between the
278 “seed” and advantageous choice regressor, defined as advantageous choice PPI, (5) the interaction term
279 between the “seed” and disadvantageous choice regressor, defined as disadvantageous choice PPI, (6)
280 seven value regressors including RPE, gain, loss, and reward predictions for the four decks, defined as
281 RPE, gain, loss, or reward predictions for the four decks, respectively, during the epochs when feedback
282 was presented and 0 for the other epochs, and (7) six noninterest regressors for head motion. The PPI
283 general linear model was performed using AFNI’s *3dDeconvolve*.

284

285 We computed the first-level contrast for the disadvantageous choice PPI β minus the advantageous
286 choice PPI β . We performed a one-sample t test to identify significant differences in the contrast to
287 identify PPI effects using family-wise error correction.

288

289 We also tested whether there were overlapping regions in the brain among the whole brain searchlight-
290 based MVPA and PPI.

291

292 As a control analysis, we tested whether value signals modulated OFC functional connectivity. To
293 achieve this, we performed PPI analysis for RPE, gain, loss, and reward predictions for the four decks
294 separately. The PPI general linear model included the following regressors: (1) a value regressor,
295 defined as RPE, gain, loss, or reward predictions for the four decks during the epochs when feedback
296 was presented and 0 for other epochs, (2) the OFC seed time course, (3) the interaction term between
297 the “seed” and value regressor, defined as the value PPI, and (4) six noninterest regressors for head
298 motion. We computed the first-level contrast for PPI β values and performed a one-sample t test to
299 identify PPI effects using family-wise error correction.

300

301 **Results**

302 **Summary of behavioural performance in the IGT**

303 We found that the participants' learning rate was significantly higher than the learning rate from the
304 computer's random 1000 selections [Mann-Whitney test, Mann-Whitney $U=53$, $p < .001$, 95%
305 confidence interval: [0.085, 0.116]]. The participants' learning rate, response time, number of
306 advantageous choices, number of disadvantageous choices, total score, and total net good decks are
307 summarized in Table 1.

308

309 **BOLD activity in the OFC is correlated with value signals**

310 We found significant activations in the OFC, striatum, and posterior cingulate cortex for value signals,
311 including RPE, gain, loss (Fig. 2 and Table 2), and reward predictions for the four decks (Supplementary
312 Figure 1 and Supplementary Table 1). Therefore, the results are consistent with previous studies
313 showing that the OFC is implicated in value evaluation^{42,61,62}.

314

315 **The OFC represents advantageous choice**

316 As the OFC has been implicated in the representation of value signals, we next examined whether the
317 OFC represented advantageous choice while controlling for value effects. Using whole brain
318 searchlight-based MVPA, we found that the activity pattern in the OFC indeed represented
319 advantageous choice (Fig. 3a and Table 3). Whole brain searchlight-based MVPA also revealed that
320 activity in the frontal regions and the parietal regions represented advantageous choice (Fig. 3a and
321 Table 3); thus, we replicated similar findings regarding the representation of choice in the frontoparietal
322 network from previous studies^{1,2}.

323

324 Are choice related activations in the OFC related to value signals? We found that there were no
325 significant activations in the OFC between the high and low subgroups for RPE, gain, loss (Fig. 3b, 3c,
326 3d, and Supplementary Table 3), or reward predictions for the four decks (Supplementary Figure 2 and
327 Supplementary Table 4). We further found that there were no significant differences in the left or right
328 OFC ROIs between the high and low subgroups for RPE, gain, loss (all $p_s > 0.05$, uncorrected). The
329 results suggest that choice-related activations in the OFC for MVPA were not confounded by value
330 signals.

331

332 The peak voxels of significant clusters in the whole brain searchlight-based MVPA showed an
333 overlapping area with OFCmed_R in AAL2; therefore, we further examined the choice representation
334 in OFCmed_R using ROI-based MVPA. We found that OFCmed_R represented an advantageous
335 choice [$t_{53} = 7.770, p < 0.001, \text{Cohen's } d = 1.057, 95\% \text{ confidence interval: } [0.075, 0.126]$] (Fig.
336 4a). We found significant correlations between the decoding accuracy and learning rate [$r = 0.559, p <$
337 $0.001, N = 54$], total score [$r = 0.357, p = 0.008, N = 54$], and total net good decks [$r = 0.468, p <$
338 $0.001, N = 54$] (Fig. 4b, 4c, and 4d). The decoding accuracy showed no significant correlations with
339 the SNR [$r = -0.119, p = 0.390, N = 54$] or censor rate [$r = 0.071, p = 0.610, N = 54$], suggesting
340 that the decoding accuracy was not explained by either of these parameters.

341

342 **Choice modulates OFC functional connectivity with the superior medial gyrus**

343 PPI analysis revealed greater OFC connectivity with the superior medial gyrus when choosing
344 disadvantageous options versus choosing advantageous options (Fig. 5a). Furthermore, the superior
345 medial gyrus showed an overlapping area with brain regions representing advantageous choice revealed
346 by whole brain searchlight-based MVPA (Fig. 5b). As a control analysis, we tested whether value
347 signals modulated OFC functional connectivity. We found that there was no significant OFC functional
348 connectivity in the whole brain for RPE, gain, loss, or reward predictions for the four decks (Fig. 5c),
349 suggesting that choice-modulated OFC functional connectivity was not confounded by the value signals.
350

351 **Discussion**

352 Consistent with the proposal of a good-based model, the present study demonstrates that the OFC
353 represents advantageous choice, which provides strong evidence to support the role of the OFC in binary
354 choice in the IGT. Furthermore, IGT behavioural performances were correlated with the advantageous
355 choice representation in the OFC. Third, the functional connectivity between the OFC and superior
356 medial gyrus supports choice.

357

358 **The OFC represents an advantageous choice in the IGT**

359 In the present study, we demonstrated that the OFC represents binary choice in the IGT based on the
360 distributed activity pattern. These results are supported by neurobiological studies with human^{14,63} as
361 well as animal^{64,65} prefrontal lesions (including in the OFC), consistently indicating that the OFC plays
362 a necessary role in decision-making.

363

364 Furthermore, beyond the OFC, the frontoparietal network was also implicated in choice in the present
365 study, and the finding is consistent with previous studies^{1,2}. Both the OFC and the frontoparietal network
366 represented choice in the present study; therefore, we expected to find functional connectivity between
367 the regions for choice. Indeed, we identified a functional connectivity between the OFC and the superior
368 medial gyrus for choice, but not for values, suggesting that the OFC is functionally coupled with the
369 prefrontal cortex when humans make choices. As the frontoparietal network has been widely implicated
370 various decision-making situations^{1,2}, the connectivity between the OFC and the superior medial gyrus
371 would be helpful for choice under various decision-making contexts. We also found that OFC activity
372 is related to value signals, e.g., RPE, gain, and loss. Therefore, the finding that both choice and value

373 were represented in the OFC may make it easier for individuals to make optimal choices with the help
374 of frontoparietal network modulation during difficult decision-making situations that lack sufficient
375 information.

376

377 **A proposed role for the OFC: representation of choice-related complex information along a**
378 **continuous spectrum**

379 In the present study, the OFC was shown to represent advantageous choice. This finding is supported
380 by a recently proposed cognitive map representing a state space⁶⁶. In the context of the cognitive map,
381 the OFC is activated when the decision maker becomes cognizant of unobservable information and
382 makes a correct choice; however, the OFC would not activate when the decision maker is not cognizant
383 of unobservable information and makes an incorrect choice⁶⁷.

384

385 Interestingly, in the present study, even though the participants did not know the specific reward value
386 for each deck, the OFC nevertheless represented advantageous choice. Integration of these findings
387 shows that exact knowledge of complex information is not necessary for OFC activation. This may
388 suggest that the OFC, in part, could play a role in unconscious influences, e.g., emotions, in complex
389 decision-making⁶⁸.

390

391 We found that decoding accuracy in the OFC correlated with decision-making performance. We
392 therefore propose a role for the human OFC based on the cognitive map idea: the OFC may represent
393 choice-related complex information along a continuum, e.g., from a high decoding accuracy of
394 advantageous choice if the decision maker exactly knows the complex information to a low decoding

395 accuracy if they do not. Our proposal further predicts that the OFC represents choice in choosing
396 between other decision-making parameters, such as self-control and cost. This is important because
397 humans often face choices that have unknown costs for effort control. It is beneficial to exert an
398 appropriate level of effort for an appropriate choice. The OFC seems to be a candidate for the brain
399 region used when making choices based on the aforementioned parameters in a complex context; this
400 hypothesis should be investigated in future work.

401

402 Several shortcomings of the present study should be acknowledged. First, few female participants were
403 recruited in the present study. We conducted ROI-based MVPA for males and females separately to test
404 whether females and males showed a difference in decoding accuracy. We found consistent results (see
405 Supplementary Table 5) between the sexes, suggesting that the percentage of females might not
406 influence our results. Future work should include more female participants to substantiate our
407 conclusion. Second, the IGT design convolves decision-making with uncertainty with learning. Good
408 learning would presumably result in choosing from the high value deck and not from the other decks
409 and would also presumably result in choosing from the high value deck more in the late IGT runs and
410 less in the early IGT runs. Therefore, a reasonable assumption would be that changes in activation
411 patterns between choosing high value versus choosing low value may be due to differences in choice
412 probability. However, the choice probability is related to reward prediction, which we controlled for
413 when we performed MVPA and PPI analysis. We found that choice-related activations in the OFC could
414 represent choice in MVPA and that choice-related activations in the OFC were not related to reward
415 predictions. We also found that choice, but not reward predictions, modulated OFC functional
416 connectivity with the superior medial gyrus in PPI analysis. Therefore, our results suggest that neither

417 the choice probability nor the learning effect in the IGT confounds the decoding or PPI results in the
418 present study. Future work will require a complex decision-making task without learning to substantiate
419 our conclusion. Third, attention modulates the value signal in the OFC⁶⁹, thus, a reasonable assumption
420 would be that choice-related signals in the OFC may be confounded with attention. However, the OFC
421 signal has been related to value of attended option⁶⁹ and we found that choice-related signals in the OFC
422 were not related to all value signals, including RPE, gain, loss, or reward predictions for the four decks.
423 Therefore, our results suggest that attention may not confound the decoding or PPI results in the present
424 study. Future work will require a decision-making task with covert shift of attention to substantiate our
425 conclusion.

426

427 **Conclusions**

428 In conclusion, our results demonstrate that the OFC represents advantageous choice in the IGT. Our
429 data provide evidence to support the integration of knowledge in the OFC to make choices in a complex
430 context, which may be helpful for survival. Decreased decoding accuracy in the OFC may be related to
431 poor decision-making ability, and these findings may provide potential insight into understanding
432 impulsive behaviours.

433 **Credit authorship contribution statement**

434 Rujing Zha: Conceptualization, Formal analysis, Methodology, Software, Data curation, Writing-Original
435 draft preparation, Project administration; Peng Li: Data curation, Formal analysis, Methodology, Writing-
436 Reviewing and Editing; Ying Li: Formal analysis, Validation, Visualization; Nan Li: Formal analysis; Meijun
437 Gao: Formal analysis, Writing- Reviewing and Editing; Yiyang Fan: Formal analysis; Ruhuiya Aili: Formal
438 analysis; Ying Liu: Methodology; Xiaochu Zhang: Supervision, Conceptualization, Methodology, Writing-
439 Reviewing and Editing; Jun Li: Supervision, Conceptualization, Methodology, Writing-Reviewing and
440 Editing.

441

442 **Data availability**

443 All data are available from the authors.

444

445 **Code availability**

446 Matlab codes are available from the authors. Code for fMRI orthogonalization are available at
447 <https://www.mathworks.com/matlabcentral/fileexchange/55881-gram-schmidt-orthogonalization>. Code for
448 MVPA analysis are available at <https://scikit-learn.org/dev/modules/svm.html#svm-classification>. Code for
449 PPI analysis are available at <https://afni.nimh.nih.gov/CD-CorrAna>.

450

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459

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461

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629
630
631

632 **Figure Legends**

633 **Figure 1. Experimental paradigm of the Iowa gambling task.** Experimental paradigm of the Iowa
634 gambling task. There were two phases for each trial. Four decks were presented in the first phase. Participants
635 selected a card within 4 s in this phase (selection phase, 4 s); then, the outcome, including gain and loss, was
636 presented in the second phase (feedback phase, 1 s).

637 **Figure 2. BOLD activity in the OFC was correlated with value signals.** BOLD signals in the OFC,
638 striatum, and posterior cingulate cortex were correlated with value signals, including a) RPE, b) gain, and c)
639 loss. Family-wise error at a cluster-level threshold of $p < 0.05$ (voxel-level threshold of $p < 0.001$, voxel
640 size > 13 for RPE, 33 for gain, and 19 for loss). $N = 54$.

641 **Figure 3. The OFC represents advantageous choice, and choice-related activations in the OFC are not**
642 **correlated with value signals.** a) Whole brain searchlight-based MVPA revealed that the OFC represents
643 choice. Choice-related activations in the OFC are not significantly correlated with value signals, including
644 b) RPE, c) gain, or d) loss. R, Right, L, Left. Family-wise error at a cluster-level threshold of $p < 0.05$ (voxel-
645 level threshold of $p < 0.001$, voxel size > 4 for advantageous choice, 1 for RPE, 3 for gain, and 2 for loss).
646 $N = 54$.

647 **Figure 4. The OFC represents an advantageous choice, and the OFC decoding accuracy is correlated**
648 **with behavioural performances.** a) The OFCmed_R region in AAL2 represents an advantageous choice.
649 The OFCmed_R decoding accuracy was correlated with the b) learning rate, c) total score, and d) total net
650 good decks in the IGT. The dashed line in the panels shows the chance level (0.5), and the dashed area in
651 the panels shows the 95% confidence interval. The error bar shows SE. $N = 54$.

652

653 **Figure 5. The OFC is functionally connected with the superior medial gyrus for choice, but not for**

654 **values.** a) Compared with advantageous choice, disadvantageous choice increased the OFC functional
655 connectivity with the superior medial gyrus. Voxel size: 40; peak voxel coordinates: -10, -66, +4. R, Right,
656 L, Left. Family-wise error at a cluster-level threshold of $p < 0.05$ (voxel-level threshold of $p < 0.001$, voxel
657 size > 13). b) The overlapping area between the superior medial gyrus and the brain regions representing
658 advantageous choice contained 16 voxels. c) There was no significant OFC functional connectivity across
659 the whole brain for RPE, gain, loss, or reward predictions for the four decks. Family-wise error at a cluster-
660 level threshold of $p < 0.05$ (voxel-level threshold of $p < 0.001$, voxel size > 1 for RPE, 3 for gain, 2 for loss,
661 16 for reward prediction for deck A, 18 for reward prediction for deck B, 16 for reward prediction for deck
662 C, 15 for reward prediction for deck D). $N = 54$.

663

664

665 **Table Legends**

666 **Table 1. Summary of behavioural performance in the Iowa gambling task.**

667 $N = 54$.

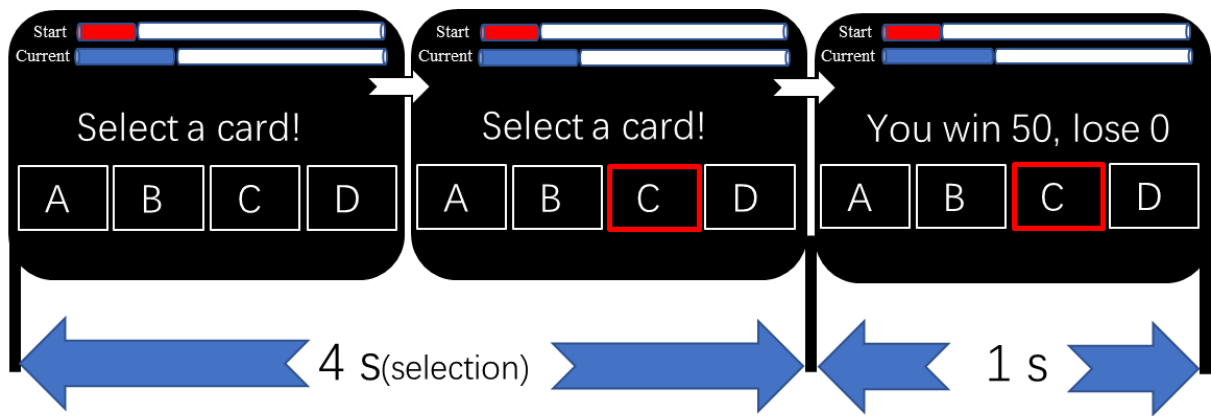
668 **Table 2. BOLD activity in the OFC is correlated with the value signals.**

669 ^aThe coordinates of the peak voxel are shown in MNI space (+ left, - right; + posterior, - anterior; + superior,
670 - inferior). Family-wise error at a cluster-level threshold of $p < 0.05$ (voxel-level threshold of $p < 0.001$,
671 voxel size > 13 for RPE, 33 for gain, and 19 for loss). $N = 54$.

672 **Table 3. Brain regions including the OFC that represent advantageous choice.**

673 ^aThe coordinates of the peak voxel are shown in MNI space (+ left, - right; + posterior, - anterior; + superior,
674 - inferior). Family-wise error at a cluster-level threshold of $p < 0.05$ (voxel-level threshold of $p < 0.001$,
675 voxel size > 4). This table only displays the brain regions that formed a cluster of more than 20 voxels; all
676 significant clusters are shown in Supplementary Table 2. $N = 54$.

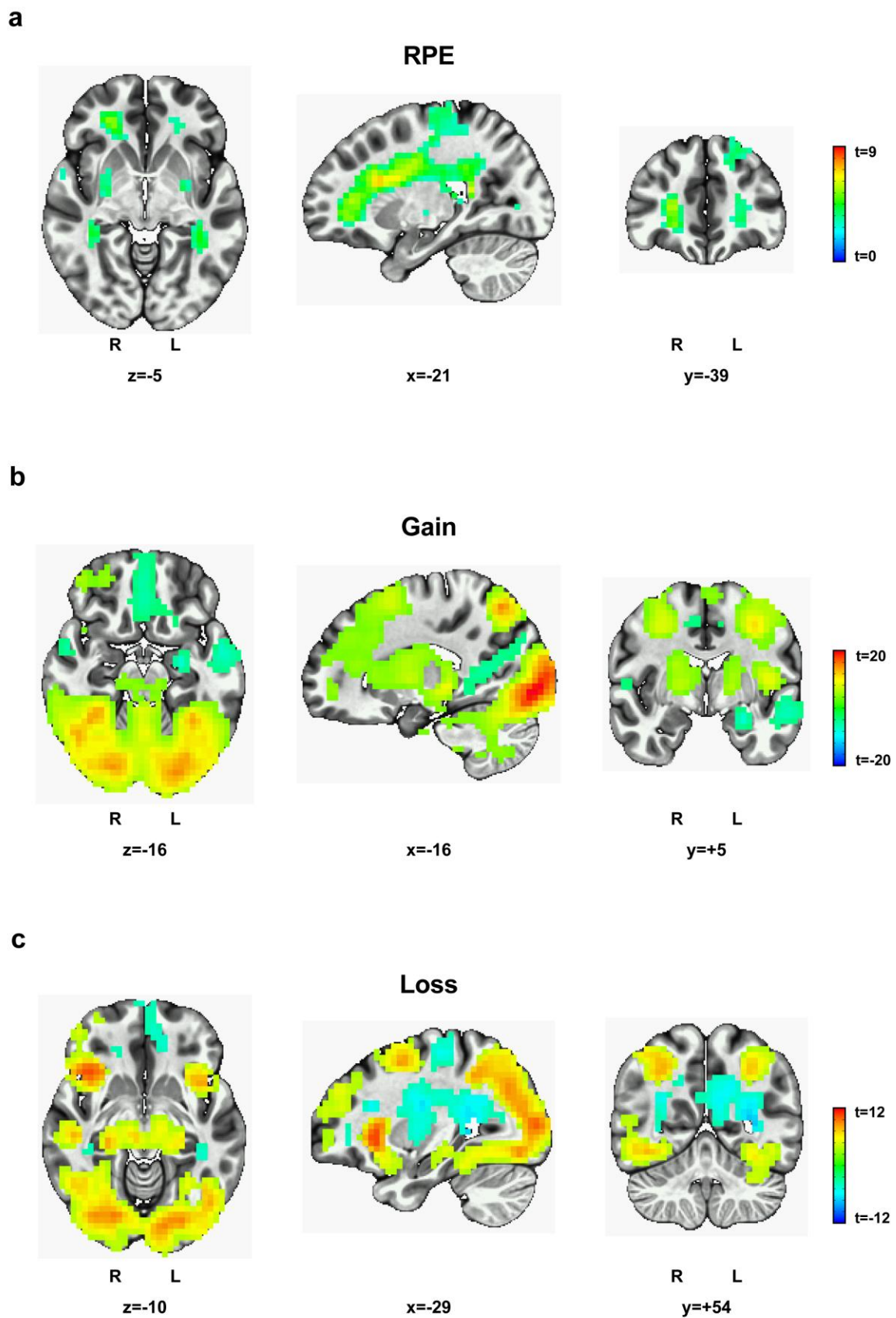
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679 **Figure 1. Experimental paradigm of the Iowa gambling task.**

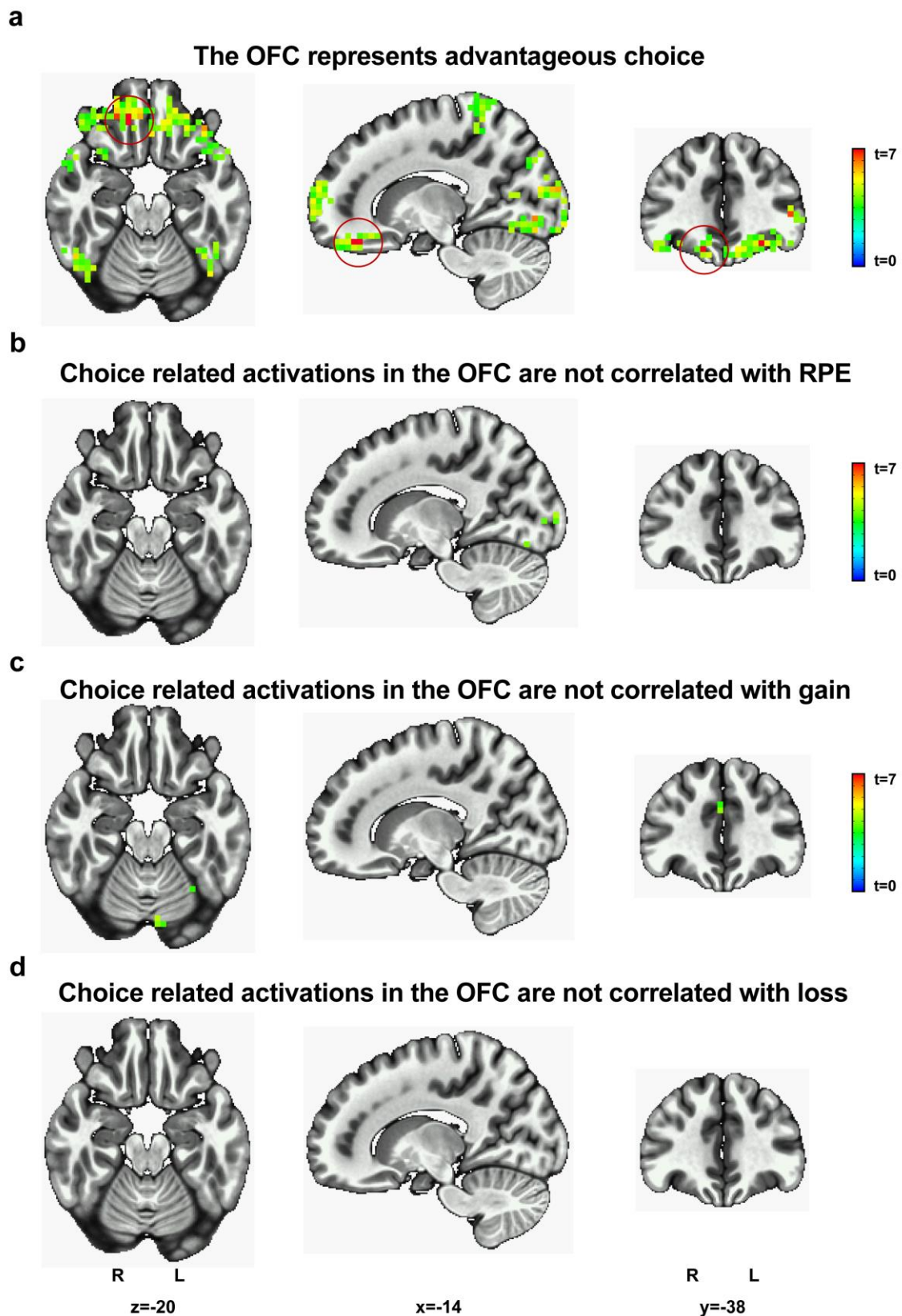
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682 **Figure 2. BOLD activity in the OFC was correlated with value signals.**

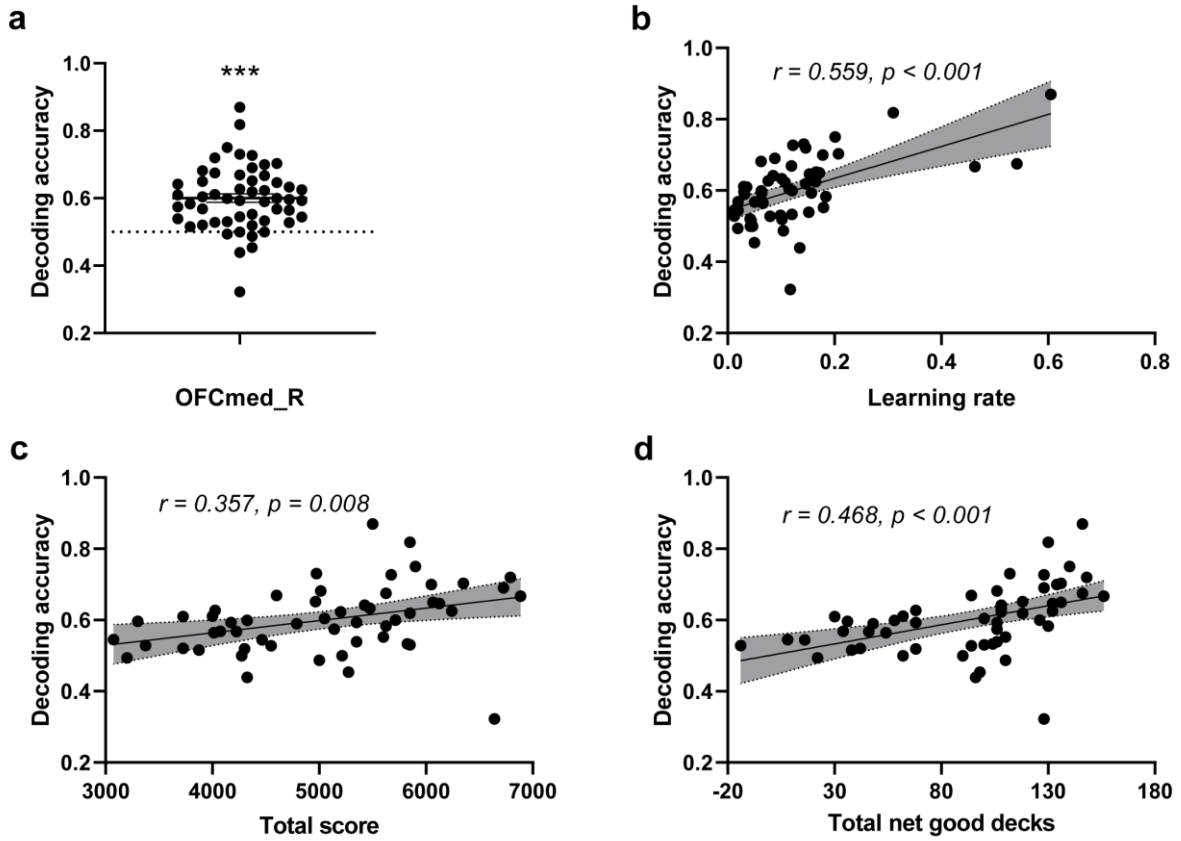
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685 **Figure 3. The OFC represents advantageous choice, and choice-related activations in the OFC are**

686 **not correlated with value signals.**



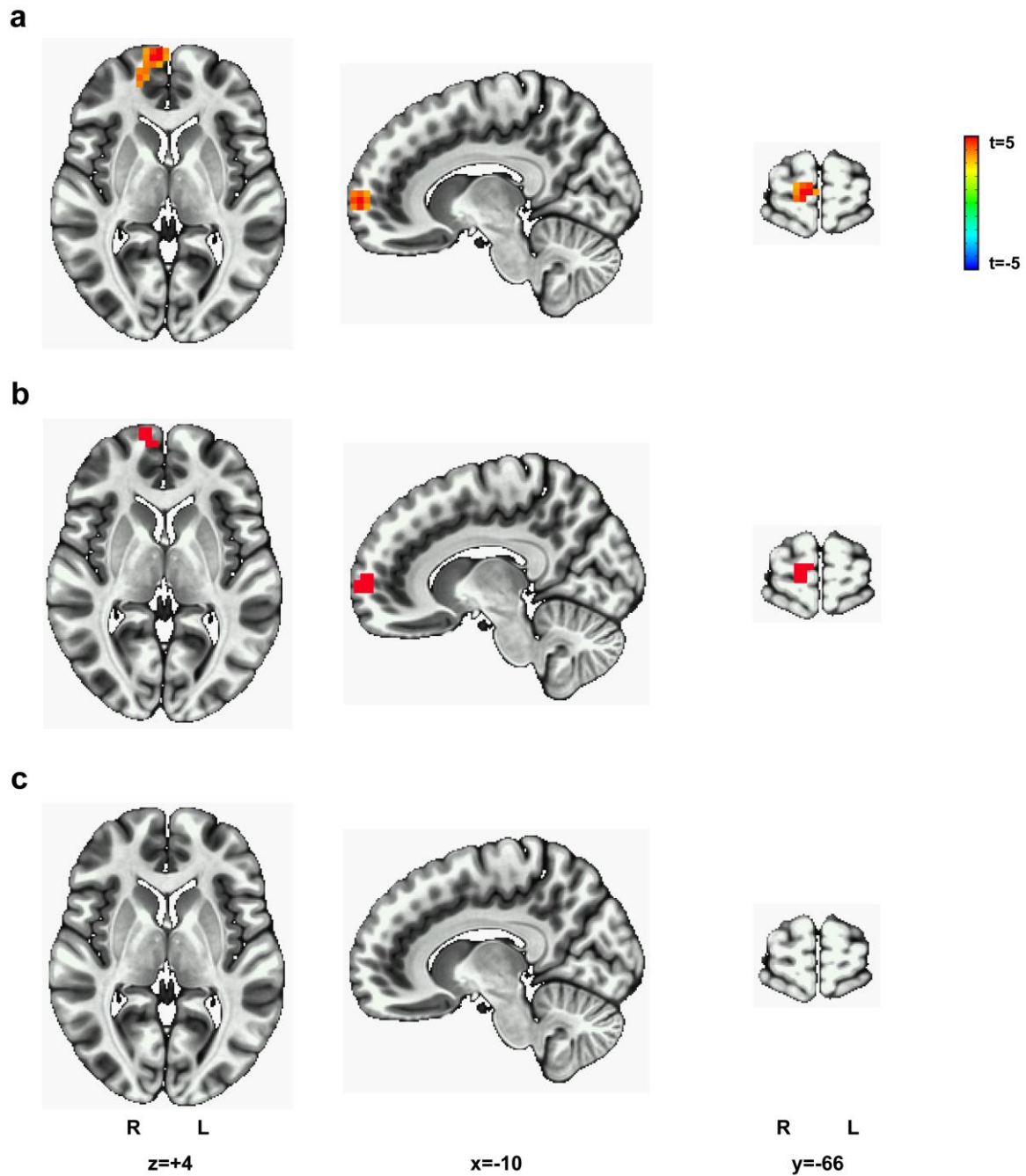
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Figure 4. The OFC represents an advantageous choice, and the OFC decoding accuracy is correlated with behavioural performances.

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692 **Figure 5. The OFC is functionally connected with the superior medial gyrus for choice, but not for**
 693 **values.**

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695 **Table 1.** Summary of behavioural performance in the Iowa gambling task.

	Mean	SD	Min	Max
Learning rate	0.152	0.119	0.012	0.605
Response time	0.655	0.240	0.273	1.424
The number of advantageous choices	136.426	20.752	83.000	168.000
The number of disadvantageous choices	43.574	20.752	12.000	97.000
Total score	5050.741	977.772	3075	6885
Total net good decks	92.852	41.503	-14.000	156.000

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698 **Table 2.** BOLD activity in the OFC is correlated with the value signals.

	Brain regions	Voxels	x^a	y	z
<i>RPE</i>	Right inferior frontal gyrus	2450	-26	-22	+24
	Left superior frontal gyrus	43	+22	-42	+48
	Left superior frontal gyrus	37	+18	-62	+12
	Right cerebellum	20	-42	+78	-36
	Left cerebellum	19	+10	+46	-16
<i>Gain</i>	Right lingual gyrus	11827	-18	+90	-4
	Left hippocampus	337	+26	+10	-16
	Left mid orbital gyrus	301	+2	-54	-12
	Right medial temporal pole	124	-50	-14	-28
	Right Rolandic operculum	80	-42	+14	+20
	Right SMA	75	-6	+10	+52
	Right angular gyrus	42	-58	+66	+28
<i>Loss</i>	Right insula lobe	4508	-34	-22	+0
	Right inferior parietal lobule	3604	-42	+42	+48
	Left paracentral lobule	531	+6	+30	+64
	Left middle frontal gyrus	65	+50	-38	+20
	Right cerebellum	28	-42	+82	-36
	Left superior frontal gyrus	25	+18	-46	+48

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Table 3. Brain regions including the OFC that represent advantageous choice.

Brain regions	Voxels	x^a	y	z
Right inferior occipital gyrus	497	-38	+82	-16
Right superior medial gyrus	157	-10	-66	+20
Left superior orbital gyrus	135	+14	-62	-8
Right superior orbital gyrus	130	-14	-38	-20
Left superior temporal gyrus	72	+54	+38	+20
Right middle temporal gyrus	59	-54	+38	+4
Left insula lobe	46	+42	-6	-12
Right paracentral lobule	45	-2	+38	+76
Right superior occipital gyrus	42	-30	+78	+40
Left inferior occipital gyrus	39	+46	+74	-8
Right temporal pole	36	-62	-2	+0
Right temporal pole	28	-54	-14	-16
Right angular gyrus	27	-50	+70	+36
Left superior parietal lobule	27	+22	+46	+64
Left superior parietal lobule	26	+30	+66	+64
Left inferior occipital gyrus	22	+18	+98	-8
Left temporal pole	20	+58	-10	-4
Left postcentral gyrus	20	+66	+14	+16

Figures

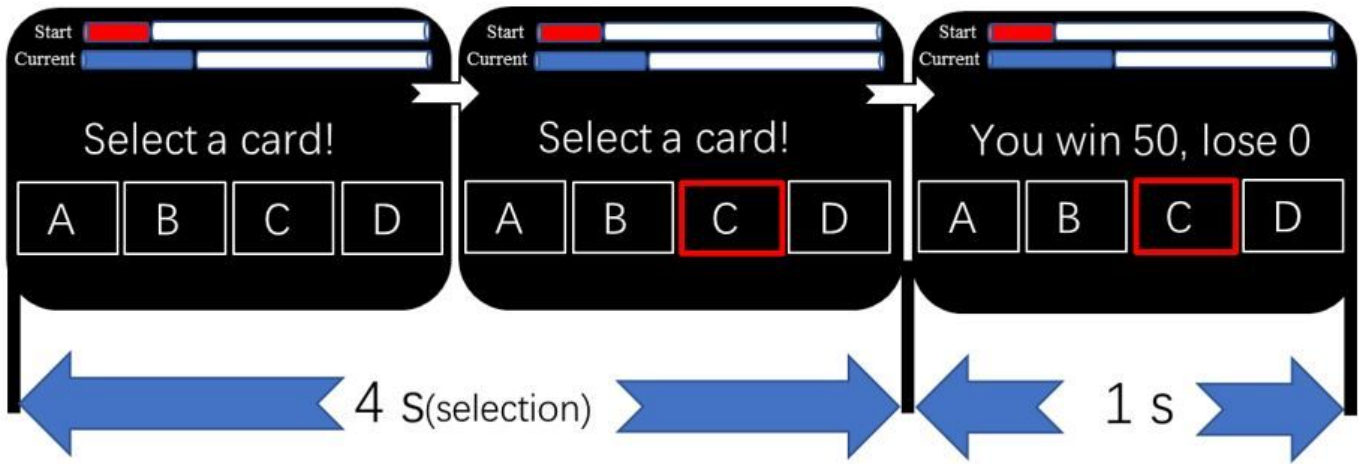


Figure 1

Experimental paradigm of the Iowa gambling task.

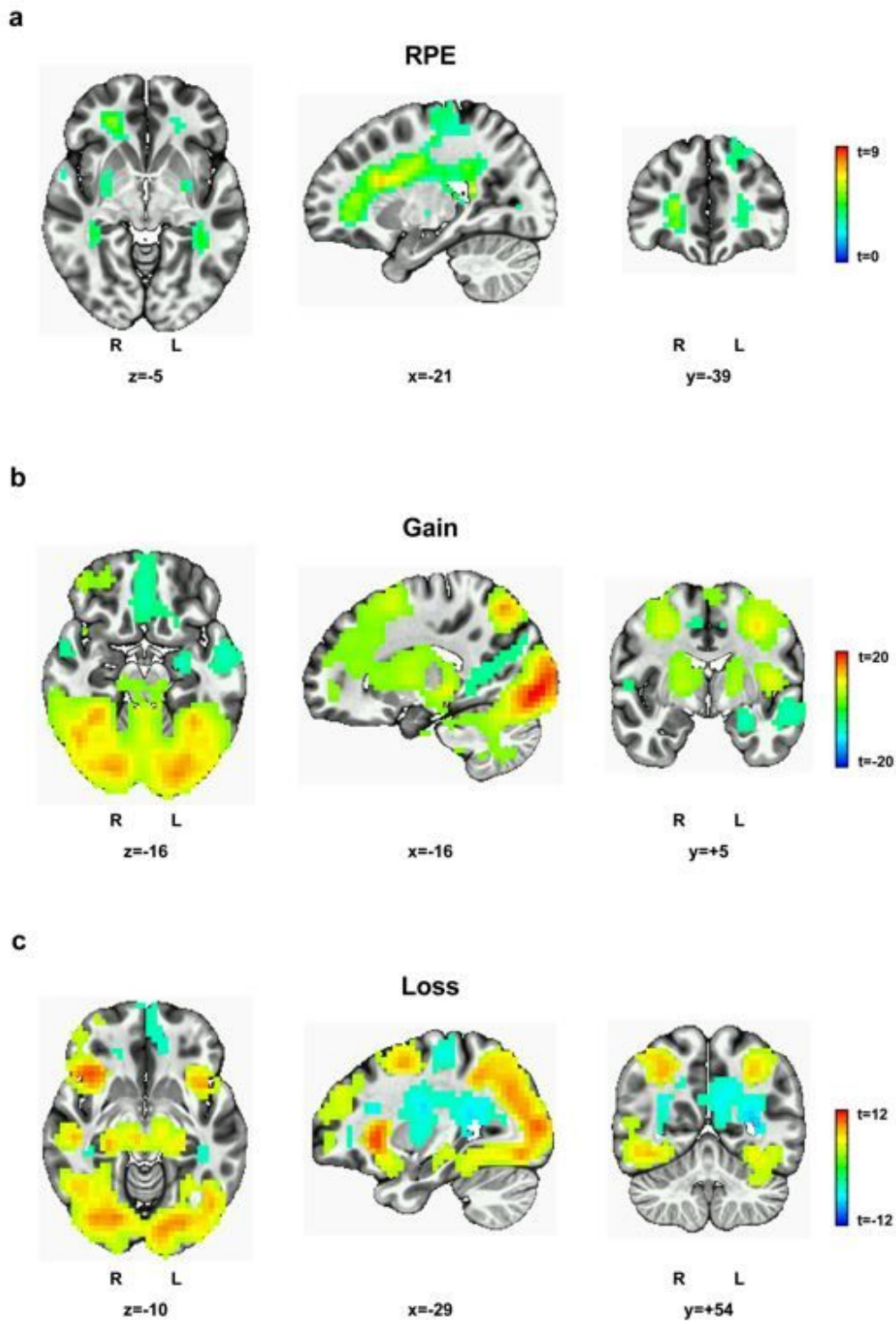


Figure 2

BOLD activity in the OFC was correlated with value signals.

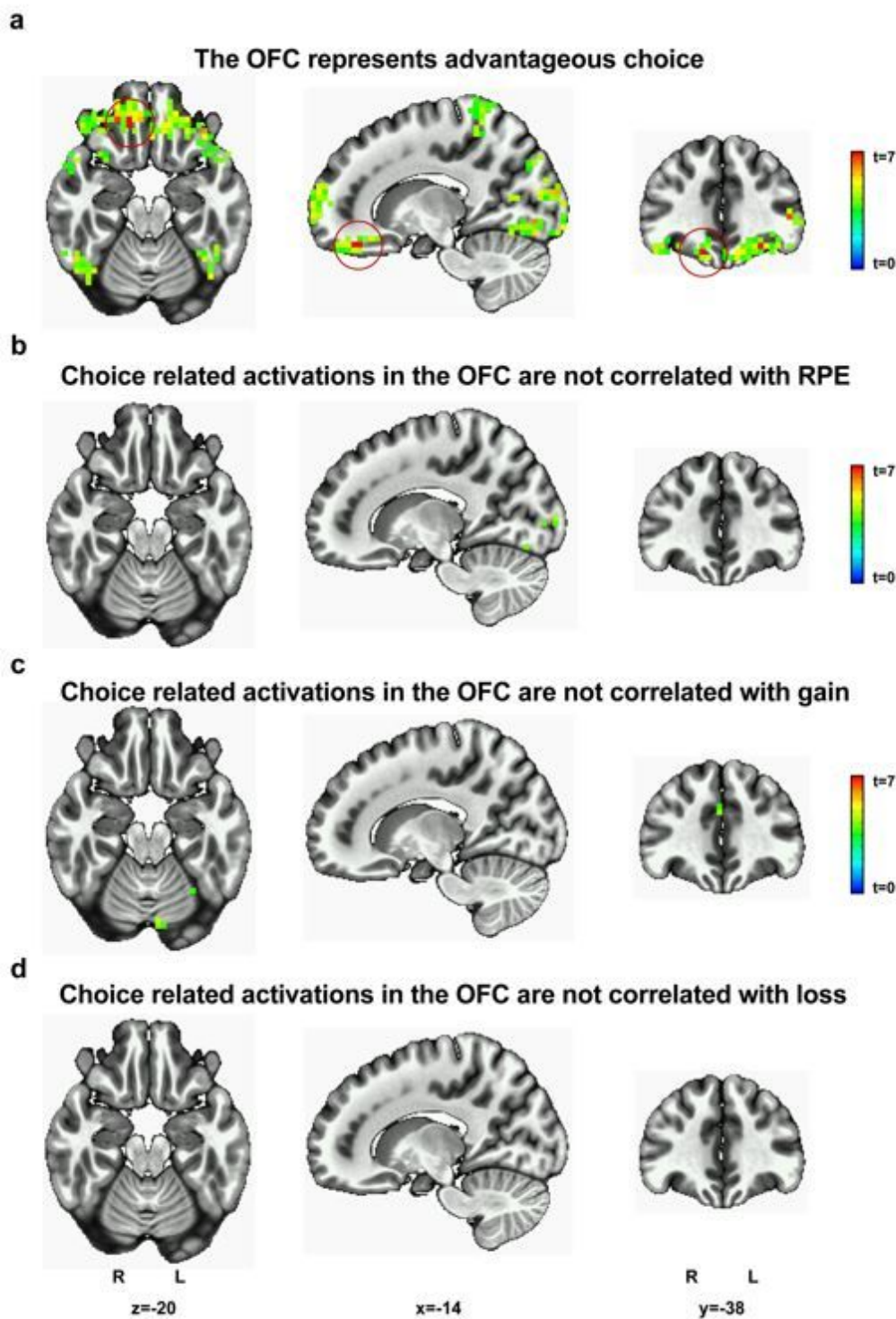


Figure 3

The OFC represents advantageous choice, and choice-related activations in the OFC are not correlated with value signals.

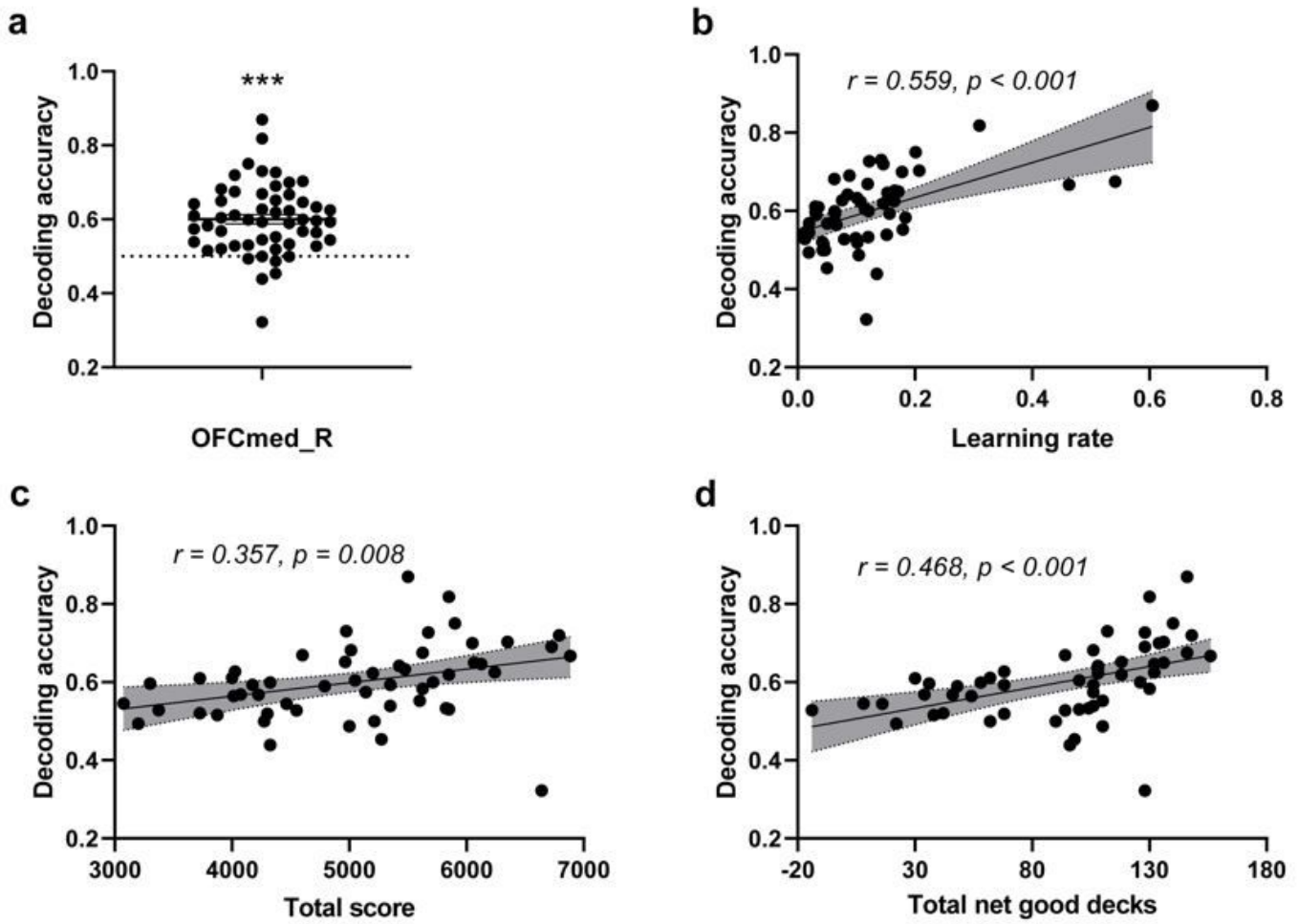


Figure 4

The OFC represents an advantageous choice, and the OFC decoding accuracy is correlated with behavioural performances.

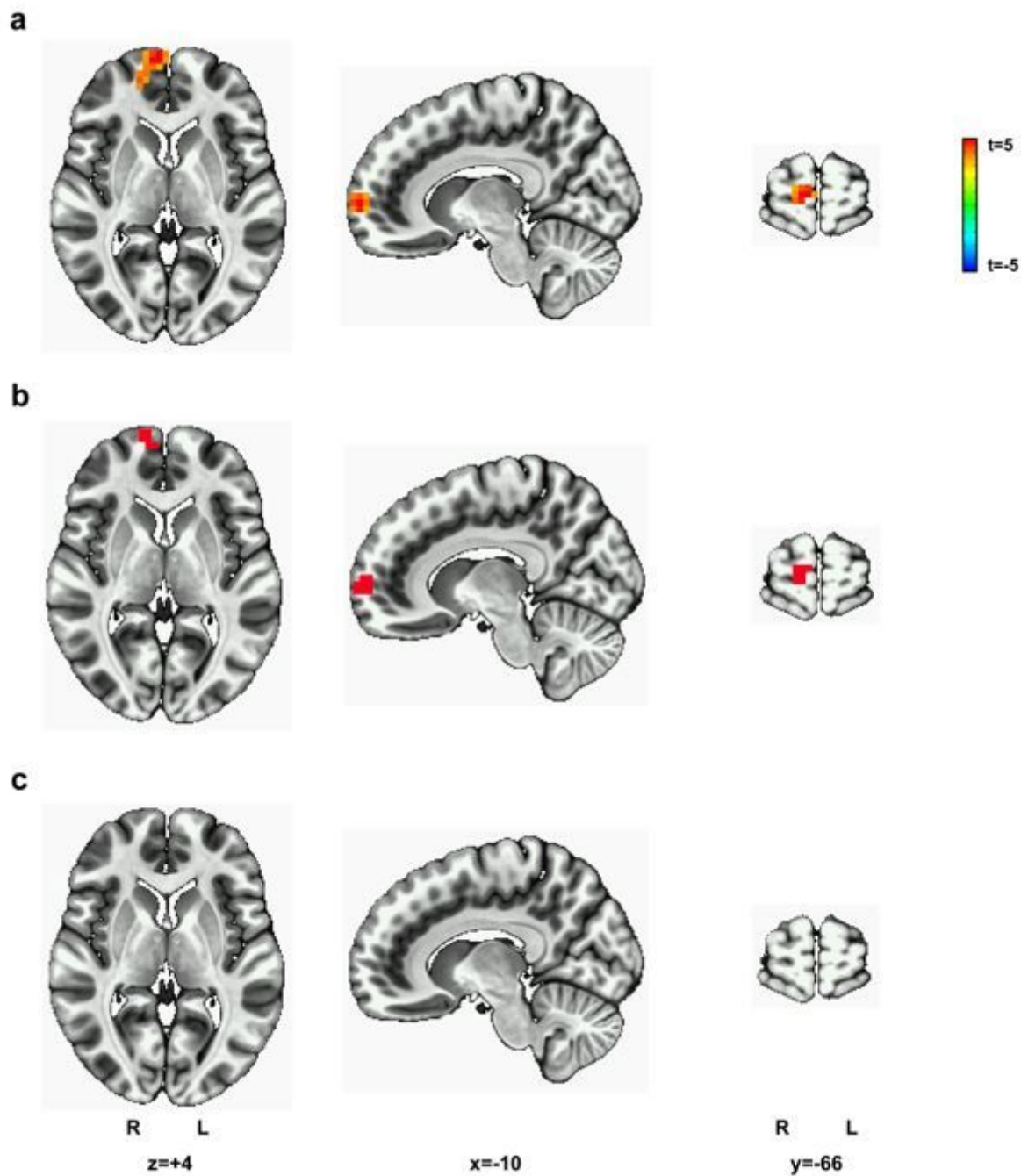


Figure 5

The OFC is functionally connected with the superior medial gyrus for choice, but not for values.

Supplementary Files

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- [SM.docx](#)