

Deterioration Characteristics and Energy Mechanism of Red-Bed Rocks Subjected to Drying-Wetting Cycles

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1 **Deterioration characteristics and energy mechanism of red-**
2 **bed rocks subjected to drying-wetting cycles**

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25 **Abstract:**

26 The red-bed rocks were chosen and studied by using uniaxial compressive
27 experiment and scanning electron microscopy to investigate the effect of drying-
28 wetting (D-W) cycles on the mechanical properties and microstructural characteristics
29 of red-bed rock. Additionally, the energy mechanism of specimens subjected to drying-
30 wetting cycles was also explained. Experimental results showed that, the stress-strain
31 could be divided into four characteristic stages in the compression failure process. After
32 subjecting to cycles of D-W, the stress-strain curve gradually changed from softening
33 to hardening. At the same time, uniaxial compression strength (UCS) and elastic
34 modulus dropped obviously, while Poisson's ratio gradually raised. Microstructural
35 analysis results indicated that the microstructure of the specimen surface was no longer
36 dense and uniform, and the porosity of tested specimens significantly increased with
37 D-W cycles increasing. As the porosity grew, UCS and elastic modulus gradually
38 declined. According to the first law of thermodynamics, the process of rock failure was
39 an event of energy transfer and conversion. As the number of D-W cycles increased,
40 the energy density of specimens all present linear fell. From the perspective of the
41 theory of energy dissipation, the dissipated energy was essential for rock failure, and
42 closely related to the strength of the specimen. With D-W cycles increasing, the
43 specimens were more prone to failure, and the dissipated energy required for failure
44 decreased gradually.

45 **Keywords:** Deterioration characteristics; Energy mechanism; Drying-wetting cycle;
46 Mechanical properties; Microstructural characteristics

47

48 **1 Introduction**

49 It is well know that the complex environment, such as drying-wetting cycle,
50 groundwater erosion and freezing-thawing, are important factors affecting the
51 deterioration of rock, which related to the success or failure of the geotechnical
52 engineering (Ma et al. 2018; Su et al. 2017; Wu et al. 2013; Yang et al. 2012). It is
53 necessary to consider the impact of the complicated and changeable environment on
54 rock mass stability, especially water. The complex water-rock interaction often occurs

55 between water and rock mass, which would seriously leads to deterioration and damage
56 of rock engineering characteristics (Yang et al. 2018; He et al. 2014). Ma et al. (2018);
57 Liu et al. (2018); and Lu et al. (2017) reported that engineering structures often suffer
58 from complex and varied natural environments, such as frequent rainfall and
59 evaporation, groundwater level changing, and fluctuation of water level in reservoir
60 area. During these processes, the rock would be subjected to the cyclic dry and wet, and
61 accelerate the deterioration process of rocks. Thus, the drying-wetting cycle is one of
62 the most common and influential water-rock interaction.

63 In recent years, the influence of drying-wetting cycles on the physical and
64 mechanical properties of rock has been studied by many researches (Liu et al. 2018;
65 Zhou et al. 2017; Torres-Suarez et al. 2014; Hoven et al. 2003; Gökceoğlu et al. 2000).
66 By running drying-wetting cycle tests on rock samples, the evolution of physical
67 properties including bulk density, weight loss, porosity and P-wave velocity were
68 studied. The results showed that the bulk density, weight loss, and P-wave velocity
69 decreased, whereas the porosity raised with the increase of the number of drying-
70 wetting cycles (Pardini et al. 1996; Özbek, 2014). Khanlari et al. (2015) and Hale et
71 al. (2003) reported that the uniaxial compressive strength of sandstone was reduced
72 insignificantly with the number of drying-wetting cycles increasing, which indicated
73 the limited influences of cyclic drying-wetting to samples. However, Huang et al. (2018)
74 and Yang et al. (2018) concluded that the mechanical properties of sandstone
75 deteriorated significantly under the wetting-drying cycles. Similar work performed by
76 Wang et al. (2020) and Deng et al. (2019) also indicated that the irreversible progressive
77 damage to the rock caused by the cyclic drying-wetting. Besides, Hua et al. (2016, 2017)
78 proposed that the failure characteristics of sandstone changed from brittle to ductile at
79 higher wetting-drying cycles.

80 Furthermore, the drying-wetting cycles not only induced the deterioration of the
81 physical and mechanical properties of rock, but also caused irreversible damage to the
82 microstructure of rocks. With the development of testing techniques, the scanning
83 electron microscope, computed tomography scanning, and nuclear magnetic resonance,
84 has been gradually used to study the microscopic evolution of rocks under drying-

85 wetting cycles (He et al. 2020; Ma et al. 2020; Chen et al. 2018; Devarapalli et al. 2017).
86 By using scanning electron microscope, Zhang et al. (2014), Liu et al. (2018) and Yang
87 et al. (2019) investigated the effect of different drying-wetting cycles on the
88 microstructure of rock samples, the results showed that the cohesion of rock particles
89 was gradually weakened, and the porosity increased significantly after repeated water
90 absorption and loss. Chen et al. (2019, 2018) analyzed the damage of drying-wetting
91 cycle to rock strength and microstructure systematically. By conducting a series of
92 experiments, Yao et al. (2020) found that the root of deformation and failure of rock
93 was the failure of the microstructure, and the weakening of rock mechanical properties
94 was an external expression of the microstructure.

95 As mentioned above, most researches mainly focused on qualitative analysis or
96 quantitative characterization from mechanical tests and microscopic tests including
97 calcareous rock (Cardell et al. 2003), sandstone (Wedekind and Ruedrich, 2006), and
98 dolostone (Benavente et al. 2007). However, limited studies have paid attention to the
99 energy damage mechanisms of rock. Rock energy dissipation is an essential property
100 of rock deformation and failure, which mainly reflects the generation, sustainable
101 development, weakening and ultimate loss of micro-defects inside the rock. The energy
102 dissipation of rock can reflect the internal structural damage in the rock. Additionally,
103 the researches of red-bed rocks has mostly focused on the disintegration characteristics
104 of soft rocks (Kurlenya and Oparin 1996; Doostmohammadi et al. 2009; Qian et al.
105 2009). However, the research on the slightly weathered rock of red-bed is rare.

106 Given the above, focusing on the slightly weathered rock of red-bed taken from
107 the Tongcheng, Anhui Province, China, the strength deterioration and micro-structure
108 changes of red-bed rock under drying-wetting cycles were studied in this paper.
109 Uniaxial compression tests and Scanning Electron Microscope (SEM) were carried out
110 on specimens exposed to deionized water drying-wetting cycles. Meanwhile, after
111 subjected to drying-wetting cycles, energy damage mechanisms were analyzed from
112 the perspective of energy dissipation.

113 **2 Materials and Methods**

114 **2.1 Materials and Specimen preparation**

115 The rock materials used in this study were the slightly weathered rock of red-bed
116 collected from the city of Tongcheng city Anhui province, China. Some essential
117 physical parameters were measured: water content (4.03%), density (2.33 g/cm³),
118 porosity (12.50%) and specific gravity (2.77). In addition, the chemical composition of
119 the tested specimens was analyzed by X-ray fluorescence (XRF), and shown in Table
120 1. The XRD results of the tested rock are shown in Fig. 1, in which a large amount of
121 quartz and mica have been identified.

122

123 According to the standard testing method recommended by the International
124 Society for Rock Mechanics and Rock Engineering, 24 tested specimens were cut into
125 cylinders with the size of $\Phi 50 \times 100$ mm (diameter \times height), the difference of the
126 height was less than 0.5 mm and the surface evenness was less than 0.1 mm (ISRM,
127 1981; Brown, 1981; Zhou et al. 2012).

128 **2.2 Test methods**

129 **2.2.1 Drying-wetting procedure**

130 According to the methods suggested by Khanlari et al. (2015), D-W cycles test
131 was performed in the laboratory to study its effect on the mechanical properties and
132 microstructure of rock. Considering the operability of drying-wetting cycles experiment
133 and the actual situation, every drying-wetting cycle was divided into two parts, drying
134 (from saturated to dry state) and wetting (from dry to saturated state). In each cycle,
135 specimens were submerged into deionized water for 24h to reach the saturated state,
136 and then they were taken out and dried in an oven at 110°C for 24 hours. In this study,
137 0 (representing the natural state), 1, 3, 5, 7, and 10 D-W cycles were designed for
138 various specimens.

139 **2.2.2 Uniaxial compressive strength test**

140 The UCS was determined based on the methods suggested by ISRM (1981), the
141 purpose of the uniaxial compression experiment is to determine the uniaxial
142 compressive strength, elastic modulus, and other parameters of rock. This experiment

143 was carried out on ZTCR-2000 rock triaxial testing machine, which can automatically
144 collect the data of load and the axial radial deformation during the experiment until the
145 rock was broken. Based on the collected data, the rock stress-strain curve, and the
146 uniaxial compressive strength of the specimens can be obtained.

147 **2.2.3 Microstructural analysis**

148 After being subjected to their designated numbers of D-W cycles, specimens were
149 cut into small pieces with an approximate size of 5 mm × 5 mm × 5 mm, and the surface
150 of tested specimens was cleaned using a hairbrush. Then, immersed in liquid nitrogen
151 and freeze-dried in the Alpha 1-4 LDplus Freeze Dryer for 24 h. In order to improve
152 the electrical conductivity, the specimens were vacuum metalized before the
153 examination. Finally, morphology observation was carried out using a JSM-6490LV
154 scanning electron microscope (SEM).

155 All the experiments were performed at an ambient temperature of $25 \pm 0.1^\circ\text{C}$.

156 **3 Results and discussion**

157 **3.1 Stress-strain characteristics**

158 In order to investigate the effect of D-W cycles on the deformation properties of
159 red-bed rock, the stress-strain curves of specimens subjected to various D-W cycles are
160 plotted in Fig. 2.

161

162 Generally, the axial stress-axial strain curve presented four distinct stages,
163 including initial compaction stage, linear elastic deformation stage, pre-peak failure
164 stage and post-peak softening stage. (a) Initial compaction stage (OA): under an
165 external force, due to the closing of the micro-cracks inside the red-bed rock, the
166 specimens were gradually compacted, and the axial stress-axial strain curve showed a
167 concave shape. (b): Linear elastic deformation stage (AB): After the internal micro-
168 cracks were closed and compacted, the axial stress- strain curve showed a nearly linear
169 relationship in this stage. (c) Pre-peak failure stage (BC): The curve showed an apparent
170 concave shape, the micro-cracks developed and gathered until specimens reached the
171 peak strength and ultimately failed. (d) Post-peak softening stage (CD): After reaching

172 the peak strength, the stress decreased with the strain rapidly increasing, and a failure
173 surface was formed with the development of the micro-cracks.

174 Moreover, as shown in Fig. 2, the specimen that did not experience cycles of D-
175 W demonstrated the highest failure stress, whereas the corresponding failure strain was
176 the minimum. When the strength reaches the maximum value, the strength drops
177 sharply, and the failure mode was typical brittle failure. As the number of D-W cycles
178 raised, the peak strength decreased, while the corresponding strain increased
179 significantly, the stress-strain curves gradually showed more apparent strain-hardening.
180 Which indicated that with the increase of the number of D-W cycles, more micro-cracks
181 were generated, and accelerate the damage imparted to the rock.

182 It also can be seen from Fig. 2 that the stress-stain curves of all cases were
183 approximately linear in the elastic deformation stage, whose slopes significantly fell as
184 the number of D-W cycles extended. This indicating that specimens were deformed
185 more after exposure to D-W cycles. And the shear failure of specimens subjected to D-
186 W cycles could take place at a more considerable strain than that of specimens without
187 D-W cycles.

188 3.2 Evolution of mechanical properties

189 According to the uniaxial compression stress-strain curve, the peak strength of the
190 tested specimens subjected to different D-W cycles could be obtained via the equation
191 (1), the elastic modulus and Poisson's ratio can be obtained via the equations (2) and
192 (3).

$$193 \quad \sigma = \frac{P}{A} \quad (1)$$

$$194 \quad E = \frac{\sigma}{\varepsilon_y} \quad (2)$$

$$195 \quad \mu = \frac{\varepsilon_x}{\varepsilon_y} \quad (3)$$

196 In these equations, where σ is the axial stress (MPa), P is the maximum load (kN),
197 A is the cross-sectional area of the specimen (mm^2), E is the Elastic modulus (MPa), ε_y
198 is the axial strain (10^{-2}), μ —Poisson's ratio, and ε_x is the lateral strain (10^{-2}).

199 Fig. 3 showed the variations of the uniaxial compression strength (UCS) of the

200 tested specimens after experiencing different D-W cycles. The results indicated that
 201 strength was slackened significantly as the number of D-W cycles increased, from
 202 18.94 MPa in the initial state to 8.07 MPa after 10 D-W cycles. A high strength
 203 reduction rate appeared in the early stage of D-W, which become relative slower in the
 204 later stage. After experiencing 10 cycles of D-W, the reduction percentage of UCS from
 205 the original (unexposed to D-W) specimens increased to 57.34%. It also could be
 206 proved that an exponential equation could describe the relationship between UCS and
 207 number of drying-wetting cycles. The best-fit line is plotted in Fig. 3, and the best fitting
 208 equation can be obtained as follows:

$$209 \quad UCS(n)=UCS_0-3.99\ln(1+n)=18.94-3.99\ln(1+n), R_1^2=0.925 \quad (4)$$

210

211 In addition, the damage of a material such as red-bed rock due to D-W cycles can
 212 be represented by the changed of degradation degree D_d , which indicates the change in
 213 the strength of the material. The D_d has been widely used to express the change of rock
 214 mechanical parameters due to cyclic drying-wetting conditions, freezing-thawing
 215 conditions, and thermal treatment (Talukdar et al. 2018; Chen et al. 2019). D_d was
 216 calculated here using the following formula:

$$217 \quad D_{dUCS} = \left(1 - \frac{UCS_n}{UCS_0}\right) \times 100\% \quad (5)$$

218 Where D_{dUCS} is the total degradation degree of UCS of specimens subjected to n
 219 drying-wetting cycles, UCS_n is the uniaxial compressive strength of specimens
 220 subjected to n drying-wetting cycles, and UCS_0 is the initial uniaxial compressive
 221 strength of specimens.

222 The results of D_{dUCS} was shown in Fig. 3. It can be seen that, UCS decreased with
 223 the increase of the D-W cycles. The corresponding degradation degrees of the UCS for
 224 $n = 1, 3, 5, 7,$ and 10 were 16.58%, 21.53%, 30.95%, 46.55%, and 57.35%, respectively.
 225 The UCS degenerated significantly in the initial stage, whereas with further increases
 226 the number of D-W cycles, the UCS degenerated more gradually. It could be concluded
 227 that significant relationship existed between the uniaxial compression strength of the
 228 red-bed rock and the number of D-W cycles.

229 Based on the equation (2), the results of elastic modulus of specimens after
230 subjected to D-W cycles are presented in Fig. 4.

231

232 Fig. 4 showed that with D-W cycles increasing, the elastic modulus (E) decreased
233 while Poisson's ratio gradually raised. In Fig. 4(a), when the number of cycles increased
234 from 0 to 10, the elastic modulus reduced from 3.26 to 1.27 GPa by 60.85%. In Fig.
235 4(b), the maximal Poisson's ratio of the red-bed rock was 29.42%, which increased
236 rapidly in the first five D-W cycles. The Poisson's ratio extended to 28.62% after
237 experiencing 5 D-W cycles, then the increasing trend slowed down, and converged to
238 its maximum after undergoing the tenth D-W cycles.

239 In addition, the relationship between elastic modulus, Poisson's ratio and the
240 number of D-W cycles could be described by an equation, as showed in equations (6)
241 and (7). The R^2 of the linear function were greater than 0.969 (see Fig. 4), indicating
242 that the obtained function fits well with the experimental data. In order to further
243 analyze the softening effect of D-W cycling on specimens, the degradation degree of
244 the elastic modulus was obtained using the equation (8). As shown in Fig. 4(a), the
245 degradation degree of elastic modulus was 11.37%, 23.14%, 38.34%, 50.89%, and
246 60.85%, respectively, corresponding to n 1, 3, 5, 7, and 10. The degradation degree
247 curves of E showed a rapidly rising trend, then slowly developed, as n grew from 1 to
248 10.

$$249 \quad E(n)=E_0-0.75\ln(1+n)=3.26-0.075\ln(1+n), R_3^2=0.969 \quad (6)$$

$$250 \quad \mu(n)=0.29-0.08e^{-0.47n}, R_5^2=0.980 \quad (7)$$

$$251 \quad D_{dE}=\left(1-\frac{E_n}{E_0}\right)\times 100\% \quad (8)$$

252 Where D_{dE} is the total degradation degree of elastic modulus, E_n is the elastic
253 modulus of specimens subjected to n D-W cycles, and E_0 is the initial elastic modulus
254 of specimens.

255 3.3 Effects of D-W cycles on the microstructure characteristics

256 The Scanning Electron Microscopy (SEM) at 1000 times magnification was used
257 to monitor the evolution of microstructure of specimens after experiencing 1, 3, 5, 7

258 and 10th cycles of D-W. The results are illustrated in Fig. 5.

259

260 It can be seen from Fig. 5 (a) that the surface of the specimen in the initial state is
261 relatively smooth, the structure is complete and dense, with few surface microcracks.
262 However, after experiencing 1 D-W cycle, the hydraulic intrusion caused micro-crack
263 propagation and the surface of the tested specimen became rough, as shown in Fig. 5
264 (b). With the increase of cycles, the microstructure of the rock specimen surface was no
265 longer dense, and the particle shape gradually evolved from massive and flat to
266 disordered. After the third D-W cycle, the number of micro-pores gradually increased.
267 Additionally, loose particles appeared on the surface with pores unevenly distributed,
268 as shown in Fig. 5 (c). After undergoing the fifth D-W cycle, the effect of cycles on the
269 internal erosion of the specimen progressively deepened and micro-pores on the surface
270 continued to develop, and the flaky aggregations were appeared on the surface, as
271 shown in Fig. 5 (d). After undergoing the seventh D-W cycle, some clay mineral began
272 to be dissolved, and the flaky aggregations decreased, as shown in Fig. 5 (e). Finally,
273 as shown in Fig. 5 (f), when the number of D-W cycles reached up to 10, the original
274 small pores gradually penetrated and merged into a large one under the water-rock
275 interaction.

276 These results indicated that the D-W cycles weakened the connectivity of the
277 internal structure of the specimen to a certain extent, and aggravated the initiation and
278 development of fractures. Compared to the initial state, the microstructure of the
279 specimen, and the size, shape, distribution of pore on the specimen surface significantly
280 changed. After subjected to D-W cycles, the microstructure of the rock specimen
281 surface was no longer dense and uniform, the clay particles evidently lost, and the flaky
282 aggregations were appeared within the surface. As the number of D-W cycles increased,
283 the continuously dissolution of the flaky aggregations filled within the rock mass
284 structures, and formed a new structural plane. Furthermore, the pores expanded and
285 secondary pores developed. Finally, the small pores gradually penetrated and merged
286 into large pores, which may have led to abrupt instability in the rock's strength.

287 In order to further study the microstructure evolution of red-bed after D-W cycles,

288 image analysis software was used to qualitatively analyze the SEM analysis results. For
289 this purpose, Image-Pro Plus (IPP) software was choose and could be used to obtain
290 the porosity of the red-bed after subjected the D-W cycles [34,48]. Based on the results
291 of SEM images by IPP image analysis software, the percentage curve of cumulative
292 porosity with the increase of the number of cycles, and the graphical representation of
293 these functions was shown is plotted in Fig. 6. It was evident that the porosity gradually
294 increased with the number of D-W cycles increasing. In order to describe porosity
295 evolutions, a function was employed to fit the experimental data and the best fitting
296 equation was obtained as follows:

$$297 \quad P(n)=25.11-12.64e^{0.14n}, R_0^2=0.992 \quad (9)$$

298

299 The fitting result of porosity evolutions is illustrated in Fig. 6. As the D-W cycle
300 number increased, the porosity of specimen gradually grew. The porosity of the red-bed
301 rock extended to 18.88% after undergoing the third D-W cycles. Since then, the
302 increment trend accelerated, and finally slowly increased, to its maximum of 21.72%
303 at tenth D-W cycles. It can be concluded that, when the number and width of pore cracks
304 progressively increased, some micro-pores were gradually penetrated or merged into
305 the larger pores, and new micro-fractures were generated under the action of D-W
306 cycles.

307 **3.4 Relationship between mechanical and microstructure characteristics**

308 Additionally, Salvoni et al. (2016) and Saksala et al. (2016) suggested that the
309 damage to the microstructure of the rock was the fundamental reason for the weakening
310 of the rock's mechanical properties. Therefore, the evolutionary relationship between
311 microstructure and mechanical properties should also be critical factors. The
312 evolutionary relationships between the uniaxial compressive strength, elastic modulus
313 and porosity of the rock are shown in Fig. 7.

314

315 Results in Fig. 7 showed that, these two evolutionary relationships were all linear.
316 As the porosity gradually increased, the uniaxial compressive strength and elastic
317 modulus gradually fell, which indicated that the evolution of the mechanical properties

318 was closely related to the change in microstructure. In order to describe the evolutionary
319 relationship between the porosity and the uniaxial compressive strength and elastic
320 modulus, a function was employed to fit the experimental data and the best fitting
321 equation was obtained as follows:

$$322 \quad UCS(n)=31.90-1.06n, R_7^2=0.946 \quad (10)$$

$$323 \quad E(n)=5.90-0.21n, R_8^2=0.980 \quad (11)$$

324 According to the above analysis, the degradation of mechanical properties was
325 caused by damage to the rock internal structure. During the process of D-W cycles, the
326 water weakened the interaction between mineral particles and induced the change in
327 internal pore size, porosity, and other microstructural characteristics. The porosity
328 raised with the increased number of cycle, and the internal structure of rock was
329 damaged, resulting in the degradation of mechanical properties.

330 **4 Rock Energy Evolution of D-W cycles**

331 **4.1 Energy composition of the rock**

332 It is known from the first law of thermodynamics that the process of rock failure
333 is essentially an event of energy transfer and conversion, and the evolution of energy is
334 the internal cause of macroscopic deformation. Based on the energy theory of rock
335 proposed by Xie et al. (2009), the procedure of energy conversion of the specimen
336 during uniaxial loading is shown in Fig. 8. As given by Fig. 8(a), the energy of rock
337 under the external load consisted of energy inputting, energy storage and dissipation,
338 and energy releasing. During uniaxial loading, the rock constantly absorbed energy
339 from mechanical energy, i.e., input energy. The input energy was stored in the specimen
340 in the form of elastic energy, and some amount of elastic energy was converted into
341 dissipated energy in the initial compressive stage. During the pre-peak failure stage, the
342 dissipated energy density of the rock gradually increased. When the load reached peak
343 stress, the dissipated energy significantly extended, the elastic energy stored in the
344 specimen was gradually released in the form of kinetic energy and fracture energy, and
345 the specimen began to deform and eventually destroyed (Li et al. 2020; Xiao et al. 2019;
346 Gong et al. 2019). The relationship between elastic energy density (U^e) and dissipated

347 energy density (U^d) is illustrated in Fig. 8(b).

348

349 As mentioned previously, the deformation and failure of the specimen were caused
350 by a combination of energy dissipation and energy release. Energy dissipation could
351 produce irreversible deformation and deteriorate the microstructure and the strength
352 (Xie et al. 2004). Based on the first law of thermodynamics, the inputted energy density
353 could be expressed as:

$$354 \quad U = U^d + U^e \quad (12)$$

355 Where U^d is the density of dissipated energy commonly consumed for internal
356 damage and crack propagation, U^e is the released elastic energy density. Moreover, the
357 energy equation during the uniaxial compressive test could be expressed as:

$$358 \quad U = \int_0^\varepsilon \sigma d\varepsilon \quad (13)$$

$$359 \quad U^e = \frac{1}{2} \sigma \varepsilon^e = \frac{\sigma^2}{2E_e} = \frac{\sigma^2}{2E} \quad (14)$$

$$360 \quad U^d = U - U^e = \int_0^\varepsilon \sigma d\varepsilon - \frac{\sigma^2}{2E} \quad (15)$$

361 Where σ is the axial stress, ε is the axial strain, and E_e is the unloading elastic
362 modulus. Since there is no unloading process in the uniaxial compression test, the initial
363 elastic modulus E is used instead of E_e (Li et al. 2014; Zhou et al. 2020).

364 **4.2 Energy evolution of red-bed rock under D-W cycles**

365 By analyzing energy evolution in the uniaxial compressive test under the D-W
366 cycles and using the calculation method mentioned in Sect. 4.1, the input energy density,
367 dissipated energy density and elastic energy density of specimens at different cycles
368 could be obtained (see Fig. 9).

369

370 As given by Fig. 9, the three energy densities all presented nonlinear growth with
371 the increase of strain. The inputted energy density grew fastest, followed by the elastic
372 energy density and then dissipated energy density. The curve of the elastic energy
373 density was closer to the total inputted energy density, which indicated that a large
374 amount of the external input energy was transformed into the elastic energy. In contrast,
375 few elastic energy was converted into dissipated energy and accumulated in the
376 specimen. Besides, it can be seen from Fig. 9 that the total inputted energy density and

377 elastic energy density of specimens in the initial state were the highest. With D-W
378 cycles increasing, the energy densities gradually decreased. The result proved that a
379 high compressive strength usually represented a strong energy storage capacity, and the
380 uniaxial compressive strength declined significantly under cyclic D-W. The energy
381 storage capacity of the specimen gradually weakened, and these characteristics were
382 also confirmed by previous observations shown in Fig. 2.

383 To further describe the relationships between the inputted energy density (u_n),
384 elastic energy density (u_n^e) of specimens and the D-W cycles, a linear function was used
385 to fit the variation laws of energy density at peak strength. The linear relationships
386 between u_n , u_n^e and the number of D-W cycles (n) are shown in Fig. 10. The
387 coefficient correlation R^2 of the fitting functions in Fig. 10 were all greater than 0.923,
388 which mean the linear function could well describe the relationship between u_n , u_n^e
389 and the number of D-W cycles (n). It can be seen from Fig. 10 (a) that as the number
390 of D-W cycles increased, the u_n and u_n^e significantly decreased. In the initial state,
391 the value of u_n and u_n^e were 66.88 KJ/m^3 and 54.28 KJ/m^3 , respectively. After
392 experiencing 10 D-W cycles, the value was reduced to 34.60 KJ/m^3 and 24.46 KJ/m^3 ,
393 which indicated that with the increasing number of D-W cycles, the limit of the
394 specimen energy accumulation declined. The reduction in specimen energy
395 accumulation limit represents when the energy generated by the external load
396 accumulates inside the specimens, it may easily exceed the energy accumulation limit,
397 which accelerates the energy release process. Furthermore, as the number of D-W
398 cycles increased, the dissipated energy density gradually fell (shown in Fig. 10 (b)),
399 which indicated that the D-W cycles accelerated the internal micro-cracks and
400 development and expansion of micro-pores, and the specimens subjected to D-W cycles
401 were more prone to failure. Similar results were also reflected by the microstructure
402 characteristics shown in Fig. 5. In addition, the dissipated energy required for specimen
403 failure gradually decreased.

404

405 **4.3 Energy mechanism of rock failure**

406 It can be seen from Fig. 9, the dissipated energy density remained unchanged in

407 the initial compressive stage, and was mainly used for development and expansion of
408 internal fracture. With the increase of strain, the UCS and dissipated energy density
409 gradually increased. Once the stress reached the peak strength, the elastic energy
410 density was rapidly released, the dissipated energy density significantly raised, and then
411 the specimen failed. Furthermore, as given by Fig. 11, with the increase of D-W cycles,
412 the porosity gradually grew, and UCS gradually decreased, accompanied by the
413 decreasing dissipation energy of specimens. This represented that during D-W cycles,
414 specimens continuously absorbed the external energy, resulting in the deterioration of
415 the microstructure and reduction the UCS. As some part of dissipated energy was
416 consumed by fracture propagation and evolution of specimen, the dissipated energy
417 density of the specimen gradually reduced with the increase of D-W cycles.

418

419 Fig. 12 showed the evolving relationship between UCS and porosity and dissipated
420 energy. As given by the Fig. 12, as the dissipated energy gradually decreased, the
421 porosity gradually increased, while UCS gradually decreased. The result illustrated that
422 the dissipated energy density was closely related to the damage of specimens, and
423 damage caused by the rock deformation process could be regarded as continuous energy
424 dissipation.

425

426 As mentioned above, the energy dissipation is the essential property of rock
427 deformation and destruction, which reflects the process of continuous development of
428 micro-cracks inside the rock and weakening process of strength. When the loading
429 conditions are consistent, the damage evolution of the loading process depends on the
430 initial damage state inside the rock. The energy dissipation ratio of the failure site
431 reflects not only the deformation process of the rock before the failure, but also the
432 failure degree of the rock under different D-W cycles. Therefore, the greater the
433 dissipation energy ratio of destruction, the more serious the initial failure degree of rock
434 was. The relationship between the energy distribution ratio of the rock failure and the
435 D-W cycle is shown in Fig. 13. With the increase of D-W cycle, the dissipation energy
436 ratio increased and the elastic energy ratio declined, which indicated that a larger

437 number of D-W cycles led to more severe damage inside the rock and a lower energy
438 accumulation efficiency. After that, the UCS of the specimen gradually fell.

439

440 **5 Conclusions**

441 In this study, a series of tests were performed on the red-bed rock to investigate
442 the deterioration characteristics of the specimen subjected to D-W cycles. Meanwhile,
443 energy evolution characteristic and damage mechanisms of specimens were analyzed.

444 The main conclusions are as follows:

445 (1) The stress-strain curve of specimens exhibit four distinct stages in the
446 compression failure process, including initial compaction stage, elastic stage, pre-peak
447 failure stage and post-peak softening stage. With the increase of the D-W cycles, the
448 stress-strain curves of specimen gradually changed from softening to hardening.

449 (2) UCS and elastic modulus decreased obviously with the number of D-W cycles
450 increasing, while the Poisson's ratio gradually increased. A relatively higher degradation
451 rate in strength and elastic modulus appeared at the end of the 3rd cycle of D-W.

452 (3) With the number of cycles increasing, the microstructure of the rock specimen
453 surface was no longer dense and uniform. By IPP image analysis software, we found
454 that the porosity of tested specimen subjected to D-W cycles increased significantly. As
455 the porosity increased, UCS and elastic modulus gradually fell down.

456 (4) The input energy density and dissipated energy density gradually extended
457 with the increasing strain; the elastic energy density increased first and then declined.
458 As the number of D-W cycles increased, the energy density of specimens all presented
459 a linear downward trend.

460 (5) From the perspective of the theory of energy dissipation, the dissipated energy
461 is the essential attribute of rock failure, and closely related to the strength of the
462 specimen. With the increasing of D-W cycles, the specimens were more prone to
463 destruction, and the dissipated energy required for specimen failure gradually decreased.
464 After that, the UCS of the specimen gradually fell.

465 **Declaration of competing interest**

466 All authors declare that there are no possible conflicts of interest.

467 **Acknowledgement**

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656

657 **List of Figures and Tables**

658 Fig. 1 XRD results of tested rock

659 Fig. 2 Stress-strain curves of specimens subjected to different drying-wetting cycles

660 Fig. 3 Variation of UCS of specimens after subjecting to D-W cycles

661 Fig. 4 Variation of mechanical parameters of specimens after subjecting to D-W
662 cycles: (a) elastic modulus, (b) Poisson's ratio

663 Fig. 5 Microstructure characteristics of the tested specimens subjected to: (a) Initial;
664 (b) 1 cycles; (c) 3 cycles; (d) 5 cycles; (e) 7 cycles; (f) 10 cycles of D-W

665 Fig. 6 Porosity evolutions after different number of D-W cycles

666 Fig. 7 Evolutionary relationship between the uniaxial compressive strength, elastic
667 modulus and porosity

668 Fig. 8 Forms of the energy of rock under uniaxial loading

669 Fig. 9 Energy evolution characteristic of the tested specimens subjected to: (a) Initial;
670 (b) 1 cycles; (c) 3 cycles; (d) 5 cycles; (e) 7 cycles; (f) 10 cycles of D-W

671 Fig. 10 Evolution of u_n , u_n^e , and u_n^d with the number of D-W cycles (n)

672 Fig. 11 Evolution of u_n^d , UCS and porosity with the number of D-W cycles (n)

673 Fig. 12 Relationship between dissipated energy and UCS and porosity

674 Fig. 13 Relationship between the dissipation energy ratio and the number of D-W
675 cycles

676

677 Table 1 Chemical content of tested specimens

678

679

680

681 Table 1 Chemical content of tested specimens

Silicon	Calcium	Aluminium	Iron	Potassium	Magnesium	Sodium	other
57.82%	9.26%	16.98%	5.21%	4.47%	3.65%	0.53%	2.38%

682

683

684

Figures

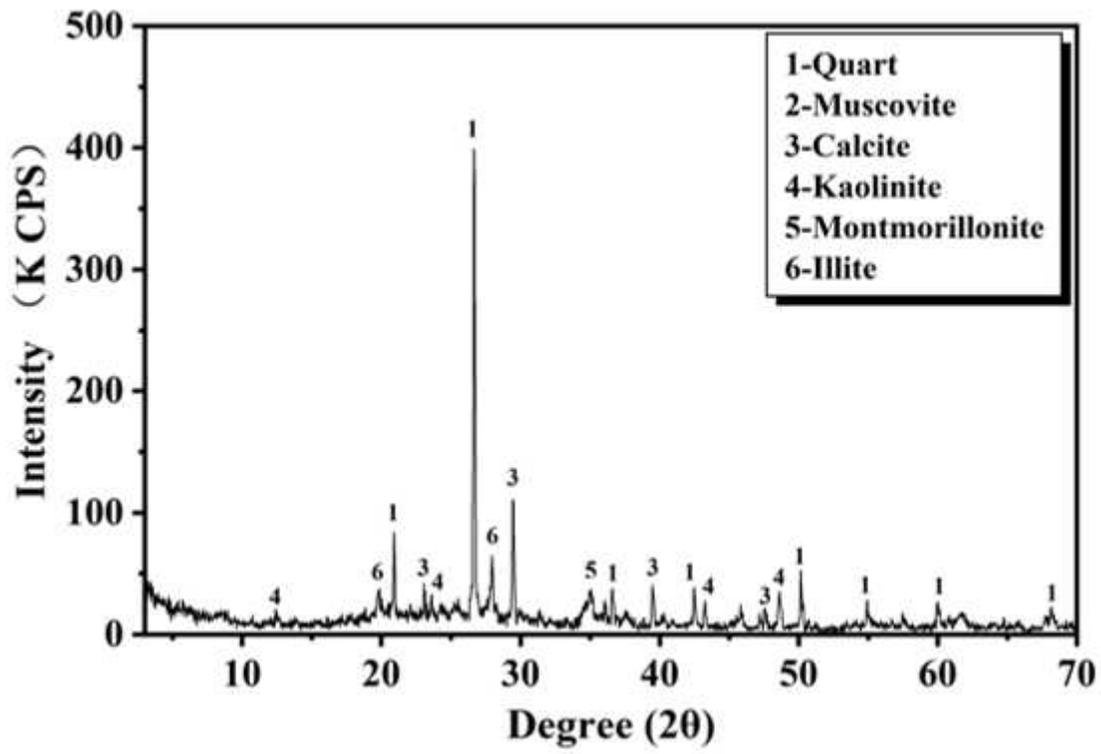


Figure 1

XRD results of tested rock

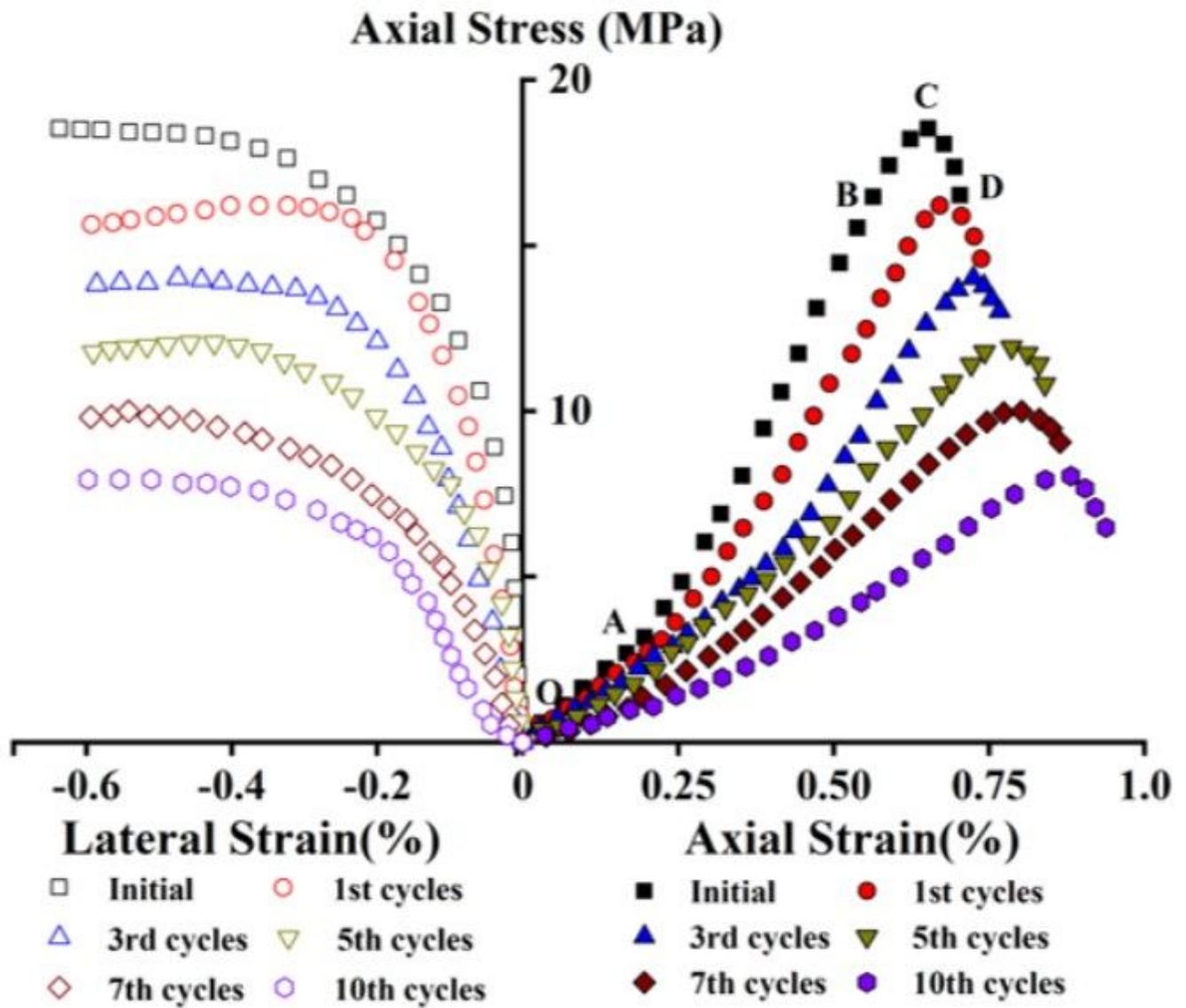


Figure 2

Stress-strain curves of specimens subjected to different drying-wetting cycles

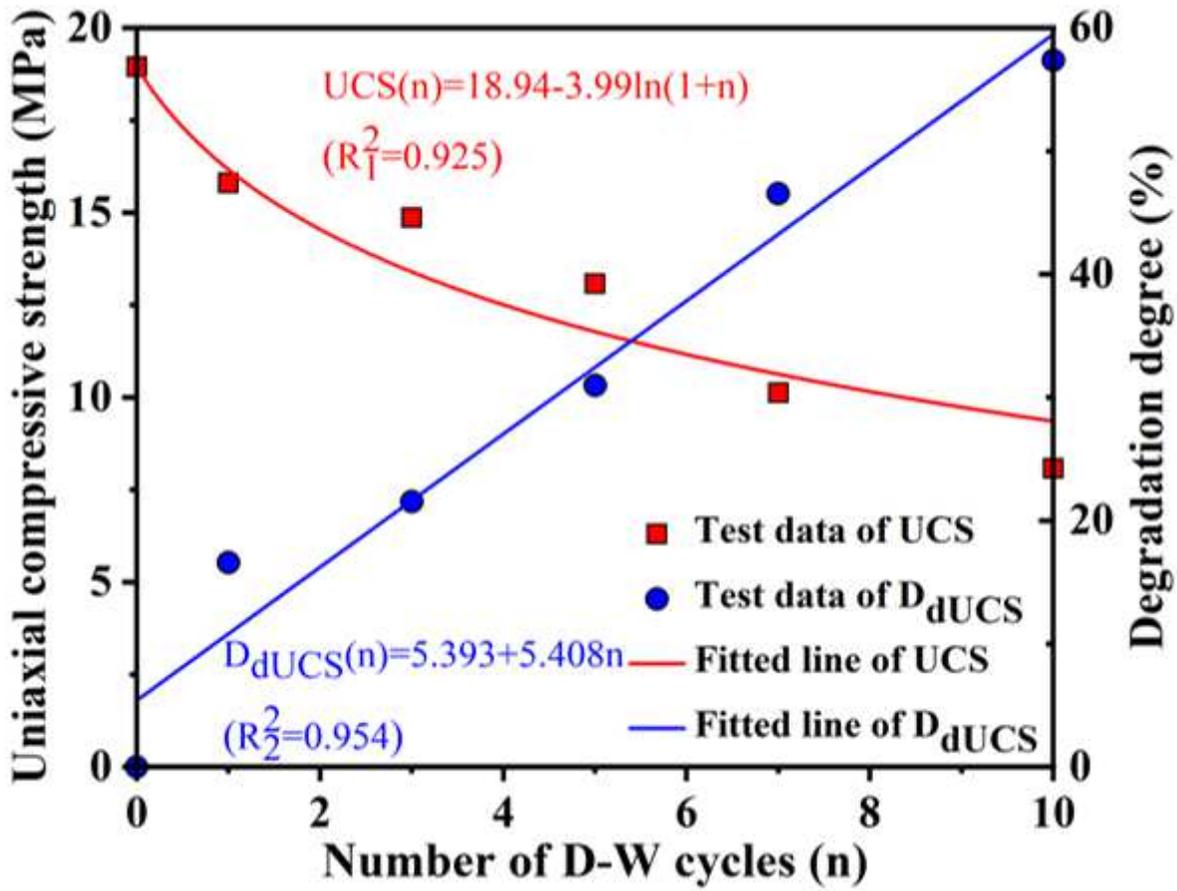


Figure 3

Variation of UCS of specimens after subjecting to D-W cycles

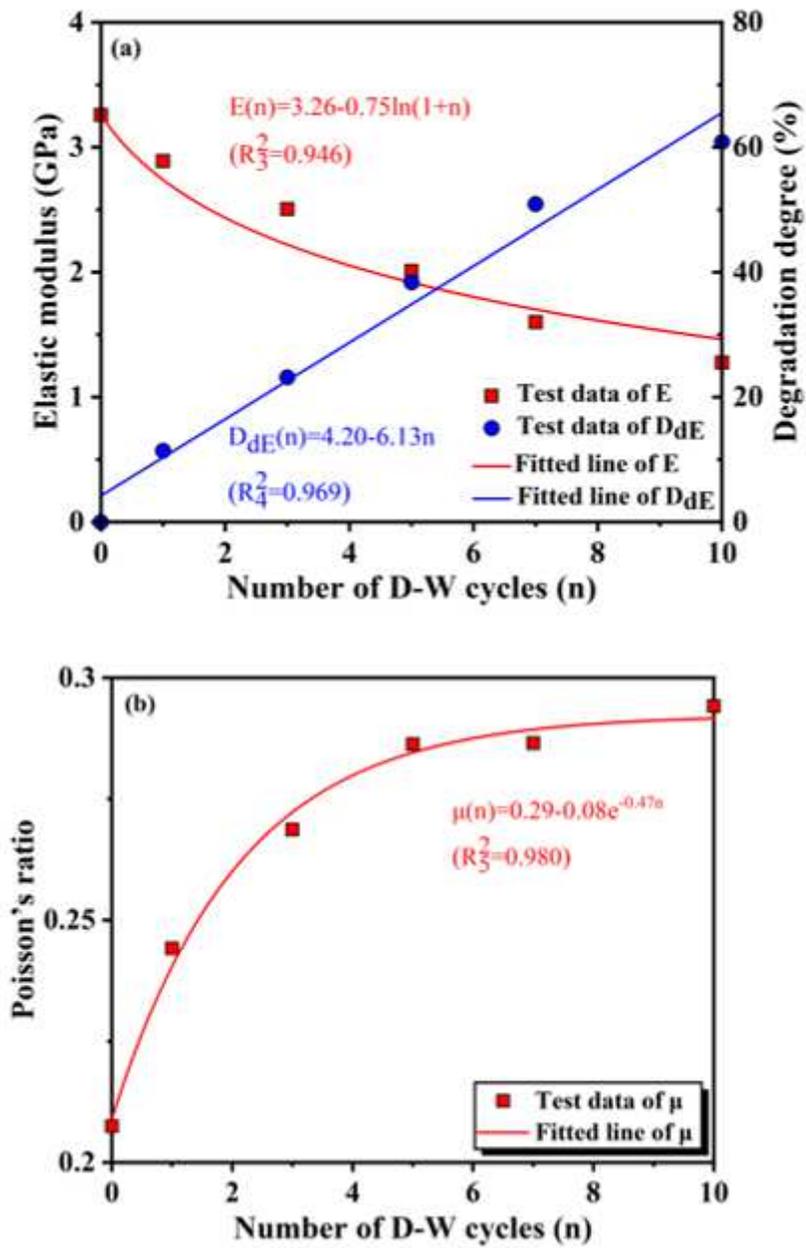


Figure 4

Variation of mechanical parameters of specimens after subjecting to D-W cycles: (a) elastic modulus, (b) Poisson's ratio

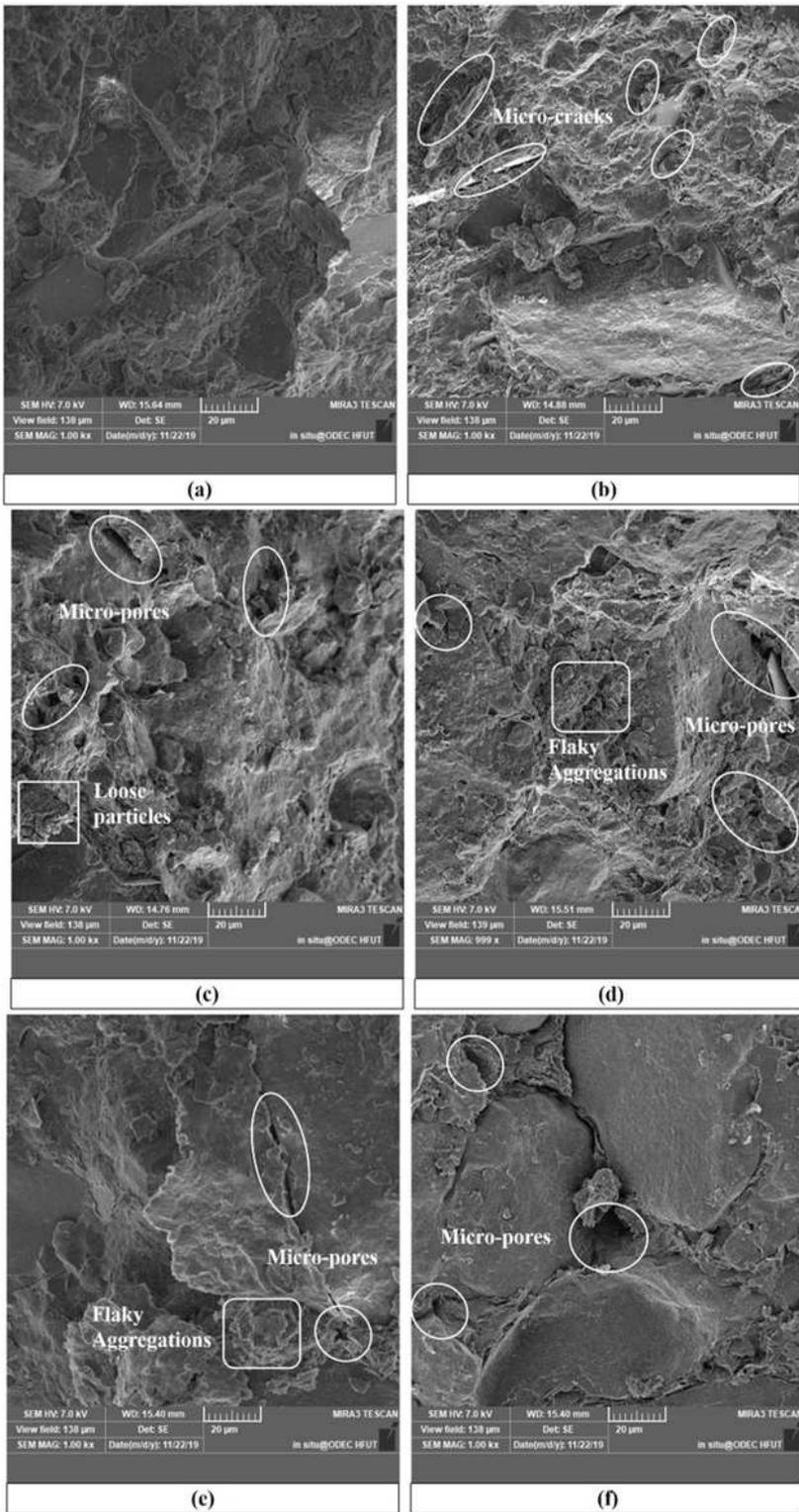


Figure 5

Microstructure characteristics of the tested specimens subjected to: (a) Initial; (b) 1 cycles; (c) 3 cycles; (d) 5 cycles; (e) 7 cycles; (f) 10 cycles of D-W

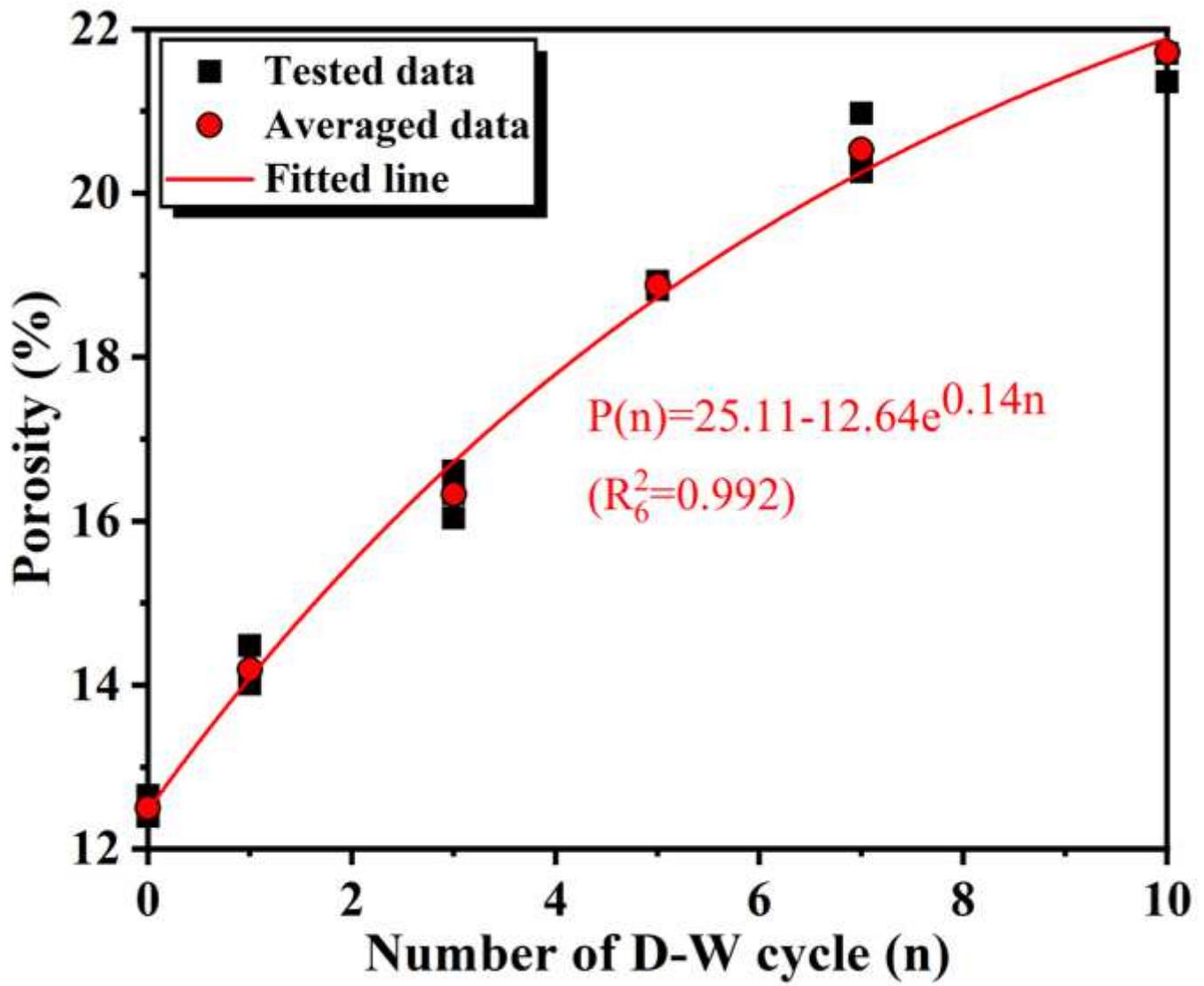


Figure 6

Porosity evolutions after different number of D-W cycles

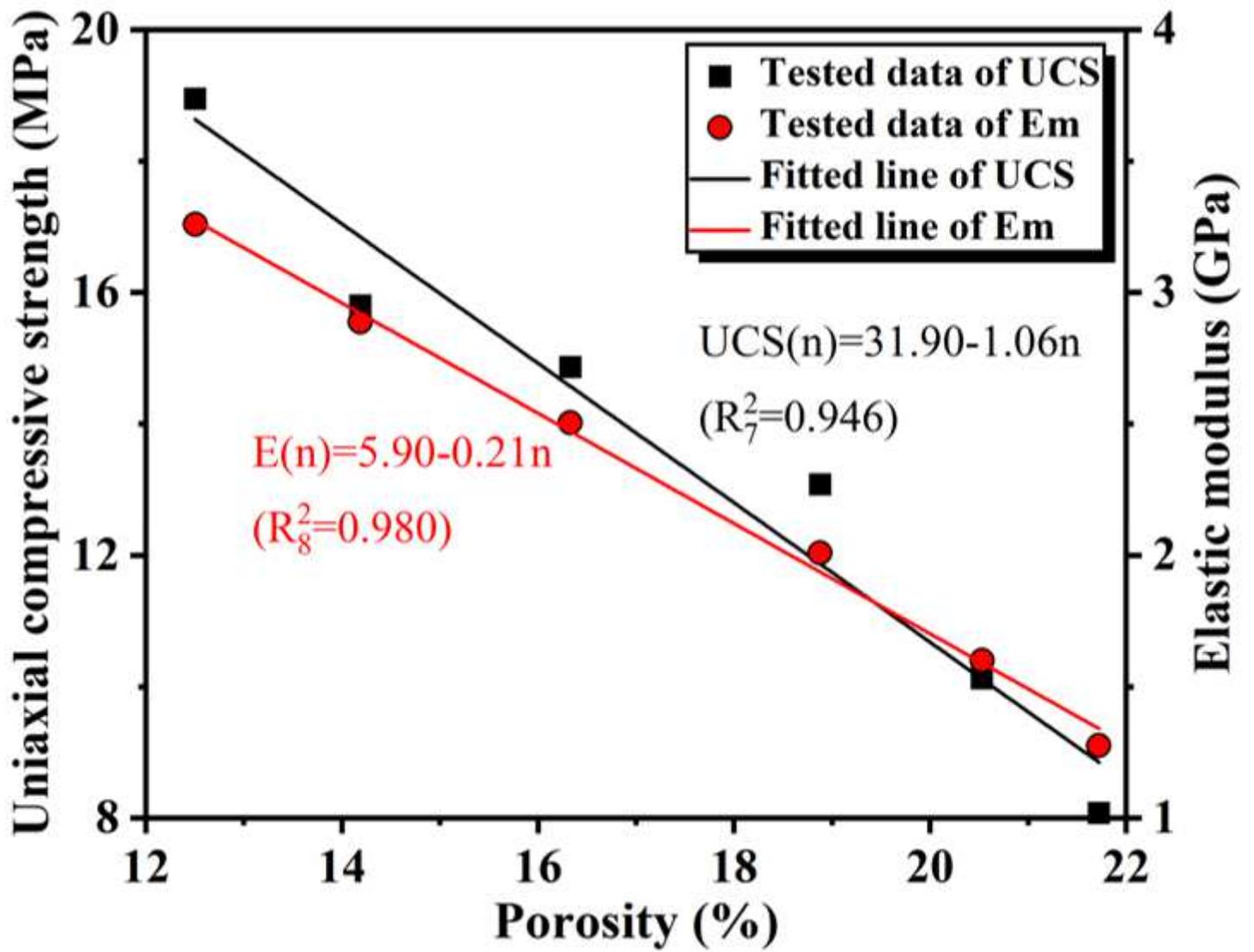


Figure 7

Evolutionary relationship between the uniaxial compressive strength, elastic modulus and porosity

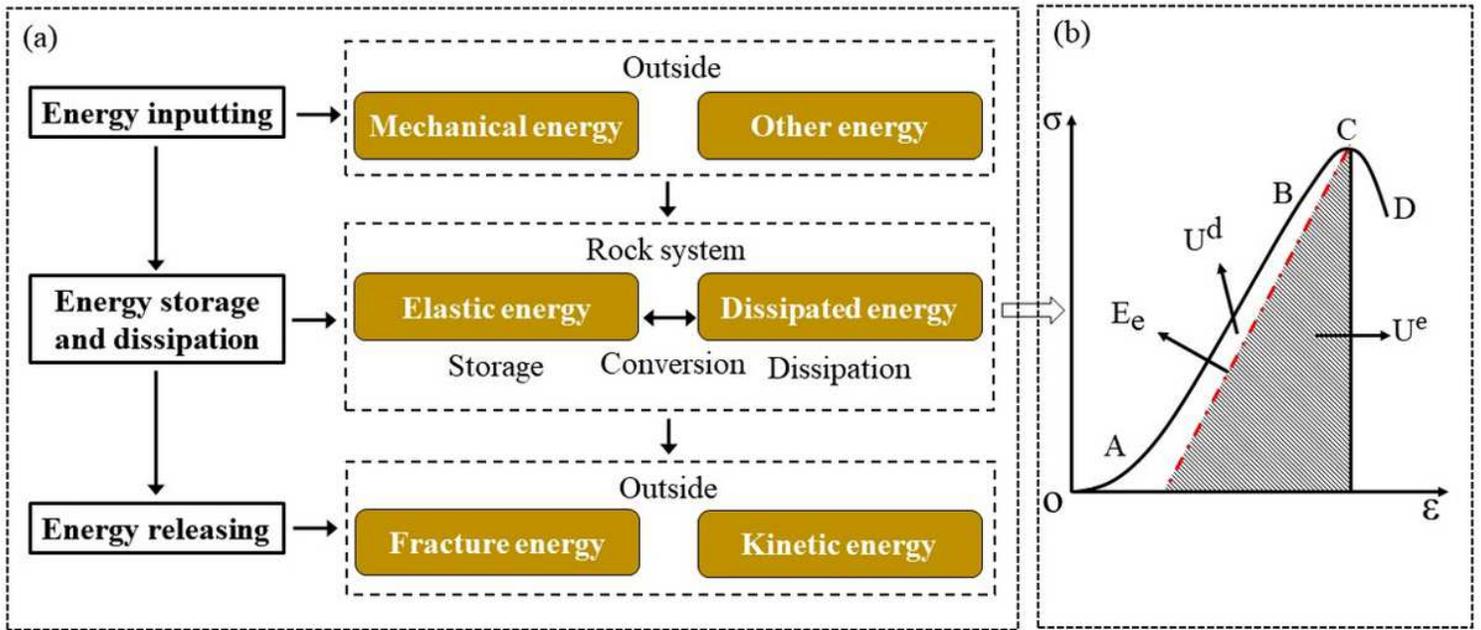


Figure 8

Forms of the energy of rock under uniaxial loading

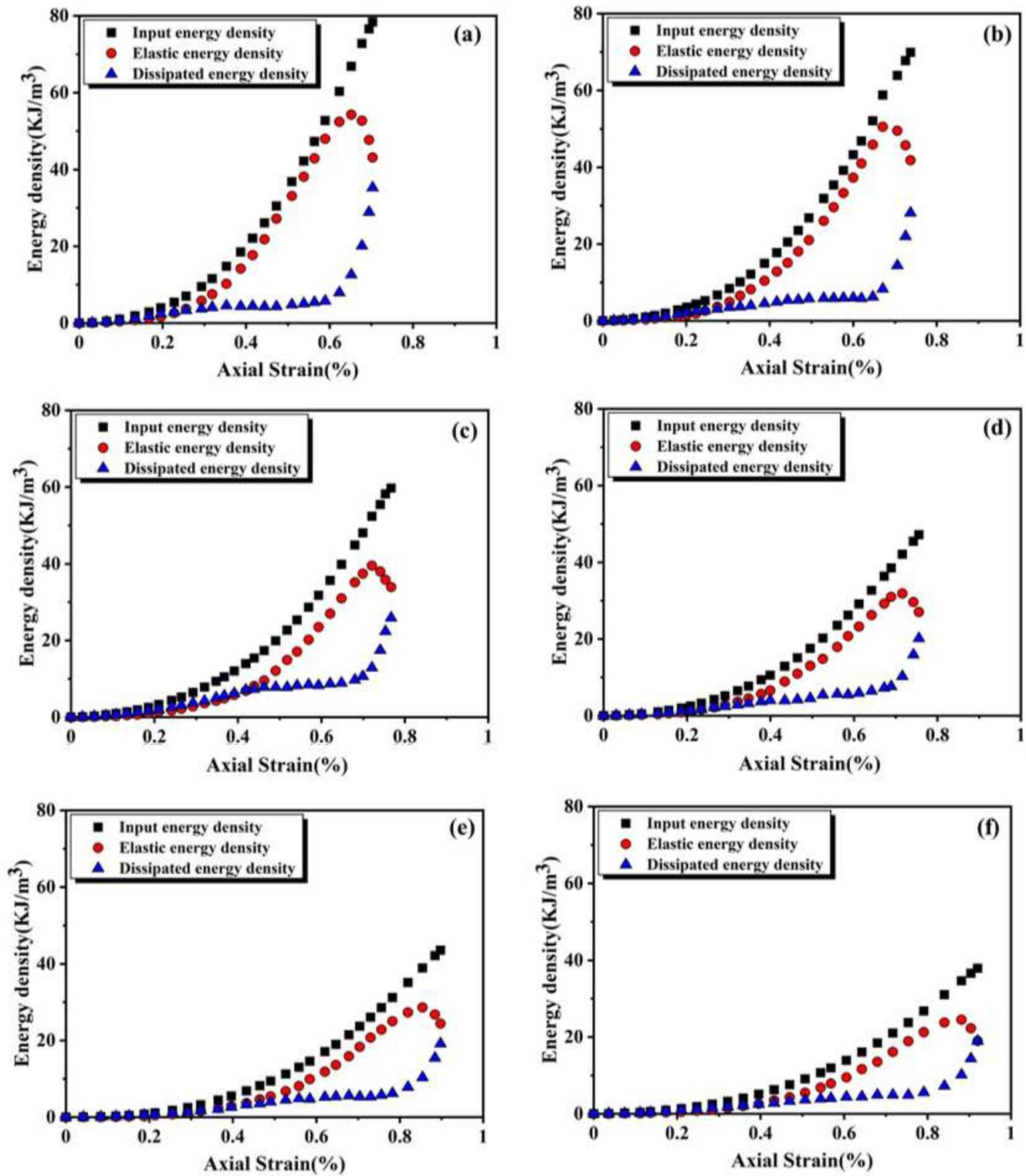


Figure 9

Energy evolution characteristic of the tested specimens subjected to: (a) Initial; (b) 1 cycles; (c) 3 cycles; (d) 5 cycles; (e) 7 cycles; (f) 10 cycles of D-W

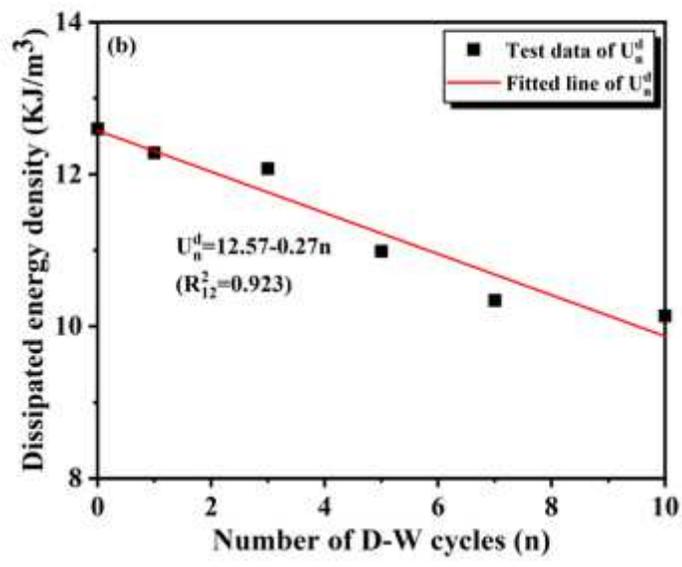
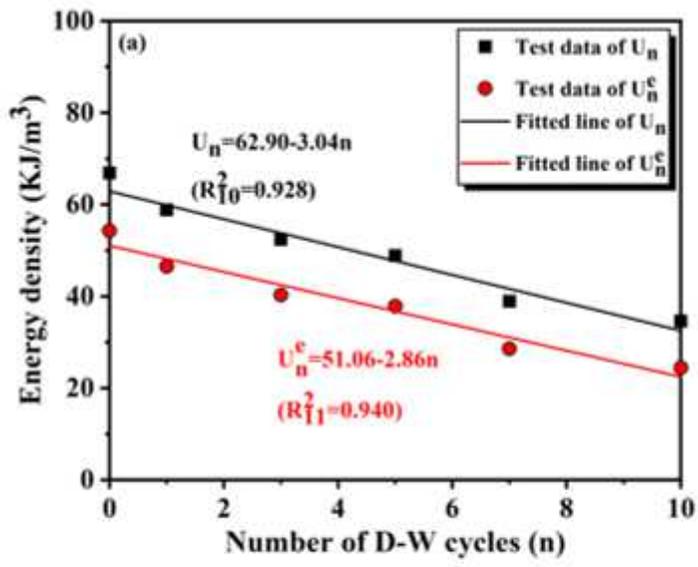


Figure 10

Please see the Manuscript PDF file for the complete figure caption.

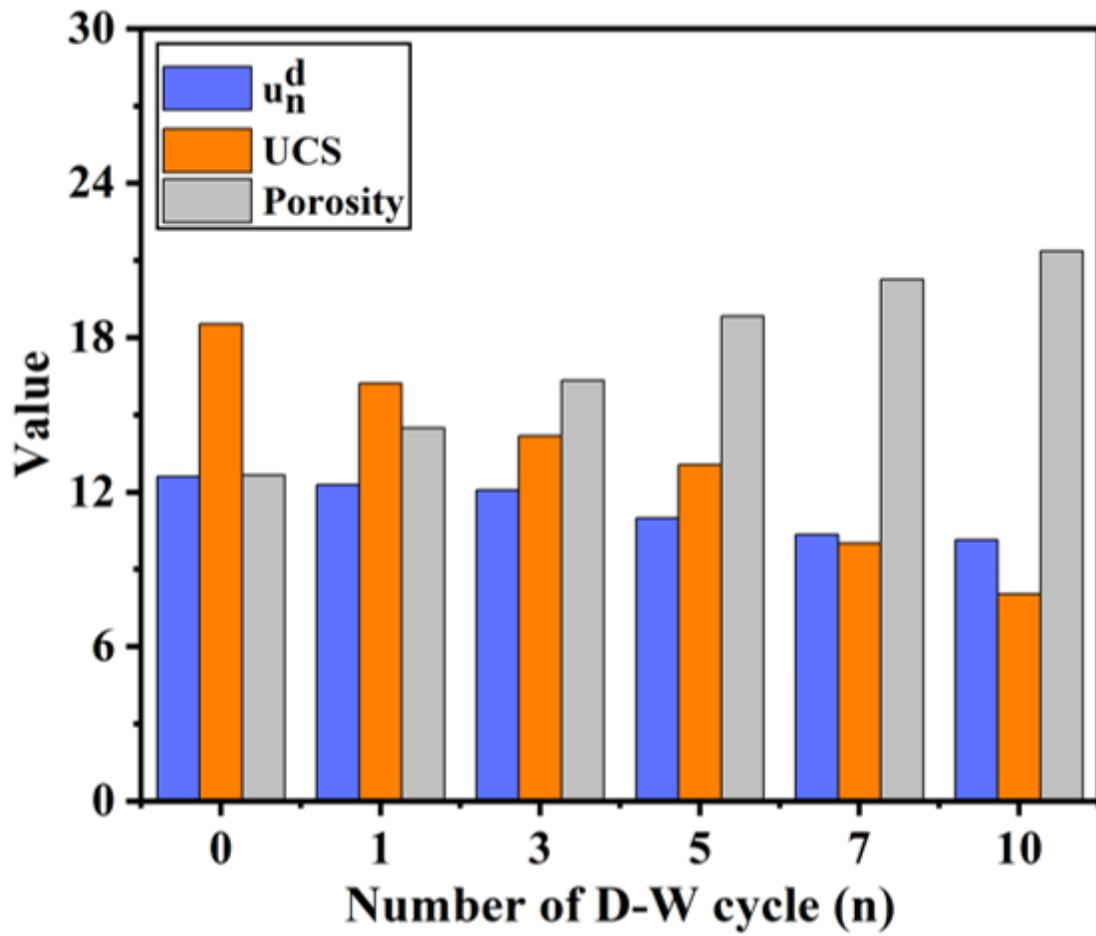


Figure 11

Please see the Manuscript PDF file for the complete figure caption.

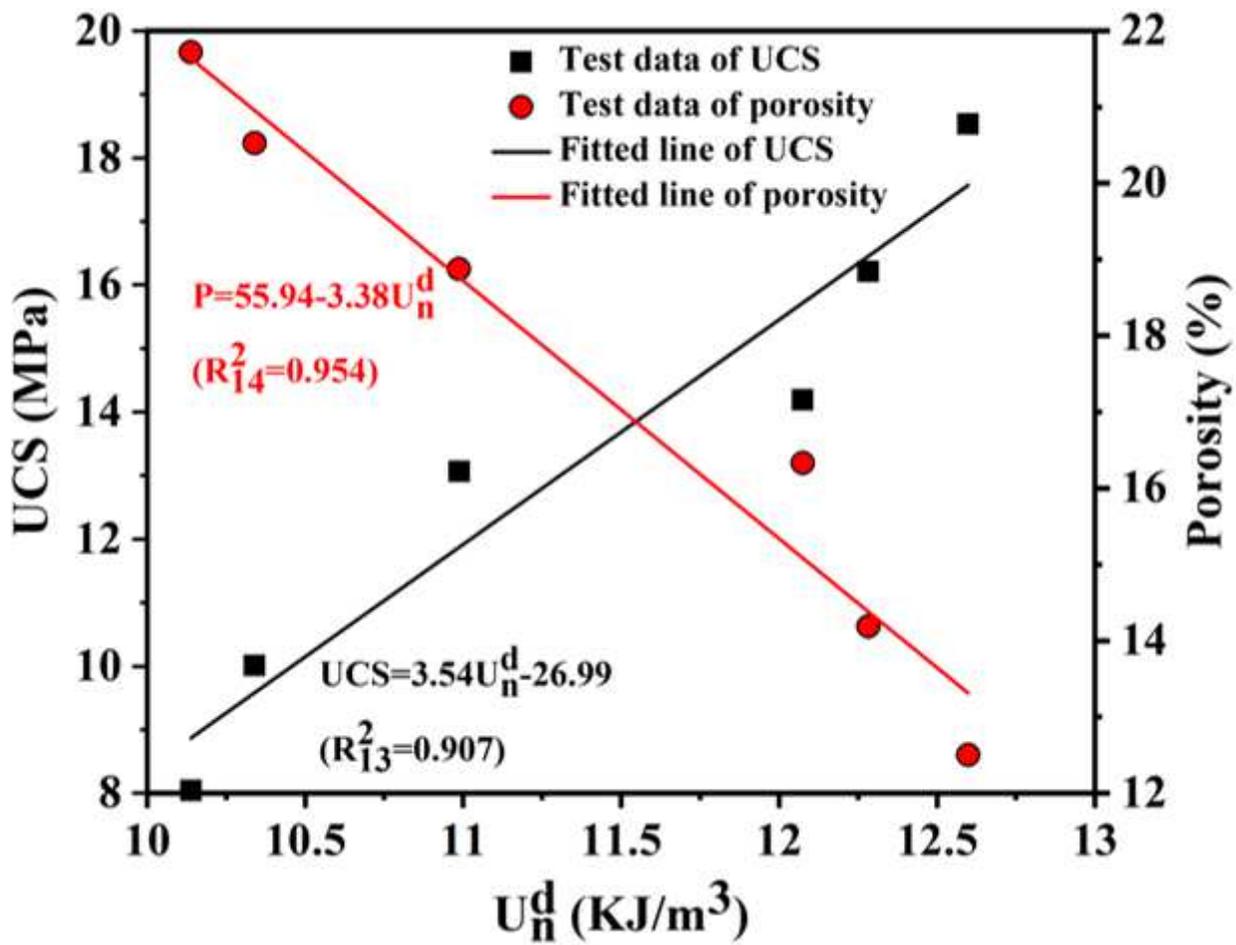


Figure 12

Relationship between dissipated energy and UCS and porosity

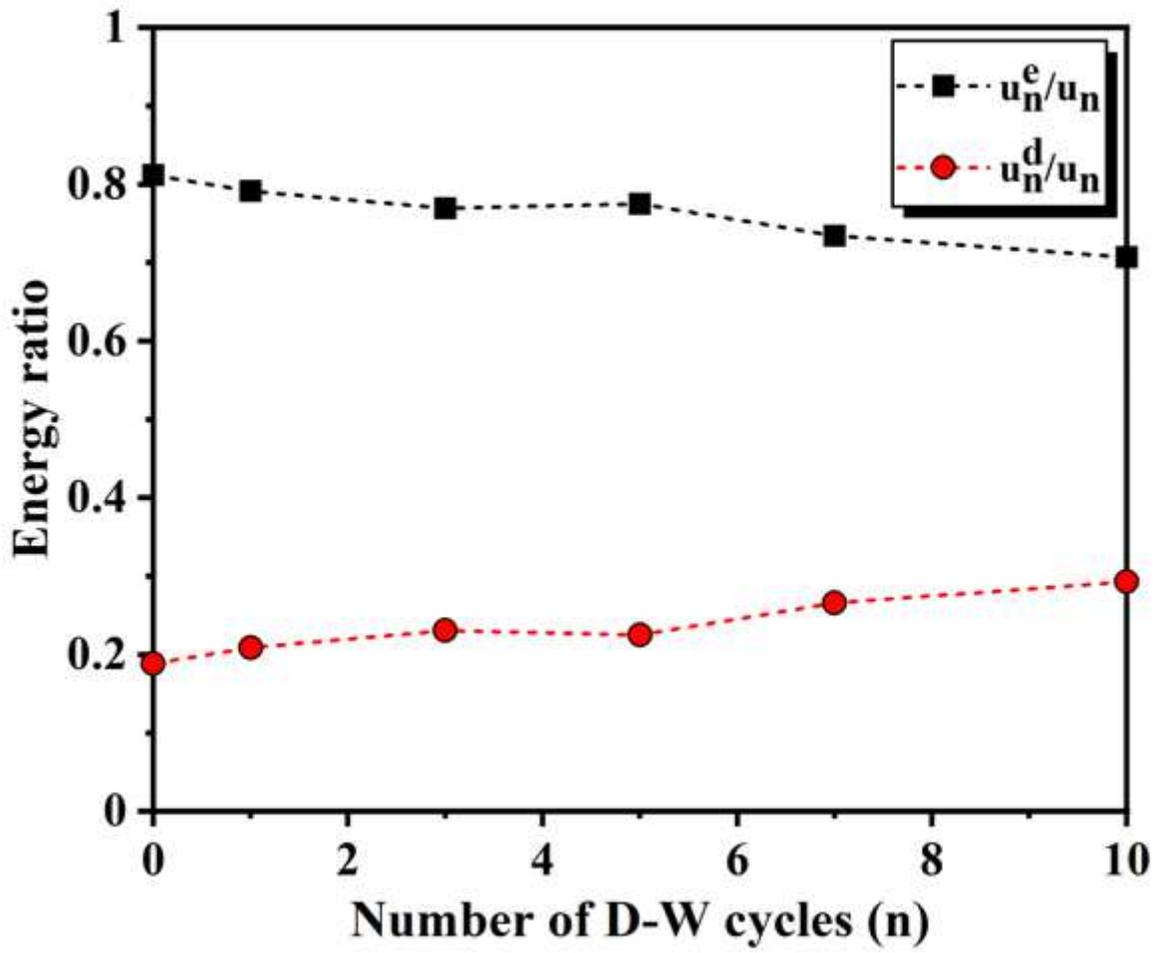


Figure 13

Relationship between the dissipation energy ratio and the number of D-W cycles