

Comparisons of Simulation Results between Passive and Active FSI Models for Left
Ventricle in Hypertrophic Obstructive Cardiomyopathy

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Abstract

Background. Patient-specific active Fluid-Structure Interactions (FSI) model is a useful approach to non-invasively investigate the hemodynamics in the heart. However, it takes a lot of effort to obtain the proper external force boundary conditions for active models, which heavily restrained the time-sensitive clinical applications for active computational models.

Methods. The simulation results of 12 passive FSI models based on 6 patients' pre-operative and post-operative CT images were compared with corresponding active models to investigate the differences in hemodynamics and cardiac mechanics between these models.

Results. It was found that there was no significant difference in shear stress and pressure difference on mitral valve leaflet (MVL) between passive and active models, but a significant difference was found in wall stress on the inner boundary of left ventricle (Endocardium). It was also found that flow shear stress and pressure difference on the MVL were significantly decreased after successful surgery in both active and passive models. **Conclusion.** Our results suggested that the passive models may provide good approximated hemodynamic results at 5% RR interval, which is crucial for analyzing the initiation of systolic anterior motion (SAM). Comparing to active models, the passive models decrease the complexity of the modeling construction and the difficulty of convergence significantly. Therefore, with proper boundary conditions and sufficient clinical data, the passive computational model may be a good substitution model for the active model to perform hemodynamic analysis for left ventricle to investigate the mechanisms of the initiation of SAM.

Keywords: fluid-structure interactions, left ventricle, mitral valve, systolic anterior motion, passive computational model, active computational model

Background

Hypertrophic obstructive cardiomyopathy (HOCM) is characterized by hypertrophic myocardium and obstruction in the left ventricular outflow. Patients with this disease might suffer from severe heart failure and even sudden death. Septal myectomy is the golden standard treatment for the symptomatic patients[1, 2]. However, this is a very challenging procedure as the extent of myectomy is very difficult to be determined. This is because that inadequate excision cannot abolish left ventricular outflow obstruction, while the excessive myectomy might produce ventricular septal defect or irregular heart rhythms, such as complete heart block. Therefore, a non-invasive method is significantly necessary for helping surgeons make the optimal design of the surgery.

Several heart models, such as structural finite element models, computational fluid dynamics models, and fluid-structure interactions (FSI) models, have been proposed in the literature to assess the hemodynamics and myocardial functions in heart and become increasingly important in cardiovascular research [3-6]. Cardiac tissue is generally modeled as a hyper-elastic material[7]. Heart models can be divided into passive and active models to model the myocardial behavior [8-15]. The incompressible elastic solids are generally used to model soft tissue in passive models. The exponential strain energy functions have been widely used to describe the mechanical behavior of passive myocardium [8, 11]. Electrical activation is one way to trigger the cardiac active contraction[9, 10, 12-14]. While this method offers much more insight into the physiological processes, it also requires patient-specific identification of all the parameters inside the electromechanical models. In our case, the left ventricle (LV) is a

severely pathological LV which may differ considerably from the ones reported in literature for healthy patients. Applying time-dependent material properties in a solid model is also a method to model active contraction [15, 16]. However, patient-specific time-dependent material properties were not able to be measured at the in vivo state due to current technological limitations. In our study, we applied an external force to implement the LV myocardial active contraction. The 3D active FSI models were applied to investigate the biomechanics of the myocardium and the intraventricular flow in LV [17]. However, it takes a lot of effort to obtain the proper external force boundary conditions to match the numerical simulation results with clinical data, which prevents the active computational models from being used in time-sensitive clinical applications. Comparing to the active model, the pressure boundary conditions of passive models were easier to obtain, which can save modeling time. What's more, it's easier for passive model to obtain the convergent solutions. In this study, we constructed 12 patient-specific computed-tomography (CT) based passive FSI models for the LV of patients with HOCM, and we compared the numerical simulation results between these passive models and their corresponding active FSI models to investigate the differences.

Results

Based on 6 patients' pre-operative and post-operative LV CT Images, 12 patient-specific active and corresponding passive FSI models were constructed in this study. Figure 1 presents the numerical simulation results of Stress- P_1 on the cutting surface, 3D velocity vector flow map, pressure difference, and shear stress distribution on the MVL at Pre-SAM time point obtained from pre-operative active and passive FSI models (Patient 1). Fig 1 shows that the maximum stress value on the cutting surface obtained from

passive models were 41.8% higher than that from active models; while the differences between fluid results were not significant. Details of the model comparisons are given below.

Maximum Wall Stress Values in Passive Models were 29.09% Higher than those in Active Model

Figure 2 presents the boxplots for the wall stress values obtained from slices in pre-operative and post-operative active and passive models. The mean values of maximum wall stress for each active model were higher than those obtained from corresponding passive model (Fig. 2).

The maximum/minimum/mean values of wall stress and wall strain of each patient obtained from active models were compared with those from passive models. The comparison results are presented in Table 3. The mean value of maximum wall stress values in passive models were found 29.09% higher than that in active models (122.7 ± 38.1 kPa vs. 95.0 ± 26.9 kPa, $p=0.04$). No significant difference was found in maximum wall strain values between passive and active models (0.106 ± 0.015 vs. 0.112 ± 0.019 , $p=0.39$).

No Significant Differences were found in Fluid Results

No significant differences between active and passive models were found in mean values of maximum pressure values and shear stress on MVL (pressure: 82.3 ± 11.7 kPa vs. 83.3 ± 12.0 kPa, $p=0.82$; shear stress: 12.1 ± 6.8 dyn/cm² vs. 11.6 ± 6.4 dyn/cm², $p=0.85$). The details of comparisons results of mean pressure and shear stress values are also listed in Table 4.

Pressure Difference and Shear Stress on MVL were found Significantly Decreased after Successful Surgery in both of Active and Passive Models

It was noticed that, before the surgery, the pressure on the posterior surface of MVL was higher than that on the anterior surface [17]. Therefore, to better investigate the differences between active and passive models, the pressure difference between posterior and anterior surfaces of MVL of the patients before and after surgery between different groups (patients receiving successful surgery vs. failed surgery) were investigated (Table 5). It was found that the pressure difference on MVL obtained from passive model decreased significantly after septal myectomy in Satisfactory-Outcome Group (maximum pressure difference, 2.44 ± 1.30 mmHg vs. 0.68 ± 0.29 mmHg, $p=0.017$; mean pressure difference, 1.17 ± 0.68 mmHg vs. 0.24 ± 0.14 mmHg, $p=0.024$).

Although the values of pressure differences between posterior and anterior surfaces of MVL in passive models were lower than those obtained from active models, the same observations were found from both models (Fig.3).

The pre-operative and post-operative shear stress on MVL obtained from active and passive models were also investigated (Fig. 4). It was observed that the max shear stress on MVL decreased 52.1% ($p=0.01$) in active models and 49.5% ($p=0.004$) in passive models after successful surgical septal myectomy. The mean shear stress was found decreased significantly in both of active models (pre-op: 6.38 ± 1.76 dyn/cm² vs. post-op: 3.27 ± 1.30 dyn/cm², $p=0.004$) and passive models (pre-op: 5.85 ± 1.41 dyn/cm² vs. post-op: 3.07 ± 1.22 dyn/cm², $p=0.004$) (Table 5). The post-operative maximum shear stress on MVL for the patient receiving failed surgery were 259% (active models, 37.0

dyn/cm² vs. 10.3 dyn/cm²) and 277% (passive models, 35.8 dyn/cm² vs. 9.5 dyn/cm²) higher than the mean value of those in Group 1 (Table 5).

DISSCUSSION

In this study, there were 12 active and corresponding passive FSI models constructed to compare the differences of simulation results between these two models. The numerical simulation results at pre-SAM time point, including wall stress and strain on the inner boundary of LV, and pressure and shear stress on MVL, were extracted to be compared. It was found that there was a significant difference in wall stress between the passive and active models. The mean value of maximum wall stress in passive models was 29.09% higher than that in active model. However, there were no significant differences of strain values and fluid results between the active and passive models. Based on simulation results obtained from passive models, the pressure difference on coapted MVL and shear stress on MVL were found decreased significantly after successful surgery, but remained still high after failed surgery. These findings are in good agreement with the results obtained from active models [17].

While the mechanisms driving the left ventricle motion in the passive model is different from real heart motion, the simulation of the hemodynamic status, the motion and deformation of LV can still be approached by the passive models. In both of our passive and active models, the boundary conditions were adjusted to match the simulation results with clinical data. That is, at pre-SAM time, the simulation results of average pressure, LV volume, and LVOT velocity were matched with clinical measured data well in both of passive and active models. Our results indicated that, if we focus on the simulation results of left ventricle at some specific time points, the passive model may provide

simulation results of blood flow matching well with active models with proper boundary conditions and sufficient clinical data.

Table 6 presented the number of manually adjustments of simulation experiments for passive model and active models. The number of numerical simulation experiments for active model to receive the proper boundary conditions were about 2 times more than those for passive models (15.8 ± 6.6 vs. 5.2 ± 1.6). Every simulation experiment takes around 3 hours. Therefore, for each FSI model, it took at least more than 30 hours to obtain the proper boundary conditions for active models comparing to passive models. In addition, the passive models also decrease the difficulty of convergence significantly. Since the goal of our study was to perform hemodynamic analysis of LV to investigate the mechanisms of SAM, and the passive models provided good approximated hemodynamic simulations, the passive models may be used as a good substitution model to active models to investigate the initiation of SAM.

Some limitations of this study are acknowledged here. The main limitation of the study is the small sample size which results in limited statistical power. The reason for the small sample size is that the computational modeling method takes large time costs, 1) it takes a lot of effort to find the proper boundary conditions for active models; 2) the construction procedure for both of active and passive models also takes time; 3) it's not easy to obtain patient-specific CT data. Currently, multi-patient studies for heart model simulations is still rare. Adding more patients will be our future effort. Applying commercial software, such as HyperMesh, to automatically create the finite element mesh to save the construction time will be considered. Another limitation is that the valve opening and the chord was not included in our model. From the end of the isovolumic systole to the

pre-SAM time point, the mitral valve is almost closed. Therefore, the simulated hemodynamic status of LV, which is very crucial for analyzing the initiation of SAM, should be very similar to the real one.

Conclusion

In this study, based on CT images of 6 HOCM patients before and after surgical septal myectomy, 12 active and corresponding passive FSI models were developed to compare the simulated biomechanics and hemodynamics behaviors between passive and active models. It was found that, between these models, there was a significant difference in wall stress, but the differences in hemodynamic simulation results were not significant. Compared with active models, the passive models decrease the complexity of the modeling construction and the difficulty of convergence significantly. Therefore, with proper boundary condition, the passive model may be a good approximation to active model with less computational cost to perform hemodynamic analysis for left ventricle to investigate the initiation of SAM. Prospective and large-scale studies are needed to further validate our findings.

Methods

Data acquisition

Institution review board approval on human subject research at Fuwai Hospital was obtained for this study. For model constructions and analysis, the CT images before and after surgery were obtained from six patients with HOCM who received septal myectomy in Fuwai Hospital. All these six patients had severe systolic anterior motion (SAM) before surgery. Based on the outcomes of surgery, patients were categorized

into two groups, Satisfactory-Outcome Group (Patient No. 1-5) which successfully eliminated SAM and mitral regurgitation, and Unsatisfactory-Outcome Group (Patient No.6) which had residual SAM and mitral regurgitation after surgery. Pre-operative and post-operative echocardiographic characteristics are summarized in Table 1.

Cardiac CT images were obtained at every 5% inter-beat (RR) interval. In all these six patients, the beginning time of SAM ranged from 5% to 8% RR interval in the cardiac cycle. Therefore, to investigate the initiation of SAM, the pre-operative and post-operative CT images at 5% RR interval were selected as pre-SAM time point data to construct the computational models. The field of view was 256 mm x 256 mm, the matrix was 512 x 512, and the slice thickness was 0.625mm. More details about the CT images and segmentation for the construction of FSI models can be found from Deng et al[17, 18]. Patients' heart rate and blood pressure at the time of CT examinations were used in the simulation. The patient-specific LV volume, pressure and the left ventricular outflow tract (LVOT) velocity at pre-SAM time obtained from echo and MRI data were used to verify the simulation results (Table 2).

Fluid-Structure Interaction Models

The modified non-linear Mooney-Rivlin model was adopted to characterize the mechanical behavior of LV myocardium. The strain-energy function for the isotropic incompressible Mooney-Rivlin model was expressed as,

$$W = c_1(I_1 - 3) + c_2(I_2 - 3) + D_1[e^{D_2(I_1-3)} - 1] \quad (1)$$

where I_1 , and I_2 are the first and second strain invariants,

$$I_1 = \sum C_{ii}, \quad I_2 = \frac{1}{2}(I_1^2 - C_{ij}C_{ij}) \quad (2)$$

$\mathbf{C} = [C_{ij}] = \mathbf{X}^T \mathbf{X}$ is the right Cauchy-Green deformation tensor, $\mathbf{X} = [X_{ij}] = [\partial x_i / \partial a_j]$, where x_i is the current position, a_j is the original position, and c_1, c_2, D_1 , and D_2 are material constants[16, 19]. Details of the determination of the patient-specific material constants have been described in our previous publication[17, 18].

The blood in the LV was treated as a laminar, Newtonian, viscous and an incompressible fluid. In this study, we set the viscosity of $\mu = 0.04 \text{ dyn} \cdot \text{cm}^{-2}$ and density of $\rho = 1 \text{ g} \cdot \text{cm}^{-3}$ for blood properties. The Navier-Stokes equation with Arbitrary Lagrangian Eulerian formula was used as the governing equation. A no-slip boundary condition between the interfaces was assumed. The structure and fluid models were coupled through their interfaces[16, 19, 20].

Solution Method

In the construction process of both of active and passive models, the initial shrinkage rate was set as 5% to receive the zero-load geometry which is the starting geometry for the numerical simulation. When the pressure was applied at inlet, the left ventricle expanded in short-axis and long-axis direction, and then regained its in vivo morphology. During this phase, the mitral valve (MV) kept open and the blood filled into LV through MV. At the end of this phase, LV reaches its maximum volume and the pressure in LV increases to end of isovolumic systole pressure. The information of the blood flow and mechanics of LV were received as the starting state of the simulation for the phase from end of systole phase to pre-SAM time point. The details of the pre-shrink process can be found from our previously published paper [17, 18].

The finite element meshes was generated by the volume component-fitting method [16, 20]. The constructed computational models were solved by commercial software

ADINA (ADINA R&D, Watertown, MA), which uses the Newton-Raphson iteration and unstructured finite elements method. The iterative FSI coupling solution method was applied to handle fluid-structure interaction.

Differences between the Passive and Active Models

The simulations of the phase from end of systole phase to pre-SAM time point between active model and passive model were different. In passive models, the inlet (mitral valve) was closed, and the blood was ejected out of LV through the outlet (aortic valve). The left ventricle muscle was deflated by the patient-specific pressure condition which was scaled based on measured blood pressure conditions, and the pressure difference between LV and aorta which was obtained from ultrasound scanning. In active models, we simulated the LV active contraction by specifying the external forces at epicardium, and the pressure condition at outlet (aortic valve) from the end of isovolumic systole phase to pre-SAM time point (5% RR) [17]. Based on the clinical measured data of LV volume, LV pressure, and LOVT velocity obtained from echo and MRI data at the end of isovolumic systole phase and pre-SAM time point, the external forces were applied on each element at the epicardium, and the pressure condition at outlet at each time step were numerically interpolated. The boundary conditions were manually adjusted accordingly, such that the difference between clinical measured data and the simulation results were less than 5%. The real LV active contraction motion was then implemented. The adjustment of the boundary conditions was primarily based on the comparisons of numerical results and clinical data at each time step. For more details about the construction of active and passive models, we refer the reader to our previous publications [17].

Data Extraction and Statistical Analysis

The stress, strain, and shear stress are all tensors, therefore, the maximum principal stress (Stress- P_1), maximum principal strain (Strain- P_1), and maximum shear stress at each node were chosen as wall stress, strain, and shear stress, respectively, for convenience. Data for wall stress and wall strain of all integral nodes on the inner boundary of left ventricle (Endocardium) and fluid shear stress and pressure of all integral nodes on mitral valve were extracted from 3D FSI solutions. For each slice, the maximum/mean/minimum values of the simulation results of wall stress, wall strain, fluid shear stress, and pressure were selected for analysis. The data was expressed as mean \pm SD (standard deviation). The linear Mixed-Effect-Model [21, 22] was used to incorporate the non-independent data structure, and compare the simulation results between passive and active models. The statistical significance was established at a p value of < 0.05 . All statistical analyses in this study were conducted using R software (version 3.5.1).

List of abbreviations

FSI: Fluid-Structure Interactions

MVL: mitral valve leaflet

SAM: systolic anterior motion

HOCM: Hypertrophic obstructive cardiomyopathy

LV: left ventricle

CT: computed-tomography

RR: inter-beat

LVOT: left ventricular outflow tract

MV: mitral valve

Stress- P_1 : maximum principal stress

Strain- P_1 : maximum principal strain

Declarations

Ethics approval and consent to participate

This study was approved by Institution review board approval on human subject research at Fuwai Hospital.

Consent for publication

Not applicable

Availability of data and materials

The datasets generated during and/or analyzed during the current study are available from the corresponding author at reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

XH and LD designed the study. YS performed the operations. LD collected the clinical data. CY constructed the computational model and performed the numerical simulation. LD and XH wrote the paper. HZ, ML, and XH perform the statistical analysis. YS, ML, and DT critically reviewed the paper. All the authors read and approved the final manuscript.

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Table Legends

Table 1. Echocardiographic characteristics for patients

Table 2. Table 2. Summary of the comparisons of simulation results of LV pressure, volume, and the left ventricle outflow tract (LVOT) velocity at pre-SAM time obtained from active (Act) and passive (Pas) models, and those from clinical data.

Table 3. Summary of comparisons of wall stress and strain values obtained from active and passive models. Diff (%) represents the relative percentage difference based on active models.

Table 4. Summary of comparisons of pressure and fluid shear stress (FSS) results on mitral valve leaflet between active and passive models. Diff (%) represents the relative percentage difference based on active models.

Table 5. Summary of comparisons of pre-operative and post-operative differences of maximum/mean pressure values on coapted mitral valve leaflets (MVL) and shear stress on MVL in Satisfactory-Outcome Group (Group 1, n=5) and Unsatisfactory-Outcome Group (Group 2, n=1) obtained from active and passive models.

Table 6. Summary of comparisons of the adjustment times for boundary conditions between active models and passive models.

Table 1.

Patient No.	Anterior septal thickness (mm)		SAM and beginning time		LVOTG, mm Hg		Mitral regurgitation	
	Pre-op p	Post-op	Pre-op	Post-op	Pre-o p	Post-o p	Pre-op	Post-o p
1	39	30	+, 6%RR	-	55	16	-	-
2	21	14	+, 5%RR	-	104	7	Moderate	-
3	34	22	+, 5%RR	-	100	7	Moderate	Trace
4	40	30	+, 6%RR	-	81	20	Moderate	-
5	27	19	+, 8%RR	-	100	6	Moderate to severe	-
6	24	15	+, 8%RR	+, 8%RF	81	64	Moderate	Mild

LVOTG, left ventricular outflow tract gradient; Pre-op, pre-operation; Post-op, post-operation; SAM, systolic anterior motion.

Table 2.

Patient No.	LV pressure (mm Hg)			LV volume (ml)			LVOT velocity (m/s)		
	Clinical data	Simulation results		Clinical data	Simulation results		Clinical data	Simulation results	
		Act	Pas		Act	Pas		Act	Pas
1	67	65.9	68.3	136.7	137	137	0.56	0.57	0.57
2	85	85.2	87.9	128.6	128	129	1.00	0.97	0.99
3	85	85.5	87.1	126.5	125	127	0.83	0.79	0.83
4	75	73.7	80.5	146.4	147	147	1.20	1.19	1.20
5	72	72.2	76.0	191.5	191	192	1.10	1.11	1.10
6	93	90.7	99.5	106.5	106	107	1.36	1.35	1.32

Table 3.

	Active (mean±SD)	Passive (mean±SD)	P value	Diff (%)
Max Stress-P ₁ (kPa)	95.0±26.9	122.7±38.1	0.04	29.09
Min Stress-P ₁ (kPa)	-33.4±5.1	-34.5±6.6	0.65	3.27
Mean Stress-P ₁ (kPa)	2.7±2.8	8.0±6.0	0.01	194.85
Max Strain-P ₁	0.106±0.015	0.112±0.019	0.39	5.73
Min Strain-P ₁	0.009±0.002	0.009±0.001	0.50	-5.01
Mean Strain-P ₁	0.046±0.009	0.046±0.08	0.91	0.86

Table 4.

	Active (mean±SD)	Passive (mean±SD)	P value	Diff (%)
Max Pressure (mmHg)	82.3±11.7	83.3±12.0	0.82	1.32
Min Pressure (mmHg)	77.3±11.0	80.8±11.1	0.44	4.65
Mean Pressure (mmHg)	80.7±11.5	82.6±11.8	0.70	2.33
Max FSS (dyn/cm ²)	12.1±6.8	11.6±6.4	0.85	-3.82
Min FSS (dyn/cm ²)	1.1±0.3	0.8±0.3	0.03	-26.61
Mean FSS (dyn/cm ²)	5.0±2.2	4.8±1.9	0.55	-7.53

Table 5.

	Active (pre-op vs. post- op)		Passive (pre-op vs. post- op)	
	Group 1 (mean±SD)	Group 2	Group 1 (mean±SD)	Group 2
Pressure Difference (mmHg)				
Max	4.30 ± 1.88 vs. 0.57 ± 0.36	6.65 vs. 4.39	2.44± 1.30 vs. 0.68± 0.29	4.05 vs. 2.70
mean	2.22 ± 1.03 vs. 0.36 ± 0.16	3.05 vs. 2.02	1.17± 0.68 vs. 0.24± 0.14	1.79 vs. 1.19
Shear Stress (dyn/cm²)				
max	21.5 ± 4.8 vs. 10.3 ± 3.1	34.6 vs. 37	18.8 ± 2.1 vs. 9.5 ± 3.0	33.5 vs. 35.8
mean	6.4 ± 1.8 vs. 3.3 ± 1.3	7.5 vs. 6.7	5.9 ± 1.4 vs. 3.1 ± 1.2	6.9 vs. 6.3

Table 6.

Model	Passive	Active
P1-pre	7	14
P1-post	1	3
P2-pre	7	24
P2-post	4	12
P3-pre	6	13
P3-post	4	15
P4-pre	5	28
P4-post	5	13
P5-pre	7	25
P5-post	5	14
P6-pre	5	17
P6-post	6	12
mean \pm sd	5.2 \pm 1.6	15.8 \pm 6.6

Figure Captions

Figure 1. Comparisons of numerical simulation results at Pre-SAM time point (5% RR interval time point) obtained from active and passive models, a) distribution of wall stress at cutting surface; b) 3D-velocity vector flow map; c) distribution of pressure difference on coapted MVL; d) distribution of shear stress on MVL. AML = anterior mitral leaflet; PML = posterior mitral leaflet.

Figure 2. Comparisons of active and passive models in wall stress of each slice obtained from pre-operative/post-operative models for different patients.

active.Post=post-operative active model; passive.Post=post-operative passive model;

active.Pre=pre-operative active model; passive. Pre=pre-operative passive model.

Sress-P1Max= maximum value of wall stress (Stress-P1) values.

Figure 3. Comparisons of the difference of maximum/mean pressure values on coapted mitral valve leaflets (MVL) before (Pre-op) and after surgery (Post-op). The red lines indicate the patient received an unsatisfactory outcome, and the black lines indicate that the patients receiving successful surgery. a) Results obtained from active models; b) Results obtained from passive models.

Figure 4. Comparisons of the mean value of maximum/mean fluid shear stress on coapted mitral valve leaflets (MVL) before and after surgery. The black lines indicate the patients

with successful surgery, and the red lines indicate the patient with failed surgery. a)

Results obtained from active models; b) Results obtained from passive models.

Figure 1.

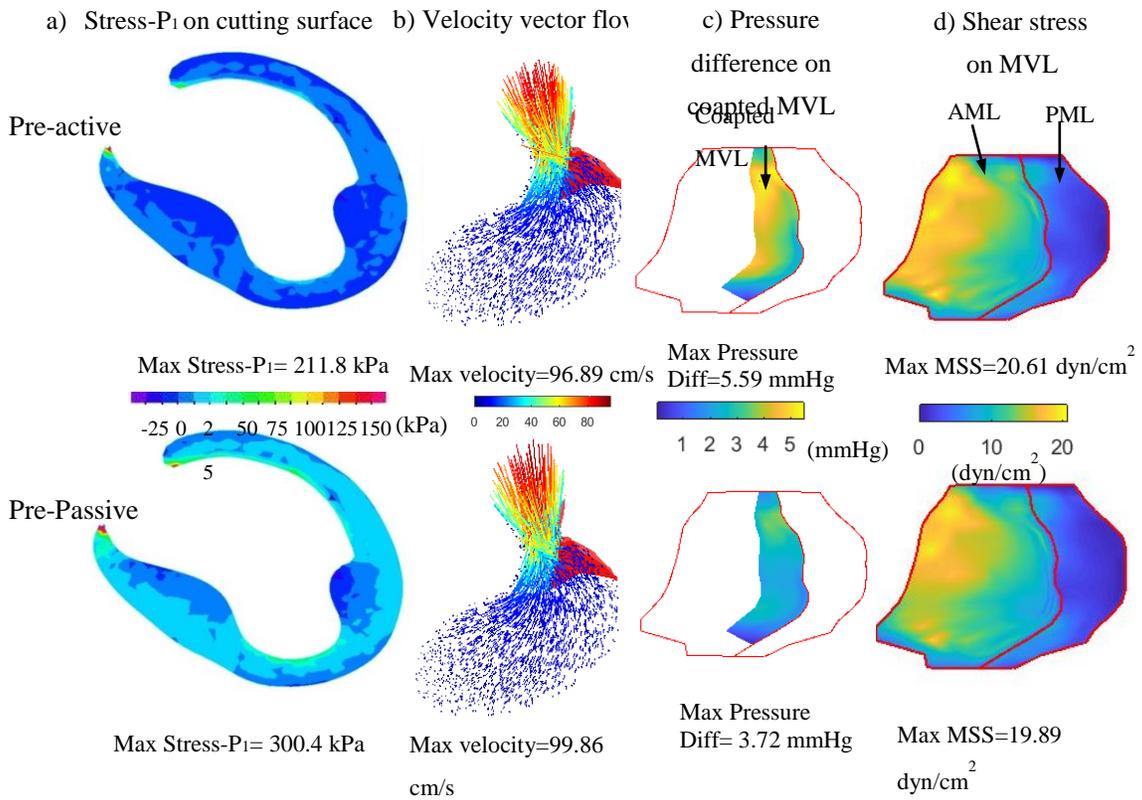


Figure 2.

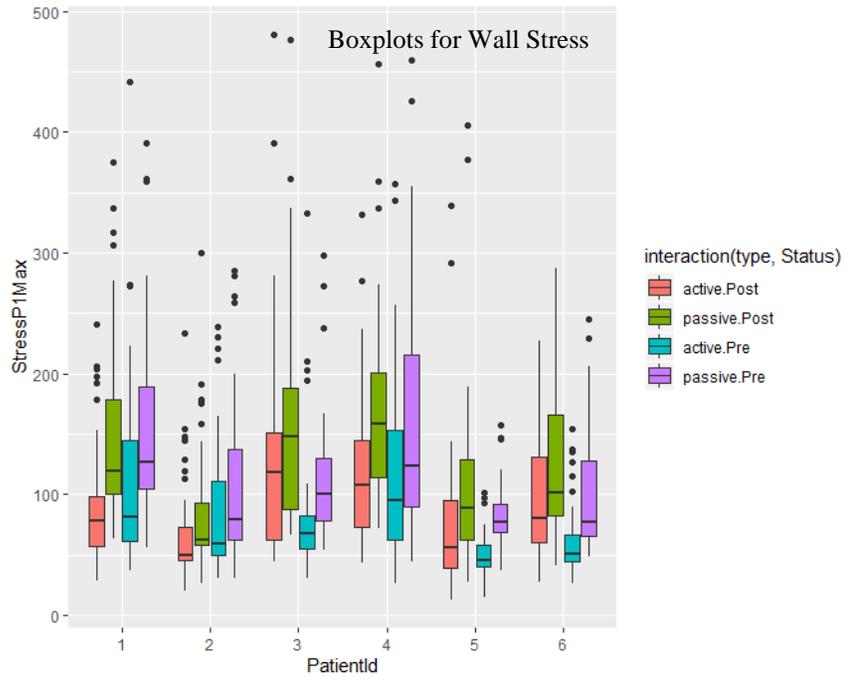
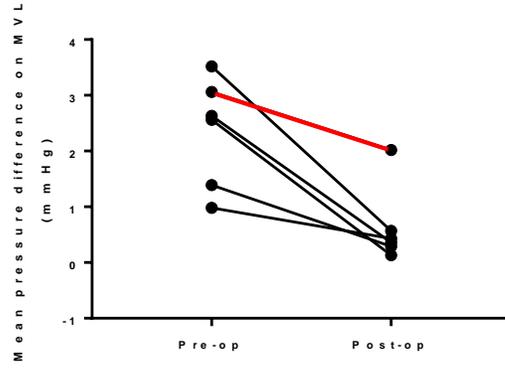
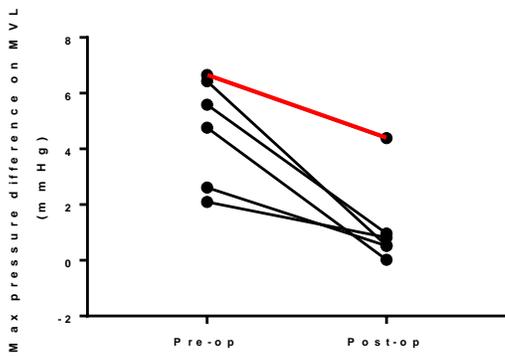


Figure 3.

a) Results obtained from active models



b) Results obtained from passive models

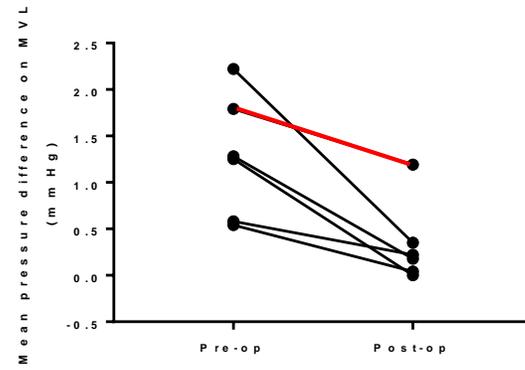
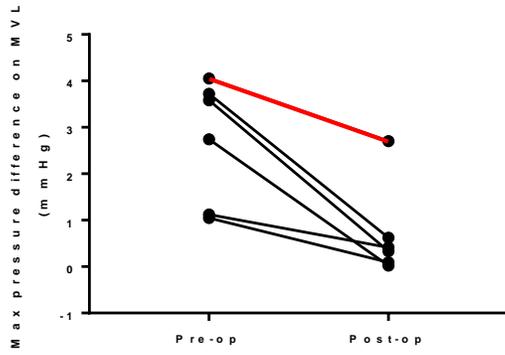
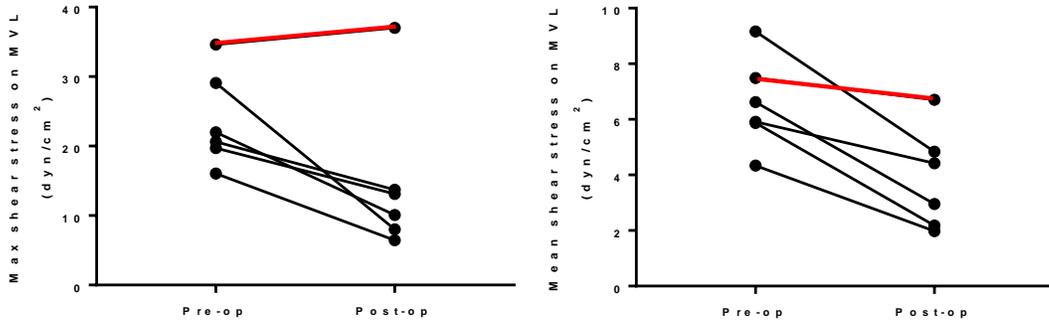


Figure 4

a) Results obtained from active models



b) Results obtained from passive models

