

Formation Mechanism and Anatomical Structure of the Knee Roots of *Taxodium ascendens*

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1 **Formation mechanism and anatomical structure of**
2 **the knee roots of *Taxodium ascendens***

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21

22 **Abstract**

23 **Aims:** Flooding seriously limits the growth and distribution of plants. *Taxodium ascendens* is a typical
24 tree species with high flood tolerance, and it can generate knee roots in the wetlands. This study was
25 conducted to understand the formation mechanism of the knee roots.

26 **Methods:** The number and size of knee roots and soil flooding conditions were investigated in this
27 study. Furthermore, physiology, biochemical responses, and the anatomical structure of knee roots and
28 underground roots were measured at different developmental stages.

29 **Results:** The results show that the formation of knee roots was significantly affected by the soil water
30 table ($P < 0.05$). Moreover, knee root formation was affected by ethylene and indole-3-acetic acid
31 (IAA) concentrations in the roots. The 1-aminocyclopropane-1-carboxylic acid (ACC) content and
32 ACC synthase activity were significantly lower in the knee roots than in the underground roots. The
33 ethylene release rate was significantly higher in the knee roots than in the underground roots ($P <$
34 0.05), and IAA content first increased and then decreased with knee root development. The cells of the
35 periderm at the apex of the knee roots were dead and had a large number of intercellular spaces, which
36 was beneficial for the growth of *T. ascendens*.

37 **Conclusions:** Seasonal flooding induced the production of endogenous hormones, resulting in the
38 formation of knee roots, which improved root respiration and ventilation. The results obtained can
39 gain a basis for the formation mechanism of knee roots and provide scientific evidence for the
40 afforestation and management under wetland conditions.

41 **Keywords:** anatomic structure; ethylene; flooding; formation mechanism; knee root; *Taxodium*

42 *ascendens*

43

44 **Introduction**

45 Nowadays, flood damage is receiving a lot of attention, as flooding and waterlogging phenomena
46 tend to be closely associated with global climate changes (Hirabayashi et al. 2013, Zhou et al. 2020).
47 Flooding and submergence are major abiotic stresses that determine species distribution, growth, and
48 yield (Sairam et al. 2008, Herzog et al. 2016). In China, about $6.6 \times 10^5 \text{ km}^2$ land is waterlogged,
49 accounting for 6.6% of the total land area; in 2020, 16 provinces and 11.22 million people were
50 adversely affected by floods. Uneven precipitation and poor drainage result in frequent waterlogging,
51 which can seriously constrain crop growth and productivity (Kuai et al. 2015, Liu et al. 2019, Xiong et
52 al. 2019). Therefore, selection of a suitable farmland shelter forest is important. Flooding stress
53 restricts the diffusion of oxygen in plant tissues, which seriously affects plant growth (Voesenek and
54 Baileyserres 2013). Flood-tolerant plant species have a series of adaptive mechanisms, such as
55 morphological changes and physiological and biochemical reactions, that can protect the plants from
56 flooding stress (Khan et al. 2020). The responses of the roots to flood environments reflect the
57 adaptability of a tree to waterlogging (Blom and Voesenek 1996). For example, the structures of some
58 Chenopodiaceae roots change to the herring-bone shape to consume less oxygen and avoid being
59 damaged by toxins (Bouma et al. 2001). The red mangrove (*Rhizophora mangle*) in coastal intertidal
60 zones has a conspicuous system of stilt-like roots that grow from the main stem and resemble flying
61 buttresses with developed leaf aerenchyma for gas exchange and prevention of oxygen loss during
62 flooding stress (Lance and Alison 2010, Mendez-Alonzo et al. 2015). *Avicennia marina* can develop
63 finger-like pneumatophores to obtain oxygen for its underground root system and sustain hypoxic

64 conditions (Purnobasuki et al. 2017). *Eucalyptus camaldulensis* and *Eucalyptus globulus* can produce
65 numerous white adventitious roots that float on the water surface to obtain more oxygen (Sena Gomes
66 and Kozlowski 1980).

67 *Taxodium ascendens* is a water-tolerant species that is widely distributed in the subtropical
68 riversides and drawdown areas of reservoirs and ponds in China (Yi et al. 2008, Li et al. 2010).

69 *Taxodium ascendens* develops knee roots to increase water tolerance under flooding conditions, and it
70 is widely cultivated in farmland shelter forests and as a roadside tree (Tang et al. 2008, Du et al. 2010).
71 Sakio and Yamamoto (2002) have reported that only some specific flood-tolerant plant species like *T.*
72 *ascendens*, *Taxodium distichum*, and *Glyptostrobus pensilis* develop knee roots.

73 Although various studies have reported the effects of waterlogging on the metabolic and
74 physiochemical characteristics of different trees, most of the studies were conducted in greenhouses
75 and focused on tree seedlings (Conner et al. 1997, Andrson and Pezeshki 1999, Li et al. 2010, Wang et
76 al. 2016) and few studies were performed using adult trees under field conditions. Therefore, the
77 formation mechanism of aerial and knee roots, which are generated in only adult trees, have not yet
78 been elucidated. Moreover, the adaptation mechanism of roots that respond to waterlogging stress is
79 unclear. Yamamoto (1992) reported that the depth of flooding affects the formation of knee roots and
80 hypothesized the interaction between ethylene and indole-3-acetic acid (IAA) in knee root production.
81 Ethylene plays an important role in promoting plant growth and seed germination and enhancing
82 anoxia resistance. Especially, ethylene, as an internal gaseous signal, is used by plants to sense shifts

83 from aerial to aquatic environments and induce changes in plant morphology and anatomy (Visser and
84 Voesenek 2004, Jackson 2007). Sundberg et al. (1991) indicated that endogenous IAA is a key
85 regulator of the development of the secondary vascular tube, and it has significant effects on cambial
86 activity and development. 1-Aminocyclopropane-1-carboxylic acid (ACC) synthetase and ACC
87 oxidase are essential enzymes that participate in the conversion of ACC to ethylene (Dong et al. 1992,
88 Vall-laura et al. 2020).

89 Therefore, we hypothesized that the formation of knee roots was associated with the flooding
90 condition, and induced by endogenous hormones. In this study, the water table, ethylene and relative
91 enzymes, IAA content, and anatomical structure of knee roots and conventional underground roots of
92 *T. ascendens* were evaluated. The objective of this study was to compare the differences in
93 endogenous hormones between the knee roots developed from underground roots and conventional
94 underground roots, to understand the adaptation mechanism of *T. ascendens* under flooding conditions
95 and form a basis for afforestation management under wetland conditions.

96 **Materials and methods**

97 **Research site**

98 The study was performed in a 28-year-old *Taxodium ascendens* forest plantation (approximately
99 500 hectares). The plantation was cultivated in a wetland at the Zhaoguan Forest Farm (N32°31'41"
100 and E119°30'35"), Jiangdu County, Jiangsu Province, China, and the plant spacing was 1.5 m × 4 m.

101 The average tree diameter at breast height (DBH) and tree height were 25.75 cm and 14.33 m,
102 respectively. The soil type was paddy soil with poor air permeability.

103 Three experimental sites were chosen on the basis of the soil water table: high water table site
104 (the flooding period was more than 3 months from June to September, maximum submergence depth
105 was about 0.8 m, and annual average water table was more than -0.6 m), middle water table site (the
106 flooding period was 1 to 2 months from July to August, maximum submergence depth was about 0.2
107 m, and annual average water table was from -0.6 m to -1.2 m), and low water table site (no flooding
108 status, and the annual average water table was less than -1.2 m). A total of 21 plots (7 sampling plots
109 at each water-table site) were established, and each plot was 96 m² (8 × 12 m).

110 **Analysis of the soil water table and knee root number and size**

111 The soil water table at each site was measured every mid-month from Oct 2011 to Oct 2016. The
112 tree height, DBH, and number and size of knee roots were measured in October 2016.

113 Polyethylene pipes (length, 2 m; inner diameter, 11 cm) were used to investigate the soil water
114 table. The pipes were drilled with 4 mm diameter holes (the spacing between each hole was 5 cm) and
115 vertically buried in the soil at each site. The height of the knee roots (the height from the ground to the
116 apex of the knee roots) and average diameter at half the height of the knee roots were also measured.

117 Because the surface of the knee roots was irregular, we carefully wrapped the knee roots exposed
118 above the ground with tape (width, 1.62 cm). The surface area was calculated according to the length
119 and width of the tape (with no overlap in the wrapping process). The survey of underground roots with

120 knee roots and without knee roots was randomly conducted in 15 plots (1 m × 1 m) within a range of 2
121 m from *T. ascendens*. The underground roots (depth, 0–50 cm) were excavated, cleaned, dried, and
122 weighed.

123 **Sampling of knee roots and assay of physiological indicators**

124 In October 2016, the middle water table sites were chosen to investigate the development stages
125 of the knee roots. The knee roots were divided into three stages on the basis of size and age: young-
126 aged stage (Fig. 1A), middle-aged stage (Fig. 1B), and old-aged stage (Fig. 1C). The knee roots at the
127 young-aged stage were less than 5 cm in height and less than 5 years of age. The knee roots at the
128 middle-aged stage were 5 to 10 cm in height and 5 to 10 years of age. The knee roots at the old-aged
129 stage were more than 10 cm in height and more than 10 years of age. The age of the knee roots was
130 determined using the annual rings in the transverse section. The knee root samples were divided into
131 two parts: the swollen part (upside) and non-swollen part (underside). Underground roots
132 approximately 10 mm in diameter were collected as the control. The root tissues were randomly
133 selected for physiological assay.

134 **Ethylene release rate of the roots**

135 Fresh root cambium tissues (0.6 g) were sealed in a closed bottle and incubated for 4 h at 30 °C.
136 Then, gas samples (0.5 mL) were collected from the incubator to determine the ethylene concentration
137 by using a gas chromatograph equipped with a flame ionization detector (FID) and electron capture

138 detector (ECD) (Agilent 7890A; Agilent Technologies Inc. USA). The external standard method was
139 used to calculate the ethylene concentration of the samples.

140 **ACC content**

141 The ACC content was determined according to Hoffman et al. (1983). The root cambium tissue
142 samples were cut into small pieces and mixed completely. Then, the samples (1.0 g) were
143 homogenized in 8 mL of ethanol (95%) and centrifuged (8000 × g) at 4 °C for 15 min; the
144 supernatant was transferred to a plastic bottle, 6 mL of ethanol (80%) was added to the residue and
145 shaken for 30 min, the supernatant after centrifugation was transferred to the previous bottle, and the
146 bottle was dried in a water bath at 40 °C. The dried residue was dissolved with 5 mL of distilled water
147 and then centrifuged (8000 × g) at 4 °C for 10 min. The supernatant was used as the ACC fluid; 1 mL
148 of the fluid and 40 µL of HgCl₂ (25 mmol·L⁻¹) were added into test tubes (20 mL) closed with rubber
149 stoppers. Then, 1 mL of NaOCl-NaOH (v:v = 2:1) was injected into the test tubes with a syringe. The
150 tubes were shaken for 10 min, and gas samples (0.5 mL) were collected from the tubes to determine
151 the ethylene concentration via gas chromatography. The ACC concentration of fresh root samples was
152 calculated using the following formula:

$$153 \text{ ACC content (nmol·g}^{-1} \text{ FW}) = \frac{C \times V_L \times V}{R \times V_1 \times V_2 \times W \times 22.4}$$

154 where C is the ethylene concentration measured using gas chromatography (nL·L⁻¹); V_L is the volume
155 of sample bottles without solution (mL); V is the volume of the extracting solution (mL); R is the
156 transfer coefficient from ACC to ethylene; V₁ is the volume of the extracting solution used for

157 measurement (mL); V_2 is the gas sample volume for gas chromatography (mL); W is the fresh weight
158 of the root sample (g); and 22.4 is 1 molar gas constant under normal atmospheric conditions ($L \cdot mol^{-1}$).

159 **ACC synthase activity**

160 The ACC synthase activity was determined according to Mehta et al. (1988). Briefly, 1 g of root
161 cambium tissues were ground in a mortar with the extraction buffer (2 mL) and a small amount of
162 quartz sand at 4 °C and centrifuged at $10000 \times g$ for 20 min. The extraction buffer solution contained
163 400 mmol·L⁻¹ potassium phosphate buffer solution (pH 8.5), 1 mmol·L⁻¹ ethylene diamine tetraacetic
164 acid, 0.5% (v:v) β-mercaptoethanol, and 10 μmol·L⁻¹ pyridoxal phosphate (PLP). The supernatant was
165 used to determine ACC enzyme activity; 0.4 mL of the enzyme extract and 1.6 mL of the buffer
166 solution (containing 50 μmol·L⁻¹ SAM, 10 μmol·L⁻¹ PLP, and 50 mmol·L⁻¹ Hepes-KOH, pH 8.5) were
167 added to the test tubes and incubated at 32 °C for 1 h. Then, 0.1 mL of mercuric chloride (500
168 mmol·L⁻¹) was added to stop the reaction, the test tubes were closed and incubated in ice water for 5
169 min, and 0.2 mL of 5% NaOCl-NaOH (v:v = 2:1) was injected into the test tubes with a syringe. The
170 test tubes were shaken for 10 min, and 0.5 mL gas sample was collected to determine the ethylene
171 content by using gas chromatography.

172 **ACC oxidase activity**

173 The ACC oxidase activity was determined according to Dong et al. (1992); 0.5 g of root cambium
174 tissues were ground in a mortar with the extraction buffer (2 mL) and a small amount of quartz sand at
175 4 °C and centrifuged at $12000 \times g$ for 10 min. The extraction buffer solution contained 100 mmol·L⁻¹

176 Tris-HCl (pH 7.5), 10% (v:v) glycerin, 30 mmol·L⁻¹ sodium ascorbate, 5% (v:v) polyvinylpyrrolidone,
177 0.1 mmol·L⁻¹ FeSO₄, and 5 mmol·L⁻¹ DTT. The supernatant was used to determine ACC oxidase
178 activity; 0.2 mL of the enzyme extract and 1.8 mL of the buffer solution (containing 100 mmol·L⁻¹
179 Tris-HCl (pH 7.5), 10% (v:v) glycerin, 30 mmol·L⁻¹ sodium ascorbate, 30 mmol·L⁻¹ NaHCO₃, 1.0
180 mmol·L⁻¹ ACC, and 0.1 mmol·L⁻¹ FeSO₄) were added to test tubes (20 mL) closed with rubber
181 stoppers and incubated at 35 °C for 20 min. Then, 0.5 mL gas sample was collected to determine the
182 ethylene content by using gas chromatography.

183 **IAA content**

184 The IAA content was determined according to Chen and Zhao (2008), and Yuan et al. (2008).
185 First, 1 g of root cambium tissues were homogenized in liquid nitrogen and extracted in cold 80%
186 methanol with butylated hydroxytoluene (1 mmol·L⁻¹) overnight at 4 °C, and centrifuged for 10000 ×
187 g at the same temperature for 15 min. The supernatant was collected and passed through a C18 Sep-
188 Pak Cartridge (Waters Corp., Milford, USA). The efflux was collected and dried in a rotary evaporator
189 (RE-2000A, China). The residue was collected in 0.8 ml mobile phase (consisting of 23% (v:v)
190 methanol and 23% (v:v) acetonitrile in double distilled water supplemented with 0.1% (v/v)
191 phosphoric acid), filtered through a 0.25 mm filter and submitted for HPLC analysis. The IAA content
192 was determined using the external standard method with a Waters 2695 Alliance HPLC (Waters
193 Corp.). A Symmery C18 column (Waters Corp.) (4.6 × 250 mm, 5 µm) and a detection wavelength of

194 254 nm were used. A sample (50 µl) was automatically injected at a flow rate of 0.5 ml min⁻¹.

195 Quantification was made by comparing the peak areas with the known amounts of IAA (Sigma).

196 **Anatomical analysis of knee roots and underground roots**

197 Three samples containing bark and currently produced xylem from the apex of knee roots at the

198 middle-aged stage and mid-sized underground roots were obtained (Fig. 2). Small pieces (10 × 10 ×

199 10 mm) of these root materials were fixed in FAA solution (formalin:acetic acid:ethanol:water,

200 5:5:60:30, v:v) for 24 h, rinsed in water, dehydrated in ethanol, and sealed in a paraffin block. The

201 samples were transversely sectioned (10 µm) with a slide microtome (Leica RM2125RT, Germany),

202 dewaxed, stained with safranin-fast green solution, and oven-dried at 40 °C. The anatomical structure

203 of the samples was observed under a light microscope (Olympus, Japan), and the pictures were taken

204 and processed using an image analysis software (DT2000, China).

205 **Statistical analysis**

206 The data were calculated and plotted using Microsoft Office Excel 2016, and all data were

207 subjected to analysis of variance by using IBM SPSS Statistics 19.0. To determine the effects of the

208 knee roots on the growth of *T. ascendens*, correlation analysis was performed using IBM SPSS

209 Statistics 19.0. The data were presented as mean ± standard deviation (M ± SD) values, and

210 differences in the data were evaluated using Duncan's test at a significance level of 0.05.

211 **Results**

212 **Relationships between the morphological characteristics of knee roots and underground water**

213 **table**

214 The tree height, DBH, underground roots, and knee roots of *T. ascendens* were investigated, and

215 the results showed that the formation and distribution of knee roots were significantly affected by the

216 water table. The knee root density in the middle water table was 143.94% and 147.69% higher than

217 that in the high water table and low water table, respectively. The height and diameter of the knee

218 roots were also observed to be higher in the middle water table site (Table 1); thus, the middle water

219 table significantly increased knee root formation and growth ($P < 0.05$).

220 **Effects of the knee roots on the growth of *T. ascendens***

221 The weight of underground roots with knee roots was significantly higher than that of

222 underground roots without knee roots (Fig. 3). Furthermore, the correlation between tree height and

223 DBH and knee roots in the middle water table was analyzed; the height of *T. ascendens* was positively

224 correlated with the number and size of knee roots (Table 2), and the DBH of *T. ascendens* was

225 significantly positively correlated with the number and size of knee roots. The number of underground

226 roots was positively correlated with the mean height and surface area of knee roots, and the weight of

227 underground roots was significantly correlated with the mean height and surface area of knee roots.

228 **Biochemical analysis of the roots**

229 **ACC content and ACC synthase and ACC oxidase enzyme activities**

230 The ACC content in the knee roots at different development stages did not show significant
231 differences ($P > 0.05$): KY > KM > KO (Fig. 4A). However, the ACC content was significantly lower
232 in the knee roots than in the underground roots ($P < 0.05$). The ACC synthase activity showed the
233 same trend as ACC content and was not significantly different among the development stages of the
234 knee roots (Fig. 4B), and the ACC synthase activity was significantly lower in the knee roots than in
235 the underground roots ($P < 0.05$). The ACC oxidase activity in the knee roots at different development
236 stages was in the order of KY > KM > KO, and ACC oxidase reduced with the growth of knee roots
237 (Fig. 4C). The ACC oxidase activity was significantly higher in the knee roots than in the underground
238 roots, except in KO (Fig. 4C; $P < 0.05$).

239 **Endogenous hormone release rates**

240 Different ethylene release rates were observed in the underground roots and knee roots (Fig. 5A).
241 The ethylene release rate at different stages was significantly higher in the knee roots than in the
242 underground roots ($P < 0.05$). In addition, significant differences were observed among the different
243 development stages of the knee roots ($P < 0.05$). The maximum ethylene release rate from the knee
244 roots was observed in KO, and the minimum, in KM.

245 The IAA content was in the order of UR > KM > KO > KY (Fig. 5B), with no statistically
246 significant differences between UR and KM ($P > 0.05$) and KO and KY ($P > 0.05$).

247 **Anatomical structure of the knee roots and underground roots**

248 The knee roots had 3-4 layers of rhytidome, which were formed by the integration of the
249 periderm and phloem, and some parts of the periderm had branches (Fig. 6). The periderm was
250 composed of many layers of cork cells, and the cork layer, cork-forming layer, and inner cork layer
251 were closely overlapped. The phloem, isolated from the periderm on the apex side of the knee roots,
252 was dead, the cells were broken, and the arrangement of cells was loose and porous. The phloem
253 parenchyma cells were close to the cambium and rectangular. The cell wall of the phloem fiber was
254 thickened and showed an increase in lignification. The phloem ray expanded obviously, and the
255 arrangement was loose. The knee roots were mainly composed of the secondary xylem; in the cross-
256 section, xylem tracheids were arranged in order; the early tracheids were rectangular, square, or
257 polygonal; the late tracheids were obviously smaller than the early tracheids; and the cell wall was
258 thicker. The rhytidome layers of the underground roots were lesser than those of the knee roots (1-2
259 layers). The width of the phloem was smaller than that of the knee roots; the xylem tracheids were
260 arranged in order, and the shape was similar to that of the knee roots.

261 **Discussion**

262 In plants, flood tolerance is related to shifts in anatomical and morphological characteristics
263 (Blom and Voesenek 1996, Hua et al. 2017). Under flooding conditions, the formation of knee roots is
264 a morphological adaptation of *T. ascendens* to environmental stress (Fig. 7). *Taxodium ascendens* with
265 knee roots had more underground roots and showed better tree growth, which suggests that the knee
266 roots are beneficial for the growth of *T. ascendens*. Our results suggest that the middle water table is

267 more suitable for the formation and growth of knee roots, which is consistent with the findings of
268 Tang et al. (2008) who reported that *T. ascendens* formed aerating roots in the high water table and
269 knee roots in the middle water table.

270 Ethylene production plays an important role in modifying the plant response to flooding stress
271 (Zhou et al. 2020). Ethylene induces the genes of enzymes associated with aerenchyma formation
272 (Drew et al. 1979, Brailsford et al. 1993, Sairam et al. 2008). Ethylene synthesis includes two
273 important processes: catalysis of S-adenosylmethionine (SAM) to ACC by ACC synthase and catalysis
274 of ACC to ethylene by ACC oxidase. ACC synthesis does not require oxygen; in fact, ACC synthase
275 activity is stimulated in roots under flood conditions (Cohen and Kende 1987, Dong et al. 1992, Zhou
276 et al. 2001; Williams and Golden 2002). In our study, the underground roots of *T. ascendens* were
277 exposed to anoxic conditions for a long time; expression of the ACC synthetase gene was stimulated
278 by anaerobic stress (Van der Straeten et al. 2001, Rieu et al. 2005), which increased ACC synthetase
279 activity in the underground roots. However, the knee roots were exposed to air in October (sampling
280 time); thus, the activity of ACC synthetase was significantly lower in the knee roots than in the
281 underground roots. The conversion of ACC to ethylene has an obligate requirement for oxygen, and
282 ACC oxidase can also be induced by anoxic stress (Vriezen et al. 1999, Bailey-Serres and Voesenek
283 2008, Buttò et al. 2020). Thus, our results suggest that the oxygen status was improved by the
284 formation and development of knee roots, ACC oxidase activity decreased with the development of
285 knee roots, knee roots at the young-aged stage showed the highest ACC oxidase activity, knee roots at

286 the old-aged stage may be less affected by flooding stress, and showed the lowest ACC oxidase
287 activity. When the underground roots of *T. ascendens* were exposed to flooding in the growing season,
288 the activity of ACC synthase was enhanced by anaerobic stress, which led to the accumulation of ACC.
289 When the water table receded, the upper surface of the roots distributed in the shallow soil received
290 oxygen, and the ACC was transported to better-aerated tissues. This resulted in ethylene synthesis by
291 ACC oxidase; ethylene promoted the uneven growth of morphologically upper and lower roots,
292 leading to the formation of knee roots. Thus, the knee roots had significantly higher ethylene content
293 than the underground roots (Fig. 5A), and the highest ethylene content was observed in the old-aged
294 stage of the knee roots. This is consistent with the results of Pesquet and Tuominen (2011), who
295 reported that the ethylene content was maximum before cell death and lignification. The knee roots
296 formed easily when the tree was exposed to anoxic conditions (reduction state) and aerobic conditions
297 (oxidation state) alternately. Thus, our results suggest that the high water table (the underground roots
298 experienced flooding from June to September, and the soil was in the reduction state during the
299 growing season) and low water table (no flooding throughout the year, and the soil was in oxidation
300 state) are not suitable for the formation of knee roots; only the middle water table (flooding period was
301 1 to 2 months from July to August, and the annual average water table was from -0.6 m to -1.2 m) is
302 suitable for the formation of knee roots. Moreover, ACC and some related enzymes were found in the
303 knee root tissues, suggesting that the formation and development of *T. ascendens* knee roots are
304 related to ethylene production and accumulation.

305 The phytohormone IAA is essential for root development and adventitious root formation (Visser
306 and Voesenek 2004, Kitomiy et al. 2008). IAA also plays an important role in the development and
307 activities of the cambium (Uggla et al. 1998, Bhalerao and Fischer 2014). Our study suggests that,
308 with the development of the knee roots, the IAA content first increased and then decreased; IAA
309 content was lower in the knee roots at the young-aged and old-aged stages and higher in those at the
310 middle-aged stage. High IAA content is beneficial for cambium cell enlargement, expansion, and
311 division (Bhalerao and Fischer 2016), whereas low IAA content is beneficial for secondary cell-wall
312 deposition and lignification (Fajstavr et al. 2018). Our results were similar to the conclusion of Cui et
313 al. (1999, 2000), who reported that endogenous IAA concentration in the cambium of *Broussonetia*
314 *papyrifera* increased obviously when the cambium formed the immature phloem and xylem and
315 decreased when the immature vascular cells differentiated towards maturation; this indicates IAA
316 concentrations are different in the division stage of cambium cells and differentiation stage of
317 cambium derivative cells.

318 Under flood conditions, anoxia stimulates the production of ethylene, which accumulates in roots
319 surrounded by water and induces programmed cell death in the cortex tissue (He et al. 1996, Drew et
320 al. 2000). In our study, the cells of the periderm at the apex of the knee roots were dead, arranged
321 loosely, and had a large number of intercellular spaces, which is conducive to gas exchange between
322 the knee roots and air. Because the knee roots were exposed to air to resist the adverse effects of
323 external environmental factors, the periderm of the knee roots was obviously thicker than that of the

324 underground roots. Plant stems can form irregular wide rays composed of abnormally large ray cells
325 after the application of ethephon (Pallardy 2011). Because the knee roots were stimulated by ethylene,
326 phloem rays at the apex of the knee roots expanded obviously when compared with the underground
327 roots. Thus, the flooding resistance of *T. ascendens* is related to the formation of knee roots and
328 enhancement of air permeability. Although this study showed that ACC, ethylene, and IAA affected
329 the formation of knee roots, the underlying molecular mechanism is still unclear. We recommend the
330 transcription factors and gene expression of *T. ascendens* roots should be explored to further
331 understand the mechanism of flooding resistance.

332 **Conclusions**

333 Our results suggest that the formation and distribution of knee roots are significantly affected by
334 the water table. The middle water table significantly enhanced the formation and distribution of knee
335 roots in *T. ascendens*. Furthermore, the knee roots were beneficial for the growth of *T. ascendens*, and
336 the height and the DBH of *T. ascendens* were positively correlated with the number and size of knee
337 roots. The ACC content and ACC synthase activities in the knee roots at different development stages
338 did not show any significant differences, whereas they were significantly lower in the knee roots than
339 in the underground roots. The ACC oxidase activities in the knee roots decreased as the knee roots
340 developed. Ethylene and IAA affected the formation of knee roots. The ethylene release rate was
341 significantly higher in the knee roots than in the underground roots, and the IAA content first
342 increased and then decreased with the development of the knee roots. The anatomical structure of the

343 knee roots showed that cells of the periderm at the apex of the knee roots were dead, arranged loosely,
344 and had a large number of intercellular spaces that improved internal gas diffusion. In conclusion,
345 seasonal flooding induced the production of endogenous hormones, resulting in the formation of knee
346 root, which improved root respiration and ventilation, thus improving the flooding tolerance of *T.*
347 *ascendens*.

348 **Conflicts of Interest**

349 The authors declare no conflict of interest.

350 **Acknowledgement**

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495 **Table 1** Number and size of knee roots of *Taxodium ascendens* at different water table sites

Site	Annual Water Table (m)	Flooding Period (month year ⁻¹)	Knee Root Density (root m ⁻²)	Knee Root Height (cm)	Knee Root Diameter (cm)
High water table	> -0.6	>3	0.66±0.02 b	6.65±1.36 b	3.73±0.12 b
Middle water table	-0.6 to -1.2	1 to 2	1.61±0.64 a	7.64±1.42 a	4.11±0.51 a
Low water table	< -1.2	0	0.65±0.02 b	6.72±1.60 b	3.70±0.25 b

496 Data represent mean ± standard deviation values of seven replication sites.

497 Values followed by the same letter are not significantly different at $P < 0.05$, according to Duncan's

498 multiple range tests. The height of the knee roots refers to the height from the ground to the apex of

499 the knee roots. The knee root diameter refers to the average diameter at half the height of the knee

500 roots.

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503 **Table 2** Correlation coefficient between number and size of knee roots and DBH and tree height of

504 *Taxodium ascendens* at Zhaoguan Forest Farm

	Number of knee roots	Mean height of knee roots	Mean diameter of knee roots	Surface area of knee roots
Tree height	0.45*	0.46*	0.52*	0.52*
Tree DBH	0.66**	0.61**	0.65**	0.59**
Number of underground roots		0.87*	0.48	0.86*
Weight of underground roots		0.93**	0.53	0.95**

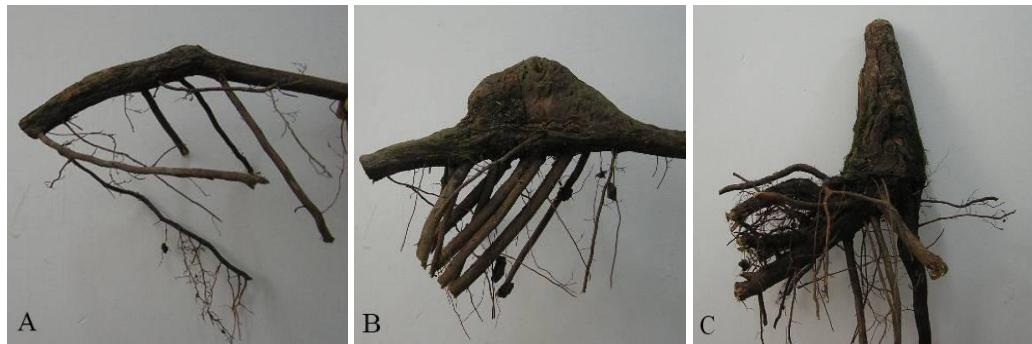
505 DBH, diameter at breast height. *P = 0.05 significance; **P = 0.01 significance.

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511 Fig. 1 Photographs of knee roots of young-aged stage (A), middle-aged stage (B),
512 (C).

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516 **Fig. 2** Photographs of a root segment with a knee root (left) and transverse section of a knee root

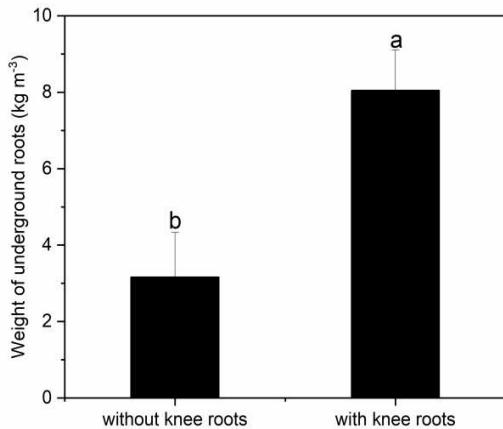
517 (right).

518 Vertical bars indicate the portion with the transverse section of the knee root. Letters indicate positions

519 of samples for microscopic observations. A, apex.

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523 **Fig. 3** Weight of underground roots with or without knee roots

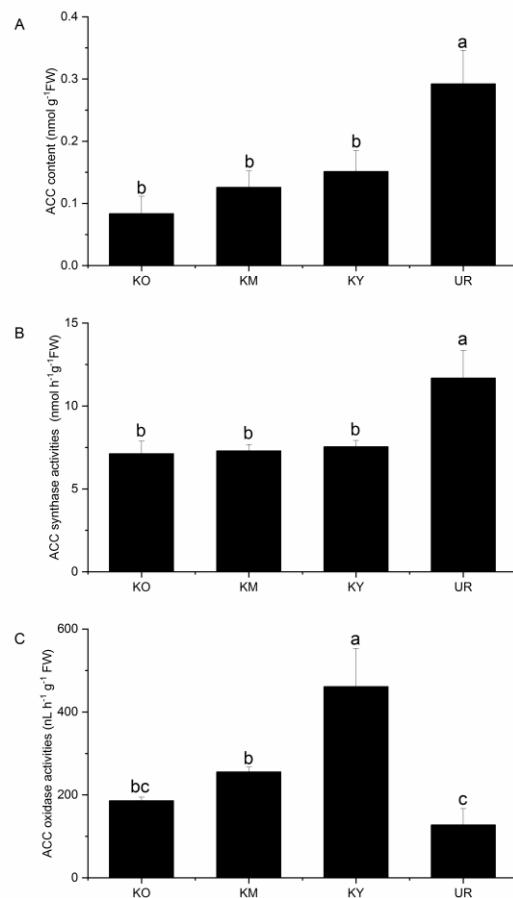
524 Values followed by the same letter(s) are not significantly different at $P < 0.05$, according to Duncan's

525 multiple range tests. Error bars are standard error of the mean; $n = 3$.

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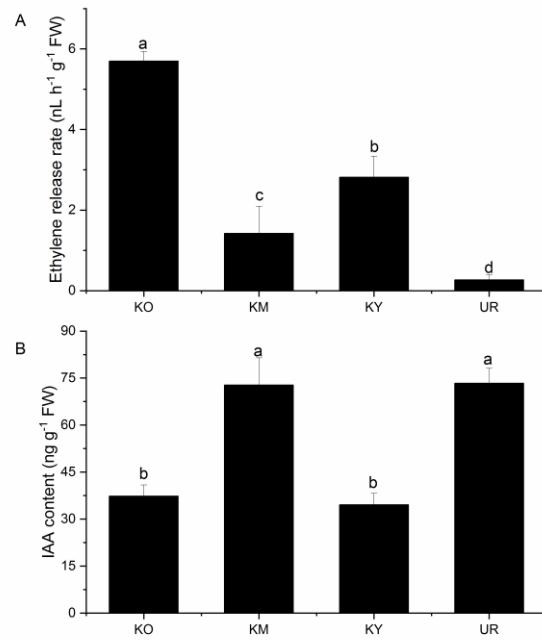
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Fig. 4 ACC content and ACC synthase and ACC oxidase activities in different roots of *Taxodium ascendens* (KO, KM, and KY refer to the knee roots at different development stages: old-aged stage, middle-aged stage, and young-aged stage, respectively. UR means underground roots.). Values followed by the same letter(s) are not significantly different at $P < 0.05$, according to Duncan's multiple range tests. Error bars are standard error of the mean; $n = 3$.

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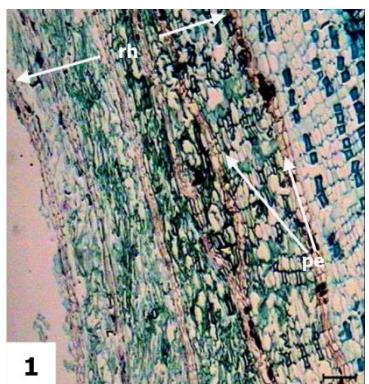


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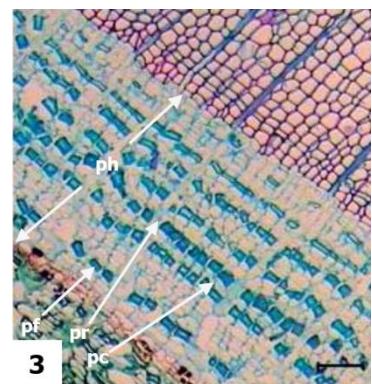
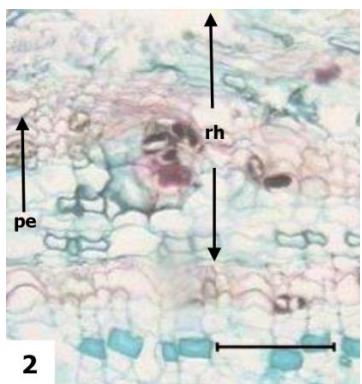
538 **Fig. 5** Ethylene release rates from different root types of *Taxodium ascendens*

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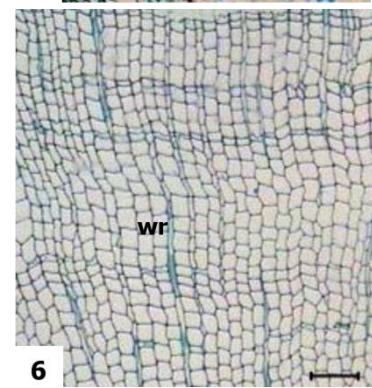
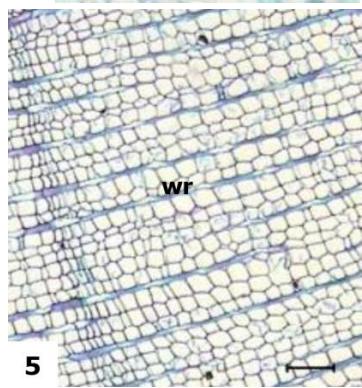
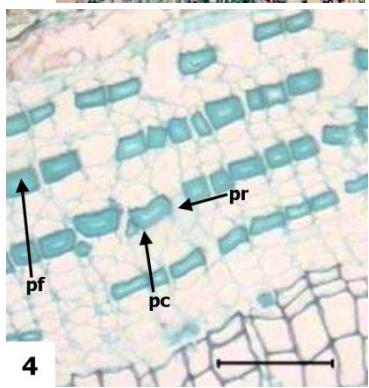
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543 **Fig. 6 Transverse anatomical structure of knee roots and underground roots**

544 Horizontal line = 100 μm . 1, Rhytidome at the apex of the knee roots (middle stage); 2,

545 mid-sized underground roots; 3, phloem at the apex of the knee roots (middle stage); 4,

546 phloem of mid-sized underground roots; 5, xylem at the apex of the knee roots (middle stage); 6,

547 xylem of mid-sized underground roots; rh: rhytidome; pe: periderm; ph: phloem; pr: phloem ray; pf:

548 phloem fiber; pc: phloem parenchyma cell; wr: wood ray.

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552 **Fig. 7** Knee roots of *Taxodium ascendens* in the wetland

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Figures

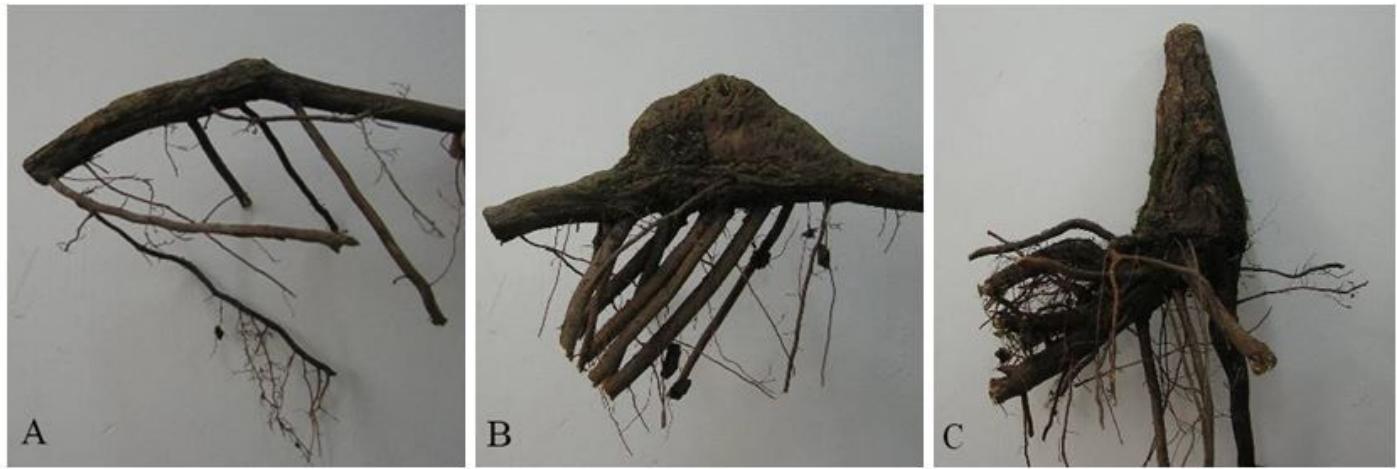


Figure 1

Photographs of knee roots of young-aged stage (A), middle-aged stage (B), and old-aged stage (C).

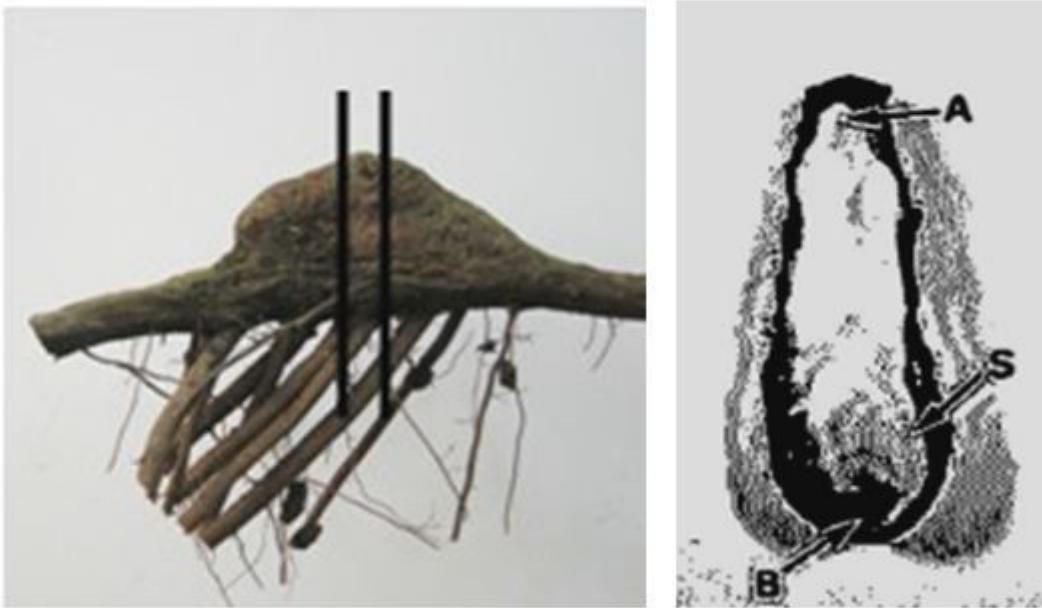


Figure 2

Photographs of a root segment with a knee root (left) and transverse section of a knee root (right). Vertical bars indicate the portion with the transverse section of the knee root. Letters indicate positions of samples for microscopic observations. A, apex.

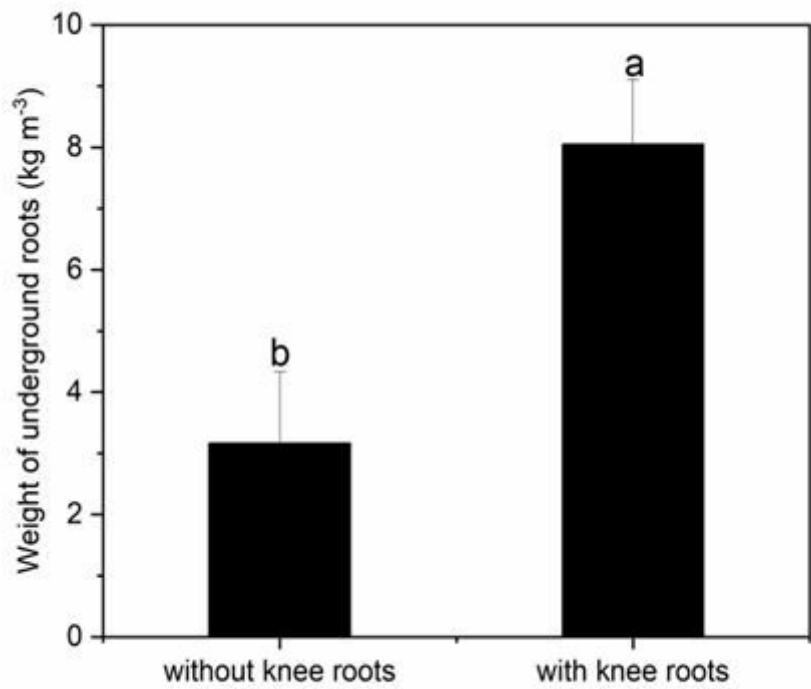


Figure 3

Weight of underground roots with or without knee roots Values followed by the same letter(s) are not significantly different at $P < 0.05$, according to Duncan's multiple range tests. Error bars are standard error of the mean; $n = 3$.

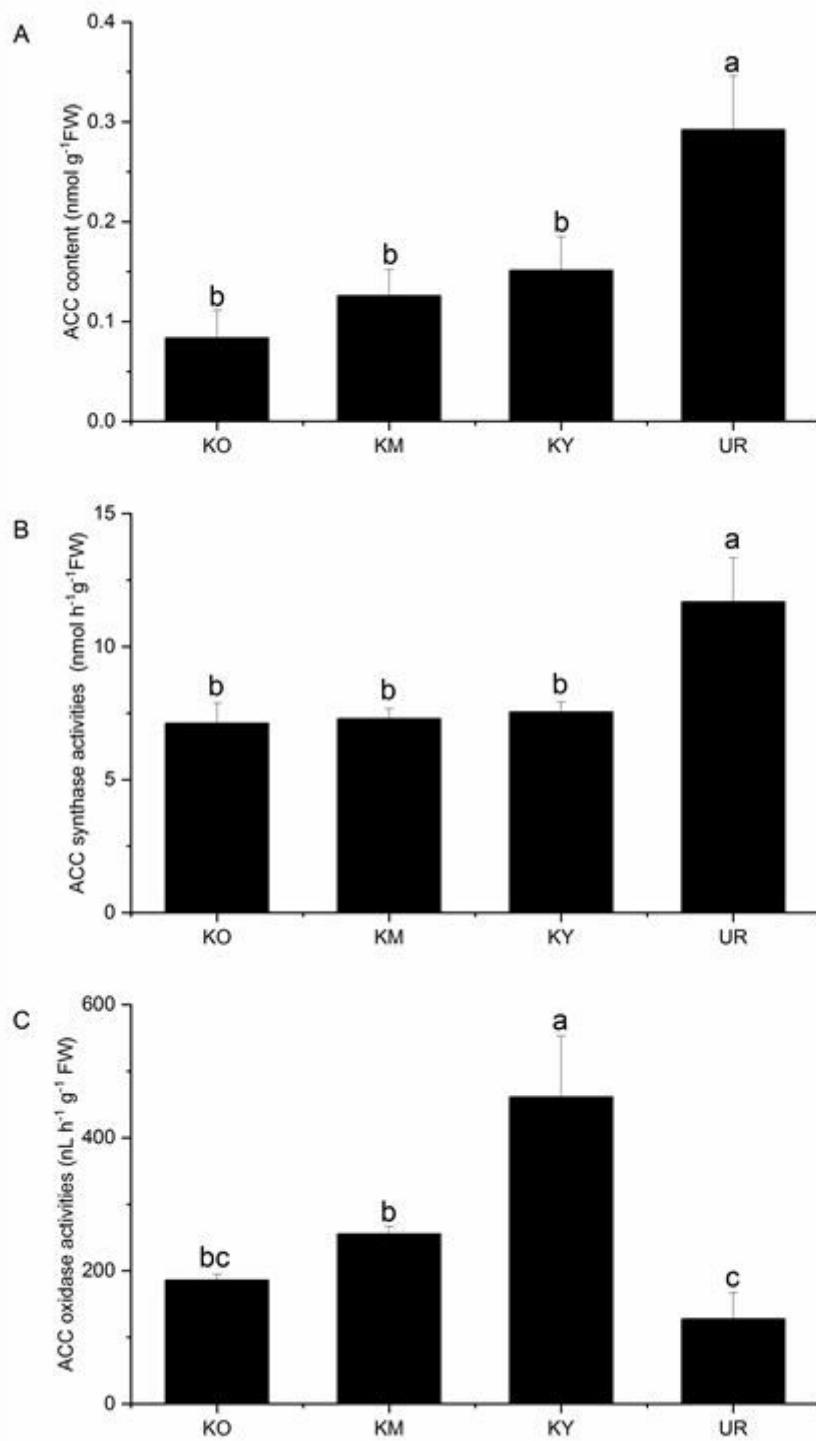


Figure 4

ACC content and ACC synthase and ACC oxidase activities in different roots of *Taxodium ascendens* (KO, KM, and KY refer to the knee roots at different development stages: old-aged stage, middle-aged stage, and young-aged stage, respectively. UR means underground roots.). Values followed by the same letter(s) are not significantly different at $P < 0.05$, according to Duncan's multiple range tests. Error bars are standard error of the mean; $n = 3$.

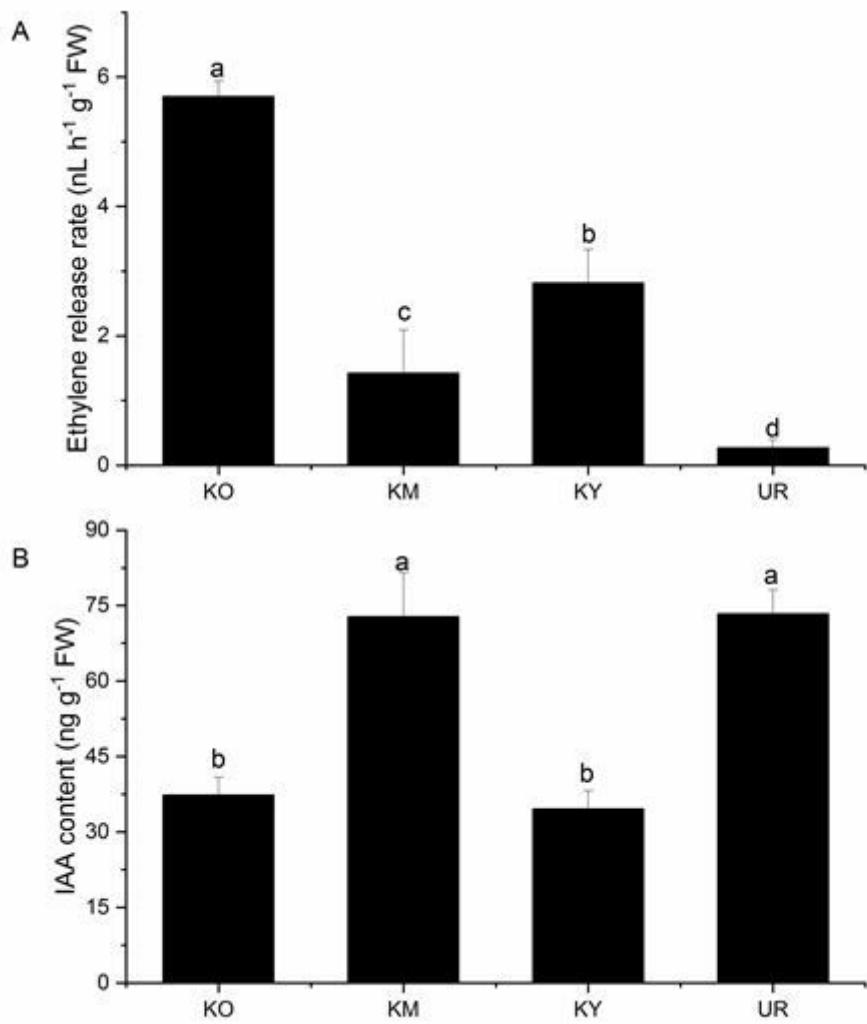


Figure 5

Ethylene release rates from different root types of *Taxodium ascendens*

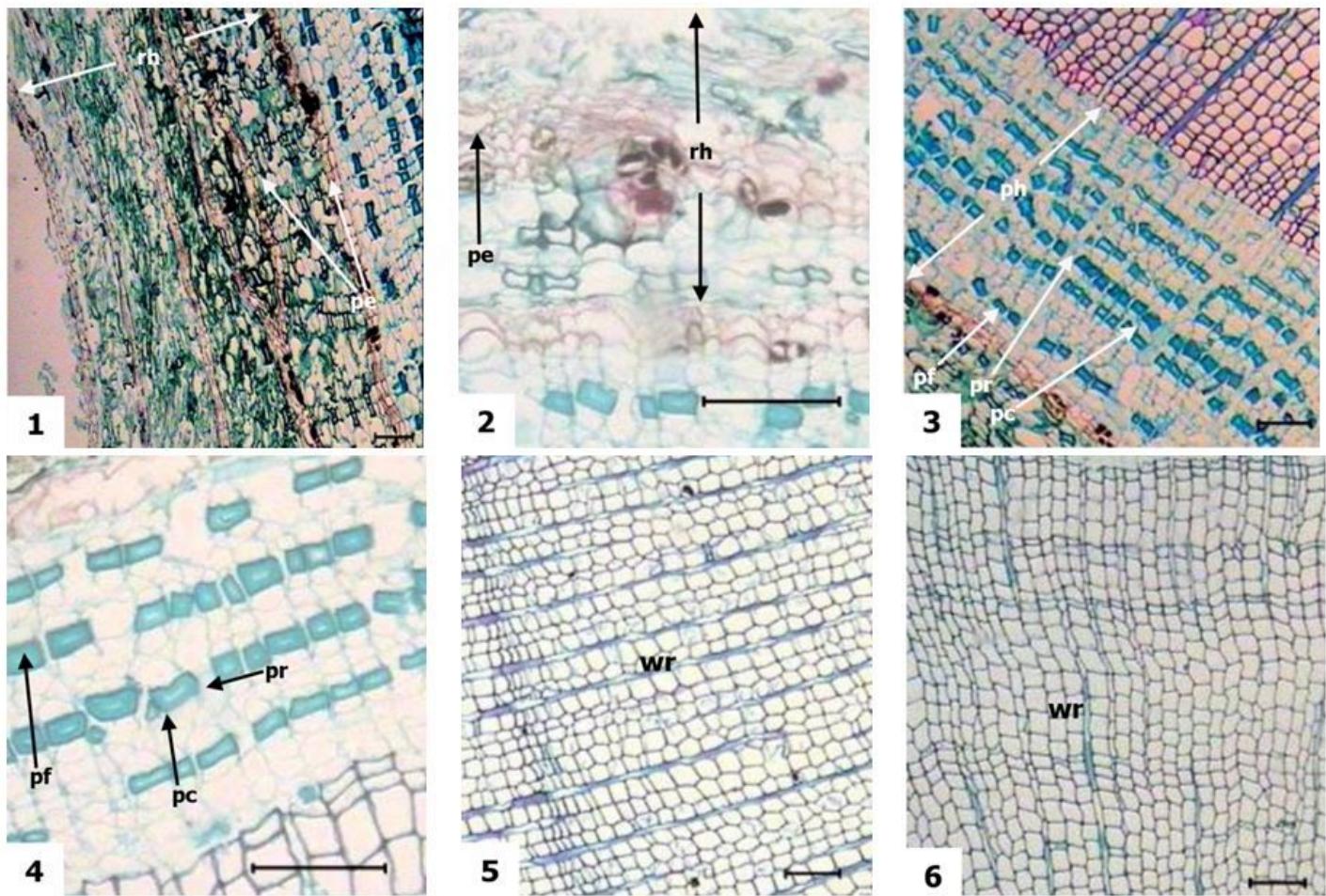


Figure 6

Transverse anatomical structure of knee roots and underground roots Horizontal line = 100 μm . 1, Rhytidome at the apex of the knee roots (middle stage); 2, rhytidome of mid-sized underground roots; 3, phloem at the apex of the knee roots (middle stage); 4, phloem of mid-sized underground roots; 5, xylem at the apex of the knee roots (middle stage); 6, xylem of mid-sized underground roots; rh: rhytidome; pe: periderm; ph: phloem; pr: phloem ray; pf: phloem fiber; pc: phloem parenchyma cell; wr: wood ray.



Figure 7

Knee roots of *Taxodium ascendens* in the wetland