

# Analysis of Regional Differences and Dynamic Mechanisms of Agricultural Carbon Emissions Efficiency in China's Seven Agricultural Regions

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## Research Article

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# 1           **Analysis of Regional Differences and Dynamic Mechanisms of Agricultural** 2           **Carbon Emissions Efficiency in China's Seven Agricultural Regions**

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## 9 10   **Abstract:**

11   A profound understanding of the present status and regional characteristics of China's agricultural carbon  
12   emissions (ACE) is the basic prerequisite for exploring a pathway to ACE reduction that is compatible  
13   with China's national conditions. This study uses the inter-provincial agricultural industry panel data  
14   from 2001 to 2017, and selects the three-stage slack-based measure data envelope analysis (SBM-DEA)  
15   model and Malmquist Luenberger (ML) index model to measure the dynamic efficiency of agricultural  
16   carbon emission (ACE). Additionally, this study uses the Dagum Gini coefficient and the panel vector  
17   auto-regression (PVAR) model to analyze the sources of regional differences in dynamic efficiency and  
18   the internal structure, respectively. The empirical results reveal the following: (i) The dynamic efficiency  
19   of China's ACE is in a state of "efficiency optimization." Although both technological change and  
20   technological efficiency change are in an "efficient" state, they also show a decline in technological  
21   efficiency change, and a regression in technological change, respectively. (ii) The overall Dagum Gini  
22   coefficient of China's ACE dynamic efficiency, technological change, and technological efficiency  
23   change all demonstrate upward trends. The gap between regions is the main reason for the long-term gap  
24   between the dynamic efficiency of China's ACE, technological change, and technological efficiency  
25   change. (iii) Regardless of the time horizon, technological change has always been the main driving force  
26   for the continuous growth of dynamic efficiency; the contribution of technological change to dynamic  
27   efficiency is far greater than that of technological efficiency change. This conclusion has been verified  
28   in samples from different regions of China.

29   **Keywords:** dynamic efficiency; technological change; technological efficiency change; Dagum Gini  
30   coefficient; PVAR model

## 31 32   **1. Introduction**

33   As the global climate problem has become increasingly serious, the "low-carbon economy" has become

34 a new schema for global development. According to the IPCC (2018) report, Global warming is 1.5°C  
35 higher than pre-industrial levels. Moreover, Agriculture's share of greenhouse gases cannot be  
36 underestimated, approximately 1/4 of the total net artificial greenhouse gas emissions (GHG) and 14%  
37 of the total global carbon emissions are related to agricultural activities (IPCC 2007)<sup>1,2</sup>. However, due to  
38 the widespread and universal nature of agricultural activities suggest that carbon emissions from this  
39 sector are an important source of global carbon emissions that must be addressed. (Fei and Lin 2016 and  
40 Dogan and Sebri et al. 2016). China's agricultural sector accounts for approximately 17% of the country's  
41 total carbon emissions (Dong et al.2008). Reducing carbon emissions and improving unit carbon  
42 emission efficiency are important measures for achieving low-carbon economic development  
43 (Beinhocker et al. 2008). Due to the increasing severity of the global climate problem, achieving emission  
44 reductions and low-carbon economic development have become matters of concern.

45 Many studies on ACE have been conducted. Early literatures focused on the factors that influence ACE.  
46 For instance, West et al. (2002) conducted an in-depth examination of the factors that influence ACE. In  
47 contrast, research by Johnson et al. (2007) found that ACE are primarily derived from livestock and  
48 poultry gas emissions, manure management, rice cultivation, arbitrary disposal of agricultural waste, and  
49 biological combustion. More recently, He and Dai (2016) highlighted that both the agricultural economic  
50 structure and the level of agricultural mechanization are leading factors that lead to differences in the  
51 spatial structure of agricultural carbon emissions in China. Moreover, Tian and Zhang (2017) found that  
52 chemical fertilizers, agricultural lime, pesticides, agricultural irrigation, and seed cultivation were the  
53 main sources of ACE.

54 Decomposition analysis of China's ACE is another area of burgeoning inquiry. Li and Li (2010) measured  
55 the amount of carbon dioxide emissions from energy consumption in China's agricultural sector from  
56 1981 to 2007. Furthermore, Han and Zhang (2013) found that the import effect contributes at the greatest  
57 rate to China's current carbon emissions related to agricultural energy consumption, and followed by the  
58 export counter-effect, industrial scale effect, and energy efficiency effect. On this basis, they used the  
59 logarithmic mean Divisia index (LMDI) model to decompose carbon emissions, they found that  
60 economic growth was the most important driving factor for ACE. The comprehensive measurement of  
61 ACE has been used for calculating GHG emissions from energy crop cultivation based on carbon  
62 footprint (CFP) approaches. For example, Valin et al. (2013) investigated the effects of crop yield and  
63 livestock feed efficiency scenarios on GHG emissions from agriculture and land use change in  
64 developing countries. Besides that, Peter et al. (2017), have analyzed the available calculators and  
65 approaches according to the goal and scope of the calculator, the methodology used to account for GHG  
66 emissions from energy crop cultivation, energy crop cultivation management practices, and the ability to

<sup>1</sup> IPCC. Intergovernmental Panel on Climate Change. Switzerland: IPCC WGI Fourth Assessment Report, 2007.

<sup>2</sup> IPCC.Climate change 2007: mitigation of climate change. Contribution of working group III to the fourth assessment report of the intergovernmental panel on climate change .Cambridge, United Kingdom: Cambridge University Press: 63–67.

67 model crop rotation. In addition, Ismael et al. (2018) used annual data for the period 1970 to 2014 to  
68 examine the interaction between agricultural technology factors and the environment in terms of carbon  
69 emissions in Jordan. Recently, LMDI decomposition and decoupling analysis has been used by  
70 researchers such as Liu et al. (2019) to test the changing trends and regional differences in China's ACE.

71 As research on ACE continues to deepen, scholars have shifted from simple ACE calculations to more  
72 comprehensive research on total factor productivity that relies on input and output analysis. Among the  
73 representative studies, Guo et al. (2018) used the SBM-Undesirable model with undesirable outputs to  
74 evaluate the total agricultural carbon emissions and carbon emission efficiency of various provinces in  
75 western China, and they found that the total amount of ACE in western China is increasing, and that there  
76 are obvious spatial differences regarding carbon emissions. In addition, Wang (2020) used the undesired  
77 output super-efficiency SBM model to empirically test the agricultural efficiency level and its spatial  
78 pattern in Anhui Province, China. Moreover, Lei et al. (2020) empirically tested the non-linear  
79 relationship between agricultural technological change and ACE efficiency by constructing a panel-  
80 threshold model .

81 Collectively, the aforementioned studies provide a wealth of theoretical value for exploring the ACE  
82 reduction. However, as the understanding of China's agricultural development continues to deepen,  
83 research on carbon emissions must move beyond the static level of index calculation and regional  
84 difference feature analysis. It is necessary to explore the dynamic efficiency of ACE and the internal  
85 mechanism of its formation.

86 The findings from the existing research on ACE not only lay an important theoretical and methodological  
87 basis for this study, but also highlight the insufficiency of research on ACE efficiency. In the relevant  
88 research on the total factor carbon emission productivity, the parametric method (e.g. Färe et al., 2005;  
89 Marklund and Samakovlis, 2007) and the non-parametric method (e.g. Liu et al., 2011; Zhou and Nie,  
90 2012; Xu and Luan, 2018) are mainly used to measure the carbon emission production efficiency. Among  
91 them, non-parametric models are widely used by scholars in the evaluation of total-factor carbon  
92 emission efficiency because they do not need to establish functional forms and prior conditions in  
93 advance (e.g. Song et al., 2012; Molinos-Senante et al., 2016) , and can effectively avoid the subjectivity  
94 of parameter weighting (e.g. Zhou et al., 2010; Dong et al., 2017). In previous studies on ACE efficiency,  
95 most of them adopted the traditional data envelope analysis (DEA) model or SBM-DEA model as their  
96 analytical approach. These approaches ignore the influence of the external environment and random  
97 interference to a certain extent, and only consider the decision-making unit (DMU). Therefore, the  
98 estimated result is quite different from the actual situation (e.g. Tone, 2001; Choi et al., 2012; Gómez-  
99 Calvet et al., 2014; Iftikhar et al., 2016; Chen et al., 2017; Wu et al., 2019). To compensate for this  
100 shortcoming, this study uses the framework of Fried et al. (2002) to propose a three-stage DEA model  
101 based on a combination of the traditional DEA model and the stochastic frontier analysis (SFA) method.  
102 In addition, to explore the dynamic changes of ACE efficiency, we refer to existing studies (e.g.  
103 Kortelainen, 2008; Wang et al., 2014), and adopt the DEA-Malmquist-Luenberger index method to  
104 analyze the dynamics of ACE efficiency. The decomposition analysis explores dynamic efficiency,  
105 technological efficiency change, and technological change, and uses PVARmodel to test the dynamic  
106 relationship of these three factors.

107 In summary, this paper divides China's 30 provincial-level administrative units into seven major  
 108 agricultural emission regions, and uses the three-stage SBM-DEA model and the ML index method to  
 109 measure the ACE efficiency from a dynamic perspective. In addition, the Dagum Gini coefficient and  
 110 the PVAR model are used to empirically test the ACE in 30 provinces and regions of China from 2001  
 111 to 2017. The marginal contributions of this paper are as follows: first, the correct division of the study  
 112 area according to the research question is an important prerequisite for exploring the road to carbon  
 113 emission reduction in China's agricultural sector. Unlike previous studies, this study conducts an  
 114 empirical analysis of the regional differences in the dynamic efficiency of ACE and its formation  
 115 mechanism according to the division of the seven major agricultural regions<sup>3</sup>. Second, in contrast to  
 116 existing studies on the static efficiency of ACE, this study uses the undesired output super-efficiency  
 117 three-stage SBM-DEA model and the ML index decomposition method to measure the dynamic  
 118 efficiency of ACE. Third, this study not only focuses on the regional differences and contribution sources  
 119 of the dynamic efficiency of ACE in various regions, but also expands the research perspective to  
 120 evaluate the internal structure of dynamic efficiency. The findings of this research can be used to diagnose  
 121 the regional differences in the dynamic efficiency of ACE and their mechanisms, and to provide reference  
 122 about ACE reduction for policy makers and planning of relevant government entities.

123

## 124 2. Research design

### 125 2.1 Dagum Gini coefficient and its subgroup decomposition method

126 With reference to existing research, the study area is divided into seven major agricultural regions:  
 127 Northeast China, Huanghuaihai Region, The middle and lower reaches of the Yellow River, South China,  
 128 Northwest and Areas along the Great Wall, Southwest and Qinghai-Tibet Region. Dagum (1997) )'s  
 129 methods empirically test the dynamic efficiency, technological efficiency change (EC) and technological  
 130 change (TC) of ACE, and the regional differences among those three factors in China's seven major  
 131 agricultural regions. Dagum defines Gini coefficient as:

$$132 \quad G = \sum_{i=1}^K \sum_{j=1}^K \sum_{h=1}^{n_i} \sum_{r=1}^{n_j} |y_{ih} - y_{jr}| / 2n^2 \mu \quad (1)$$

133 In the formula (1),  $G$  represents the total Gini coefficient, which measures the total difference of ACE  
 134 between all provinces.  $K$  represents the number of regions, including seven major agricultural regions.  
 135  $y_{ih}$  and  $y_{jr}$  represent the true levels of the dynamic efficiency of ACE of all provinces and cities, and

<sup>3</sup> According to the "National Agricultural Sustainable Development Plan (2015-2030)", the country is divided into seven major regions, namely the Northeast Region (Heilongjiang, Jilin, Liaoning), Huanghuaihai Region (Beijing, Tianjin, Hebei, Henan, Shandong), and the Yangtze River Middle and lower reaches (Jiangxi, Zhejiang, Shanghai, Jiangsu, Anhui, Hubei, Hunan), South China (Fujian, Guangdong, Hainan), Northwest and areas along the Great Wall (Xinjiang, Ningxia, Gansu, Shaanxi, Shanxi, Inner Mongolia), Southwest Region (Guangxi, Guizhou, Sichuan, Chongqing, Yunnan), Qinghai-Tibet area (Qinghai, Tibet).

136  $i=1,2,\dots,K; j=1,2,\dots,K$ .  $\mu$  is the average value of the dynamic efficiency of ACE of all provinces and  
 137 cities,  $n$  is the number of all provinces and cities, and  $n_i$  and  $n_j$  are the number of provinces and cities.

138  $G$  can be decomposed into three parts: intra-regional gap  $G_w$ , inter-regional gap  $G_{rb}$ , and transvariation  
 139 intensity  $G_t$ . The three parts satisfy:  $G=G_w+G_{rb}+G_t$ . Among them,  $G_w$  represents the distribution gap of  
 140 the dynamic efficiency of ACE in the  $i(j)$  region.  $G_{rb}$  represents the distribution gap of the dynamic  
 141 efficiency of agricultural carbon emissions between regions  $i(j)$ .  $G_t$  represents the impact of the cross  
 142 term of the dynamic efficiency of ACE between regions on the total Gini coefficient  $G$ . If  $G_t=0$ , it means  
 143 that the cross term of the dynamic efficiency of ACE between regions does not exist. The specific  
 144 decomposition formula is as follows:

$$145 \quad G_w = \sum_{i=1}^K \lambda_i s_i G_{ii} \quad (2)$$

146 Formula (2) measures the contribution of the difference of dynamic efficiency of ACE within region to  
 147 the total Gini coefficient  $G$ ;

$$148 \quad G_{rb} = \sum_{i=2}^K \sum_{j=1}^{i-1} (\lambda_j s_i + \lambda_i s_j) G_{ij} D_{ij} \quad (3)$$

149 Formula (3) measures the net contribution of the extended difference of dynamic efficiency of ACE  
 150 between regions to the total Gini coefficient  $G$ ;

$$151 \quad G_t = \sum_{i=2}^K \sum_{j=1}^{i-1} (\lambda_j s_i + \lambda_i s_j) G_{ij} (1 - D_{ij}) \quad (4)$$

152 Formula (4) measures the contribution of the transvariation intensity between regions to the total Gini  
 153 coefficient  $G$ .  $\lambda_i = n_i/n$  and  $s_i = \lambda_i \mu_i/\mu$ ,  $\mu_i$  and  $\mu_j$  are the average of dynamic efficiency of ACE.

154 In Eq. (10),  $D_{ij}=(d_{ij}-p_{ij})/(d_{ij}+p_{ij})$  is the relative economic affluence between the  $i$ th and the  $j$ th region, and  
 155 the gross economic affluence  $d_{ij}$  between the  $i$ th and the  $j$ th region, such as  $\mu_i > \mu_j$ , is

$$156 \quad d_{ij} = \int_0^\infty \int_0^y (y-x) f_j(x) dx f_j(y) dy \quad (5)$$

157 Where  $d_{ij}$  is under the condition of  $y_{ih} > y_{jr}$ , the weighted average of the dynamic efficiency gap  $(y_{ih}-y_{jr})$  of  
 158 all ACE under the following conditions. For the continuous density distribution functions  $f_i(y)$  and  $f_j(y)$ .

159  $p_{ij}$  is the first-order moment of transvariation intensity between the  $i$ th and the  $j$ th region, such that  $\mu_i > \mu_j$   
 160 is

$$161 \quad p_{ij} = \int_0^\infty \int_0^y (y-x) f_j(x) dx f_j(y) dy \quad (6)$$

162  $G_{ii}$  is the Gini coefficient within region and  $G_{ij}$  is the Gini coefficient between regions, i.e.,

163 
$$G_{ii} = \sum_{h=1}^{n_i} \sum_{r=1}^{n_j} |y_{ih} - y_{jr}| / 2n_i^2 \mu_i \quad (7)$$

164 
$$G_{ij} = \sum_{h=1}^{n_i} \sum_{r=1}^{n_j} |y_{ih} - y_{jr}| / n_i n_j (\mu_i + \mu_j) \quad (8)$$

165 **2.2 The Super-efficiency three-stage SBM-ML model of undesired output**

166 The super-efficiency three-stage SBM-ML model of undesired output can be used to analyze the dynamic  
 167 changes of carbon emission efficiency. The three-stage process is: the first stage uses the undesired output  
 168 super-efficiency SBM-DEA model to analyze the changes in total factor productivity; the second stage  
 169 uses the stochastic frontier method to adjust the input-output variables; the third stage will adjust the  
 170 Input variables and original output variables are substituted into the undesired output super-efficiency  
 171 SBM-ML model for measurement.

172 (1) The first stage: use the super-efficiency SBM-DEA model of undesired output to calculate the initial  
 173 efficiency of each decision-making unit (DMU) and the slack variables of input-output. The SBM-DEA  
 174 model is:

175 
$$\rho = \min \frac{1 - \frac{1}{m} \sum_{i=1}^m \frac{s_i^-}{x_{i0}}}{1 + \frac{1}{s_1 + s_2} \left( \sum_{r=1}^{s_1} \frac{s_r^g}{y_{r0}^g} + \sum_{r=1}^{s_2} \frac{s_r^b}{y_{r0}^b} \right)} \quad (9)$$

176 
$$\text{subject to } \begin{cases} x_0 = X \lambda + S^- \\ y_0^g = Y^g \lambda - S^g \\ y_0^b = Y^b \lambda + S^b \\ S^- \geq 0, S^g \geq 0, S^b \geq 0, \lambda \geq 0 \end{cases}$$

177 Where  $\lambda$  is the weight vector.  $x$  and  $y$  are matrixes that compose of the input and output of all DMU,  
 178 which  $y^g$  is the expected output,  $y^b$  is the undesired output.  $m$  are the number of input indicators,  $S$   
 179 are the number of outputs indicators ( $S_1$  represents expected output,  $S_2$  represents undesired output).  $n$  is the  
 180 number of DMU.  $S^-$ 、 $S^g$ 、 $S^b$  are the slack variables of input variables, expected output and undesired  
 181 output, respectively.  $\rho$  is the carbon emission efficiency value, and  $0 \leq \rho \leq 1$ . When  $\rho=1$ ,  $S^-=S^g=S^b=0$ . At  
 182 this time, it is completely efficient for this particular DMU; when  $\rho < 1$ , it means that the DMU is  
 183 inefficient, and the input variables and output variables need to be improved to improve efficiency.

184 From observing formula , it can be found that input-output slack variables are directly substituted into  
 185 the objective function for calculation. On the one hand, it solves the problem of slackness of input and  
 186 output variables in the traditional DEA model, and on the other hand, it also effectively solve the problem  
 187 of expected and undesired output in output variables. Therefore, the SBM model is more effective in  
 188 evaluating carbon emission efficiency issues.

189 (2) The second stage: First, construct and use the stochastic frontier analysis (SFA) to decompose the  
 190 input relaxation value obtained in the first order stage. The SFA regression model is:

$$191 \quad S_{ij} = f(Z_j; \beta_i) + v_{ij} + \mu_{ij} \quad (i = 1, 2, K, I; j = 1, 2, K, J) \quad (10)$$

192 Where  $S_{ij}$  is the slack variable of the  $i$ th input of the  $j$ th DMU,  $Z_j$  is the environmental variable,  $\beta_i$  is the  
 193 coefficient of the environmental variable,  $v_{ij} + \mu_{ij}$  represents the mixed error term,  $v_{ij}$  is random error, and  
 194  $\mu_{ij}$  is the managerial inefficiency, which means the influence of managerial factors on the input slack  
 195 variable, assumed it follows the truncated half-normal distribution at the zero point, ie.  $\mu \sim N^+(0, \sigma_\mu^2)$ .

196 Using the SFA model, the input slack variables of the 30 provincial regions from 2001 to 2017 obtained  
 197 in the first stage were used as the explanatory variables, economic development level, industrial structure,  
 198 energy structure, government regulation, technological innovation level and degree of opening to the  
 199 outside world which six environmental factors were used as explanatory variables for regression analysis.  
 200 Frontier4.1 was used to obtain SFA regression results. In order to make the calculation results more  
 201 precise, this paper adopts the method of year-by-year analysis and establishes a total of 54 regression  
 202 equations.

203 The input-output slack variable calculated in the first stage is affected by managerial inefficiency,  
 204 environmental factors and statistical noise. Therefore, it is necessary to isolate these three effects and  
 205 eliminate environmental factors and random errors. The specific separation method is as follows:  
 206 Separate environmental factors, managerial inefficiency, and random errors:

$$207 \quad E(\mu/\varepsilon) = \sigma_* \left[ \frac{f\left(\lambda \frac{\varepsilon}{\sigma}\right)}{\varphi\left(\lambda \frac{\varepsilon}{\sigma}\right)} + \frac{\lambda \varepsilon}{\sigma} \right] \quad (11)$$

208 Where:  $\sigma_* = \frac{\sigma_\mu \sigma_v}{\sigma}$ ,  $\sigma_* = \sqrt{\sigma_\mu^2 + \sigma_v^2}$ ,  $\lambda = \sigma_\mu / \sigma_v$ ,  $\varepsilon = \mu_{ij} + v_{ij}$  In this way, the random error term  
 209 can be separated from the mixed error term, and the separation equation is:

$$210 \quad E\left[v_{ij} / (v_{ij} + u_{ij})\right] = S_{ij} - f(Z_j; \beta_i) - E\left[u_{ij} / (v_{ij} + u_{ij})\right] \quad (12)$$

211 Finally, adjust the input and output variables. Separating managerial inefficiency, environmental factors  
 212 and random errors in slack variables in order to put all DMU in the same external environment for  
 213 efficiency evaluation, so there are two adjustment methods. One is to adjust all DMU to a superior  
 214 external environment, which can be adjusted by reducing the input and output of other DMU; the other  
 215 is to adjust to a in-superior external environment and increase the input and output of other DMU. This  
 216 paper chooses the second adjustment method in view of the operability of the data, and its equation is:

217 
$$X_{ij}^A = X_{ij} + \left\{ \max \left[ f \left( Z_j; \beta_i \right) \right] - f \left( Z_j; \beta_i \right) \right\} + \left[ \max \left( v_{ij} \right) - v_{ij} \right] \quad (13)$$

218 Above formula represent adjusted input variable and  $X_{ij}$  represent before adjusted input variable. In  
 219 summary, all DMU will be placed in the same external environment.

220 (3) The third stage: The adjusted input value removes the external environment factors and random  
 221 interference factors, then recalculate it with the initial output data using the undesired output super-  
 222 efficiency SBM-ML model. The efficiency value at this time eliminated the influence of environmental  
 223 factors and random errors, and can more accurately reflect the true efficiency of the internal management  
 224 and investment scale of each DMU.

225 The undesired output super-efficiency SBM model can only be used to analyze the static environmental  
 226 efficiency of regions, but it cannot effectively measure the dynamic environmental efficiency of regions.  
 227 Färe et al. (1992) proposed the calculation method of Malmquist index which can be used to analyze the  
 228 efficiency of dynamic environment. Chung et al. (1997) introduced the directional distance function into  
 229 the Malmquist index to deal with the problem of undesired output, and called it The ML index, which  
 230 has all the virtues of the Malmquist index model. On this basis, not only the undesired output is taken  
 231 into account, but also the decrease of undesired output and the increase of expected output are taken into  
 232 consideration simultaneously. Therefore, this paper adopts the super-efficiency SBM-ML index, which  
 233 includes undesired output, to measure the dynamic total factor carbon emission efficiency of 30  
 234 provincial regions from 2001 to 2017. According to the ML index calculation method proposed by Chung  
 235 et al. (1997), it is assumed that the "bad" output is weakly disposed and the "good" output is freely  
 236 disposed. The direction vector  $g^t = (y^t, -b^t)$ , then the ML productivity index from  $t$  period to  $t+1$  is:  
 237

238 
$$ML_t^{t+1} = \sqrt{\frac{\left[ 1 + D_0^t(x^t, y^t, b^t; y^t, -b^t) \right]}{\left[ 1 + D_0^t(x^{t+1}, y^{t+1}, b^{t+1}, y^{t+1}, -b^{t+1}) \right]} \bullet \frac{\left[ 1 + D_0^{t+1}(x^t, y^t, b^t; y^t, -b^t) \right]}{\left[ 1 + D_0^{t+1}(x^{t+1}, y^{t+1}, b^{t+1}, y^{t+1}, -b^{t+1}) \right]}} \quad (14)$$

239 ML measures the change in productivity from period  $t$  to period  $t+1$ . If  $ML < 1$ , production efficiency  
 240 decline, when  $ML = 1$ , production efficiency remains unchanged, and if  $ML > 1$ , production efficiency  
 241 ascending. The ML index can be further decomposed into two parts: one part measures technological  
 242 efficiency change (EC), and the other part measures technological change (TC). The expression is as  
 243 follows:

244 
$$ML_t^{t+1} = MLEFFCH_t^{t+1} \bullet MLTECH_t^{t+1} \quad (15)$$

245 
$$MLEFFCH_t^{t+1} = \frac{1 + D_0^t(x^t, y^t, b^t; y^t, -b^t)}{1 + D_0^{t+1}(x^{t+1}, y^{t+1}, b^{t+1}, y^{t+1}, -b^{t+1})} \quad (16)$$

$$246 \quad MLTECH_t^{t+1} = \sqrt{\frac{\left[1 + D_0^{t+1}(x^t, y^t, b^t; y^t, -b^t)\right]}{\left[1 + D_0^t(x^t, y^t, b^t; y^t, -b^t)\right]} \cdot \frac{\left[1 + D_0^{t+1}(x^{t+1}, y^{t+1}, b^{t+1}; y^{t+1}, -b^{t+1})\right]}{\left[1 + D_0^t(x^{t+1}, y^{t+1}, b^{t+1}; y^{t+1}, -b^{t+1})\right]}} \quad (17)$$

247 *EC* measures how close each observation value is to its respective production frontier, while *TC* measures  
 248 the change in the production possibility boundary from period *t* to period *t+1*. Among them, when *EC*>*1*  
 249 means that the technological efficiency is improved; *EC*<*1* means that the technological efficiency is  
 250 reduced. *TC*>*1*, indicating technological improved; *TC*<*1*, indicating technological regression. *ML*>*1*,  
 251 indicating that the efficiency has increased; *ML*<*1*, indicating that the efficiency has decreased.

### 252 3. Data and variable selection

253 Based on the panel data of 30 provinces, municipalities, and autonomous regions in China from 2001 to  
 254 2017<sup>4</sup>. According to the classification standard of "National Economic Industry Classification"<sup>5</sup>, this  
 255 paper focuses on the dynamic efficiency of ACE and uses undesired output. The super-efficiency three-  
 256 stage SBM-ML model. The required data mainly comes from the 2001-2017 China Statistical Yearbook,  
 257 China Energy Statistical Yearbook, China Science and Technology Statistical Yearbook, local statistical  
 258 yearbooks and bulletins. Part of the missing data is supplemented by research methods such as  
 259 interpolation, exponential smoothing, and mean method. In order to test the interval difference in the  
 260 dynamic efficiency of agricultural carbon emissions, the study divided the regions according to the seven  
 261 major agricultural region, and conducted empirical analysis on samples from different regions. The  
 262 specific variables are selected as follows:

263 (1) Selection of input-output variables. From the research of Li et al. (2020) , this paper takes labor,  
 264 capital stock, and total energy consumption as input variables, and regional agricultural production and  
 265 carbon dioxide emissions as output variables. The specific description of the variables is shown in Table  
 266 1.

267 (2) Selection of environment variables. For the selection of environmental variables in the three-stage  
 268 DEA model, the main criterion is that the selection has a significant impact on the efficiency of carbon  
 269 dioxide emissions, but it is also a factor that cannot be controlled by the DMU. Based on comprehensive  
 270 consideration of data availability, representativeness of variable indicators and existing research (e.g.  
 271 Chen et al., 2017; Huang and Bai, 2019), this study focuses on economic energy, institutional  
 272 environment, and six indicators are selected as the environmental variables in this paper. They are  
 273 economic development level, industrial structure, energy structure, government regulation, technological

<sup>4</sup> There are 34 provinces in China. For the reason of the lack of data, Tibet, Macau, Hong Kong and Taiwan are excluded from the sample.

<sup>5</sup> The "Classification of National Economic Industries" (GB/T4754-2003) divides my country into 3 major industries and 6 major industries. Among them, the three major industries include the primary, secondary and tertiary industries; and the six major industries cover agriculture, forestry, animal husbandry, and fishery; Agriculture; construction industry; transportation, storage, post and telecommunications industry; wholesale, retail and accommodation, catering industry; other industries.

274 innovation level, and degree of openness to the outside world. The level of economic development. This  
 275 paper uses regional GDP per capita to express the level of regional economic development.

276 **Table 1 Indicators for Measuring and Calculating the China's ACE efficiency**

Index	variable	Index composition	Unit
	Labor Input	Number of employment at the end of the year by region	(10,000 people)
Input	Capital Input	Capital stock <sup>6</sup>	(100 million yuan)
	Total energy consumption	The total energy consumption of each province over the years.	(10,000 tons)
Output	Gross agricultural production	The gross agricultural production value of each region is regarded as the expected output.	(100 million yuan)
	Carbon dioxide emissions	CO2 emissions in various regions as undesired output.	(10,000 tons)
	The level of economic development	GDP per capita	
	Industrial structure	The ratio of agricultural production to GDP	(%)
Environment	Energy structure	The ratio of agricultural carbon emissions to total energy consumption. <sup>7</sup>	(%)
t	Government environmental regulation	The ratio of government investment in environmental governance to GDP.	(%)
	The level of technological innovation	The ratio of R&D expenditure to GDP.	(%)

<sup>6</sup> Calculation method: First, based on the construction method of Zhang (2004) and Shan (2008) about capital stock, the price deflator of the investment data of various industries in this article is calculated by Xu (2007)'s deflation index construction method. Then, Drawing on the provincial depreciation rate and base period capital stock data calculated by Zong (2014) method, according to the perpetual inventory method, the provincial capital stock data of the three industries in this paper are obtained. Finally, through the calculation of the amount of capital in the base period and the selection of depreciation rates and current investment indicators, the total investment in fixed assets of the whole society is then deflated.

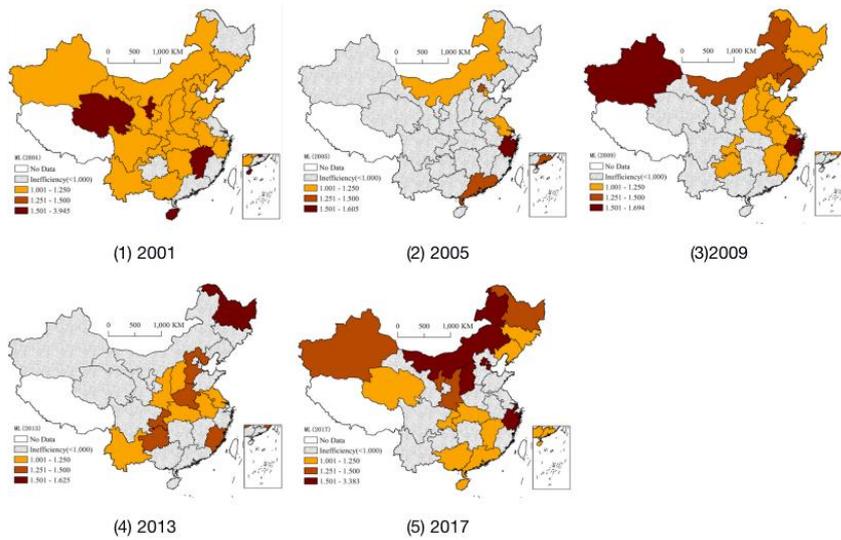
<sup>7</sup> Calculation method: According to the calculation formula in the "Guidelines for National Greenhouse Gas Inventories" compiled by the United Nations Intergovernmental Panel on Climate Change in 2006 (IPCC, 2006)

277

## 278 4. Decomposition of regional differences in agricultural carbon emission efficiency 279 in China

### 280 4.1 Overview of china's ACE efficiency

281 Figures 1–3 show the temporal and spatial evolution of the ACE dynamic efficiency (ML), EC, and TC  
282 in China from 2001 to 2017, respectively.

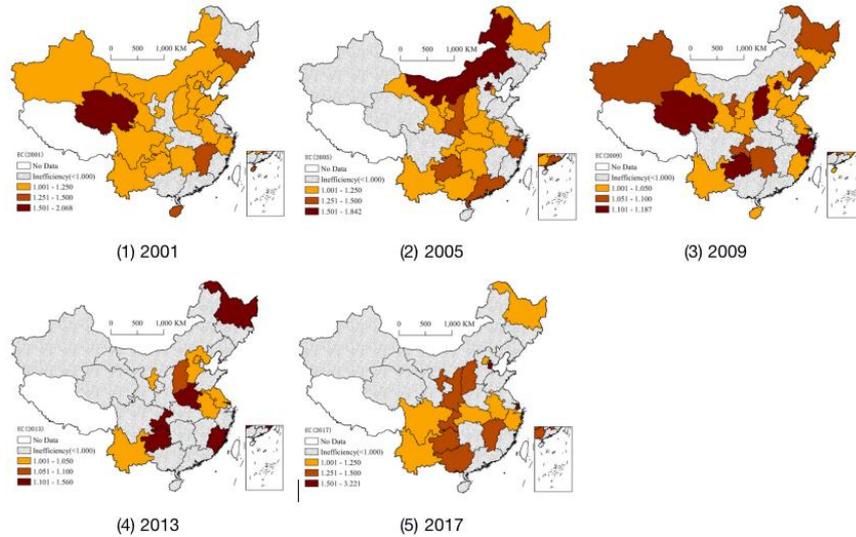


283

284 Fig. 1 Distribution of the dynamic efficiency of China's ACE efficiency

285

286 In Figure 1, in 2001, ML exhibited a spatially distributed orderly pattern, with higher values in western  
287 regions and lower in eastern regions, and an overall average efficiency of 1.199. Among the 30 regions,  
288 23 regions had  $ML > 1$  (efficiency growth areas) and 7 regions had  $ML < 1$  (efficiency decline areas), most  
289 of which were agglomerated in the middle and lower reaches of the Yangtze River and the Huanghuaihai  
290 region. By 2005, ML was distributed in a point-like space, and the overall average efficiency decreased  
291 to 0.885, whereas the efficiency growth area was mainly clustered in economically developed areas. By  
292 2009, the spatial distribution of ML showed higher values in eastern and northern regions and lower  
293 values in western and southern regions, and the overall average efficiency increased to 1.034. Efficiency  
294 decline areas reduced, with 13 regions in total, whereas efficiency growth areas were mainly distributed  
295 in the Northeast, Huanghuaihai region, and the middle and lower reaches of the Yangtze River. By 2013,  
296 the overall average efficiency decreased to 0.994; the number of efficiency decline regions increased,  
297 and the efficiency growth areas were mainly located in the middle and lower reaches of the Yangtze River.  
298 By 2017, the overall average efficiency increased to 1.321; efficiency growth regions also further  
299 increased, the distribution was more dispersed, and the efficiency of economically developed regions and  
300 agricultural-based regions was higher.



301

302

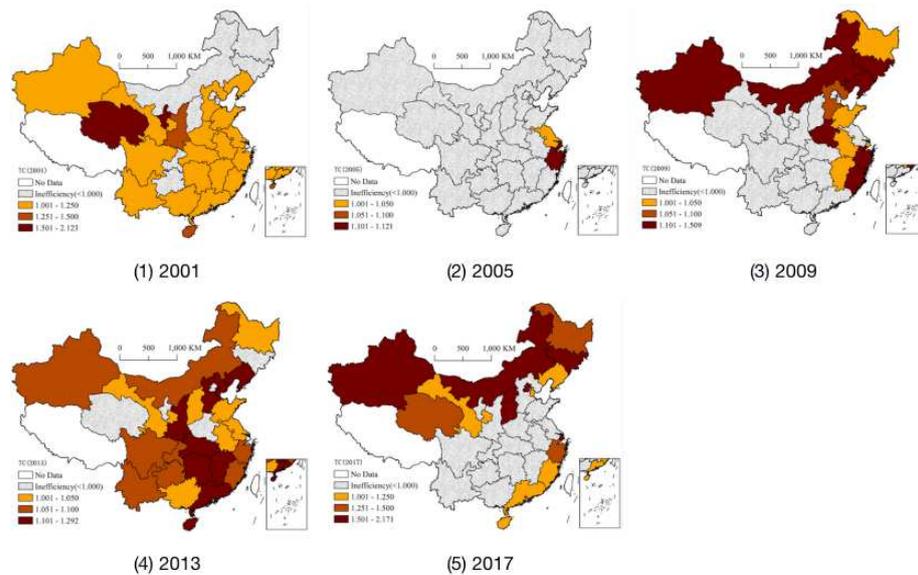
Fig. 2 Distribution of the technological efficiency change of China's ACE efficiency

303

304

In Figure 2, in 2001, the overall average EC was 1.065; from the total area, two-thirds were EC growth areas ( $EC > 1$ ), and one-third were efficiency decline areas ( $EC < 1$ ). In 2005, the overall average EC was 1.124, whose spatial distribution showed higher values in central regions and lower values in eastern and western regions. The number of EC decline regions did not considerably change. However, the overall EC of the Northwest and Southwest regions declined. By 2009, EC showed a horizontal band distribution, with an overall average efficiency of 1.013. The areas of EC growth were mainly concentrated along the Yellow River Belt, Northeast China, and Southwest China. The EC decline areas decreased to one-third, and were mainly concentrated in the middle and lower reaches of the Yangtze River and the southern coastal regions. By 2013, EC was distributed in a small-scale block-like spatial structure, with an overall average efficiency of 0.946; technology decline areas further expanded, mainly distributed in the Northwest and areas along the Great Wall, middle and lower reaches of the Yangtze River, Northeast China, South China, and Southwest China. By 2017, the overall average EC was 1.146, the number of decline areas of EC reduced, and the spatial distribution of increasing efficiency areas moved to the Southwest.

317



318

319 Fig. 3 Distribution of the technological change of China's ACE efficiency

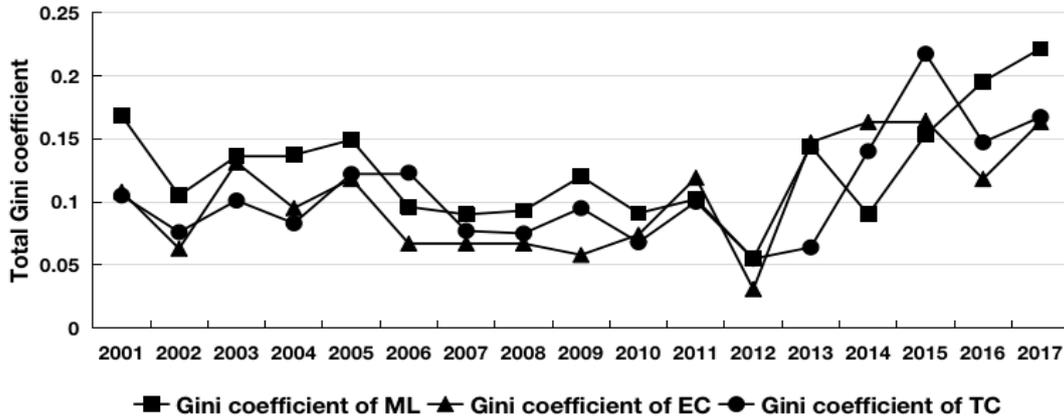
320

321 It can be seen from Figure 3 that in 2001, TC in most of the China regions showed a growing tendency,  
 322 being evenly distributed in the eastern and western regions. The declining areas of TC were distributed  
 323 in a dot-like pattern, and regions of inefficiency of TC in parts of the middle and lower reaches of the  
 324 Yangtze River and the southwestern region expanded. By 2005, Only three regions remained with  $TC > 1$ ,  
 325 all of which were agglomerated in the middle and lower reaches of the Yangtze River. By 2009, the  
 326 number of regions with TC growth gradually expanded, mainly in the Northeast and Huanghuaihai  
 327 regions. Until 2013, the TC growth type areas showed an orderly pattern of high TC in the middle and  
 328 low TC in the East. In South China, TC degraded from growth type to decline type. In 12 regions,  
 329 concentrated in the Southwest, Huanghuaihai region, and the middle and lower reaches of the Yangtze  
 330 River, TC upgraded from decline to growth. Finally, by 2017, the growth area of TC showed a flaky type  
 331 distribution pattern in the North and South.

332

### 333 4.2 Decomposition of regional differences of China's ACE efficiency

334 The dynamic tendencies of the sources of regional differences in China's ACE are illustrated in Figure  
 335 4.



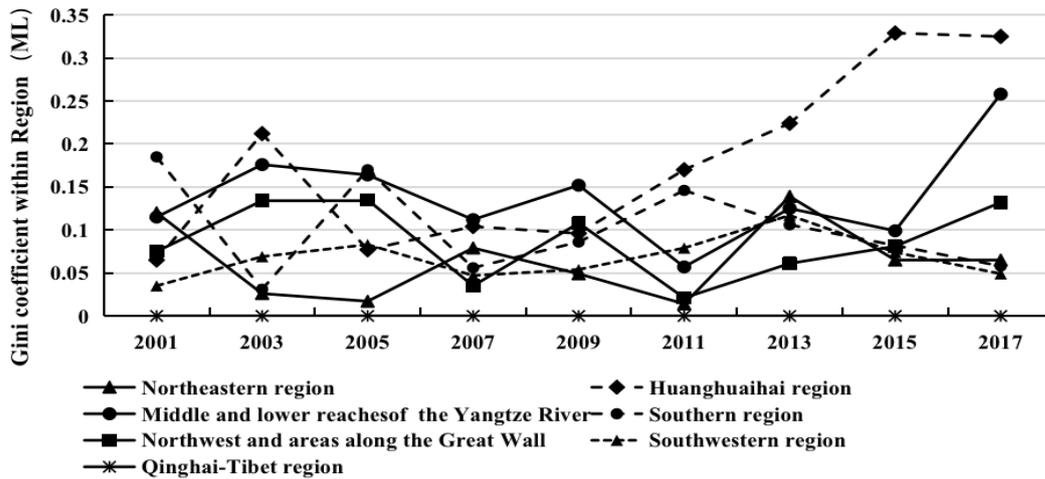
336

337 Fig. 4 The total Gini coefficient of the China’s ACE dynamic efficiency, technological efficiency  
 338 change, and technological change

339 By analyzing the Gini coefficient of ML (GML), from 2001 to 2017, GML showed an upward tendency  
 340 of volatility, with an average annual growth rate of 1.729%, which indicates that the gap of ML across  
 341 China was gradually expanding, and the Gini coefficient of EC (GEC) and TC (GTC) also showed an  
 342 upward tendency, with average annual growth rates of 2.606% and 2.943%, respectively. In terms of  
 343 inter-annual changes, GML, GEC, and GTC showed W-shaped fluctuations. It can be observed that the  
 344 changes in GML were mainly due to the effects of GEC and GTC. However, in different periods,  
 345 considerable differences were observed between the two contributions to GML fluctuations.

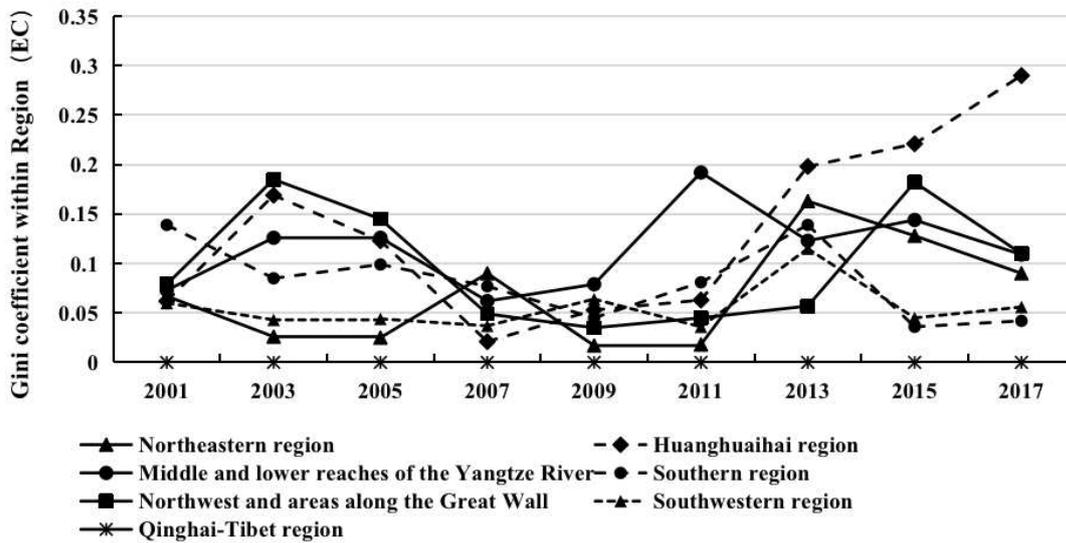
346 Figure 5a-5c presents the differences in China’s ACE dynamic efficiency, technological efficiency  
 347 change, and technological change among the regions from 2001 to 2017, respectively. It can be seen that  
 348 the GML changes in various regions were considerably different. Among them, the GML in Northeast  
 349 and South China showed a downward tendency, and South China had the maximum decrease (6.993%).  
 350 Furthermore, the GML in the other regions showed an upward tendency. The GML in the Huanghuaihai  
 351 region exhibited the largest increase (10.582%). The GEC of South China and Southwest China showed  
 352 a downward tendency from 2001 to 2017. In addition, South China had the largest decline (7.207%),  
 353 GEC in other regions showed an upward tendency, and the overall gap gradually widened. For the GTC,  
 354 from 2001 to 2017, it showed a downward tendency in the Northeast, South China, Northwest, and areas  
 355 along the Great Wall, whereas in the remainder regions, an overall upward tendency was observed, with  
 356 the largest increase (11.973%) in the Huanghuaihai region.

357



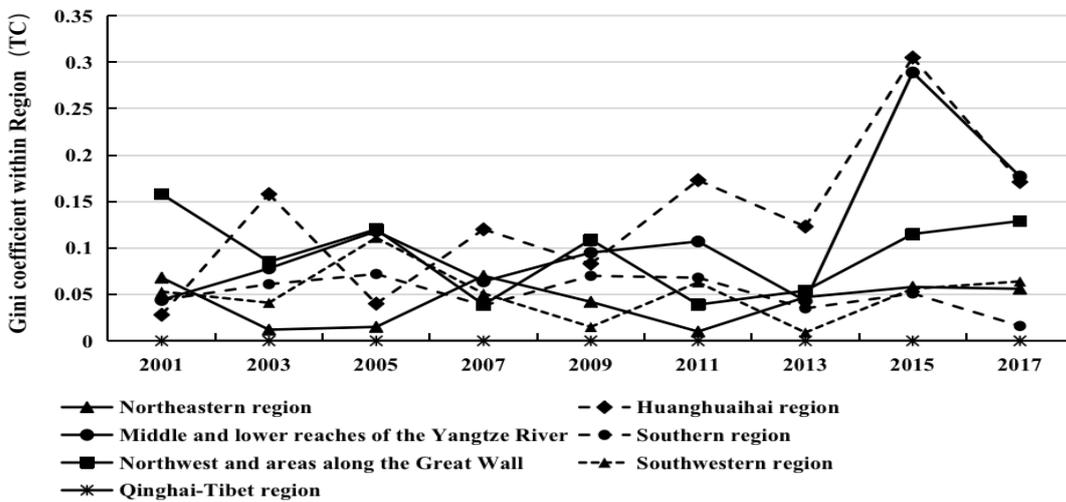
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359 Fig. 5a Tendency of differences in China's ACE dynamic efficiency



360

361 Fig. 5b Tendency of differences in China's ACE technological efficiency change



362

363 Fig. 5c Tendency of differences in China's ACE technological change

364 Note: Since there is only Qinghai in Qinghai-Tibet area, the intra-group difference is 0.

365

366

Table 2 Results of inter-group differences

Region	ML of ACE (GML)					EC of ACE (GEC)					TC of ACE (GTC)				
	2001	2009	2017	Average	Annual Growth rate	2001	2009	2017	Average	Annual Growth rate	2001	2009	2017	Average	Annual Growth Rate
1→2	0.092	0.088	0.280	0.134	7.174%	0.069	0.045	0.253	0.111	8.483%	0.052	0.076	0.163	0.105	7.370%
1→3	0.121	0.135	0.218	0.112	3.764%	0.074	0.063	0.121	0.097	3.140%	0.065	0.087	0.168	0.095	6.145%
1→4	0.170	0.090	0.098	0.083	-3.417%	0.115	0.039	0.071	0.079	-2.908%	0.092	0.067	0.095	0.066	0.217%
1→5	0.102	0.096	0.123	0.085	1.210%	0.082	0.030	0.122	0.088	2.541%	0.153	0.097	0.115	0.086	-1.743%
1→6	0.076	0.078	0.079	0.082	0.289%	0.068	0.051	0.108	0.072	2.989%	0.073	0.052	0.163	0.077	5.122%
1→7	0.359	0.094	0.058	0.086	-10.791%	0.168	0.021	0.091	0.071	-3.723%	0.194	0.099	0.051	0.066	-8.055%
2→3	0.098	0.137	0.300	0.145	7.206%	0.071	0.070	0.210	0.114	7.018%	0.041	0.094	0.179	0.118	9.571%
2→4	0.131	0.104	0.296	0.141	5.206%	0.103	0.052	0.239	0.110	5.425%	0.050	0.084	0.130	0.107	6.137%
2→5	0.076	0.117	0.254	0.133	7.803%	0.073	0.047	0.221	0.108	7.155%	0.115	0.109	0.162	0.119	2.153%
2→6	0.053	0.087	0.262	0.133	10.499%	0.062	0.060	0.213	0.101	8.033%	0.045	0.062	0.160	0.108	8.240%
2→7	0.292	0.109	0.311	0.168	0.404%	0.156	0.055	0.283	0.118	3.776%	0.122	0.102	0.175	0.124	2.270%
3→4	0.146	0.139	0.228	0.118	2.822%	0.101	0.072	0.111	0.097	0.566%	0.051	0.092	0.147	0.094	6.789%
3→5	0.100	0.140	0.219	0.113	5.023%	0.079	0.061	0.113	0.096	2.245%	0.111	0.106	0.167	0.104	2.570%
3→6	0.087	0.118	0.200	0.111	5.335%	0.070	0.076	0.094	0.088	1.869%	0.051	0.073	0.162	0.094	7.513%
3→7	0.280	0.150	0.241	0.131	-0.929%	0.145	0.076	0.124	0.102	-0.967%	0.112	0.112	0.176	0.106	2.856%
4→5	0.127	0.114	0.160	0.098	1.457%	0.108	0.045	0.108	0.088	0.024%	0.130	0.103	0.121	0.087	-0.457%
4→6	0.115	0.071	0.056	0.083	-4.353%	0.101	0.061	0.098	0.074	-0.207%	0.058	0.044	0.082	0.064	2.130%
4→7	0.344	0.084	0.069	0.096	-9.562%	0.224	0.054	0.054	0.079	-8.529%	0.124	0.100	0.081	0.069	-2.641%
5→6	0.064	0.103	0.145	0.093	5.272%	0.073	0.051	0.094	0.080	1.580%	0.122	0.083	0.167	0.086	2.003%
5→7	0.254	0.123	0.132	0.094	-4.005%	0.162	0.035	0.123	0.089	-1.681%	0.176	0.129	0.122	0.088	-2.285%
6→7	0.274	0.060	0.053	0.085	-9.773%	0.157	0.061	0.097	0.071	-2.991%	0.130	0.046	0.138	0.069	0.360%

Average	0.160	0.107	0.180	0.111	0.983%	0.108	0.054	0.140	0.092	1.611%	0.099	0.086	0.139	0.092	2.679%
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367 Note: 1, 2, 3, 4, 5, 6, and 7 in the table correspond to the Northeast, Huanghuaihai, Middle and Lower Yangtze River,  
368 South China, Northwest and areas along the Great Wall, Southwest, and Qinghai-Tibet region, respectively.

369 From table 2, it can be seen that during the research period, the inter-region differences of GML, GEC,  
370 and GTC were at different levels, and the overall tendency of fluctuations was evident. The overall  
371 average annual growth rate of the inter-region difference of GML ranged from 10.79% to 10.50%.  
372 Moreover, the inter-region difference of GML in most regions showed an upward tendency. Among them,  
373 the largest increase occurred between Northeast China and the middle and lower reaches of the Yangtze  
374 River, with a rate of 10.50%, and the smallest increase occurred between the middle and lower reaches  
375 of the Yangtze River and Southwest China (0.40%). The largest decrease was between the Huanghuaihai  
376 District and Southwest China, at 10.79%, whereas the Northwest and the areas along the Great Wall and  
377 Southwest Region had the smallest decline of 0.93%.

378 In contrast to GML, the overall average annual growth rate of the inter-region difference of GEC ranged  
379 from 8.529% to 8.483%, and GEC also showed an upward tendency in most regions. Moreover, the  
380 average annual increase between South China and the Northwest and the Great Wall was the smallest  
381 (0.024%), whereas the Northeast and Huanghuaihai regions had the largest average annual increase  
382 (8.483%). Moreover, South China and Southwest China had the largest average annual decrease  
383 (8.529%), whereas South China and Southwest China had the lowest average annual decrease (0.207%).

384 Considering the GTC, the overall average annual growth rate of the inter-region difference ranged from  
385 8.055% to 9.571%. Similarly, most regions showed an upward tendency. The Huanghuaihai region and  
386 the middle and lower reaches of the Yangtze River had the largest average annual increase (9.571%),  
387 whereas the Northeast and South China had the smallest average annual increase (0.217%). Furthermore,  
388 the Northeast and Qinghai-Tibet regions had the largest average annual decrease (8.055%), whereas  
389 South China, Northwest, and along the Great Wall had the smallest average annual decrease (-0.457%).

### 390 **4.3 Research on the source decomposition and contribution rate of regional differences of** 391 **China's ACE efficiency**

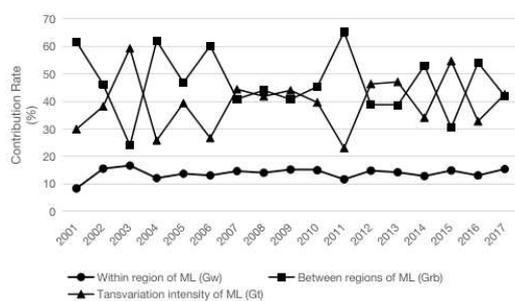
392 Figure 6a-6c exhibit sources of differences between ML, EC, and TC and their contribution rate from  
393 2001 to 2017, respectively.

394 Considering GML, the contribution to the gap of GML in various parts of China were 46.743%, 39.419%,  
395 and 13.840%, for Grb (the inter-region gap), Gt (transvariation intensity), and Gw (the intra-region gap),  
396 respectively. Grb is the main responsible for the gap of GML in China. From the perspective of inter-  
397 annual changes, Grb and Gt have considerably different contributions to the gap of GML in different  
398 periods. Among the years analyzed, for 2003, 2007, 2009, 2012, 2013, 2015, and 2017, the contribution  
399 rates of Gt to GML were higher than those of Grb, ranging from 42.638% to 59.338%. Gt is mainly used  
400 to describe the phenomenon of overlap between regions.

401 Similarly, in terms of GEC, we found that Grb, Gt, and Gw, respectively, provided the major contributions  
402 to the gap of GML in various parts of China, and Grb contributed the most to the gap of GEC

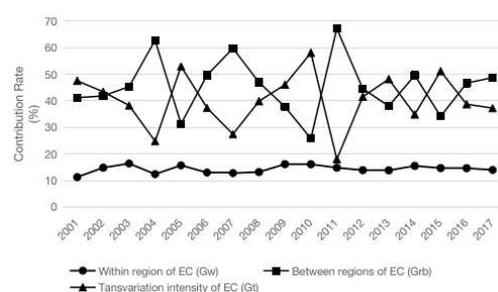
403 differentiation in various parts of China. However, in the years 2001, 2002, 2005, 2009, 2010, 2013, and  
 404 2015, the contribution rate of Gt was ranked first, ranging from 43.384% to 58.049%. The contribution  
 405 rate of Gw to GEC fluctuated between 11.297% and 16.398%, with an average annual growth rate of  
 406 1.125%.

407 For GTC, the major contribution to the gap of GTC in various parts of China was still due to Grb,  
 408 followed by Gt and Gw. From the perspective of inter-annual changes, Grb and Gt alternately became  
 409 the main contributors for the regional gap of GTC. Moreover, in the two stages of 2007–2008 and 2011–  
 410 2014, the contribution rates of Gt to GTC were higher than those of Grb. Therefore, Gt was the main  
 411 responsible for the regional gap of GTC. In contrast, the contribution rate of Gw was stable from 11.808%  
 412 to 16.113%.

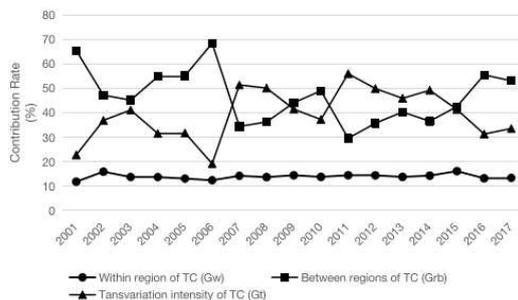


413

414 Fig. 6a Trend of CR of ML



415 Fig. 6b Trend of CR of EC



416

417 Fig. 6c Trend of CR of TC

418

## 419 5. Expansion analysis: quantitative analysis of the sources of regional differences in China's ACE

### 420 5.1 Stationarity test of variables

421 The analysis provided explains the static relationship between ML, EC, and TC; however, it does not  
 422 explain the dynamic relationship between these three factors, which requires a model. As EC and TC are  
 423 generated by the decomposition of the ML index, there must be an internal connection between the three  
 424 factors. The vector auto-regression (VAR) model allows each component to be an endogenous variable,  
 425 and the time span of the data is 17 years, which meets the requirements of time series samples. Therefore,  
 426 we used the PVAR model to test the dynamic relationships between the three factors. Before analyzing

427 the PVAR model, it is necessary to test the stationarity of each variable and define the optimal post-order.  
 428 The test results are presented in Table 3<sup>8</sup>. The study found that the variables of each regional sample  
 429 showed a stationary series. Therefore, a direct model and analysis of the original data could be performed.

430

Table 3 Results of Stationarity test

Region	Index	Mode (C,T,L)	LLC	IPS	ADF	PP	Result	Region	Index	Mode (C,T,L)	LLC	IPS	ADF	PP	Result
Northeast China	ML	(C,0,1)	-8.837***	-7.546***	47.315***	50.791***	stationary	Northwest and areas along the Great Wall	ML	(C,0,0)	-	-13.715***	133.832***	137.427***	stationary
			[0.000]	[0.000]	[0.000]	[0.000]					13.751***	[0.000]	[0.000]	[0.000]	
	EC	(C,0,1)	-8.396***	-6.742***	42.370***	46.638***	stationary		EC	(C,0,0)	-	-10.217***	91.113***	111.157***	stationary
			[0.000]	[0.000]	[0.000]	[0.000]					12.752***	[0.000]	[0.000]	[0.000]	
	TC	(C,0,0)	-	-10.327***	65.104***	66.478***	stationary		TC	(C,0,0)	-	-16.071***	149.640***	388.981***	stationary
			10.934**	[0.000]	[0.000]	[0.000]					15.258***	[0.000]	[0.000]	[0.000]	
Huanghuaihai region	ML	(C,0,0)	-7.269***	-6.220***	50.627***	53.668***	stationary	Southwest China	ML	(C,0,0)	-	-10.719***	88.069***	105.472***	stationary
			[0.000]	[0.000]	[0.000]	[0.000]					12.253***	[0.000]	[0.000]	[0.000]	
	EC	(C,0,0)	-	-8.247***	67.023***	111.584**	stationary		EC	(C,0,0)	-	-5.883***	48.109***	49.570***	stationary
			10.073**	[0.000]	[0.000]	[0.000]					7.169***	[0.000]	[0.000]	[0.000]	
	TC	(C,0,0)	-8.008***	-7.797***	63.161***	73.409***	stationary		TC	(C,0,0)	-	-9.205***	74.624***	106.661***	stationary
			[0.000]	[0.000]	[0.000]	[0.000]					10.915***	[0.000]	[0.000]	[0.000]	

<sup>8</sup> In the stationarity test, we selected the Levin, Lin, and Chu (LLC), Im, Pesaran, and Shin (IPS), augmented Dickey-Fuller (ADF), and Phillips-Perron (PP) test statistics to test whether each variable belonged to a stationary series.

								Results of VAR Stationarity test																		
								Region	Index	Mode (C,T,L)	ADF	Test Level			Result											
												1% level	5% level	10% level												
Middle and lower reaches of the Yangtze River	ML	(C,0,0)	-		101.494**	219.296**	stationary	Qinghai-Tibet region	ML	(C,0,0)	-	-3.920	-3.066	-2.673	stationary											
			11.866**	-10.592***	*	*					13.413***															
	[0.000]	[0.000]	[0.000]	[0.000]	[0.000]																					
	EC	(C,0,0)	-		107.601**	139.902**	stationary				EC					(C,0,0)	-7.370***	-3.920	-3.066	-2.673	stationary					
			12.324**	-11.119	*	*											[0.000]									
	[0.000]	[0.000]	[0.000]	[0.000]	[0.000]																					
TC	(C,0,0)	-		122.554**	126.709**	stationary	TC	(C,0,0)	-5.321***	-3.920		-3.066	-2.673	stationary												
		12.526**	-12.567***	*	*				[0.001]																	
[0.000]	[0.000]	[0.000]	[0.000]	[0.000]																						
South China	ML	(C,0,0)	-6.075***	-5.092***	32.165***	33.022***			stationary		ML				(C,0,0)	-	-3.920	-3.066	-2.673	stationary						
			[0.000]	[0.000]	[0.000]	[0.000]										13.413***										
	EC	(C,0,0)	-6.069***	-6.243***	39.203***	55.523***			stationary							EC					(C,0,0)	-7.370***	-3.920	-3.066	-2.673	stationary
			[0.000]	[0.000]	[0.000]	[0.000]	[0.000]																			
	TC	(C,0,0)	-5.674***	-7.440***	46.735***	46.667***	stationary	TC	(C,0,0)	-5.321***		-3.920	-3.066	-2.673								stationary				
			[0.000]	[0.000]	[0.000]	[0.000]				[0.001]																
[0.000]	[0.000]	[0.000]	[0.000]	[0.000]																						

431 Note: "\*\*", "\*\*\*", and "\*\*\*\*" all indicate passing the test at the significance level of 10%, 5%, and 1%; the P value in  
432 square brackets; the empirical results retain three decimal places.

433 **5.2 Granger causality test**

434 The above research shows that the data of ML, EC, and TC were stationary series. Thus, in this study, a  
435 direct test was performed to evaluate whether there was Granger causality among the three factors.  
436 Before the Granger causality test, the optimal lag order must be determined. To ensure the accuracy of  
437 the research results, the optimal lag order was determined by adopting the test value that passed the most.  
438 The results are listed in Table 4. The results of the Northeast China show that the changes in EC were  
439 affected by changes in ML and TC, and their combined effects; the results in the Huanghuaihai region  
440 show that there was a two-way Granger causality relationship between TC and ML, EC and TC,  
441 manifested as the interaction between the two; EC and ML had a one-way Granger causality relationship,  
442 manifested as changes in EC, which were affected by changes in ML; results in the middle and lower  
443 reaches of the Yangtze River showed that ML and TC had a two-way Granger causality relationship.  
444 However, there was only a one-way Granger causality relationship between EC and TC, which shows  
445 that the change in TC was affected by the change in EC. Moreover, there was a two-way Granger

446 causality relationship between ML, EC, and TC in South China, and there was a two-way Granger  
 447 causality relationship between TC and EC in the northwest and areas along the Great Wall; the  
 448 relationship between ML, TC, and EC was only manifested in that the changes in TC and EC were all  
 449 affected by changes in ML. The results in the Qinghai-Tibet region show that there was no interaction  
 450 between ML, EC, and TC.

451

Table 4 Result of Granger causality test

Null hypothesis	Northeast China		Huanghuaihai region		Middle and lower reaches of the Yangtze River		South China		Northwest and areas along the Great Wall		Southwest China		Qinghai-Tibet region	
	Ratio	Result	Ratio	Result	Ratio	Result	Ratio	Result	Ratio	Result	Ratio	Result	Ratio	Result
<i>The change in EC is not the cause of the change in ML (df_A)</i>	0.642 [0.423]	NO	0.041 [0.980]	NO	4.128 [0.127]	NO	14.552*** [0.000]	YES	0.903 [0.637]	NO	12.754*** [0.000]	YES	2.145 [0.342]	NO
<i>The change in TC is not the cause of the change in ML (df_A)</i>	0.008 [0.929]	NO	9.596*** [0.008]	YES	32.832*** [0.000]	YES	12.310*** [0.001]	YES	0.561 [0.755]	NO	11.394*** [0.001]	YES	0.865 [0.649]	NO
<i>The Changes in EC and TC are not the cause of changes in ML, Simultaneously (df_B)</i>	5.105* [0.078]	YES	15.882*** [0.003]	YES	66.247*** [0.000]	YES	15.106*** [0.001]	YES	9.496** [0.050]	YES	12.780*** [0.002]	YES	3.627 [0.459]	NO
<i>The Changes in ML are not the cause of changes in EC (df_A)</i>	3.733* [0.053]	YES	10.582*** [0.005]	YES	0.644 [0.725]	NO	4.823** [0.028]	YES	7.873** [0.020]	YES	0.027 [0.869]	NO	0.853 [0.653]	NO
<i>The change in TC growth is not the cause of the change in EC (df_A)</i>	6.849*** [0.009]	YES	24.218*** [0.000]	YES	0.151 [0.927]	NO	6.110** [0.013]	YES	7.780** [0.021]	YES	0.011 [0.918]	NO	1.005 [0.605]	NO
<i>The Changes in ML and TC are not the cause of changes in EC, Simultaneously (df_B)</i>	8.278** [0.016]	YES	25.536*** [0.000]	YES	1.823 [0.768]	NO	7.263** [0.027]	YES	8.154* [0.086]	YES	0.121 [0.940]	NO	1.072 [0.899]	NO
<i>The change in ML is not the cause of the change in TC (df_A)</i>	0.125 [0.724]	NO	27.363*** [0.000]	YES	20.442*** [0.000]	YES	4.042** [0.044]	YES	15.997*** [0.000]	YES	21.839*** [0.000]	YES	0.280 [0.870]	NO
<i>The Changes in EC are not the cause of changes in TC (df_A)</i>	0.013 [0.908]	NO	62.528*** [0.000]	YES	45.186*** [0.000]	YES	6.465** [0.011]	YES	13.518*** [0.001]	YES	17.650*** [0.000]	YES	0.369 [0.831]	NO
<i>The Changes in ML and EC are not the cause of changes in TC, Simultaneously (df_B)</i>	0.338 [0.845]	NO	62.615*** [0.000]	YES	54.769*** [0.000]	YES	10.598*** [0.005]	YES	16.039*** [0.003]	YES	22.490*** [0.000]	YES	0.951 [0.917]	NO
<i>N</i>	48		75		105		48		90		80		15	
<i>df_A</i>	1		2		2		1		2		1		2	

<i>df_B</i>	2	4	4	2	4	2	4
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452 Note: "\*", "\*\*" and "\*\*\*" all indicate that the test passed the test at the significance level of 10%, 5%, and 1%; the  
453 P value in brackets; YES and NO respectively indicate whether the test passed or not . The empirical results retain  
454 three decimal places.

### 455 5.3 PVAR model analysis of the dynamic efficiency of China's ACE

456 Based on the above evaluation, the PVAR model system OLS of ML, EC, and TC, and the test results  
457 are shown in Table 5. The results in Northeast China show that ML significantly inhibited the  
458 development of EC during lag phase 1, whereas TC promoted the development of EC. In South China,  
459 ML had a self-weakening mechanism, and EC and TC had significant positive effects on ML.  
460 Furthermore, ML had an inhibitory effect on the development of EC, whereas TC had a promoting effect.  
461 In addition, ML had an inhibitory effect on TC development. In the middle and lower reaches of the  
462 Yangtze River, ML and EC had a self-weakening mechanism, but EC had a long-term self-weakening  
463 mechanism, whereas TC had a self-reinforcing mechanism. In the Huanghuaihai zone, TC had a  
464 significant positive impact on ML in the lag phase 2. In addition, EC had a self-weakening mechanism,  
465 whereas TC had a non-linear change mechanism, which was manifested as a self-enhancement at the  
466 initial stage and a self-weakening mechanism at the later stage. In the Northwest and areas along the  
467 Great Wall, both ML and EC had a self-weakening mechanism, but the self-weakening mechanism of  
468 EC lasted for a long time, whereas TC had a lagging self-enhancement mechanism. In addition, ML had  
469 a continuous weakening inhibitory effect on TC, and the promotion effect of ML on EC had a long  
470 hysteresis effect. In Southwest China, ML had a self-weakening mechanism, and both TC and EC that  
471 lagged in the first stage had a significant positive impact; moreover, TC had a self-reinforcing mechanism,  
472 which could effectively inhibit the development of TC, but the growth of EC drove the growth of TC. In  
473 the Qinghai-Tibet region, there was no correlation between ML, EC, and TC.

474 Table 5 Results of OLS

Region	Index	ML	EC	TC	Region	Index	ML	EC	TC
Northeast China	ML(-1)	-0.449	<b>-0.646*</b>	-0.148	South China	ML(-1)	<b>-2.408***</b>	<b>-1.221**</b>	<b>-1.203**</b>
		(0.359)	(0.334)	(0.418)			(0.653)	(0.556)	(0.598)
	EC(-1)	0.312	0.523	0.052		EC(-1)	<b>2.604***</b>	<b>0.987*</b>	<b>1.590**</b>
		(0.389)	(0.363)	(0.453)			(0.683)	(0.581)	(0.625)
	TC(-1)	-0.029	<b>0.796***</b>	-0.517		TC(-1)	<b>2.442***</b>	<b>1.463**</b>	1.019
		(0.326)	(0.304)	(0.380)			(0.696)	(0.592)	(0.637)
	C	1.279***	0.305	1.773***		C	<b>-1.614**</b>	-0.235	-0.364
	(0.405)	(0.377)	(0.472)		(0.747)	(0.635)	(0.684)		

Middle and lower reaches of the Yangtze River	ML(-1)	<b>-0.274**</b>	-0.086	-0.949***	Huanghuaihai region	ML(-1)	-0.297	-0.024	<b>-1.001***</b>
		(0.137)	(0.13798)	(0.225)			(0.255)	(0.171)	(0.192)
	ML(-2)	-0.111	-0.053	-0.160		ML(-2)	-0.117	<b>-0.468***</b>	<b>0.583***</b>
		(0.149)	(0.150)	(0.245)			(0.256)	(0.171)	(0.193)
	EC(-1)	<b>0.205*</b>	<b>-0.468***</b>	<b>1.264***</b>		EC(-1)	0.043	<b>-0.553***</b>	<b>1.369***</b>
		(0.115)	(0.115)	(0.188)			(0.231)	(0.154)	(0.173)
	EC(-2)	-0.142	<b>-0.352**</b>	-0.073		EC(-2)	-0.049	0.027	<b>-0.754**</b>
		(0.142)	(0.143)	(0.233)			(0.394)	(0.263)	(0.296)
	TC(-1)	0.038	-0.031	<b>0.312**</b>		TC(-1)	0.031	-0.043	<b>0.796***</b>
		(0.092)	(0.093)	(0.152)			(0.320)	(0.214)	(0.241)
	TC(-2)	<b>0.431***</b>	0.024	0.212		TC(-2)	<b>0.571***</b>	<b>0.631***</b>	<b>-0.285*</b>
		(0.081)	(0.082)	(0.133)			(0.215)	(0.143)	(0.161)
C	<b>0.891***</b>	<b>2.010***</b>	0.481	C	<b>0.885**</b>	<b>1.469***</b>	0.354		
	(0.221)	(0.222)	(0.363)		(0.398)	(0.266)	(0.299)		
Results of VAR Test						ML(-1)	<b>-0.742*</b>	0.353	<b>-1.323***</b>
						(0.397)	(0.346)	(0.418)	
Region	Index	ML	EC	TC		ML(-2)	-0.033	<b>0.851**</b>	<b>-0.769*</b>
						(0.414)	(0.361)	(0.436)	
Qinghai-Tibet region	ML(-1)	0.007	-0.812	1.079	Northwest and areas along the Great Wall	EC(-1)	0.344	<b>-0.599*</b>	<b>1.136***</b>
		(1.384)	(1.123)	(2.377)			(0.366)	(0.319)	(0.386)
	ML(-2)	0.741	0.166	0.422		EC(-2)	-0.117	<b>-0.895***</b>	0.651
		(0.621)	(0.504)	(1.067)			(0.377)	(0.329)	(0.397)
	EC(-1)	0.362	0.916	-0.977		TC(-1)	0.186	-0.263	0.552
		(1.506)	(1.222)	(2.586)			(0.380)	(0.332)	(0.400)
	EC(-2)	-1.504	-0.181	-1.100		TC(-2)	0.155	<b>-0.738**</b>	<b>0.715*</b>
		(1.139)	(0.924)	(1.957)			(0.345)	(0.303)	(0.369)
	TC(-1)	-0.094	0.648	-0.983		C	<b>1.254**</b>	<b>2.361***</b>	0.051
	(1.063)	(0.863)	(1.826)						

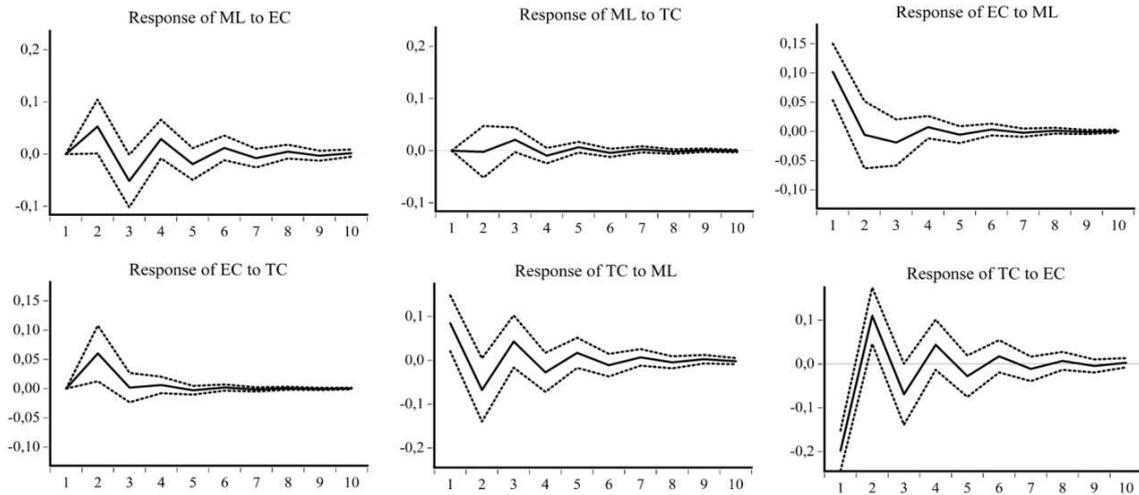
TC(-2)	-0.588	-0.255	-0.148			(0.488)	(0.426)	(0.514)
	(0.637)	(0.517)	(1.094)	Southwest China	ML(-1)	<b>-2.142***</b>	0.066	<b>-2.678***</b>
C	2.011	0.432	2.762			(0.501)	(0.398)	(0.573)
	(1.860)	(1.509)	(3.195)		EC(-1)	<b>1.987***</b>	-0.134	<b>2.674***</b>
						(0.556)	(0.442)	(0.636)
					TC(-1)	<b>1.575***</b>	-0.038	<b>2.004***</b>
						(0.467)	(0.371)	(0.534)
					C	-0.364	<b>1.144***</b>	-0.970
						(0.544)	(0.432)	(0.622)

475 Note: "\*", "\*\*", and "\*\*\*" all indicate that they passed the test at the significance level of 10%, 5%, and 1%; the  
476 standard errors are in parentheses.

#### 477 5.4 Impulse analysis and variance decomposition of the dynamic efficiency of China's ACE

478 Figures 7–13 show the results of impulse response between ML, EC, and TC in seven major agricultural  
479 zones in China, where the abscissa is the number of response periods of impact action, which was set to  
480 10. The ordinate represents the degree of influence of the variables. The curve in the figure represents  
481 the impulse response function, and the curves on both sides represent the estimated values of the 95%  
482 and 5% quantile points, respectively.

483 Figure 7 shows that in the Northeast China, there were significant differences in the response of ML to  
484 EC and TC shocks. The response to EC shocks showed an initial positive effect, followed by a negative  
485 effect, and the intensity of volatility was weakened. In contrast, the impact of EC on ML and TC was  
486 also different. Moreover, the response to ML shock exhibited a continuously weakened positive effect at  
487 the initial stage, then turned to a negative effect, and then rebounded. The response to the TC shock  
488 showed a continuously increasing positive effect at the initial stage, followed by some small fluctuations.  
489 In contrast, in the impulse response analysis of TC, the impacts of ML and EC on TC exhibited severe  
490 fluctuations, and the fluctuation directions were completely opposite.



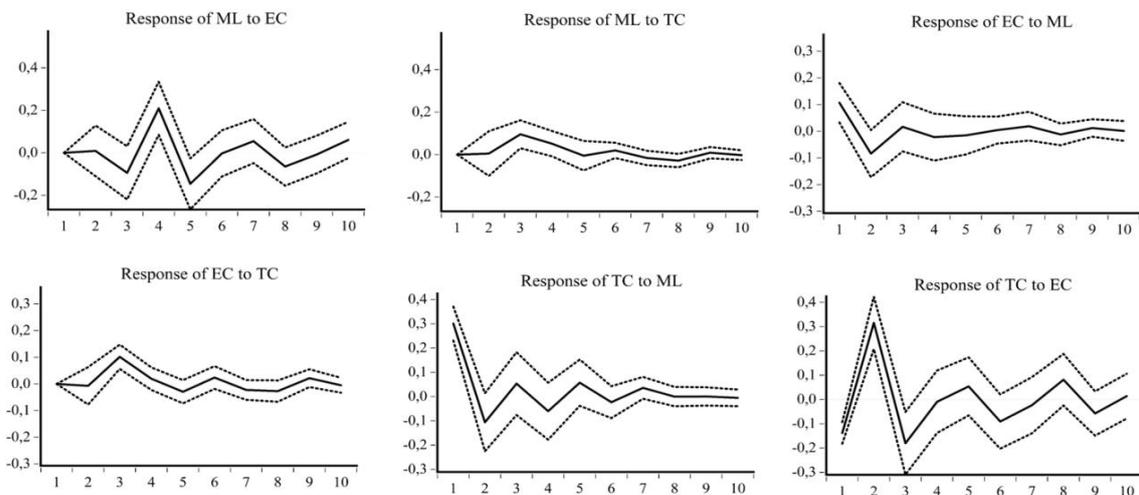
491

492 Fig. 7 Impulse response results of ML, EC, and TC of AEC emissions in Northeast China

493

494 Figure 8 shows that in the Huanghuaihai region, the ML response to EC and TC shocks had a large lag;  
 495 at the same time, there were opposite fluctuations. In the second period, the ML response to EC shocks  
 496 showed an initially negative followed by a positive effect, the intensity first increased, then decreased,  
 497 and finally increased again. The reaction of ML to TC shock was the opposite, and the reaction intensity  
 498 was weak. In the analysis of EC impulse response, the EC response to ML shock showed an initially  
 499 positive effect followed by a negative effect. Furthermore, the EC response to TC shock had a large  
 500 hysteresis, which first manifested in the second phase, and showed an initially positive followed by a  
 501 negative effect. In addition, in the TC impulse response analysis, the TC response to ML and EC shocks  
 502 was severe. The response to ML shock showed an initially positive effect followed by a negative effect,  
 503 and the intensity gradually weakened. However, the response to the EC shock showed an initially  
 504 negative followed by a positive effect, and the weakening of the intensity was not evident; finally, it  
 505 remained as a positive effect.

506

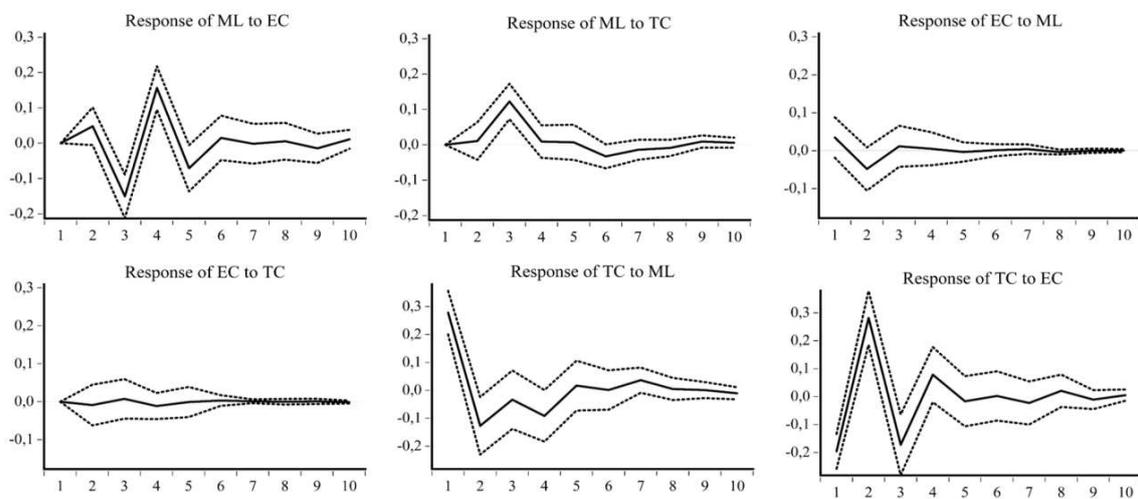


507

508 Fig. 8 Impulse response results of ML, EC, and TC of AEC emissions in the Huanghuaihai region

509

510 Figure 9 shows that in the middle and lower reaches of the Yangtze River, the response of ML to EC  
511 shocks showed an initially positive followed by a negative effect, and the intensity first increased and  
512 then decreased. In addition, the response to TC shocks showed an initially positive followed by a negative  
513 effect, and the intensity gradually weakened. Moreover, in the analysis of EC impulse response, the EC  
514 response to ML shock showed an initially positive followed by a negative fluctuation, whereas the  
515 response to TC shock was weak. Furthermore, in the impulse response analysis of TC, the response of  
516 TC to the shock of ML and EC was severe. In contrast, the reaction to ML shock showed an initially  
517 positive effect followed by a negative effect, and the intensity gradually weakened, whereas the reaction  
518 to EC shock showed an initially negative followed by a positive effect, and the intensity gradually  
519 weakened as well.

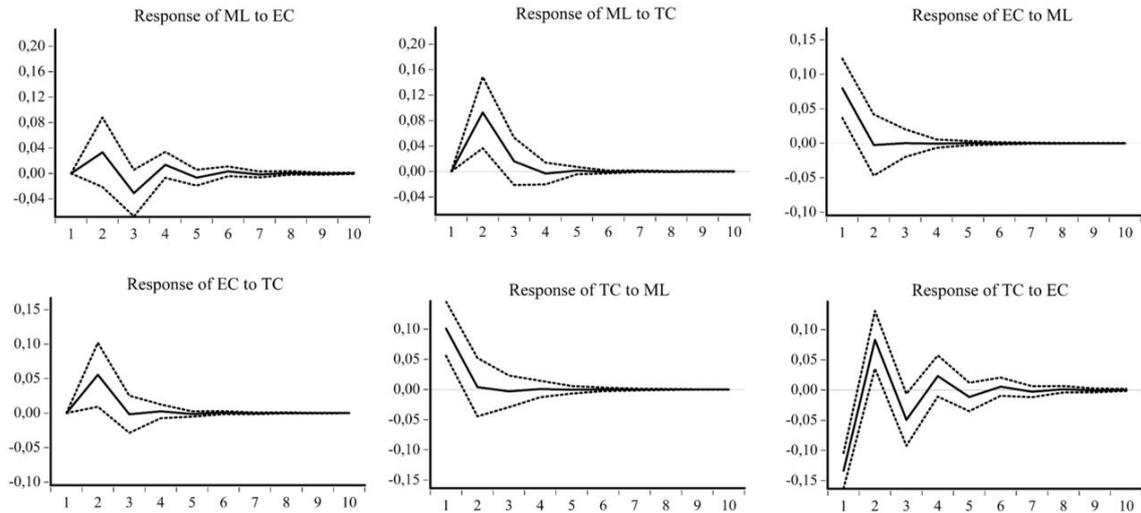


520

521 Fig. 9 Impulse response results of ML, EC, and TC of AEC emissions in the middle and lower reaches  
522 of the Yangtze River

523

524 Figure 10 shows that in South China, the response of ML to EC shocks showed an initially positive  
525 followed by a negative effect, with the intensity first increasing and then decreasing. At the same time,  
526 the response to TC shocks showed a continuous positive effect, the intensity first increased and then  
527 decreased. Moreover, in the analysis of EC impulse response, the EC response to ML shock showed a  
528 continuous weakening positive effect, whereas the response to TC shock showed a continuous positive  
529 effect, with the intensity first increasing and then decreasing. Furthermore, in the impulse response  
530 analysis of TC, the response of TC to ML shock showed a continuously weakening positive effect,  
531 whereas the response to EC shock was very severe, showing an initially negative followed by a positive  
532 effect, and the intensity gradually weakened.

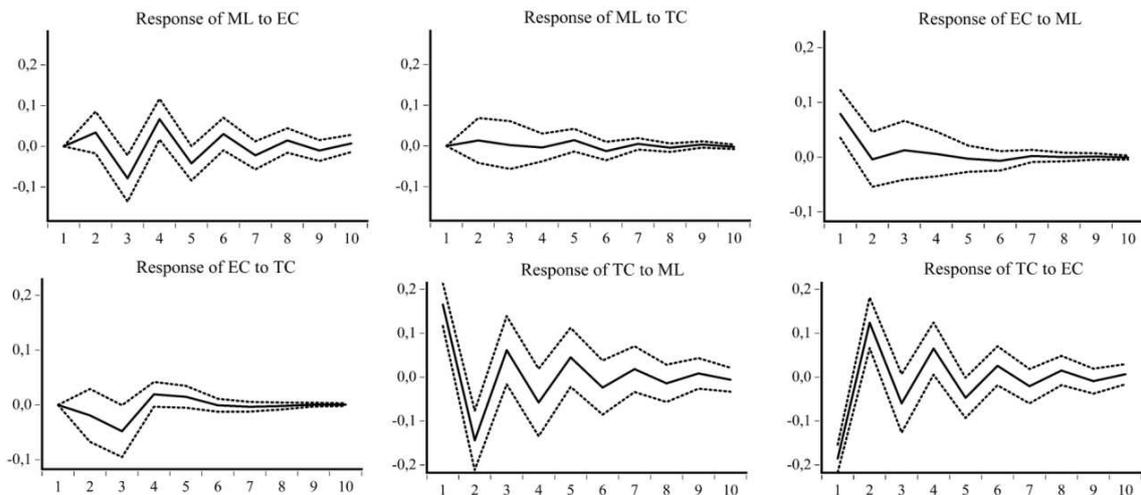


533

534 Fig. 10 Impulse response results of ML, EC, and TC of AEC emissions in South China

535

536 Figure 11 shows that in the Northwest and along the Great Wall, ML reacted violently to EC shocks,  
 537 showing a fluctuating pattern of alternating positive and negative effects. Although the intensity  
 538 weakened, it was not evident. In addition, the response to the TC shock was not significant. In the analysis  
 539 of EC impulse response, EC showed a continuously weakening positive effect on ML shock. The  
 540 response to TC shock showed a continuously increasing negative effect at the initial stage, and then  
 541 rebounded to its peak in the fourth period, showing a positive effect. Furthermore, in the impulse response  
 542 analysis of TC, the response of TC to ML and EC shocks was severe. Moreover, the response to the ML  
 543 shock showed an initially positive followed by a negative effect, and the intensity gradually weakened,  
 544 whereas the response to the EC shock showed an initially negative followed by a positive effect, the  
 545 intensity gradually weakened, and finally the shock effect remained positive.

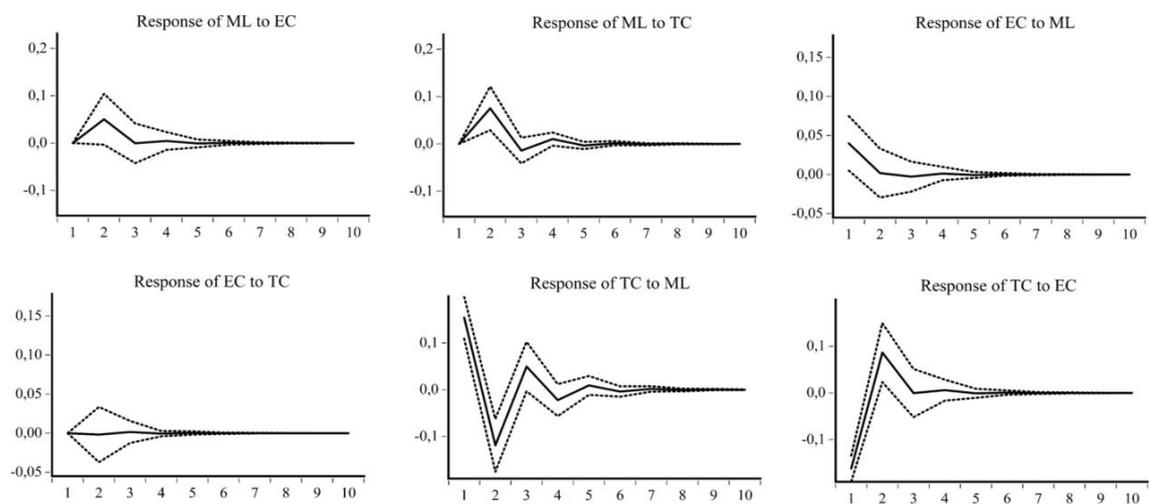


546

547 Fig. 11 Impulse response results of ML, EC, and TC of AEC emissions in the Northwest and along the  
 548 Great Wall

549

550 Figure 12 shows that in the Southwest China, the response of ML to EC shocks showed a continuous  
 551 positive effect, with the intensity first increasing and then decreasing. At the same time, the response to  
 552 the TC shock showed an initially positive followed by a negative effect, and the intensity first increased  
 553 and then decreased. Moreover, in the analysis of EC impulse response, the response of EC to ML shock  
 554 showed a continuously weakening positive effect, whereas the intensity of response to TC shock was  
 555 around zero. In contrast, in the impulse response analysis of TC, the response of TC to the shock of ML  
 556 and EC was severe. Furthermore, the response to ML shock showed an initially positive followed by a  
 557 negative effect, and the intensity first decreased, then increased, and decreased again, whereas the  
 558 response to the EC shock showed an initially negative followed by a positive effect, and the intensity  
 559 first increased and then decreased.



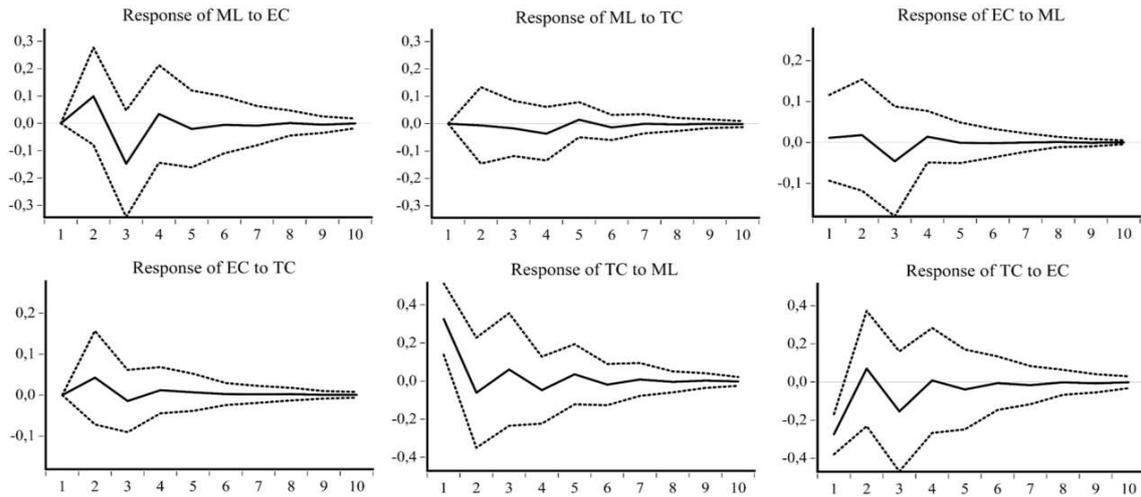
560

561 Fig. 12 Impulse response results of ML, EC, and TC of AEC emissions in Southwest China

562

563 Figure 13 shows that in the Qinghai-Tibet region, the response of ML to EC shocks showed an initially  
 564 positive followed by a negative effect, and the intensity first increased and then decreased. In addition,  
 565 the response to TC shocks was weaker, there was a large hysteresis effect, and the negative effect was  
 566 first identified in the third period. Moreover, in the analysis of EC impulse response, the EC response to  
 567 ML shock showed an initially positive followed by a negative effect, and the intensity first increased and  
 568 then decreased, whereas the response to TC shock showed a steady positive effect at the initial stage, and  
 569 then showed a positive effect. In contrast, in the impulse response analysis of TC, the response of TC to  
 570 ML and EC shocks was severe. Moreover, the response to the ML shock showed an initially positive  
 571 followed by a negative effect, and the intensity gradually weakened, whereas the response to EC shocks  
 572 showed an initially negative followed by a positive effect, and the intensity gradually weakened.

573



574

575 Fig. 13 Impulse response results of ML, EC, and TC of AEC emissions in the Qinghai-Tibet region

576

577 Table 6 shows that for the variance decomposition of the ML of ACE in the seven major agricultural  
 578 regions of China, ML was 100% affected by its own fluctuation shock in the first period, and the impact  
 579 of EC and TC on ML was first identified in the second period. Except for the Southwest region, the  
 580 impact of EC on ML in other regions was higher than the impact of TC on ML, and the impact strength  
 581 continued to increase, indicating that the impact of EC on ML was lagging, long-term, and continuous.  
 582 In the variance decomposition of EC and TC, each region also had certain commonalities. First, the  
 583 impact of ML on EC was manifested in the first period, and the impact of TC on EC was first identified  
 584 in the second period. Second, the impact strength of TC to EC was very small, ranging from 0.013% to  
 585 9.654%. Third, the impact of ML and EC on TC was first identified in the first period. Fourth, except for  
 586 certain periods, the impact of TC on itself was considerably smaller than the impact of ML and EC on  
 587 TC, which indicates that the development of TC depends on changes in ML and EC.

588

Table 6 Results of Variance decomposition

Period	Northeast China											
	Variance decomposition of ML				Variance decomposition of EC				Variance decomposition of TC			
	S.E.	ML	EC	TC	S.E.	ML	EC	TC	S.E.	ML	EC	TC
1	0.196	100.000	0.000	0.000	0.182	31.251	68.749	0.000	0.228	13.772	75.191	11.037
2	0.211	93.703	6.286	0.011	0.208	24.205	67.350	8.446	0.265	16.660	72.978	10.362
3	0.220	87.820	11.263	0.918	0.209	24.731	66.917	8.353	0.278	17.525	72.345	10.130
4	0.223	86.257	12.665	1.077	0.210	24.675	66.943	8.382	0.284	17.816	72.130	10.055
5	0.224	85.596	13.249	1.155	0.210	24.684	66.938	8.378	0.286	17.928	72.047	10.025
6	0.224	85.340	13.476	1.184	0.210	24.685	66.937	8.378	0.286	17.972	72.014	10.014

7	0.225	85.238	13.566	1.196	0.210	24.685	66.937	8.378	0.287	17.990	72.001	10.009
8	0.225	85.196	13.603	1.201	0.210	24.685	66.937	8.378	0.287	17.997	71.996	10.007
9	0.225	85.180	13.617	1.203	0.210	24.685	66.937	8.378	0.287	17.999	71.994	10.007
10	0.225	85.173	13.623	1.203	0.210	24.685	66.937	8.378	0.287	18.001	71.993	10.006
Huanghuaihai region												
Period	Variance decomposition of ML				Variance decomposition of EC				Variance decomposition of TC			
	S.E.	ML	EC	TC	S.E.	ML	EC	TC	S.E.	ML	EC	TC
1	0.491	100.000	0.000	0.000	0.328	10.599	89.401	0.000	0.369	66.331	13.937	19.732
2	0.509	99.957	0.033	0.010	0.377	12.935	87.031	0.035	0.514	38.493	44.850	16.656
3	0.545	93.875	3.016	3.109	0.391	12.219	80.987	6.794	0.549	34.695	50.102	15.203
4	0.592	81.496	15.105	3.399	0.441	9.887	84.558	5.555	0.554	35.188	49.153	15.659
5	0.610	76.901	19.898	3.201	0.479	8.468	86.457	5.075	0.560	35.497	49.051	15.452
6	0.612	76.884	19.821	3.295	0.480	8.454	86.236	5.310	0.570	34.437	49.896	15.667
7	0.615	76.309	20.373	3.318	0.491	8.233	86.481	5.287	0.572	34.639	49.783	15.578
8	0.620	75.346	21.178	3.477	0.504	7.863	86.837	5.300	0.578	33.942	50.770	15.288
9	0.620	75.345	21.163	3.492	0.505	7.889	86.650	5.461	0.581	33.604	51.243	15.152
10	0.623	74.623	21.917	3.460	0.510	7.742	86.890	5.369	0.581	33.546	51.198	15.256
Middle and lower reaches of the Yangtze River												
Period	Variance decomposition of ML				Variance decomposition of EC				Variance decomposition of TC			
	S.E.	ML	EC	TC	S.E.	ML	EC	TC	S.E.	ML	EC	TC
1	0.272	100.000	0.000	0.000	0.273	1.614	98.386	0.000	0.446	38.982	19.273	41.745
2	0.282	96.940	2.912	0.148	0.303	3.846	96.070	0.085	0.550	30.964	38.928	30.108
3	0.353	67.806	19.993	12.200	0.308	3.850	96.010	0.140	0.581	28.055	43.631	28.314
4	0.391	57.789	32.240	9.971	0.322	3.558	96.187	0.255	0.597	28.898	43.017	28.085
5	0.398	55.973	34.350	9.678	0.324	3.527	96.220	0.253	0.600	28.730	42.727	28.543
6	0.400	55.773	34.018	10.209	0.324	3.521	96.218	0.262	0.601	28.612	42.552	28.835
7	0.401	55.788	33.912	10.301	0.325	3.521	96.217	0.262	0.603	28.822	42.464	28.714

8	0.401	55.750	33.907	10.342	0.325	3.531	96.208	0.262	0.603	28.773	42.503	28.723
9	0.402	55.691	33.942	10.367	0.325	3.529	96.209	0.262	0.603	28.740	42.485	28.776
10	0.402	55.637	33.985	10.378	0.325	3.527	96.209	0.263	0.604	28.759	42.475	28.766
South China												
Period	Variance decomposition of ML				Variance decomposition of EC				Variance decomposition of TC			
	S.E.	ML	EC	TC	S.E.	ML	EC	TC	S.E.	ML	EC	TC
1	0.187	100.000	0.000	0.000	0.159	25.002	74.998	0.000	0.172	34.523	60.577	4.900
2	0.212	78.337	2.466	19.197	0.179	19.860	70.485	9.654	0.195	26.863	65.378	7.759
3	0.214	76.287	4.475	19.238	0.180	19.539	70.953	9.508	0.201	25.098	67.015	7.887
4	0.215	75.966	4.853	19.181	0.181	19.452	71.063	9.485	0.203	24.751	67.401	7.848
5	0.215	75.891	4.944	19.166	0.181	19.431	71.089	9.480	0.203	24.669	67.493	7.838
6	0.215	75.872	4.966	19.162	0.181	19.426	71.096	9.479	0.203	24.649	67.515	7.835
7	0.215	75.868	4.971	19.162	0.181	19.424	71.097	9.478	0.203	24.645	67.521	7.835
8	0.215	75.866	4.972	19.161	0.181	19.424	71.098	9.478	0.203	24.643	67.522	7.835
9	0.215	75.866	4.972	19.161	0.181	19.424	71.098	9.478	0.203	24.643	67.522	7.835
10	0.215	75.866	4.973	19.161	0.181	19.424	71.098	9.478	0.203	24.643	67.522	7.835
Northwest and areas along the Great Wall												
Period	Variance decomposition of ML				Variance decomposition of EC				Variance decomposition of TC			
	S.E.	ML	EC	TC	S.E.	ML	EC	TC	S.E.	ML	EC	TC
1	0.245	100.000	0.000	0.000	0.214	13.556	86.444	0.000	0.259	40.819	51.278	7.903
2	0.277	98.261	1.502	0.237	0.226	12.166	87.120	0.714	0.323	45.907	47.492	6.602
3	0.297	91.509	8.279	0.212	0.233	11.820	83.245	4.935	0.336	45.775	47.063	7.162
4	0.310	87.524	12.267	0.209	0.233	11.794	82.617	5.589	0.349	45.275	47.210	7.515
5	0.315	85.999	13.595	0.406	0.234	11.760	82.273	5.967	0.355	45.362	47.375	7.263
6	0.318	85.198	14.250	0.552	0.234	11.827	82.213	5.960	0.357	45.335	47.410	7.255
7	0.320	84.835	14.590	0.574	0.234	11.832	82.190	5.978	0.358	45.292	47.425	7.283
8	0.320	84.673	14.738	0.589	0.234	11.831	82.184	5.985	0.358	45.294	47.442	7.264

9	0.321	84.589	14.811	0.601	0.234	11.833	82.182	5.985	0.359	45.292	47.447	7.261
10	0.321	84.549	14.847	0.605	0.234	11.833	82.181	5.985	0.359	45.289	47.449	7.262
Period	Southwest China											
	Variance decomposition of ML				Variance decomposition of EC				Variance decomposition of TC			
	S.E.	ML	EC	TC	S.E.	ML	EC	TC	S.E.	ML	EC	TC
1	0.200	100.000	0.000	0.000	0.159	6.339	93.661	0.000	0.228	45.541	50.088	4.371
2	0.243	86.167	4.278	9.555	0.159	6.299	93.688	0.013	0.288	45.690	40.510	13.800
3	0.247	86.305	4.137	9.559	0.159	6.324	93.654	0.022	0.292	47.137	39.250	13.613
4	0.248	86.206	4.137	9.657	0.159	6.329	93.647	0.024	0.294	47.333	38.976	13.690
5	0.248	86.204	4.133	9.663	0.159	6.331	93.645	0.024	0.294	47.377	38.932	13.691
6	0.248	86.202	4.133	9.665	0.159	6.331	93.645	0.024	0.294	47.384	38.924	13.692
7	0.248	86.202	4.133	9.666	0.159	6.331	93.645	0.024	0.294	47.385	38.922	13.692
8	0.248	86.202	4.133	9.666	0.159	6.331	93.645	0.024	0.294	47.386	38.922	13.692
9	0.248	86.201	4.133	9.666	0.159	6.331	93.645	0.024	0.294	47.386	38.922	13.692
10	0.248	86.201	4.133	9.666	0.159	6.331	93.645	0.024	0.294	47.386	38.922	13.692
Period	Qinghai-Tibet region											
	Variance decomposition of ML				Variance decomposition of EC				Variance decomposition of TC			
	S.E.	ML	EC	TC	S.E.	ML	EC	TC	S.E.	ML	EC	TC
1	0.251	100.000	0.000	0.000	0.203	0.302	99.698	0.000	0.430	57.261	40.410	2.329
2	0.271	86.528	13.420	0.052	0.209	1.026	94.813	4.162	0.445	55.414	40.303	4.283
3	0.309	66.599	33.050	0.351	0.214	5.612	89.979	4.408	0.475	50.330	45.894	3.776
4	0.314	65.241	33.072	1.687	0.216	5.928	89.439	4.633	0.480	50.261	44.971	4.768
5	0.317	65.259	32.873	1.869	0.217	5.907	89.380	4.713	0.483	50.201	45.075	4.724
6	0.318	65.259	32.697	2.044	0.217	5.913	89.363	4.724	0.484	50.198	44.959	4.843
7	0.319	65.232	32.726	2.042	0.217	5.908	89.365	4.726	0.484	50.155	45.008	4.837
8	0.319	65.228	32.723	2.049	0.217	5.910	89.354	4.736	0.484	50.151	44.998	4.851
9	0.319	65.215	32.737	2.048	0.217	5.910	89.353	4.737	0.484	50.142	45.007	4.850

10	0.319	65.213	32.736	2.051	0.217	5.910	89.352	4.738	0.484	50.141	45.006	4.853
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589

## 590 **6. Conclusion**

591 This study examines the regional differences in the dynamic efficiency of ACE from 2001 to 2017 in  
592 China, and empirically analyzes the dynamic effects of the internal structure of dynamic efficiency by  
593 using the Dagum Gini coefficient and the PVAR model. The results of this study found that: (i) the  
594 overall dynamic efficiency of China's ACE is in a state of “efficiency optimization”, but most provinces  
595 in the Southwest and Huanghuaihai regions are in a state of “inefficiency”; numerically, the dynamic  
596 efficiency was higher in 2017 than in 2001. Technological change and technological efficiency change  
597 are in a state of “inefficiency” in most areas, and “regions of efficiency decline” present a clustering  
598 phenomenon in the spatial structure of emissions in the region. In addition, in the early period of the  
599 study (2001–2009), technological change was found to be the main factor leading to dynamic  
600 efficiency change, while in the later period (2010–2017), technological efficiency change dominated  
601 the dynamic efficiency. (ii) In terms of regional differences, the overall Dagum Gini coefficient of  
602 China's ACE dynamic efficiency, technological change, and technological efficiency change all show  
603 upward trends; this indicates that the domestic gap is gradually widening. Among the differences  
604 within and between regions, the contribution of technological efficiency change and technological  
605 change to the dynamic efficiency of China's ACE varies greatly between regions. In economically  
606 developed regions, technological efficiency change drives dynamic efficiency, whereas technological  
607 change is the main driver of dynamic efficiency in underdeveloped regions. In terms of the  
608 performance of the contribution rate, we found that the gap between regions and the transvariation  
609 intensity are the main reasons for the gap between dynamic efficiency, technological change, and  
610 technological efficiency change in China's ACE. These two factors perform differently in different  
611 samples and during different time periods. (iii) In the analysis of the internal formation of dynamic  
612 efficiency, we found that the technological change, technological efficiency change, and dynamic  
613 efficiency interacted with one another in terms of intensity, direction, and continuity.

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728

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730 **Ethical approval**

731 Not applicable.

732

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