

Study on the Hydrothermal Coupling Characteristics of Polyurethane Insulation Boards Slope Protection Structure Incorporating Phase Change Effect

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1 **Study on the Hydrothermal Coupling Characteristics of Polyurethane**
2 **Insulation Boards Slope Protection Structure Incorporating Phase**
3 **Change Effect**

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10

11 **Abstract:** As an important hydraulic infrastructure, the canals are essential for agricultural
12 irrigation, shipping and industry. In the seasonal freeze regions, the water conveyance canals are
13 damaged due to the effects of freeze-thaw cycles. The freeze depth of soil in the water transfer canal
14 varies considerably due to changes in temperature and water content. The paper compares the
15 relationship of the freeze depth, temperature and water content by field tests and numerical
16 calculation methods Incorporating phase change. The results show that the decrease in temperature
17 causes the water in the soil to freeze, the ice front migrate downwards, and the water in soil below
18 ice front gradually migrate towards the ice front, resulting a large difference in water content of the
19 soil before and after freezing. An insulation slope structure, Polyurethane insulation board +
20 Concrete board slope structure (PC), is proposed in this paper to mitigate the effect of freezing and
21 thawing on the water conveyance canals. Under the protective effect, the freeze depth decreases

22 significantly. In addition, this paper compares the anti-frost effect of different thicknesses
23 polyurethane insulation boards, and the results can provide a reference for the anti-frost design of
24 water conveyance canals.

25 **Key words:** Anti-frost structure; Polyurethane insulation boards; Hydrothermal coupling; Phase
26 change;

27 **1 Introduction**

28 Frozen soil is widespread across the world, covering a total area of about 23% of the land
29 area[1]. Different types of frozen soil cover the territory of China, of which the total area of seasonal
30 frozen soil is about $4.76 \times 10^6 \text{km}^2$, accounting for about 49.6% of China's territory[2]. As society
31 develops, human engineering activities inevitably conducted in seasonal freeze regions. For
32 example, to solve the drought problems in northern seasonal freeze regions, water transfer canals
33 are usually built to transfer water from water-rich areas to arid areas. Under the influence of
34 temperature, the soil in seasonal freeze regions shows the characteristics of "freezing and thawing".
35 The water conveyance canals built in the seasonal freeze region are damaged by seepage and freeze-
36 thaw, such as frost heave, hollow and collapse damage (Fig.1)[3]. For arid and cold regions where
37 water resources are extremely scarce, frost damage to canals has become a shackle for the safe and
38 efficient operation of water transfer projects and economic development[4-7].



Fig. 1 Frost damage to canals

39 Damage to canals in the seasonal freeze regions is influenced by the environment factors(solar
40 radiation, air thermal convection, precipitation, evaporation, etc.), the properties of soil
41 (permeability, water content, gradation, pore space, etc.), the water table, and the form of canals
42 (section form and lining structure, etc.)[\[3\]](#). The study of soil frost heave can be traced back to the
43 formulation of the First and the Second Frost Heave Theories[\[8-11\]](#). However, the theory of frost
44 heave in this period was not further developed due to the constraints of experimental conditions and
45 computational efficiency. Nevertheless, the development of the two theories of frost heave has
46 contributed significantly to subsequent research on frost heave. Since 1980s, technological
47 development made it possible for scholars from various countries to conduct experimental studies
48 on frost heave, thus providing great convenience to study the interaction between temperature and
49 water in soils[\[12,13\]](#). Kunio and Yurie (2016)[\[14\]](#) established the relationship between the
50 permeability coefficient and temperature, ice content of the soil by unsaturated soil permeability
51 tests. In recent years, with the development of computing technology, numerical methods have been
52 widely used in solving the freeze issues. Numerical calculations make it possible to simulate
53 changes in temperature and water in the soil, providing a reference for actual projects[\[15-17\]](#). Li
54 and Lai et al[\[18\]](#) study the mechanism of frost heave in a water conveyance canal by numerical
55 simulation, and the results showed that the soil frost damage was caused by freezing of water in the

56 soil. In addition, numerical analysis is equally effective in predicting the damage mechanism of
57 lining structures, which provides a basis for the design of concrete lining protection[19]. In addition
58 to theoretical studies and numerical simulations, the physical models are also important in the study
59 of the freezing effect of soils[15-17]. Li and Liu et al[20] conducted a soil bag frost protection test
60 to investigate the effect of soil bags, and their findings showed that soil bags were able to inhibit the
61 migration of water significantly.

62 Although numerical calculations, as well as laboratory tests, have achieved numerous
63 achievements in the study of the hydrothermal characteristics of water conveyance canals, the
64 accuracy of the results is questioned at times due to the various assumptions and the boundary
65 conditions. As for the laboratory model tests, although they provide a more realistic reflection of
66 the effects of temperature and water on freeze, the physical model developed in the laboratory is
67 unable to fulfil the temporal and dimensional effects that affect the freeze in the canals. Using just
68 one method (laboratory tests or numerical simulations) is inadequate in determining the freezing
69 characteristics of the canals. Therefore, this paper takes the main canal of the Hada Mountain Water
70 Conservancy Project as an example and monitors the freeze depth and water change of the canal by
71 field tests. Combined with the numerical simulation of hydrothermal coupling, this paper proposes
72 the application of polyurethane insulation board for frost protection on the slope of water
73 conveyance canals, which provides a reference for the design of frost protection.

74 **2 Study area**

75 The Hada Mountain Water Conservancy Project locates on the second mainstream of the
76 Songhua River about 20km from the southeast of Songyuan City, Jilin Province, China (Fig. 2a,b).

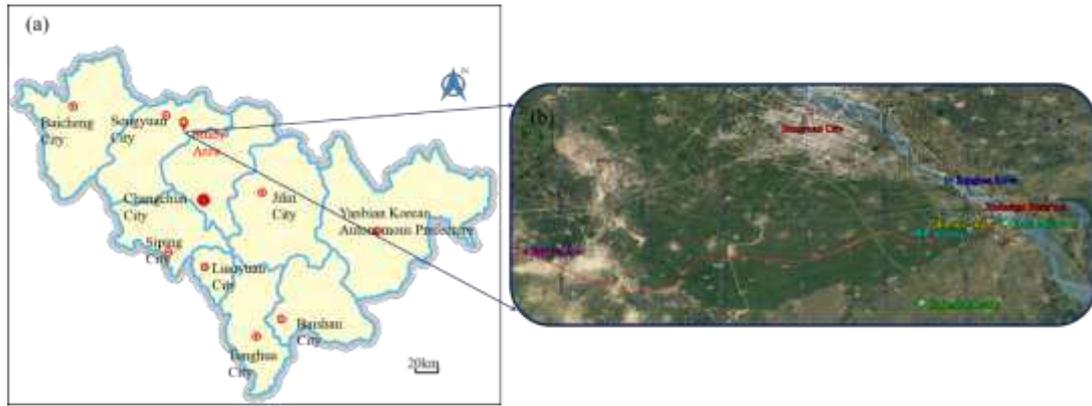


Fig. 2 Detailed overview of main canal of Hada Mountain Water Conservancy Project
(a: Geographical location map of the study area; b: Satellite map of the study area, c: Soil slope,
d: Insulation board and Concrete board slope protection)

77 According to the statistics of meteorological data from 1971 to 2012 (41 years) in Songyuan
78 City, the average freeze period is 123 days per year, with the longest freeze period being 146 days
79 and the shortest 102 days. Freezing has occurred from 22 October to 29 November, thawing has
80 begun on 28 February to 31 March. An important index of coldness in a freeze-thaw cycle is the
81 Freezing Index, which is cumulative of negative daily average temperature ($^{\circ}\text{C}$) during a freezing
82 period, which can be calculated according to Eq.1.

$$83 \quad I_f = \int_{t_0}^{t_1} |T| dt, \quad T < 0^{\circ}\text{C} \quad (1)$$

84 Where: I_f is the Freezing Index ($^{\circ}\text{C}\cdot\text{d}$), t_0, t_1 are the first and last day of the year when the temperature
85 is below 0°C (d), and T is the temperature ($^{\circ}\text{C}$).

86 During the 1971-2011 period, the Freezing Index of Songyuan City is shown in Fig. 3a, with a
87 maximum Freezing Index of $1999^{\circ}\text{C}\cdot\text{d}$, a minimum Freezing Index of $1041^{\circ}\text{C}\cdot\text{d}$ and an average
88 Freezing Index of $1443^{\circ}\text{C}\cdot\text{d}$. According to the local weather data, the freeze and thaw cycle in 2011-
89 2012 is approximately sinusoidal (Fig. 3b) and the Freeze Index for 2011-2012 is calculated as
90 $1613^{\circ}\text{C}\cdot\text{d}$ according to Eq.1. According to previous Freezing Index, the degree of coldness in 2011-
91 2012 was moderate.

$$92 \quad T = -5 + 20\sin(2\pi t + \pi/2) \quad (2)$$

93 Where: T is the daily temperature ($^{\circ}\text{C}$) and t is the time (d).

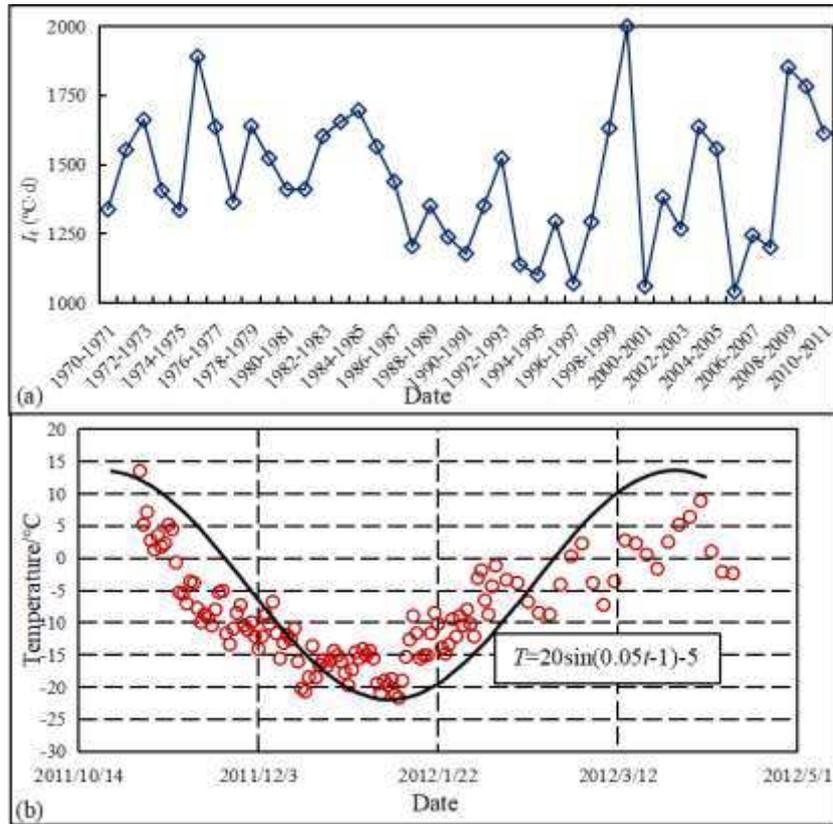


Fig. 3 Temperature characteristics
 (a: Freezing index curve, b: Temperature during the freeze-thaw period 2011-2012)

94 The main canal length of the Hada Mountain Water Conservancy Project is 95.93km. The canal
 95 cross-section is trapezoidal. Under low temperature conditions, frost damage to the water
 96 conveyance canal is a serious problem, which threatens the safety of the project. The polyurethane
 97 insulation board + concrete slab slope protection (PC) is designed to reduce the impact of frost
 98 damage on the water conveyance canal, and the soil slope is used as comparison tests , the forms of
 99 PC and soil slope are shown in Fig. 4.

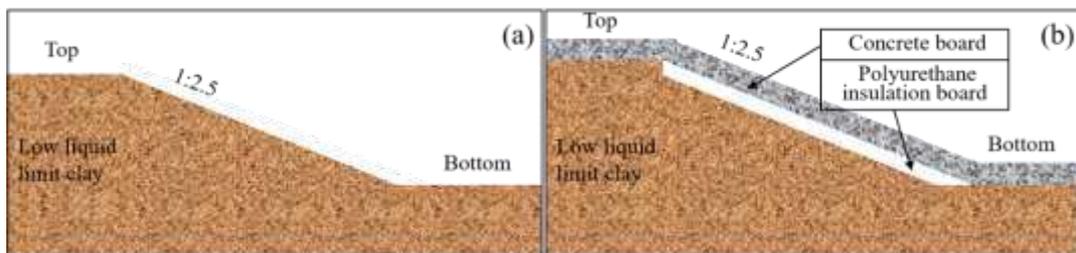


Fig. 4 Diagram of slopes and protection scheme (a: Soil slope, b: PC)

100 3 Experimental Analysis

101 3.1 Monitoring methods

102 To determine the characteristics of freezing depth and groundwater level changes on the slopes
103 of the canal, 25 m test sections are established at three sites (hereafter referred to as 2 km test site,
104 4 km test site and 47 km test site) in the main canal of the Hada Mountain Water Conservancy
105 Project, and freezing depth and groundwater level monitoring devices are installed as shown in Fig.
106 5. The freezing depth monitoring devices are buried at a depth of 2.10m. The 47km test site is shown
107 in Fig. 5b and the other two test sites are of the same form.

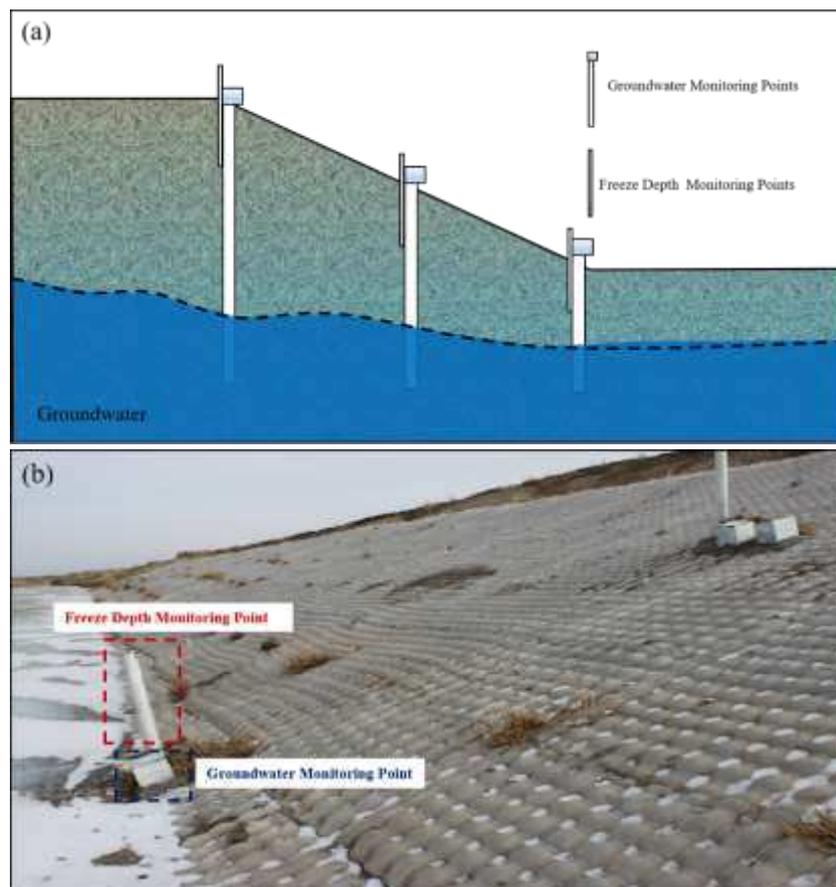


Fig. 5 Freeze depth and groundwater table monitoring points in the test site
(a: Diagram of monitoring points, b: Freeze depth and groundwater table monitoring in the
47km test site)

109 *3.2 Freezing depth and groundwater changes*

110 The changes in groundwater level in the region and the freeze-thaw lines on the soil slopes
111 between 2011 and 2012 are shown in Fig. 6. During the freeze-thaw cycle in the 2011-2012 period,
112 the freezing and thawing curves of the soil and the groundwater curves of the three test sites are
113 similar, with the soil starting to freeze at the beginning of November and the freezing depth of the
114 slopes increasing. By mid-February of the following year, the freeze depth no longer increases. The
115 freeze depth in the 2km and 4km test sites is similar, with a maximum freeze depth of about 200cm
116 at the top of the slope, a maximum freeze depth of about 150cm in the middle and a maximum
117 freeze depth of about 120cm at the bottom. The freeze depth of the soil in the 47km test site is less
118 than the previous two test sites, with a maximum freeze depth of about 160cm at the top of the slope,
119 140cm in the middle and 120cm at the bottom. The freezing remains until mid-March, when the soil
120 thaws from the surface to the maximum freeze depth. By mid-May, the freezing has almost
121 disappeared. The groundwater level declines as the freeze depth increases. Once the freeze depth
122 becomes stable, the groundwater level remains stable as well. At the beginning of April, the
123 temperature rises and the groundwater level rises rapidly due to the release of water from the canal
124 and the thawing of the soil.

125 For the same locations, the difference caused by the effects of temperature, wind speed, wind
126 direction and external loads on the freeze depth of soil is small. The main factor contributing to the
127 difference is the effect of the water content of soil. The soil at the bottom of slope has a higher water
128 content than the soil at the top. Because of the effect of the latent heat of phase change in water and
129 ice, the temperature at the bottom of the slope is relatively higher than that at the top, therefore the

130 freeze depth at the bottom is shallower than that of the top. When 5 and 6 cm polyurethane insulation
 131 boards + concrete boards are used for slope protection, the freeze depth is measured at the top of
 132 the slope. As shown in Fig. 7, as the insulation boards become thicker, the freeze depth of the soil
 133 becomes shallower. Compared to the freezing depth in soil slopes, the slope protection structure
 134 proposed in this paper can significantly reduce the freeze depth which is about 80-100cm. Besides
 135 that, the slope thawing is advanced under this type of slope protection, and the freeze of water
 136 disappears in mid-April, with the freeze of water disappearing almost a month earlier.

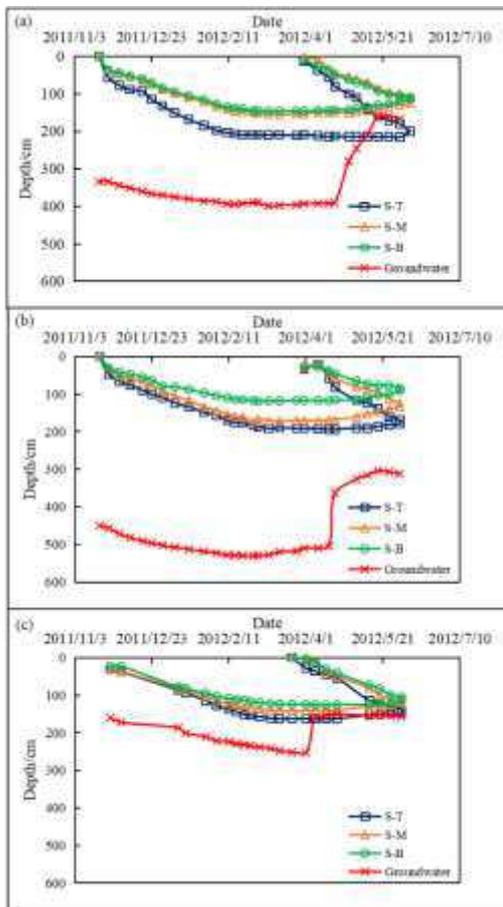


Fig. 6 Soil slope - Groundwater, freeze depth monitoring curve (a: 2km test site, b: 4km test site, c: 47km test site. S: Slopes, T-top of slope, M-middle of slope, B-bottom of slope.)

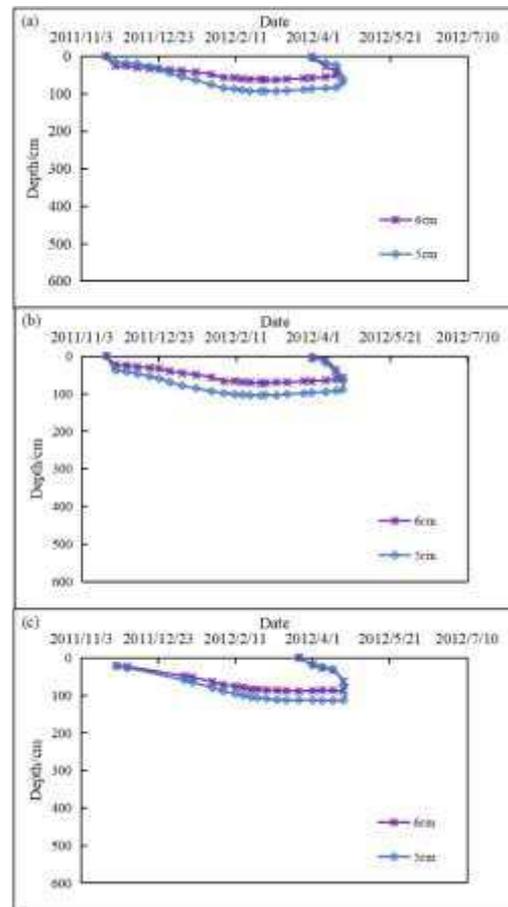


Fig. 7 PB - Groundwater, freeze depth monitoring curve (a: 2km test site, b: 4km test site, c: 47km test site)

137 3.3 Water content changes in the soil before and after freezing

138 In section 3.2, the effect of water content on the freeze depth of soil is discussed. To further
 139 verify the conclusions, the water content of soil is measured before and after freezing at different

140 depths of the top, middle and bottom of slope, taking the soil slope of the 47km test site as an
 141 example. The soil water content - depth variation curve is shown in Fig. 8. As shown in the figure,
 142 before freezing, the water content of surface soil in all three locations of slope is around 10%, in the
 143 range of 0-60cm below the surface, the water content increases with depth. The high water content
 144 of topsoil may be related to the infiltration of surface water. The bottom of slope is close to the water
 145 in canal and the water content of soil at bottom is relatively higher than the other two locations due
 146 to the action of the flowing water. After the water in soil freezes, the water content of topsoil
 147 decreases slightly, associated with the sublimation of ice. In deeper depth, as the ice front progresses
 148 downwards, unfrozen water gradually migrates towards the ice front, thus causing the water content
 149 of soil to be greater than that before freezing. Due to the migration of water in soil, under the
 150 maximum freeze depth, the water content of soil after freezing is lower than that before freezing.
 151 Among the three test sites, the water content of soil at the bottom of slope is the highest and the
 152 latent heat of phase change is higher, thus the freeze depth at the bottom of slope is less than that at
 153 the other two locations on the slope.

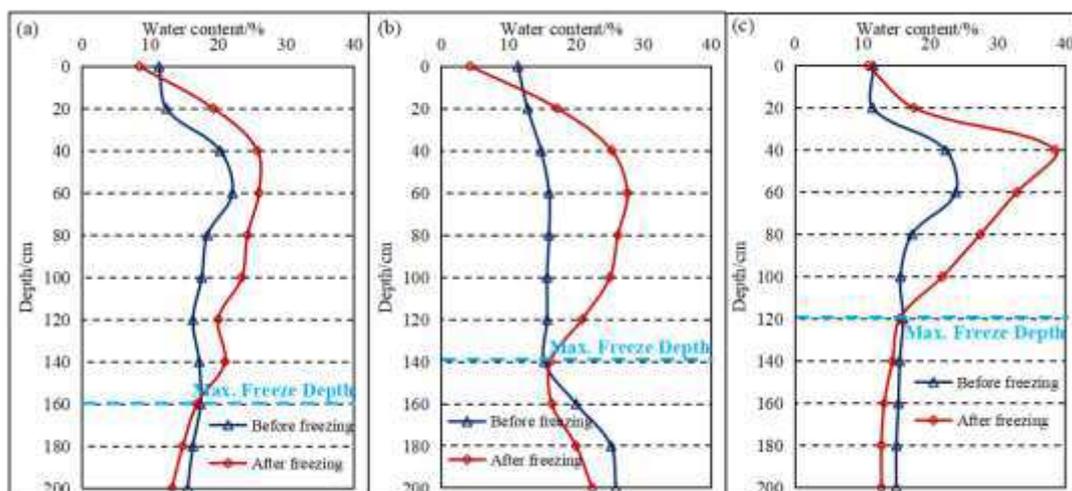


Fig. 8 Moisture content change curve of the soil before and after freezing
 (a: Top, b: Middle, c: Bottom)

155 **4 Numerical model for hydrothermal coupling incorporating phase** 156 **change effects**

157 Seasonal freeze regions are affected by temperature and water freeze ins soil causing frost
158 damage. Philip and De Veries (1957)[21] first proposed the theory of hydrothermal coupling and
159 proposed a nonlinear hydrothermal coupling model based on the principle of viscous fluid flow and
160 heat balance in porous media. Under this basis, several numerical models of hydrothermal coupling
161 have been proposed, and the problem of hydrothermal coupling of geotechnical engineering in cold
162 regions has been successfully solved. The study of hydrothermal coupling has been gradually
163 developed. The application of hydrothermal coupling has been increasing recently, and the
164 following assumptions are made in the hydrothermal coupling model to improve the efficiency of
165 the calculation[22]:

166 1) The soil medium is a homogeneous isotropic pore medium consisting of unfrozen water, ice
167 and soil skeleton, which does not deform during the freeze process.

168 2) Water migration in the geotechnical medium, without the contribution of air to water
169 migration.

170 3) The latent heat and heat transfer processes of water ice phase change are calculated.

171 Under the assumptions above, modelling is carried out by temperature and water fields and the
172 different physical field control conditions are as follows.

173 *4.1 Water field equations*

174 The migration of unfrozen water in the soil follows Darcy's law[23]. Using the Storage Model
175 node, the Darcy's Law interface contains an implementation of Darcy's law which explicitly

176 includes an option to define the linearized storage S (1/Pa) using the compressibility of the fluid and
177 the porous matrix[24]:

$$178 \quad Q_m = \rho_s S \frac{\partial p}{\partial t} + \nabla \rho \left[-\frac{\kappa}{\mu} (\nabla p + \rho g \nabla D) \right] \quad (3)$$

179 Where: Q_m is the mass source, which expresses as ice melting water, calculated according to Eq. 4;
180 ρ is the density of the soil (kg/m³); t is time (s); S is the water transfer model calculated according
181 to Eq. 5; p is the pressure (kPa); g is the acceleration of gravity (m/s²); μ is the dynamic viscosity
182 (Pa·s); κ is the hydraulic conductivity (m/s); D the diffusivity of water in frozen soil.

$$183 \quad Q_m = S_w \cdot e (\rho_i - \rho_w) \frac{\partial S_w}{\partial t} \quad (4)$$

184 Where: S_w is the saturation of unfrozen water in the soil; e is the porosity ratio of the soil, as the
185 water in the soil freezes, the pores are blocked by ice, resulting in a lower porosity ratio, which can
186 be calculated according to Eq. 6; ρ_i , ρ_w are the densities of ice and water respectively (kg/m³).

$$187 \quad S = S_w \cdot e \cdot \beta \quad (5)$$

188 Where: β is the effective compression factor, which is a combined value of water, ice, and solid
189 matrix compressibility. When considering the ice-water phase change, the saturation of unfrozen
190 water in the soil, S_w , depends on the phase change and can be calculated as in Eq. 7.

$$191 \quad e = e_0 \cdot S_w \quad (6)$$

192 Where: e_0 is the initial porosity ratio.

$$193 \quad S_w = S_r + (1 - S_r) \cdot \theta_2 \quad (7)$$

$$194 \quad \theta_1 + \theta_2 = 1 \quad (8)$$

195 Where: S_r is the residual liquid water saturation, θ_1 , θ_2 is a smooth step function defined in the Phase
196 Change Material node. The step function $\theta_2(T)$ is zero for temperatures below the melting
197 temperature T_{pc} , and it equals 1 for temperatures above T_{pc} . In the INTERFROST benchmark, it is

198 assumed that the mushy ice zone extends from 0°C to -1°C. Therefore, T_{pc} is set to -0.5°C and the
199 transition interval of θ_2 is defined as 1K to represent this.

200 Considering the ice-water phase change, the ice clogs the porosity in the soil, leading to a
201 reduction in the porosity ratio, and this leads to a lower permeability of the soil. k expressed in terms
202 of saturation S_w can be calculated by Eq. 9.

$$203 \quad k = k_s \cdot 10^{-I \cdot e^{(1-S_w)}} \quad (9)$$

204 Where: k_s is the coefficient of permeability of saturated soil (m/s); I is the impedance factor.

205 4.2 Temperature field equations

206 The differential equation for heat conduction in frozen soil is:[25].

$$207 \quad (\rho_s C)_{eq} \frac{\partial T}{\partial t} + \rho_s C_w \mathbf{u} \cdot \nabla T + \nabla \cdot (-k_{eq} \nabla T) = Q \quad (10)$$

208 Where: T is the temperature of the soil at different moments (°C); C is the heat capacity at constant
209 pressure (J/(kg·°C)).

210 4.3 Model validation

211 To simplify the calculations, a numerical model is constructed based on the 47km test section
212 among the three test sites of this paper. The numerical simulation calculation model and the
213 dimensions of each part are shown in Fig. 9. The model contains 5544 grid vertices and 10521
214 elements, with boundaries a-e-f-b for the natural ground surface. The temperature boundary of
215 condition shown in Eq. 2 is imposed, with an initial value of 15°C. The specific material parameters
216 as shown in Table 1. In the water field, the soil is considered to be unsaturated. The boundaries are
217 set as zero flux boundaries and the water field calculation parameters are shown in Table 2. The

218 transient calculation method is used to calculate the freeze depth and the change in water content of
 219 the soil over 200 days and the freeze depth and the change in water content are monitored at the
 220 three locations marked by the dotted lines.

221 Table1 Material properties

Material	ρ (kg/m ³)	C (J/kg·°C)	λ (W/m·°C)
Clay	1910	1460	2.50
Water	1000	4200	0.63
Ice	918	2100	2.31

222 Table 2 Parameters for seepage models of unsaturated soils

Parameters	I	e_0	μ (Pa·s)	w_{sat}	S_w	S_r	k (m/s)
Clay	50	0.3	1.793e-3	0.35	0.68	0.14	9.62×10 ⁻⁷

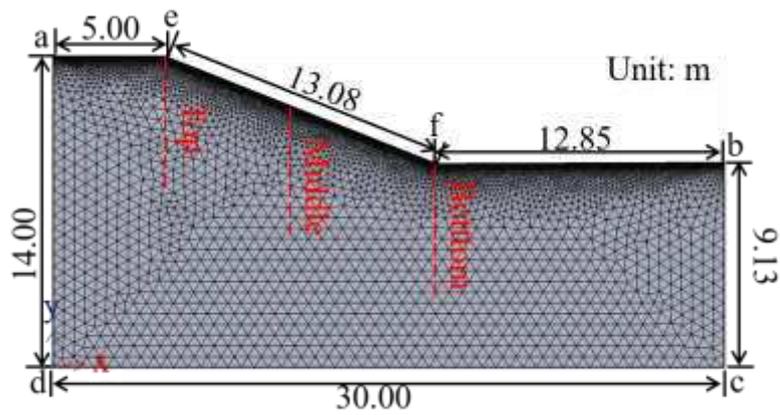


Fig. 9 Numerical calculation model

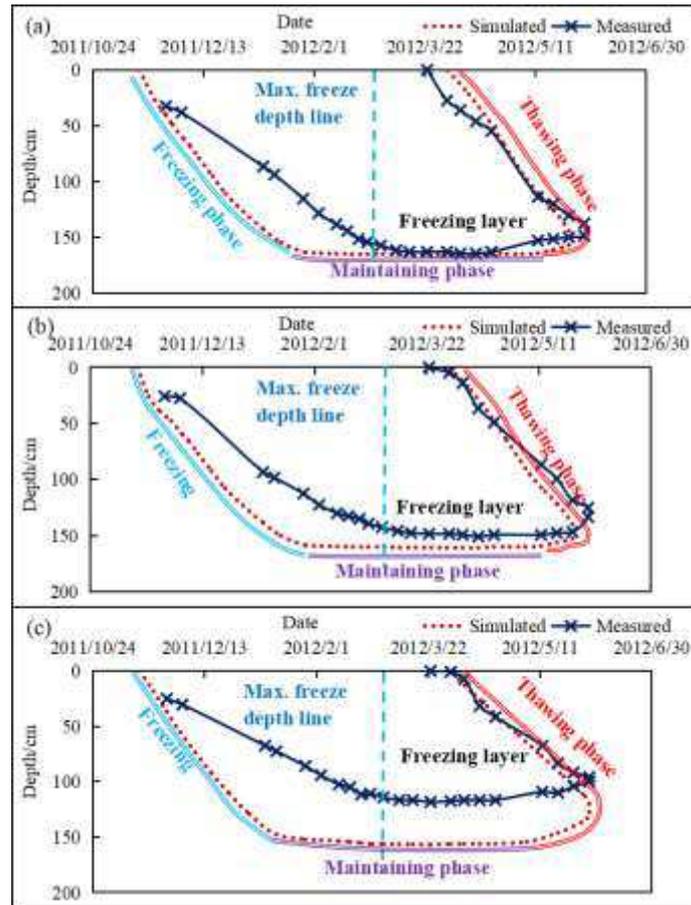


Fig. 10 Freeze-thaw curves for slopes
(a: Top, b: Middle, c: Bottom)

223 The measured and simulated values of freeze-thaw process at the top, middle and bottom of
 224 slope in a single freeze-thaw cycle are shown in Fig. 10. Although there is a deviation between the
 225 simulated and measured values, with a maximum deviation of about 0.3m. This difference is mainly
 226 caused by the fact that the temperature boundary conditions in numerical calculation are fitted to
 227 the measured results. The actual temperature boundary conditions in the field are influenced by solar
 228 radiation, wind direction and speed as well as rainfall, making it impossible to fully introduce the
 229 computational model. However, the results of the simulations still reflect the freeze-thaw process
 230 on the slopes. Comparing the results, it is clear that the freeze process manifests three main stages
 231 during a freeze-thaw cycle.

232 (1) Freeze developing phase: during this phase, the ice front develops downwards due to the

233 decrease in temperature leading to an increasing to freeze depth.

234 (2) Freeze maintenance phase: When the ice front surface develops to a certain depth, the ice
235 front neither retreats nor continues to develop and remains stable.

236 (3) Thawing phase: In this phase, the temperature warms up to greater than 0°C and the frozen
237 soil begins to thaw until the soil is no longer frozen.

238 The distribution characteristics and change processes between measured and simulated
239 freezing/thawing depths are similar. The numerical results show that the numerical model developed
240 in this paper for calculating the slope of water conveyance channel is reliable and can be applied to
241 the analyses of the temperature-freeze depth-water content.

242 *4.4 Temperature - freeze depth - water content regime*

243 The main factor influencing water migration is the coupling of the temperature and water fields
244 during soil freezing and thawing, i.e. the movement of water under the effect of temperature
245 gradients[28]. The temperature distribution of the numerical simulation is shown in Figs. 11 and 12,
246 with the initial temperature field set at 15°C in mid-October. The water in soil freezing occurs at the
247 end of November 2011, and the freeze depth gradually increases as the temperature decreases. Water
248 freeze reaches the maximum freeze depth at the end of February 2011 and remains stable.
249 Comparing the temperature variation curves at different depth for the three locations, the freeze
250 depth at the top and middle of slope is around 160cm, and the freeze depth at bottom is around
251 150cm. As the temperature decreases, the water in the pores gradually freezes and the water content
252 decreases.

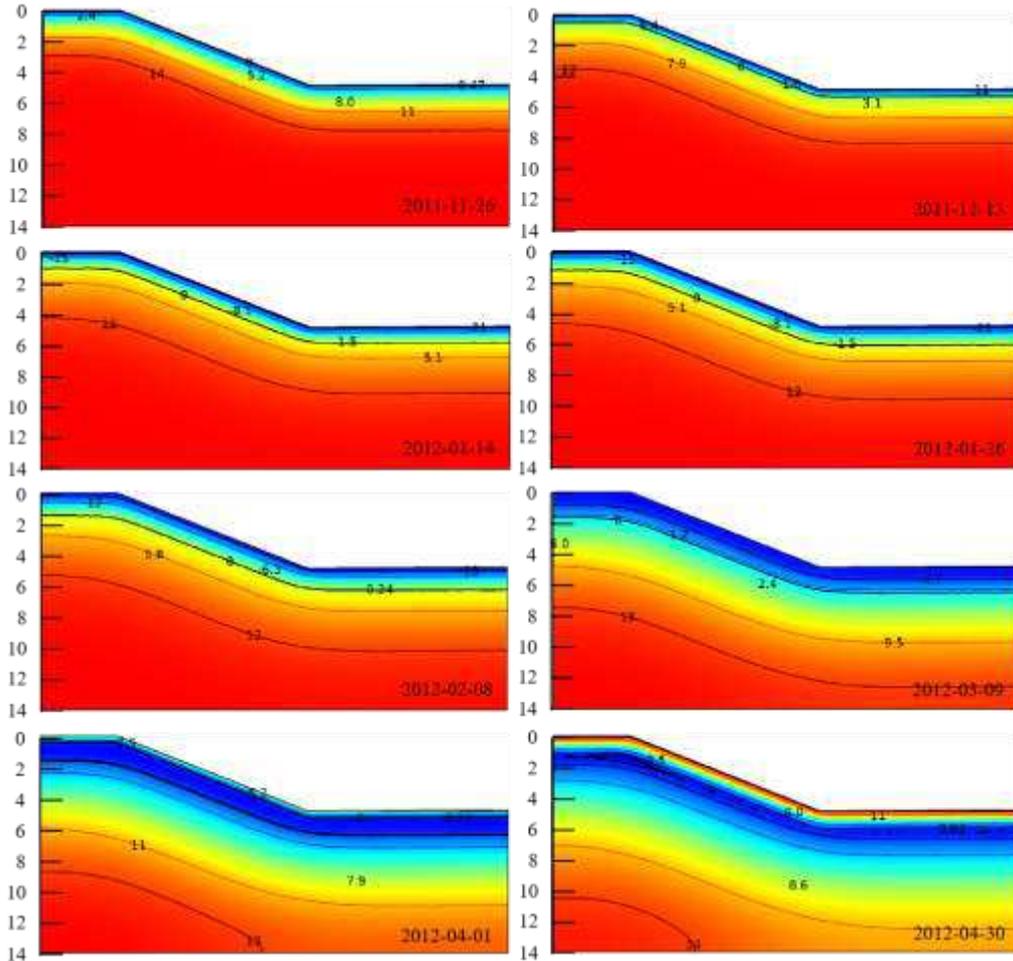


Fig. 11 Temperature field distribution at different times(unit: °C)

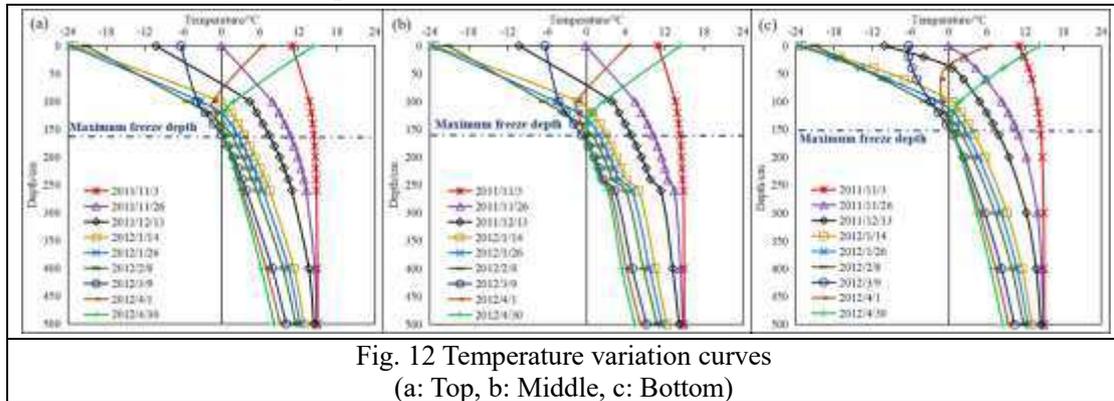


Fig. 12 Temperature variation curves
(a: Top, b: Middle, c: Bottom)

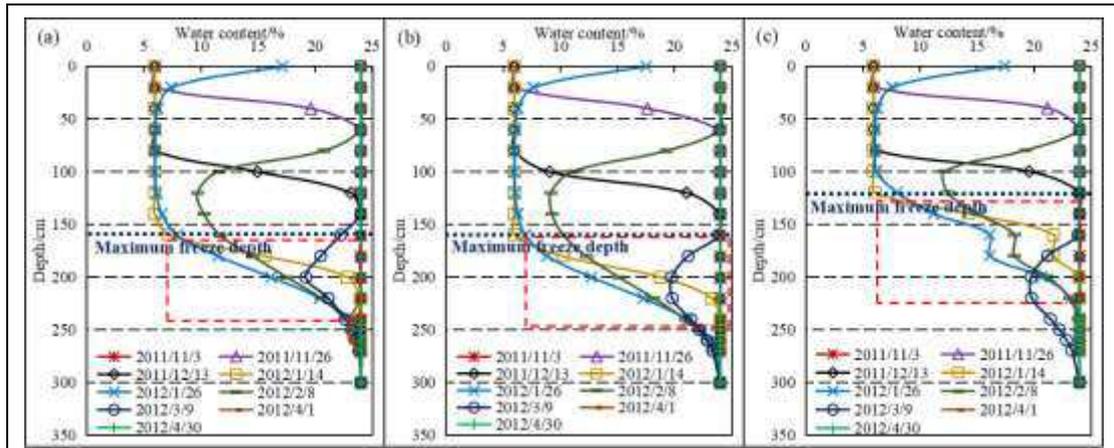


Fig. 13 Water content variation curves
(a: Top, b: Middle, c: Bottom)

253 The change in water content during the conversion of water to ice is shown in Fig. 13. As shown
 254 in the figure, the water content is 0.24 at the beginning of November. In late November, the water
 255 in soil freezes to a depth of around 50cm. At this time, the soil below 50cm has not frozen and the
 256 water content remains at the initial water content. After the freeze depth remains stable in mid-
 257 February the following year, the water content remains stable at the same time. When the
 258 temperature warms up in late March, the ice in the soil about 150cm below the surface gradually
 259 thaws and melts, and the water content returns to its initial value. The curves of water content show
 260 that after the water freezes, the water content of soil below the maximum freeze depth also decreases.
 261 It is not until the freeze has completely melted that the water content gradually returns to its initial
 262 value, which is inextricably related to the water migration during the freezing process.

263 4.5 Anti-frost structures on the slopes of water conveyance canals

264 Water conveyance canal projects in seasonal freeze regions are often damaged by the freeze-
 265 thaw action of the soil. Therefore, anti-frost structures should be adopted to eliminate or reduce the
 266 soil freeze, which can effectively reduce the risk of damage to the slope. According to the measured
 267 and simulated values in the previous sections, the degree of freeze is mainly influenced by

268 temperature as well as water content. Therefore, the insulation boards are most commonly used for
 269 insulated, water-insulated. According to the results of anti-frost heave tests on insulation boards in
 270 the test areas, the small thermal conductivity of polyurethane material enables the heat balance
 271 between heat absorption and heat release, thus resulting in the subsoil not being frozen. For further
 272 determination of insulation board thickness, a structural form (concrete board + insulation board)
 273 as shown in Fig. 14 is used for anti-frost simulation.

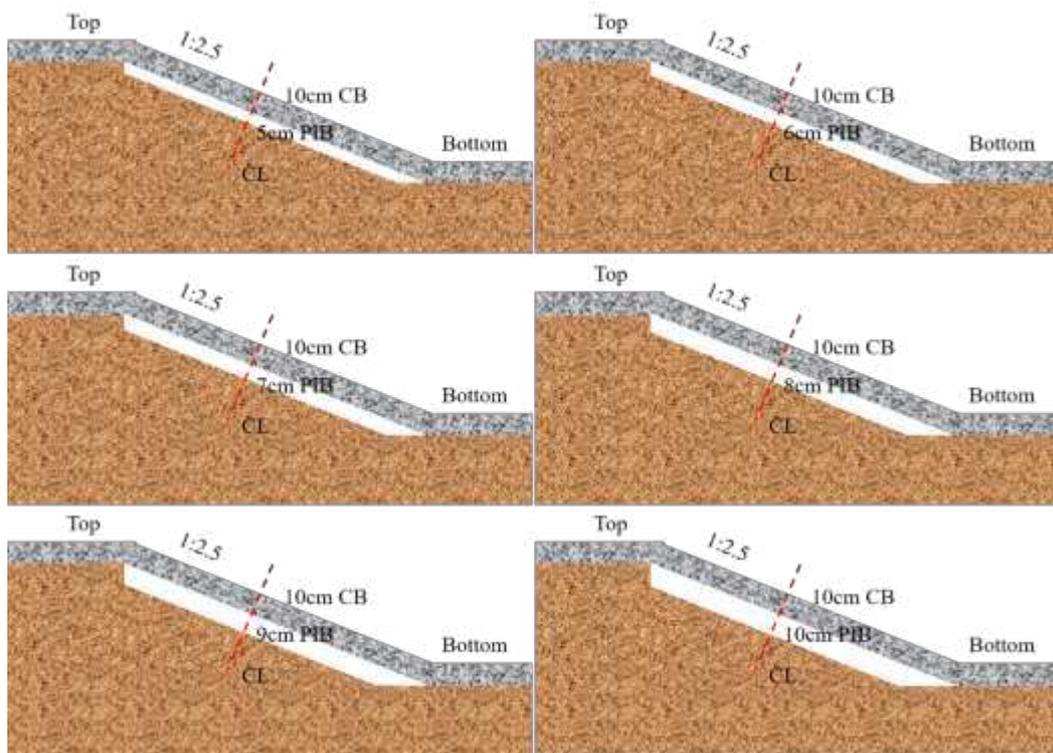


Fig. 14 Anti-frost structures on the slopes of water conveyance canals in seasonal frozen regions

274 Based on the numerical model established in this paper, the maximum freeze depth distribution
 275 can be computed. For the convenience of modelling, a thin layer boundary condition is used instead
 276 of the insulation board solid mesh. The insulation board, as well as concrete material parameters,
 277 are shown in Table 3.

Table 3 Parameters of Insolation boards and Concrete Boards

Material	λ (W/m \cdot °C)	ρ (kg/m 3)	C (J/kg \cdot °C)
Concrete	1.800	2500	880.0
PIB	0.026	48	1330.0

279 The results of the temperature field simulations are shown in Fig. 15, where the 0°C isotherms
 280 are used as the maximum freeze depth. The changes in freeze depth and water content at the three
 281 locations are shown in Table 4.

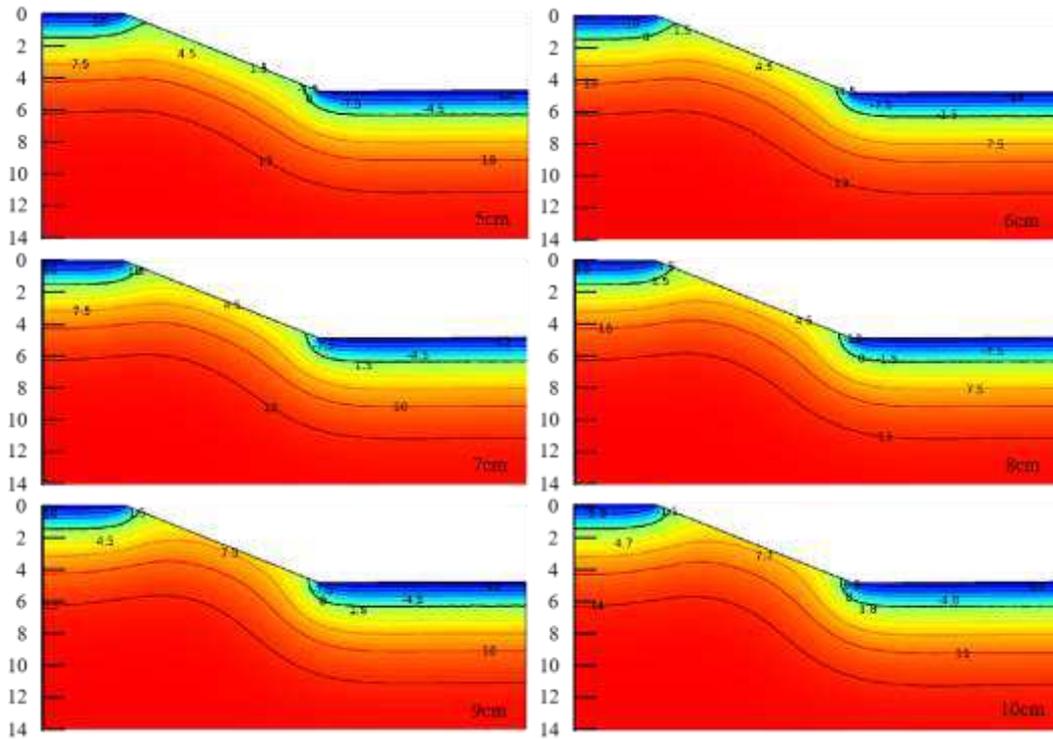


Fig. 15 Temperature fields of the six anti-frost structures at maximum frost depth time

282
 283

Table 4 The Max. Frost Depth and Water Content for the six anti-frost structures.

PIB	T		M		B	
	Freeze Depth/cm	Water Content/%	Freeze Depth/cm	Water Content/%	Freeze Depth/cm	Water Content/%
0	165	6.0	160	5.9	156	9.7
5	120	14.3	0	24.0	100	14.8
6	119	14.8	0	24.0	98	14.8
7	117	14.9	0	24.0	96	14.9
8	115	14.8	0	24.0	95	14.7
9	113	14.6	0	24.0	94	14.7
10	112	15.1	0	24.0	93	14.9

284 Comparing the effect of different thicknesses insulation board on anti-frost, it shows that 5 and
 285 6 cm insulation boards can significantly reduce the freeze depth. The effect of increasing the
 286 thickness of the insulation boards on reducing the freeze depth does not change significantly. In
 287 actual engineering applications, increasing the thickness of the insulation boards is undoubtedly

288 increasing the cost of project, but the effect of improving the insulation boards is not obvious.
289 According to the research of this paper, the insulation board thickness of 5-6cm can improve the
290 anti-frost capacity of the slope. Regions with serious frost damage can refer to the research results
291 of this paper and increase the thickness of the insulation boards appropriately. Comparing the water
292 content at maximum freeze depth, it shows that at the maximum freeze depth of the unprotected
293 slope, the water content of the soil remains at the residual water content, i.e. almost all of the water
294 in the soil is frozen. However, although the water content of soil with protective structures decreases,
295 the unfrozen water content of the soil remains at around 14.8%. With the protection of the insulation
296 boards, the freezing action reduces and the water at the maximum freeze depth cannot freeze
297 sufficiently.

298 In the middle of the slope, under the protection of polyurethane insulation boards, the freeze
299 depth is almost negligible and differs considerably from the actual situation. This is because of
300 simplification of the boundary conditions in numerical calculations and the fact that external factors
301 (solar radiation, wind speed, wind direction and precipitation, etc.) are not considered in the
302 calculation process. Therefore, the results obtained differ considerably from reality, but they still
303 illustrate the usability of polyurethane boards in the design of anti-frost structures. Comparing the
304 freeze depth at the top to bottom of slopes, it shows that the error between the simulated and
305 measured values is around 10-20cm, which is a desirable result and can be used as a reference for
306 design of water conveyance canals to prevent freezing.

307 **5 Discussion**

308 *5.1 Characteristics of temperature and freeze depth change in seasonal freeze regions*

309 In seasonal freeze regions, the water in soil freezes under the cold temperature[29]. The
310 temperature changes dramatically from the ground surface to the maximum freeze depth—a process
311 that directly affects the direction and intensity of water migration[28]. In this paper, the trend of soil
312 freeze depth is summarized in Fig. 16 by field tests as well as numerical simulations. As shown in
313 the figure, the temperature gradually drops from late October to early November each year, causing
314 the water in soil to freeze. Thereafter, the freeze depth has increased until the February of the
315 following year, when it reaches the maximum freeze depth. After the maximum freeze depth remains
316 until March, the temperature increases to above zero and the soil melts in both directions from the
317 surface and the maximum freeze depth, at the end of April the freezing of the soil generally
318 disappears.

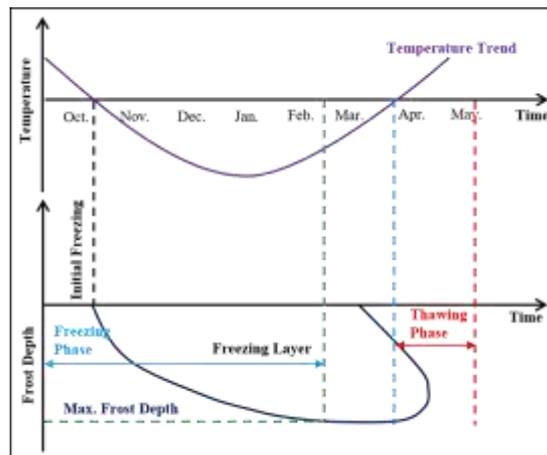


Fig. 16 Frost Depth-Time Curve

319

320 5.2 Characteristics of temperature and water variation in seasonal freeze regions

321 The temperature at the surface in seasonal freeze regions varies widely depending on the
322 seasons, resulting in differences in temperature from the surface to the maximum freeze
323 depth[28,30]. For example, at different locations on the slopes, the freeze depth varies between

324 shaded and sunny slopes[31,32].

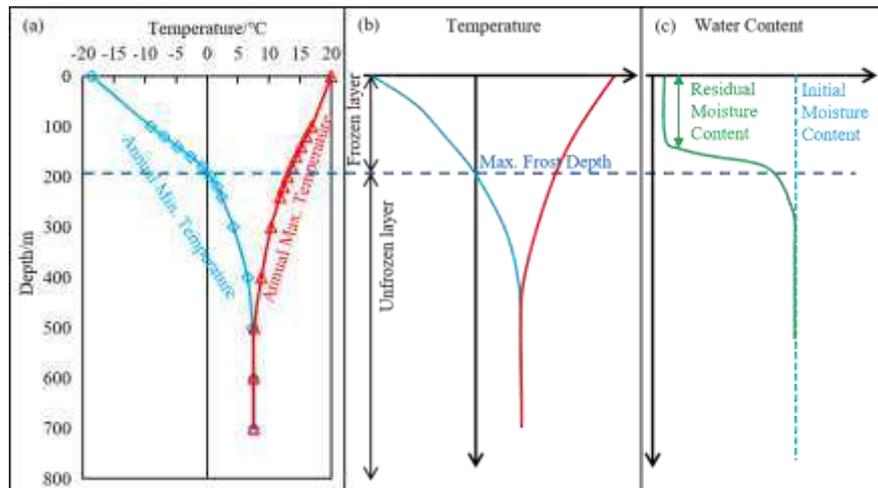


Fig. 17 Characteristics of Temperature-Depth- Water content variation in the seasonal frozen regions (a: Simulated Value, b: Temperature-Depth Trend, c: Water Content-Depth Trend)

325 The freeze depth also varies as shown in Fig. 17. As the temperature decreases, the water in
326 soil gradually freezes from the surface downwards the maximum freeze depth (Fig. 17a), this part
327 of the soil layer is called the Frozen Layer and below the Frozen Layer is the Unfrozen Layer. The
328 freeze depth affects the water content of soil (Fig. 17c). In the simulations of this paper, the water
329 storage model in Comsol software is applied to fully investigate the effect of freeze water on the
330 soil porosity ratio as well as the permeability coefficient, and the results are more reflective of the
331 hydrothermal coupling during the freezing and thawing process. The water content of soil in the
332 frozen layer decreases to the residual water content. Although freezing does not occur below the
333 maximum freeze depth, the water content of the soil under the maximum freeze depth also decreases.
334 This is because the ice front is constantly developing downwards during the freezing process, and
335 water migration occurs under the influence of temperature as well as soil pore capillary forces,
336 resulting in a decrease in the water content of the soil below the maximum freeze depth. After the
337 soil has completely melted, water migration occurs by gravity and the water content of the soil
338 gradually converges to its original state.

339 **6 Conclusions**

340 This paper investigates the characteristics of temperature - freeze depth - water content of the
341 slope based on the combination of field tests and numerical simulations, and compares the effect of
342 different thicknesses of polyurethane materials, and the following conclusions are drawn.

343 1) As the temperature decreases, the ice front gradually develops downwards, making the water
344 migration path decrease, which is beneficial to water migration. After the water freezes to the
345 maximum freeze depth, the water content of soil below the maximum freeze depth is less than the
346 initial water content, which is inseparably related to water migration.

347 2) When polyurethane material is used for anti-frost protection, the freeze depth of soil
348 decreases significantly, which is beneficial for the safety of the canals. The results of the insulation
349 board tests in the field as well as the numerical simulations show that the thicker the insulation
350 board, the smaller the freeze depth of the soil. However, in actual construction, increasing the
351 thickness of insulation boards is undoubtedly an increase in the cost of the project, thus using the
352 proper thickness of insulation boards for protection can save costs. The research in this paper shows
353 that 6-7cm polyurethane insulation boards can effectively decrease freeze depth and thus protect the
354 slope.

355 The thickness and temperature distribution of the frozen layer in seasonal frozen soil areas are
356 important factors influencing the damage of water conveyance canals. Increasing the heat entering
357 slope or reducing the heat diffusion of soil is an effective method to reduce the freezing, and the
358 polyurethane insulation boards can precisely meet such requirement. Therefore, such materials have
359 good prospects for application in the prevention of freezing.

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362 41972267

363

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Figures



Figure 1

Frost damage to canals

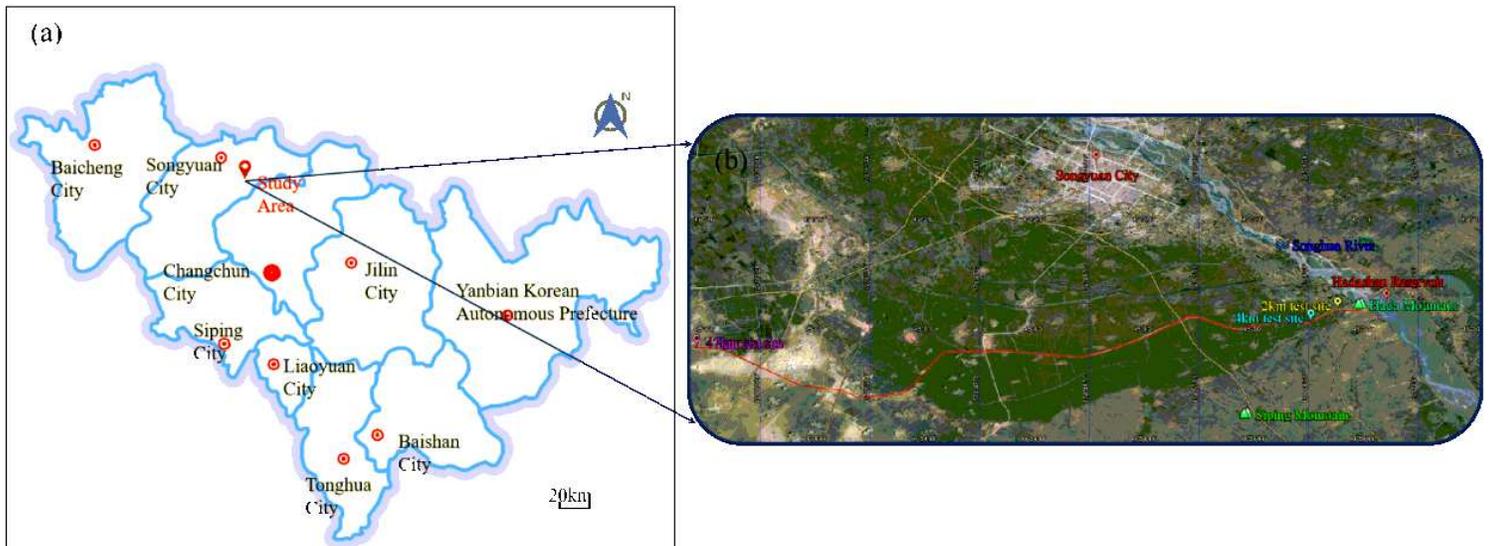


Figure 2

Detailed overview of main canal of Hada Mountain Water Conservancy Project (a: Geographical location map of the study area; b: Satellite map of the study area, c: Soil slope, d: Insulation board and Concrete board slope protection) Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

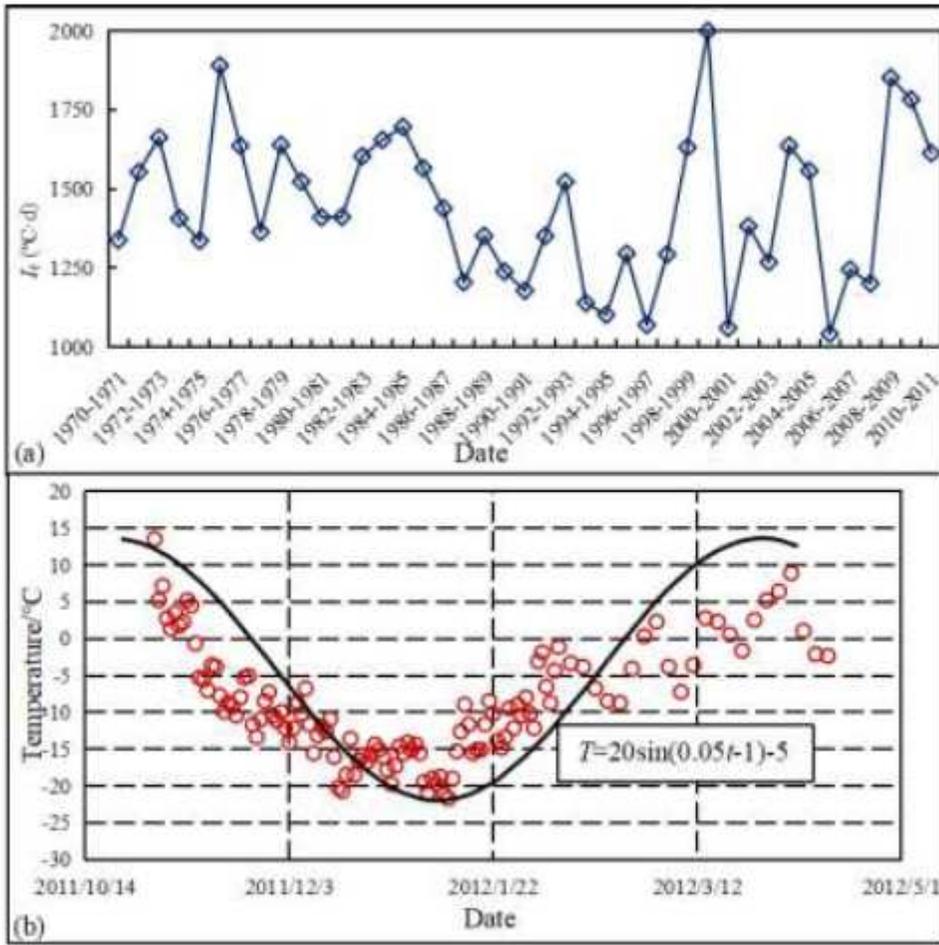


Figure 3

Temperature characteristics

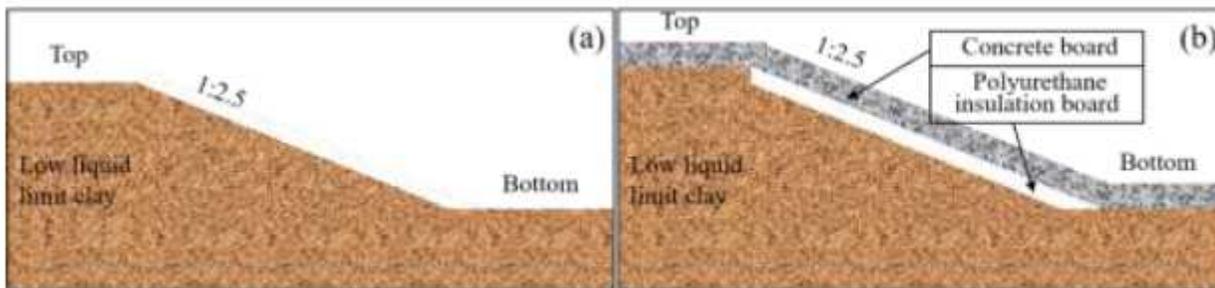


Figure 4

Diagram of slopes and protection scheme (a: Soil slope, b: PC)

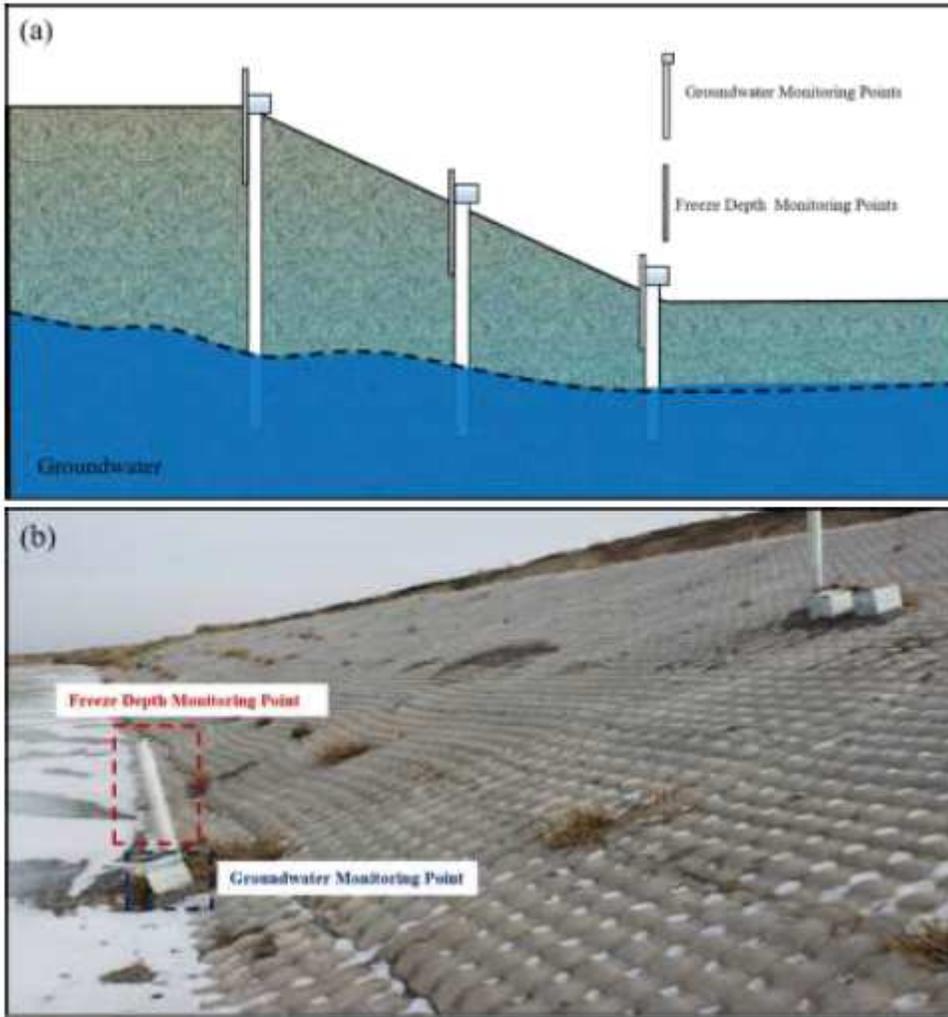


Figure 5

Freeze depth and groundwater table monitoring points in the test site (a: Diagram of monitoring points, b: Freeze depth and groundwater table monitoring in the 47km test site)

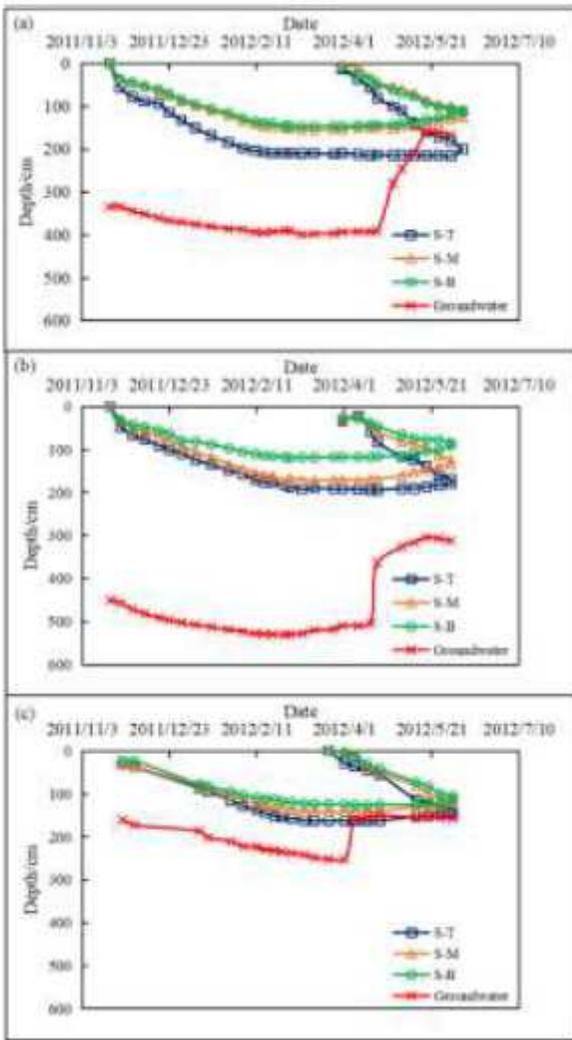


Figure 6

Soil slope - Groundwater, freeze depth monitoring curve (a: 2km test site, b: 4km test site, c: 47km test site. S: Slopes, T-top of slope, M-middle of slope, B-bottom of slope.)

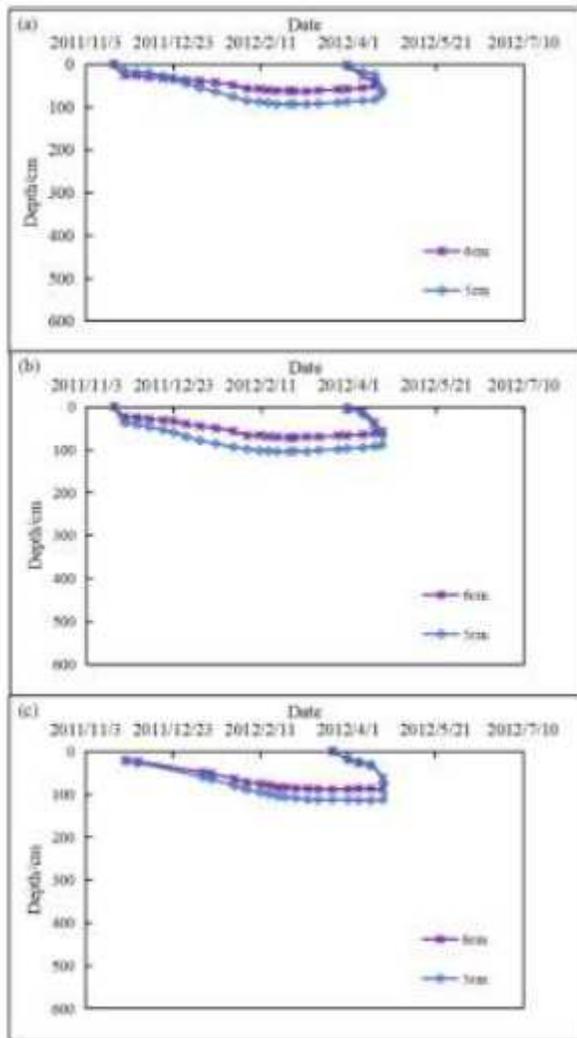


Figure 7

PB - Groundwater, freeze depth monitoring curve (a: 2km test site, b: 4km test site, c: 47km test site)

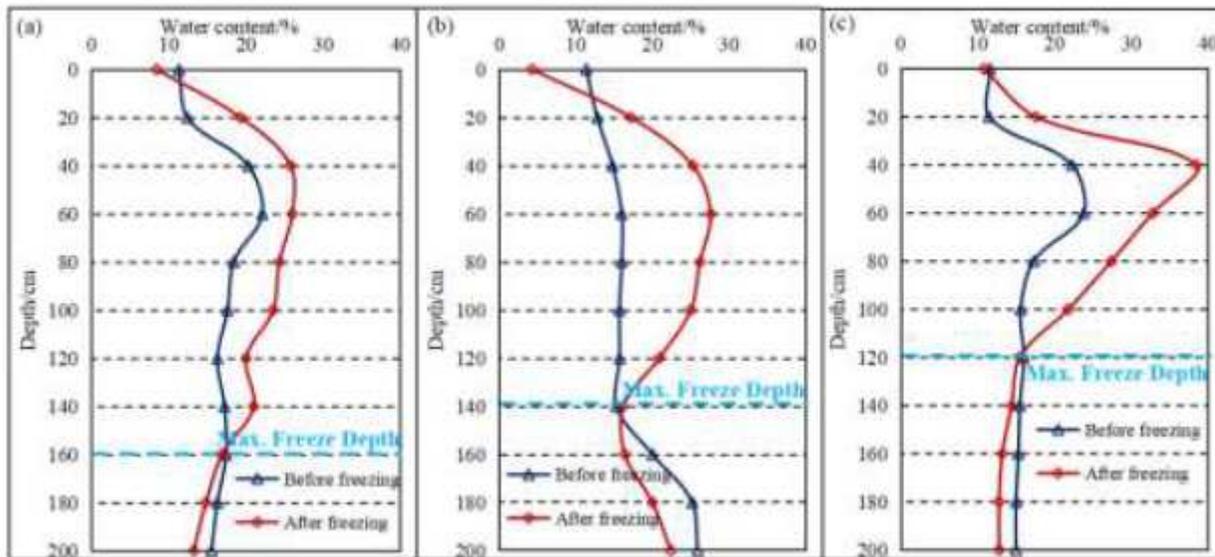


Figure 8

Moisture content change curve of the soil before and after freezing (a: Top, b: Middle, c: Bottom)

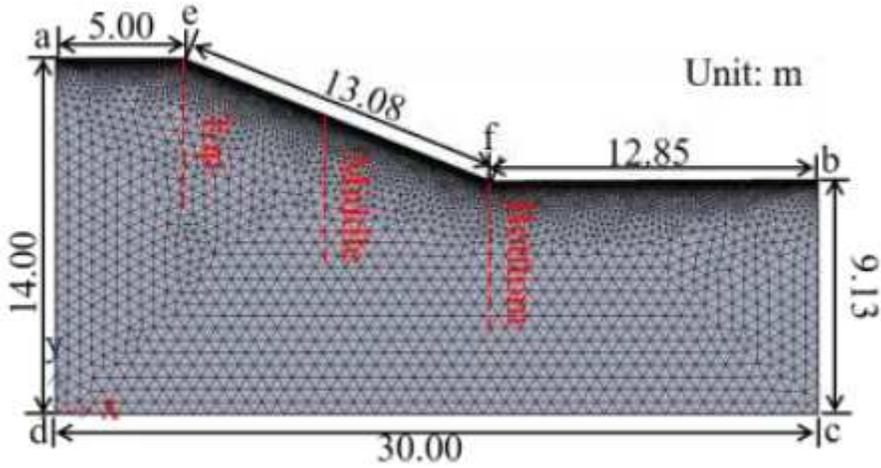


Figure 9

Numerical calculation model

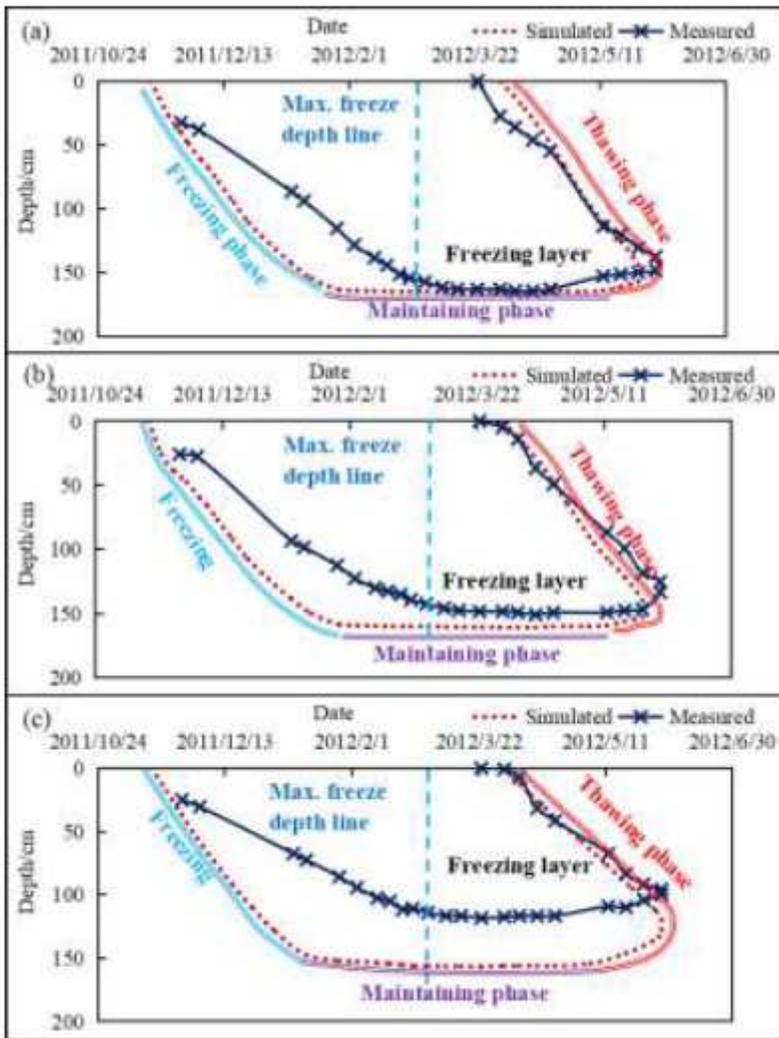


Figure 10

Freeze-thaw curves for slopes (a: Top, b: Middle, c: Bottom)

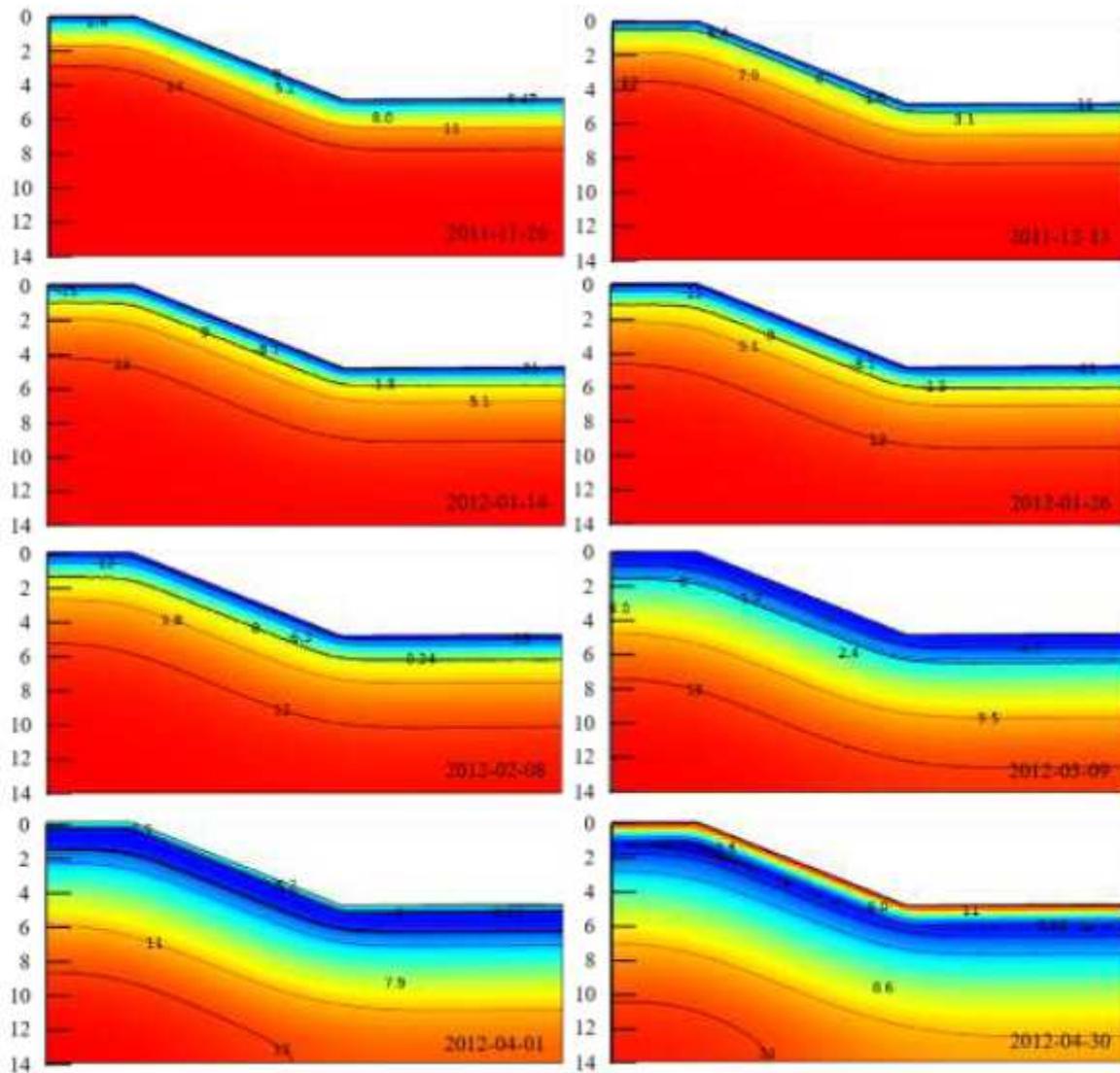


Figure 11

Temperature field distribution at different times(unit: $^{\circ}\text{C}$)

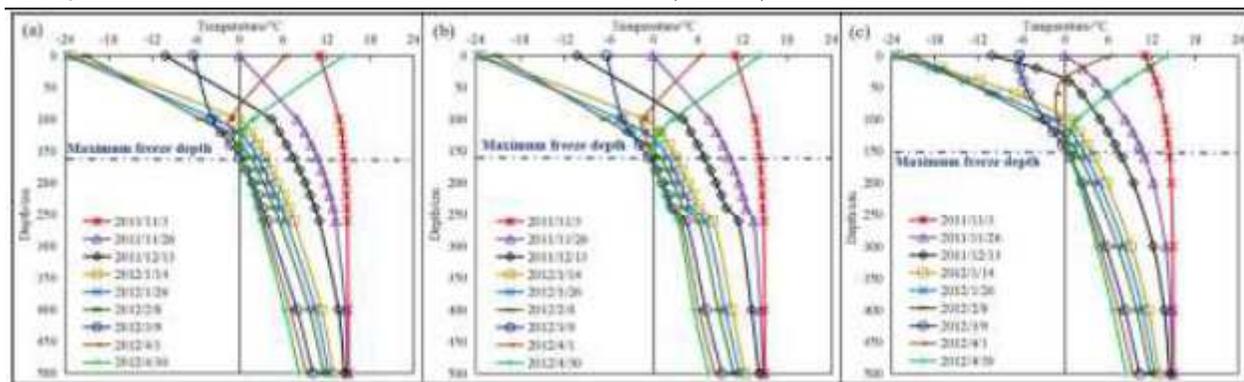


Figure 12

Temperature variation curves (a: Top, b: Middle, c: Bottom)

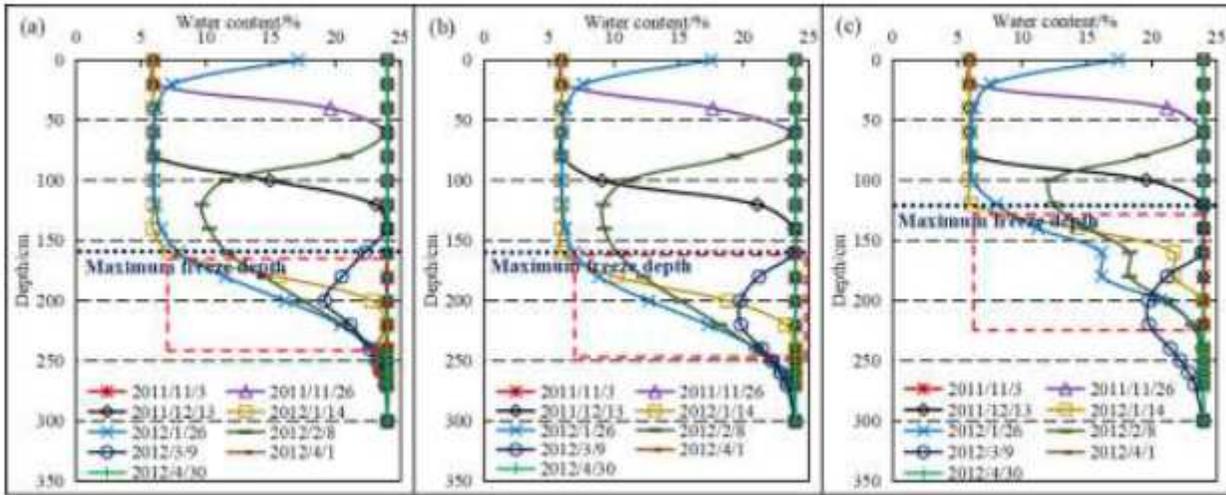


Figure 13

Water content variation curves (a: Top, b: Middle, c: Bottom)

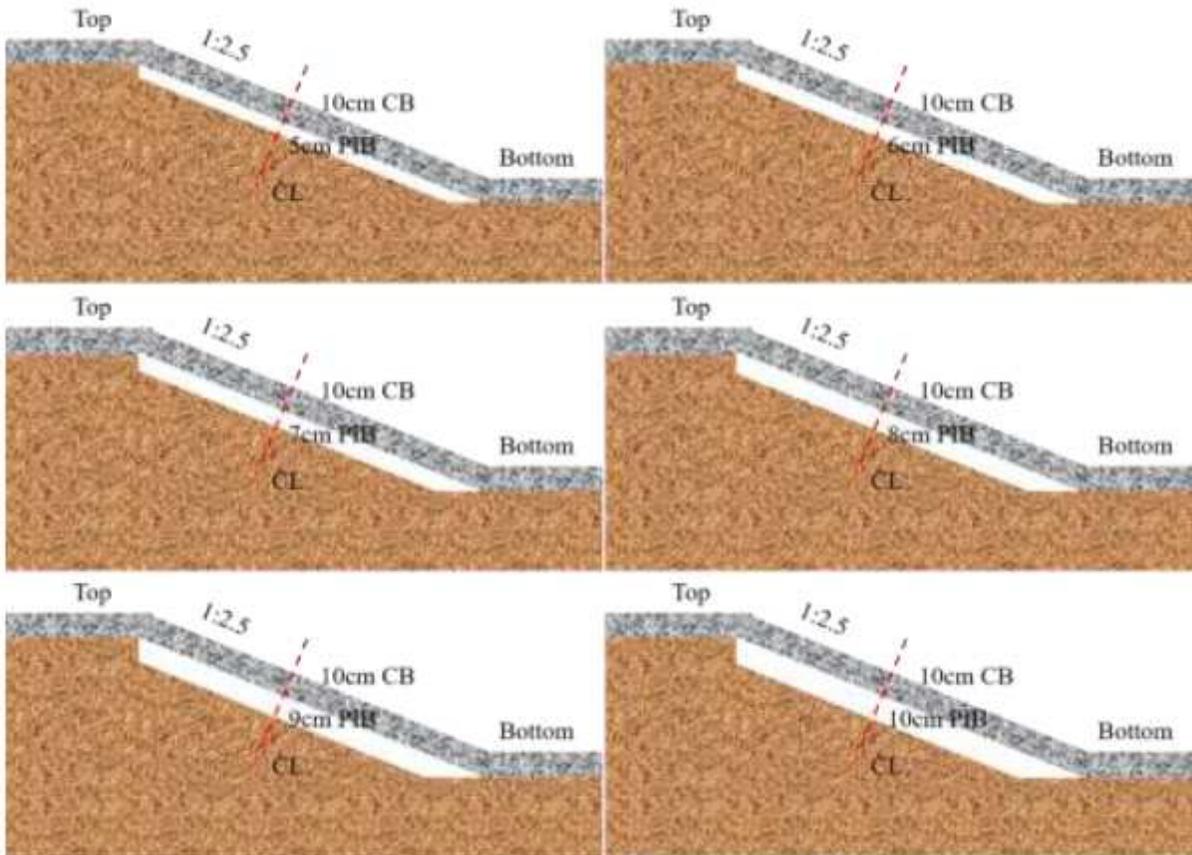


Figure 14

Anti-frost structures on the slopes of water conveyance canals in seasonal frozen regions Based on the numerical model established in this paper, the maximum freeze depth distribution can be computed. For

the convenience of modelling, a thin layer boundary condition is used instead of the insulation board solid mesh. The insulation board, as well as concrete material parameters, are shown in Table 3.

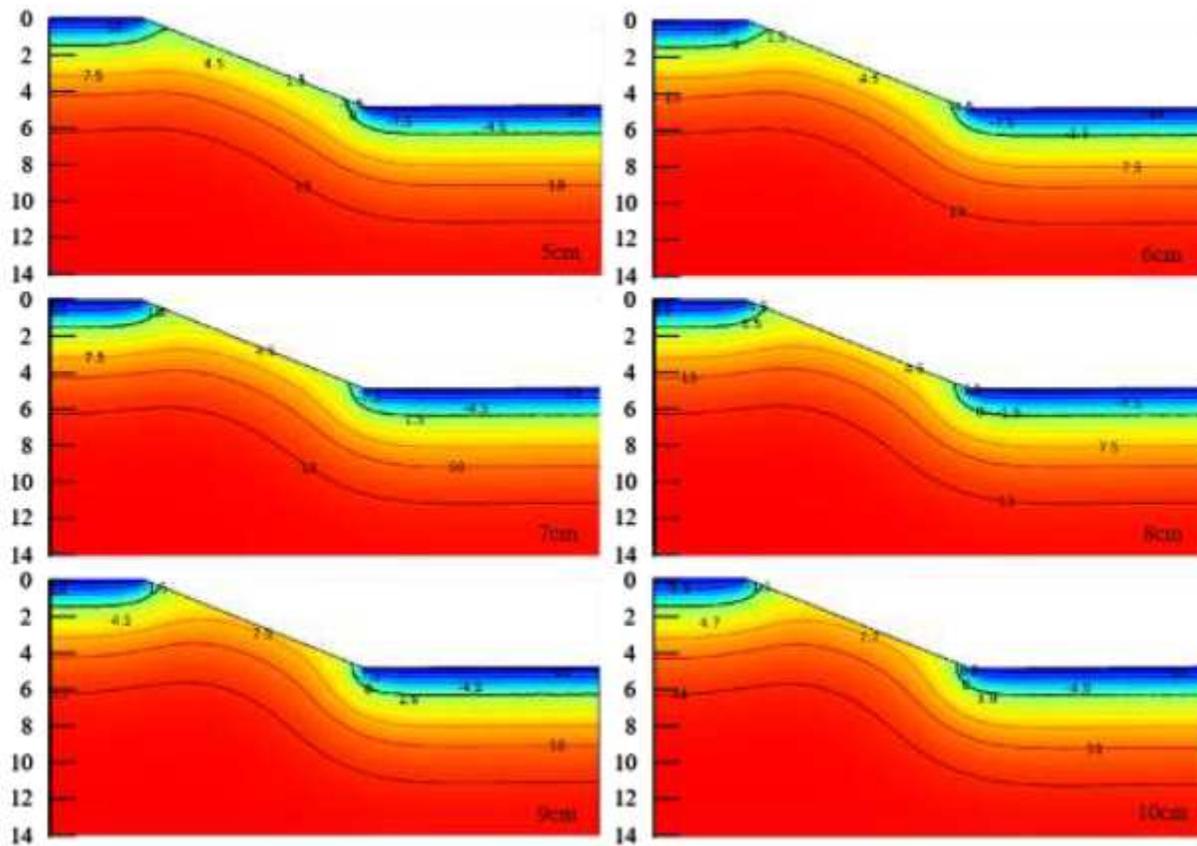


Figure 15

Temperature fields of the six anti-frost structures at maximum frost depth time

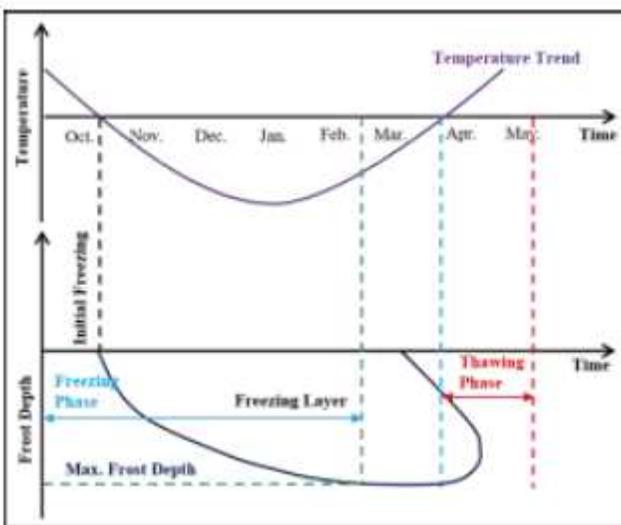


Figure 16

Frost Depth-Time Curve

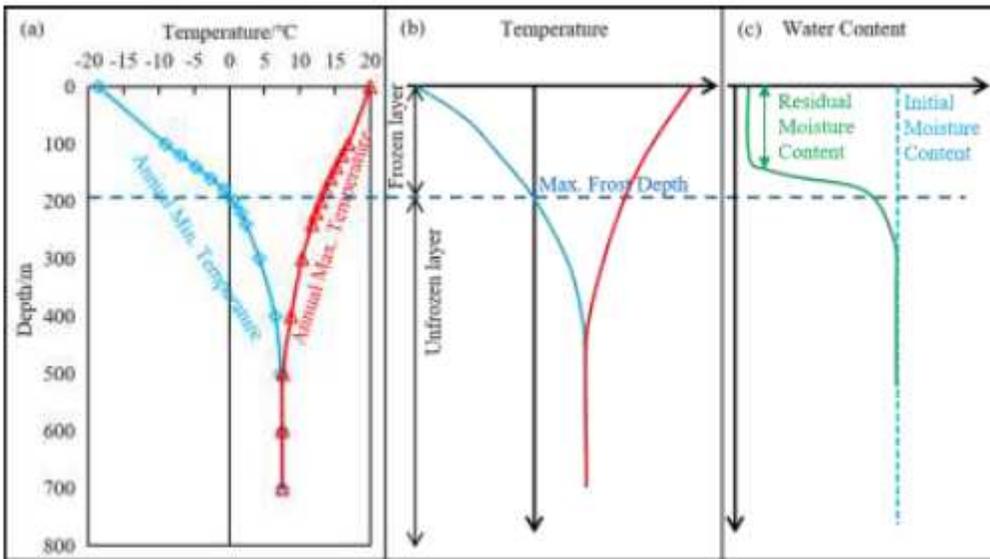


Figure 17

Characteristics of Temperature-Depth- Water content variation in the seasonal frozen regions (a: Simulated Value, b: Temperature-Depth Trend, c: Water Content-Depth Trend)