

The Regulation and Management of Water Resources in Groundwater Over-extraction Area based on ET

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1 **The regulation and management of water resources in groundwater**
2 **over-extraction area based on ET**

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9 **Abstract :** Because of the shortage of water resources, the phenomenon of
10 groundwater over-extraction is widespread in many parts of the world, which has
11 become a hot issue to be solved. The traditional idea of water resources management
12 only considering blue water (stream flow) can't meet the demand of sustainable
13 utilization of water resources. Blue water accounts for less than 40% of total rainfall,
14 while green water (evapotranspiration) accounts for more than 60% of total rainfall.
15 In the natural environment, vegetation growth mainly depends on green water, which
16 is often neglected. Obviously, the traditional water resources management without
17 considering green water has obvious deficiencies, which can't really reflect the
18 regional water consumption situation in the water resources management. And only
19 by limiting water consumption can achieve the real water saving. In addition, the
20 mode of water resources development and utilization has changed from "supply
21 according to demand" to "demand according to supply". In this background, for many
22 regions with limited water resources, it is impossible to rely on excessive water intake
23 for development, and sustainable development of regional can only be realized by
24 truly controlling water demand. This paper chooses Shijin Irrigation District in the
25 North China Plain as the research area, where agricultural water consumption is high

26 and groundwater over-extraction is serious, and ecological environment is bad. In
27 order to alleviate this situation, comprehensive regulation of water resources based ET
28 is necessary. Therefore, this paper focuses on the concept of ET water resources
29 management and includes green water into water resources assessment. Based on the
30 principle of water balance, the target ET value of crops in the study area is calculated,
31 and the ET value is taken as the target of water resources regulation. The actual water
32 consumption is calculated by Penman-Monteith formula, and reduction of crop water
33 consumption is obtained according to the difference between actual ET and target ET.
34 The reduction in crop water consumption leads to a reduction in demand for water
35 supply, which reduces groundwater extraction. The results of this study can provide
36 necessary technical support for solving the problem of groundwater over-extraction
37 and realizing real water saving.

38 **Keywords:** Blue water; Green water; Evapotranspiration; ET management;
39 Groundwater over-extraction

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42 1 Introduction

43 Under the background of global water shortage, to realize the sustainable
44 utilization of water resources, some new ideas were put forward to manage water
45 resources. Falkenmark proposed to divide precipitation into blue water and green
46 water in 1995. Blue water and green water resources participate in the operation of
47 environment and ecosystem as well as social production, affecting the storage of

48 groundwater resources and food production, especially in arid and semi-arid areas
49 with water shortage. The use of blue and green water for water resource management
50 is to comprehensively consider the water balance among land, water and ecosystem,
51 and solve the conflict between future food production demand and ecosystem balance
52 (Falkenmark et al. 2004). However, the traditional water resource management only
53 considers the surface water and groundwater, that is, blue water, and ignores the green
54 water resources that support rain-fed agriculture and maintain the balance of land
55 ecosystem. The annual flow of green water on the earth's surface is about $72500\text{km}^3 /$
56 year, accounting for 63.88% of the annual flow of water resources (Falkenmark et al.,
57 2004). Green water (evapotranspiration ET) as water consumption, it is more
58 reasonable and comprehensive to introduce green water into water resource evaluation
59 and management. On the one hand, evapotranspiration directly affects the process of
60 runoff generation and concentration by changing the composition of water in different
61 water sub-systems, and then affects the redistribution of precipitation on the land
62 surface; On the other hand, evapotranspiration affects the regional ecological water
63 condition by affecting the distribution of soil heat flux, sensible heat flux and latent
64 heat flux (Wang et al., 2009). In 2004, the World Bank put forward the concept of "ET
65 Management", namely "real water-saving", based on the Global Environment Facility
66 (GEF) -- Haihe River Basin water resources and water environment integrated
67 management project. The core of "ET Management" is based on reducing water
68 consumption and controlling ET in the process of water circulation, to realize the
69 efficient utilization of water resources.

70 At present, water resource management based on ET has become one of the hot
71 issues in water resource research. Wu et al. (2017) took winter wheat in Haihe River
72 Basin as the research object, put forward that the water-saving estimation result based
73 on total water volume supplied is far greater than the water-saving estimation result
74 based on water consumption. Only by reducing the water consumption of cultivated
75 crops, the current situation of water shortage can be fundamentally alleviated. Zwart
76 et al. (2004) reviewed 84 documents and 25 years' experimental results, concluded
77 that more food could be produced with less water by increasing crop water
78 productivity (CWP), especially in the water shortage area. It is recommended to use
79 less water to irrigate wheat and corn for maximum yield. Peng et al. (2009)
80 constructed the crop water production function based on the remote sensing ET data
81 by using the classification mean method, and put forward the model of crop ET quota
82 estimation in the vulnerable area of water resources considering the principle of low
83 water consumption and high water productivity. Wang et al. (2009) used the WEP-L
84 distributed hydrological model to discuss the total amount and utilization efficiency of
85 soil water resources in the Yellow River basin. The results showed that the modern
86 water resource management strategy based on ET can not only avoid the waste of
87 water resource, but also improve the efficiency of water resource utilization. Rost et al.
88 (2008) quantified the global blue and green water consumption in 1971-2000 by using
89 the Lund-Potsdam-Jena managed land model framework. It was found that global
90 cropland consumed $>7200 \text{ km}^3 \text{ year}^{-1}$ of green water, representing 92% of total crop
91 water consumption. Even in irrigated farmland, 35% of the water consumption was

92 also composed of green water. Rockström et al. (2009) used LPJML dynamic
93 vegetation and water balance model to analyze the availability and water demand of
94 blue-green water on a global scale. The results showed that many
95 water-scarce countries can produce enough food for their population if green water
96 was considered and managed well.

97 The above research showed that the water resource management based on ET
98 can ensure the efficient and sustainable development of regional water resources,
99 especially for the water shortage areas. Due to the lack of water resources,
100 groundwater over-extraction exists in many places, which often leads to
101 hydrogeological problems such as groundwater level decline and land subsidence.
102 How to reasonably allocate water resources in these over-extraction areas has become
103 an urgent problem to be solved. Based on the theory of "ET Management", regional
104 water resources management based on target ET is presented. Target ET refers to the
105 water consumption in a basin or region at a specific development stage, based on its
106 water resources conditions and constrained by the benign cycle of ecological
107 environment, to meet the requirements of sustainable economic development and the
108 construction of a harmonious society (Qin et al., 2008). The difference between the
109 current ET and the target ET is the amount of compressible water resources, which is
110 mainly provided by the over-extraction of groundwater in the water shortage areas.
111 Through the adjustment of industrial structure and the application of water-saving
112 technology, the regional groundwater should be exploited reasonably, and the balance
113 between exploitation and supplement of groundwater should be gradually realized

114 under the average situation for many years. Mao et al. (2011) proposed to use target
115 ET and predicted groundwater level as evaluation benchmark, and compared it with
116 the actual groundwater level calculated by remote sensing and regional
117 evapotranspiration, so as to realize the evaluation of regional water-saving effect in
118 the Daxing District of Beijing. Qin et al. (2008) and Liu et al. (2009) combined the
119 distributed hydrological model and soil moisture model to calculate the regional target
120 ET of 2010 in Tianjin. Zhang et al. (2016) calculated target ET, actual ET and ET
121 reduction in Luannan Country of Hebei Province, and put forward to include the
122 over-extraction of groundwater into the target ET in the groundwater over-extraction
123 area. The above studies showed that the regulation of water resources based on ET
124 was very important in the over-exploited areas of groundwater. Now, there is a lack of
125 relevant research in the Ziya River Basin.

126 In this paper, Shijin Irrigation District was selected as the research area, which is
127 located in groundwater over-extraction regions of North China Plain. Because of the
128 development of city and industry, part of the water supply originally belonging to
129 agriculture has been occupied, resulting in the reduction of the water diversion
130 volume of the canal system in the irrigation area, which can only be supplemented by
131 the exploitation of groundwater. Therefore, the selection of the study area is
132 representative, which fully reflects the water resource management problems caused
133 by the limited water resource conditions and extreme contradiction between supply
134 and demand in the over-exploited area.

135 2 Study area and data

136 2.1 Study area

137 Shijin Irrigation District is a large-scale national irrigation area, located in Ziya
138 River Basin, in the south central part of Hebei Province, the east foot of Taihang, the
139 south of the lower reaches of Hutuo River, the north and west of Fuyang River. The
140 irrigation district includes the eastern region and the western region, where the
141 western area is 53.68 km², the eastern area is 1573 km². The irrigation district has a
142 total agricultural population of 1.08 million, designed irrigation area of 162,820 hm²,
143 and a control area of 4144 km². Shijin Irrigation District is a typical temperate
144 continental monsoon climate, which is suitable for planting summer maize, winter
145 wheat and other crops. The general situation of Shijin Irrigation District is shown in
146 Fig 1.

147 The average annual precipitation in the irrigation area is about 500mm, which is
148 unevenly distributed throughout the year, concentrated in June-August, accounting for
149 about 70% of the annual precipitation. The irrigation water is mainly from Gangnan
150 and Huangbizhuang reservoir located in the upper reaches of the Hutuo River, which
151 is diverted to the farmland by irrigation channels, of which a great deal of irrigation
152 water is consumed by evapotranspiration.

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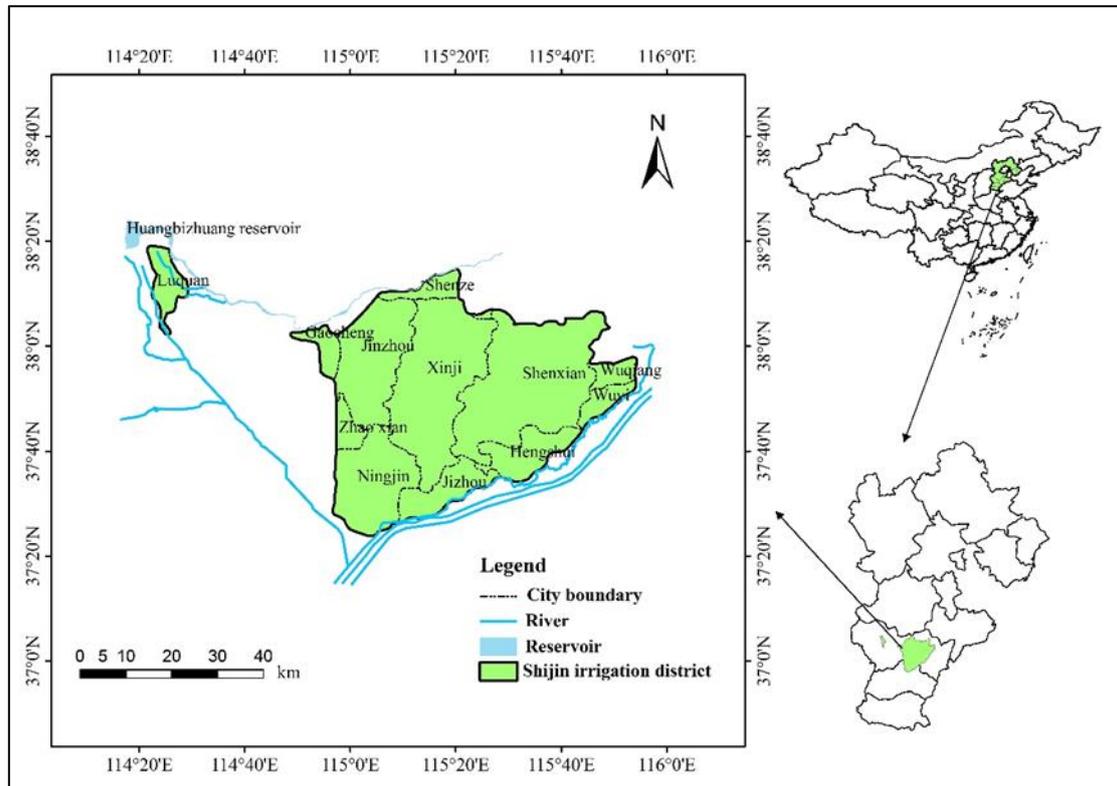
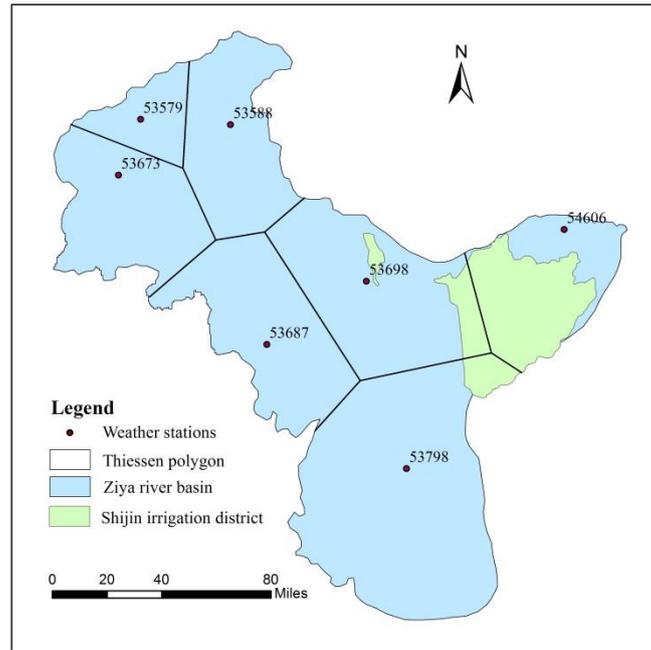


Fig. 1 Overview of Shijin Irrigation District

2.2 Data

The data used in the evaporation model include meteorological data and remote sensing data. Meteorological data (2008, 2009 and 2015) include seven meteorological stations in Ziya river basin (Fig. 2), which comes from the National Centers for Environmental Prediction (CEP) and the China Meteorological Science Data Sharing Service network (<http://cdc.cma.gov.cn/home.do>), mainly include precipitation and maximum temperature, minimum temperature, wind speed, relative humidity, solar radiation.

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212 Fig. 2 Meteorological stations in Ziya river basin (including Shijin Irrigation District)

213 Remote sensing data mainly include normalized difference vegetation index
214 (NDVI), surface temperature and surface reflectance, which are from the Geospatial
215 Data Cloud (<http://www.gscloud.cn/>). The products include the MODND1D daily
216 product (500m), MODLT1D daily product (1km) and MOD09GA daily product
217 (500m). Also, the evapotranspiration observation value used to verify the accuracy of
218 the P-M model come from Li et al. (2015).

219 3 Methods

220 3.1 Regional target ET determination

221 Target ET refers to the allowable water consumption that meet the need of
222 economic development in a region on the premise of sustainable utilization of water
223 resources. The essence of water resources management based on target ET is to
224 replace water volume supplied management with water consumption management,
225 highlighting the concept of water conservation of total water resources control and

226 water utilization efficiency improvement. Reasonable setting of target ET is the key to
227 realize the sustainable utilization of water resources and the coordinated development
228 of social economy and ecological environment.

229 According to the principle of water balance, the water balance equation of a
230 region can be expressed as shown below.

$$231 \quad (P+I) - (O+ET_{ACT})=\Delta G \quad (1)$$

232 Where P is the annual precipitation of the region; I is the amount of water flowing
233 into the area; O is amount of water flowing out of the area; ET_{ACT} is the actual ET;
234 ΔG is the annual water storage variable of the region.

235 For groundwater over-extraction areas, $\Delta G < 0$, that is:

$$236 \quad (P+I)<(O+ET_{ACT}) \quad (2)$$

237 The input of regional water is less than the output, and the groundwater is in the
238 state of over-exploitation.

239 The water resources planned reduction ET_{Δ} is

$$240 \quad ET_{\Delta}=ET_{ACT} - ET_{TAR} \quad (3)$$

241 where ET_{TAR} is the target ET of the region.

242 Bringing ET_{ACT} into formula (1)

$$243 \quad (P+I) - (O+ET_{TAR}+ET_{\Delta})=\Delta G \quad (4)$$

244 According to the formula (4), ET_{TAR} can be further obtained.

$$245 \quad ET_{TAR}=P+I - O+(|\Delta G| - ET_{\Delta}) \quad (5)$$

246 In order to meet the social and economic development in over-extraction areas, a
247 total ban on over-extraction groundwater is still hard to achieve. Therefore, the
248 reduction can only be partial or overall of over-extraction. The allowable exploitation

249 of groundwater is

$$250 \quad G_{OVR} = |\Delta G| - ET_{\Delta} \geq 0 \quad (6)$$

251 Bringing formula (6) into formula (5)

$$252 \quad ET_{TAR} = P + I - O + G_{OVR} \quad (7)$$

253 3.2 Determination of crop ET

254 To improve the problem of groundwater over-extraction in irrigation areas, the
255 most important problem is to solve the problem of agricultural use water. And, crops
256 with high water consumption usually consume the main water in irrigation areas, so
257 crops with high water consumption can be taken as the main target of regulation.

258 The crop water demand (ET) can be calculated by the following formula:

$$259 \quad ET = K_c \times ET_0 \quad (8)$$

260 where ET is the crop water demand (mm); ET_0 is the potential
261 evapotranspiration (mm), which can be calculated by Penman-Monteith potential
262 evapotranspiration model; K_c is the crop coefficient.

263 3.3 Penman-Monteith (P-M) model

264 The calculation model of potential evapotranspiration is mainly divided into
265 aerodynamic method, energy balance method and comprehensive method of
266 aerodynamics and energy balance (Pan 2017). In this paper, Penman-Monteith model
267 is used to calculate potential evapotranspiration, and its accuracy is higher than other
268 models (Donohue et.al., 2010). The calculation formula is as follows:

$$269 \quad ET_0 = \frac{0.408\Delta(R_n - G) + \gamma \frac{900}{T + 273} u_2 (e_s - e_a)}{\Delta + \gamma(1 + 0.34u_2)} \quad (9)$$

270 where ET_0 is the potential evapotranspiration (mm); Δ is the slope of temperature

271 saturated vapor pressure curve (kPa/°C); R_n is the net radiation flux (W/m²); G is
272 the soil heat flux (W/m²); γ is the thermometer constant (kPa/°C); T is the average
273 temperature at 2m from the ground (°C); u_2 is the average wind speed at 2m from the
274 ground (m/s); e_s is the saturated vapor pressure at 2m from the ground (kPa); e_a is
275 the actual water vapor pressure at 2m from the ground (kPa).

276 The data from meteorological stations are generally the wind speed at 10m above
277 the ground.

$$278 \quad u_2 = \frac{4.87u_{10}}{\ln(67.8 \times h - 5.42)} \quad (10)$$

279 where h is the height from the ground when measuring the wind speed (m).

280 3.3.1 Net radiation flux (R_n)

281 Net radiation is the algebraic sum of the radiation energy budget of the
282 underlying surface from short wave to long wave. It includes not only the scattering
283 radiation and reflection radiation of the direct solar radiation, but also the long wave
284 parts such as the atmospheric inverse radiation and the ground radiation. Net radiation
285 is a measure of surface effective energy and an important factor in the study of surface
286 energy conversion, watershed evapotranspiration and water cycle. The calculation
287 formula of net radiation (R_n) is:

$$288 \quad R_n = (1 - a_c)R_S - R_{nl} \quad (11)$$

289 where a_c is the surface reflectance; R_S is the measured solar short wave radiation
290 (W/m²); R_{nl} is the net long wave radiation (W/m²).

291 Surface albedo refers to the albedo of wide band. With the single band surface
292 albedo product provided by MODIS, the albedo of wide band can be calculated by the

293 following inversion formula:

$$294 \quad \alpha_c = 0.160\alpha_1 + 0.291\alpha_2 + 0.243\alpha_3 + 0.116\alpha_4 + 0.112\alpha_5 + 0.081\alpha_7 - 0.0015 \quad (12)$$

295 where $\alpha_{1,2,3,4,5,7}$ is the surface reflectance of band 1, 2, 3, 4, 5, 7.

296 Net long wave radiation is also called long wave radiation difference and long
297 wave net radiation. In the process of long wave radiation exchange between the
298 ground and the atmosphere, the net income or expenditure on the ground is equal to
299 the effective radiation on the ground, and its calculation formula is (Allen et al.,
300 1998):

$$301 \quad R_{nl} = \sigma \left(\frac{T_{max,k}^4 + T_{min,k}^4}{2} \right) (0.34 - 0.14\sqrt{e_a}) \left(1.35 \frac{R_s}{R_{so}} - 0.35 \right) \quad (13)$$

302 where σ is the Stefan Boltzmann constant, $\sigma = 5.6 \times 10^{-8} \text{ W}/(\text{K}^4 \cdot \text{m}^2)$;
303 $T_{max,k}$ and $T_{min,k}$ are the maximum and minimum temperature within 24 hours
304 respectively (K); R_{so} is the solar radiation in clear sky (W/m^2); e_a is the actual vapor
305 pressure (kPa).

306 The calculation formula of solar radiation in clear sky is as follows:

$$307 \quad R_{so} = (0.75 + 2 \times 10^{-5} Z) R_a \quad (14)$$

308 where Z is the altitude of the weather station (m); R_a is the solar terrestrial
309 irradiance (W/m^2).

310 Solar terrestrial irradiance R_a can be calculated by the following formula:

$$311 \quad R_a = 37.59 E_0 [\omega T_{SR} \sin \delta \sin \phi + \cos \delta \cos \phi \sin(\omega T_{SR})] \quad (15)$$

312 where E_0 is the earth orbit eccentricity correction factor; ω is the angular velocity
313 of earth rotation; δ is the solar declination in radians; ϕ is the geographic latitude in
314 radians; T_{SR} is the time interval between sunrise and noon.

315
$$T_{SR} = \frac{\arccos(-\tan \delta \tan \phi)}{\omega} \quad (16)$$

316 The correction factor for the eccentricity of the earth's orbit E_0 can be
317 calculated by:

318
$$E_0 = 1 + 0.033 \cos\left(\frac{2\pi d_n}{365}\right) \quad (17)$$

319 where d_n is the sequence number of the date in the year, from 1 (January 1) to 365
320 (December 31).

321 The declination of the sun, also known as the declination angle, refers to the
322 latitude of the earth where the incident sun light is perpendicular to the earth's surface.

323 The formula for calculating declination is:

324
$$\delta = \sin^{-1} \left\{ 0.4 \sin \left[\frac{2\pi}{365} (d_n - 82) \right] \right\} \quad (18)$$

325 where δ is the solar declination (rad).

326 3.3.2 Soil heat flux (G)

327 Due to the temperature difference between the surface temperature and the deep
328 soil temperature, the amount of energy transferred from the surface soil to the deep
329 soil in the form of heat conduction per unit area. In this paper, the calculation formula
330 proposed by Su (2002) is used to estimate the soil heat flux. For the ground covered
331 by vegetation:

332
$$G = R_n [\tau_c + (1 - f_c)(\tau_s - \tau_c)] \quad (19)$$

333 where τ_c is the ratio of soil heat flux to net radiation under full vegetation cover,
334 with a value of 0.05; τ_s is the ratio of soil heat flux to net radiation in bare area, with
335 a value of 0.315; f_c is the vegetation coverage.

336
$$f_c = \frac{(NDVI - NDVI_{min})}{(NDVI_{max} - NDVI_{min})} \quad (20)$$

337 where $NDVI$, $NDVI_{max}$ and $NDVI_{min}$ are the mean value, maximum value and

338 minimum value of vegetation normalization index respectively.

339 3.3.3 Thermometer constant

340 The thermometer constant γ represents the balance between the sensible heat
341 obtained from the air through the wet bulb thermometer and the sensible heat
342 converted into latent heat. The calculation formula is as follows:

$$343 \quad \gamma = \frac{c_p P}{0.622 \lambda} \quad (21)$$

$$344 \quad P = 101.3 - 0.01152Z + 0.544 \times 10^{-6} Z^2 \quad (22)$$

345 where c_p is the specific heat of wet air under constant pressure,
346 $1.013 \times 10^{-3} \text{ MJ}/(\text{kg} \cdot ^\circ\text{C})$; λ is the latent heat of vaporization (MJ/kg); P is the
347 pressure (kPa).

348 3.3.4 Saturated vapor pressure

349 The pressure of water vapor in the air is a part of the whole atmospheric pressure.
350 The number of water molecules that can be contained in the air is controlled by the air
351 humidity. Under a certain temperature, the air can contain a certain amount of water
352 molecules. When the water molecules in the air reach saturation, the water vapor
353 pressure under the corresponding temperature is called saturated vapor pressure. The
354 calculation formula is:

$$355 \quad e_s = \exp\left(\frac{16.78T_{av} - 116.9}{T_{av} + 237.3}\right) \quad (23)$$

356 where T_{av} is the daily average temperature ($^\circ\text{C}$).

357 When the relative humidity R_h is known, the actual vapor pressure e_a is

$$358 \quad e_a = R_h e_s \quad (24)$$

359 3.3.5 Slope of temperature-saturated vapor pressure curve

360 The slope of saturated vapor pressure curve is obtained by differential

361 calculation of saturated vapor pressure.

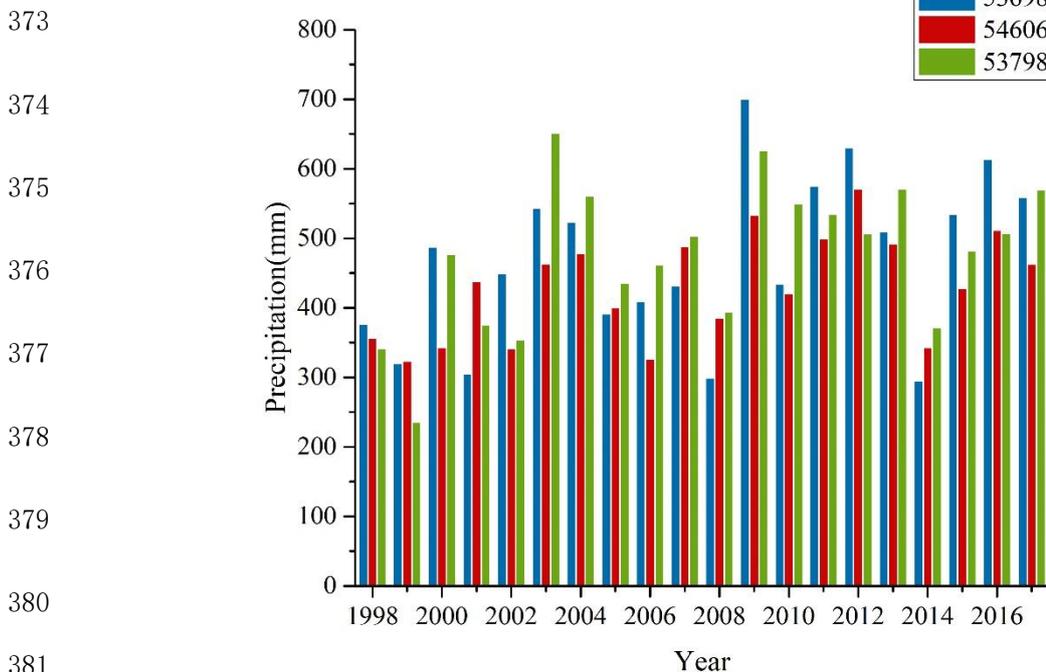
362
$$\Delta = \frac{4098e_s}{(T_{av} + 237.3)^2} \quad (25)$$

363 **4 Results and analysis**

364 **4.1 Determination of crop target ET in Shijin Irrigation District**

365 **4.1.1 Average annual rainfall**

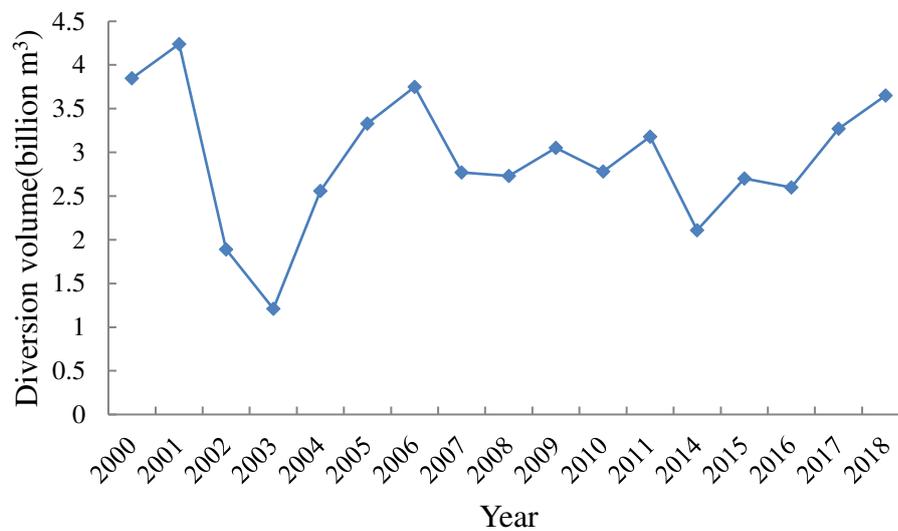
366 The rainfall in Shijin Irrigation District can be represented by the three nearest
367 rain stations (#53698, #54606 and #53798). The precipitation process of these three
368 rain stations from 1998 to 2017 is shown in Fig.3. The control area of stations #53698,
369 #54606 and #53798 is 12663.89 km², 25912.82 km² and 35838.71 km², respectively.
370 The average annual rainfall can be calculated by the Thiessen polygon method, with a
371 value of 458.86 mm, which is taken as the rainfall reference value when calculating
372 the regional target ET.



382 Fig. 3 Precipitation from 1998 to 2017 in Shijin Irrigation District

383 4.1.2 Average annual water diversion

384 The diversion water of irrigation district mainly from Gangnan and
385 Huangbizhuang Reservoirs, two large reservoirs in the upper reaches of Hutuo River.
386 The statistics data of water diversion from 2000 to 2018 (2012 and 2013 data missing)
387 is shown in Fig. 4. The average annual water diversion is 179.62 mm, which is taken
388 as the reference value when calculating the regional target ET.



389

390 Fig. 4 Water diversion from 2000 to 2018 in Shijin Irrigation District

391

391 4.1.3 Calculate crop target ET value

392 The multi-year average rainfall and the multi-year average water diversion in the
393 irrigation district are selected as the input variables to determine the regional target ET.
394 At present, the Shijin Irrigation District is implementing the groundwater planning
395 project, the future goal is to achieve that groundwater will be not over-extracted. So,
396 when setting goals ET, G_{OVR} can be set to 0, namely ET reduction amount is equal
397 to the over-exploitation volume. According to formula (7), under the premise of
398 ensuring that groundwater is not over-extracted, the sum of annual precipitation and
399 water diversion minus the amount of water flowing out of the region is the regional

400 target ET value.

401 Winter wheat and summer maize occupy a large proportion of the cultivated
402 areas. So, the calculated regional target ET value can be taken as the crop (winter
403 wheat and summer maize) target ET in the irrigation district.

404 Table 1 Target ET of winter wheat and summer maize

Crop	P (mm)	I (mm)	O (mm)	Target ET(mm)
Winter wheat	458.86	179.62	0	638.48
Summer maize				

405 4.2 Verification of P-M model

406 Due to the lack of evapotranspiration data of recent years, this paper selects the
407 2008 and 2009 with observed data as the verification periods of the model, and
408 evaluates the model precision by using the model precision evaluation model. The
409 Penman-Monteith model with good precision can be applied to simulate evaporation
410 in the study area.

411 In this paper, the potential evapotranspiration in 2008 and 2009 is calculated by
412 using the Penman-Monteith model. On this basis the actual evapotranspiration of
413 crops is calculated by using formula (8), in which the crop coefficient is the value
414 recommended in the work by Liu et al. (2002). Specific crop coefficients are shown in
415 Table 2 and Table 3.

416 The growth stages of the winter wheat and summer maize in the North China
417 Plain are shown in Table 4 and Table 5.

418

419 Table 2 Crop coefficient of winter wheat in North China Plain

Month	10	11	12	1	2	3	4	5	6
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K_c	0.60	0.82	0.86	0.43	0.38	0.57	1.23	1.42	0.72
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Table 3 Crop coefficient of summer maize in North China Plain

Month	6	7	8	9
K_c	0.59	1.24	1.38	1.17

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Table 4 Growth period of winter wheat in North China Plain

Growth period	Time
Sowing	Early October
Seedling emergence	Mid-late October
Tillering	Early November~ Early December
Overwintering	Mid-December ~ Late February
Reviving	Late February ~ Early March
Jointing	Mid-March ~ Early April
Heading and Flowering	Mid-April ~ Early May
Milk filling	Mid-May ~ Early June

426

427

Table 5 Growth period of summer maize in North China Plain

Growth period	Seedling	Jointing	Blooming	Filling	Maturation
Time	Mid-June	Late June ~ Late July	Late July ~ Mid-August	Mid-August ~ Late August	Late August ~ Late September

428

The evapotranspiration of 2008-2009 is estimated by the P-M model and formula

429

(8), and compared with the evapotranspiration observation value to verify the

430

accuracy and applicability of the model. See Table 6-8 for the comparison results. It

431

can be seen from Table 6 that the relative errors are all within 10%. The relative errors

432

are less than 20% in Table 7 and Table 8, and the simulation results are good.

433

434

Table 6 Comparison of evaporation simulation value and reference value

Year	Simulation value (mm)	Reference value (mm)	Absolute error (mm)	Relative error (%)
2008	548.73	500.42	48.31	9.65

2009	555.53	511.15	44.38	8.68
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435

436 Table 7 Comparison of evaporation simulation value and reference value in 2008

Month	Reference value (mm)	Simulation value (mm)	Absolute error (mm)	Relative error (%)
1	2.93	3.42	0.49	16.72
2	8.73	9.45	0.72	8.25
3	19.09	18.67	0.42	2.20
4	53.67	59.02	5.35	9.97
5	79.68	80.54	0.86	1.08
6	60.74	58.50	2.24	3.69
7	105.64	124.24	18.60	17.61
8	94.39	112.23	17.84	18.90
9	53.83	58.68	4.85	9.01
10	15.36	16.52	1.16	7.55
11	4.8	5.62	0.82	17.08
12	1.56	1.84	0.28	17.95

437 Table 8 Comparison of evaporation simulation value and reference value in 2009

Month	Reference value (mm)	Simulation value (mm)	Absolute error (mm)	Relative error (%)
1	4.04	4.76	0.72	17.82
2	7.41	8.02	0.61	8.23
3	20.84	22.61	1.77	8.49
4	51.88	54.33	2.45	4.72
5	89.48	106.76	17.28	19.31
6	61.52	59.33	2.19	3.56
7	101.13	95.29	5.84	5.77
8	99.98	119.07	19.09	19.09
9	52.62	62.64	10.02	19.04
10	16.49	17.73	1.24	7.52
11	4.19	3.36	0.83	19.81
12	1.57	1.63	0.06	3.82

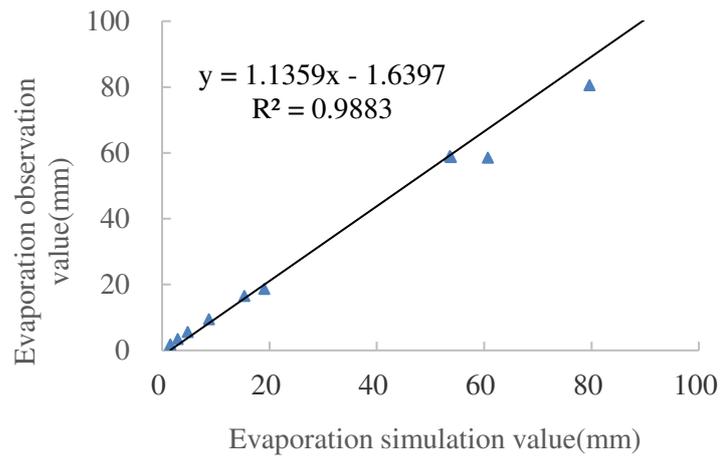
438 Figure 5 and Figure 6 show the scatter diagram of the simulation value and
439 observation value of evapotranspiration in 2008 and 2009, with R^2 of 0.9883 and
440 0.9742 respectively, indicating that the model is applicable in Ziya River Basin. As
441 the Shijin Irrigation District belongs to Ziya River Basin, the model is also applicable

442 in the Shijin Irrigation District.

443 The inverse distance weight interpolation method (IDW) is used to interpolate

444 the observation value and simulation value of evapotranspiration in 2008 and 2009.

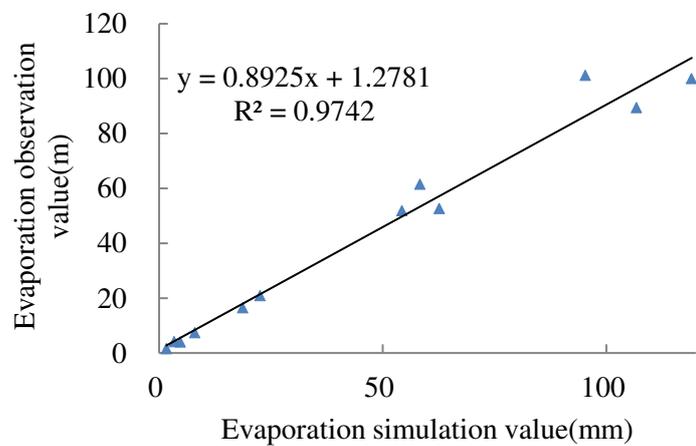
445 The spatial distribution of 2008 and 2009 is shown in Figure 7 and Figure 8.



446

447 Fig. 5 Scatter diagram of evapotranspiration simulation value and observation
448 value in Ziya River Basin in 2008

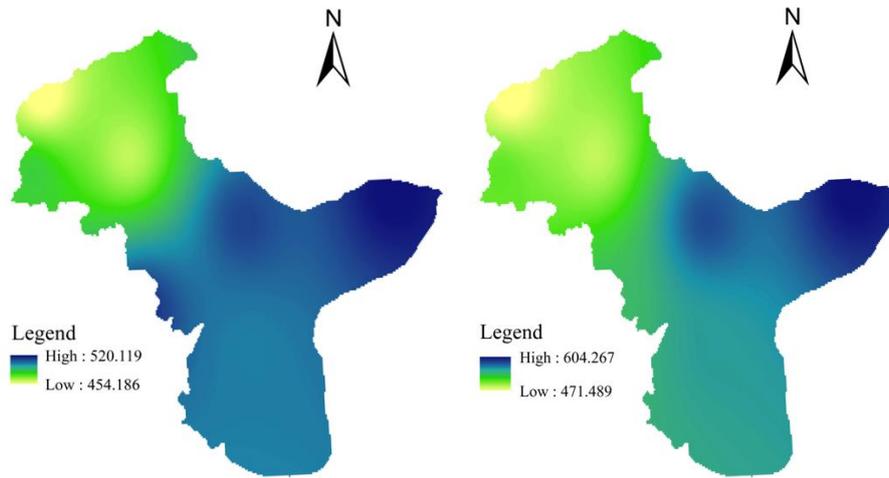
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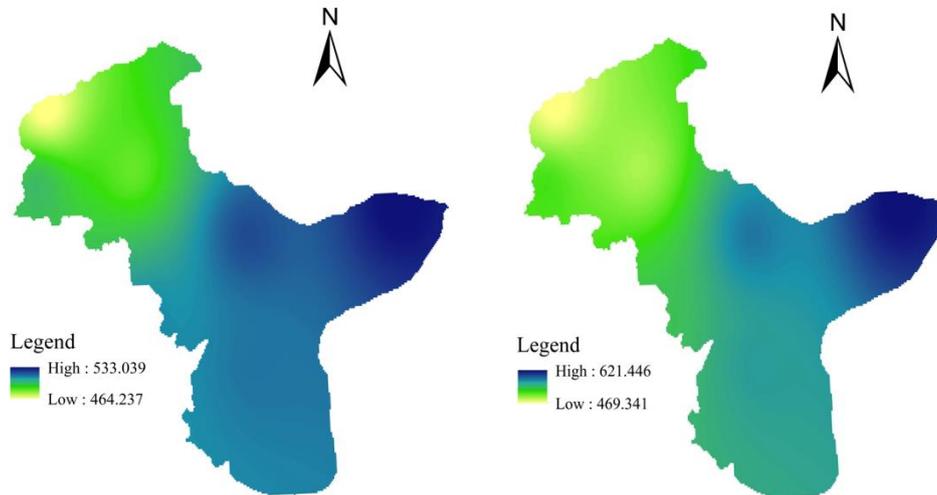
451 Fig. 6 Scatter diagram of evapotranspiration simulation value and observation
452 value of Ziya River Basin in 2009

453



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Fig. 7 Spatial distribution of evapotranspiration observation value (left) and simulation value (right) in Ziya River Basin in 2008



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Fig. 8 Spatial distribution of evapotranspiration observation value (left) and simulation value (right) in Ziya River Basin in 2009

461 4.3 Target of groundwater reduction based on ET

462 Taking 2015 as the base year, the potential evapotranspiration of crops in
463 different growth periods in 2015 is calculated by using the meteorological and remote
464 sensing data and P-M model. The current water demand of crops (ET) can be obtained
465 by formula (8), which is compared with the target ET value of crops. The difference
466 between the two ET is the target of groundwater reduction.

467 The designed irrigation area of the Shijin Irrigation District is 162,820 ha, and

468 the planting areas of winter wheat and summer maize account for 81%. Therefore, the
 469 adjustment of water consumption of crops is the key to reduce groundwater
 470 exploitation in the Shijin Irrigation District. According to the calculation results in
 471 Table 9 and Table 10, the current water demand of winter wheat in 2015 is 402.92mm,
 472 that of summer maize is 251.32 mm. The total water demand for both crops is 653.24
 473 mm. The target ET calculated by water balance is 638.48 mm. Obviously, the amount
 474 of water consumed by crops includes groundwater. According to the target ET
 475 regulation, the groundwater in the Shijin Irrigation District can be compressed by
 476 14.76 mm in 2015. It can be seen that the groundwater in the Shijin Irrigation District
 477 has great potential to be further compressed exploitation in a base year. Of the two
 478 crops, summer maize is by far the most likely to reduce irrigation water. The research
 479 results show that watering only once can be realized in summer maize in the Shijin
 480 Irrigation District (Li et al. 2019). And winter wheat can also reduce irrigation water
 481 by optimizing the irrigation system. Therefore, it is feasible to limit crop water
 482 consumption based on ET.

483 Table 9 Calculation results of water demand of winter wheat in 2015

Growth period	Time	Water demand (mm)
Sowing~ Seedling emergence	October 5th ~October 20th	20.57
Seedling emergence ~ Tillering	October 21st ~December 5th	26.62
Tillering ~ Overwintering	December 6th ~February 25th	34.95
Overwintering ~ Reviving	February 26th ~March 5th	5.92
Reviving ~ Jointing	March 6th ~April 5th	80.38
Jointing ~ Blooming	April 6th ~May 5th	101.53
Blooming ~ Mature	May 6th ~June 5th	131.96
Whole growth period		402.92

484

485

486

Table 10 Calculation results of water demand of summer maize in 2015

Growth period	Time	Water demand (mm)
Seedling ~ Jointing	June 15th ~June 25th	17.24
Jointing	June 26th ~July 25th	78.02
Blooming	July 26th ~August 15th	57.42
Filling	August 16th ~August 31st	51.69
Maturation	September 1st ~September 25th	46.95
Whole growth period		251.32

487

488 The Shijin Irrigation District is located in the world's largest groundwater
489 descending funnel area, and the groundwater resource is one of the main water
490 sources in the irrigation district. The groundwater is seriously over-extracted, and the
491 shallow groundwater depth is deep, mostly 10-20m, and the groundwater depth in
492 some areas is up to 30m. In recent years, the average groundwater exploitation is
493 about 67 million m³. According to the calculation results of the base year (2015), if
494 the target ET of crops is taken as the control basis for the irrigation water
495 consumption of crops, the compressed exploitation of groundwater is about 19.47
496 million m³, which accounts for 30% of the original exploitation. By controlling the
497 crop water consumption, the groundwater can be significantly compressed, the
498 recovery speed of groundwater level can also be accelerated.

499 In this paper, 2015 was taken as the base year, and groundwater exploitation was
500 compressed based on ET water resources management, which shows that the method
501 in this paper has good technical support for the control of groundwater exploitation in
502 the over-extraction groundwater areas. For the over-extraction area of groundwater,
503 due to long-term over-extraction, the groundwater should be further compressed or
504 even banned if it is to recover to a good state. At the same time, in order to restore the
505 ecological environment of the downstream river, the amount of water flowing out of

506 the region needs to be increased. So, the target ET can be further reduced in future
507 planning.

508 Controlling the water consumption of crops will inevitably affect the yield of
509 regional crops. Under the premise of controlling the amount of water used for crop
510 irrigation, the water use efficiency must be improved, especially in the peak period of
511 crop water demand. According to the characteristics of regional agriculture, the
512 following measures are proposed for improving water use efficiency:

513 (1) The high-efficiency engineering water-saving technical measures and
514 agricultural water-saving measures shall be closely combined. Agricultural measures
515 such as straw returning to the field, mulching and soil moisture conservation should
516 be carried out to reduce soil water evaporation.

517 (2) The irrigation system should be optimized in the process of irrigation, and the
518 water-saving, drought-resistant and high-yield crop varieties should be popularized.

519 (3) Adjusting the planting structure, reducing the multiple cropping index of
520 crops and the planting area of winter wheat with two crops in one year, and increasing
521 the planting area of corn that grows at the same time as the heat and rain.

522 (4) The water-saving cultivation management technology should be large-scale
523 applied in the main grain-producing areas.

524 **5 Discussion and Conclusion**

525 Based on the principle of water balance, the target ET value of crops in the study
526 area is calculated, and the ET value is taken as the target of water resources regulation.

527 The actual water consumption is calculated by the Penman-Monteith formula, and a

528 reduction of crop water consumption is obtained according to the difference between
529 actual ET and target ET. The reduction in crop water consumption leads to a reduction
530 in demand for water supply, which reduces groundwater extraction.

531 It is enough to support an expected gross grain yield for current water demand
532 653.24mm under climate conditions of 2015. However, for meeting the water demand
533 of crops, it must be at the cost of overdrawing groundwater. In order to analyze the
534 impact of reduced groundwater extraction on crop yield, the AquaCrop model
535 constructed by Li et al., (2019) was used to simulate the yield of winter wheat and
536 summer maize. The applicability and accuracy of the model in Ziya River Basin have
537 been verified by Li et al., (2019). Under the condition of no over-exploitation of
538 groundwater ($G_{\text{OVR}}=0$), the total irrigation is 179.62mm (Table1). The simulated yield
539 values of winter wheat and summer maize in 2015 were 6.983 t/ha and 7.251 t/ha
540 respectively; Under the condition of over-exploitation of groundwater (other
541 simulation conditions remain unchanged), which is the current situation of water use,
542 the total irrigation is 202.33mm ($179.62\text{mm} + \frac{ET_{\Delta}}{0.65}$, "0.65" is the utilization coefficient
543 of irrigation water in Shijin Irrigation District; $ET_{\Delta}=14.76\text{mm}$). The yield values of
544 winter wheat and summer maize in 2015 were 7.050 t/ha and 7.272 t/ha respectively.
545 Compared with the grain yield under the two conditions above, the yield of winter
546 wheat and summer maize decreased by 0.95% and 0.29% respectively. It can be seen,
547 if the exploitation of groundwater is compressed, there is a risk of reducing grain
548 yield, but this risk is low, and the risk can be avoided by water-saving irrigation,
549 optimizing planting structure and adjusting industrial structure.

550 The main conclusions are as follows:

551 (1) The evapotranspiration of Ziya River Basin in 2008-2009 is estimated by the
552 Penman-Monteith model. The simulation results show that the relative error of the
553 model is less than 20%; The simulation values of 2008 and 2009 are fitted with
554 observation values, with R^2 of 0.9883 and 0.9742 respectively, indicating that the
555 model is applicable in Ziya River Basin.

556 (2) Based on the principle of water balance, the target ET calculated by water
557 balance is 638.48 mm. The current water demand of winter wheat in 2015 is 402.92
558 mm, that of summer maize is 251.32 mm. The total water demand for both crops is
559 653.24 mm. According to the target ET regulation, the water consumption of crops in
560 the Shijin Irrigation District can be compressed by 14.76 mm in 2015.

561 (3) In recent years, the average groundwater exploitation is about 67 million m^3 .
562 According to the calculation results of the base year (2015), if the target ET of crops
563 is taken as the control basis for the irrigation water consumption of crops, the
564 compressed exploitation of groundwater is about 19.47 million m^3 , which accounts
565 for 30% of the original exploitation.

566

567 Conflict of interest

568 The authors declare that they have no conflicts of interest.

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572 **Author's Contribution**

573 Fawen Li contributed in conceptualization, design, data analysis, and drafting the
574 manuscript; Wenhui Yan contributed in calculation, drawing charts and data analysis;
575 Yong Zhao and Rengui Jiang contributed in data curation, supervision, validation,
576 writing—review and editing.

577 **Availability of data and material**

578 The data used in this research are openly accessible. See “2.2 Data” for details.

579 **Code availability**

580 Not applicable. Software application or custom code is not involved in this study.

581 **Ethics approval**

582 Complied with the Ethical Standards of TAAC Journal.

583 **Consent to participate**

584 All authors give their consent for participate of this paper.

585 **Consent for publication**

586 Informed consent to publish has been obtained from each participant.

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Figures

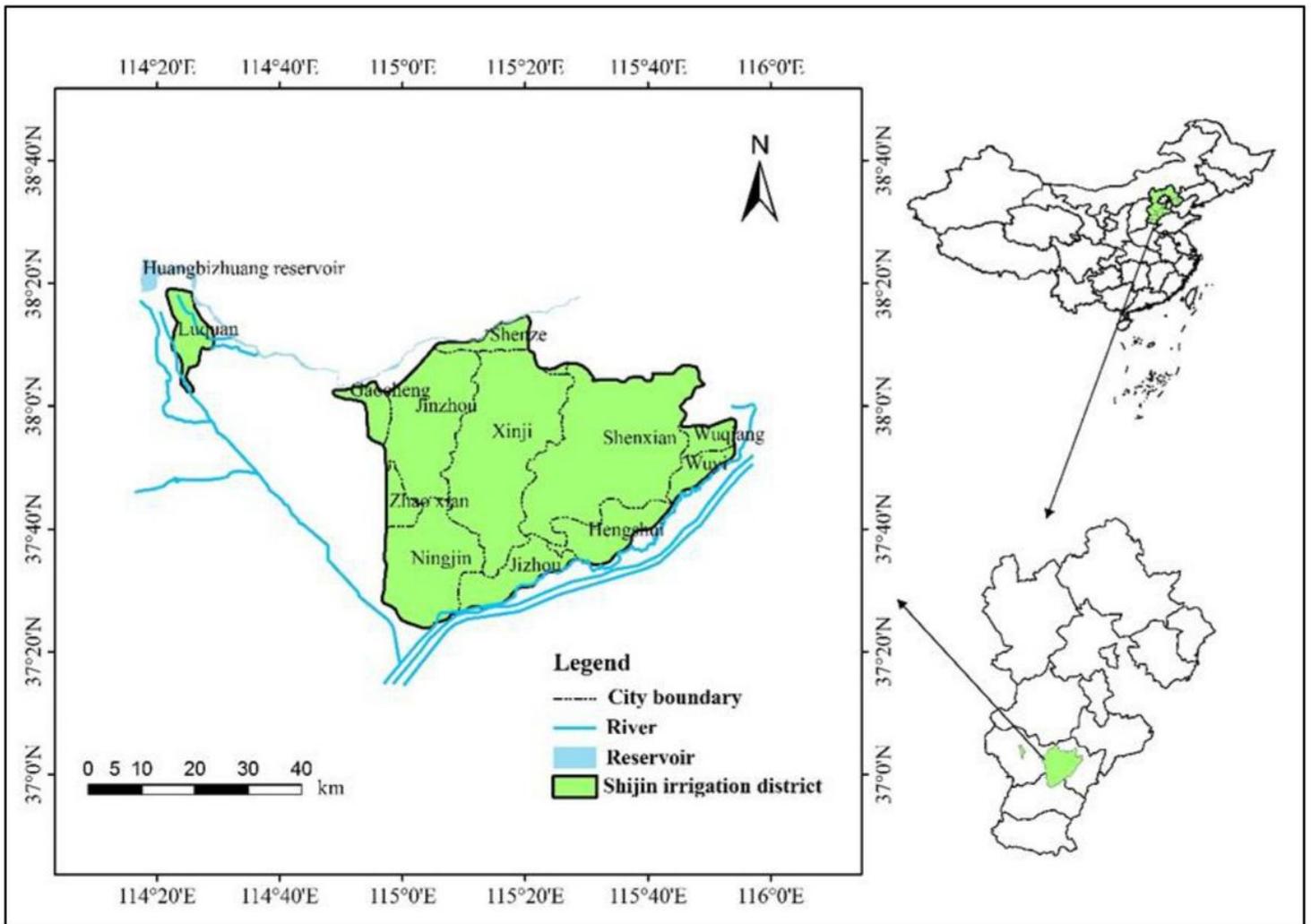


Figure 1

Overview of Shijin Irrigation District Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

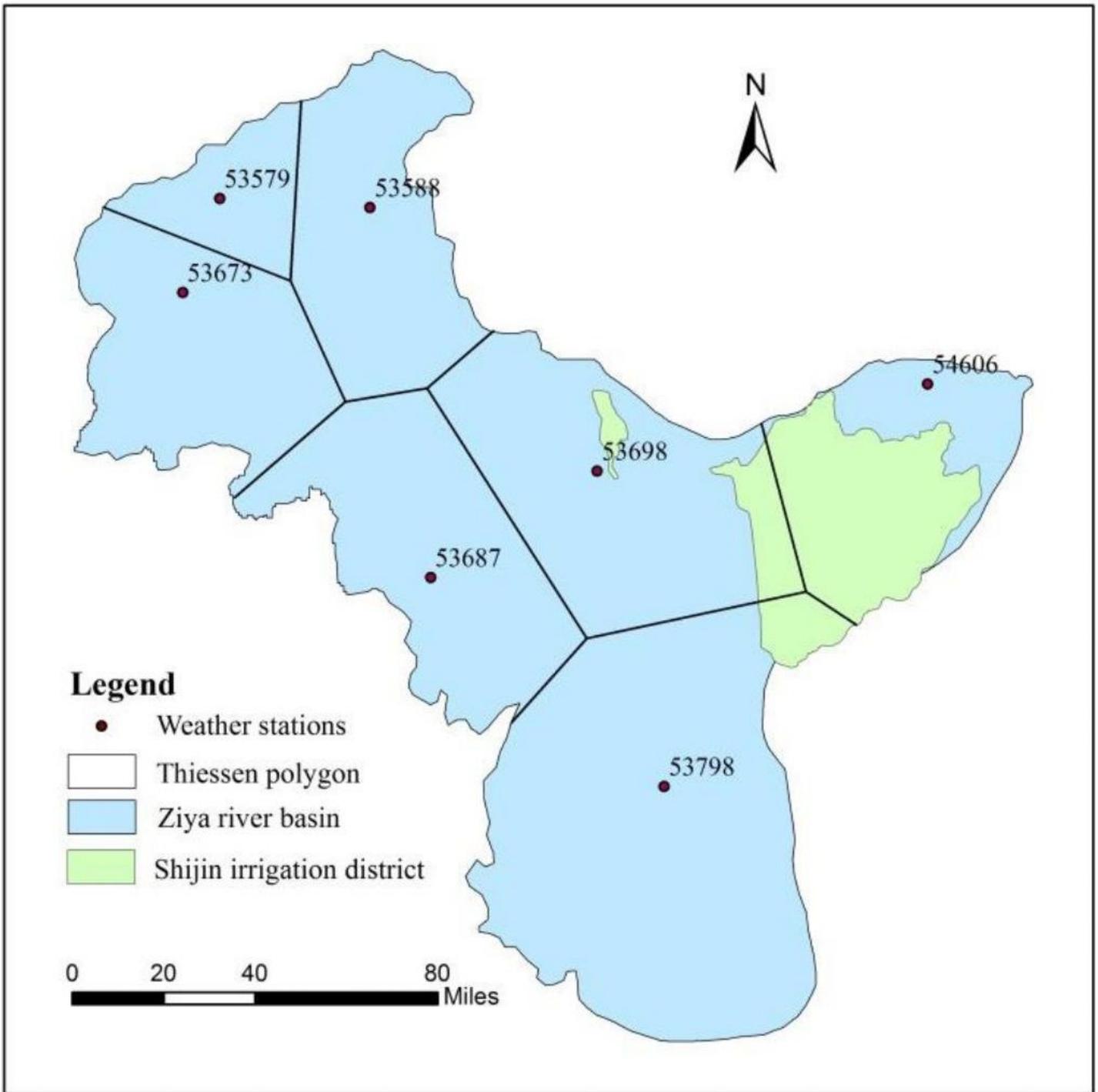


Figure 2

Meteorological stations in Ziya river basin (including Shijin Irrigation District) Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

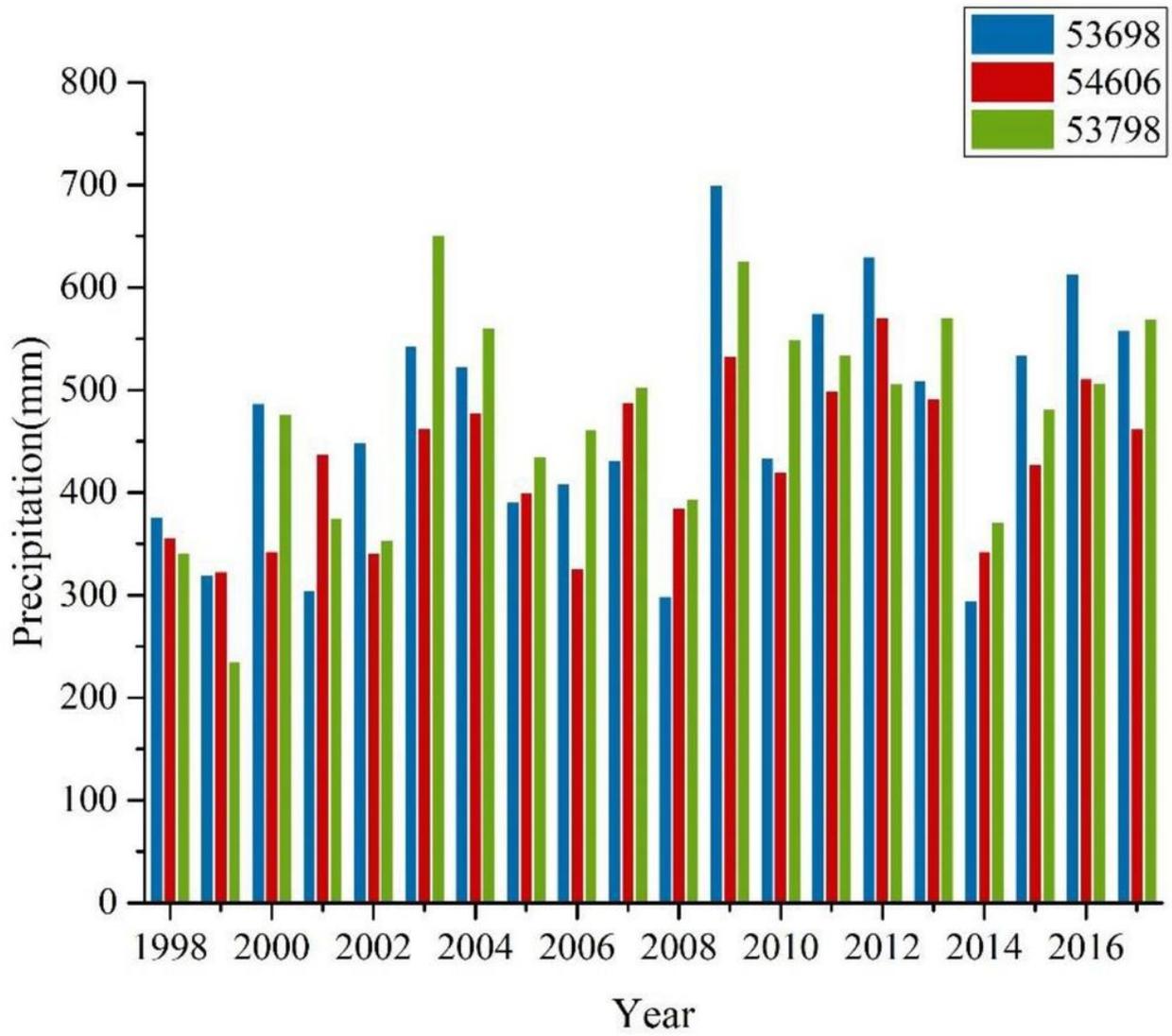


Figure 3

Precipitation from 1998 to 2017 in Shijin Irrigation District

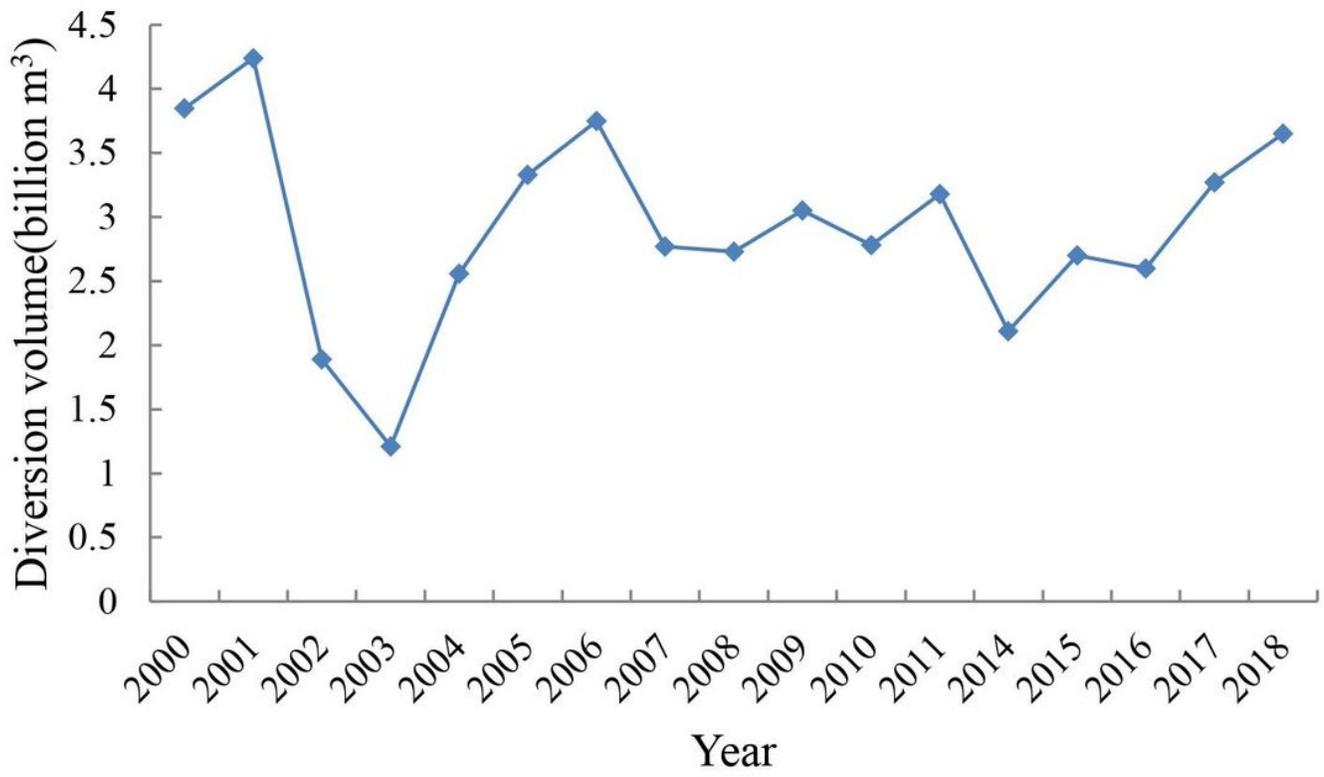


Figure 4

Water diversion from 2000 to 2018 in Shijin Irrigation District

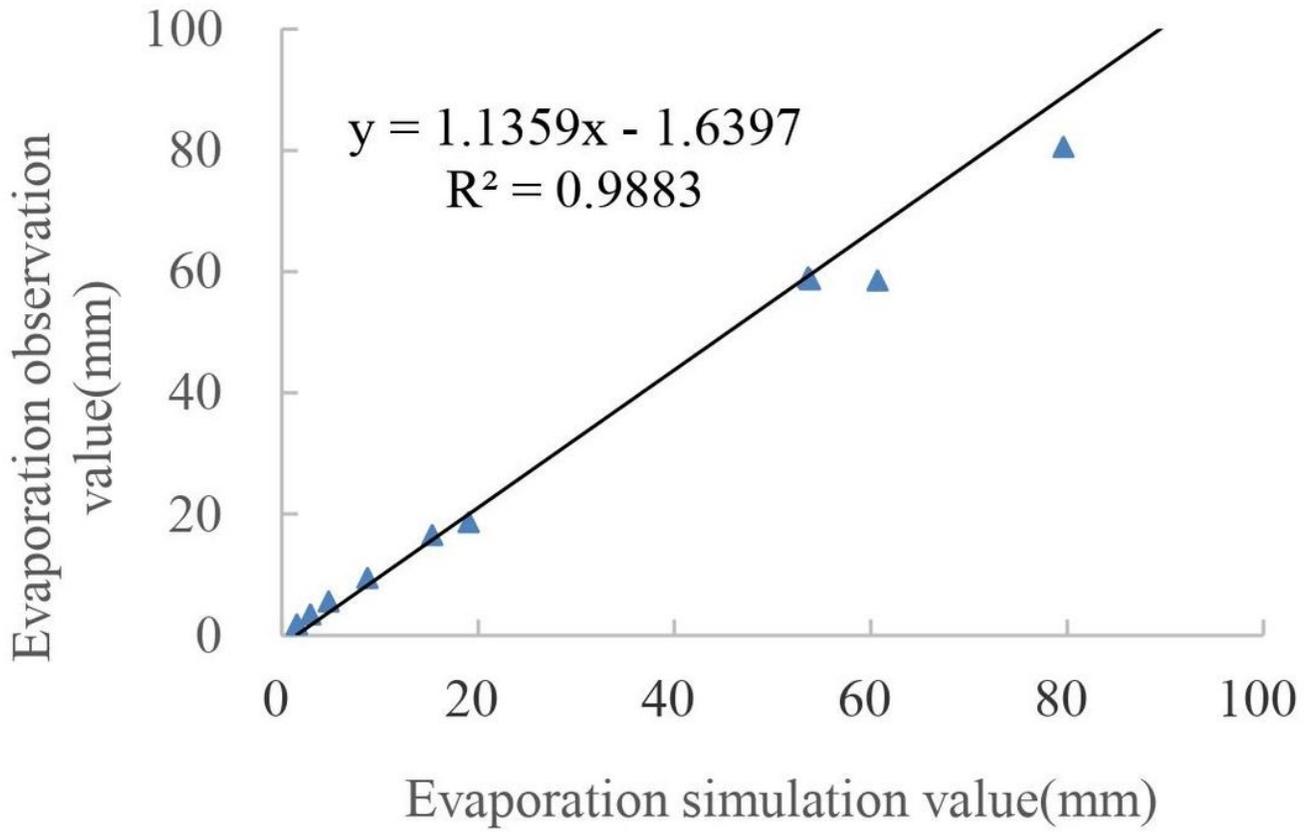


Figure 5

Scatter diagram of evapotranspiration simulation value and observation value in Ziya River Basin in 2008

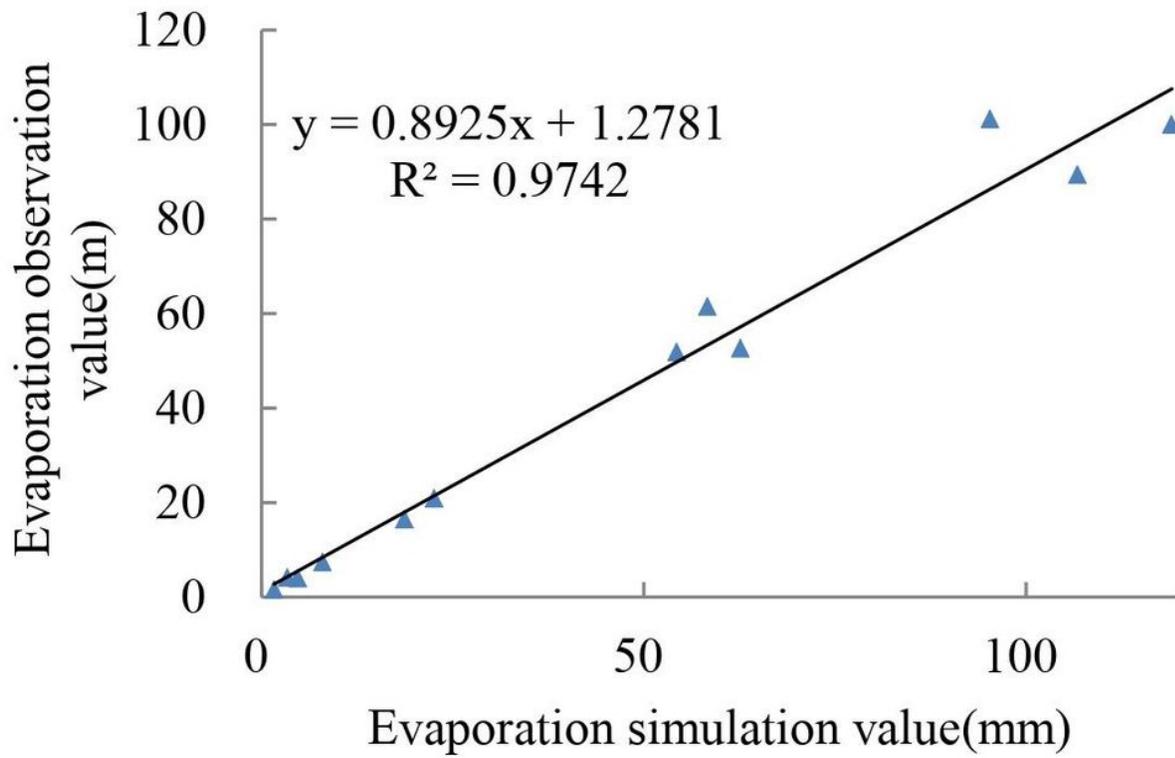


Figure 6

Scatter diagram of evapotranspiration simulation value and observation value of Ziya River Basin in 2009

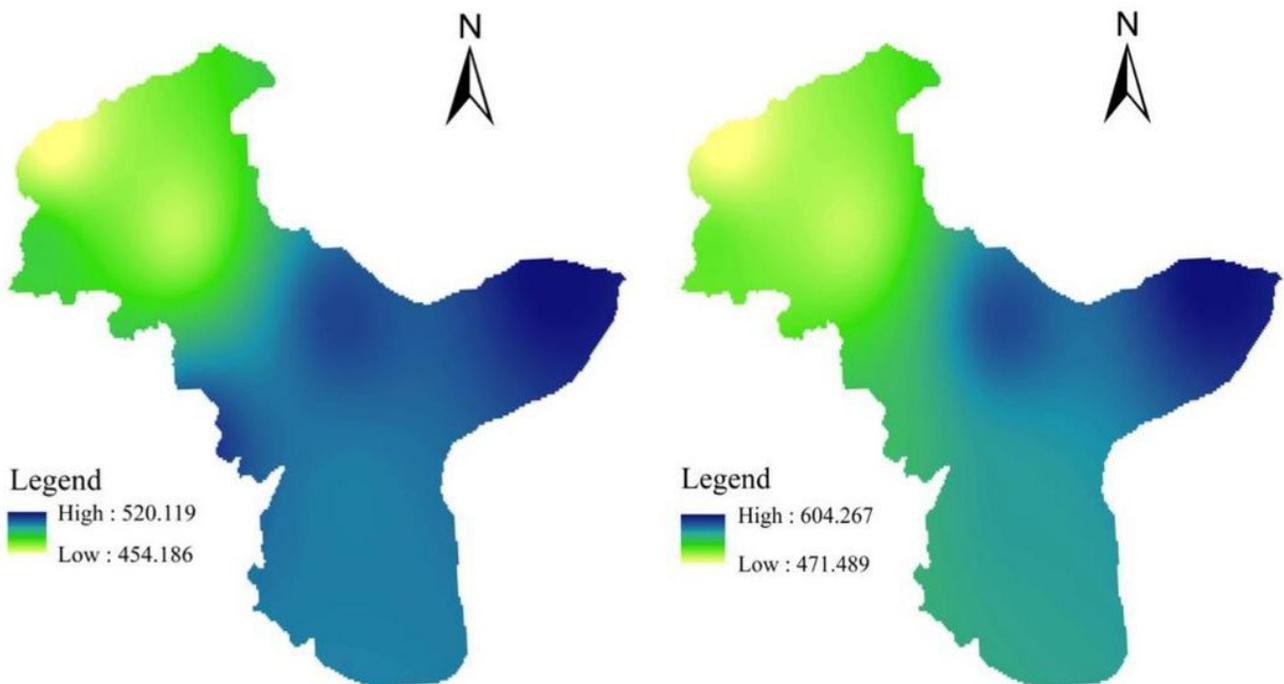


Figure 7

Spatial distribution of evapotranspiration observation value (left) and simulation value (right) in Ziya River Basin in 2008 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

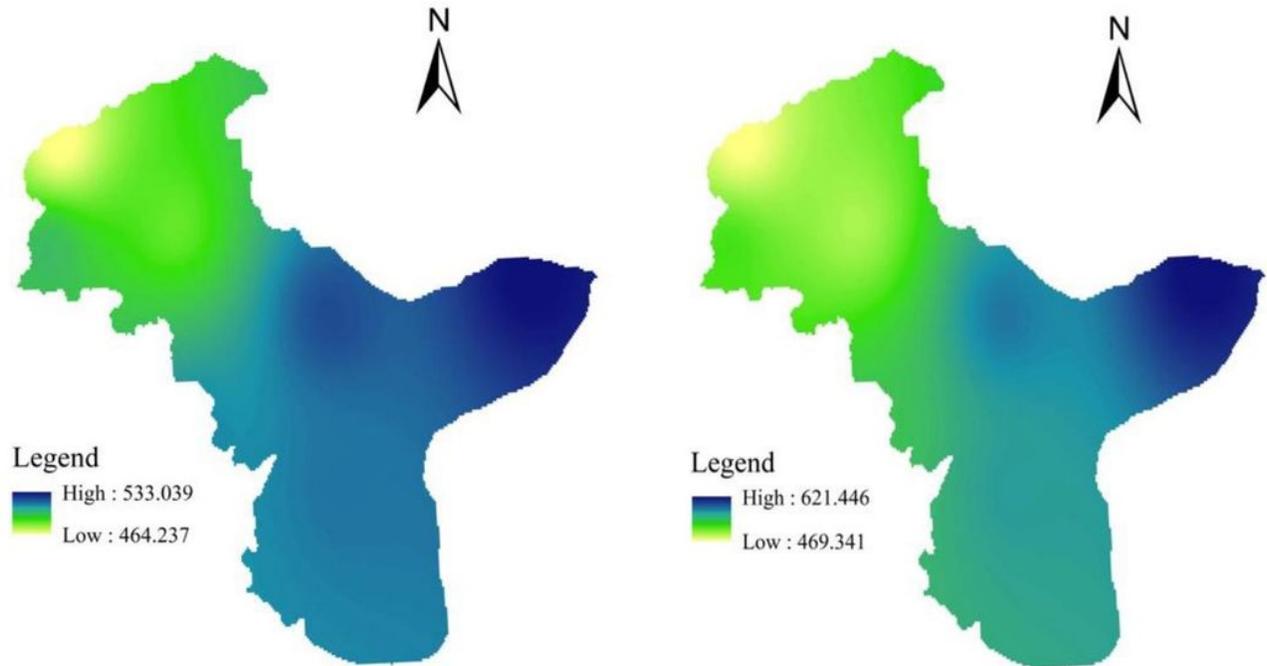


Figure 8

Spatial distribution of evapotranspiration observation value (left) and simulation value (right) in Ziya River Basin in 2009 Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.