

# ULK1 Promotes Mitophagy via Phosphorylation and Stabilization of BNIP3.

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## Research Article

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**ULK1 promotes mitophagy via phosphorylation and stabilization of BNIP3.**

**Key words:** BNIP3, ULK1, LC3, mitophagy, proteasomal degradation.

**Running title:** ULK1 promotes BNIP3-dependent mitophagy

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27 **Abstract**

28 UNC51-like kinase-1 (ULK1) is the catalytic component of the autophagy pre-initiation complex that  
29 stimulates autophagy via phosphorylation of ATG14, BECLN1 and other autophagy proteins. ULK1  
30 has also been shown to specifically promote mitophagy but the mechanistic basis of how has  
31 remained unclear. Here we show that ULK1 phosphorylates the BNIP3 mitochondrial cargo receptor  
32 on a critical serine residue (S17) adjacent to its amino terminal LIR motif. ULK1 similarly  
33 phosphorylates BNIP3L on S35. Phosphorylation of BNIP3 on S17 by ULK1 promotes interaction  
34 with LC3 and mitophagy. ULK1 interaction also promotes BNIP3 protein stability by limiting its  
35 turnover at the proteasome. The ability of ULK1 to regulate BNIP3 protein stability depends on an  
36 intact "BH3" domain and deletion of its "BH3" domain reduces BNIP3 turnover and increases BNIP3  
37 protein levels independent of ULK1. In summary ULK1 promotes mitophagy by both stabilization of  
38 BNIP3 protein and via phosphorylation of S17 to stimulate interaction with LC3.

39

## 40 **Introduction**

41 Macroautophagy (commonly referred to as autophagy) is activated in cells in response to nutrient  
42 deprivation, including hypoxia and amino acid deprivation <sup>1</sup>. The induction of autophagy by nutrient  
43 deficiency is largely mediated at a post-translational level as a result of AMPK-mediated  
44 phosphorylation of S555 and other serines in the ULK1 kinase that makes up the catalytic core of the  
45 autophagy pre-initiation complex <sup>2-5</sup>. Conversely, ULK1 is inactivated in the presence of amino acids  
46 as a result of phosphorylation by mTORC1 on S757 <sup>5</sup>. ULK1, as part of the autophagy pre-initiation  
47 complex with FIP200, ATG13 and ATG101 <sup>6</sup>, activates the downstream autophagy initiation complex  
48 through phosphorylation of Beclin1 and ATG14 <sup>7,8</sup>, in addition to other substrates thereby enhancing  
49 VPS34 activity and phagophore membrane formation at ER-mitochondrial junctions <sup>5</sup>. ULK1 also  
50 phosphorylates FIP200, ATG13 and ATG101 and autophosphorylates as part of the pre-initiation  
51 complex. Defining the phosphorylation consensus site for ULK1 has permitted the identification of  
52 other substrates involved in autophagy, including AMBRA1 and VPS34 <sup>9</sup>, in addition to novel targets  
53 like phosphofructokinase (PFK1) and STING that play less direct roles in autophagy <sup>10,11</sup>.

54 In addition to promoting increased general autophagy, ULK1 has also been implicated in selective  
55 autophagy and in the induction of mitophagy in particular <sup>2-4,12,13</sup>. In response to hypoxia for example,  
56 ULK1 was previously shown to interact with and phosphorylate the FUNDC1 mitochondrial cargo  
57 receptor to stimulate mitophagy <sup>12</sup>. ULK1 phosphorylated FUNDC1 on S17 adjacent to its LC3-  
58 interacting region (LIR) to promote LC3 interaction and mitophagic flux <sup>12</sup>. Similarly, ULK1 was shown  
59 to stimulate mitophagy induced in mammalian cells by BCL2-L-13, and while this was associated with  
60 phosphorylation of BCL2-L-13 on S252 adjacent to its LIR motif, the role of ULK1 in executing this  
61 specific phosphorylation event on BCL-L-13 was not pinned down <sup>13</sup>. As we have reported previously,  
62 there are multiple mechanisms to promote mitophagy, including Parkin/PINK1 mediated mechanisms  
63 and via BNIP3 and BNIP3L (NIX) dependent pathways <sup>14,15</sup>. Why the cell relies on such a diverse  
64 range of mitophagy modulators is not yet clear although we have suggested that this allows the cell  
65 to respond to diverse stresses that impinge upon mitochondrial function <sup>14</sup>.

66 Interestingly, BNIP3 and BNIP3L have both been reported previously to be phosphorylated on serine  
67 residues adjacent to their LIR motif in manners that increase the affinity and specificity of binding to  
68 different LC3 family members <sup>16,17</sup>. However, the kinase responsible for these phosphorylation events  
69 was not previously shown. Here, we report for the first time that ULK1 phosphorylates BNIP3 on S17  
70 and BNIP3L on S35 adjacent to their respective LIR motifs. Furthermore, we show that this increases  
71 interaction with processed LC3B and promotes mitophagy induced by BNIP3 over-expression. This  
72 is further influenced by ULK1 due to the effect of ULK1 on increasing BNIP3 protein levels as a result  
73 of decreased proteasomal turnover. Here, we show that deletion of the BH3 domain renders BNIP3

74 protein resistant to proteasomal turnover and to the effects of ULK1 on its protein levels. We propose  
75 a model in which ULK1 binds to BNIP3 protecting it from proteasomal degradation while  
76 simultaneously phosphorylating BNIP3 on S17 to promote its interaction with LC3 and increase rates  
77 of mitophagy.

78

## 79 Results

### 80 Phosphorylation of BNIP3 and BNIP3L by ULK1.

81 Both BNIP3 and BNIP3L have previously been reported to be phosphorylated on serine 17  
82 and 24 for BNIP3<sup>18</sup> and on serine 34 and 35 for BNIP3L<sup>17</sup>, but the kinase responsible for these  
83 phosphorylation events has not been identified. Interestingly, S17 and S35 in BNIP3 and BNIP3L  
84 respectively map adjacent to critical tryptophan residues at W18 and W36 in each protein that form  
85 part of the LC3 interacting region (LIR) required for the ability of BNIP3 and BNIP3L to bind processed  
86 LC3 family members<sup>17,19,20</sup>. Serine residues adjacent to the LIR motif of other LC3 interacting proteins  
87 have been shown to be phosphorylated by ULK1, the core catalytic component of the autophagy pre-  
88 initiation complex<sup>7,8,21</sup>. The optimal amino acid sequence for an ULK1 phosphorylation site includes  
89 a preference for serine (S) over threonine (T) at the phosphorylation site, leucine (L) or methionine  
90 (M) at position -3 and an aliphatic or aromatic amino acid, such as phenylalanine (F) or tryptophan  
91 (W) at positions +1 and +2<sup>9</sup>. When we aligned the primary amino acid sequence around S17 in BNIP3  
92 and S35 in BNIP3L with validated ULK1 substrates and with the published optimal sequence for ULK1  
93 phosphorylation sites<sup>9</sup>, we observed that amino acid sequences around S17 in BNIP3 and S35 in  
94 BNIP3L show strong sequence similarity to sites of phosphorylation by ULK1 (Fig. 1a). Specifically,  
95 both BNIP3 and BNIP3L have a leucine (L) at position -3, serine (S) at position 0 and tryptophan (W)  
96 and valine (V) at positions +1 and +2 (Fig. 1a) with the W (+1) and V (+2) forming part of their LIR  
97 motifs (Fig. 1b, Fig. 1c).

98 To examine whether BNIP3 and/or BNIP3L are phosphorylated by ULK1, we performed *in*  
99 *vitro* kinase assays with recombinant BNIP3 and BNIP3L protein, incubated with recombinant ULK1  
100 and <sup>32</sup>P-γ-ATP, in the presence or absence of the ULK1 inhibitor, ULK-101<sup>22</sup>. ULK1 strongly  
101 phosphorylated BNIP3 and BNIP3L *in vitro* and this phosphorylation was inhibited by ULK-101 (Fig.  
102 1d, lanes 4 and 5; Fig. 1e, lanes 4 and 5). ULK1 autophosphorylates *in vitro* in a manner inhibited by  
103 ULK-101 (Fig. 1d, lanes 1 and 2; Fig. 1e, lanes 1 and 2) that provides a useful internal control for  
104 ULK1 activity in this assay. Mutation of S17 in BNIP3 to alanine (S17A) or S35 in BNIP3L to alanine  
105 (S35A) decreased phosphorylation of BNIP3 and BNIP3L by ULK1 (Fig. 1d, lane 7; Fig. 1e, lane 7).  
106 The S17A mutation in BNIP3 did not eliminate phosphorylation by ULK1 as effectively as the S35A  
107 mutation in BNIP3L in this recombinant *in vitro* assay but mutation of additional serine residues in  
108 BNIP3 did not identify any further putative ULK1 phosphorylation sites (Fig. 1f). In summary, we have  
109 identified putative ULK1 phosphorylation sites in BNIP3 and BNIP3L at S17 and S35 respectively.

110  
111 **ULK1-mediated phosphorylation of BNIP3 on S17 promotes its interaction with LC3B and**  
112 **mitophagy.**

113 To examine how mutation of S17 affected BNIP3-dependent mitophagy, we mutated the  
114 putative ULK1 phosphorylation site at S17 to either alanine (S17A) to block ULK1 phosphorylation of  
115 BNIP3, or to glutamic acid (S17E) to mimic ULK1 mediated phosphorylation of BNIP3 and assessed  
116 how this affected the interaction of BNIP3 with LC3B. Mutation of S17 to alanine diminished  
117 interaction of BNIP3 with GFP-LC3B (Fig. 2a, lanes 17 and 18) compared to wild-type BNIP3 (Fig.  
118 2a, lanes 13 and 14) and to a similar extent as mutation of the critical W18 residue in the BNIP3 LIR  
119 motif to alanine (Fig. 2a, lanes 15 and 16) indicating that inhibiting phosphorylation of BNIP3 on S17  
120 was sufficient to block its interaction with LC3B. Mutating S17 to glutamic acid to mimic  
121 phosphorylation resulted in apparently lower binding to LC3B than wild-type (Fig. 4a, lane 19  
122 compared to lane 13). However, treatment of cells with 100 nM bafilomycin A<sub>1</sub> to block autophagic  
123 turnover resulted in a more significant 15.7 fold increase in binding of BNIP3<sup>S17E</sup> to LC3B (Fig. 2a,  
124 lane 20 compared to lane 19) than the 3.1 fold effect of bafilomycin A<sub>1</sub> on wild-type BNIP3 (Fig. 2a,  
125 lane 14 compared to lane 13), suggesting that the S17E mutation promotes mitophagic flux.

126 We next assessed how ULK1 influenced the interaction of BNIP3 with LC3B (Fig. 2b).  
127 Interestingly, we noted that over-expressing FLAG-ULK1 increased the overall levels of expression  
128 of all BNIP3 forms (WT, W18A, S17A, S17E) examined (Fig. 2b, lanes 6-9 compared to lanes 2-5)  
129 suggesting that ULK1 was modulating BNIP3 protein levels. ULK1 over-expression also increased  
130 the interaction of wild-type BNIP3 with GFP-LC3B (Fig. 2b, lane 16 compared to lane 11) although  
131 this could be attributed to increased BNIP3 levels since the increased interaction is proportionate to  
132 the relative increase in BNIP3 protein levels. ULK1 over-expression had no effect on the failure of the  
133 W18A mutant to interact with GFP-LC3B (Fig. 2b, lane 17 compared to lane 12) but did modestly  
134 increase binding of the S17A mutant to GFP-LC3B (Fig. 2b, lane 18 compared to lane 13). ULK1 also  
135 increased the interaction of the S17E mutant with GFP-LC3 (Fig. 2b, lane 19 compared to lane 14).  
136 These results show that ULK1 both increases BNIP3 protein levels and increases the interaction of  
137 BNIP3 with LC3B.

138 Imaging of LC3B and TOMM20 in U2OS<sup>ΔBNIP3</sup> cells transiently over-expressing BNIP3 or  
139 BNIP3<sup>W18A</sup>, BNIP3<sup>S17A</sup> or BNIP3<sup>S17E</sup> (Fig. 2c – 2f), showed that wild-type BNIP3 increased overlap  
140 (white puncta) in staining between TOMM20-positive mitochondria (green) and LC3-positive puncta  
141 (magenta) compared to adjacent cells not expressing BNIP3 (Fig. 2c, Fig. 2g). Expression of  
142 exogenous BNIP3 was also associated with increased mitochondrial fragmentation and decreased  
143 overall TOMM20 staining (Fig. 2c) indicative of decreased mitochondrial mass due to increased  
144 mitophagy. As reported previously in other systems, the W18A mutant of BNIP3 which is unable to  
145 bind LC3 (Fig. 2a, 2b) as defective at promoting TOMM20 (green)/LC3B (magenta) overlap and few  
146 white overlapping puncta were detected (Fig 2d, Fig. 2g). Consistent with protein interaction data

147 (Fig. 2a), the S17A mutant caused LC3B puncta to accumulate but there was decreased overlap  
148 between TOMM20 and LC3 when BNIP3<sup>S17A</sup> was expressed (Fig. 2e, Fig. 2g) compared to wild-type  
149 BNIP3 (Fig. 2c, Fig. 2g), although more than in cells expressing BNIP3<sup>W18A</sup> (Fig. 2d, Fig. 2g). Similar  
150 to the W18A mutant the S17A mutant retained the ability to induce mitochondrial fragmentation (Fig.  
151 2e). By contrast, the BNIP3<sup>S17E</sup> mutant induced marked overlap between TOMM20 and LC3B (Fig.  
152 2f, Fig. 2g), and more effectively than wild-type BNIP3 (Fig. 2c, Fig. 2g), and very strikingly reduced  
153 mitochondrial staining in cells, such that S17E expressing U2OS<sup>ΔBNIP3</sup> cells had much lower  
154 mitochondrial staining (Fig. 2f). Similar findings were obtained when cells were stained with lysosomal  
155 marker LAMP1 and TOMM20 to examine mitochondrial turnover at the lysosome such that the S17E  
156 removed most mitochondria by mitophagy (Fig. 3c) and to a greater extent than wild-type (Fig. 3a)  
157 while the S17A mutant had diminished ability to promote mitophagy compared to wild-type (Fig. 3b).  
158 Together, these findings indicate that the S17E mutation that mimics ULK1 phosphorylation markedly  
159 increases LCB interaction and mitophagy while the S17A mutation that blocks ULK1 phosphorylation  
160 decreases mitophagy relative to wild-type BNIP3 but not as effectively as the W18A mutant.

161 We then examined how the individual S17 mutants affected mitochondrial respiration (Fig. 3d)  
162 and cell growth (Figure 3e). As shown, wild-type BNIP3 (WT) repressed oxygen consumption of  
163 U2OS<sup>ΔBNIP3</sup> cells (Fig. 3d, red line) compared to those expressing empty vector (EV) (Fig. 3d, black  
164 line), consistent with BNIP3 inducing mitophagy and decreasing mitochondrial mass (Fig. 2c, Fig. 2g,  
165 Fig. 3a). The S17A mutant was modestly less effective than wildtype at decreasing oxygen  
166 consumption although the differences in basal O<sub>2</sub> consumption were within the margin of error (Fig.  
167 3d, blue line). By far the biggest effect on O<sub>2</sub> consumption was seen when the S17E mutant was  
168 expressed (Fig. 3d, green line) with a marked reduction in both basal and maximal oxygen  
169 consumption as expected given the strong positive effect of the S17E mutant on mitophagy (Fig. 2f,  
170 Fig. 2g, Fig. 3c). Consistent with the effects of these mutants on respiration, we observed that the  
171 S17E mutant caused the most dramatic slowdown in growth of U2OS cells in culture, with both wild-  
172 type and S17A showing a decrease in growth compared to empty vector expressing cells (Fig. 3e).  
173 Taken together, these results indicate that phosphorylation of BNIP3 on S17 promotes the interaction  
174 of BNIP3 with LC3, increases mitophagy, lowers respiration and decreases cell growth.

175

### 176 **The “BH3” domain modulates BNIP3 protein stability.**

177 As shown in Figure 2b, over-expressing FLAG-ULK1 appeared to increase levels of HA-  
178 BNIP3. To gain insight to how ULK1 activity might be protecting BNIP3 from proteasomal degradation,  
179 we explored the ability of ULK1 to protect different BNIP3 mutants from degradation. In addition to  
180 the S17A and S17E BNIP3 mutants described thus far, we also examined effects of ULK1 on the

181  $\Delta$ BH3 mutant in which amino acids 109 to 119 are removed, the  $\Delta$ PEST mutant in which amino acids  
182 56 to 68 were removed, the G180A point mutant that is not able to dimerize and the  $\Delta$ TMD mutant  
183 lacking amino acids 164 to 184 that encodes the transmembrane domain (TMD) of BNIP3. The BH3  
184 domain in BNIP3 is very loosely conserved with only 2 amino acids out of 11 conserved residues that  
185 make up a consensus BH3 domain<sup>23</sup>. In addition, BNIP3 binds Bcl2 and Bcl-X<sub>L</sub> via its amino terminus  
186 not via its “BH3” domain, remains able to promote mitophagy in the absence of the BH3 domain and  
187 promotes survival not cell death suggesting that the “BH3” domain more likely reflects the evolutionary  
188 origin of BNIP3 from Bcl2 family members as opposed to acting as a *bona fide* BH3-only protein<sup>23-</sup>  
189 <sup>26</sup>. The PEST domain in BNIP3 was originally identified<sup>27</sup> based on sequence homology to other  
190 proteins targeted for degradation due to similar regions enriched in Proline (P), Glutamic acid (E),  
191 Serine (S) and Threonine (T) residues<sup>28</sup>. Mutation of G180 to alanine within the transmembrane  
192 domain of BNIP3 prevents dimerization but not integration of the monomer into the outer  
193 mitochondrial membrane (OMM)<sup>29</sup>. Finally, the  $\Delta$ TMD mutant cannot integrate into the mitochondrial  
194 outer membrane, cannot dimerize and cannot promote mitophagy<sup>14,27,30</sup>.

195 We compared levels of BNIP3 in the presence (Fig. 4a, lanes 8 - 14) or absence (Fig. 4a,  
196 lanes 1 - 7) of exogenous FLAG-ULK1 expression. Exogenous ULK1 enhanced the levels of wild-  
197 type BNIP3 (Fig.4a, lane 8 compared to lane 1) consistent with data above (Fig. 2b). Similar to  
198 wildtype BNIP3, both the S17A and S17E mutants showed increased levels in the presence of  
199 exogenous ULK1 (Fig. 4a, lanes 9 and 10 compared to lanes 2 and 3) indicating that ULK1 could  
200 promote BNIP3 protein levels independent of its ability to phosphorylate serine 17. Similarly, the  
201  $\Delta$ PEST mutant was also increased in levels by exogenous ULK1 (Fig. 4a, lane 12 compared to lane  
202 5) indicating that these sequences do not underlie the effect of ULK1 on BNIP3 protein levels. The  
203 G180A mutant also exhibited higher protein levels in the presence of exogenous ULK1 (Fig. 4a, lane  
204 13 compared to lane 6) suggesting that dimerization was not required for BNIP3 levels to be  
205 modulated by ULK1. Interestingly, the  $\Delta$ TMD mutant was minimally affected in levels by over-  
206 expression of exogenous ULK1 (Fig. 4a, lane 14 compared to lane 7) suggesting that the effect of  
207 ULK1 on BNIP3 levels relied on BNIP3 integration into the OMM. Apart from the  $\Delta$ TMD mutant, the  
208 other mutant that behaved differently was the  $\Delta$ BH3 mutant that exhibited high levels of expression  
209 even in the absence of FLAG-ULK1 (Fig.4a, lane 4) compared to wild-type BNIP3 (Fig.4a, lane 1) or  
210 any of the other BNIP3 mutants (Fig.4a, lanes 2, 3, 5, 6, 7). Deletion of this region increased BNIP3  
211 protein levels independent of ULK1 expression (Fig. 4a, compare lane 4 to lane 1) and exogenous  
212 ULK1 did not increase levels of the  $\Delta$ BH3 mutant further (Fig. 4a, lane 11 compared to lane 4). Taken  
213 together, these results suggested that sequences within the BH3 domain were promoting the

214 proteasomal degradation of BNIP3 in a manner that could be suppressed by ULK1 and depended on  
215 BNIP3 integration into the OMM.

216 Combining the BH3 domain deletion with either the S17A or S17E point mutation increased  
217 levels of each compound mutant compared to the single S17A or S17E mutant, but not as much as  
218 the  $\Delta$ BH3 deletion alone (Fig. 4b, lanes 5 and 6 compared to lane 4). The presence of ULK1 increased  
219 levels further for the compound S17A/ $\Delta$ BH3 and S17E/ $\Delta$ BH3 mutants to levels now comparable to  
220 that seen with the  $\Delta$ BH3 mutant (Fig. 4b, lanes 11 and 12 compared to lane 10). That deletion of the  
221 BH3 domain in the S17A and S17E mutants stabilizes BNIP3 in the absence of ULK1 (Fig. 4b, lanes  
222 5, 6 compared to lanes 2 and 3), but not as effectively as in the presence of ULK1 suggests that the  
223 S17A/ $\Delta$ BH3 and S17E/ $\Delta$ BH3 mutants are still getting turned over in the absence of ULK1 and that  
224 the S17 mutation also affects BNIP3 protein turnover. These results are consistent with the BH3  
225 region of BNIP3 being key to the stabilization of BNIP3 protein by ULK1 and acting in concert with  
226 post-translational events at S17 of ULK1.

227 Deletion of the BH3 domain also increased the interaction of BNIP3 with LC3B (Fig. 4c, lanes  
228 11 and 12 compared to lanes 9 and 10) which is likely explained by increased BNIP3 protein levels.  
229 Indeed, we observe that deletion of the BH3 domain increased overlap between TOMM20-positive  
230 mitochondria (green) and LC3B-positive autophagosomes (magenta) compared to wild-type BNIP3  
231 (Fig. 4e). However, deletion of the BH3 domain failed to increase the interaction of the S17A mutant  
232 or the S17E mutant with LC3 (Fig. 4c, lanes 13 – 16) despite increased levels of both the S17A/ $\Delta$ BH3  
233 and S17E/ $\Delta$ BH3 mutants (Fig. 4c, lanes 5-8) relative to wild-type BNIP3 (Fig. 4c, lanes 1-2). These  
234 results indicate that the phosphorylation status of S17 is dominant over the BH3 domain in  
235 determining interaction with LC3B.

236 Given that ULK1 promotes BNIP3 protein levels (Fig. 2b, Fig. 4a) and also that ULK1  
237 phosphorylates BNIP3 on S17 (Fig. 1d), we examined whether ULK1 interacted with BNIP3 in  
238 pulldown experiments (Fig. 4d). Expression of wild-type HA-BNIP3 efficiently pulled down FLAG-  
239 ULK1 (Fig. 4d, lane 6) identifying BNIP3 as an additional autophagy protein that interacts with ULK1.  
240 The C-terminal domain mediates ULK1 interactions with numerous autophagy proteins, including  
241 ATG13<sup>31</sup> and deletion of the C-terminal domain (CTD: amino acids 829 – 1051) of ULK1 was  
242 previously shown to generate a dominant negative form of ULK1 that retained auto-phosphorylation  
243 ability but reduced activity on known substrates (ATG13 for example) that inhibited LC3 processing  
244 and autophagy<sup>31</sup>. Our results indicate that BNIP3 is another autophagy protein that interacts with  
245 ULK1 via its CTD since deletion of the CTD of ULK1 ( $\Delta$ 829-1051), markedly decreased binding of  
246 BNIP3 to ULK1 (Fig. 4d, lane 7). Conversely, deletion of the very C-terminal 14 amino acids (1038 –  
247 1051) of ULK1 markedly increased the interaction between BNIP3 and ULK1 (Fig. 4d, lane 8)

248 suggesting that deletion of amino acids 1038 – 1051 removed sequences that bound other proteins  
249 that may be competing with BNIP3 for binding to ULK1. The data above supports a model in which  
250 ULK1 binds to BNIP3 via its CTD to stimulate BNIP3 phosphorylation on S17 and increase BNIP3  
251 protein levels, with the overall effect of boosting rates of mitophagy.

252

### 253 **ULK1 promotes BNIP3 protein stability by preventing its proteasomal degradation.**

254 It was previously reported that ULK1 protein is induced and recruited to mitochondria by  
255 hypoxia<sup>21</sup> and given that BNIP3 is also induced by hypoxia and localizes to mitochondria to promote  
256 hypoxia-induced mitophagy<sup>26</sup>, we speculated that ULK1 may be modulating the mitophagy functions  
257 of BNIP3 and BNIP3L during hypoxia. Both BNIP3 and BNIP3L were strongly induced by hypoxia  
258 such that at 8 hours following the switch to 1% oxygen, both proteins were maximally expressed and  
259 their levels sustained through 16 hours of hypoxia in both U2OS and Saos2 osteosarcoma cells (Fig.  
260 5a, U2OS; Fig. 5b, Saos2 – lanes 1 - 4). However, ULK1 protein levels were not significantly affected  
261 by hypoxia (Fig. 5a, Fig. 5b, Fig. 5c) and surprisingly nor was ULK1 activity since no change in the  
262 levels of phospho-S555 ULK1 was detected in either cell line examined (Fig. 5a, Fig. 5b; lane 4  
263 compared to lane 1). Consistently, there was no difference in ULK1-mediated phosphorylation of  
264 ATG14 on S29 either, detected following 16 hours at hypoxia compared to the zero timepoint (Fig.  
265 5a, Fig. 5b; compare lane 4 to lane 1). These results suggest that ULK1 is constitutively active in  
266 these osteosarcoma lines.

267 When we inhibited ULK1 activity with ULK-101 however, we observed decreased  
268 phosphorylation of ULK1 on S555 and decreased phosphorylation ATG14 on S29 following growth  
269 in hypoxia for 16 hours despite no change in levels of either ULK1 or ATG14 protein levels (Fig. 5a,  
270 Fig. 5b; lane 5) consistent with ULK-101 effectively inhibiting ULK1 kinase activity. Interestingly,  
271 inhibition of ULK1 kinase activity markedly decreased levels of both BNIP3 and BNIP3L at 16 hours  
272 of hypoxia (Fig. 5a, Fig. 5b, lane 5 compared to lane 4). ULK-101 also decreased BNIP3 protein  
273 induced by hypoxia in U2OS cells (Fig. 5d). Addition of proteasomal inhibitor MG132 appeared to  
274 inhibit this effect of ULK-101 on BNIP3 and BNIP3L levels (Fig. 5a; Fig. 5b, lane 7 compared to lane  
275 5) while treatment of cells with Bafilomycin A<sub>1</sub> did not affect the ability of ULK-101 to decrease BNIP3  
276 and BNIP3L levels (Fig. 5a, Fig. 5b, lane 9 compared to lane 5). This suggested that ULK1-101  
277 promoted BNIP3 and BNIP3L turnover at the proteasome which conversely implies that ULK1 kinase  
278 activity is limiting proteasomal degradation of BNIP3 and BNIP3L, as tested in more detail below.

279 While analysis of BNIP3 and BNIP3L expression levels has generally focused on their  
280 transcriptional control by HIF1 and other transcription factors<sup>26</sup>, our data suggested that BNIP3  
281 expression was strongly regulated at a post-translational level. This post-translational regulation was

282 mediated via proteasomal degradation since BNIP3 protein levels were strongly increased in U2OS  
283 and Saos2 cells in response to MG132 treatment alone (Fig. 6a, Fig. 6b), and as observed in other  
284 cell lines (HCC38, Panc1) (Fig. 6c, Fig. 6d). Interestingly, MG132 rapidly (within 4 hours) increased  
285 levels of exogenous HA-BNIP3 expressed in MiaPaca2 pancreatic cancer cells that are epigenetically  
286 silenced for endogenous BNIP3 (Fig. 6e), indicating that effects of MG132 on BNIP3 protein levels  
287 are not mediated indirectly through increased BNIP3 transcription. These results show that BNIP3  
288 protein is being turned over at the proteasome in the absence of hypoxia or other physiological  
289 stresses, known to induce BNIP3 expression. That MG132 treatment did not further increase BNIP3  
290 protein levels over that seen in cells grown at hypoxia (Fig. 5a, Fig. 5b, lane 6 compared to lane 4)  
291 suggested that hypoxia inhibits proteasomal turnover of BNIP3 protein. Taken together with  
292 observations described above that ULK1 inhibition decreased BNIP3 protein expression under  
293 hypoxia in a manner inhibited by MG132, suggests that hypoxia limits BNIP3 proteasomal  
294 degradation in a manner dependent on ULK1 activity.

295 To determine how ULK1 inhibition was increasing the rate of BNIP3 protein turnover, we  
296 examined endogenous BNIP3 levels following removal of iron chelator desferroxamine (DFO) that  
297 was added for 16 hours to induce expression of BNIP3 at a transcriptional level via HIF-1 $\alpha$   
298 stabilization without inducing ROS, as would happen to cells switched out of 1% oxygen. Immediately  
299 following DFO removal, new protein synthesis was inhibited with cycloheximide (CHX) in the  
300 presence of either MG132 to inhibit proteasome activity, and/or ULK-101 to inhibit ULK1 kinase  
301 activity. Following removal of DFO in the presence of CHX, BNIP3 protein decayed rapidly such that  
302 by 4 hours, it was barely detectable (Fig. 6f, lane 4 compared to lane 2). However, addition of MG132  
303 to inhibit proteasomal activity prevented BNIP3 protein decay by 4 hours and BNIP3 levels were  
304 similar to that seen at 0 hours following removal of DFO in the presence of CHX (Fig. 6f, lane 6  
305 compared to lane 2). Conversely, when ULK-101 was added, we observed a more rapid decline in  
306 BNIP3 protein levels such that by 2 hours following removal of DFO in the presence of CHX, BNIP3  
307 protein was barely detectable (Fig. 6f, lanes 7 - 9 compared to lanes 2 - 4). Combining MG132 and  
308 ULK-101 treatment protected against decay following removal of DFO in the presence of CHX  
309 indicating that ULK1 inhibition was causing proteasomal degradation of BNIP3 (Fig. 6f, lanes 10 and  
310 11 compared to lanes 3, 4, 5, 6, 8, 9). These results indicate that ULK1 activity stabilizes BNIP3  
311 protein levels by blocking its proteasomal degradation.

312 In summary, our work identifies BNIP3 (and BNIP3L) as an ULK1 substrate and shows that  
313 in addition to promoting the interaction of BNIP3 with LC3B and increasing mitophagy, ULK1 also  
314 promotes BNIP3 protein levels by inhibiting its proteasomal degradation (Fig. 6g). The BH3 domain  
315 of BNIP3 promoted the proteasomal turnover of BNIP3 and deletion of the BH3 domain caused BNIP3

316 protein to accumulate independent of ULK1 activity. These results also illustrate how phosphorylation  
317 of BNIP3 on S17 by ULK1 decreases oxygen consumption, as expected with less mitochondria, and  
318 reduces cell growth.

319 **Discussion.**

320 We show here that ULK1 phosphorylates BNIP3 on S17 adjacent to its LIR motif (amino acids  
321 18 – 21) to promote interaction with LC3 and that ULK1 also increases BNIP3 protein levels by  
322 blocking its turnover at the proteasome. In this manner, ULK1 has a dual effect on BNIP3 that  
323 promotes mitophagy following its induction by hypoxia. ULK1 also phosphorylates BNIP3L on the  
324 cognate serine at position 35 adjacent to its LIR motif (amino acids 36 to 39). Both BNIP3 and BNIP3L  
325 have been previously reported to be phosphorylated on these serine residues<sup>16,17</sup> but the identity of  
326 the kinase responsible was not known till now. Those previous studies also reported phosphorylation  
327 of S24 in BNIP3 and S34 in BNIP3L as modulating their interaction with LC3 family members.  
328 However, the primary amino acid sequence around these serine residues does not conform with the  
329 ULK1 consensus phosphorylation site<sup>9</sup>, and we do not detect loss of phosphorylation of BNIP3 by  
330 recombinant ULK1 when S24 is mutated (Fig. 1f) and mutation of S35 abolished all phosphorylation  
331 of BNIP3L by ULK1 *in vitro* (Fig. 1d). These findings suggest that while ULK1 promotes LC3 binding  
332 by phosphorylating S17 in BNIP3 and S35 in BNIP3L, a different kinase is likely responsible for  
333 phosphorylating S24 and S34 in BNIP3 and BNIP3L respectively. ULK1 has also been shown to  
334 phosphorylate FUNDC1 and BCL2-L-13 to promote their interaction with LC3 family members and  
335 mitophagy<sup>13,21</sup>. Together with BNIP3 and BNIP3L, that indicates ULK1 promotes mitophagy via four  
336 different mitochondrial cargo receptors and suggests that in addition to promoting general autophagy  
337 via phosphorylation of Beclin1 and ATG14, that ULK1 specifically upregulates mitophagy in nutrient  
338 stressed cells.

339 In addition to phosphorylating BNIP3 on S17 to promote LC3B interaction, ULK1 also  
340 increases BNIP3 protein levels (Fig. 2b, Fig. 4a, Fig. 4b) and conversely inhibition of ULK1 kinase  
341 activity with ULK-101 represses BNIP3 protein levels (Fig. 5a, Fig. 5b, Fig. 6f). ULK1 has a predicted  
342 molecular weight of 112 kD but generally migrates in SDS-PAGE with a molecular weight of  
343 approximately 150 kD, while BNIP3 is a fraction of the size at 21.4 kD, the carboxy terminal end of  
344 which is buried in the OMM<sup>5,31-33</sup>. Thus, binding of ULK1 to BNIP3 may protect it from proteasomal  
345 degradation simply due to size exclusion blocking access of E3 ubiquitin ligases to BNIP3 (Fig. 6g).  
346 Interestingly, inhibiting autophagy with bafilomycin A<sub>1</sub> did not block the effect of ULK-101 on BNIP3  
347 levels, confirming that ULK1 is likely promoting BNIP3 protein expression by limiting its proteasomal  
348 degradation and not turnover by mitophagy.

349 How then does BNIP3 get turned over by the proteasome? Both BNIP3 and BNIP3L are tail-  
350 anchored proteins, like many members of the Bcl2 super-family, that do not possess conventional  
351 mitochondrial-targeting signal peptides at their amino terminus, but instead rely on unique TMDs near  
352 their carboxy terminal end and key basic charged amino acids immediately after the TMD to integrate

353 into the OMM<sup>34,35 29,36</sup>. A mitochondrial-associated degradation (MAD) system has been described  
354 similar to the endoplasmic reticulum associated degradation (ERAD) system in which the p97 AAA+  
355 ATPase induces retrotranslocation of proteins out of the OMM and presents them to the numerous  
356 E3 ubiquitin ligase complexes present at the OMM for degradation<sup>37-40</sup> and indeed turnover of OMM  
357 proteins by the proteasome has been shown to be required to maintain mitochondrial function and  
358 cellular metabolism<sup>41</sup>. Parkin is amongst numerous different mitochondrial E3 Ub ligases implicated  
359 in maintaining the integrity of OMM protein function<sup>42</sup>. However, the E3 Ub ligases responsible for  
360 BNIP3 turnover are not known and will be the subject of future investigation.

361 Interestingly however, we showed that the BH3 domain of BNIP3 plays a role in turning over  
362 BNIP3 since its deletion markedly stabilized BNIP3 (Fig. 4a, Fig. 4b, Fig. 4c). As mentioned above,  
363 the BH3 domain of BNIP3 is very weakly conserved (2 out of 11 amino acids) with BH3 domains in  
364 other canonical BH3-only pro-apoptotic proteins like Bim or Puma, and indeed the BH3 domain of  
365 BNIP3 can be deleted with no loss of function in mitophagy<sup>23-26</sup>. Other functions for the BH3 domain  
366 in BNIP3 have been proposed including allowing BNIP3 to compete with Beclin1 for binding to Bcl-2  
367 and Bcl-X<sub>L</sub> thereby releasing Beclin1 to promote autophagy generally<sup>16,33,43</sup>. However, BNIP3 binds  
368 to Bcl-2 and Bcl-X<sub>L</sub> primarily through its amino terminus, not through its BH3 domain, as revealed  
369 from the original yeast two-hybrid screen that identified BNIP3 as a Bcl-2 interacting protein<sup>44</sup>. Here,  
370 we show that deleting the BH3 domain increases BNIP3 protein levels and increases binding to LC3  
371 setting forth a different model in which the BH3 domain limits mitophagy by promoting BNIP3  
372 degradation by the proteasome. At this time, it is not clear how the BH3 domain promotes BNIP3  
373 proteasomal degradation but there are two lysine residues at positions 111 and 112 in the BH3  
374 domain of BNIP3 (amino acids 109 to 119) that could be subject to ubiquitination or sumoylation to  
375 promote BNIP3 turnover and dissecting how BNIP3 is turned over and the E3 Ub ligases responsible  
376 is the focus of future studies.

377 Finally, ULK1 is a core component of the autophagy pre-initiation complex and ULK1 inhibitors  
378 such as ULK-101 and others<sup>9,22</sup> have been developed with a view to inhibiting autophagy as a cancer  
379 therapeutic approach. Indeed, ULK-101 preferentially killed KRas expressing tumor cells via inhibition  
380 of autophagic flux<sup>22</sup>. Moving forward, it will be informative to determine to what extent the beneficial  
381 effects of ULK-101 in preventing tumor growth are due to specific effects on mitophagy and BNIP3  
382 levels as opposed to more general effects on overall autophagy.

383  
384

## 385 **Materials & Methods**

386  
387 **Site-directed mutagenesis**  
388 Site-directed mutagenesis was used for the generation of pLVX-IRES-hygro-HA-BNIP3 plasmids  
389 expressing mutant forms of BNIP3, and pcdna3 FLAG-ULK1 plasmids expressing mutant forms of  
390 ULK1. Primers were designed and recommended annealing temperatures were calculated using the  
391 NEBaseChanger website. Site-directed mutagenesis was then performed using the Q5 Site-directed  
392 Mutagenesis Kit (New England BioLabs).

## 393 394 **Cell culture**

395 Human cell lines were all sourced from ATCC and maintained in a humidified CO<sub>2</sub> incubator at 5%  
396 CO<sub>2</sub> and 37°C. U2OS, SaOS2, PANC-1, and HEK 293T cell lines were cultured in Dulbecco's  
397 Modified Essential Medium (DMEM) supplemented with 10% fetal bovine serum (FBS) and 1%  
398 penicillin/streptomycin. HCC38 cells were cultured in Roswell Park Memorial Institute (RPMI) media  
399 supplemented with 10% fetal bovine serum (FBS) and 1% penicillin/streptomycin. MiaPaca2 cells  
400 were cultured in DMEM supplemented with 10% FBS, 2.5% horse serum, and 1%  
401 penicillin/streptomycin. Cells treated with hypoxia were cultured in a humidified 37°C hypoxia  
402 chamber at 5% CO<sub>2</sub> and 1% O<sub>2</sub>. Cells were treated with drugs at the following concentrations: ULK-  
403 101 (5 μM), MG132 (10 μM), Bafilomycin A1 (0.1 μM), cycloheximide (10 μM), and deferoxamine  
404 (260 μM).

## 405 406 **Transfection**

407 For the transient transfection of human cell lines, including HEK 293T cells, cells were seeded onto  
408 10 cm plates at a density of 1.0x10<sup>6</sup> cells. The next day, 0.5 μg of pLVX-IRES-hygro-HA-BNIP3  
409 plasmid and/or 1.0 μg of pcdna3 FLAG-ULK1 plasmid were added to Lipofectamine 3000 reagents  
410 at a 1:1 ratio (μg plasmid DNA:μL Lipofectamine 3000) in 0.5 mL of Opti-MEM media and allowed to  
411 incubate for 15 minutes at room temperature. After incubation, the solution was added to the 10 cm  
412 plates containing 8 mL of cell culture media. The plates were incubated in transfection media  
413 overnight, washed once with DPBS and returned to cell culture media. Cell lysates were harvested  
414 36-48 hours post-transfection.

## 415 416 **Generation of CRISPR/Cas9 BNIP3-KO cell lines**

417 The BNIP3 locus was genetically deleted using CRISPR/Cas9 gene editing in HEK 293T and U2OS  
418 cell lines to yield HEK-293T<sup>ΔBNIP3</sup> cells and U2OS<sup>ΔBNIP3</sup> cells respectively. BNIP3 CRISPR/Cas9 and

419 HDR plasmids were purchased from Santa Cruz Biotechnologies (sc-400985 and sc-400985-HDR).  
420 Cell lines were transfected with 2 µg of each plasmid using Lipofectamine 3000 at a ratio of 2:1  
421 Lipofectamine to DNA. After 24 hours of transfection, media was changed and dual fluorescence of  
422 GFP and RFP was confirmed using the Incucyte S3 imaging system. Cells were selected 48-72 hours  
423 post-transfection with 1 µg/mL puromycin and seeded sparsely onto 15 cm plates for clonal growth.  
424 Single clones were isolated using cloning cylinders. Hypoxia treated cell lysates were run on western  
425 blots and probed for BNIP3 to confirm absence of BNIP3 protein compared to control parental cells.  
426 The clones with confirmed deletion of BNIP3 were transiently transfected with Cre recombinase to  
427 remove the puromycin resistance genes and RFP. RFP deletion was then confirmed by western blot.

428

### 429 **Seahorse assays**

430 U2OS<sup>ΔBNIP3</sup> cells stably expressing pLVX-IRES-hygro-HA-BNIP3 mutants were seeded in Seahorse  
431 XF96 microplates at a density of 2x10<sup>4</sup> cells/well. Following drug treatments, cells were rinsed with  
432 DPBS prior to the addition of 1X DMEM supplemented with 4.5 g/L glucose, 2 mM glutamine, and 1  
433 mM sodium pyruvate, adjusted to a pH of 7.35. The Seahorse Cell Mito Stress Test was performed  
434 according to the manufacturer's protocol using the Seahorse XF96 analyzer in the Biophysics Core  
435 at the University of Chicago. Data were normalized by cell density using Hoechst 33342 nuclear  
436 counterstain and fluorescence quantification using a microplate reader. Normalized OCR data was  
437 then analyzed using Agilent Seahorse Wave software.

438

### 439 **Cell proliferation assays**

440 U2OS<sup>ΔBNIP3</sup> cells stably expressing NuLight-GFP were seeded at a density of 2x10<sup>4</sup> cells per well in  
441 6 well plates. Each condition was seeded in duplicate. The next day (D1), culture medium was  
442 changed, and the plates were placed in the Incucyte S3 Imaging system. The Incucyte S3 Imaging  
443 system counted fluorescent nuclei at 25 defined locations in each well once per day for D1 through  
444 D7. All counts were normalized to D1 values to account for seeding error.

445

### 446 **Protein extraction**

447 Cells were seeded onto 10 cm plates at a density of 1.0x10<sup>6</sup>-1.5x10<sup>6</sup> cells. Following experimental  
448 treatments, plates were scraped in DPBS containing protease and phosphatase inhibitors (0.5 mM  
449 PMSF, 1 µg/mL aprotinin, 1 µg/mL leupeptin, 1 mM Na<sub>3</sub>VO<sub>4</sub>). Cells were pelleted and resuspended  
450 in RIPA lysis buffer (10 mM Tris-HCl pH 8.0, 150 mM NaCl, 1% sodium deoxycholate, 0.1% SDS,  
451 1% Triton X-100) containing a Roche PhosSTOP inhibitor cocktail in addition to the aforementioned  
452 protease and phosphatase inhibitors.

453

**454 Western blotting**

455 Denatured protein (typically 75 µg) was loaded onto SDS-PAGE gels, followed by transfer to  
456 nitrocellulose (0.2 µm or 0.45 µm pore) or PVDF (0.45 µm pore) membranes. Membranes were  
457 blocked in 5% nonfat milk in TBS/0.05% Tween (TBS-T) for 30 minutes at room temperature for non-  
458 phosphorylated protein detection, and 5% BSA in TBS-T for 30 minutes at room temperature for  
459 phosphorylated protein detection. Primary antibodies were incubated overnight at 4°C on a rocker in  
460 either 5% BSA/TBS-T or 5% nonfat milk/TBS-T depending on manufacturers' protocols. The next day  
461 membranes were incubated with HRP-conjugated secondary antibody in 5% nonfat milk/TBS-T for 2  
462 hours at room temperature on a shaker. Proteins were visualized by chemiluminescence and  
463 exposure on X-ray film.

464

**465 Immunoprecipitation**

466 Cells were seeded and collected as described above. Cell pellets were resuspended in NP-40 IP lysis  
467 buffer (50 mM Tris-HCl pH 7.5, 150 mM NaCl, 1 mM EDTA, 1% IGEPAL, 0.01% β-mercaptoethanol),  
468 and sonicated at 10% power for 5 seconds using a Fisher Sonic Dismembrator Model 500. GFP-  
469 tagged proteins were immunoprecipitated using GFP-Trap or control-Trap magnetic beads  
470 (Chromotek), and FLAG-tagged proteins were immunoprecipitated using anti-FLAG M2 magnetic or  
471 IgG-agarose beads (Sigma). Lysates were incubated on beads for 1 hour at 4°C on a rotator. Beads  
472 were transferred to a fresh Eppendorf tube for the final wash and resuspended in 2x sample loading  
473 buffer (1:2:2 10x SDS:5x BPB: ddH<sub>2</sub>O).

474

**475 In vitro kinase assays**

476 Recombinant GST-BNIP3 and GST-BNIP3L was produced in BL21 competent cells grown overnight  
477 and treated with IPTG for 4 hours. Recombinant protein was purified using a Glutathione Sepharose  
478 4B bead slurry and eluted via thrombin cleavage. *In vitro* kinase assays were performed using  
479 recombinant ULK1 (ThermoFisher PV6430), 5X Kinase Buffer A (ThermoFisher PV3189),  
480 recombinant BNIP3/BNIP3L, ATP (0.2 mM), ULK-101 (0.5 µM), and ATP [γ-32P] (PerkinElmer  
481 BLU002Z250UC). Assays were incubated at 37°C for 30 minutes, diluted 1:1 in 2x sample loading  
482 buffer, and boiled for 5 minutes. Samples were loaded onto an SDS-PAGE gel overnight, followed by  
483 gel drying and visualization by exposure on X-ray film.

484

**485 Immunofluorescence & confocal microscopy**

486 U2OS<sup>ΔBNIP3</sup> cells were grown on sterile glass coverslips in 6-well tissue culture plates overnight before  
487 transfection with HA-tagged BNIP3 mutants (see above). At 20hr post transfection, cells were treated  
488 with Bafilomycin A1 (100nM) for 4hr. Cells were fixed for 15min in 4% paraformaldehyde at RT, and  
489 10min in ice cold methanol at -20°C. Coverslips were incubated in 0.1% Saponin in PBS for 10min,  
490 then blocked in 10% goat serum in 0.05% TBS-T for 1hr. Coverslips were then incubated with primary  
491 antibodies in 10% goat serum in TBS-T for 1hr at RT. Anti-TOMM20 (Abcam, ab56783, 1:200), anti-  
492 LC3B (Cell Signaling, 3868S, 1:200), anti-HA-Tag (Bethyl, A190-106A, 1:200), anti-LAMP1 (Abcam,  
493 ab25245, 1:200), anti-ULK-1 (Novus, NBP2-56576, 1:200), anti-BNIP3 (Cell Signaling, 44060, 1:200).  
494 Coverslips were washed in TBS-T for 3x5 min, followed by incubation with Alexa Fluor conjugated  
495 secondary antibodies (Thermo Fischer Scientific, 1:1000) for 1hr at RT. Coverslips were washed in  
496 TBS-T for 3x5 min and mounted with 10μL ProlongGold containing DAPI (Thermo Fisher, P36931).  
497 Slides were allowed to cure for 24hr in the dark at RT, with subsequent storage at 4°C. Imaging was  
498 performed using the Leica TCS SP8 laser scanning confocal microscope in the Integrated Microscopy  
499 Core Facility at the University of Chicago. All images were collected using a 63X oil-immersion  
500 objective. Ten representative images per sample were obtained.  
501

502 **References.**

503

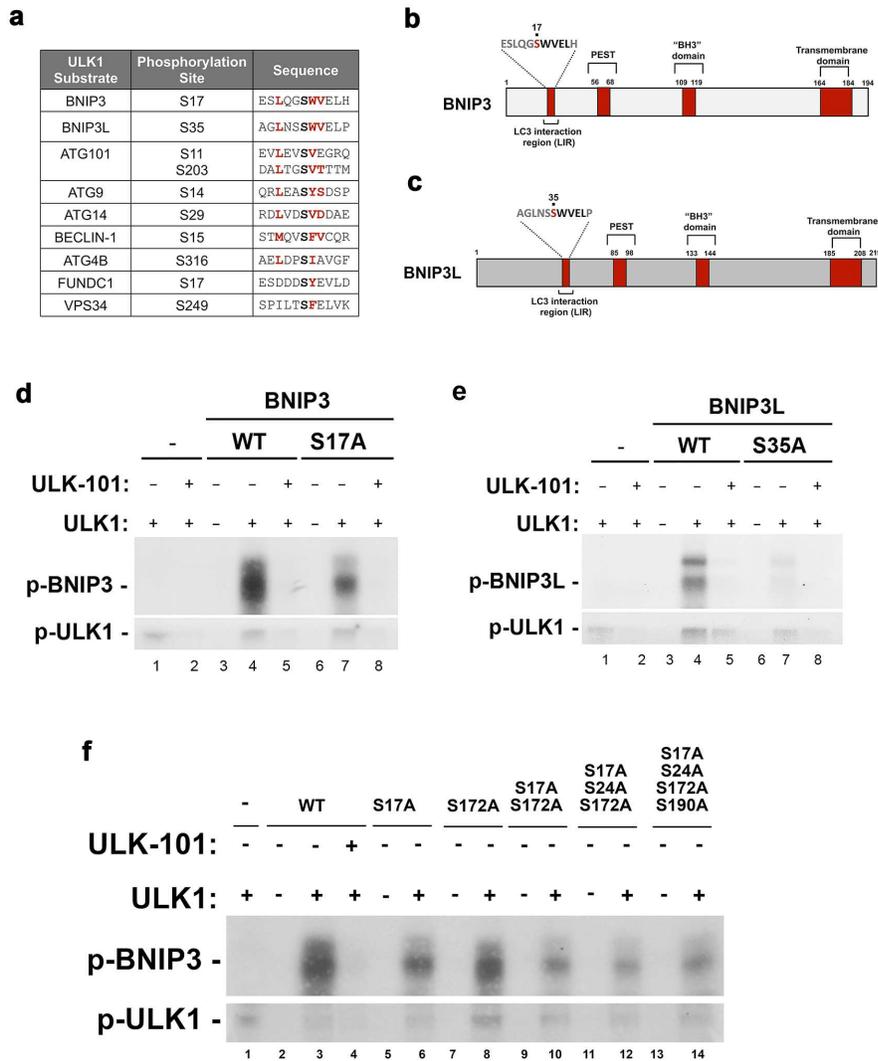
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618 **Figure Legends**

**Figure 1**



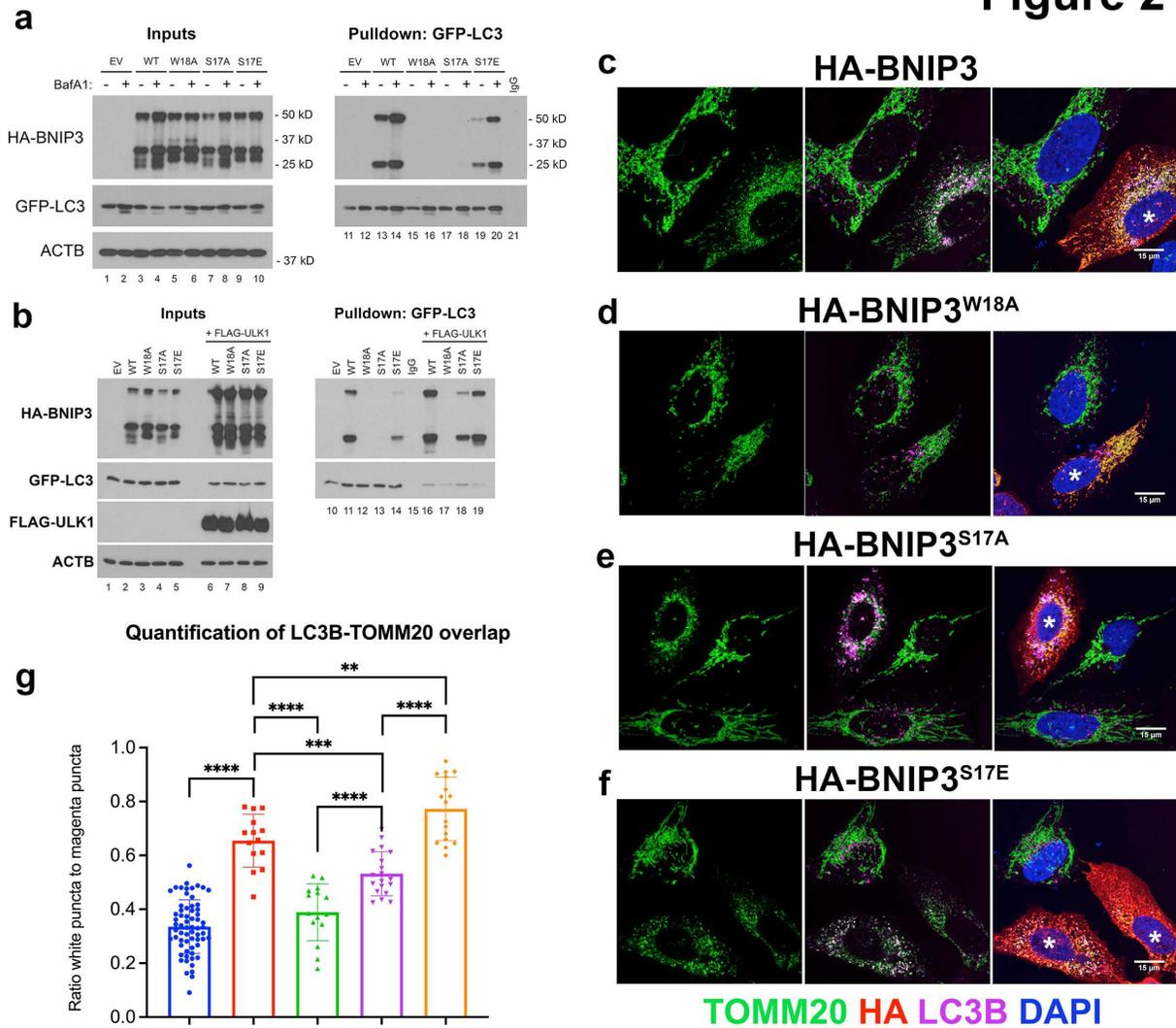
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621 **Figure 1. BNIP3 and BNIP3L are phosphorylated by ULK1 on S17 and S35 respectively.**

622 (a) Primary amino acid sequence alignment of putative ULK1 phosphorylation sites in BNIP3 and BNIP3L aligned to ULK1  
 623 phosphorylation sites in validated ULK1 substrates (ATG101, ATG9, ATG14, BECLIN1, ATG4B, FUNDC1, VPS34). (b)  
 624 Cartoon illustrating key domains in BNIP3. (c) Cartoon illustrating key domains in BNIP3L. (d) *In vitro* kinase assay testing  
 625 the ability of recombinant ULK1 kinase to phosphorylate recombinant BNIP3 (lanes 3 – 5) or BNIP3 mutated to S17A (lanes  
 626 6 -8) in the presence or absence of ULK-101 to inhibit ULK1 kinase activity (lanes 2, 5, 8) and using ULK1  
 627 autophosphorylation as a control for ULK1 activity (lower panel). (e) *In vitro* kinase assay testing the ability of recombinant  
 628 ULK1 kinase to phosphorylate recombinant BNIP3L (lanes 3 – 5) or BNIP3L mutated to S35A (lanes 6 -8) in the presence  
 629 or absence of ULK-101 to inhibit ULK1 kinase activity (lanes 2, 5, 8) and using ULK1 autophosphorylation as a control for  
 630 ULK1 activity (lower panel). (f) *In vitro* kinase assay testing the ability of recombinant ULK1 kinase to phosphorylate  
 631 different mutant forms of BNIP3.  
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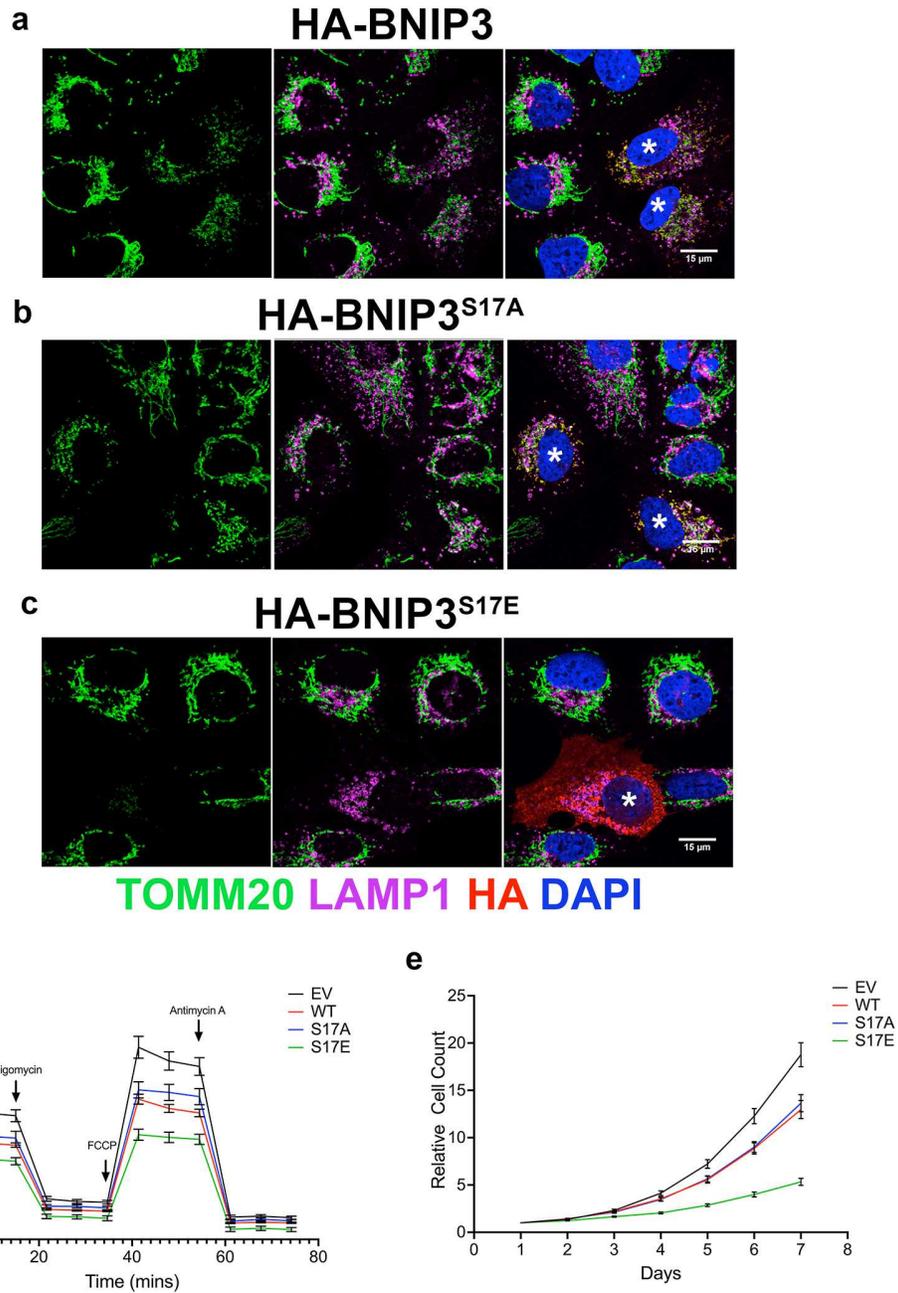
## Figure 2



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634 **Figure 2. Mutation of S17 in BNIP3 modulates its LC3B interaction and mitophagy.** (a) Pulldown of GFP-LC3 stably  
 635 expressed in HEK-293T cells with transiently expressed HA-BNIP3 (WT) and different HA-BNIP3 mutants (W18A, S17A,  
 636 S17E) or empty vector (EV) control, in the presence or absence of 100 nM bafilomycin A<sub>1</sub>. Inputs to the pull-down are shown  
 637 on the left and the result of the pull-down on the right. (b) Pull-down of GFP-LC3 with HA-BNIP3, as described in (a), in the  
 638 presence (lanes 6 - 9, 16 - 19) or absence (lanes 1 - 5, 10 - 15) of exogenous FLAG-ULK1. (c - f) Immunofluorescent  
 639 staining for TOMM20 (green, mitochondria), LC3B (magenta, autophagosomes), HA-BNIP3 (red) and DAPI (blue) in U2OS  
 640 cells transiently expressing HA-BNIP3 (c), HA-BNIP3<sup>W18A</sup> (d), HA-BNIP3<sup>S17A</sup> (e) or HA-BNIP3<sup>S17E</sup> (f). Cells expressing  
 641 exogenous HA-BNIP3 are asterisked (\*) and LC3B/TOMM20 overlap is detected as white puncta (green and magenta  
 642 overlap). (g) Quantification of LC3B/TOMM20 overlap for each of the different forms of BNIP3 compared to cells not  
 643 expressing BNIP3.  
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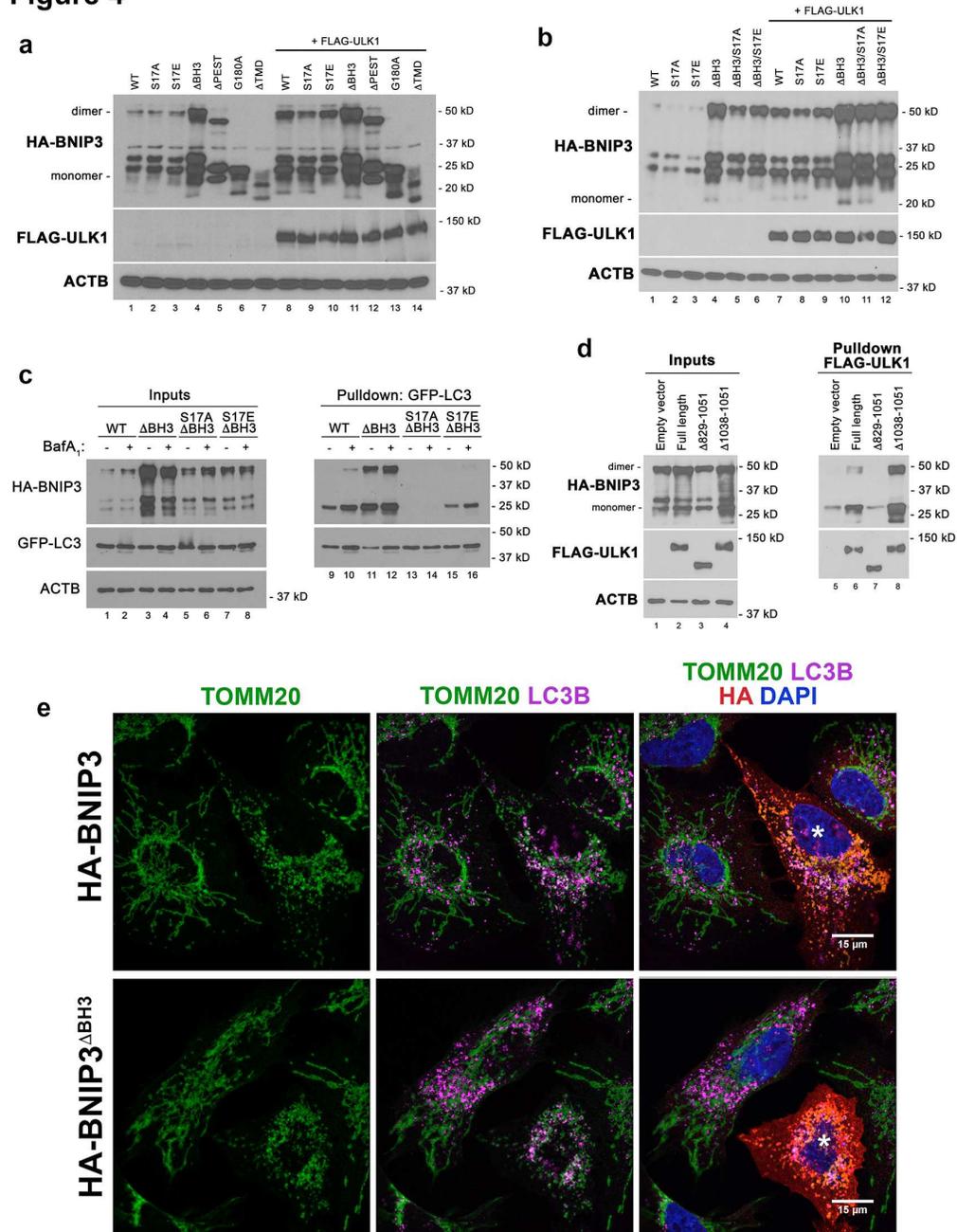
Figure 3



645  
 646 **Figure 3. Phosphorylation of S17 promotes mitophagy, reduces oxygen consumption and decreases cell growth.**  
 647 (a-c) Immunofluorescent staining for TOMM20 (green, mitochondria), LAMP1 (magenta, lysosomes), HA-BNIP3 (red) and DAPI  
 648 (blue) in U2OS cells transiently expressing HA-BNIP3 (a), HA-BNIP3<sup>S17A</sup> (b) or HA-BNIP3<sup>S17E</sup> (c). Cells expressing exogenous  
 649 HA-BNIP3 are asterisked (\*) and LAMP1/TOMM20 overlap is detected as white puncta (green and magenta overlap). (d) Oxygen  
 650 consumption rate (OCR) of U2OS cells stably expressing HA-BNIP3, HA-BNIP3<sup>S17A</sup> or HA-BNIP3<sup>S17E</sup> compared to empty vector  
 651 control (EV). (e) Growth rate of U2OS cells stably expressing HA-BNIP3, HA-BNIP3<sup>S17A</sup> or HA-BNIP3<sup>S17E</sup> compared to empty  
 652 vector control (EV).

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Figure 4



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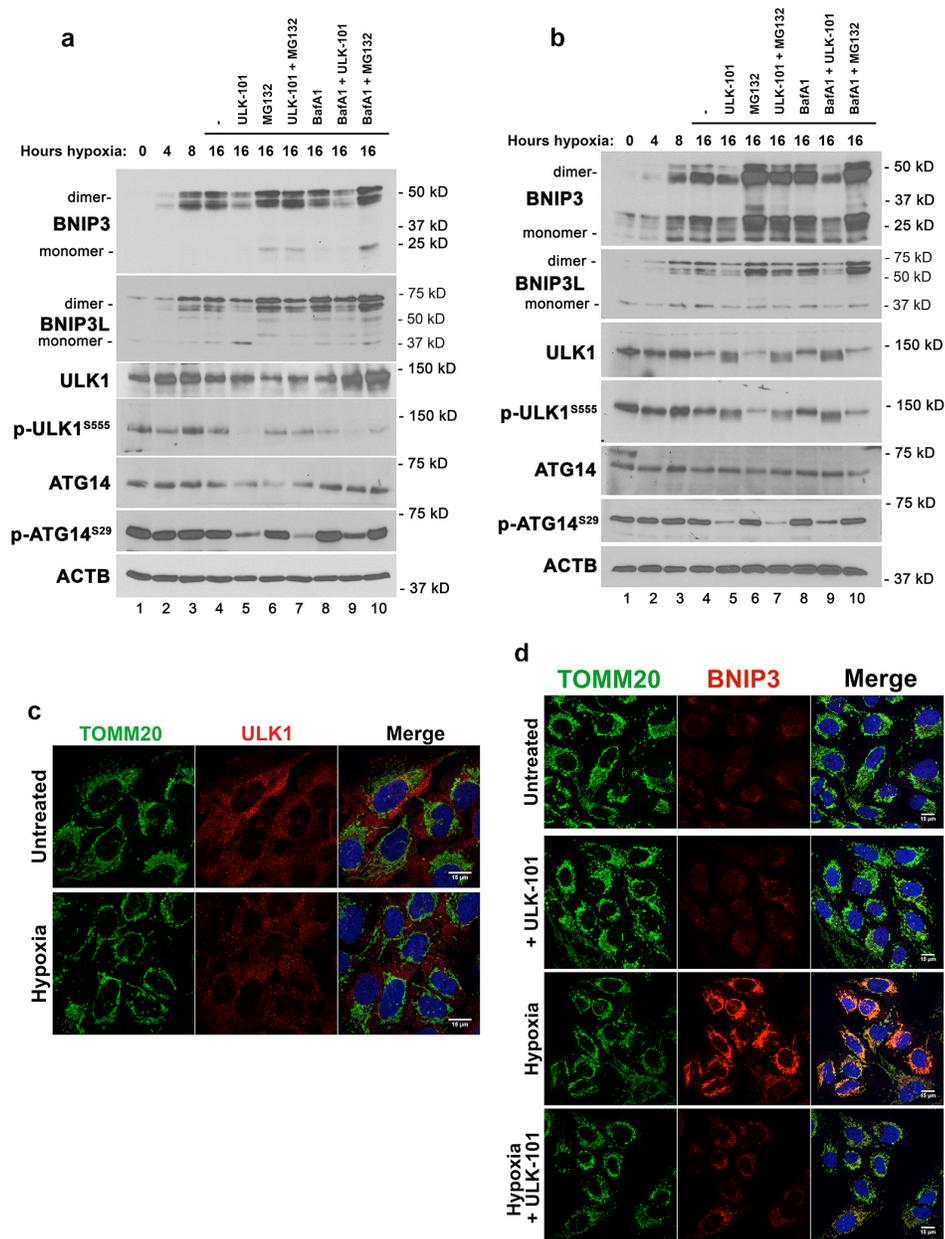
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Figure 4. The BH3 domain promotes BNIP3 turnover in a manner inhibited by ULK1.

(a) Western blot for HA-BNIP3 and different mutant forms of HA-BNIP3 expressed in HEK-293T cells in the presence (lanes 8-14) or absence (lanes 1-7) of exogenous FLAG-ULK1. (b) Western blot for HA-BNIP3 and different mutant forms of HA-BNIP3 expressed in HEK-293T cells in the presence (lanes 7 - 12) or absence (lanes 1-6) of exogenous FLAG-ULK1. (c) Pulldown of GFP-LC3 stably expressed in HEK-293T cells with transiently expressed HA-BNIP3 (WT) and different HA-BNIP3 mutants (ΔBH3, S17A/ΔBH3, S17E/ΔBH3) in the presence or absence of 100 nM bafilomycin A<sub>1</sub>. Inputs to the pulldown are shown on the left and the result of the pulldown on the right. (d) Pulldown of FLAG-ULK1 stably expressed in HEK-293T cells with transiently expressed HA-BNIP3 (WT) and different mutant forms of FLAG-ULK1 (full-length, Δ829 – 1051, Δ1038-1051). (e) Immunofluorescent staining for TOMM20 (green, mitochondria), LC3B (magenta, autophagosomes), HA-BNIP3 (red) and DAPI (blue) in U2OS cells transiently expressing HA-BNIP3 (top panels) or HA-BNIP3<sup>ΔBH3</sup> (bottom panels).

**Figure 5**



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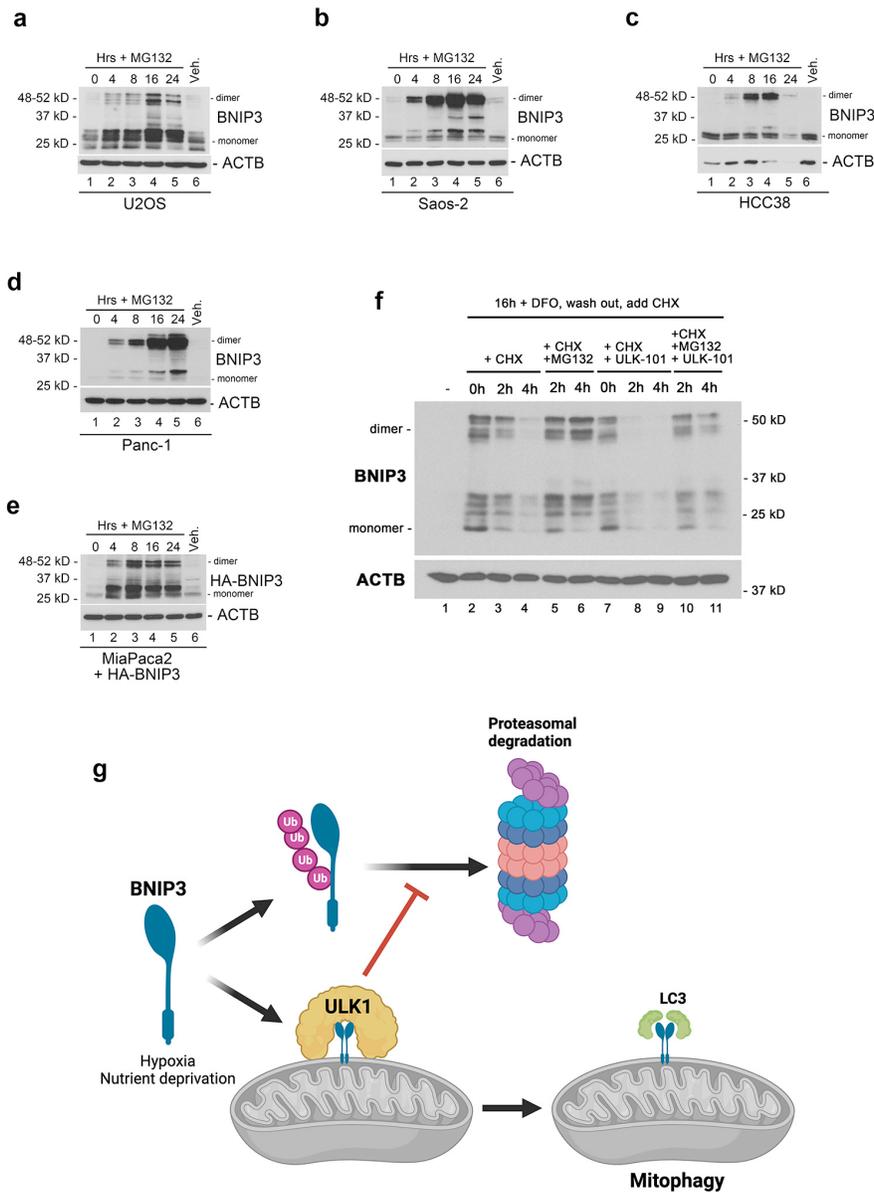
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**Figure 5. ULK1 inhibition limits BNIP3 accumulation under hypoxia.**

(a) Western blot for BNIP3, BNIP3L, ULK1, pS555-ULK1, ATG14, pS29-ATG14 and b-actin on. Whole cell lysates from U2OS cells exposed to hypoxia for 0, 4, 8 or 16 hours (lanes 1- 4) or for 16 hours in the presence of vehicle control and/or ULK-101, MG132 or bafilomycin A1 (lanes 6 – 10). (b) Western blot for BNIP3, BNIP3L, ULK1, pS555-ULK1, ATG14, pS29-ATG14 and b-actin on. Whole cell lysates from Saos2 cells exposed to hypoxia for 0, 4, 8 or 16 hours (lanes 1- 4) or for 16 hours in the presence of vehicle control and/or ULK-101, MG132 or bafilomycin A1 (lanes 6 – 10). (c) Immunofluorescent staining for TOMM20 (green, mitochondria), ULK1 (red, autophagosomes) and DAPI (blue) in U2OS cells at atmospheric oxygen (top panels) or 1% oxygen/hypoxia (bottom panels). (d) Immunofluorescent staining for TOMM20 (green, mitochondria), BNIP3 (red, autophagosomes) and DAPI (blue) in U2OS cells at atmospheric oxygen (Untreated), plus ULK1 inhibitor (+ ULK-101), 1% oxygen (hypoxia) or the combination of 1% oxygen plus the ULK1 inhibitor (hypoxia + ULK-101).

Figure 6



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**Figure 6. Proteasomal degradation of BNIP3 is inhibited by ULK1 kinase activity.**

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(a-d) Western blot for endogenous BNIP3 in U2OS (a), Saos2 (b), HCC38 (c), Panc-1 (d) cells in response to MG132 treatment

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for 0, 4, 8, 16, 24 hours. (e) Western blot for exogenous HA-BNIP3 in MiaPaca2 cells that are epigenetically silenced for

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endogenous BNIP3. (f) Western blot for endogenous BNIP3 in U2OS cells treated overnight with DFO to induce BNIP3, then

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washed out for DFO and immediately treated with cycloheximide (CHX) to block new protein synthesis and either MG132 to

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inhibit the proteasome and/or ULK-101 to inhibit ULK1 kinase activity. (g) Diagram summarizing the model for how ULK1

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promotes BNIP3-dependent mitophagy – by both blocking its proteasomal turnover and phosphorylating BNIP3 to promote

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interaction with LC3B.

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# Figures

## Figure 1

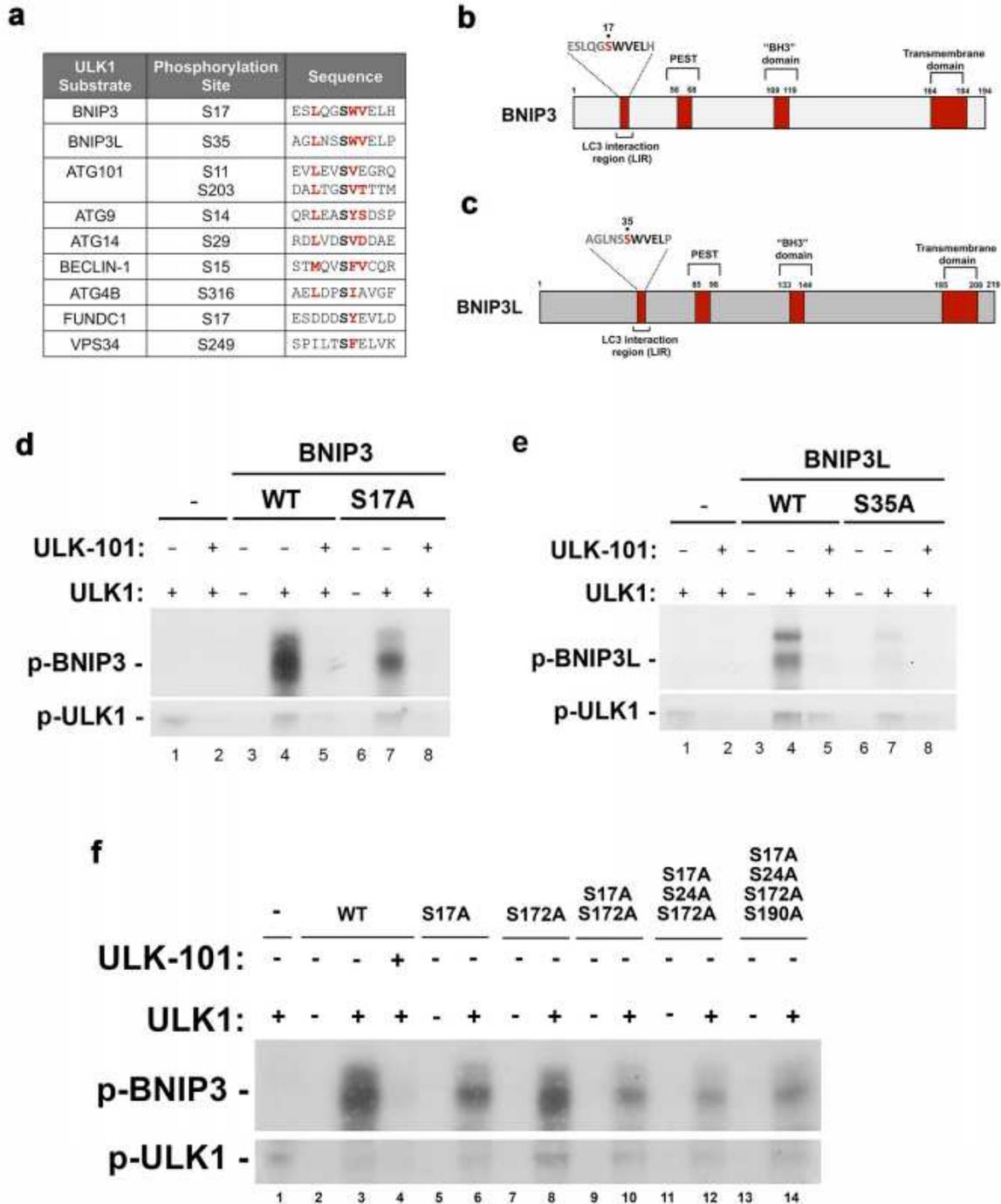


Figure 1

BNIP3 and BNIP3L are phosphorylated by ULK1 on S17 and S35 respectively. (a) Primary amino acid sequence alignment of putative ULK1 phosphorylation sites in BNIP3 and BNIP3L aligned to ULK1 phosphorylation sites in validated ULK1 substrates (ATG101, ATG9, ATG14, BECLIN1, ATG4B, FUNDC1,

VPS34). (b) Cartoon illustrating key domains in BNIP3. (c) Cartoon illustrating key domains in BNIP3L. (d) In vitro kinase assay testing the ability of recombinant ULK1 kinase to phosphorylate recombinant BNIP3 (lanes 3 – 5) or BNIP3 mutated to S17A (lanes 6 -8) in the presence or absence of ULK-101 to inhibit ULK1 kinase activity (lanes 2, 5, 8) and using ULK1 autophosphorylation as a control for ULK1 activity (lower panel). (e) In vitro kinase assay testing the ability of recombinant ULK1 kinase to phosphorylate recombinant BNIP3L (lanes 3 – 5) or BNIP3L mutated to S35A (lanes 6 -8) in the presence or absence of ULK-101 to inhibit ULK1 kinase activity (lanes 2, 5, 8) and using ULK1 autophosphorylation as a control for ULK1 activity (lower panel). (f) In vitro kinase assay testing the ability of recombinant ULK1 kinase to phosphorylate different mutant forms of BNIP3.

## Figure 2

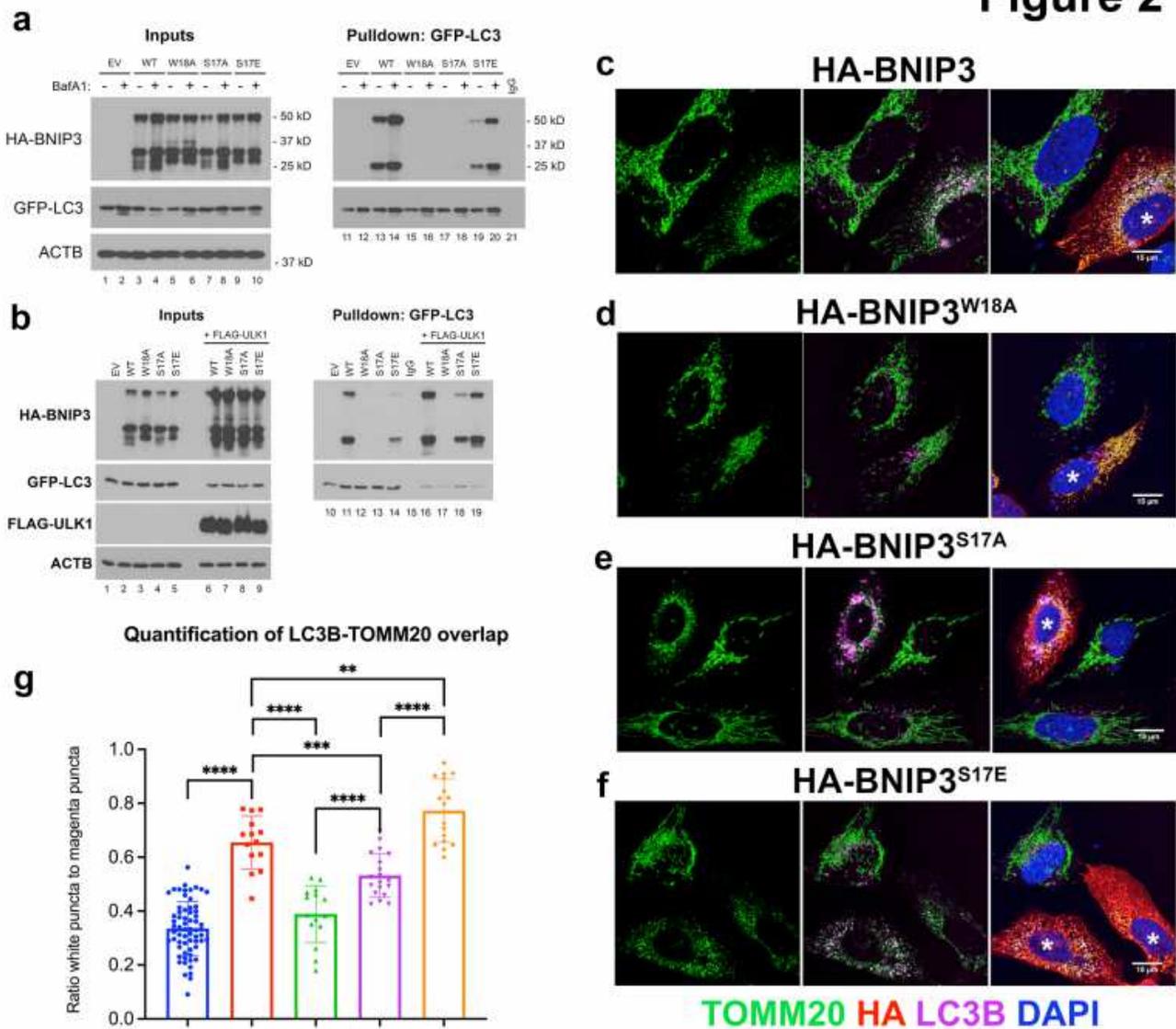
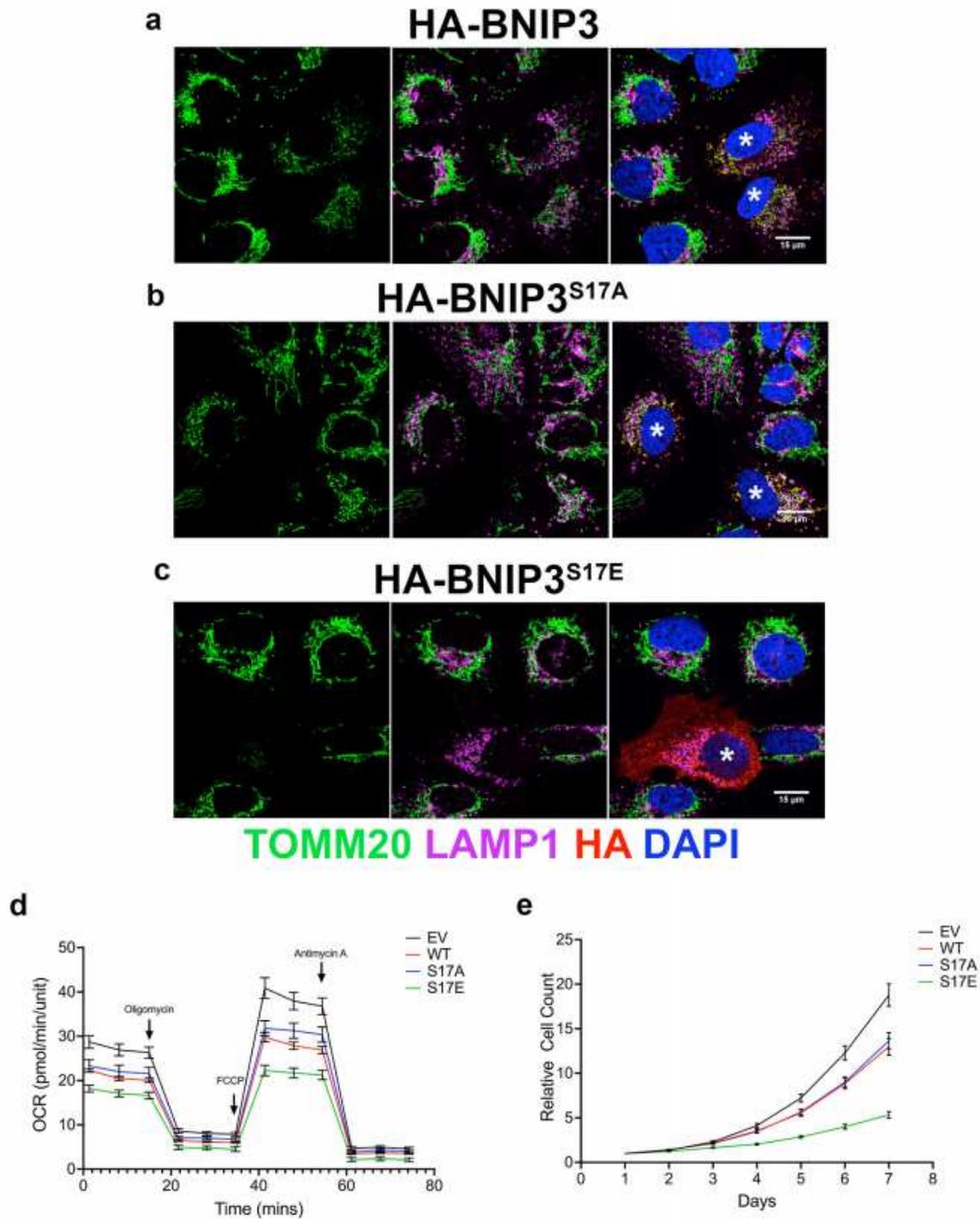


Figure 2

Mutation of S17 in BNIP3 modulates its LC3B interaction and mitophagy. (a) Pulldown of GFP-LC3 stably expressed in HEK-293T cells with transiently expressed HA-BNIP3 (WT) and different HA-BNIP3 mutants

(W18A, S17A, S17E) or empty vector (EV) control, in the presence or absence of 100 nM bafilomycin A1. Inputs to the pulldown are shown on the left and the result of the pulldown on the right. (b) Pulldown of GFP-LC3 with HA-BNIP3, as described in (a), in the presence (lanes 6 - 9, 16 - 19) or absence (lanes 1- 5, 10 - 15) of exogenous FLAG-ULK1. (c - f) Immunofluorescent staining for TOMM20 (green, mitochondria), LC3B (magenta, autophagosomes), HA-BNIP3 (red) and DAPI (blue) in U2OS cells transiently expressing HA-BNIP3 (c), HA-BNIP3W18A (d), HA-BNIP3S17A (e) or HA-BNIP3S17E 640 (f). Cells expressing exogenous HA-BNIP3 are asterisked (\*) and LC3B/TOMM20 overlap is detected as white puncta (green and magenta overlap). (g) Quantification of LC3B/TOMM20 overlap for each of the different forms of BNIP3 compared to cells not expressing BNIP3.

**Figure 3**

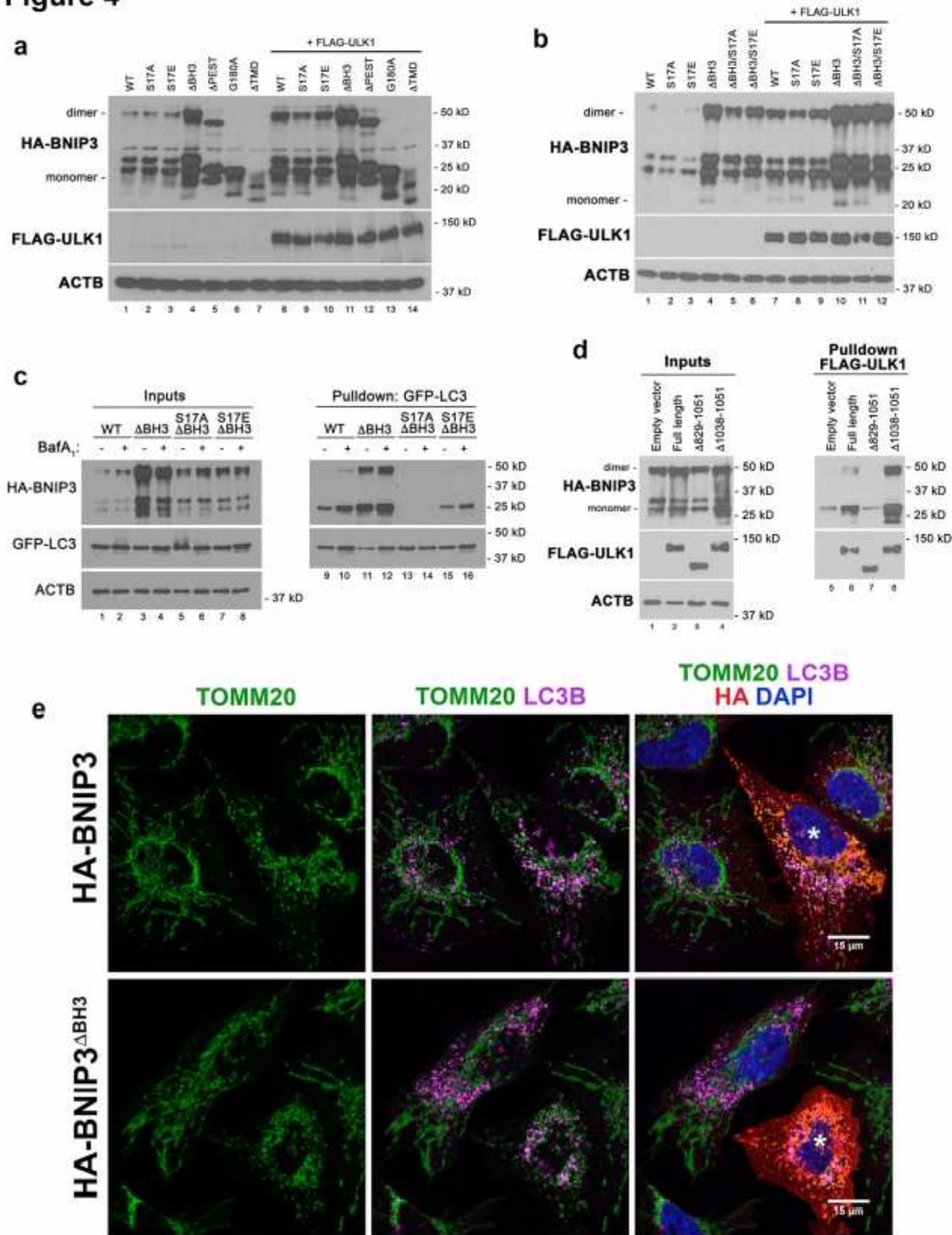


**Figure 3**

Phosphorylation of S17 promotes mitophagy, reduces oxygen consumption and decreases cell growth. (a-c) Immunofluorescent staining for TOMM20 (green, mitochondria), LAMP1 (magenta, lysosomes), HA-BNIP3 (red) and DAPI (blue) in U2OS cells transiently expressing HA-BNIP3 (a), HA-BNIP3S17A (b) or HA-BNIP3S17E (c). Cells expressing exogenous HA-BNIP3 are asterisked (\*) and LAMP1/TOMM20 overlap is detected as white puncta (green and magenta overlap). (d) Oxygen consumption rate (OCR) of U2OS cells

stably expressing HA-BNIP3, HA-BNIP3S17A or HA-BNIP3S17E compared to empty vector control (EV). (e) Growth rate of U2OS cells stably expressing HA-BNIP3, HA-BNIP3S17A or HA-BNIP3S17E compared to empty vector control (EV).

**Figure 4**

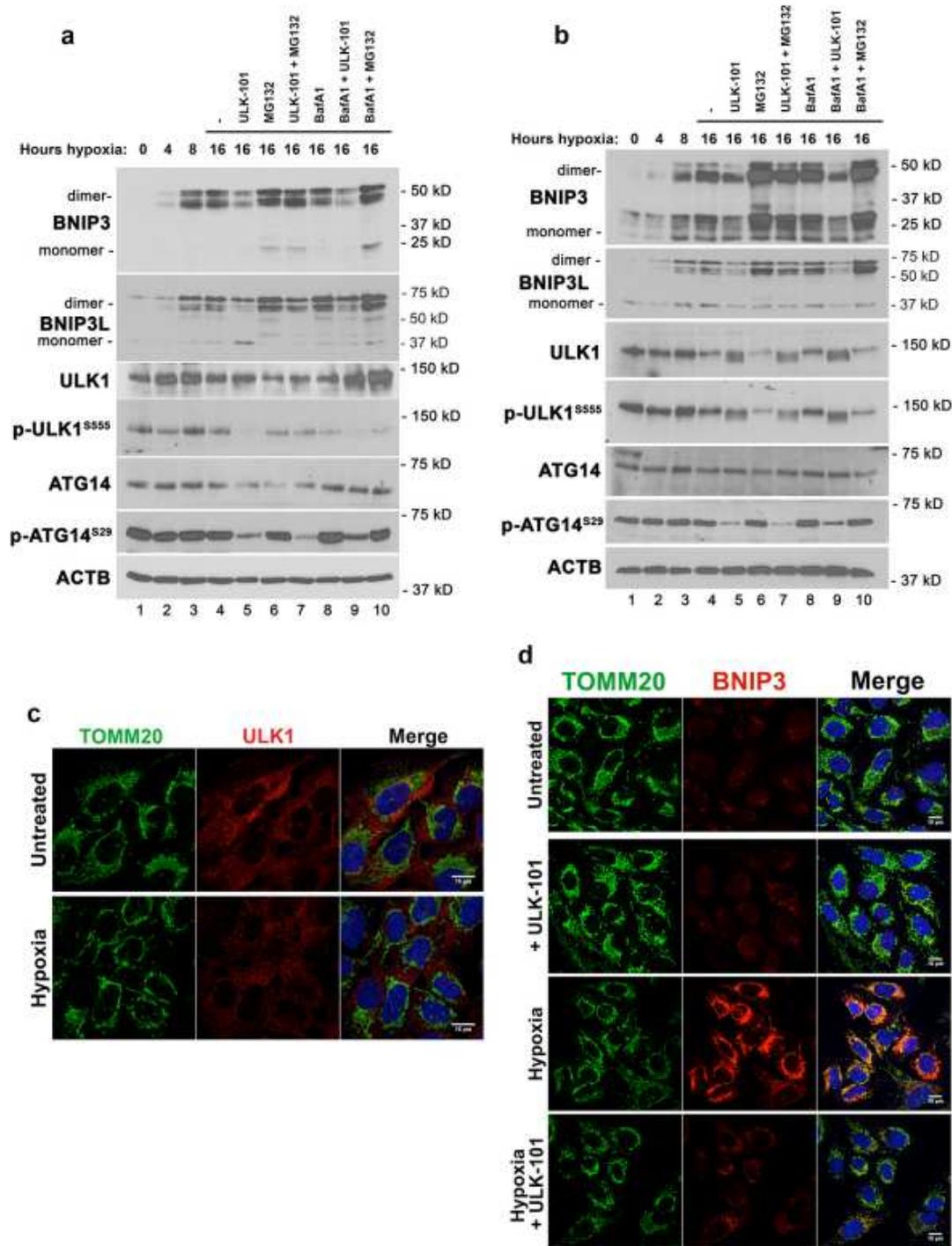


**Figure 4**

The BH3 domain promotes BNIP3 turnover in a manner inhibited by ULK1. (a) Western blot for HA-BNIP3 and different mutant forms of HA-BNIP3 expressed in HEK-293T cells in the presence (lanes 8- 14) or

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**Figure 5**

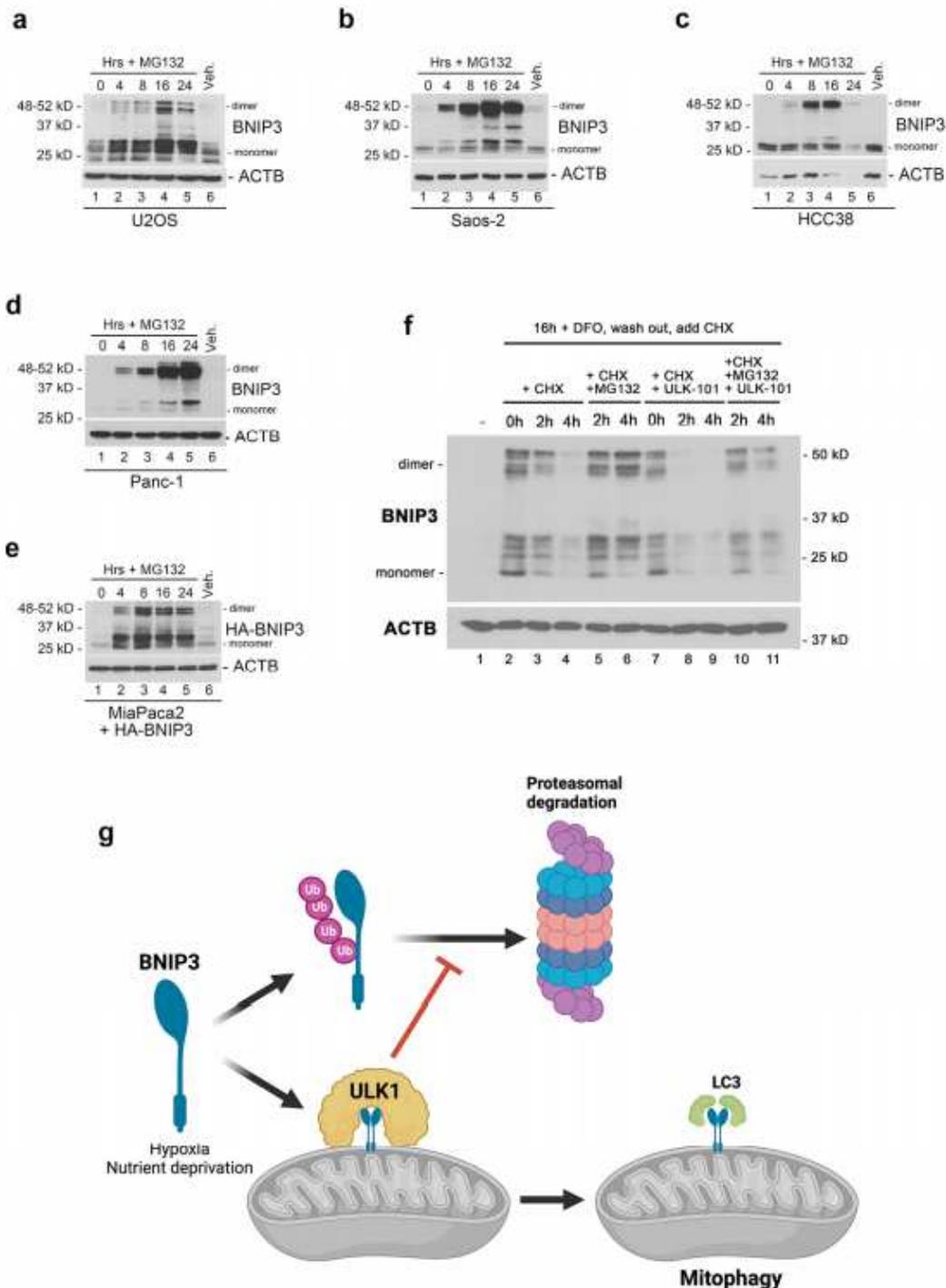


**Figure 5**

ULK1 inhibition limits BNIP3 accumulation under hypoxia. (a) Western blot for BNIP3, BNIP3L, ULK1, pS555-ULK1, ATG14, pS29-ATG14 and b-actin on. Whole cell lysates from U2OS cells exposed to hypoxia for 0, 4, 8 or 16 hours (lanes 1- 4) or for 16 hours in the presence of vehicle control and/or ULK-101, MG132 or bafilomycin A1 (lanes 6 – 10). (b) Western blot for BNIP3, BNIP3L, ULK1, pS555-ULK1, ATG14, pS29-ATG14 and b-actin on. Whole cell lysates from Saos2 cells exposed to hypoxia for 0, 4, 8 or 16

hours (lanes 1- 4) or for 16 hours in the presence of vehicle control and/or ULK-101, MG132 or bafilomycin A1 (lanes 6 – 10). (c) Immunofluorescent staining for TOMM20 (green, mitochondria), ULK1 (red, autophagosomes) and DAPI (blue) in U2OS cells at atmospheric oxygen (top panels) or 1% oxygen/hypoxia (bottom panels). (d) Immunofluorescent staining for TOMM20 (green, mitochondria), BNIP3 (red, autophagosomes) and DAPI (blue) in U2OS cells at atmospheric oxygen (Untreated), plus ULK1 inhibitor (+ ULK-101), 1% oxygen (hypoxia) or the combination of 1% oxygen plus the ULK1 inhibitor (hypoxia + ULK-101).

**Figure 6**



## Figure 6

Proteasomal degradation of BNIP3 is inhibited by ULK1 kinase activity. (a-d) Western blot for endogenous BNIP3 in U2OS (a), Saos2 (b), HCC38 (c), Panc-1 (d) cells in response to MG132 treatment for 0, 4, 8, 16, 24 hours. (e) Western blot for exogenous HA-BNIP3 in MiaPaca2 cells that are epigenetically silenced for endogenous BNIP3. (f) Western blot for endogenous BNIP3 in U2OS cells treated overnight with DFO to induce BNIP3, then washed out for DFO and immediately treated with cycloheximide (CHX) to block new protein synthesis and either MG132 to inhibit the proteasome and/or ULK-101 to inhibit ULK1 kinase activity. (g) Diagram summarizing the model for how ULK1 promotes BNIP3-dependent mitophagy – by both blocking its proteasomal turnover and phosphorylating BNIP3 to promote interaction with LC3B.