

A Protolith Reconstruction Model (PRM) for Metabasalt: Quantitative Protolith and Mass Transfer Estimation Based on Machine-learning Approach

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1 **A protolith reconstruction model (PRM) for metabasalt: Quantitative protolith and
2 mass transfer estimation based on machine-learning approach**

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15 **Abstract**

16 Mass transfer in rocks provides a direct record of fluid–rock interaction within
17 the Earth, including metamorphism, metasomatism, and hydrothermal alteration.
18 However, mass transfer analyses are usually limited to local reaction zones where the
19 protoliths are evident in outcrops (1–100 m in scale), from which regional mass transfer
20 can be only loosely constrained due to uncertainty in protolith compositions. In this study,
21 we developed protolith reconstruction models (PRMs) for metabasalt based on a machine
22 learning approach. We constructed PRMs through learning multi-element correlations
23 among basalt compositional datasets, including mid-ocean ridge, ocean island, and island
24 arc basalts. The PRMs were designed to estimate trace-element compositions from inputs
25 of 2–9 selected trace elements, and basalt trace-element compositions (e.g., Rb, Ba, U, K,
26 Pb, Sr, and rare earth elements) were estimated from only four inputs with a
27 reproducibility of $\sim 0.1 \log_{10}$ units (i.e., $\pm 25\%$). Using Th, Nb, Zr, and Ti, which are
28 typically immobile during metamorphism, as input elements, the PRM was verified by
29 applying it to seafloor altered basalt with known protoliths. When suitable immobile
30 elements are incorporated, a PRM can yield unbiased and accurate mass transfer analysis
31 of any metabasalt with unknown protolith.

32

33

34 **Background**

35 Chemical alteration of rocks, or mass transfer, provides direct evidence for fluid–
36 rock interactions within the Earth and represents various geochemical processes such as
37 seafloor alteration, subduction zone metamorphism, geothermal fluid activity, and fault
38 zone processes. Mass transfer analyses for subduction-related metamorphism reveal
39 element transport via dehydration reactions in subducting slabs^{1–3} and element cycling in
40 subduction zones^{4,5} that are chemically linked to arc basalt^{6,7}. The distribution of mass
41 transfer in a regional metamorphic belt can reveal the spatial distributions of fluid flow
42 in the crust and mantle^{8–10}. Mass transfer analyses in mineral-filled veins and fault zones
43 are related to the fluid flux¹¹, duration of fluid infiltration^{2,12,13}, and/or degree of fault
44 heating^{14,15}. Hydrothermal alteration of Archean seafloor basalt is known to be linked to
45 the chemistry of seawater^{16,17}. Therefore, analyses of mass transfer in chemically altered
46 rocks are essential to better understand fluid-related processes within the Earth and the
47 evolution of surface environments.

48 Mass transfer analyses are generally achieved by comparing the compositions of
49 protolith with those of metamorphosed/ altered rocks. Mass transfer at the outcrop scale
50 (<100 m) can be estimated by comparing compositions of altered rocks with those of
51 adjacent unaltered host rocks^{18–20}. For larger scales (i.e., comparisons of rocks from
52 various metamorphic belts), mass transfer can be qualitatively evaluated by comparing
53 chemical differences between metamorphosed rocks (i.e., metabasalt) and their likely
54 protoliths^{4,5} (i.e., mid-ocean ridge basalt or MORB). Such mass transfer analyses, which
55 compare protolith and metamorphosed/ altered rocks, have contributed to understanding
56 material recycling in subduction zones^{4,5}.

57 In many cases, information about the exact protoliths of metamorphosed/ altered

58 rocks is unavailable, except for cases where the protoliths are evident in outcrops. As the
59 compositional variations of likely protoliths (i.e., basalt and sediment) are generally
60 large^{21–24}, it is difficult to quantitatively evaluate the amount of mass transfer for each
61 sample. Recent analyses of regional metamorphic belts have also revealed that protoliths
62 of metamorphic rocks differ in their depositional ages and/or tectonic settings among
63 different units or grades of rock^{5,25,26,27,28}, suggesting that it is unrealistic to assume a
64 uniform protolith composition in regional metamorphic belts or alteration zones.
65 Therefore, to better understand quantitative mass transfer, estimation of protolith
66 compositions from individual samples is required.

67 Natural observations and experiments have revealed the tendency of mass
68 transfer to differ according to the elements, pressure, temperature, and/or fluid chemistry
69 involved. High-field-strength elements (HFSEs) generally show little mass transfer in
70 seafloor alteration^{29–31} and low solubility in typical pressure–temperature (P–T)
71 conditions of metamorphism^{32–34}, and are generally considered as “immobile”^{4,5,29–31,35}.
72 Other elements, such as large-ion lithophile elements (LILEs; e.g., Rb, Ba, and Sr), have
73 large mass transfer in seafloor alteration and high solubility in metamorphic fluids^{31–34}.
74 The mobility of these elements during metamorphism has been confirmed by various
75 mass transfer analyses of mineral veins and alteration zones^{1,3,36}. Compilations of mass
76 transfer in various metamorphic conditions and environments have suggested that the
77 mobility of HFSEs decreases roughly in the order of rare earth elements (REEs) > U >
78 Nb > Ti > Th ~ Zr for high-pressure subduction zone environments³⁵. These elements are
79 widely considered as immobile elements and are therefore used for discriminating the
80 tectonic settings of metabasalt. The general success of discrimination diagrams^{37,38}
81 suggests that these immobile elements retain protolith information, meaning that it should

82 be possible to use these elements to reconstruct protolith compositions from
83 metamorphosed/ altered rocks.

84 Advances in data science have provided tools for extracting information from
85 large numbers of multidimensional data. In particular, machine learning is an effective
86 way of recognizing complex patterns in images and extracting information from
87 multidimensional table data. The recent increase in data held in geochemical
88 compositional databases (e.g., PetDB and GeoRock) has allowed machine learning to
89 become established in its application to research problems in geochemistry. For example,
90 machine learning has been successfully applied to discriminate tectonic settings of basalt
91 from chemical compositions²⁴, and classify metamorphic protoliths from major
92 elements³⁹. However, previous applications of machine-learning to geochemical data
93 have been limited mainly to classification problems and have not dealt with regression
94 problems (i.e., predicting quantitative chemistry). For quantitative mass transfer/protolith
95 analyses, a new quantitative and predictive machine-learning scheme for geochemical
96 data is needed.

97 In this study, we develop protolith reconstruction models (PRMs) that estimate
98 protolith compositions of metabasalt using machine learning. First, using a basalt whole-
99 rock compositional dataset, we develop empirical models that learn multi-elemental
100 correlations among the dataset and then estimate trace-element compositions of basalt
101 based on the contents of a few (two to nine) elements. The numbers and combinations of
102 input elements are optimized to precisely predict the output contents. Results show that
103 basalt trace-element contents (i.e., Rb, Ba, U, K, Pb, Sr, and REEs) can be estimated from
104 only four element contents (i.e., Th, Nb, Zr, and Ti). Finally, we apply the selected four-
105 element PRM to seafloor altered basalt and metabasalt, and demonstrate the validity of

106 the model and examples of mass transfer analyses for metamorphic rocks.

107

108 **Model description**

109 PRMs were developed through machine learning of a compositional dataset of
110 basalt. The empirical models were designed to estimate contents of a particular trace
111 element as an output from between two and nine HFSE contents as inputs (Fig. 1). Basalt
112 compositional data were taken from the PetDB database (<https://search.earthchem.org/>).

113 Trace-element contents of 16 elements (Rb, Ba, U, K, La, Ce, Pb, Sr, Nd, Y, Yb, Lu, Zr,
114 Th, Ti, and Nb) from a total of 8253 basalt samples were compiled, including data for
115 mid-ocean ridge basalt (MORB), ocean island basalt (OIB), and island arc basalt (IAB)
116 as potential protoliths of metabasalt. The distribution of compositional data for these
117 basalts varies depending on the elements, with Th and Ba having relatively high
118 correlations, Zr and Y having variable correlations depending on the type of basalt, and
119 Nb and Sr having low correlations (Fig. 1a–c). These data distributions suggest non-linear
120 and multidimensional relationships among the contents of these 16 elements.

121 PRMs were constructed with the machine learning algorithm of the gradient
122 boosting decision tree (GBDT), with separate training/test data being used to evaluate the
123 model (Fig. 1d). The GBDT is one of several decision tree algorithms that are capable of
124 fitting complex datasets (i.e., non-linear structural data) and which perform with high
125 accuracy⁴⁰. The models were evaluated by the root mean squared error (RMSE) in log
126 space between the estimated output and the measured data:

$$127 E_i = \frac{1}{N} \sum_j^{sample} \sqrt{(\log_{10} y_{i,j}^{estimated} - \log_{10} y_{i,j}^{test})^2} \quad (1)$$

128 where E_i is the RMSE for element i , N is the number of samples, $y_{i,j}^{estimated}$ is the estimated
129 content of element i in sample j , and $y_{i,j}^{test}$ is the measured content of element i in sample

130 *j.*

131 The elements used as input and output elements were determined from the degree
132 of mass transfer reported in previous studies; LILEs are mobile during fluid activity in
133 subduction zones, contact metamorphism, and seafloor alteration, whereas HFSEs are
134 immobile during fluid activity^{29–31,33–35}. The order of mobility of HFSEs is REEs > U >
135 Nb > Ti > Th ~ Zr, as determined from observations of natural metamorphic rocks and
136 experiments on metamorphic conditions³⁵. For this reason, combinations of 2–9 elements
137 from Zr, Th, Ti, Nb, La, Ce, Nd, Yb, and Lu were selected as input elements, and Rb, Ba,
138 U, K, La, Ce, Pb, Sr, Nd, Y, Yb, Lu, Zr, Th, Ti, and Nb were selected as output elements.
139 Elements used as output elements were excluded from input elements. For each model
140 (combinations of particular input elements and an output element), basalt compositional
141 data were chosen to ensure that there were no missing values for input and output
142 elements in the utilized dataset (typically 3000–5000 samples).

143

144 **Model dependence on input elements**

145 We firstly selected the numbers and combinations of input elements to estimate
146 basalt composition. Machine-learning models were constructed for each combination of
147 input and output elements (e.g., input: Th, Nb, and Zr; output: Rb). Therefore, the number
148 of possible combinations of the input elements is $2^9 - 1 = 511$. As each machine-learning
149 model was developed for each output element independently, 5872 machine learning
150 models were developed in total.

151 Examples of estimated compositions for a specific basalt sample are shown for
152 different sets of input elements in Figure 2a, b, and c. The reproducibility of the estimation
153 is dependent largely on input elements. For example, in the case of the input elements

154 being Yb and Lu, the reproducibility (i.e., the difference between the actual and estimated
155 compositions) for each element is large (Fig. 2a; i.e., >1 in \log_{10} units); in contrast, when
156 the input elements are Th and Ti, or Nd, Ti, Yb and Lu, the reproducibility for each
157 element is greatly improved and is <0.2 in \log_{10} units (Fig. 2b and c). Consequently, this
158 dependence of reproducibility on input elements indicates that the numbers and
159 combinations of elements affect the estimation of composition.

160 Effects of input elements were evaluated by taking averages of RMSE scores.
161 Figure 2d shows the average RMSE scores of all output elements for each combination
162 of input elements (511 cases; best model score of Zr, Th, Nb, La, and Yb = 0.089; worst
163 model score of Lu = 0.32). The top 12% of models all include Th, and 18% of models
164 include Nb. Figure 2e shows average RMSE scores for all of the models classed by the
165 number of input elements. For the case where the number of input elements is more than
166 four, the averaged RMSE scores converge around 0.11 (0.115 for four input elements and
167 0.110 for five input elements). The effect of each input element was evaluated by taking
168 the average of all of the models containing a particular element as inputs (Fig. 2f). Models
169 using Th and Nb as inputs have slightly lower average scores than the other models. These
170 results indicate that the trace-element composition of basalt can be suitably estimated
171 from only four (or five) input elements. The RMSE score of all output elements does not
172 change substantially with the combinations of input elements.

173 The top 37% of models fall within the range of $\text{RMSE} \leq 0.11$. The three best
174 models consist of five input elements: Th, Nb, La, Zr, and Yb ($\text{RMSE} = 0.089$); Th, Nb,
175 La, Nd, and Lu ($\text{RMSE} = 0.091$); and Th, Nb, La, Zr, and Lu ($\text{RMSE} = 0.092$). Among
176 the models with four input elements, the best combinations are Th, Nb, La, and Y (RMSE
177 = 0.092), Th, Nb, La, and Lu ($\text{RMSE} = 0.092$), and Th, Nb, Ce, Yb ($\text{RMSE} = 0.093$). The

178 top 37% of models (189 combinations of input elements) have almost identical RMSE
179 values (0.09–0.11), or reproducibilities of ± 0.09 –0.11 in \log_{10} units, or $\pm 24\%$ –28%.

180 The performance of a particular output element improves in cases where input
181 elements have similar incompatibility to that of the target element. For example, the
182 RMSE of Ce is improved when the input combinations include La and Nd
183 (Supplementary Fig. 1). The dependence of RMSE on input elements indicates that input
184 elements with closer compatibility to that of the output element contain more identifying
185 information on protolith composition. For example, the RMSE of Ce gradually improves
186 when the input elements have closer compatibility with Ce⁴¹. As a whole, to improve the
187 overall estimation, it is necessary to choose input elements that have a wide range of
188 incompatibility when combined.

189 The constructed models for estimating basalt composition can be used to
190 reconstruct the protolith composition of metamorphosed or altered basaltic rocks.
191 Assuming that the contents of immobile elements in metabasalt are identical to those of
192 its protolith, these contents can be assigned as input elemental contents of PRMs (Fig.
193 1d). Then, the amounts of transfer of the other elements (mobile elements during
194 metamorphism or alteration) can be obtained by comparing their observed and predicted
195 contents. It is noted that elements that are immobile during alteration or metamorphism
196 may vary from case to case³⁵; as such, users can choose PRMs with other input
197 combinations by selecting the appropriate four to five immobile elements for the
198 geochemical system of interest.

199

200 **PRM reproducibility using the example of models incorporating Th, Nb, Zr, and Ti**

201 In the following application to metabasalt, the combination of the four elements

202 of Th, Nb, Zr, and Ti was chosen as the input of the PRM, as these elements are the most
203 immobile elements from both natural observations and experiments^{32–35} and have a wide
204 variety of compatibility⁴¹. The PRM was constructed by using ~3000 basalt samples (i.e.,
205 data containing all of the input elements and an output element) and can estimate protolith
206 compositions with an RMSE of ~0.1 (i.e., ±25%; Fig. 2d).

207 We applied the PRM using Th, Nb, Zr, and Ti as input elements to the test data
208 of the compositional dataset for basalt. The estimated contents show largely linear
209 relationships with the raw (measured) contents in log–log space, with a slope of 1.0 (Fig.
210 3). These results show that the PRM closely reproduces individual elements through a
211 wide range of their contents. Scatter plots of La, Ce, Nd, Y, Yb, and Lu show relatively
212 small deviations from the 1:1 line and show almost no dependence on tectonic setting. In
213 comparison, distributions of Rb, Ba, U, K, Pb, and Sr have relatively large dispersions.
214 In particular, dispersions of Rb and K are apparent for low contents of elements. These
215 results also affect the distribution of reproducibility of each element (Fig. S2). The
216 reproducibility of Rb, U, K, Pb, and Sr differs according to tectonic setting, with the other
217 elements showing no or only slight dependence on tectonic setting. The distributions of
218 reproducibility for MORB have a wider range than those for OIB and IAB for Rb, and U,
219 whereas those for IAB are slightly wider than those for MORB and/or OIB for Ce, Sr,
220 and Nd.

221 One explanation for the dependence of element content on tectonic setting is the
222 analytical detection limit. In particular, the raw data for K have identical values for
223 samples with low contents ($\leq 10^3$ ppm), and the reproducibility of such data are large,
224 probably because of the detection limit of K in X-ray fluorescence (XRF) analyses and/or
225 the resolution of the original dataset (i.e., ~0.1 wt.%). An alternative explanation is

226 seafloor alteration, for which Rb, Ba, U, K, Pb, and Sr are mobile^{30,31,42}. Some samples
227 of MORB and IAB might have already undergone mass transfer by hydrothermal
228 alteration because parts of these were collected from the ocean seafloor, with the sample
229 data being correspondingly affected. It is likely that some of the “fresh” basalts in the
230 training data have been affected by the detection limit and/or seafloor alteration,
231 contributing to enlargement of the reproducibility of models; the estimation of Rb, Ba, U,
232 K, and Pb can be potentially changed by removing such alteration-affected data.

233 Examples of PRM estimation for each tectonic setting are presented in Figure 4.
234 These estimations were derived by models incorporating only Th, Nb, Zr, and Ti. The
235 varied compositional patterns of different tectonic settings can be reasonably estimated
236 from these four input elements only, within a reproducibility of ±25%.

237

238 **Application to seafloor altered basalt: validation of the PRM**

239 To validate the PRM-reconstructed compositions, we applied the four-element
240 PRM incorporating Th, Nb, Zr, and Ti to seafloor altered basalt, whose protolith
241 composition has been already estimated from fresh volcanic glass³¹. The reconstructed
242 protolith compositions were compared with the volcanic glass compositions identified as
243 protolith³¹.

244 Altered-sample compositions were derived from Ocean Drilling Program (ODP)
245 Site 801³¹ (<http://www-odp.tamu.edu/>). ODP Site 801 is located in 170 Ma crust to the
246 east of Mariana Island in the Pacific plate. Altered minerals are commonly composed of
247 saponite and calcite. PRM was applied to samples 801-MORB-110-222_ALL and 801C
248 Super, which are characterized by enrichment in Rb, U, K, and Li.

249 The PRM was used to reconstruct protolith compositions from altered basalt.

250 The PRM-based primitive-mantle-normalized protolith compositions have smooth
251 patterns, and elements with higher compatibility have higher contents⁴¹ (Fig. 5a and c).
252 These PRM-based compositions are within the range of protolith compositions estimated
253 from fresh glass. Protolith composition can be accurately reconstructed from seafloor
254 basalt.

255 Furthermore, mass mobility (i.e., the ratio of element content in the altered
256 sample to that in the protolith) was calculated from the estimated protolith composition
257 and altered sample composition (Fig. 5b and d). Compared with previous estimates of
258 mobility³¹, results from the PRM show an accurate estimation of mass mobility, ensuring
259 the accurate reconstruction of protolith composition from altered or mass-transferred
260 samples within the uncertainty of the estimation (± 0.1 in \log_{10} units or $\pm 25\%$). The PRM
261 can therefore reconstruct protolith composition for metabasalt.

262

263 **Application to metabasalt: An example of metamorphic mass transfer analysis using 264 a PRM**

265 We also applied the PRM incorporating Th, Nb, Zr, and Ti as inputs to an eclogite
266 sample (Z139-6) obtained from central Zambia within the Zambezi belt, part of the Pan-
267 African orogenic system between the Conga and Kalahari cratons⁵. Peak metamorphic
268 conditions have been estimated as 2.6–2.8 GPa and 630–690 °C⁴³. The sample is
269 porphyroblastic eclogite composed of omphacite, garnet, kyanite, and quartz that has
270 replaced plagioclase. The sample shows no evidence of prograde blueschist- or
271 amphibolite-facies metamorphism but displays evidence of direct eclogitization from
272 gabbroic assemblages. Reaction textures and chemical analyses have revealed that this
273 sample records prograde eclogitization and mass transfer influenced by fluid derived from

274 the serpentinized lithospheric mantle of a subducting slab⁵. On the basis of comparisons
275 with empirically determined likely protolith composition, the fluid is inferred to have
276 been strongly undersaturated in light REEs (LREEs) and LILEs⁵. We applied the PRM to
277 sample Z139-6, which is characterized by depletion in Rb, Ba, La, Ce, Sr, and Nd.

278 The reconstructed primitive-mantle-normalized protolith composition shows
279 that elements with higher compatibility have higher contents (Fig. 5e). Compared with its
280 protolith, the eclogite is depleted in LREEs (La, Ce, and Nd) and LILEs (Rb, Ba, and Sr),
281 with LREEs and Sr decreased by about 95%, and Rb and Ba decreased by 60% and 50%,
282 respectively (Fig. 5f). In addition, U, and heavy REEs (HREEs) do not show mass transfer.
283 This chemical pattern of protolith composition and element mobility is consistent with
284 the empirically estimated protolith composition and mass transfer⁵. These results suggest
285 that the PRM can accurately reconstruct protolith compositions from metamorphic rock
286 geochemistry.

287

288 **Implications of PRM-based estimates of mass transfer**

289 The mass transfer estimated using a PRM is an integral value between fresh
290 basalt and an altered sample. In the case where an analyzed sample has undergone
291 regional metamorphism, this value includes the mass transfer that occurred during
292 seafloor alteration, prograde metamorphism, and/or retrograde metamorphism. By
293 utilizing multi-elemental mass transfer data as well as petrological indexes such as
294 reaction extent, these complex mass transfers can be assigned to each process; a
295 comparison of PRM-based mass transfer with the degree of alteration or retrogression
296 can reveal element transport at a particular stage of alteration or retrogression.

297 A PRM can reconstruct protolith compositions from samples in which mass

298 transfer has occurred and for which the protoliths are unknown. For example, in previous
299 studies, quantitative analyses of mass transfer during metamorphism and metasomatism
300 have usually been limited to a scale of <10 m, where protolith homogeneity can be
301 assumed^{1,3}. Provided that the distribution of data is retained within training data (i.e.,
302 mafic rocks with either a MORB, OIB, or IAB origin), the mass transfer can be estimated
303 by a PRM for individual samples independently, and thus their spatial variation provides
304 important information for constraining the regional-scale (i.e., >1 km) mass transfer, even
305 if the protolith compositions are heterogeneous. The PRMs utilized in this study allow
306 analysis of various types of sample that have undergone mass transfer (e.g., seafloor
307 altered basalt or contact metamorphic rock) with incorporation of appropriate immobile
308 elements. Immobile elements used for PRM inputs can be selected from 511 combinations
309 of 9 elements according to petrological and geochemical observations.

310 In cases where protoliths are unknown, conventional mass transfer analyses have
311 relied on the experience and intuition of the trained geochemist, including empirical
312 fitting or assuming a suitably representative basalt as the protolith, such as MORB or OIB.
313 “Anomalies” on normalized multi-elemental variation diagrams (i.e., spidergrams) are
314 considered to show mobile elements. In contrast, the data-driven approach of the present
315 study is applicable to investigating heterogeneities of protolith compositions and provides
316 a less biased and more accurate estimation of metamorphic mass transfer for independent
317 samples. Such a data-driven method is suitable for quantitative mass transfer analysis,
318 especially in cases where protoliths are unknown and/or when there is a need to analyze
319 mass transfer from a compiled dataset with samples from various tectonic origins.

320

321 **Conclusion**

322 In this study, we developed protolith reconstruction models (PRMs) for
323 metabasalt, using machine-learning with large numbers of basalt compositional data. The
324 best PRMs can estimate trace-element contents of basalt with an error of around ± 0.1 in
325 \log_{10} units or $\pm 25\%$ incorporating only four or five input element contents. Using
326 immobile elements as input elements, a four-element PRM was used to estimate protolith
327 compositions of metabasalt. Application to seafloor altered basalt and eclogite verified
328 the accuracy of protolith reconstruction within reasonable uncertainty of the estimation
329 (0.1 in \log_{10} units or 25%). This machine-learning-based method enabled an analysis of
330 mass transfer of metabasalt with unknown protolith and can be applied to regional
331 metamorphic belts or alteration zones where the protolith is heterogeneous.

332

333

334

335

336 **Method**

337 PRMs were constructed using the machine learning algorithm of the gradient
338 boosting decision tree, specifically, the LightGBM algorithm. To improve empirical
339 model reproducibility, hyperparameters of LightGBM were automatically tuned through
340 Bayesian optimization by using a partial training dataset. Partial training datasets for
341 hyperparameter tuning were prepared by K-fold cross validation, which enabled us to use
342 all training data for constructing the PRMs. Details of the machine-learning calibrations
343 for PRMs are described below.

344

345 **Gradient boosting decision tree (LightGBM)**

346 Gradient boosting decision tree (GBDT) is a supervised machine-learning
347 method from which prediction models can be constructed from multidimensional data
348 and used to solve classification and regression problems⁴⁴. In the field of geochemistry,
349 this machine-learning method has been applied to extract information, discriminate
350 classes, and predict values; for example, to discriminate and extract characteristics from
351 a volcanic rock dataset of eight different tectonic settings²⁴, classify metamorphic
352 protolith(s) from the major element contents of a rock³⁹, and complement geochemical
353 mapping for improvement of accuracy and interpretation⁴⁵.

354 Both random forests and GBDT have been proposed as explainable models with
355 high accuracy. GBDT is an ensemble method that combines multiple decision trees to
356 build a powerful model. In the GBDT method, decision trees are built one after another
357 in such a way that the next decision tree corrects the errors of the previous one⁴⁰. The
358 development of GDBT has allowed various algorithms such as Xgboost⁴⁶ and Catboost⁴⁷
359 to be proposed, of which LightGBM is an algorithm with fast calculation time and high

360 accuracy⁴⁸. For this reason, LightGBM was used as the machine-learning algorithm and
361 for constructing models to predict element contents.

362

363 **Tuning hyperparameters**

364 LightGBM is a decision-tree-based nonparametric model. A nonparametric
365 model has higher degrees of freedom compared with a linear model because of the fewer
366 assumptions needed regarding the training data. However, the flexibility of a decision tree
367 model makes it easier to overfit the training data. To solve this overfitting problem, each
368 model has hyperparameters to restrict the degrees of freedom. Given that appropriate
369 values can be assigned depending on the structure and number of dimensions of datasets,
370 the hyperparameters need to be selected.

371 To choose appropriate hyperparameters, we used Bayesian optimization to tune
372 them automatically for the dataset. Bayesian optimization is a method that uses the
373 framework of Bayesian probability to select the next parameter to be explored based on
374 the history of previously calculated parameters⁴⁹. In this study, Optuna was used as the
375 optimization software⁵⁰, with a part of the data being used as the validation for
376 hyperparameter tuning by Bayesian optimization. The number of hyperparameter
377 searches was set to 50. The tuned hyperparameters were as follows:

378 num_leaves: the maximum number of leaves in one tree;

379 max_depth: limit the depth for the tree model. This can be used to deal with over-
380 fitting; and

381 min_data_in_leaf: the minimum number of data in one leaf.

382 These three parameters are specified in the official LightGBM documentation as the first
383 to be tuned. The other parameters are set with default values.

384

385 **Model construction**

386 ***K-fold cross-validation***

387 Data with no missing values in the input and output elements were extracted
388 from the basalt compositional dataset and divided into training or test data. One-fifth of
389 the data were used as test data to evaluate the accuracy of model, and the remaining data
390 were used as training data to construct machine-learning models.

391 K-fold cross-validation is a way of evaluating the effects of tuning
392 hyperparameters and to prevent a reduction in the number of available data (Fig. S3). The
393 training data are randomly split into K distinct subsets. $K - 1$ subsets are assigned for
394 training the model, and the other subset is used for evaluating the hyperparameters (i.e.,
395 validation data). By changing the subsets used for training and validation, the model is
396 evaluated K times (i.e., K folds)⁴⁰. The average RMSE obtained from all folds is used for
397 hyperparameter tuning by Bayesian optimization. In this study, we constructed a 4-fold
398 cross validation. The reproducibility of the model was evaluated by using the test data
399 (which are independent from the training and validation data used for hyperparameter
400 tuning).

401

402 ***Preprocessing of each set of compositional data and Bayesian optimization***

403 To improve the estimation error, input variables are transformed to ratios and
404 products, along with dimensional compression, with a search for the best data
405 representation (i.e., feature engineering). Feature engineering is a common technique for
406 constructing machine-learning models⁴⁰. In this study, data were transformed as ratios
407 and products of contents between two arbitrary elements, and scores of Principal

408 Component Analysis (PCA) and Independent Component Analysis (ICA) were calculated
409 from log-transformed datasets for the training data of each fold. The validation and test
410 data of each fold were also transformed using the same procedures, and their PCA and
411 ICA scores were calculated by projecting the validation/test data onto the PCA/ICA
412 eigenvectors of the training data. All of the measured content data, products, ratios, and
413 PCA/ICA scores were used as preprocessed data for training and estimation of the
414 machine learning models.

415 Preprocessed training data were used to construct machine-learning models, and
416 we applied the models to preprocessed validation data to evaluate the reproducibility by
417 RMSE (Fig. S3). Based on the averages of the obtained RMSE, Bayesian optimization
418 software (Optuna) performed to tune the model's hyperparameters. We repeated model
419 construction and evaluation 50 times to find the appropriate hyperparameters for each set
420 of compositional data.

421

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576

577 **Author contributions**

578 S.M. designed and coded machine-learning algorithms. M.U. designed the research
579 strategy. A.O. and N.T. critically discussed the research strategy and outcomes. All of the
580 authors discussed the results and commented on the manuscript. All authors read and
581 approved the final manuscript.

582

583 **Competing of interests**

584 The authors declare that they have no competing interests.

585

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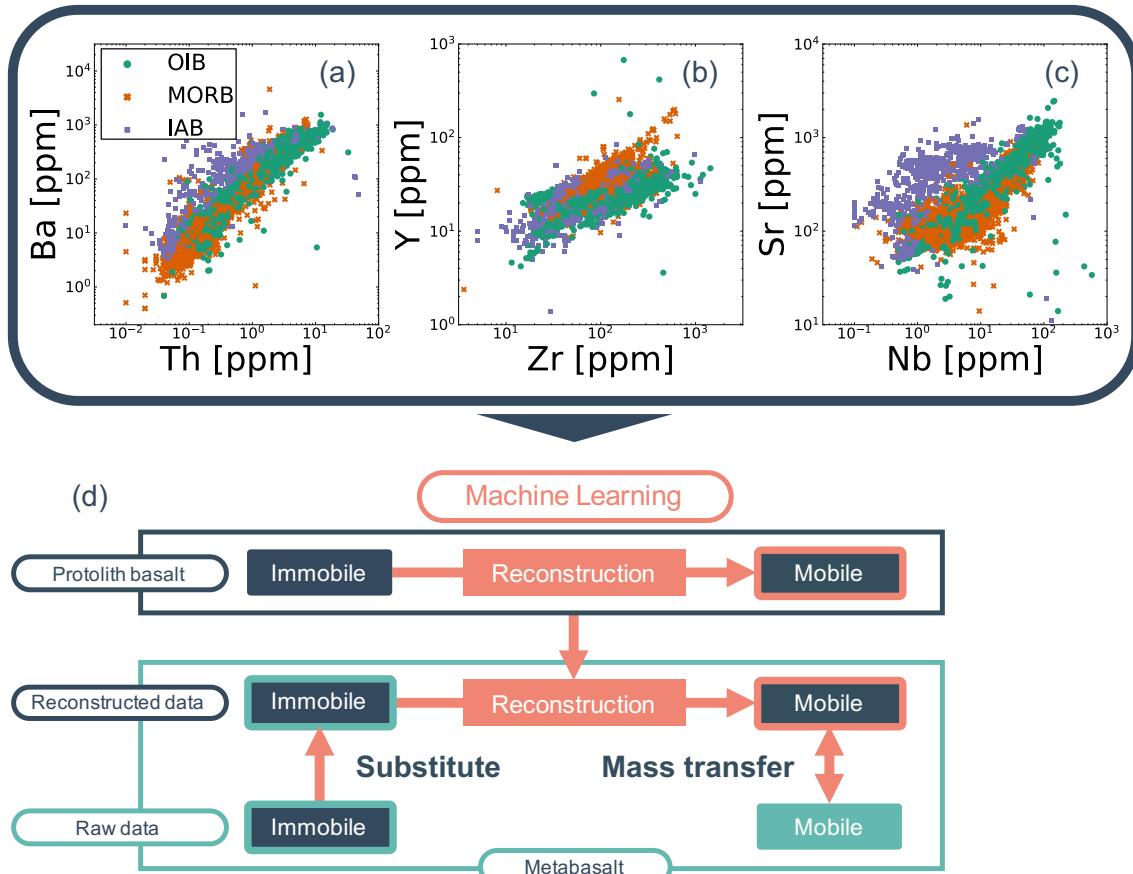
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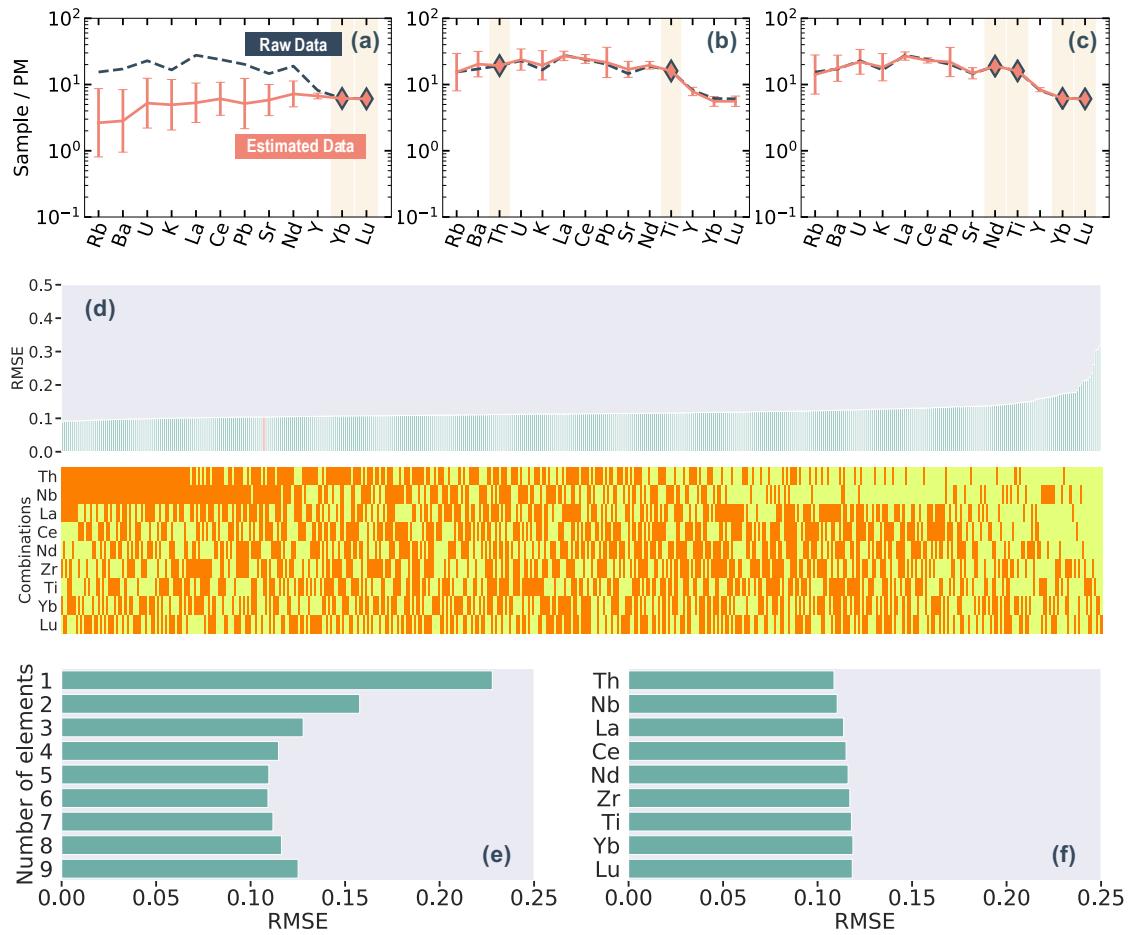
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595 **Figures**

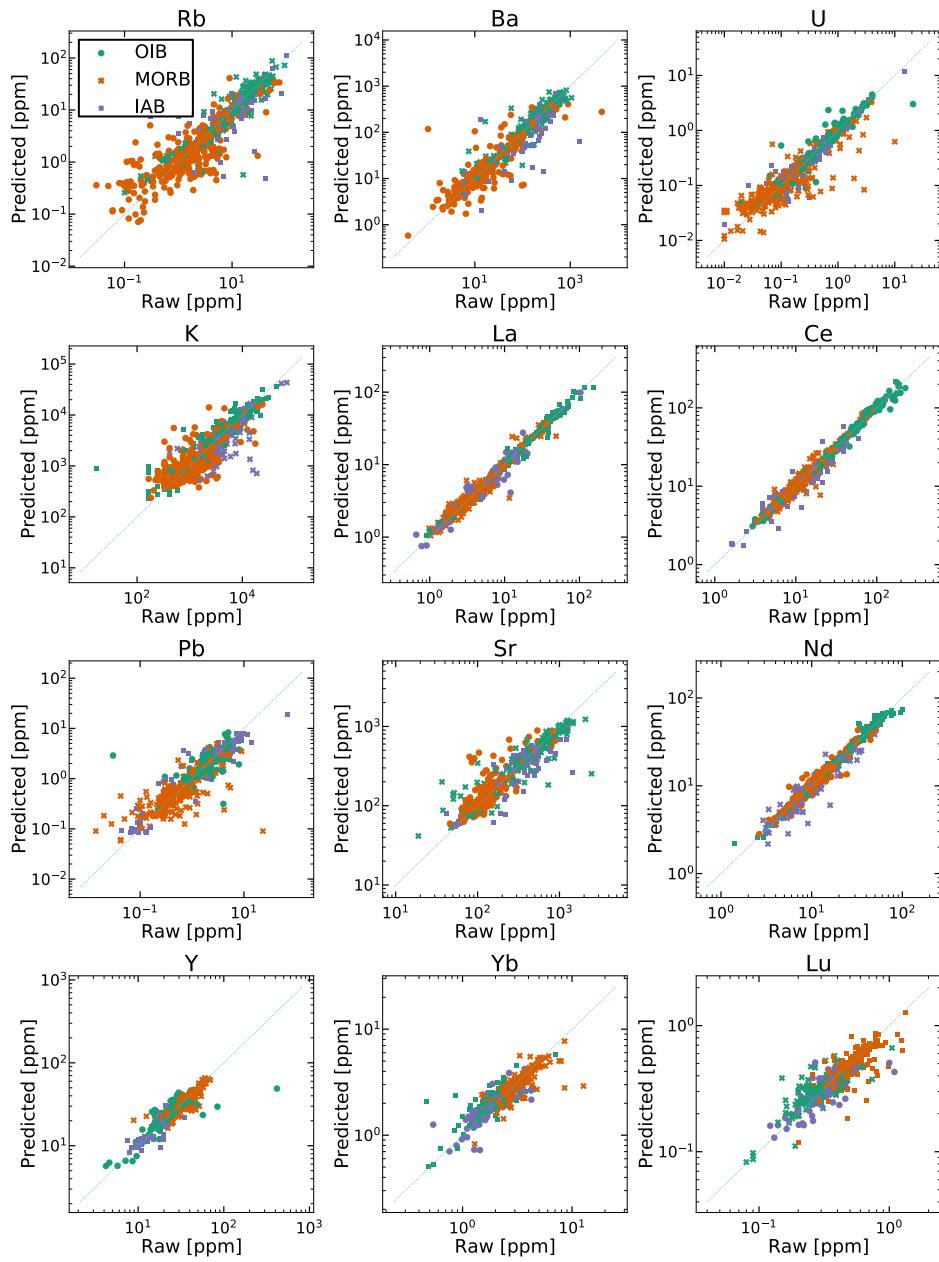
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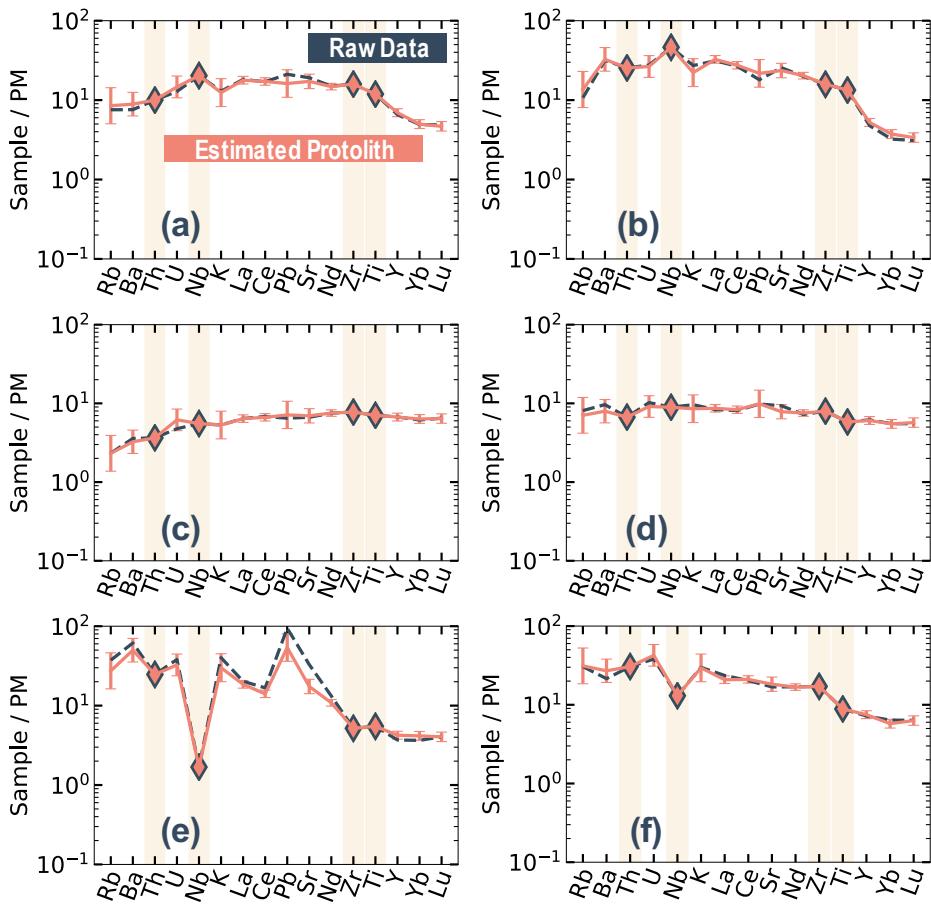
598 **Figure 1.** Distribution of the compositional dataset used in this study (compiled from the
 599 geochemical database at <https://search.earthchem.org/>). **(a)** Th and Ba, **(b)** Zr and Y, and
 600 **(c)** Nb and Sr. **(d)** Schematic overview of protolith reconstruction models (PRMs).
 601 Empirical models were calibrated by the protolith (basalt) compositional dataset and
 602 applied to metabasalt compositions. Assuming that the contents of immobile elements in
 603 metabasalt are identical to those in protolith basalt, these contents can be assigned as
 604 inputs and used to obtain protolith compositions.



605
606 **Figure 2.** (a–c) Estimated primitive-mantle-normalized contents of basalt. Pink diamonds
607 indicate the input contents. Predicted data were obtained from the input contents of (a)
608 Yb and Lu; (b) Th and Ti; and (c) Nd, Ti, Yb, and Lu. Raw basalt compositional data are
609 shown as a dashed dark-blue line, and estimated basalt compositional data are shown as
610 a pink line. Compositions of the primitive mantle are from Sun and McDonough (1989).
611 (d) Average RMSE scores of all output elements for each combination of input elements
612 (511 cases), and combinations of input elements for each model shown in below. In the
613 upper plot, the red line indicates the input combination of Th, Nb, Zr, and Ti. In the lower
614 plot, the orange elements are used in combinations, and yellow elements are not used. (e)
615 Average RMSE scores for all of the models using a particular number of input elements.
616 (f) Average of all of the models containing a particular element as an input.



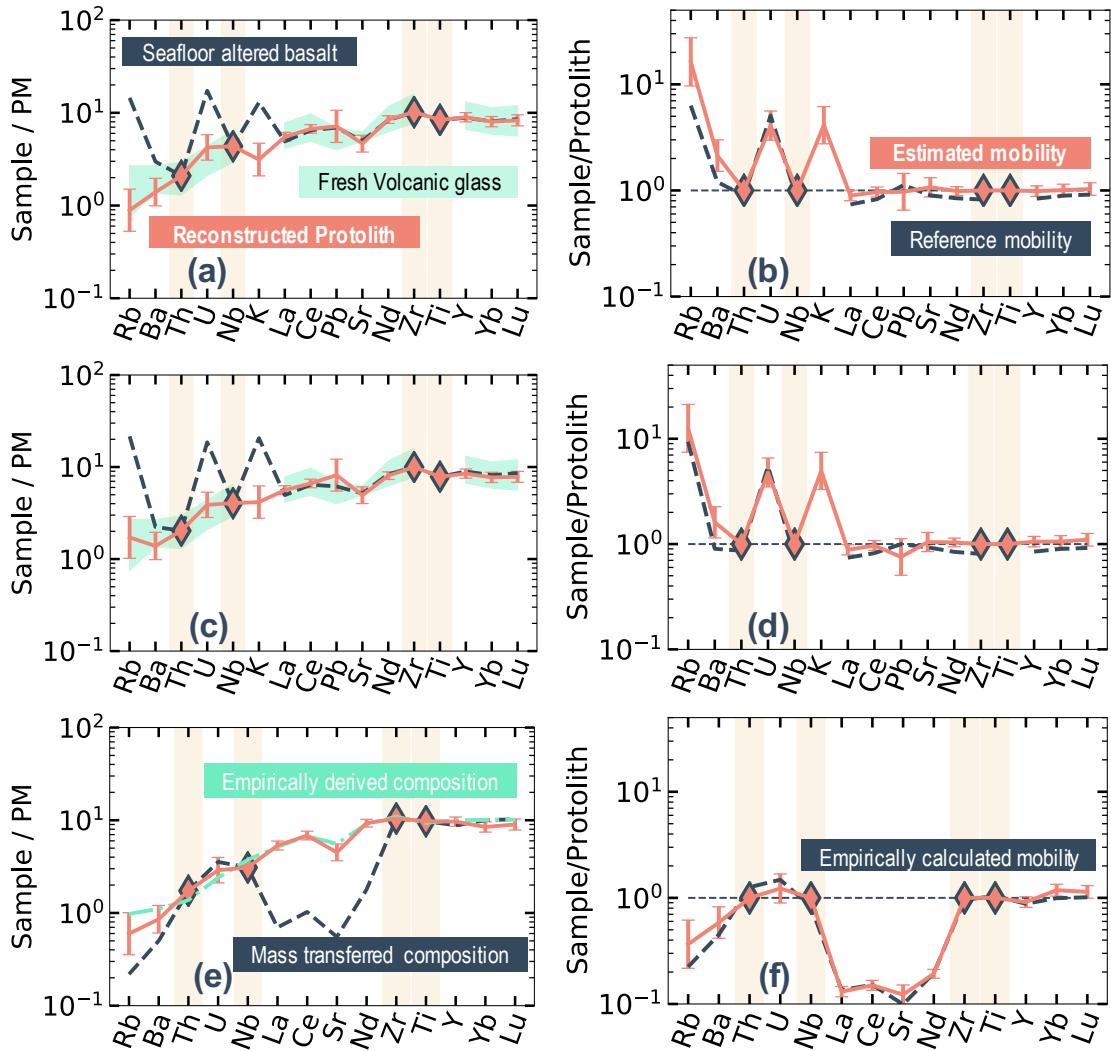
619 **Figure 3.** Scatter plots of predicted contents versus raw (measured) contents with the final
 620 PRM using Th, Nb, Zr, and Ti as input elements. The PRM was applied to test data of the
 621 basalt dataset, which covers three different tectonic settings (mid-ocean ridge basalt,
 622 ocean island basalt, and island arc basalt).



624

625 **Figure 4.** Primitive-mantle-normalized contents of estimated basalt using the four-
 626 element PRM with Th, Nb, Zr, and Ti as input elements. Samples for each plot are
 627 examples from (a, b) OIB, (c, d) MORB, and (e, f) IAB. Diamonds indicate input data.
 628 Raw basalt compositional data are shown as a dashed dark-blue line, and estimated basalt
 629 compositions are shown as a pink line. Compositions of the primitive mantle are
 630 from Sun and McDonough (1989).

631



634 **Figure 5.** Results of the selected four-element PRM applied to seafloor altered basalt and
 635 metabasalt, and calculated mass mobility. Samples for each plot are (a, b) 801-MORB-
 636 110-222_ALL³¹, (c, d) 801_SUPER, and (e, f) Z139-6⁵. (a, c) Primitive-mantle-
 637 normalized contents of estimated protolith basalt using the PRM. Diamonds indicate
 638 input data (Th, Nb, Zr, and Ti). Seafloor altered and metamorphic rock contents are shown
 639 as a dashed dark-blue line, and estimated protolith basalt contents are shown as a pink
 640 line. The range in protolith contents derived from fresh glass is shown as a sky-blue region.
 641 (b, d) Calculated mass mobility using fresh glass composition (dashed dark-blue line)

642 and estimated protolith (pink line). (e) Primitive-mantle-normalized contents of estimated
643 protolith basalt. Protolith compositions empirically derived⁵ are shown as a sky-blue line.
644 (f) Calculated mass mobility using empirically derived composition (dark-blue line) and
645 estimated protolith (pink line).

646

Figures

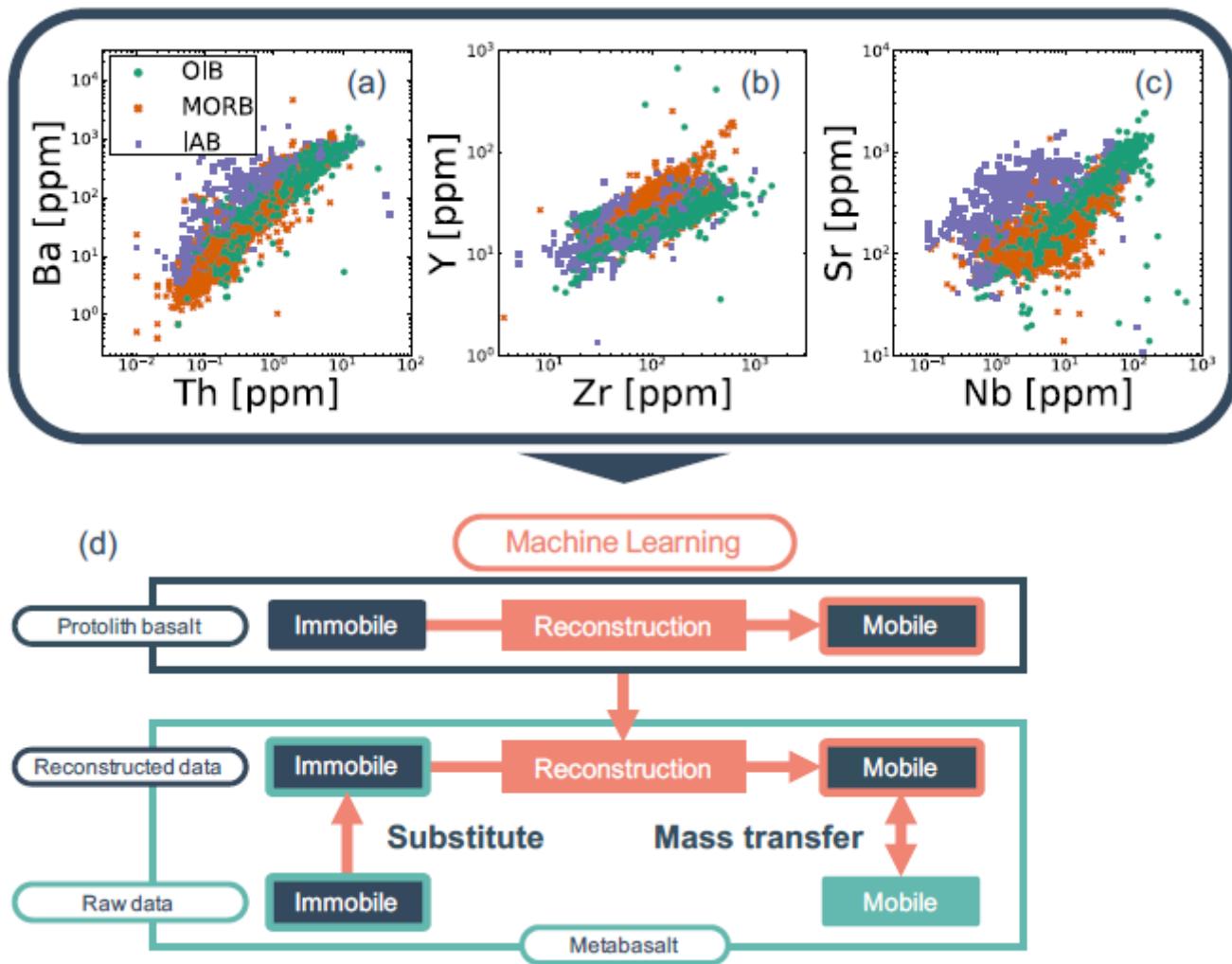


Figure 1

Distribution of the compositional dataset used in this study (compiled from the geochemical database at <https://search.earthchem.org/>). (a) Th and Ba, (b) Zr and Y, and (c) Nb and Sr. (d) Schematic overview of protolith reconstruction models (PRMs). Empirical models were calibrated by the protolith (basalt) compositional dataset and applied to metabasalt compositions. Assuming that the contents of immobile elements in metabasalt are identical to those in protolith basalt, these contents can be assigned as inputs and used to obtain protolith compositions.

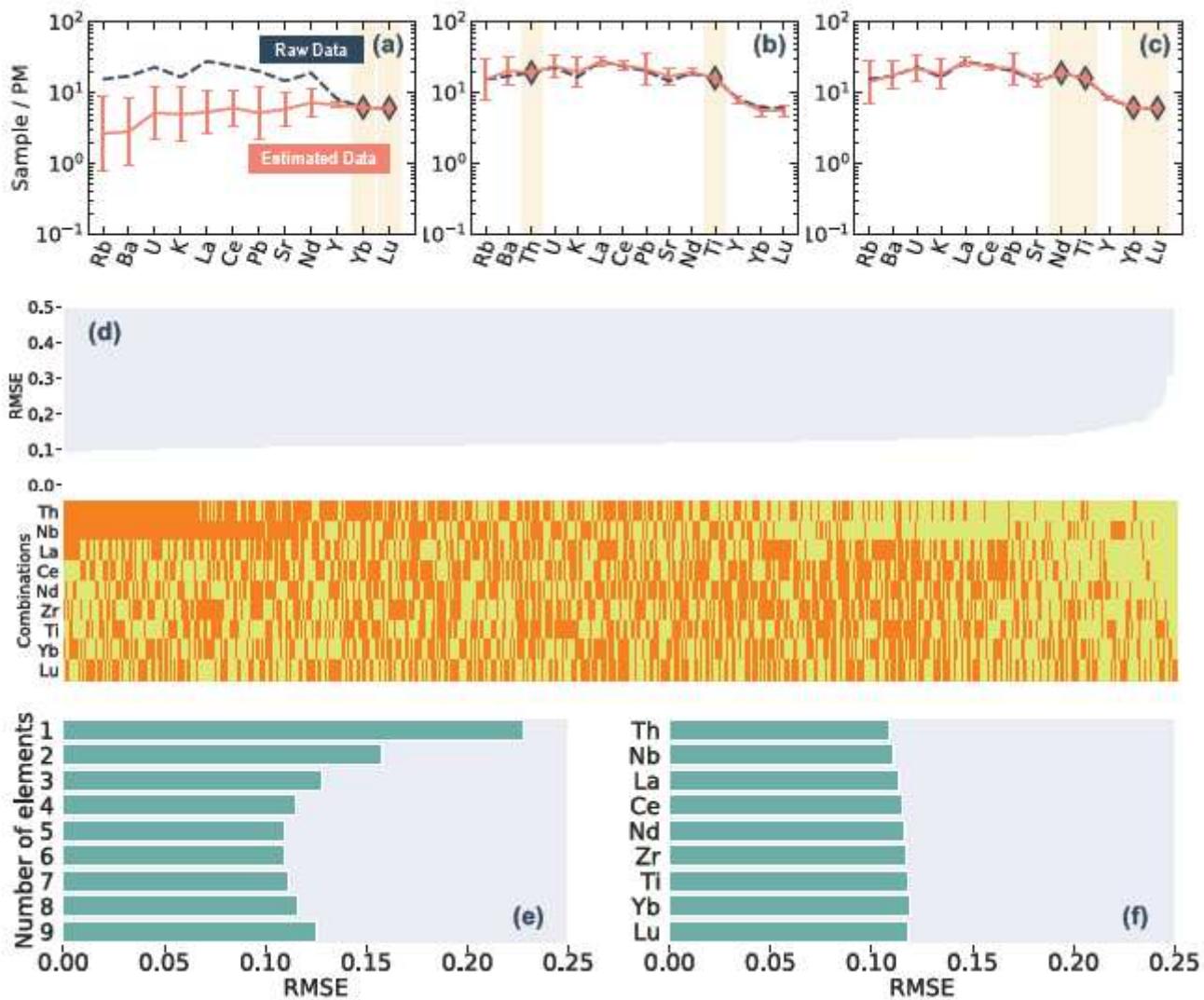


Figure 2

(a–c) Estimated primitive-mantle-normalized contents of basalt. Pink diamonds indicate the input contents. Predicted data were obtained from the input contents of (a) Yb and Lu; (b) Th and Ti; and (c) Nd, Ti, Yb, and Lu. Raw basalt compositional data are shown as a dashed dark-blue line, and estimated basalt compositional data are shown as a pink line. Compositions of the primitive mantle are from Sun and McDonough (1989). (d) Average RMSE scores of all output elements for each combination of input elements (511 cases), and combinations of input elements for each model shown in below. In the upper plot, the red line indicates the input combination of Th, Nb, Zr, and Ti. In the lower plot, the orange elements are used in combinations, and yellow elements are not used. (e) Average RMSE scores for all of the models using a particular number of input elements. (f) Average of all of the models containing a particular element as an input.

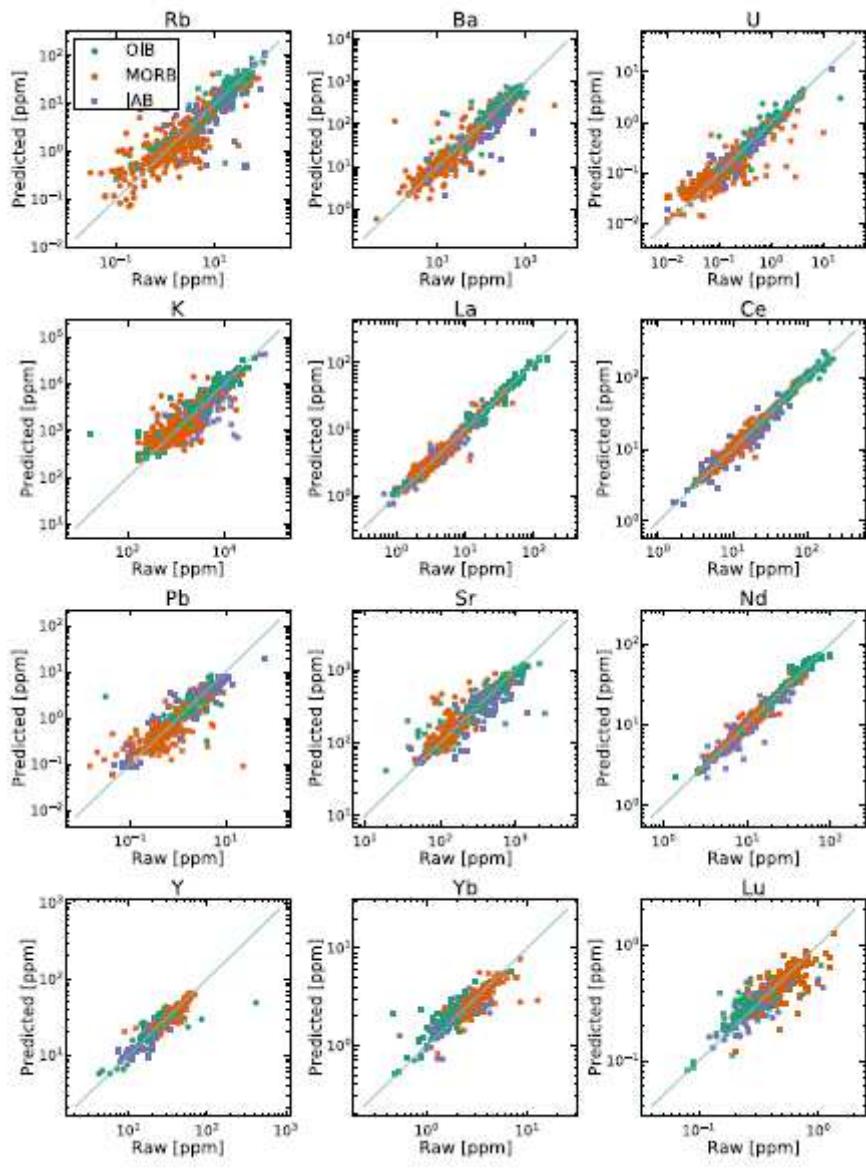


Figure 3

Scatter plots of predicted contents versus raw (measured) contents with the final PRM using Th, Nb, Zr, and Ti as input elements. The PRM was applied to test data of the basalt dataset, which covers three different tectonic settings (mid-ocean ridge basalt, ocean island basalt, and island arc basalt).

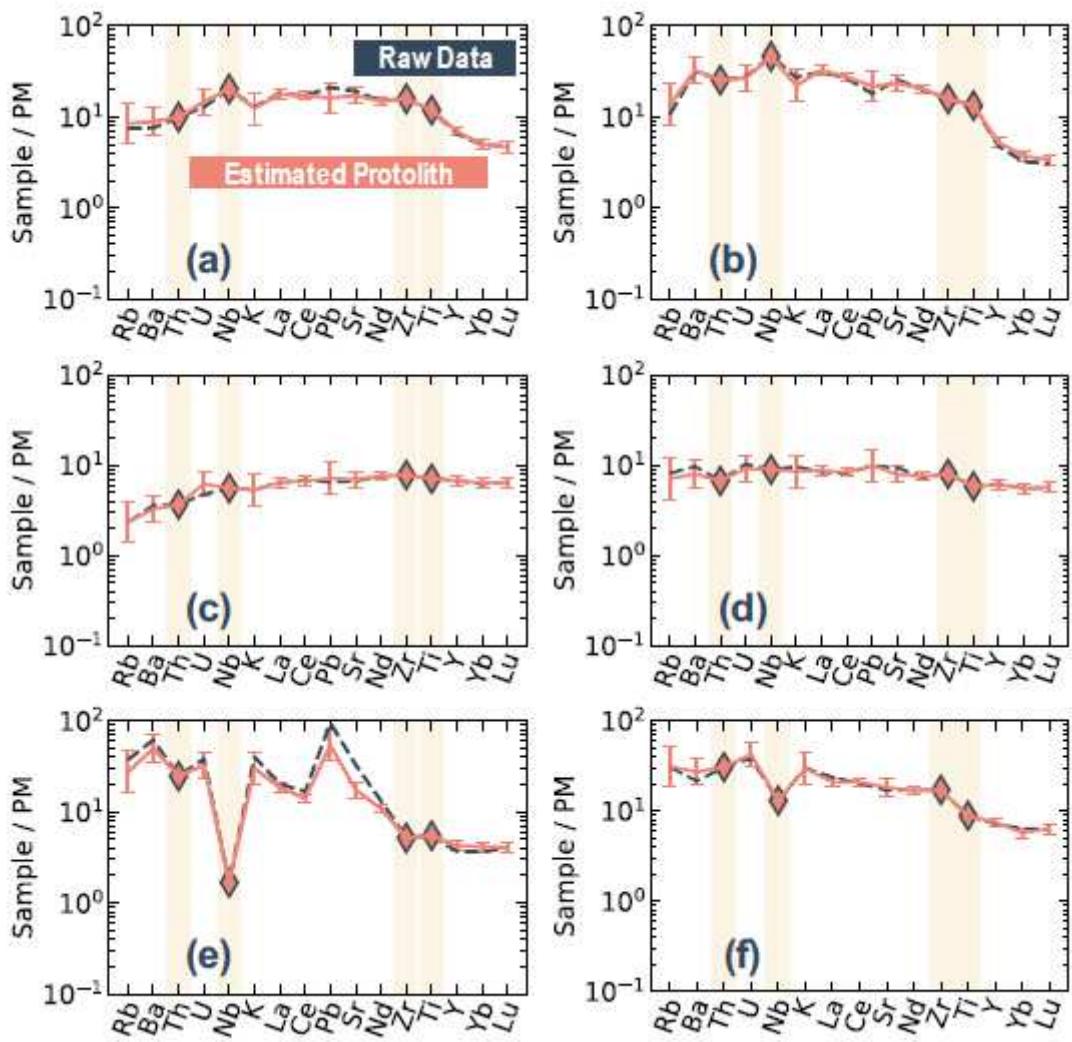


Figure 4

Primitive-mantle-normalized contents of estimated basalt using the four element PRM with Th, Nb, Zr, and Ti as input elements. Samples for each plot are examples from (a, b) OIB, (c, d) MORB, and (e, f) IAB. Diamonds indicate input data. Raw basalt compositional data are shown as a dashed dark-blue line, and estimated basalt compositional data are shown as a pink line. Compositions of the primitive mantle are from Sun and McDonough (1989).

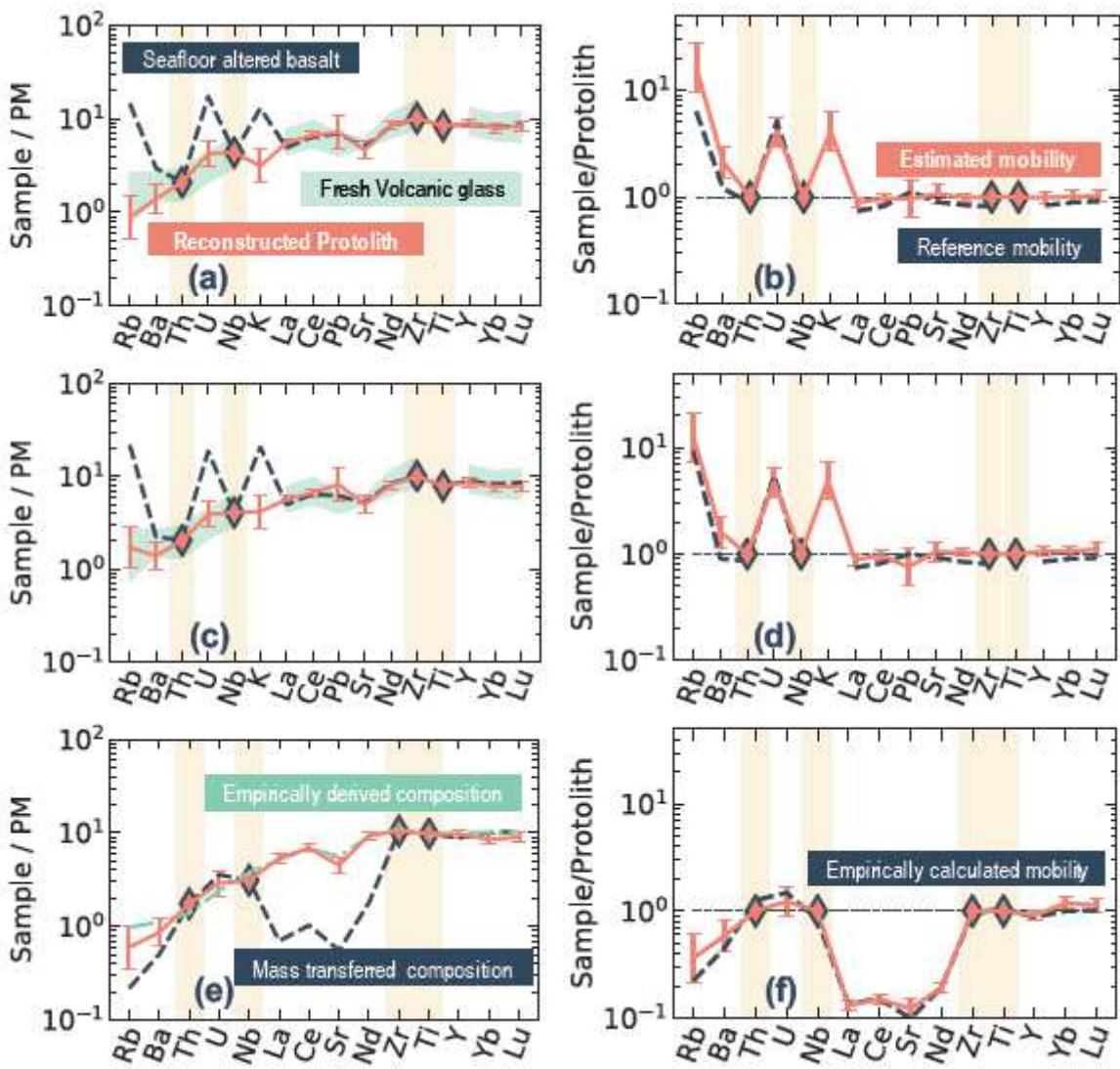


Figure 5

Results of the selected four-element PRM applied to seafloor altered basalt and metabasalt, and calculated mass mobility. Samples for each plot are (a, b) 801-MORB- 110-222_ALL31, (c, d) 801_SUPER, and (e, f) Z139-65. (a, c) Primitive-mantle normalized contents of estimated protolith basalt using the PRM. Diamonds indicate input data (Th, Nb, Zr, and Ti). Seafloor altered and metamorphic rock contents are shown as a dashed dark-blue line, and estimated protolith basalt contents are shown as a pink line. The range in protolith contents derived from fresh glass is shown as a sky-blue region. (b, d) Calculated mass mobility using fresh glass composition (dashed dark-blue line) and estimated protolith (pink line). (e) Primitive-mantle-normalized ΣREE contents of estimated protolith basalt. Protolith compositions empirically derived⁵ are shown as a sky-blue line. (f) Calculated mass mobility using empirically derived composition (dark-blue line) and estimated protolith (pink line).

Supplementary Files

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