

1 **BIORETENTION AS A CONTROL TO URBAN DRAINAGE SYSTEM WITH AN**  
2 **ECOHYDROLOGICAL BASE: GIS AS A TOOL ON DECISION MAKING**  
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55 **BIORETENTION AS A CONTROL TO URBAN DRAINAGE SYSTEM WITH AN**

56 **ECOHYDROLOGICAL BASE: GIS AS A TOOL ON DECISION MAKING**

57 The occupation and use of increasingly impermeable urban land have made it difficult to infiltrate water and,  
58 consequently, increase the volume of runoff in different cities, which has required the development of  
59 bioretention techniques in the field of hydrology. The aim of this article is to define and apply criteria for the  
60 identification of areas for the construction of Bioretention systems for evaluations based on Geographic  
61 Information System indicators, considering the aspects of quantity and quality in urban drainage. The developed  
62 method allows to verify and compare changes in the surface of urban areas and their interference in the local  
63 environment, the mapping of land use and occupation to simplify procedures to define and prioritize areas for the  
64 construction of Bioretention systems, the use of resources from georeferenced bases to resolve eco-hydrological  
65 issues. The study develops technical bases for the use of a georeferencing tool to analyze areas with speed and  
66 consistency as a basis for decisions on the implementation of Bioretention systems.

67 Keywords: sustainable urban drainage, compensatory techniques sizing, Diffuse pollution, bioretention systems,  
68 urban waters.

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99 **1 Introduction**

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Since the 1960s, urban drainage began to appear as a solution for public policies, and the master plans began to operationalize the technical and political bases for a development more aligned with the protection of natural resources (ROSA *et al.* 2019; PROCOPIUCK *et al.* 2020). The attention of researchers and technicians started to tend to transcend watercourses to solve problems from a broader contextual perspective (GELDOF 1995; POMPÊO 2000; PROCOPIUCK e ROSA 2015). This change in focus occurred because the continuous urban growth had resulted in several negative environmental impacts, such as, for example, the increase of impermeable areas (HATT *et al.* 2004) generating the increase of runoff, and the transport of pollutants to bodies of water, which has led to the compromise of riparian natural ecosystems.

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The increased use of impermeable surfaces that go with urban growth has increased the volume of rainwater drained and the transport of polluting solid waste transported by water to the receiving water bodies. One of the reactions to these problems was that stormwater management in urban areas became a priority for new urban water planning and management projects (LUCKE e NICHOLS 2015). This increase in attention to urban water management opened spaces for bioretention techniques for emerging urban drainage systems to become fundamental to mitigate the problems caused by urbanization, promoting the improvement of water quality through collection systems, considering the different levels of development of urban infrastructure (BARBOSA *et al.* 2012). Over time, these techniques have advanced and generate alternatives for the management of water resources and environmental protection in complex contexts (MCCLEARY e HASSAN 2008; GESSNER *et al.* 2014).

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These Bioretention systems have been widely implemented in urban areas in the last decade to manage rainwater, mainly to reduce peak flows and downstream pollution loads, to

125 manage rainwater for reduce peak flows and downstream pollution loads (LUCKE e  
126 NICHOLS 2015). Also, such systems are used to remove nitrogen from urban rainwater  
127 (WANG *et al.* 2017; RAHMAN *et al.* 2020) and eliminate nutrients from rainwater (LUO *et*  
128 *al.* 2020). The studies also advance to the operation, maintenance, and performance  
129 evaluation (DE MACEDO *et al.* 2017; JIANG *et al.* 2019; LOPEZ-PONNADA *et al.* 2020)  
130 and others for modeling bioretention systems (JIANG *et al.* 2019; ALIKHANI *et al.* 2020) are  
131 advancing. The main reasons for the recent popularity of bioretention systems have been the  
132 flexibility of their design, which helps in their relatively simple integration in urban areas, and  
133 because they work as supplements to provide aesthetic and social benefits in addition to  
134 conventional rainwater management functions (CHAHAL *et al.* 2016).

135         Bioretention systems have their structure and operation generally based on plants and  
136 soil. This base works as a filter medium (usually sand), with the gravel to form a drainage  
137 layer and a plant layer as a retention medium (SAMPLE *et al.* 2014; LI e DAVIS 2016). The  
138 systems can be assembled with any type of geotextile to allow infiltration or include an  
139 impermeable coating to help capture rainwater and reuse it (FAWB 2009). The captured  
140 rainwater is treated through a variety of physical, chemical, and biological processes, such as,  
141 for example, mechanical filtration, sedimentation, adsorption, absorption, and microbial plant  
142 (MULLANE *et al.* 2015). However, there are still some difficulties to be overcome for the  
143 implementation of bioretention techniques. These difficulties involve finding variables and  
144 adjusting the system to them. These variables are, for example, close to water bodies, built  
145 areas, conservation areas, and soil type. Besides, the points of contribution and the climatic  
146 characteristics of the region must be observed and studied thoroughly to meet the standards  
147 required to increase the efficiency of the bioretention process (DOKULIL 2016).

148         In parallel, ecohydrology emerges as a conceptual and practical tool that helps to  
149 understand the complex interaction of hydrological mechanisms (ZALEWSKI 2002), such as,

150 for example, the establishment of connections between ecological paths and processes, use of  
151 ecological systems, hydrological structures, and types of land use and occupation.  
152 (ZALEWSKI 2000; LIU 2011; ZALEWSKI *et al.* 2016). Geoprocessing tools, in turn, assist  
153 in urban planning and area management in its various aspects, allowing the interpretation of  
154 the surface data (MOURA e PROCOPIUCK 2020) by satellite images for mapping urban  
155 areas, showing different levels of details and spatial spectrum (MARTIN-MIKLE *et al.* 2015).

156 In this article, we aim to evaluate the susceptibility of an area to the implementation  
157 of compensatory techniques through the eco-hydrological and geoprocessing basis. The  
158 method addresses the observation of local characteristics, the entry of catchment areas, the  
159 construction of bioretention systems, the quality of the water in the system, and its possible  
160 interference in the basin. In the case of flood events, the assessment is technically oriented  
161 with the use of GIS to raise images and maps that describe pre-established situations and  
162 future scenarios, determining the CN (Curve Number) average for sub-areas.

163

## 164 **2 Methods**

165

166 The data and information collection needed for this study involved the following  
167 steps: (I) identification of areas that are adversely affected by flooding events; (II) defining  
168 the time interval needed to assess growth and urbanization of the area from satellite images;  
169 and (III) construction of thematic maps from digital images to identify different situations  
170 using a geoprocessing tool so that the identified classes were represented spatially.

171 Land use was classified based on the following five categories: (I) existing forest  
172 cover; (II) area used for reforestation for commercial purposes; (III) area occupied by  
173 buildings; (IV) paved areas for people to transit; and (V) areas with low vegetation and bare  
174 ground.

175 ArcMap 9.1 software, which is a component of ESRI's ArcGIS platform, was used  
176 for processing and processing digital data. The GIS incorporated data frame layers for  
177 Permanent Preservation Areas (APP) and Legal Reserve Area (RL). The developed Hydro  
178 APP layers considered the distance of 50 meters from the source and 30 meters from the  
179 drainage areas. These distances follow the guidelines proved on the new Brazilian Forest  
180 Code (BRAZIL, 2012). A polynomial file was created, and the types of land cover were  
181 delimited (I to V described above). Through some image analysis of Google Earth® from  
182 2004 and 2010, a comparison can be made and, thus, assess the incremental change year by  
183 year.

184

## 185 2.1 Study Area

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187 The selected study area is located in the city of São Carlos in the State of São Paulo,  
188 Brazil, and makes part of Mineirinho's River Basin. This river supplies 40% of the water  
189 demand of the city of São Carlos (Figure 1).

190

### 191 **Figure 1**

192

193 The total campus area increased to 102.4 ha with the addition of another 29.4 ha  
194 when the university officially opened on April 11, 2005. At that time, there was also the  
195 recovery of legal reserves and Permanent preservation of the surroundings (BENINI 2005).  
196 Initial support surveys have indicated that the campus's current drainage system is  
197 insufficient, as there is periodically flooding of various areas of the campus throughout the  
198 year. There are eight critical areas identified with inadequate drainage. This article deals with  
199 the implementation of Compensation Techniques (TCs) at these points. |

200 As the Mineirinho River has not yet received a classification from the competent  
201 bodies. Considering the current conditions according to the method developed by Zaffani  
202 (2012), Negrão (2015), and Aprígio (2012), we classify this river as class 2. According to  
203 Resolution No. 357, of March 17, 2005, of the National Environment Council (CONAMA),  
204 which established criteria for the classification of surface water bodies and environmental  
205 guidelines for their structure, as well as the discharge conditions and standards effluents,  
206 Class 2 rivers can be used to (a) The supply for human consumption after conventional  
207 treatment; (b) The protection of aquatic communities; (c) The primary contact recreation such  
208 as swimming, water skiing, and diving; (d) The irrigation of vegetables, fruit trees, and parks,  
209 gardens, sports, and leisure fields, with which the public might have direct contact with; and  
210 (e) Aquaculture and fishing activity.

211

## 212 2.2 *Runoff Calculation – CN Method*

213

214 One of the simplest and most used methods to estimate runoff volume (effective  
215 rainfall) resulting from a rainfall event is the method developed by the National Resources  
216 Conservation Service of the U.S. (1972) (formerly Soil Conservation Service - SCS). In this  
217 method, after determining the total drained volume, one must calculate the peak flow. The  
218 Drainage City Manual of São Paulo, version 2012, recommends the use of a rational method  
219 for basins with less than three km<sup>2</sup>. As the application of bioretention catchments occurs even  
220 in the micro drainage level, the recommendations of the manual and the rational method for  
221 calculating the drained peak flow were used. First, it was calculated from the total runoff  
222 volume from the determination of effective precipitation, based on Eq. 1 and 2.

223

$$224 \quad SeP > 0.2S \rightarrow Pe = \frac{(P - 0.2S)^2}{P + 0.8S}; \quad SeP \leq 0.2S \rightarrow Pe = 0 \quad Eq.1$$

$$S = \frac{25400}{CN} - 254 \quad \text{Eq.2}$$

225 Where: P is the total precipitation in the área; Pe is the effective precipitation; S (mm) is the ground retention  
 226 potential, and CN is the coefficient number.  
 227

228 The CN value represents the soil coverage conditions and varies from a very  
 229 permeable cover (lower limit value = 0) to a completely impermeable cover (upper limit value  
 230 = 100). The hydrological group of the soil and its use and occupation were used as input data.  
 231 Eq. 3 provided the value of CN os for cases where there was more than one group of land or  
 232 use and occupation.

233

234

$$CN = \frac{\sum A_i \times CN_i}{\sum A_i} \quad \text{Eq.3}$$

235 Where  $A_i$  is the i-th portion of the basin which has the  $CN_i$  coefficient ;  $CN_i$  is the coefficient of the i-th portion  
 236 of the basin.  
 237  
 238

239 The peak drained flow was then calculated using the ratio method. The determination  
 240 of the runoff coefficient (C) results from the ratio between the total volume of precipitation  
 241 and the total volume drained, that is, the ratio between precipitation and effective  
 242 precipitation, as shown in Eq. 4 (KAWATOKO 2012). This proportion represents the  
 243 percentage of runoff generation for the contribution interest area. From C referring to the  
 244 contribution area and the intensity of the rains, it was possible to obtain the peak flow drained,  
 245 as shown in Eq. 5.

246

$$C = \frac{Pe}{P} \quad \text{Eq.4}$$

$$Q_{peak} = C. i. A \quad \text{Eq.5}$$

### 247 2.3 Water quality

248

249 The next step was to estimate the improvement in flow quality resulting from the  
250 Bioretention system. The collected samples had the function of improving the system (Figure  
251 2a) within the Bioretention system, here called “storage” (Figure 2b) and with the  
252 disqualification of the Bioretention system (Figure 2c). Samples were collected every five  
253 minutes by an automatic sampler at the improvement site, in order to obtain the concentration  
254 in the wash load, following the procedure created by Silva and Nazareno (2009). Samples  
255 were collected every 20 minutes throughout the rainy season for storage and downgrading  
256 sites.

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### Figure 2

260 The mass balance, to calculate the efficiency of the bioretention system, was done  
261 through Eq. 6, adapted from Erickson et al. (2013).

262

$$263 \quad M_s(t) = (M_{in} + M_p) - (M_{out}(t) + M_I(t)) \quad Eq. 6$$

264 Where:  $M_s(t)$  = Pollutant’s mass stored inside the bioretention system;  $M_{in}(t)$  = Inflow pollutant’s mass trough  
265 runoff;  $M_p(t)$  = Inflow pollutants’ mass trough precipitation;  $M_I(t)$  = pollutant’s mass infiltrated/treated by the  
266 CT;  $M_{out}(t)$  = CT’s Outflow pollutant’s mass;  $t$  = interval time.  
267

268 The variables studied on water quality were chosen based on the literature. Some  
269 previous research, such as de Barbassa (2014) and Vasconcelos (2008), dealt with rainwater  
270 drained by a roof in the peripheral region of São Carlos, concluding that the main pollutants  
271 of organic contamination by nutrients and metals.

272 Currently, a significant part of studies in this area has analyzed the effects of CT in  
273 the treatment of metals (HATT *et al.* 2009; WANG *et al.* 2017; LI *et al.* 2018). The following  
274 parameters on metallic contamination served as a comparative basis for the efficiency of the  
275 Bioretention system that we studied with results from studies on other locations: iron – Fe,  
276 zinc – Zn, lead - Pb, nickel - Ni, manganese - Mn copper - Cu, chromium - Cr and cadmium –

277 Cd. The three data series of the Bioretention system originate from three rainy events in the  
278 dry season in Brazil, with the collection carried out in the hydrographic basin of the  
279 Mineirinho River.

280 Table 1 shows the data for controlling the mass balance time and the sample  
281 collection period to determine the concentration at points 1 and 5.

282 **Table 1**

283 The time control applied to this balance was the same as the duration of the entry  
284 occurrence. However, this period is much longer than the time covered by the sample  
285 collection for the concentration measurements. The Event Mean Concentration (EMC) (Eq. 7)  
286 was the basis for calculating the total input and output masses of events 2 and 3 to overcome  
287 this problem.  
288

289

$$290 \quad EMC = \frac{\int C_t \cdot Q_t \cdot dt}{\int Q_t dt} = \frac{\sum C_t \cdot Q_t \cdot \Delta t}{\sum Q_t \Delta t} \quad Eq. 7$$

291 Where the  $C_t$  and  $Q_t$  are the analyzed concentration parameters and the flow rate, at time  $t$ , respectively, and  $\Delta t$   
292 the interval of each sample collation.

293

294 In theory, the event load is calculated by Eq.8 and can be simplified to Eq. 4.9:

$$295 \quad Load = Q_m \cdot C \cdot \Delta t_c \quad Eq.8$$

$$296 \quad Total Load = EMC \cdot V_t \quad Eq.9$$

297 Where  $Q_m$  is the mean flow rate,  $C$  the concentration and  $t_c$  the time control.

298

### 299 **3 Results and Discussion**

300 The presentation of the results addresses the use of geoprocessing and defines the tools  
301 used, the criteria for quantifying land use, the calculation of surface runoff from the study  
302 area, the Bioretention based on eco-hydrological principles and, finally, the water quality in  
303 bioretention systems.

304

305 3.1 *Geoprocessing use*

306

307 ArcMap 9.1 software was used to create maps for the classification of land use and  
308 occupation, using images captured in 2004, 2010, and 2014 (Figure 3). This period was  
309 chosen due to the construction of the university campus, which was when this area underwent  
310 significant changes in land use and occupation.

311

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**Figure 3**

314 It is possible to identify in Figure 3 the characteristics that indicate the decrease in  
315 the permeable area, which can result in the more significant formation of sediments directed  
316 to the water body. This mapping also shows the permanence of the low and riparian  
317 vegetation over time, showing the preservation despite the moderate changes that occurred in  
318 the region. Therefore, the Bioretention techniques to be adopted should mainly support the  
319 preservation of these areas.

320 The map indicates changes in characteristics at the borders of the built area and in  
321 reforestation. These changes in land use and occupation morphology imply a decrease in soil  
322 permeability, which may also be associated with climatic variations in the region. The study  
323 by Gordon and Meentemeyer (2006) demonstrated the effects of urban drainage systems on  
324 soil vegetation, with the formation of climatic nuclei in regions where the built areas  
325 confronted riparian forests and altered the local environment. Therefore, the flow associated  
326 with soil disturbance can have a significant impact on the local environment.

327 The variables identified in the characteristics of the maps strongly justify the flood  
328 zones and suggest that conventional drainage methods would not cause improvements in the  
329 region. The map patterns suggest that urban drainage techniques by bioretention should

330 consider the hydro-geomorphological and eco-hydrological processes and also the  
331 relationship between discharge and sediment carried out in its construction stage.

332

### 333 3.2 *Use Quantification*

334

335 The image processing allowed the identification and quantification of the types of  
336 land use and occupation on campus 2 at USP São Carlos (Table 2).

337

338

**Table 2**

339

340 The data in Table 2 show that there was an increase in the built and paved areas and  
341 the forest cover area over the years. It is possible to infer that there was an increase in the  
342 waterproofed area within the campus, with the increase in paved and built areas, going from  
343 3.78% to 14.44% of the total area. The increase in the built-up area reflected in the increase in  
344 soil sealing and, consequently, in the increase in water runoff. Therefore, there is a more  
345 significant amount of sediment transported at a higher speed to the receiving water body, and  
346 this can increase its level of sedimentation.

347 In 2014, the sealed area represented approximately 14.44% of the total of Campus 2,  
348 and the protected reserve area represented 20.7% of the campus. According to the Brazilian  
349 Forest Code, the legally required area is 20%, which leaves approximately 0.7% of the area  
350 for the implementation of technical infrastructures that allow better water flow, such as  
351 Bioretention systems.

352 The results of previous research on the characterization of the Ribeirão Mineirinho  
353 Basin show that Campus 2 followed a similar pattern of land use occupation to other areas of  
354 the basin, increasing the impermeable areas with the construction of paved roads and  
355 buildings. Benini's (2005) work shows that pastures and grasses covered about 75% of the  
356 permeable area in 1972 and that just over 5% was impermeable. This scenario changed in

356 2000, with the impermeable areas increasing to 35% and the areas covered by grass less than  
357 half. The remaining forest areas decreased from just over 10% to approximately 5%. The  
358 study by Aprígio (2012) shows that, in 2012, about 45% of the area was occupied with  
359 buildings, maintaining 20% of grasses.

360 The data obtained from the construction of maps to show land use and occupation at  
361 Campus 2 show that this urbanized space showed moderately accelerated growth, with an  
362 increase of about 10% in impermeable areas and, consequently, reducing areas with  
363 vegetation. This demonstrates the need for future planning.

364 **Table 3**

365  
366 **Table 4**

367  
368 Table 3 and Table 4 present data on the historical evolution of the legal reserve areas  
369 (RL) and permanent preservation areas (APP). These data will be used to establish a  
370 correlation with the emerging patterns of photointerpretation. In technical terms, there was no  
371 change in the identification method to the corresponding data collected, indicating that the  
372 patterns are related to the decrease in the drainage area of the region. This decrease in the area  
373 reflects the increase in the transport of solids by runoff to the water body. Unlike the  
374 surrounding region (BENINI 2005; APRÍGIO 2012), it is possible to notice that there was an  
375 increase in the forest cover area for Campus 2 over the years, with the APP and RL soil  
376 vegetation being converted to forestry vegetation. This behavior demonstrates the concern of  
377 the university administration with the improvement of the environmental quality and with the  
378 commitment to follow the Brazilian environmental legislation.

379

### 380 3.3 *Calculation of runoff*

381

382 The calculation of peak flow with the CN-SCS method combined with the ratio  
383 method confirmed and quantified the increase in surface runoff, using different CN values for

384 all areas of land use and occupation. The calculation of the overall value of the CN used Eq. 3  
385 and the peak flow. The flow was calculated with Eq. 4.5. Following the recommendation of  
386 the Drainage and Rainwater Management Manual (São Paulo, 2012) for micro drainage, the  
387 calculation considered the rain intensity of 91.7 mm / h with rain time of 20 min (most  
388 common in the city of São Carlos) and response time of 10 years. With these input values, the  
389 precipitation value obtained was 30.6 mm.

390 Calculations of CN distribution and expert analysis allow us to conclude that CN 77  
391 shows forests in poor condition, CN 91 areas occupied by industrial districts (72%  
392 waterproofing), CN 79 pasture areas in average conservation conditions, and the CN 73  
393 Permanent Protection Areas (APP), which are forests in good condition.

394 The forecast for future scenarios of urban drainage conditions on the Campus  
395 complemented the analysis of the increase in the surface runoff with the increase in  
396 urbanization, considering the occupations authorized by the Master Plan for Area 2 of the  
397 Campus, in the city of São Carlos. The CN calculations and peak drained flow projected three  
398 future scenarios: 2025 (50% occupancy), 2050 (75% occupancy), and 2100 (85% occupancy)  
399 (Figure 4). These three scenarios were designed with the CN values previously determined,  
400 except the Permanent Preservation Areas. In this case, the use of CN 77 designed forests in  
401 poor condition.

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#### Figure 4

405 Table 5 shows the flow scenarios obtained for each of the eight hydrographic basins  
406 (contribution areas) that cover the study area. The calculation of the maximum drained flows  
407 was carried out based on the CN values for each contribution area, varying according to the  
408 percentage of campus occupation. The results presented illustrate how changes in land use  
409 and occupation affect runoff. It can be noted that the campus occupation would double in size

410 between the years 2014 and 2025, leading to a percentage increase in runoff ranging from  
411 13% (basin 5) to 100% (basin 7). The maximum expected increase is 214% in basin 7 for  
412 scenario 2100, with a higher occupancy rate.

413

414

#### Table 5

415

416

417 The mapped scenarios denote a significant increase of the impermeable area through  
418 the classes that indicate constructed areas and by the objects that indicate road expansion.  
419 Under these conditions, after local consultations, it can be noticed an increase in volumes of  
420 water running into the river studied. Additionally, the hydrological performance can also be  
421 compromised over time, because, besides the running, the cycle as a whole is influenced by  
422 the permeability coefficients.

423

#### 424 3.4 *bioretention based on ecohydrological principles*

425

426 The dimensions of the Bioretention system followed the criteria of general efficiency  
427 and joint performance and can be verified by comparing the qualitative and quantitative  
428 efficiency. In this work, only qualitative results will be shown, guided by eco-hydrological  
429 principles, as shown in Figure 5, which is illustrative and is not on the scale. The diagram  
430 shows the contribution areas of the hydrographic basin studied concerning the Bioretention  
431 technique, the zones of influence that emerge from the urban growth of the region, that the  
432 Mineirinho River has dense riverside vegetation and small pockets of water along its route,  
433 and that the contribution flow is basically from the campus drainage system and rainwater  
434 harvesting. All of these components are evaluated in correlation with ecosystem interactions.  
435 Figure 6 shows the constructive parameters of the implementation of the Bioretention project.

436

437  
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**Figure 5**

**Figure 6**

442           The proposed system allows this temporal assessment to be scaled in a modular way,  
443 and without much effort to expand its size over time. These conditions are necessary because  
444 the study area is recent and is in full expansion, that is, the more urbanized space, the greater  
445 the flow and, therefore, the greater the Bioretention system.

446

447

### 448 3.5 *Water quality in bioretention Systems*

449

450           Some analyses of water quality were made at three points in this bioretention  
451 experiment — inlet, storage, and outlet — in order to characterize the pollution present in the  
452 surface runoff and evaluate the efficiency of the technique in removing pollutants. Three  
453 rainfall events were considered, in August and September 2015. Table 6 also shows, for  
454 means, characteristics of events.

455

456

457

**Table 6**

458

459           The Table 7 shows the EMC obtained for the variables: Fe, Zn, Pb, Ni, Mn, Cu, Cr  
460 and Cd, and shows, for means of comparison, the standards established for the effluent  
461 released in a Class 2 river, which is Mineirinho's class, according to Brazilian Federal  
462 CONAMA 357/2005 resolution. It can be observed that the outlet EMC for Fe, Ni, Cu, and  
463 Cd, at all three events, and for Pb, in events 2 and 3, presents higher values than the limits  
464 established by the CONAMA. However, the total inlet and outlet mass of the parameters  
465 should be analyzed to verify the bioretention efficiency and how this system reduces the  
impact on the receiving water body.

466  
467

**Table 7**

468           The rainfall measurements were 6.0 mm in the first event, 3.0 mm in the second  
469 event, and 39.0 mm in the third event. Figure 7 shows the accumulated and instantaneous  
470 water depth, generated by these rainfalls for each event. It can be observed the infiltration  
471 depth in the Bioretention, being the difference of the inlet and outlet the water depth. In event  
472 one (1), the infiltration rate was approximately 34%, to event two (2) 41% and, to event three  
473 (3), it was 64%. The dashed lines on the graphics delimit the sampling period.

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**Figure 7**

478           The inlet and outlet mass of each parameter analyzed, and the removal efficiency is  
479 shown in Table 8. It shows the removal efficiency for the monitored events. It is possible to  
480 notice, for most of the parameters analyzed, that the practice decreases the pollutant load,  
481 which would have reached the water body, considerably. The chrome concentration measured  
482 was insignificant, and it is not shown in the table.

483           The metal removal efficiency varies from 40.3% to 97.8%. The lead was the  
484 parameter with the higher removal rates (94.0% and 91.8% for the events 1 and 2). Iron had  
485 the worst removal efficiency: 40.3% in event 1, and it had even, for event 3, a higher outlet  
486 mass than inlet, resulting in a negative efficiency (-74.84 %). This can be explained due to the  
487 Brazilian soil chemical composition. Due to the geological characteristics and its high  
488 weathering levels in tropical regions, it is common to find high iron oxides in the soils like the  
489 one used to the superficial and vegetated layer of the CT in which an erosion occurrence was  
490 observed during the event 3. Besides the iron, all other metals, in event 3, had a lower  
491 removal efficiency when compared to the events 1 and 2. The reason might also be linked to  
492 erosion.

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**Table 8**

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To complement the results analysis, a review of the metal removal rates obtained by other authors was performed. Wang et al. (2016) studied Cd removal in several filtering materials and had over 95% of efficiency. Wang et al. (2015) also evaluated the Cu, Pb, and Cd removal by filtration of wastes from construction sites and obtained more than 90% of efficiency. These values are much higher than those found in this study. However, Wang et al. (2016; 2017; 2018) did these studies under a laboratory scale with no adversities faced in a field experiment. Hatt et al. (2009), otherwise, analyzed the removal efficiency of a bioretention system applied to the field and obtained an efficiency of 67% and 80% for Cu and Pb, respectively. It is essential to highlight, that the removal efficiency of a bioretention system varies with its location, due to the different climate conditions and the filtering material characteristics, as the vegetated layer has a significant influence on the runoff treatment.

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Although the Mineirinho's River Basin be classified as a Class 2 – under Brazilian law, which allows for a more noble use of its waters such as supply for human use and primary contact recreation, Aprígio (2012) found the illegal contribution of domestic sewage in regions on its head. This may contribute to a loss of environmental quality of the basin, an increase of pollutant concentration in its water, and risks to the surrounding population. Thus, some actions to reduce the amount of pollution and consequent improvement in water quality to match its intended uses are essential for this region.

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**4 Conclusion**

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The method used to map the use and occupation of land allowed to verify and compare the increase in the urban area and its interference in the local environment. Hence,

520 the criteria to define the study area were satisfactory and provided a study model and  
521 characterization of the area - more comprehensive and accurate to select sites for construction  
522 of bioretention techniques - based on ecohydrological principles. The mapping of land use  
523 and occupation groups simplifies the procedures for integrating information regarding the  
524 priority areas for the construction of bioretention systems and the particular characteristics of  
525 each region, providing the implementation of resource of georeferenced bases directed to  
526 ecohydrological issues.

527         The comparative visual analysis allows an initial assessment, although more  
528 superficial, on the quality of the method applied to determine the CN. It is noticeable that  
529 there are more significant CN values in more urbanized areas, where waterproofing  
530 percentage is high, associated with soil conditions showing infiltration capacity below the  
531 average. The regions of forests and fields are clear indicators of areas where there is less  
532 runoff (lower CN).

533         The increase in impermeable areas, even with a slow rate of advancement, increases  
534 the quantities of sediments during periods of heavy rainfall, making a high input of sediments  
535 in the receiving body, causing the increase of various parameters related to water quality and  
536 peak flow. With the implementation of compensatory techniques, this problem is mitigated,  
537 lowering the impact in water ecosystems downstream of the bioretention technique.

538         The quality of the output effluent from the bioretention system confirms a significant  
539 improvement in the analyzed parameters. It was possible to verify that there is a decrease in  
540 the mass loading and, accordingly, improvement in water quality parameters, which  
541 contributes to the lower of pollutants and contaminants to the receiver. This feature reinforces  
542 the capacity of the treatment of the bioretention technique.

543         Guided by our studies, we can say that applying this methodology to select areas to  
544 implement the bioretention system is efficient and proves, with the data presented, the

545 reduction of pollutant load directed to the river, being understood that the construction of  
546 bioretention techniques, in addition to not impacting the river, brings benefits to it. This is  
547 justified by the thematic maps, where one can see that there is considerable natural vegetation  
548 covering the area, as well as areas that were reforested over time. As for the quality of the  
549 output effluent from the bioretention system, it is possible to verify that there is a significant  
550 improvement of the analyzed parameters.

551           The study clearly shows the importance of using a georeferencing tool for analysis of  
552 areas since it enables rapid and consistent analysis of the study area. When correlation occurs  
553 with ecohydrological indicators, the perception of the process efficiency supported with  
554 physical elements becomes believable when it is noticed. An example is the investigation of  
555 vegetal areas, that together with the results of qualitative parameters, demonstrate that the  
556 implementation of a bioretention system attenuates the peak flow effects for a specific region.  
557 Studies of this size can support a feasibility analysis and is more accurate in efficiency for  
558 regions with different climatic and physical characteristics.

## 559           **5 Acknowledgements**

560           The authors acknowledge the support of projects: (1) MAPLU2 - Stormwater  
561 Management in Urban Environment/FINEP, (2) Thematic Project 2008/15161-1 FAPESP  
562 “Assessment of Impacts and Vulnerability to Climate Change in Brazil and Strategies for  
563 Adaptation Options” (3) Casadinho/PROCAD CNPq 552494/2011-9 (UFAL-EESC/USP)  
564 “Advanced monitoring of biotechnological processes and environmental quality” and (4)  
565 CNPq 307637/2012-3 of Scientific Productivity.

## 566           **6 Funding**

568           This research was funding by the projects: (1) MAPLU2 - Stormwater Management in  
569 Urban Environment/FINEP, (2) Thematic Project 2008/15161-1 FAPESP “Assessment of  
570 Impacts and Vulnerability to Climate Change in Brazil and Strategies for Adaptation Options”

571 (3) Casadinho/PROCAD CNPq 552494/2011-9 (UFAL-EESC/USP) “Advanced monitoring  
572 of biotechnological processes and environmental quality” and (4) CNPq 307637/2012-3 of  
573 Scientific Productivity.

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## 575 **7 Authors contribution**

576 **Altair Rosa:** Conceptualization, Investigation, Visualization, Writing – original draft;  
577 **Mario Procopiuck:** Writing - Review & Editing; **Marina Batalini de Macedo:**  
578 Investigation, Formal analysis; **David Sample:** Supervision; **César Ambrogi Ferreira do**  
579 **Lago:** Investigation, Formal analysis; **Vladimir Caramori de Souza:** Supervision, Funding  
580 acquisition; **Mario Eduardo Mendiondo:** Supervision, Project administration, Funding  
581 acquisition.

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## 583 **8 Competing interests**

584 The authors have no competing interests to declare.

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## 586 **9 Availability of data and materials**

587 The data used in this study are not organized in a parameterized database in a relational  
588 bank for availability for automatic processing. However, to databases in multiple  
589 spreadsheets, they can be made available, in .xlsx format, upon request by email:  
590 altair.rosa@pucpr.br

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