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Neutralization characteristics of HIV-1 CRF01_AE Env clones from infections in China

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Abstract

Owing to the increasing prevalence of HIV-1 CRF_01AE, it is necessary to understand the neutralization properties of CRF_01AE and to develop broadly neutralizing monoclonal antibodies (bnmAbs) that can neutralize this virus. The full-length Env gene was cloned from HIV-1 CRF01_AE-infected plasma specimens collected in China and used to establish pseudoviruses. Neutralization phenotypes of the pseudoviruses were characterized with bnmAbs. The neutralizing activities of 11 bnmAbs VRC01, VRC03, IgG1b12 and 3BNC117 (targeting the CD4 binding site); PG9 (targeting the V1V2 region); 2G12 (V3 glycans), PGT135 and 10-1074 (targeting the V3 region); 2F5, 4E10 and 10E8 (targeting the membrane proximal external region), against 36 pseudoviruses were analyzed, demonstrating varying efficacies. In general, VRC01, 10E8 and 3BNC117 showed strong neutralizing

activity, neutralizing more than 75% of the pseudoviruses; followed by PG9 and 4E10, showing moderate neutralizing activity with neutralization of 50%–60% of the pseudoviruses; whereas the efficacies of the remaining bnmAbs were poor, neutralizing less than 15% of pseudoviruses tested. Env variants of CRF_01AE from one infection also showed significant differences in resistance to neutralization. These characterized HIV-1 CRF_01AE pseudoviruses could be used for neutralization studies and evaluation of vaccines or anti-HIV-1 products in China.

Key words: HIV-1, CRF01_AE, neutralizing antibody, pseudovirus

Introduction

Neutralizing antibodies (nAbs) play a critical protective role in viral diseases such as hepatitis, influenza and the novel coronavirus disease 2019 [1-3]. At a time when there is no effective vaccine to protect against human immunodeficiency virus (HIV)-1 infection [4], the protective role of nAbs has been reported [5]. These antibodies can be used for the evaluation of vaccine candidates and analysis of HIV-1 epitopes. A three-tier method for the evaluation of vaccine-induced nAbs using pseudoviruses was previously proposed [6], which is safer and more suitable compared with the use of traditional live viruses. This approach also allows for targeted mutation of amino acids and can be used for high-throughput immunogen screening [7].

To analyze each nAb subtype systematically, researchers believe that characteristic pseudovirus panels should be developed for each HIV-1 group M

variant [7]. The CRF01_AE variant is mainly sexually transmitted and has gradually become the most prevalent subtype since its discovery in China in the 1990s [8]. As of 2020, CRF01_AE variant infections account for 37.6% of total HIV-1 infections [9], and the number of infections is also increasing in South and Southeast Asia. To evaluate a vaccine against the HIV-1 CRF01_AE variant, the pseudovirus library established by the National Institutes for Food and Drug Control includes some CRF01_AE pseudoviruses [10], and the pseudoviruses constructed in this study can also be included to enrich the previous pseudovirus library.

RESULTS

Cloning Env DNA cassettes and pseudovirus construction

Env DNA fragments were successfully amplified from 13 HIV-positive samples. The lengths of all amplification products were as expected. The positive clones were identified and co-transfected with the backbone plasmid pSG3^{Δenv} into 293T cells. Clones that could form pseudoviruses with appropriate titers were selected from each sample for further analysis. Finally, 36 pseudoviruses were obtained with titers ranging from 4000 to 150,000 median tissue culture infectious dose per mL. Phylogenetic tree analysis of full-length Env showed that all 36 pseudoviruses belonged to the CRF01_AE subtype (Fig. 1).

Figure 1. Phylogenetic analysis of the newly sequenced HIV-1 Env genes alongside reference strains. The newly constructed Env clones are indicated by solid circles, with circles of the same color representing the same plasma source and the rest being reference strain Env clones. Lengths of horizontal branches are drawn to scale (scale bar indicates 0.02 nucleotide substitutions per site), while vertical separations are for clarity only. Values at nodes indicate the percentage of bootstraps in which the cluster to the right was found.

Differences in neutralization of AE variants among bnmAbs

According to the literature [11], the 11 bnmAbs investigated were classified into five categories based on recognition sites, targeting CD4 binding sites (CD4bs), the membrane proximal extracellular region (MPER), the apical V1V2 region, the high mannose glycan on gp120, and the glycan-V3 region. The tested pseudoviruses were found to be more susceptible to bnmAbs targeting CD4bs (IgG1b12, 3BNC117, VRC01 and VRC03) and those targeting MPER (2F5, 4E10 and 10E8) compared with antibodies targeting other sites. The bnmAb PG9, which recognizes the apical region of the Env trimer, showed moderate neutralizing activity for the tested pseudoviruses, while a few pseudoviruses could be neutralized by the 2G12 bnmAb, recognizing high mannose glycans on gp120. bnmAbs recognizing the glycan-V3 region (PGT135 and 10-1074) were the least effective at neutralizing the pseudoviruses used in this study. Of the tested bnmAbs, VRC01 demonstrated the strongest efficacy, neutralizing 100% of the pseudoviruses tested, followed by 10E8 and 3BNC117, which neutralized 78% and 75% of the pseudoviruses, respectively. Both 4E10 and PG9 showed moderate neutralization rates, neutralizing 58% and 53% of the pseudoviruses, respectively; the remaining bnmAbs had poor neutralization rates for pseudoviruses at less than 15%, and PGT135 failed to neutralize the tested pseudoviruses (Table S3). A

heat map of the neutralization effect of the bnmAbs on different CRF01_AE-infected patient sera (Fig. 2A) was constructed to compare bnmAb neutralization efficiencies, and the overall neutralization abilities of the bnmAbs for all pseudoviruses in this study were represented by the geometric mean IC_{50} , with smaller IC_{50} indicating stronger neutralization ability (Fig. 2B). The results showed that VRC01, 10E8 and 3BNC117 demonstrated most effective neutralization of CRF01_AE variants circulating in recent years, compared with other bnmAbs.

A

Figure 2. (A) Heat map analysis of bnmAb neutralization of pseudoviruses from different infected individuals (the geometric mean of bnmAb neutralization of pseudoviruses from the same individual was used for comparison when multiple pseudoviruses were constructed from one infected individual). The magnitude of neutralization (inhibition relative to light units) is expressed as a color, with lower values represented by less saturated lighter colors and higher neutralization values represented by more saturated darker colors. **(B)** Differences in neutralizing potency of bnmAbs against HIV-1 CRF01_AE pseudoviruses. Geometric means of bnmAb neutralization against 36 pseudoviruses were used for comparison; for IC₅₀>10 µg/mL, the graph was calculated with IC₅₀ of 10 µg/mL.

Effect of Env variants on neutralizing activity

Seventeen Env clones were amplified from 2019GX001, and the resulting pseudoviruses had different neutralization characteristics when exposed to bnmAbs, showing escape from single antibodies (Fig. 3A). Of the 2019GX001 variants, 2019GX001.59 showed highest susceptibility to neutralization by six bnAbs, comprising VRC01, 10E8, 3BNC117, 4E10, 2F5 and PG9. Four pseudoviruses could be neutralized by five bnmAbs, comprising 2019GX001.12, 2019GX001.14, 2019GX001.27 and 2019GX001.63, four pseudoviruses could be neutralized by

four bnmAbs, including 2019GX001.16, 2019GX00156, 2019GX001.64 and 2019GX001.72, and six pseudoviruses could be neutralized by three bnmAbs, including 2019GX001.3, 2019GX001.6, 2019GX001.17, 2019GX001.44, 2019GX001.58 and 2019GX001.60. Two pseudoviruses—2019GX001.2 and 2019GX001.15—were neutralized by VRC01 and 10E8 only, whereas all other bnmAbs were ineffective against these pseudoviruses. Five Env clones were obtained from 2019GX037 and three of the five showed resistance to VRC03 and 4E10 and these viruses were susceptible to the remaining bnmAbs, including VRC01, 10E8 and 3BNC117 (Fig. 3B).

Figure 3. Heat map analysis of bnmAb neutralization of different pseudoviruses from two infected individuals, **(A)** 2019GX001 (abbreviated as GX001) and **(B)** 2019GX037 (abbreviated as GX037), with the magnitude of neutralization (inhibition relative to light units) indicated by color. Lower values are represented by less saturated light colors, higher neutralization values are represented by more saturated dark colors. bnmAbs that failed to neutralize any of the tested pseudoviruses are not shown.

DISCUSSION

The characterization of 36 CRF01_AE pseudovirus variants with 11 bnmAbs identified a number of sites that may affect the neutralization activity of bnmAbs. Antibody 3BNC117 recognizes a discontinuous epitope on gp120, and its neutralization activity is affected by changes at several sites (including P124, T198, V275, A281, R308, S365, Q428, G459, N462, and G471), of which amino acid changes at the G459 site makes the virus more susceptible to neutralization by 3BNC117[12]. Seven of the 36 pseudoviruses used in this study (2019GX001.12, 2019GX001.14, 2019GX001.15, 2019GX001.44, 2019GX001.56, 2019GX001.58 and 2019GX001.59) showed amino acid changes at G459D, while one (2019GX001.15) was resistant to 3BNC117, suggesting that this site may not be the most critical site for neutralizing activity. One pseudovirus, 2019GX014.3, demonstrated a P124Y change and was resistant to 3BNC117, suggesting that this site may have some effect on 3BNC117 neutralizing activity. Pseudoviruses 2019GX001.2, 2019GX001.3, 2019GX001.6, 2019GX001.12, 2019GX001.56 and 2019GX001.59 were obtained from a single patient, and only 2019GX001.2 showed resistance to 3BNC117, with K282R as its specific amino acid change, suggesting that this locus may have an effect on susceptibility to 3BNC117.

Antibody 2G12 is a glycosylation-dependent bnmAb that recognizes glycosylated conformational epitopes, including N295, N332, N386, N392, N397 and N448 [13]; these epitopes have been reported to play an important role in the binding of 2G12 to gp120 [14]. Only two of the 36 pseudoviruses used in this study could be

neutralized by 2G12, and the 34 pseudoviruses showing no susceptibility to 2G12 had varying mutations at the above-mentioned loci, suggesting that N295 and N332 play an important role in binding. The two pseudoviruses SZ18S0004.3 and 2019GX030.8 that were highly susceptible to 2G12 carried 339N in addition to 295N and 332N, suggesting that the N339 position may also be important for 2G12 recognition of the virus.

The core sequence of the epitope recognized by the 4E10 bnmAb is located at positions 671–676 with the sequence NWFNIT, with additional important binding related sites. Previous reports have shown that three sites—672W, 673F and 680W—are critical for the neutralization activity of antibodies [15], but the relationship between amino acid mutations in the above sites and neutralization susceptibility in these 36 pseudoviruses showed no regular patterns. In contrast, four strains of 2019GX001, 2019GX001.2, 2019GX001.58, 2019GX001.14, and 2019GX001.15, demonstrated resistance to 4E10, and the simultaneous presence of amino acid changes in G691S in these four strains suggested that this locus may affect the neutralizing activity of 4E10 against the virus.

The epitopes recognized by 10E8 overlap and are more numerous than those recognized by 4E10, and this bnmAb recognizes NWFDITNWLWYIK at positions 671–683 [16], where 671N, 672W, 673F, 676T, 680W and 683K/R are critical for 10E8 binding and neutralization of the virus. It has also been reported that glycosylation at positions 231E and 301 could increase the neutralization efficacy of 10E8, while 693V reduced neutralization by 10E8. Comparison of the sequences

revealed that the amino acid changes and neutralizing activity of these 36 pseudoviruses at the above-mentioned loci remained inconsistent, but one pseudovirus, 2019GX001.3 of the 2019GX001 variants, also showed resistance to 10E8, and the only unique mutation site in this variant compared with others was T90A, indicating that this site most likely affected neutralization of the virus by 10E8.

The presence of antibodies in an infected person can drive the virus to mutate, and the antibodies produced in an HIV-1 infected person can stimulate the evolution of the virus that established the infection; even if the patient has not been treated with a bnmAb, it is possible that the same dominant variant in the body will develop quasi-species of escape mutations against bnmAb. For this reason, among others, researchers have tried to use a “cocktail” approach to increase the broad-spectrum and neutralizing activity in bnmAb treatment, which is already available in “3BNC117+10-1074” therapy [17]. According to the results of this study, it was hypothesized that bnmAbs recognizing CD4bs and MPER would have the best neutralizing activity against CRF01_AE pseudoviruses. Among the observed escapes of virus from bnmAbs, the 2019GX001 and 2019GX037 strains both could be neutralized by VRC01, suggesting that the site recognized by VRC01 may be more conserved, and it may be the most suitable bnmAb for the treatment of CRF01_AE. Meanwhile, 10E8 showed the second strongest neutralizing activity, suggesting that “VRC01+10E8” therapy may be promising for the treatment of CRF01_AE-infected patients in China.

Pseudoviruses, as a novel tool with the advantages of safety, high throughput,

and the possibility of targeted amino acid mutations, are gradually being used in vaccine evaluation, and their sensitivity may exceed that of viral infection experiments [18]. We found that HIV-1 CRF01_AE pseudoviruses showed higher susceptibility to antibodies against CD4 binding sites and MPER than antibodies against V1V2 and V3 regions. Among them, three bnMAbs—VRC01, 10E8 and 3BNC117—showed the highest neutralizing potency against CRF01_AE variants. Considering the high mutagenicity of the HIV-1 virus, combination bnMAb therapy holds promise for successful treatment of HIV-1 infection.

METHODS

Plasma samples

The Chinese Center for Disease Control and Prevention collected plasma samples from 13 HIV-1 CRF01_AE subtype-infected patients from 2018 to 2019, successfully cloned the full length Env genes from the isolated viruses and used them to construct 36 pseudoviruses (Table S1). All samples were subtyped according to the amplified Env, and the pseudovirus samples were named according to the collection region and serial number.

Cells, plasmids, and broadly neutralizing monoclonal antibodies

HEK293T and TZM cells were obtained from the National Institutes for Food and Drug Control, Beijing, China and cultured in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum. Env-removed backbone plasmid pSG3^{Δenv} [19-20] was obtained from the National Institutes for Food and Drug

Control. Human derived broadly neutralizing monoclonal antibodies (bnmAbs) VRC01 [21], 3BNC117 [22], PGT135 [23], 10-1074 [24], 2F5 [25-26], 4E10 [27] and 10E8 [28] were provided by Tsinghua University, Beijing, China; VRC03 [21], IgG1b12 [29-32], PG9 [33] and 2G12 [34] were obtained from the National Institutes for Food and Drug Control.

Amplification, cloning and phylogenetic analysis of HIV Env

Viral RNA was extracted from 140 μ L of plasma using a QIAamp viral RNA mini kit (Qiagen, Hilden, Germany). cDNA was reverse transcribed from total viral RNA using the PrimeScriptTM III first strand cDNA synthesis kit (Takara,kyoto, Japan). Primers were designed (Table S2), and the viral Env DNA fragments were amplified by nested polymerase chain reaction (PCR) using the following conditions. First round PCR amplification: pre-denaturation at 94°C for 4 min; 30 cycles of denaturation at 94°C for 15 seconds, annealing at 60°C for 30 seconds, extension at 68°C for 4 min; extension at 68°C for 10 min. Second round of PCR amplification: pre-denaturation at 94°C for 4 min; 30 cycles of denaturation at 94°C for 15 seconds, annealing at 60°C for 30 seconds, extension at 68°C for 3 min; extension at 68°C for 10 min. PCR products were inserted into pcDNA3.1(+) using In-Fusion enzyme (Takara) in accordance with the manufacturer's instructions.

Fifteen positively transformed DH5 α colonies from each sample were selected and sequenced by the SinoGenoMax Co., Ltd/China Human Genome Center (Beijing, China) by primer walking to acquire the full-length sequence of the inserted fragment between the T7 and BGH primers of the vector. A two-parameter model with 1000

bootstraps in Mega 6.0 was used to construct a neighbor-joining tree, and site-specific analysis was performed using Bioedit.

Pseudovirus preparation, titration, and neutralization assay

Plasmids were transfected into HEK293T cells using Lipofectamine 3000 reagent (Invitrogen, Carlsbad, CA), and pseudoviruses were harvested and titrated after 2 days. Neutralizing ability was measured by the decrease in Luc reporter gene expression after a single round of virus infection of TZM-BL cells. These assays were performed as described previously [35].

Statistical analysis

A phylogenetic tree was mapped using Mega 6.0 to understand the phylogeny of the new AE strains, and S-curve testing was performed using GraphPad Prism 8.0 to assess the neutralization titer between HIV-1-positive plasma samples and mAbs. Heat maps of neutralization were established using the online heatmap tool of HIV databases

(https://www.hiv.lanl.gov/content/sequence/HEATMAP/heatmap_mainpage.html).

The shades of color in the map represent the strength of the response, with darker and lighter colors representing stronger and weaker responses, respectively. The ID₅₀ values were converted to base 10 logs before plotting.

Statement

All experimental protocols were approved by National Center for AIDS/STD Control and Prevention, China CDC.

All procedures performed in studies involving human participants were in

accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

Informed consent was obtained from all individual participants included in the study. All subjects are over 18 years old.

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Competing interests

The author(s) declare no competing interests.

Author contributions

XY Zhang and WJ Huang wrote the main manuscript text and all authors prepared figures 1-3. All authors reviewed the manuscript.

Figures

Figure 1

Phylogenetic analysis of the newly sequenced HIV-1 Env genes alongside reference strains. The newly constructed Env clones are indicated by solid circles, with circles of the same color representing the same plasma source and the rest being reference strain Env clones. Lengths of horizontal branches are drawn

to scale (scale bar indicates 0.02 nucleotide substitutions per site), while vertical separations are for clarity only. Values at nodes indicate the percentage of bootstraps in which the cluster to the right was found.

Figure 2

(A) Heat map analysis of bnmAb neutralization of pseudoviruses from different infected individuals (the geometric mean of bnmAb neutralization of pseudoviruses from the same individual was used for comparison when multiple pseudoviruses were constructed from one infected individual). The magnitude of neutralization (inhibition relative to light units) is expressed as a color, with lower values represented by less saturated lighter colors and higher neutralization values represented by more saturated darker colors. (B) Differences in neutralizing potency of bnmAbs against HIV-1 CRF01_AE pseudoviruses. Geometric means of bnmAb neutralization against 36 pseudoviruses were used for comparison; for $IC_{50} > 10 \mu\text{g/mL}$, the graph was calculated with IC_{50} of $10 \mu\text{g/mL}$.

Figure 3

Heat map analysis of bnmAb neutralization of different pseudoviruses from two infected individuals, (A) 2019GX001 (abbreviated as GX001) and (B) 2019GX037 (abbreviated as GX037), with the magnitude of neutralization (inhibition relative to light units) indicated by color. Lower values are represented by less saturated light colors, higher neutralization values are represented by more saturated dark colors. bnmAbs that failed to neutralize any of the tested pseudoviruses are not shown.

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