

Simulating the Spatial Distribution of Pollutant Loads from Pig Farming using an Agent-based Modeling Approach

An The Ngo (✉ ngothean@gmail.com)

Vietnam National University of Agriculture <https://orcid.org/0000-0002-0518-318X>

Giang Thi Huong Nguyen

Vietnam National University of Agriculture

Duong Huu Nong

Vietnam National University of Agriculture

Linda See

IIASA: International Institute for Applied Systems Analysis

Research Article

Keywords: Agent-based model, pollutant load, pig farming, planned behavior, water pollution, livestock waste

Posted Date: June 22nd, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-572047/v1>

License:  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

1 **Simulating the spatial distribution of pollutant loads from pig farming using an agent-based modeling**
2 **approach**

3 An The Ngo ^{1,*}, Giang Thi Huong Nguyen ¹, Duong Huu Nong¹ and Linda See ^{2,*}

4 ¹ Faculty of Environment, Vietnam National University of Agriculture; Trau Quy, Gia Lam, Hanoi, VIETNAM

5 ² Advancing Systems Analysis Program, International Institute for Applied Systems Analysis (IIASA);
6 Schlossplatz 1 - A-2361 Laxenburg, AUSTRIA

7 * Correspondence: ntan@vnua.edu.vn; Tel.: +84-9122-54886 (A.T.N.) and see@iiasa.ac.at; Tel.: +34-2236-807-
8 423 (L.S.)

9
10 **Abstract**

11 This research developed an agent-based model (ABM) for simulating pollutant loads from pig farming. The
12 behavior of farmer agents was captured using concepts from the Theory of Planned Behavior. The ABM has three
13 basic components: the household or farmer agent, the land patches and global parameters that capture the
14 environmental context. The model was evaluated using a sensitivity analysis, and then validated using data from
15 a household survey, which showed that the predictive ability of the model was good. The ABM was then used in
16 three scenarios: a baseline scenario, a positive scenario in which the number of pigs was assumed to remain stable
17 but supporting policies for environmental management were increased, and a negative scenario, which assumed
18 the number of pigs increases but management measures did not improve relative to the baseline. The positive
19 scenario showed reductions in the discharged loads for many sub-basins of the study area while the negative
20 scenario indicated that increased loads will be discharged to the environment. The scenario results suggest that to
21 maintain the development of pig production while ensuring environmental protection for the district, financial and
22 technical support must be provided to the pig producers. The experience and education level of the farmers were
23 significant factors influencing behaviors related to the manure reuse and treatment, so awareness raising through
24 environmental communication is needed in addition to technical measures.

25 **Keywords:** Agent-based model, pollutant load, pig farming, planned behavior, water pollution, livestock waste

26

27 **1 Introduction**

28 In recent years, surface water quality in Vietnam has been severely degraded due to increased environmental
29 pressures from domestic, industrial and agricultural activities (MONRE, 2014). In the agricultural sector, pig
30 production is the largest source of pollution, accounting for 51% of the total nitrogen load discharged into rural
31 water basins (An et al., 2020). Many local water pollution problems are attributed to pig production (Nguyen et
32 al., 2021, Vu et al., 2007). Accordingly, the government and authorities have issued a waste management program
33 aimed at minimizing sources of pollution and environmental problems around pig farming areas (Nguyen et al.,
34 2021).

35
36 The individual's responsive behavior is an important factor in environmental policy implementation. However,
37 this factor is often overlooked in policy and planning processes due to lack of information at the individual level
38 and is often treated as a "black box" in policy assessment (Berkes et al., 2000). In fact, agricultural production in
39 general and pig production in particular are not only influenced by external factors (e.g., policy), but they are also
40 impacted significantly by internal factors existing within the farm (Happe et al., 2011). Therefore, farm
41 management behaviors are heterogeneous in nature, depending on the complex interactions between their own
42 specific conditions and the external context. For example, some farmers may be willing to fully comply with
43 regulations on waste treatment while there are also many farmers who do not respond to the policy as expected.
44 Examples of negative or non-compliant behavior could be the discharge of untreated manure in prohibited areas
45 or the rejection of mitigation technologies recommended by the government (Zheng et al., 2013). The difference
46 in the behavior and effectiveness of policy implementation is also due to the heterogeneity of economic conditions
47 and different perceptions of farmers (Feng and Heerink, 2008, Ngo et al., 2012).

48
49 Pro-environmental behavioral studies have been carried out for many years, typically based on Ajzen's studies
50 (Ajzen, 1991). Based on this approach, researchers have conducted studies on waste management behaviors and
51 tested the hypotheses that the attitudes, subjective norms and perceived behavioral controls have a significant
52 influence on recycling and composting behaviors (Taylor and Todd, 1995b, Taylor and Todd, 1997). These factors
53 have been proven as the determinants of recycling behaviors in research undertake in Taiwan (Chu and Chiu,
54 2003) and Scotland (Edgerton et al., 2009). Most studies on the Theory of Planned Behavior (TPB) have been
55 based on a large survey data set to determine and validate the influencing factors. Some studies based on statistical
56 analysis have also attempted to extend the research focus to farm characteristics and social context factors (Tonglet
57 et al., 2004, Supaporn et al., 2013, Ittiravivongs, 2011, Nsimbe et al., 2018). However, the findings were focused
58 mainly on the deterministic interaction without including contextual factors that might influence collective

59 individual behaviors stochastically. Therefore, these results are not capable of predicting behavior in the context
60 of heterogeneity, especially perceptions of farmers as mentioned above.

61

62 To simulate such aggregated behavior within a heterogeneous and interacting environmental system, a novel
63 modeling approach is needed. An agent-based model (ABM), which integrates spatial analysis, has become a
64 particularly promising approach for such a purpose. In an ABM, a system is modeled as a collection of autonomous
65 decision-making entities called agents, each of which can individually assess their situation and make decisions
66 on the basis of a set of rules (Bonabeau, 2002). By modeling agents individually, the full effects of
67 the diversity that exists among agents in their attributes and behaviors, which together give rise to the behavior of
68 the system as a whole, can be observed (Macal and North, 2010). ABMs can connect the decision making agent
69 at a microcosmic level together with the macroscopic phenomena of the system, which can help us study complex
70 adaptive systems (Wooldridge et al., 2000). Current available spatial analysis software (e.g., ArcGIS, BASINS)
71 has been augmented with built-in terrain and hydrological analysis toolkits. These software tools become
72 extremely useful in supporting the environmental decision-making process, especially when they are integrated
73 with an ABM approach.

74

75 ABMs have been applied previously in waste management research (Meng et al., 2018, Scalco et al., 2017b).
76 Regarding waste from pig production, ABMs have been initially tested in some select places (Karmakar et al.,
77 2007, Zheng et al., 2013, Van der Straeten et al., 2010). The most relevant study on the application of ABM in
78 animal waste management was conducted in China (Zheng et al., 2013). In particular, the authors developed an
79 ABM called the Nutrient Emission Model (ANEM) to integrate the decision-making process of individuals into
80 an environmental impact assessment. Decisions related to the conversion of farm-scale, manure collection
81 technology and manure handling patterns were formulated in the ANEM through the underlying function of the
82 cost, benefit and risk related to the performance of the farms. In addition to the conversion rate at the livestock
83 scale, this model could also forecast the nutrient emissions for the study area. The limitation of this model was the
84 simplified behaviors of the waste collection and handling. As the authors acknowledged in their paper, important
85 factors, such as education level, socioeconomic status and innovation, were not included in the simulation.
86 However, these factors are very important determinants of behavior that should be included (Ajzen and Fishbein,
87 2005, Chu and Chiu, 2003, Edgerton et al., 2009, Taylor and Todd, 1997).

88

89 ABM applications in the field of TPB have also been developed (Scalco et al., 2017a, Scalco et al., 2018).
90 However, since ABMs are still a relatively new approach and the TPB is inherently complex, the existing models

91 have mostly been developed and tested in specific areas, and they have been unable to represent general behaviors.
92 The integration of contextual factors into the TPB model still requires considerable validation, especially the
93 definition of these contextual factors in spatial terms within a complex landscape. Applied research on the TPB is
94 particularly limited in Vietnam (Si et al., 2019), while ABMs applied to TPB are even rarer. Yet, environmental
95 controlling behavior is often considered a decisive factor in the implementation of local environmental
96 management policies (Edgerton et al., 2009). In particular, waste recycling and composting play an important role
97 in promoting circular economic development in the pig production sector, which several sustainable development
98 policies aim for in the coming years.

99
100 This study aims to develop an ABM to simulate the spatial distribution of pollutant loads generated from pig
101 farming, thereby supporting waste management planning based on the actual load accumulated in the sub-basins.
102 The model systematically analyzes the interactions between the agents (i.e., the farmers) and their surrounding
103 environment through aggregated environmental performance based on the internal attributes of the farms and the
104 external policy context at the national level. The model applies the TPB approach to systematically predict farmers'
105 behaviors within Vietnamese conditions. Therefore, this study contributes to broadening the application of TPB to
106 systems that have dynamic individual perceptions within a heterogeneous context. At the same time, the model
107 also integrates spatial analysis in an integrated model, in particular the analysis of waste accumulation zoning and
108 the interaction of the location and distance between the farms, which has not been the focus of previous research.

109

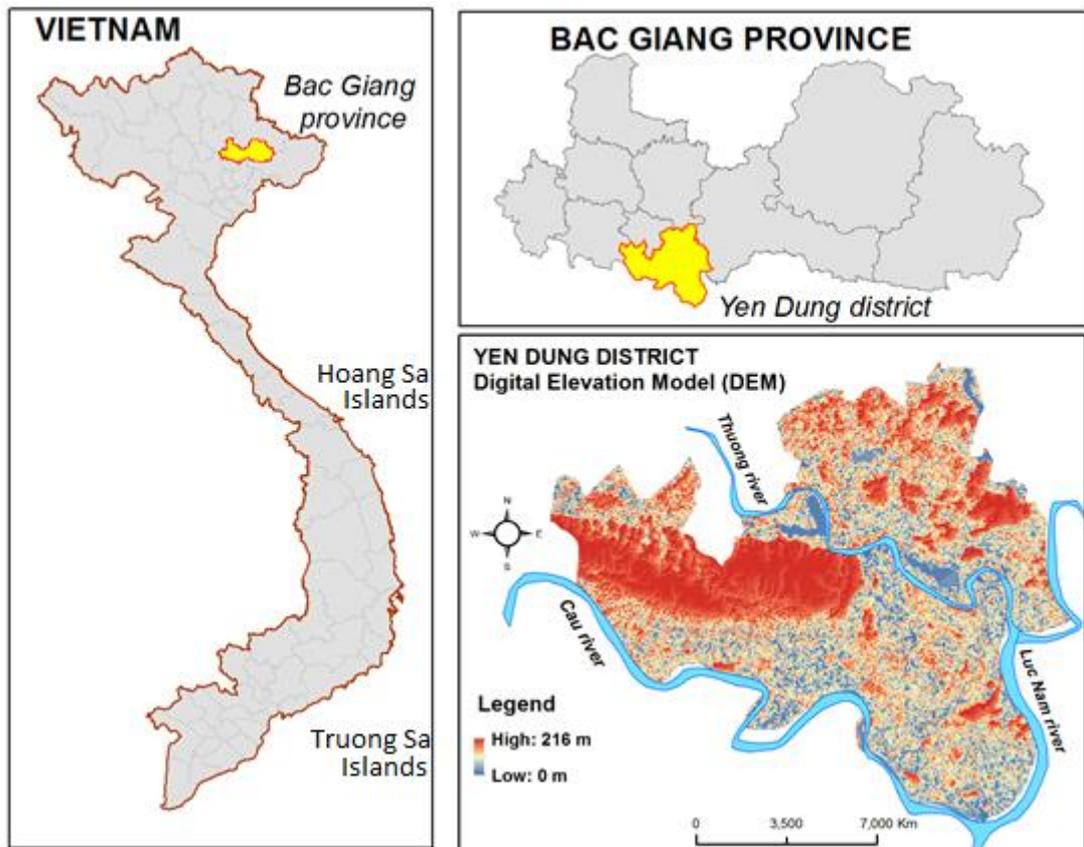
110 **2 Study area**

111 The study area is Yen Dung district, which is a semi-mountainous district including 19 communes and two towns
112 in Bac Giang province in northern Vietnam. Yen Dung is surrounded by the Cau, Thuong and Luc Nam Rivers.
113 The western part of the district has a high mountain range running through four communes: Noi Hoang, Yen Lu,
114 Nham Son, and Leo Town. Other communes have low sloping terrain, interleaved with lowland for water retention
115 before spilling into the local river systems. The hydrological flows are highly dispersed on the surface terrain, with
116 the major direction of flow from the northwest to the southeast. The study area is shown in Fig. 1.

117

118 This study focuses mainly on pig farming at both medium and small scales. In Yen Dung district, pigs are mostly
119 raised on a small scale in households. There are a few farms that have more than 1000 pigs, but no large-scale
120 farms were found in the district. In 2020, the district had 4,274 pig farms with a total pig population of 82,313
121 (Bac Giang department of Animal husbandry and Veterinary, 2020). However, environmental issues have arisen
122 from the management of the pig waste. Although some of the pig manure is reused through composting or selling,

123 a significant volume of waste is treated by biogas digesters or discharged into the environment if the farm has no
124 waste treatment system, which leads to water pollution.
125



126

127 Fig. 1: The location of Yen Dung district and a DEM of the district. Source of the DEM: SRTM.

128

129 3 Materials and methods

130 3.1 Modelling approach

131 The ABM for predicting pollutant discharge loads from pig farming was developed based on the TPB approach
132 (Ajzen, 1991), with an extension to include the influence of background factors according to the Theory of
133 Reasoned Action (Ajzen and Fishbein, 2005). Many researchers have applied these theories to explain and predict
134 intended human behavior with a special focus on waste management (Scalco et al., 2017a, Chu and Chiu, 2003,
135 Taylor and Todd, 1997).

136

137 There are two important behaviors related to the pollutant load that need to be simulated: (i) recycling and (ii)
138 treatment of pig manure. According to the TPB, each behavior will be determined by the intention to perform that
139 behavior (BI). BI, in turn, is formed by (i) attitude (AT), which reflects feelings of favorableness towards a
140 behavior; (ii) subjective norms related to environmental protection (SN), which reflects perception that significant

141 influences (i.e., family, society) desire the individual to perform a behavior or refrain from it; and (iii) perceived
142 behavioral control (PBC), which reflects beliefs regarding control over factors that may facilitate or inhibit the
143 implementation of a behavior.

144

145 The behavioral intention (BI) of a farmer is formulated as follows (Ajzen, 1991):

146

$$147 \quad BI = w_A AT + w_{SN} SN + w_{PBC} PBC \quad [1]$$

148

149 where w is the weight factor. The relationship between BI and the actual ability to perform the behavior (B) is
150 defined as:

$$151 \quad B = w_{BI} BI + w_{PBC} PBC \quad [2]$$

152

153 Each of the determinants of intention (i.e., AT, SN, and PBC) for a particular behavior is, in turn, determined by
154 the strength of belief (b) associated with each possible outcome (i) when performing the behavior as well as the
155 subjective evaluation of an individual (e) regarding the desirable benefits from the outcome. Stated formally, AT
156 is proportional to the sum of the attitudinal belief (b_i), multiplied by an evaluation of the desirability of that
157 outcome (e_i):

$$158 \quad AT \propto \sum b_i e_i \quad [3]$$

159

160 According to previous research (Chu and Chiu, 2003, Taylor and Todd, 1995b), two essential attributes that
161 determine attitudes towards waste recycling or composting were the relative perception of personal benefits (PRB)
162 and social benefits (SRB). PRB, in this study, was defined similarly to the study by Taylor and Todd (1997), which
163 includes the cost or reward that livestock owners think they would receive when performing the behavior, which
164 could also simply be the feeling of being recognized by others as a good person. The SRB includes aspects such
165 as protecting natural resources and reducing the overall social cost to the community (e.g., reducing water
166 pollution). Thus, equation [3] above can be written as:

167

$$168 \quad A \propto \sum (b_{PRB} e_{PRB} + b_{SRB} e_{SRB}) \quad [4]$$

169

170 The SN is determined by the normative belief (n) that an agent should perform a particular behavior based on the
171 views of relevant social references, and the motivations for the individual to comply with those references (m):

172

173
$$SN \propto \sum n_i m_i \quad [5]$$

174

175 As suggested in previous studies (Oskamp et al., 1991, Chu and Chiu, 2003, Edgerton et al., 2009), one important
 176 predictor of recycling behavior was having friends and neighbors who recycled. The SN's determinants were
 177 divided into two groups based on peer influences: primary normative belief (PNB), which include beliefs
 178 influenced by family, friends, and neighbors (Taylor and Todd, 1995a); and secondary normative belief (SNB),
 179 which includes beliefs influenced by environmental groups and governments (Edgerton et al., 2009), which can,
 180 thus, be defined as:

181

182
$$SN \propto \sum (n_{PNB} m_{PNB} + n_{SNB} m_{SNB}) \quad [6]$$

183

184 Perceived behavioral control (PBC) is determined by control belief (c), which reflects an individual's perceived
 185 difficulty or ease in performing a particular task; and by the perceived facilitation (p) to perform that behavior:

186

187
$$PBC \propto \sum c_i p_i \quad [7]$$

188

189 Based on previous studies (Ajzen, 1991, Chu and Chiu, 2003, Taylor and Todd, 1995b), PBC was divided into
 190 two components: self-efficacy (SE), which is related to the perceived knowledge and effectiveness of recycling;
 191 and facilitating conditions (FC), which refer to factors such as time, household space, and the convenience of
 192 recycling. Hence, Equation [7] can be elaborated as follows:

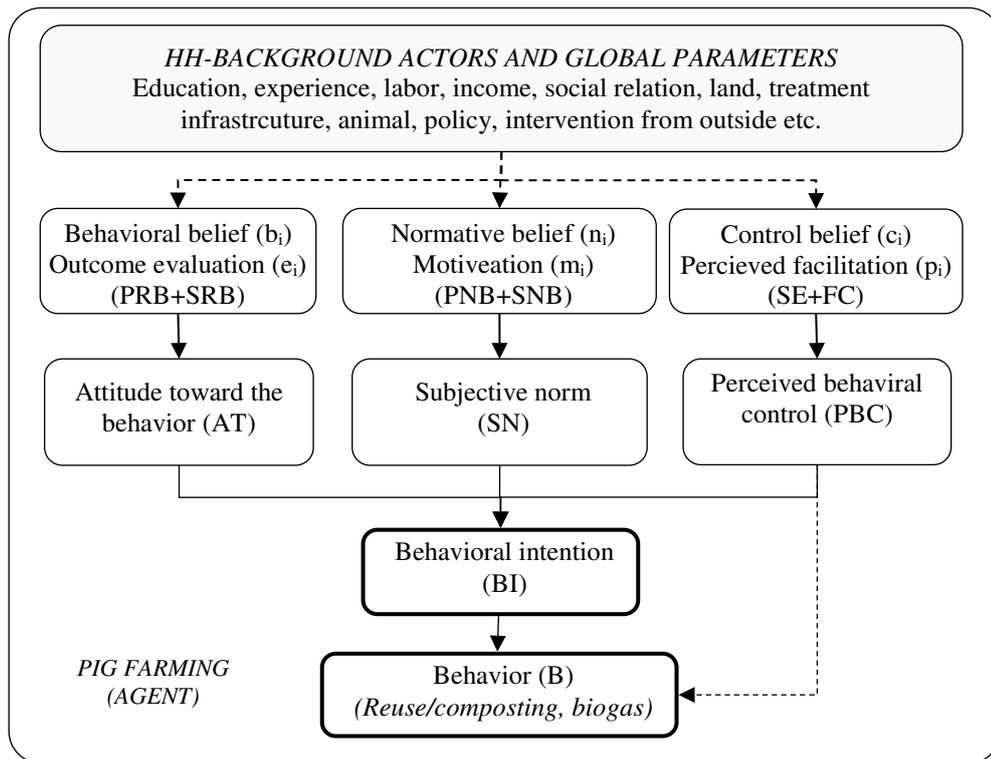
193

194
$$PBC \propto \sum (c_{SE} p_{SE} + c_{FC} p_{FC}) \quad [8]$$

195

196 The framework in Fig. 2 shows that AT, SN and PBC are all influenced by household background factors such as
 197 education, experience, labor, income, social relations, land, treatment infrastructure, animal, interventions from
 198 the outside, etc. This formulation is compatible with findings from the literature (Supaporn et al., 2013, Nsimbe et
 199 al., 2018, Mustafa-Msukwa et al., 2011, Ajzen and Fishbein, 2005). In addition, environmental conditions such as
 200 the pollution status of the community, the distance to the neighboring households, and the treatment status of the
 201 neighboring households also have a great influence on people's intentions (Scalco et al., 2017b, Oskamp et al.,
 202 1991).

203



204

205

206

Fig. 2: A framework for predicting pig manure recycling behavior. Adapted from Ajzen and Fishbein (2005).

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

The role of global parameters such as policy interventions (e.g., financial support, technical support, environmental pollution control) by local environmental management organizations were confirmed to have an impact on the decision-making process of environmental management (Edgerton et al., 2009, Supaporn et al., 2013, Ajzen and Fishbein, 2005, Scalco et al., 2018). However, household/farm context and global parameters may only have an indirect effect on AT, SN and PBC (Ajzen and Fishbein, 2005).

According to the theories mentioned above, when BI becomes actual behavior (B) will depend once again on the PBC of an individual. Both BI and PBC play an important role in predicting behavior, but, in practice, it is possible to use only one of them (Ajzen and Fishbein, 2005).

The approach described above is for predicting a behavioral intention based on attitudes, subjective norms and belief behavioral control and finally actual behavior. This approach has much better predictive ability than the direct prediction of a specific behavior (Ajzen, 1991). This approach is applied to predict the behavior of manure reuse and is embedded in the ABM as described below.

222 3.2 The agent-based conceptual model

223 The pig manure discharge load depends on (i) the generation of pollutants; (ii) the manure reuse rate; (iii) manure
224 treatment; and (iv) manure discharge into the environment. An ABM was developed for simulating the interaction
225 of all the above factors. The generation of pollutant load was not simulated as a behavior but calculated directly
226 by multiplying the number of pigs with the emission coefficient as suggested by An et al. (2020). According to the
227 information obtained from the survey in 2020, manure reuse activities were mainly composting (carried out at pig
228 farms or sold to outside fertilizer manufacturers) while manure treatment was mainly by biogas digester operation.
229 These two factors were directly related to the farm owners' behaviors and thus is modelling based on the TPB
230 approach (Ajzen, 1991).

231

232 In terms of structure, the ABM model for predicting the pollutant load discharge from pig farming was constructed
233 with 3 basic components, similar to the three basic structural components of the Netlogo software (Wilensky,
234 1999), which was designed specifically for agent-based modeling purposes (Macal and North, 2007, Railsback et
235 al., 2006):

- 236 • Pig production household (HH-agent): is the agent where pollutants are discharged from, which is also a
237 decision-making unit regarding manure recycling and treatment, thereby determining the pollutant load
238 discharging to the environment. The pig production households are designed as agents, which are capable of
239 making autonomous decisions based on their inherent characteristics and external environmental conditions.
240 The hierarchical representation of household agents is by their own characteristics, manure management
241 behaviors and group interactions or cooperation in the sub-basin.
- 242 • The physical environment (Land patches): is a grid of land parcels that consist of cells; each cell has x-y
243 coordinates; neighbor relations between the cells; and biophysical properties of the patch. Land patches are
244 represented in the ABM as the dynamics of the land properties (e.g., pollutant loads, pollutant density), which
245 are updated after each model run.
- 246 • Global parameters or the global environment: is the set of external conditions that include important socio-
247 economic and environmental policy-related parameters. The global environment is considered as the external
248 driving force of environmental change. It varies from year to year but applies across the whole grid and
249 influences all agents.

250

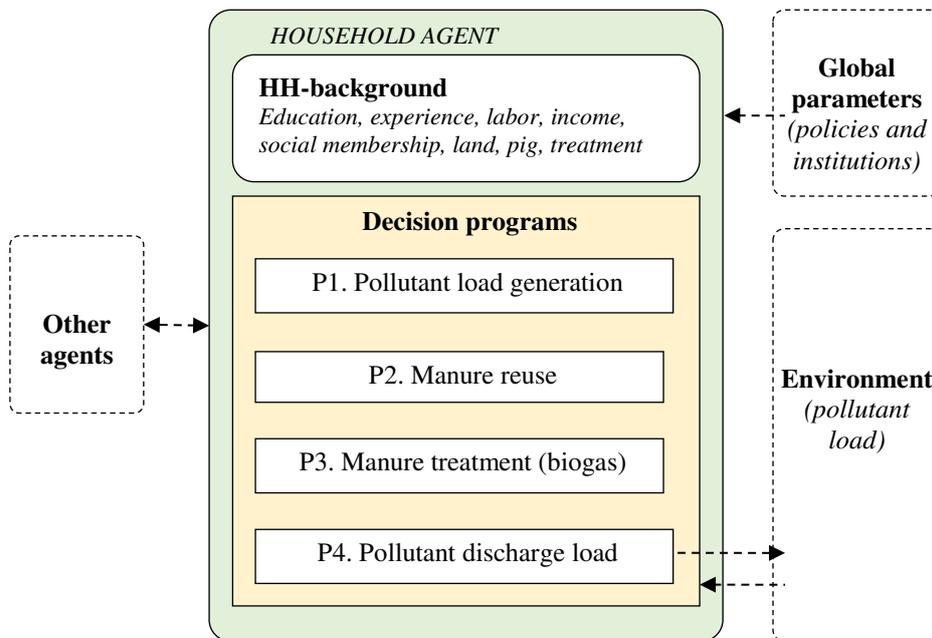
251 In the model, the HH-agents interact and change environmental properties by their pollutant discharge. The
252 environment also changes the behavior of HH-agents by directly affecting the perception of each agent and

253 indirectly through the social pressure created by neighboring agents. More details of the above components are
254 provided in the sections that follow.

255

256 3.2.1 HH-agent

257 Each household is an autonomous agent that contains a background representing its household characteristics, and
258 potential decision behaviors. The general structure of the household agent is provided in Fig. 3.



259

260

Fig. 3: The structure of the household agent

261

262 **HH-background:** is a set of household/farm characteristics that influence pig manure management such as
263 education, experience of the household head, labor, income, social membership, land, number of pigs, and
264 treatment facilities.

265

266 As shown in Fig. 3, there are four decision programs as follows:

267 **P1. Pollutant load generation:** is for calculating the pollutant load generated from pig farming. The load is the
268 product of the number of pigs multiplied by emission coefficients. In this study, the pollutant coefficients (COD,
269 BOD₅, TN and TP), which were officially recommended by the Vietnam Environmental Agency (VEA, 2019),
270 were applied.

271 **P2. Pig manure reuse:** is for predicting manure reuse behavior with 2 routines as follows:

- 272 • **P2.1. Manure reuse behavioral intention (BI) routine:** The background factors, AT, SN and PBC have
273 significant relationships with BI as confirmed by previous studies (Scalco et al., 2017b, Ajzen and

274 Fishbein, 2005, Edgerton et al., 2009, Mustafa-Msukwa et al., 2011, Chu and Chiu, 2003, Taylor and
275 Todd, 1997). Therefore, this routine used coefficients associated with AT, SN and PBC from regression
276 models to predict BI. First, AT, SN and PBC were predicted from background factors and global
277 parameters. These three variables were then used to predict BI.

278 • *P2.2. Manure reuse behavior (B) routine:* According to the theoretical model, B is correlated with BI and
279 PBC. This correlation has also been verified by previous studies (Ajzen and Fishbein, 2005, Chu and
280 Chiu, 2003, Taylor and Todd, 1997). In this study, B is the actual manure reuse behavior (including on-
281 farm and off-farm composting). The quantity of actual manure reuse by the HH-agents is predicted using
282 the correlation coefficients from a statistical analysis. More details can be found in Ngo et al. (2021).

283

284 ***P3. Manure treatment (biogas)***

285 According to the survey results, since composting is classified as reuse (i.e., not discharged into the environment),
286 the only treatment method applied at the study site was by biogas digester. For households having a biogas digester,
287 they usually discharge all the manure (except for the part collected for composting) through the biogas system
288 before discharging it to the environment. For households without a biogas digester, the waste is discharged directly
289 into the environment. Thus, the biogas treatment in the study site depends directly on the biogas digester related
290 projects. Biogas digesters are usually only built by breeders who own a large number of pigs. Additionally, some
291 households have built biogas digesters through support by government projects. Due to the specificity of the
292 external interventions, the program predicting the biogas treatment behavior did not proceed sequentially as in P2
293 above. Instead, this routine used the correlation coefficients between the background factors and the probability
294 that households have a biogas digester to make predictions for the entire study area.

295

296 ***P4. Pollutant discharge load***

297 The pollutant discharge load is calculated as follows:

298 Pollutant discharge load = (treated pollutant) x (1 - treatment efficiency) + (untreated pollutant) [9]

299 Equation [9] calculates the amount of pollutant load discharged to the environment based on the integrated
300 interactions between agents and the external environment, including the physical environment and the social
301 context (environmental management policy) in the study area. The final result from each agent is determined
302 through the model run.

303

304 **3.2.2 Land patches**

305 The physical environment must be designed in such a way that it accommodates household agents (Russell and
306 Norvig, 1995). Accordingly, each land patch in this model is defined with both dynamic and deterministic
307 properties. The main properties of the land patch include:

- 308 • Administrative unit (name)
- 309 • Land use type
- 310 • Sub-basin (basin ID)
- 311 • Pig density (pig/ha)
- 312 • Pollutant load (kg/year)
- 313 • Pollutant load density (kg/ha/year)

314 The discharge load of each agent is calculated and updated for the land patches using the basic algebra map
315 calculation function. The discharge loads on patches are then aggregated by sub-basin, to calculate the average
316 and maximum discharge load on each sub-basin. The loads of sub-basins are the values that create the pressures
317 on agents in the form of a feedback/response index.

318

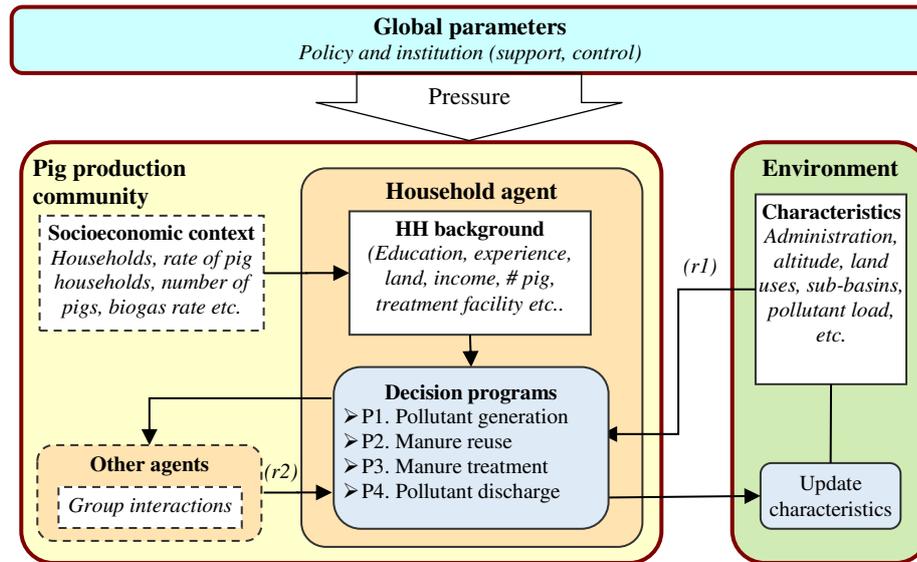
319 **3.2.3 Global parameters and interactions between agents**

320 The effects of social and environmental contexts are conceptualized in ABM terms as global parameters
321 (Wilensky, 1999). The influence of global parameters in this model is shown through the indirect pressure of
322 environmental policy from the top level. This pressure will be received by the local policy implementing agency
323 who then translates this pressure into direct effects on pig production households. The environmental protection
324 commitment of agents is closely related to SN and PBC (Ajzen and Fishbein, 2005, Edgerton et al., 2009).

325

326 According to the survey results, the global parameters in the model are defined as technical training policies,
327 financial support and other socio-economic factors existing at the study site. In fact, positive beliefs about
328 environmental behavior can be enhanced if local leaders are able to influence an individual's responsibility by the
329 role of the organization (Taylor and Todd, 1995b). Financial and technical support will directly affect the
330 perception and perceived behavioral control of an agent. Thus, the social pressure has a simultaneous impact on
331 the expected benefits and the accountability of the household agent. Of course, this factor only takes effect when
332 the policy is attached with pressure from superiors in its implementation. In the absence of enforcement pressure,
333 it is clear that households/farm owners will only discharge manure according to their spontaneous behavior. In this
334 case, there is only local customary law or culture that governs the waste management.

335



336

337

338

Fig. 4: The structure of the ABM for predicting pollutant load

339

340

341

342

343

344

345

346

347

3.3 Model simulation

348

349

350

351

The feedback mechanism of the ABM is designed to include the direct environmental impact due to pollutant discharges accumulated on patches (r_1), which in turn affects all agents. This feedback is expressed through the level of pollutant loads in the sub-basins which the agents in those sub-basins can receive. The second feedback (r_2) is expressed as an indirect influence through the social pressure that the surrounding community (other agents) put on the agent under a highly polluted situation. According to Ajzen and Fishbein (2005), these feedback flows reach the agents through the SN before they change their manure reuse behavior (B). The structure of the ABM is shown in Fig. 4.

The ABM predicting pollutant discharge operates iteratively on an annual cycle because the manure reuse and treatment (composting and biogas treatment) takes place over several months. Each simulation is for 1 year, consisting of 3 main phases as shown in Fig. 5: (1) initialization; (2) waste management decisions (generation, reuse, treatment and discharge); and (3) updating the state of the model.

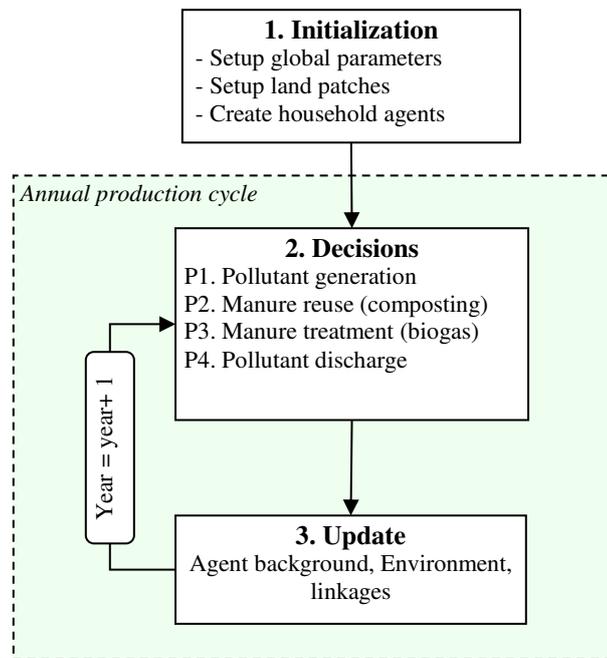


Fig. 5: A general simulation cycle of the ABM model for predicting pollutant load

The *initialization phase* is comprised of three main steps:

- Set the global parameters (policy, institution and socioeconomic context of the study area), which are assigned according to the values obtained through the survey in the study area, including basic parameters such as the percentage of households participating in the composting training program, the number of households having access loans to build a biogas digester, etc.
- Set up the physical environment by importing GIS data layers and attribute tables.
- Create the household agents, assign household characteristics, and locate households in settlement clusters.

The environment and its attributes are contained in administrative, land use and sub-basin maps (as shapefiles). The attributes from these three maps are assigned to the ‘patches’ properties using the GIS extension in the Netlogo software. The sub-basin map was delineated from DEM data (global SRTM 1 arc-second) by a separate procedure in the BASINS software (Fig. 6). First, the image was filtered for noise using the Fill-Sinks method (Wang and Liu, 2006) and to calculate the flow direction and flow accumulation of each cell using the Top-down Deterministic-8 method. The flow network with stream order plays an important role in determining the hierarchy of basins. In this study, we chose a limit of flow searching within 100 ha (corresponding to the average area of one village) to determine the sub-basins according to the method of Conrad (2015).

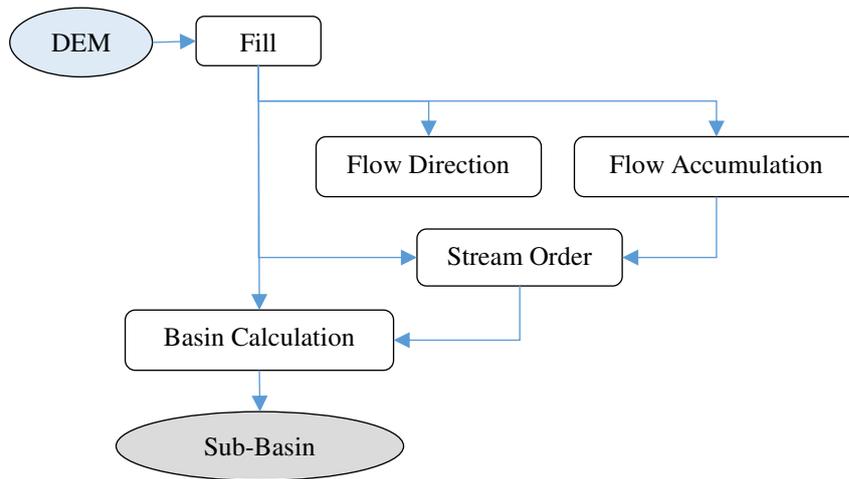


Fig. 6: Sub-basin delineation framework

372

373

374

375

376

377

378

379

380

Pig production household agents are created as shown in Fig. 7, where the number of agents is equal to the number of households in each administrative unit. Among the households created, only the surveyed households have exact geographical coordinates, which were imported directly into Netlogo while the remaining households were created and assigned attributes randomly based on descriptive statistics (i.e., mean, standard deviation) found in the study area.

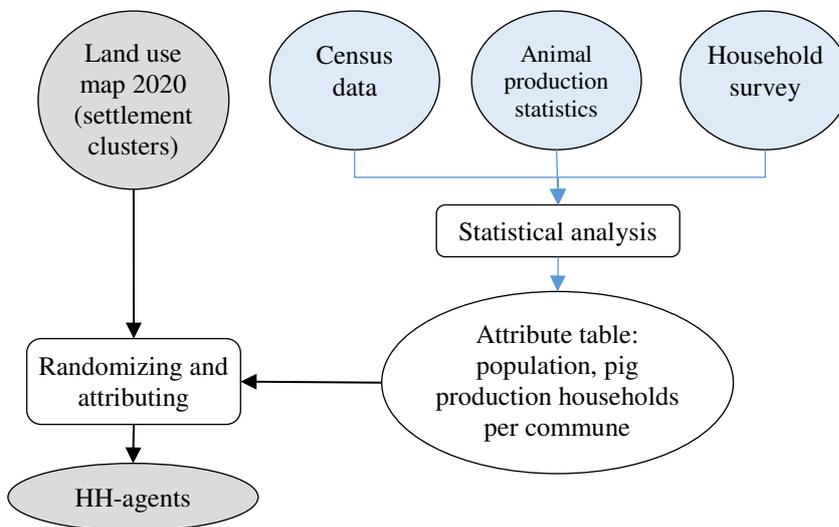


Fig. 7: The household agent creation framework

381

382

383

384

385

386

Waste management decision phase: This phase involves the operation of waste management programs. The steps in the simulation include the following:

- Calculate the pollutant load generated based on the number of pigs and the emission coefficients.

- 387 • Predict the manure reuse possibility using the correlation coefficients provided by the statistical analysis
 388 to predict AT, SN, PBC, BI and B from household background factors (Ngo et al., 2021).
 389 • Calculate the treatment load based on the generated load, the manure reuse rate, and the status of biogas
 390 treatment.
 391 • Calculate the pollutant discharge load based on the treatment load, treatment efficiency, reuse rate and
 392 non-treatment load.

393

394 *Update phase:* This phase includes the routines to update the agent properties such as manure reuse, the treatment
 395 and the discharge status during each run. Similarly, environmental properties are also regularly updated. The
 396 sequence of steps includes:

- 397 • Update household background factors.
 398 • Update discharge load from HH-agent in each patch.
 399 • Update pollutant load accumulated (mean, max) for the sub-basins.

400 A simulation cycle ends at the end of the update phase. However, the user of the ABM can specify for how long
 401 the model runs. Further model details are provided in the Supplementary Material, with an example of the model
 402 interface shown in Fig. S2.

403

404 **3.4 Model validation**

405 **3.4.1 Sensitivity analysis**

406 Sensitivity analysis is used to examine the impact of variables on the behavior or outputs of the model. As
 407 suggested in previous studies on ABM development (Ngo and See, 2012), this study applied the Sequential
 408 Bifurcation (SB) technique proposed by Bettonvil and Kleijnen (1997), which is essentially a method to determine
 409 the most important factors among those that affect the performance of the system. The relationship between the
 410 effects of factors on the model output is expressed as:

411

$$412 \quad y = \beta_0 + \beta_1 x_1 + \dots + \beta_j x_j + \dots + \beta_K x_K \quad [10]$$

413

414 where y is the model output, $x_1, \dots, x_j, \dots, x_K$ are the standardized variables to be tested for sensitivity, β_j represents
 415 the main effects of corresponding variables and β_0 is the overall mean of the effects.

416

417 To conduct the sensitivity analysis, it is necessary to identify the dependent variable for observations (y), the

418 factors (x_j) and the direction of the relationship, i.e., positive or negative. Then the x_{min} and x_{max} values must be
 419 determined. If the correlation between x_i and y is positive, x_{min} will be the lower bound of x_j (state 0); conversely,
 420 if the correlation is positive, then x_{max} will be the upper bound of x_j (state 1 or state K). The function y that operates
 421 with all factors x_{max} is y_K , and for all x_{min} , it is y_0 . The contrast level between the two states is calculated by the
 422 following formula:

423

$$424 \quad (y_{(K)} - y_{-(K)}) - (y_{(0)} - y_{-(0)}) \text{ or } 2y_{(K)} - 2y_{(0)} \quad [11]$$

425

426 where $(y_{-(j)})$ is the mirror observation of $(y_{(j)})$, which denotes the output y with the first j factors switched off (state
 427 0) and the remaining factors switched on (state 1 or state K).

428

429 The SB is conducted in an iterative manner. First, the model is run with all factors set to low (state 0) and then all
 430 factors set to high to calculate y_K and y_0 . If $y_0 < y_K$, then the sum of all the individual main effects is important and
 431 the second stage of SB is entered. Then, the *successive differences or contrasts* are calculated by splitting the
 432 factors into two subsets of equal size and the estimation process is continued for each subgroup, which is the same
 433 as that described in the first stage; the procedure continues in an iterative manner. SB terminates when the effect
 434 level (i.e., $y_j - y_0$) reaches the lower effect limit defined by the user. The lower effect limit was suggested as $\beta_j <$
 435 5% of $(2y_K - 2y_0)/N$ (Bettonvil and Kleijnen, 1997).

436

437 In this ABM, the model output is the pollutant discharge loads to the land patches. The sensitivity factors to be
 438 tested are the statistically significant background factors, which are used to predict B.

439

440 **3.4.2 Relative Operating Characteristic (ROC) for validating the response of the agents**

441 The ROC (Relative Operating Characteristic) is used to evaluate the performance of a classification or prediction
 442 scheme by identifying where instances fall in a certain class or group (Beck and Shultz, 1986). In this ABM, ROC
 443 is applied to validate the responses of the agents to environmental and social pressures (r_1 and r_2 , presented in
 444 Section 3.2.3). It was hypothesized that the pressure from the surrounding environment (pollution loads in the sub-
 445 basin and waste recycling status of neighboring agents) determines the response of the agents. The response can
 446 change the load distribution pattern in the sub-basins when switching between scenarios (see section 3.6).

447

448 ROC validation is used to test the differences between the predicted and observed values related to the response
 449 mechanism. The comparison has four outcomes:

- 450 1. True positive (TP): both the prediction and the actual value are P .
- 451 2. True negative (TN): predicted value is N and the actual value is also N .
- 452 3. False positive (FP): prediction is P but the actual value is N .
- 453 4. False negative (FN): the predicted value is N while the actual value is P .

454 The four outcomes can be formulated in a two by two confusion matrix or contingency table as shown in Table 1
 455 (Fawcett, 2004). Definitions of precision, accuracy and specificity are provided in this table.

456

Table 1: The confusion matrix to calculate the ROC

		True class		
		P	N	
Predicted outcome	P	True Positives (TP)	False Positives (FP)	$tp\text{-rate} = TP/N = \text{sensitivity};$ $fp\text{-rate} = FP/N;$ $\text{precision} = TP/(TP + FP);$ $\text{accuracy} = TP+TN/(P+N);$ $\text{specificity} = TN/(FP+TN) = 1 - f\text{-rate}$
	N	False Negatives (FN)	True Negatives (TN)	
Total		P	N	

457

458 The ROC evaluation is based on the ROC curve, which is a graphical representation of the relationship between
 459 the sensitivity or $tp\text{-rate}$ and the specificity or $1 - fp\text{-rate}$ of a test over all possible thresholds (Beck and Shultz,
 460 1986). A ROC curve involves plotting the sensitivity on the y-axis and 1-specificity on the x-axis as shown in
 461 Figure S1 in the Supplementary Materials. This graphical ROC approach makes it relatively easy to grasp the
 462 interrelationships between the sensitivity and the specificity of a particular measurement. In addition, the area
 463 under the ROC curve provides a measure of the ability to correctly classify or predict those households having
 464 responses related to environmental and social pressures. The ROC area under the curve (AUC) would have a value
 465 of 1.0 for a perfect test, while the AUC would reduce to 0.5 if a test is no better than random (Fawcett, 2004).

466

467 3.4.3 Output (discharge) validation

468 Model output validation is performed on the basis of comparison between the predicted results on pollutant
 469 discharge load from the model and 125 wastewater samples collected at the end of effluents from households
 470 interviewed in the survey (Ngo et al., 2021). In addition, 30 wastewater samples from the front of the effluent,
 471 before discharge into the biogas digester, were analyzed. The sampling method was compliant with official
 472 standards (TCVN 5999:1995), and each site was sampled three times. The measured parameters consisted of
 473 Chemical Oxygen Demand (COD), Biochemical Oxygen Demand (BOD_5), Total Nitrogen (TN) and Total
 474 Phosphorous (TP). The results of the wastewater sample analysis were used to calculate the actual pollutant
 475 discharge load (i.e., the concentration multiplied by the volume of wastewater), for evaluating the efficiency of the
 476 waste treatment system, and to validate the results predicted by the ABM on the pollutant discharge load.

477 The validation was quantified using RMSE, which is one of the most commonly used error measures (Chatfield,

478 1992). This statistic measures the squared differences between the simulated or predicted values and the observed
 479 or reference values:

$$480 \quad RMSE = \sqrt{\frac{\sum_{i=1}^n (x_{1,i} - x_{2,i})^2}{n}} \quad [12]$$

481 where $x_{1,i} - x_{2,i}$ is the difference between variable i from data source 1 (i.e., the simulated result) and data source 2
 482 (i.e., the reference or observed data) and n is the total number of variables, which in this study is 125.

483

484 3.5. Input data for the ABM

485 The input data to the ABM are listed in Table 2 along with the sources of information. Some of the variables on
 486 the household characteristics were taken from previous studies (Ajzen and Fishbein, 2005, Ittiravivongs, 2011,
 487 Scalco et al., 2017a, Supaporn et al., 2013, Scalco et al., 2018) while others were based on a household survey of
 488 125 households located in five typical communes (25 households per commune) representing three typical pig
 489 farming densities (low, medium and high) (Ngo et al., 2021). The questionnaire included information on 16
 490 background factors (Table S1 in the Supplementary Material) and 17 behavioral variables, where the mean value
 491 and standard deviation were used to assign properties to the agents during the random initialization phase.

492

Table 2: Input data to the ABM

Type	Details	Source
Pig production	Pig population and livestock farming household by commune, used to initialize the number of agents and pigs in each administrative unit	Yen Dung Department of Agriculture and Rural Development (2020)
Household characteristics	<ul style="list-style-type: none"> • Administrative unit • Age of farm owners • Education level • Pig farming experience (years) • Labor availability (person) • Income (income was classified into rich, medium, near-poor and poor level by the local classification scale) • Political and social membership (involvement of family member in social or political organizations) • Land area (ha) • Total number of pigs (sows, hogs) • Waste treatment infrastructure (biogas digester) (0,1) • Distance to the nearest neighboring farms (m) • The manure recycling status of the neighboring farms (1=yes) • The higher waste discharge rate compared to neighbors' (1=higher) • Access to technical support (training) (0,1) • Access to financial support (0,1) 	Informed by the literature (Ajzen and Fishbein, 2005, Ittiravivongs, 2011, Scalco et al., 2017a, Supaporn et al., 2013, Scalco et al., 2018) and from the household survey (Ngo et al., 2021)
Sub-basin map	Delineated from a global DEM at a 1 arc-second resolution	SRTM

Administrative map Land use map	Maps for 2020 to determine the location of pig farming households (in residential areas)	Bang Giang Department of Natural Resource and Environment (2020)
Pollutant emission coefficients	COD: 59.2; BOD ₅ : 32.9, TN: 7.3, TP: 2.3 (kg/head/year)	Decision No. 154/QD-TCMT (VEA, 2019)
Behavioral variables	17 variables to predict behavior derived from the household survey and statistical analysis	Ngo et al. (2021)

493

494 Regarding the behavioral variables, multiple regression and Structural Equation Modeling (SEM) were used to
 495 select the most significant variables and coefficient correlations for the ABM as suggested in previous studies
 496 (Chu and Chiu, 2003, Taylor and Todd, 1995b). In particular, the relation between the background factors and
 497 factors from the TPB were analyzed to select the determinants of PRB, SRB, PNB, SNB, SE and FC from the
 498 selected background factors that were statistically significant. The statistical analysis using SEM confirmed that
 499 the TPB approach was reliable for predicting the manure reuse behavior of the farm owners in Yen Dung district.
 500 Background factors, including farm characteristics (e.g., education, experience, income, number of pigs, land area)
 501 and the external context (e.g., financial and technical support, distance to the neighboring farms, pollutants of the
 502 sub-basin) were found to be significant determinants of the TPB factors, where the regression coefficients were
 503 then used as weights in the ABM. More details can be found in Ngo et al. (2021).

504

505 **3.6 Scenario formulation**

506 **3.6.1 Potential developments in pig production in Bang Giang province**

507 According to the Bac Giang department of Animal husbandry and Veterinary (2020), there were two development
 508 possibilities in pig production outlined in the report. The first is an optimistic scenario in which pig farms that are
 509 free from the current African cholera epidemic will actively repopulate. Thus, by the end of 2019, the number of
 510 pigs will increase, and by the end of 2021, the number of pigs in the district will reach that of two years before
 511 2019. Moreover, by 2025, there will be a 10% increase in the number of pigs. The second is less optimistic and
 512 considers the situation in which the repopulation was implemented more cautiously, starting from the first quarter
 513 of 2020. If this was the case, then the number of pigs would be only two-thirds of that compared to 2018 by the
 514 end of 2020, and this number would only increase slightly until 2025. This latter situation would be considered a
 515 stable scenario in terms of the number of pigs.

516

517 **3.6.2 Current environmental control policies related to pig manure**

518 As discussed in the previous sections, existing environmental control policies related to pig production in the
 519 district have been mainly limited to controlling pig manure discharge to the environment. Only two policies were
 520 reported by respondents of the household survey, which were the financial and technical support (e.g., loans,

521 training on biogas digester construction and operation, composting, awareness raising of environmental protection)
 522 provided by the district extension services. These activities have already been incorporated in the ABM as the
 523 accessibility of farmer agents to these support services, which were tested as determinants of manure reuse
 524 behavior. As reported by local government officials, future policy interventions would be realized as a change in
 525 the intensity of the support rather than on issuing new environmental control regulations. The officials suggested
 526 that the annual environmental support fund could fluctuate by 15%. Hence, in the scenarios formulated here, the
 527 assumption is that technical and financial support will increase by 15% compared to the current situation.

528

529 3.6.3 Scenarios for the ABM

530 Combining the information on future pig production (section 3.6.1) and environmental control policies (section
 531 3.6.2), three scenarios have been formulated as outlined in Table 3. Scenario 1 is the baseline scenario using survey
 532 data as the main inputs, Scenario 2 is a positive scenario in which the number of pigs remains stable but there are
 533 increases in the supporting policies for environmental management, while Scenario 3 is a negative scenario in
 534 which the number of pigs increases but the current management measures are not increased.

535

Table 3: Scenarios for the ABM

Factors\Scenarios	Scenario 1: Baseline	Scenario 2: Positive	Scenario 3: Negative
Number of pigs	Assumes current number of pigs (on average 72.9 pigs, of which 11.4 sows per household)	Same as in scenario 1	Increased by 10%
Financial support	54.4% of households supported	Increased by 15%	Same as in scenario 1
Technical support	30.4% of households supported	Increased by 15%	Same as in scenario 1

536

537 4 Results and discussion

538 4.1 Sensitivity analysis of model input variables

539 4.1.1 Identification of experimental factors

540 An important output of the ABM model is the pollutant discharge load from pig farming. For simplification, BOD₅
 541 was selected as representative of the four environmental parameters (COD, BOD₅, TN and TP) for the purpose of
 542 evaluation. The factors included in the sensitivity assessment were the global and background factors selected in
 543 the prediction model (Table 4). If the factors are sensitive to the model output, changes in pollutant loads will be
 544 observed when a range of factors are shifted, for example, from low (x_{min}) to high (x_{max}) values. Since the
 545 correlation between variables is well defined in the statistical analysis, the x_{min} (y_0 state) and x_{max} (y_1 state) are the
 546 lower and upper bounds of the variable, respectively.

Table 4: Parameters tested in the sensitivity analysis

No	Factor or Parameter	Low (y_0)	High (y_l)
1	Age of farm owners (years)	34	72
2	Education level (grades)	3	12
3	Labor availability (persons)	1	4
4	Social membership (0,1)	0	1
5	Household income (0 to 4)	1	4
6	Pig farming experiences (years)	2	47
7	Land area (m ²)	10800	150
8	Owning biogas digester (0,1)	1	0
9	Number of sows (heads)	0	30
10	Number of pigs (heads)	1	1520
11	Distance to the nearest farm (m)	500	10
12	The manure recycling status of the neighboring farms (0,1)	0	1
13	A higher waste discharge rate compared to neighbors (0,1)	0	1
14	Access to technical support (0,1)	0	1
15	Access to financial support (0,1)	0	1

548 Note: 3 variables have negative correlations: "land area", "biogas" and "distance to the nearest farm".

549

550 4.1.2 Estimation of the sensitivity coefficients or factor effects

551 The three stages in the estimation of the sensitivity coefficients are described below:

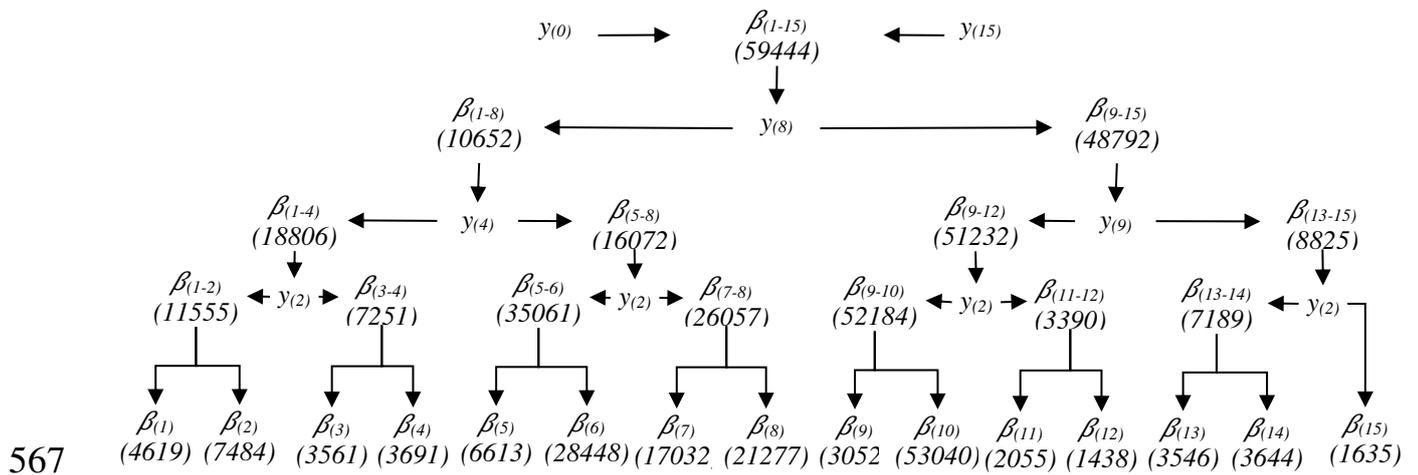
552 *Stage 0:* Equation [11] was used to calculate all x_{\min} (y_0 state) and x_{\max} values; an extremely low $y_{(0)}$ of 16.4 and
 553 an extremely high $y_{(k)}$ of 29738.3. The contrast between two states ($2y_{(k)} - 2y_{(0)}$) is $(29738 - 16)*2 = 59444$. This
 554 is the effect of all 15 factors ($\beta_{(1-15)}$) on the model output. According to Bettonvil and Kleijnen (1997), the contrast
 555 between variables must be greater than 5% of the average contrast; it means that the successive contrasts must be
 556 greater than $0.05*(59444/15) = 198$.

557

558 *Stage 1:* As suggested by Bettonvil and Kleijnen (1997), this step starts with the separation of the 15 factors into
 559 groups for observation, namely $y_{(8)}$ and $y_{(15)}$. The $y_{(8)}$ group provides a $\beta_{(1-8)}$ of 10652 while the second group yields
 560 a $\beta_{(9-15)}$ of 48792. These results indicate that the factors in the second group have greater effects than the first group.
 561 However, the value of the first group is quite significant (18%) compared to the total effect. Thus, both groups
 562 require a further SB operation.

563

564 *Stage 2:* The SB process continues with all factors in the same manner as described above until the observed value
 565 of β matches the lower limit of 0.05 (198). This means that any factor with $\beta < 198$ is considered as having an
 566 insignificant effect on the model output. The overall SB process is illustrated in Fig. 8.



567

568

569

Fig. 8: An illustration of SB finding factors with significant effects in the SCM

570

Fig. 8 shows that at the end, the SB found all 15 factors as significant, where four factors: "number of pigs" (β_{10});

571

"pig farming experience" (β_6); "having biogas digester" (β_8) and "land area" (β_7) have quite strong effects

572

compared to the others¹. These results are technically reasonable in terms of model operation, which are embedded

573

with deterministic regression functions. For example, the number of pigs is directly related to the pollutant load

574

calculation. Therefore, even a small change in this factor value will lead to a large variation in the load of the study

575

area.

576

577 4.2 Validation of model outputs

578

The result to be verified in the model is the amount of waste discharged from the breeding facilities (i.e., the

579

agents) into the environment (after reuse and treatment). Waste load verification was performed by comparing the

580

outputs of the model with the data obtained from the 125 interviewed households (Ngo et al., 2021). The model

581

was run 30 times and the average RMSE value was calculated, which is shown in Table 5.

582

Table 5: Comparison between the outputs predicted from the ABM and the household survey data

No.	Parameters	Predicted results from the ABM (kg/year)		Root mean square error (RMSE) between predicted and household survey data		Ratio of RMSE and predicted results (%)
		Mean _(load)	SD _(load)	Mean _(RMSE)	SD _(RMSE)	
1	COD	207382	418(0.2%)	1304	28(2.1%)	0.6
2	BOD5	111860	282(0.3%)	747	13(1.7%)	0.7
3	TN	32809	98(0.3%)	109	6(5.5%)	0.3
4	TP	10591	40(0.4%)	33	2(6.1%)	0.3

583

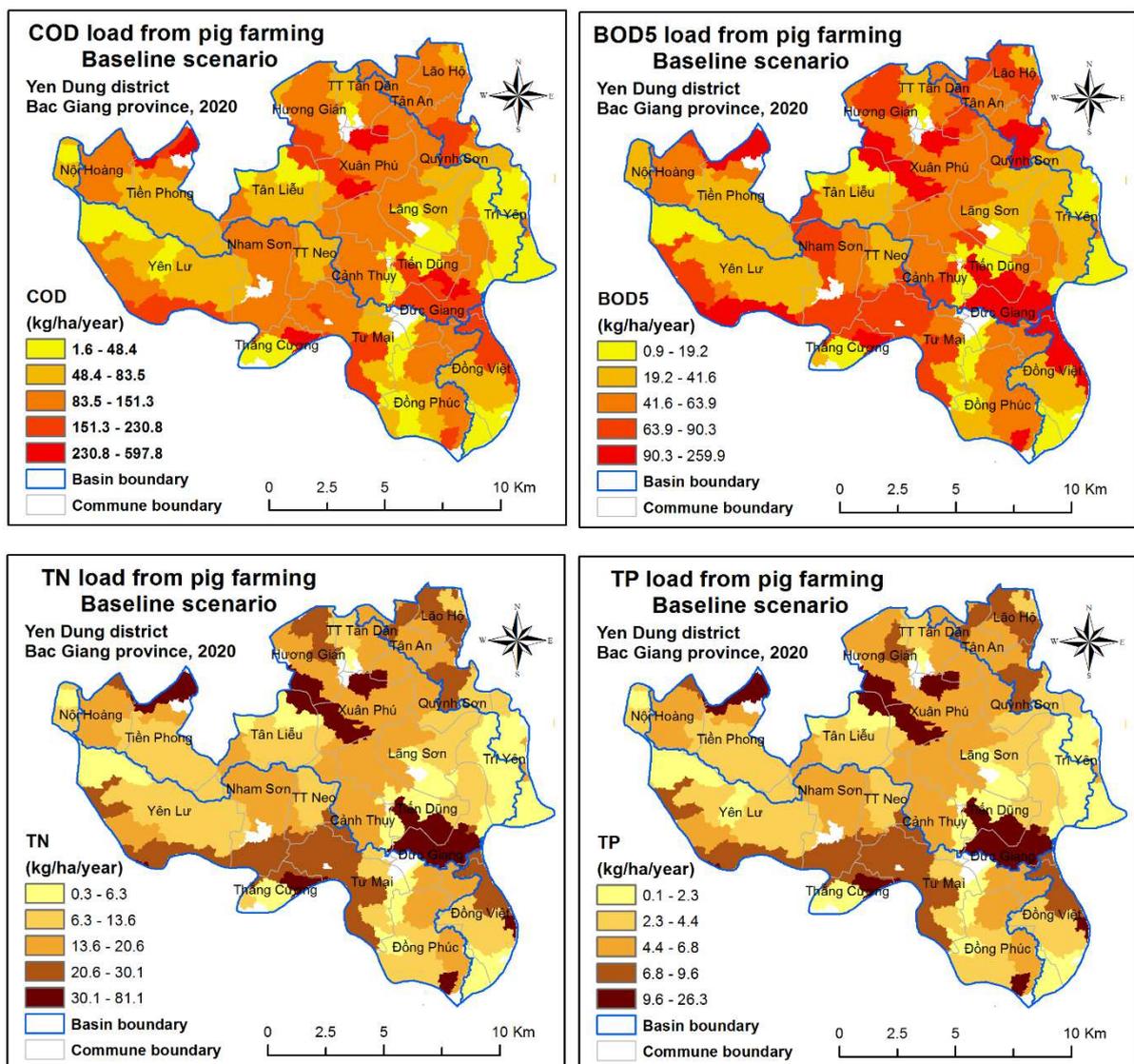
¹ Please note that the sum of individual effects might not equal the group effect, which resulted from collective individual factor effects.

584 The results show that the operating model is relatively stable as the standard deviations of the RMSE error
 585 ($SD_{(RMSE)}$) and the standard deviation of the total forecast load ($SD_{(load)}$) of the agents are relatively low, ranging
 586 from 0.2-0.4% and 1.7-6.1%, respectively. In particular, the predictive ability of the model is very close to the
 587 actual survey data as the ratio between the RMSE and predicted results is only 0.3-0.7% (much smaller than the
 588 5% threshold). Thus, the model can be used to evaluate different scenarios and assist in providing management
 589 solutions for the local district.

590

591 **4.3 Results from the three scenarios**

592 The ABM was run 30 times independently for each scenario and the average of the runs was calculated. The results
 593 for scenario 1 (baseline), which assumes the current situation for determining pollutant loads from pig farming,
 594 are provided in Fig. 9. The sub-basins having a high load (represented in dark colors) are concentrated in areas
 595 with high pig farm density such as Yen Lu, Tu Mai, Duc Giang, Dong Viet and Xuan Phu communes.



596

597

Fig. 9: Estimated pollutant load for the baseline scenario

598

599

The model outputs generated for the positive scenario (scenario 2) are presented in Fig. 10, where this scenario is

600

based on the assumption that the determining factors in livestock waste management are improved. Regarding

601

policies from the central government, this scenario assumes the highest pressure in fulfilling environmental

602

protection commitments, which means that local governments are required to strictly implement central policies

603

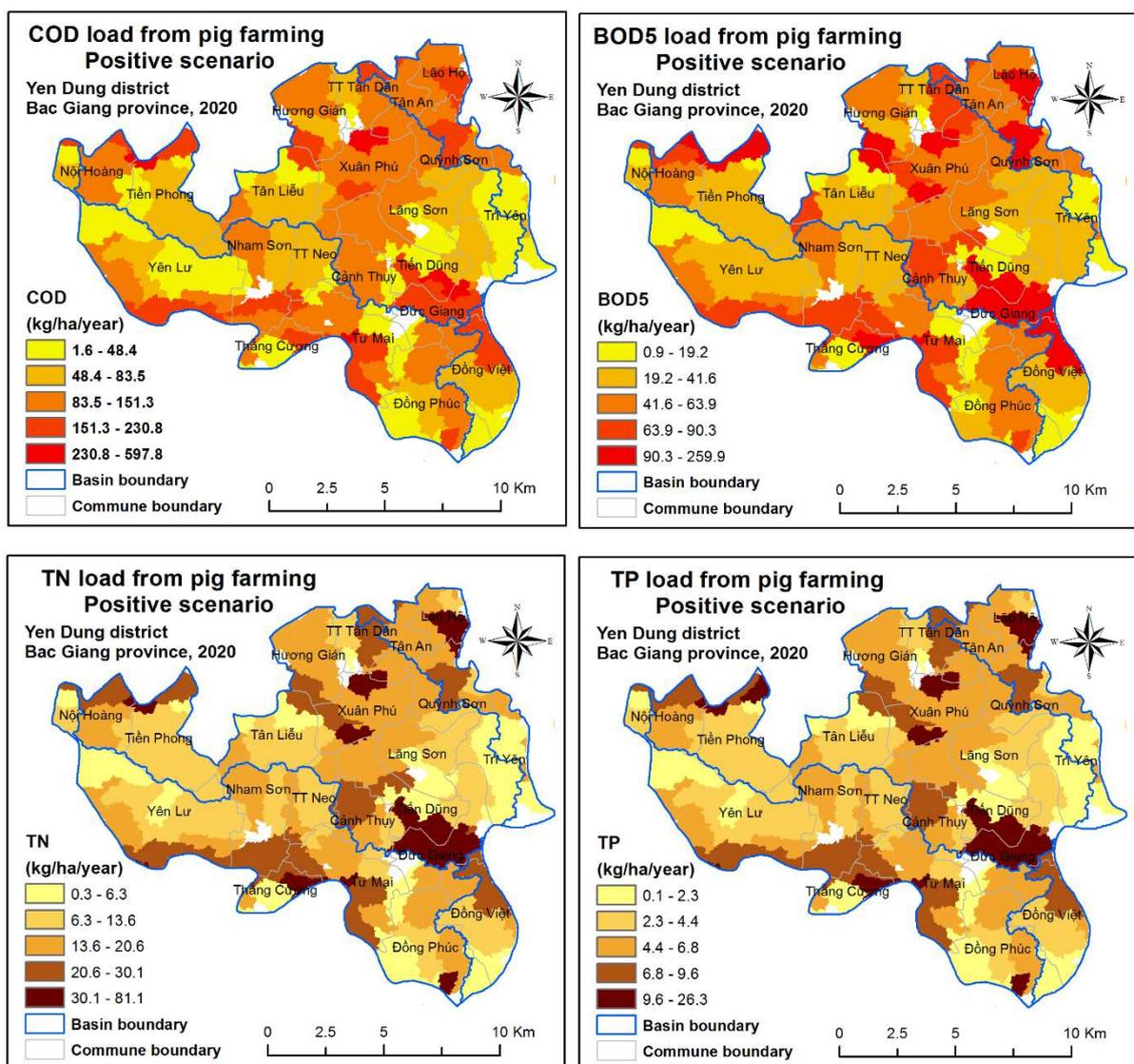
related to environmental protection. From Figure 10, one can see decreases in the pollutant load in some sub-basins

604

as more attention is paid to waste control interventions such as environmental communications for better awareness

605

and the implementation of environmental protection commitments.



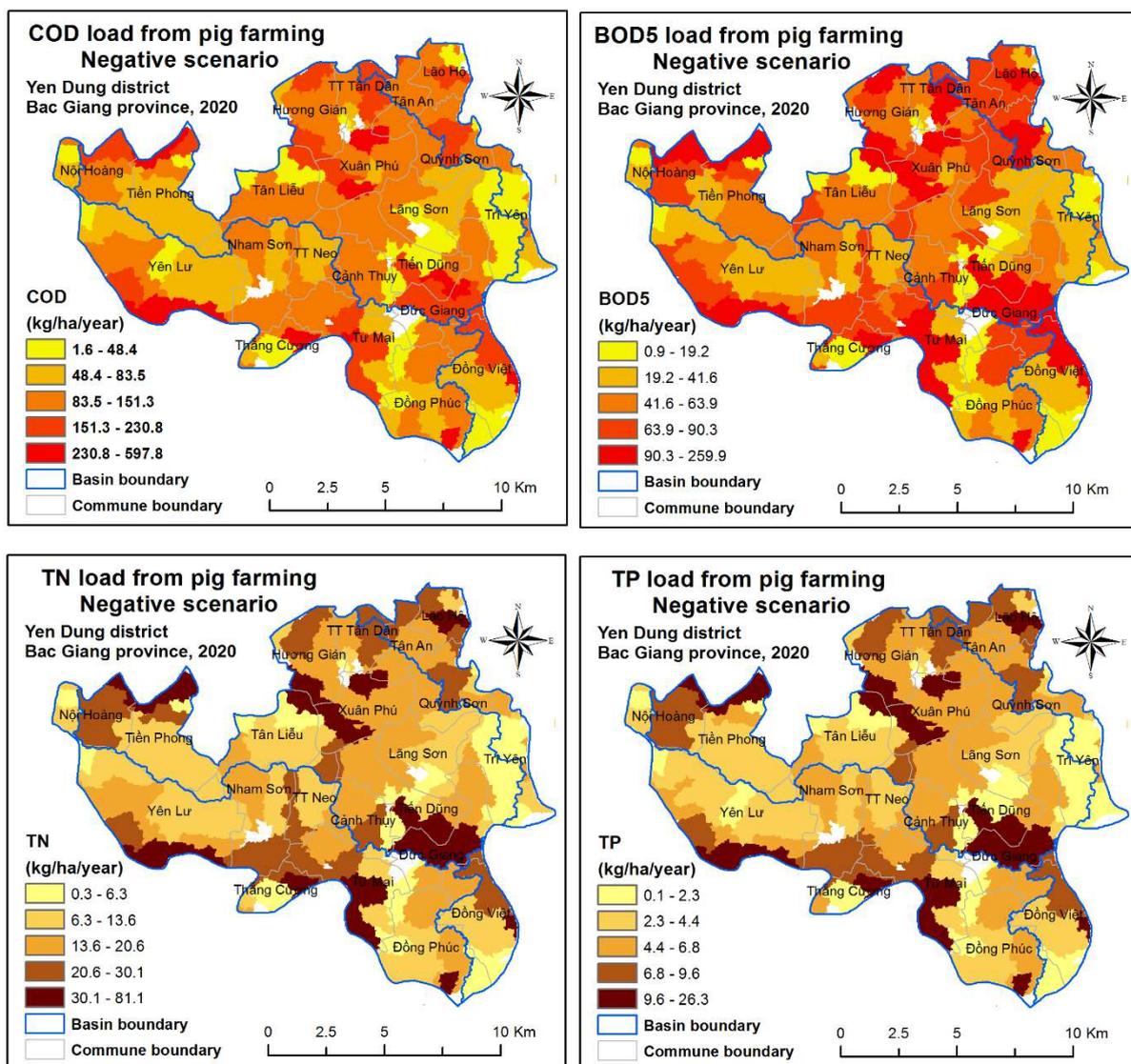
606

Fig. 10: Estimated pollutant load for the positive scenario

607

608

609 Scenario 3 (negative scenario) is the scenario with all negative impact factors, where the results are shown in Fig.
 610 11. While the number of pigs is increasing, individual awareness and local government interventions are limited.
 611 Thus, this scenario generates a higher pollutant load than the previous scenarios.



612

613

614

Fig. 11: Estimated pollutant load for the negative scenario

615

616

617

618

619

The pollutant loads of the four basic environmental parameters for each scenario are presented in Table 6. In the positive scenario, the environmental parameters (COD, BOD₅, TN and TP) are 95 to 98% of that in the baseline scenario while the negative scenario has a significantly higher pollutant load, i.e., 11-13% higher than that of the baseline scenario.

620

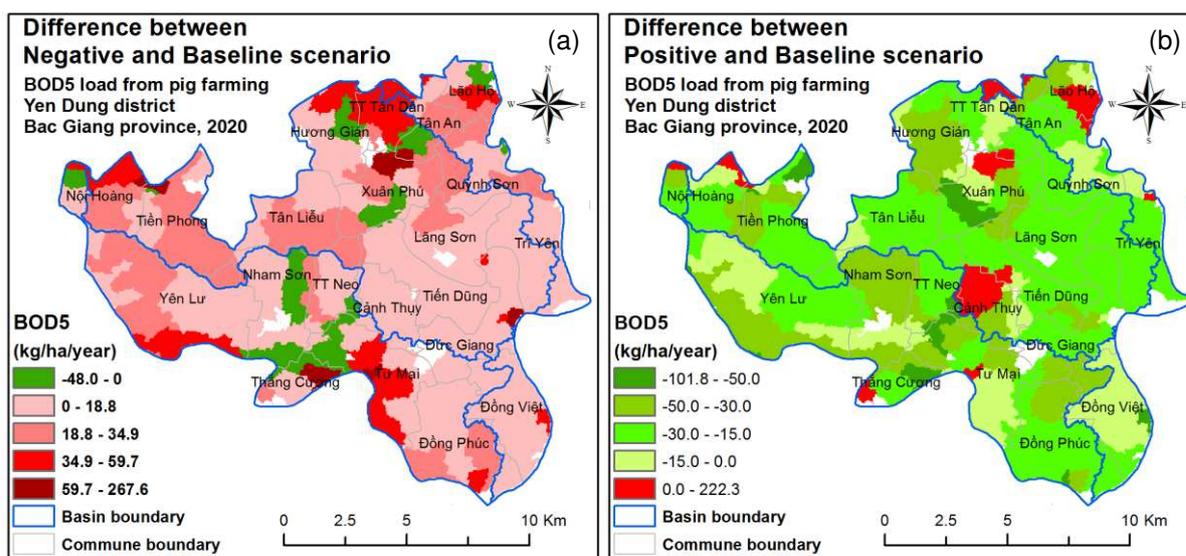
Table 6: Projected pollutant loads by scenarios

Pollutant loads by scenario (averaged over N=30 runs)	COD (tons/year)	BOD ₅ (tons/year)	TN (tons/year)	TP (tons/year)
Baseline scenario	1626	872	263	85
Positive scenario	1591	850	251	83
Negative scenario	1831	985	291	94

621

622 Fig. 12 shows the spatial difference between the baseline scenario and the negative and positive scenarios,
 623 respectively, in terms of the most common pollutant (BOD₅). Sub-basins with a higher load than that of the baseline
 624 are represented in red, while those with a lower load than the baseline are shown in green. When comparing the
 625 negative scenario with the baseline (Fig. 12a), most sub-basins are red, which indicates that most have increased
 626 their loads of BOD₅ to the environment. However, there are a few sub-basins in Noi Hoang, Nham Son, Thang
 627 Cuong, Xuan Phu, Huong Giam and Tan An communes that have shown a decrease in pollutant loads, which are
 628 shaded in green. The sub-basins in Fig. 12b are almost entirely shaded green, which indicates that the positive
 629 scenario has contributed to the reduction of the discharged BOD₅ load in many farms. A small number of sub-
 630 basins are shaded red, indicating an increase in pollutant load.

631



632

633 Fig. 12: The differences in BOD₅ load between (a) the baseline and the negative scenario and (b) the baseline
 634 and the positive scenario

635

636 In both scenarios, sub-basins with anomalous differences in the quantity of BOD₅ discharged can be explained by
 637 the different responses of the farm owners (i.e., agents) to the external environmental status. As explained in
 638 Section 3.2, the agents are designed with a set of rules that allows them to react autonomously in relation to other
 639 agents and to the external environment (i.e., the land patches). When neighboring agents reuse manure or there
 640 was an increase in the pollutant load in the environment, the agents will be under higher social pressure. Therefore,

641 there is a possibility that an agent's reuse behavior could be higher in such circumstances. This is the reason why
642 there is variability across sub-basins and illustrates the feedback mechanisms, r_1 and r_2 , on the ABM outputs.
643
644 Further verification of the feedback r_1 and r_2 in the scenarios was performed based on the observations of two
645 variables related to the number of household agents changing their manure recycling behavior due to social
646 pressures (i) when the loads in the sub-basin (from neighboring agents) are higher or lower than the discharged
647 level of the households; and (ii) when neighboring agents applied manure recycling measures. First, the model was
648 run 30 times independently to examine the transitions between the baseline and the positive scenario (experiment-
649 1), and then 30 times independently for transitions between the baseline and the negative scenario (experiment-2).
650 During each run, the change in variable states was recorded. The variables (i) and (ii) are 0 or 1 (representing a no
651 or yes state). A change in response occurs if the above variables change from 0 to 1 or from 1 to 0. Since variables
652 (i) and (ii) are both sensitive to the model outputs (as discussed in section 4.1.2), the higher number of agents
653 having switched states would result in larger differences in pollutant loads in the sub-basins between different
654 scenarios.
655
656 Logically, the change in experiment-1 should result in changes from a higher load (in baseline) to a lower load (in
657 positive scenario). However, an anomalous response by the agents will reverse this change, which means that the
658 loads in the positive scenario will be higher than that in the baseline scenario. The change in experiment-2 is the
659 opposite of experiment-1. This means that anomalous responses may cause the loads in the negative scenario to
660 be lower than that of the baseline scenario. Through each run, the normal or anomalous variation (if any) of the
661 loads in the sub-basins was recorded along with the ratio of the agents having switched their responses. An example
662 of results recorded from these two experiments is presented in Table 7.

663

664 Table 7: Comparison between predicted and observed results regarding the behavioral response of agents by sub-
665 basin

Sub-basin	From baseline scenario to negative scenario		From baseline scenario to positive scenario	
	Percentage of agents switching their responses (%)	Anomalous increase in pollutant load in the sub-basin (red color)	Percentage of agents switching their responses (%)	Anomalous decrease in pollutant load in the sub-basin (green color)
1	1	0	0	0
2	0	0	20	1
3	15	1	0	0
...
153	0	0	0	0

666

667 After running the model, the ROC area index was calculated and is shown in Table 8. The values range from 0.70
668 to 0.713, indicating that the model is able to predict much better than the random case (0.50). Therefore, the
669 anomalous variations in the sub-basins can be explained and the overall scenario assessments are reliable.

670 Table 8: Area under the ROC curve (ROC area index) obtained from validating model results

Scenarios	ROC area index	Standard Error (SE)
From baseline scenario to negative scenario	0.700	0.020
From baseline scenario to negative scenario	0.713	0.021

671

672 4.4 Policy implications

673 The results of the scenario analysis show that to maintain the development of the pig herd while ensuring
674 environmental protection, it is necessary to have financial and technical support for the pig producers. These
675 investments directly affect the behavior of treating and discharging pollutants into the surrounding environment.

676 The environmental effects related to the investment policies can be quantified based on the increase and decrease
677 rate of the pollutant loads. For the positive scenario, this effect is the ratio of costs to achieve a reduction in the
678 pollutants, which are the costs related to the financial and technical support, and the environmental benefits that
679 the community gains due to the reduction in water treatment. For the negative scenario, the main effect is the offset
680 of the profit obtained from increasing the number of pigs and the environmental costs incurred when handling a
681 large additional pollutant load or the environmental damage caused by it. This result will be the basis for
682 environmental managers to choose appropriate interventions according to the development goals of the locality.

683

684 In addition, the results related to the distribution of waste also strongly suggest that the waste tends to concentrate
685 in low-lying areas and at the end of streams in the sub-basins of Duc Giang, Dong Viet and Tu Mai communes.

686 The COD and BOD₅ loads in Duc Giang, Dong Viet and Tu Mai communes could reach to 598 and 260 kg/ha/year,
687 respectively. Those areas with a low population density have many ponds, lakes and unused land. Therefore, a
688 short-term solution that needs to be implemented specifically in the sub-basins in Duc Giang and Tu Mai
689 communes is: (i) improving the treatment efficiency of the existing biogas system; (ii) reducing the waste load
690 entering the biogas system; and (iii) building new biogas systems that are integrated with waste collected from
691 biogas digesters.

692

693 The sensitivity analysis demonstrated that the number of pigs is the primary factor affecting the volume of pollutant
694 discharge load. Other factors such as the experience and education level of the farm owner are the secondary
695 factors that influence the manure reuse and treatment behavior. Therefore, in addition to technical measures,

696 environmental communication to raise awareness is still an effective and necessary solution. The suggestion above
697 is not new. However, with this model, we can quantify the effect and calculate the change in the pollutant discharge
698 load. For example, suppose one goal for a particular phase is to control the load to a limited extent. By testing a
699 few scenarios, it is possible to quantitatively optimize investment efficiency and the interventions needed to
700 achieve this goal.

701

702 Realistically, the source of environmental pollution from livestock comes mainly from households and the load
703 distribution is also in residential areas. Therefore, the solution in the long term is to establish concentrated livestock
704 farms in the form of industrial farms, located in sub-basins with low pollutant emission potential but convenient
705 for market access. The current model is fully scalable to solve this optimization problem in spatial planning as
706 described above.

707

708 **5. Conclusions**

709 This paper presented an ABM to predict the pollutant load discharge from pig farming. Three basic components,
710 namely, the household agent, the land patches and global parameters, were used to construct the model, where the
711 behavior of the household agents was grounded in the TPB. The model was evaluated through a sensitivity
712 analysis, validation using data collected from a household survey and ROC statistics. The sensitivity analysis
713 showed that all background factors had a significant effect on the ABM outputs. The predictive ability of the model
714 was shown to be good as the ratio between the RMSE and predicted results was less than 0.7%.

715

716 Based on the socioeconomic conditions at the study site, three integrated scenarios for pig production development
717 and environmental control policy were then formulated. In addition to a baseline or business as usual scenario, a
718 positive scenario was modeled, where the number of pigs was assumed to remain stable but supporting policies
719 for environmental management were increased, and a more negative scenario was implemented, which assumed
720 the number of pigs increases but management measures did not improve relative to the baseline. The results
721 showed that the positive scenario contributes to a reduction in the discharged loads in many farms while in the
722 negative scenario, most of the farms have increased loads discharged to the environment.

723

724 The results from the scenarios suggest that to maintain the development of pig production while ensuring
725 environmental protection for the district, it is necessary to provide financial and technical support to the pig
726 producers. These support mechanisms directly improve the manure treatment and reuse by farmers and thereby
727 reduce the pollutants discharged into the surrounding environment. As the experience and education level of the

728 farm owner were significant factors that influence the manure reuse and treatment behavior, environmental
729 communication to raise awareness is still an effective and necessary solution in addition to the implementation of
730 technical measures.

731

732 **6. Declarations**

733 **Ethics approval and consent to participate**

734 Not applicable

735 **Consent for publication**

736 Not applicable

737 **Availability of data and materials**

738 The datasets used and/or analysed during the current study are available from the corresponding author upon
739 request.

740 **Competing interests**

741 The authors declare that they have no competing interests.

742 **Funding information**

743 This research were funded by the Vietnam National Foundation for Science and Technology Development
744 (NAFOSTED) under grant number 105.99-2018.318.

745 **Authors contributions**

746 Conceptualization, An The Ngo, Giang Huong Thi Nguyen, Linda See; Methodology, An The Ngo, Linda See;
747 Software, An The Ngo; Validation, An The Ngo; Formal Analysis, An The Ngo, Giang Huong Thi Nguyen, Duong
748 Huu Nong; Investigation, An The Ngo, Giang Huong Thi Nguyen, Duong Huu Nong; Resources, An The Ngo,
749 Giang Huong Thi Nguyen, Duong Huu Nong; Data Curation, An The Ngo, Giang Huong Thi Nguyen, Duong Huu
750 Nong; Writing-Original Draft Preparation, An The Ngo, Giang Huong Thi Nguyen, Duong Huu Nong, Linda See;
751 Writing-Review & Editing, An The Ngo, Linda See; Visualization, An The Ngo, Linda See; Supervision, An The
752 Ngo; Project Administration, An The Ngo; Funding Acquisition, An The Ngo. All authors have read and agreed to
753 the published version of the manuscript.
754

755

756

- 758 AJZEN, I. 1991. The theory of planned behavior. *Organizational Behavior and Human Decision Processes*, 50,
759 179-211.
- 760 AJZEN, I. & FISHBEIN, M. 2005. The Influence of Attitudes on Behavior. *The handbook of attitudes*. Mahwah,
761 NJ, US: Lawrence Erlbaum Associates Publishers.
- 762 AN, N. T., LAN, N. P., CONG, V. H., DUONG, N. H. & HUONG GIANG, N. T. 2020. Environmental Pressure
763 from Pig Farming to Surface Water Quality Management in Yen Dung District Bac Giang Province. *VNU*
764 *Journal of Science: Earth and Environmental Sciences*, 36.
- 765 AN, N. T., GIANG, N.T.H., DUONG, N. H. & SEE, L. 2021. Understanding the behaviour of pig farmers in waste
766 management.
- 767 BAC GIANG DEPARTMENT OF ANIMAL HUSBANDRY AND VETERINARY 2020. Report on Animal
768 production of the first 6 months of 2020 at Bac Giang province. Bac Giang province: Bac Giang
769 department of Animal husbandry and Veterinary.
- 770 BECK, J. R. & SHULTZ, E. 1986. The use of relative operating characteristic (ROC) curves in test performance
771 evaluation. *Arch Pathol Lab Med.*, 110, 13-20.
- 772 BERKES, F., COLDING, J. & FOLKE, C. 2000. Rediscovery of Traditional Ecological Knowledge as Adaptive
773 Management. *Ecological Applications*, 10, 1251-1262.
- 774 BETTONVIL, B. & KLEIJNEN, J. P. C. 1997. Searching for important factors in simulation models with many
775 factors: Sequential bifurcation. *European Journal of Operational Research*, 96, 180-194.
- 776 BONABEAU, E. 2002. Agent-based modeling: Methods and techniques for simulating human systems.
777 *Proceedings of the National Academy of Sciences*, 99, 7280.
- 778 CHATFIELD, C. 1992. A commentary on error measures. *International Journal of Forecasting*, 8, 100-102.
- 779 CHU, P.-Y. & CHIU, J.-F. Factors Influencing Household Waste Recycling Behavior: Test of an integrated Model.
780 2003.
- 781 CONRAD, O., BECHTEL, B., BOCK, M., DIETRICH, H., FISCHER, E., GERLITZ, L., WEHBERG, J.,
782 WICHMANN, V. & BÖHNER, J. 2015. System for Automated Geoscientific Analyses (SAGA) v. 2.1.4.
783 *Geoscientific Model Development Discussions*, 8, 2271-2312.
- 784 EDGERTON, E., MCKECHNIE, J. & DUNLEAVY, K. 2009. Behavioral Determinants of Household
785 Participation in a Home Composting Scheme. *Environment and Behavior*, 41, 151-169.
- 786 FAWCETT, T. 2004. ROC Graphs: Notes and Practical Considerations for Researchers. *HP Labs Tech Report*.
- 787 FENG, S. & HEERINK, N. 2008. Are farm households' land renting and migration decisions inter-related in rural
788 China? *NJAS - Wageningen Journal of Life Sciences*, 55, 345-362.
- 789 HAPPE, K., HUTCHINGS, N. J., DALGAARD, T. & KELLERMAN, K. 2011. Modelling the interactions
790 between regional farming structure, nitrogen losses and environmental regulation. *Agricultural Systems*,
791 104, 281-291.
- 792 ITTIRAVIVONGS, A. Factors Influence Household Solid Waste Recycling Behaviour In Thailand: An Integrated
793 Perspective. 2011.
- 794 KARMAKAR, S., LAGUË, C., AGNEW, J. & LANDRY, H. 2007. Integrated decision support system (DSS) for
795 manure management: A review and perspective. *Computers and Electronics in Agriculture*, 57, 190-201.
- 796 MACAL, C. M. & NORTH, M. J. Agent-based modelling and simulation: desktop ABMS. 2007 Winter
797 Simulation Conference, 2007.
- 798 MACAL, C. M. & NORTH, M. J. 2010. Tutorial on agent-based modelling and simulation. *Journal of Simulation*,
799 4, 151-162.
- 800 MENG, X., WEN, Z. & QIAN, Y. 2018. Multi-agent based simulation for household solid waste recycling
801 behavior. *Resources, Conservation and Recycling*, 128, 535-545.
- 802 MONRE 2014. Rural environment: Country environment report 2014. Ministry of Natural resource and
803 Environment (MONRE).
- 804 MUSTAFA-MSUKWA, A. K., MUTIMBA, J. K., MASANGANO, C. & EDRISS, A. K. 2011. An assessment of
805 the adoption of compost manure by smallholder farmers in Balaka District, Malawi. *South African*
806 *Journal of Agricultural Extension*, 39, 17-25.
- 807 NGO, T. A., DRAKE, F. & SEE, L. M. 2012. An agent-based modelling application of shifting cultivation. In:
808 HEPPENSTALL, A. J., CROOKS, A. T., SEE, L. M. & BATTY, M. (eds.) *Agent-Based Models of*
809 *Geographical Systems*. Dordrecht, The Netherlands: Springer Verlag, .
- 810 NGO, T. A. & SEE, L. 2012. Calibration and Validation of Agent-Based Models of Land Cover Change. In:
811 HEPPENSTALL, A. J., CROOKS, A. T., SEE, L. M. & BATTY, M. (eds.) *Agent-Based Models of*
812 *Geographical Systems*. Dordrecht: Springer Netherlands.
- 813 NGUYEN, T. H. G., NGO T.A., LE T.T.H, YABE M., NGUYEN T.T., VU N.H. & T.S., C. 2021. Recycling
814 Wastewater in Intensive Swine Farms: Selected Case Studies in Vietnam. *Fac. Agr., Kyushu Univ.*, 7.
- 815 NSIMBE, P., MENDOZA, H., WAFULA, S. T. & NDEJJO, R. 2018. Factors Associated with Composting of
816 Solid Waste at Household Level in Masaka Municipality, Central Uganda. *Journal of Environmental and*
817 *Public Health*, 2018, 1284234.

- 818 OSKAMP, S., HARRINGTON, M. J., EDWARDS, T. C., SHERWOOD, D. L., OKUDA, S. M. & SWANSON,
819 D. C. 1991. Factors Influencing Household Recycling Behavior. *Environment and Behavior*, 23, 494-
820 519.
- 821 PARKER, D. C., MANSON, S. M., JANSSEN, M. A., HOFFMANN, M. J. & DEADMAN, P. 2002. Multi-Agent
822 Systems for the Simulation of Land-Use and Land-Cover Change: A Review. *Association of American*
823 *Geographers*, 75pps.
- 824 RAILSBACK, S. F., LYTINEN, S. L. & JACKSON, S. K. 2006. Agent-based Simulation Platforms: Review and
825 Development Recommendations. *SIMULATION*, 82, 609-623.
- 826 RUSSELL, S. & NORVIG, P. 1995. *Artificial Intelligence: A modern approach*, Englewood, New Jersey, Prentice
827 Hall.
- 828 SCALCO, A., CESCHI, A., ITAD. SHIBOUB, RICCARDO. SARTORI, JEAN-MARC. FRAYRET &
829 STEPHAN. DICKERT 2017a. The Implementation of the Theory of Planned Behavior in an Agent-Based
830 Model for Waste Recycling: A Review and a Proposal. In: A. ALONSO-BETANZOS ET AL (ed.) *Agent-*
831 *Based Modeling of Sustainable Behaviors. Understanding Complex Systems*. Springer International
832 Publishing Switzerland.
- 833 SCALCO, A., CESCHI, A. & SARTORI, R. 2018. Application of Psychological Theories in Agent-Based
834 Modeling: The Case of the Theory of Planned Behavior. *Nonlinear Dynamics Psychol Life Sci.*, 22, 15-
835 23.
- 836 SCALCO, A., CESCHI, A., SHIBOUB, I., SARTORI, R., FRAYRET, J.-M. & DICKERT, S. 2017b. The
837 Implementation of the Theory of Planned Behavior in an Agent-Based Model for Waste Recycling: A
838 Review and a Proposal. In: ALONSO-BETANZOS, A., SÁNCHEZ-MAROÑO, N., FONTENLA-
839 ROMERO, O., POLHILL, J. G., CRAIG, T., BAJO, J. & CORCHADO, J. M. (eds.) *Agent-Based*
840 *Modeling of Sustainable Behaviors*. Cham: Springer International Publishing.
- 841 SI, H., SHI, J.-G., TANG, D., WEN, S., MIAO, W. & DUAN, K. 2019. Application of the Theory of Planned
842 Behavior in Environmental Science: A Comprehensive Bibliometric Analysis. *International Journal of*
843 *Environmental Research and Public Health*, 16, 2788.
- 844 SUPAPORN, P., KOBAYASHI, T. & SUPAWADEE, C. 2013. Factors affecting farmers' decisions on utilization
845 of rice straw compost in Northeastern Thailand. 2013, 114, 7.
- 846 TAYLOR, S. & TODD, P. 1995a. An integrated model of waste management behavior: A test of household
847 recycling and composting intentions. *Environment and Behavior*, 27, 603-630.
- 848 TAYLOR, S. & TODD, P. 1995b. An Integrated Model of Waste Management Behavior: A Test of Household
849 Recycling and Composting Intentions. *Environment and Behavior*, 27, 603-630.
- 850 TAYLOR, S. & TODD, P. 1997. Understanding the Determinants of Consumer Composting Behavior1. *Journal*
851 *of Applied Social Psychology*, 27, 602-628.
- 852 TONGLET, M., PHILLIPS, P. S. & BATES, M. P. 2004. Determining the drivers for householder pro-
853 environmental behaviour: waste minimisation compared to recycling. *Resources, Conservation and*
854 *Recycling*, 42, 27-48.
- 855 VAN DER STRAETEN, B., BUYASSE, J., NOLTE, S., LAUWERS, L., CLAEYS, D. & VAN
856 HUYLENBROECK, G. 2010. A multi-agent simulation model for spatial optimisation of manure
857 allocation. *Journal of Environmental Planning and Management*, 53, 1011-1030.
- 858 VEA 2019. Decision number 154/QĐ-TCMT dated 15/2/2019 re. Issuing technical guideline on estimating Total
859 maximal dialy load of rever water. Vietnam Environment Administration (VEA).
- 860 VU, T. K. V., TRAN, M. T. & DANG, T. T. S. 2007. A survey of manure management on pig farms in Northern
861 Vietnam. *Livestock Science*, 112, 288-297.
- 862 WANG, L. & LIU, H. 2006. An efficient method for identifying and filling surface depressions in digital elevation
863 models for hydrologic analysis and modelling. *International Journal of Geographical Information*
864 *Science*, 20, 193-213.
- 865 WILENSKY, U. 1999. *NetLogo* [Online]. Center for Connected Learning and Computer-Based Modeling.
866 Available: <http://ccl.northwestern.edu/netlogo/> [Accessed 2/11/2020].
- 867 WOOLDRIDGE, M., JENNINGS, N. R. & KINNY, D. 2000. The Gaia Methodology for Agent-Oriented Analysis
868 and Design. *Autonomous Agents and Multi-Agent Systems*, 3, 285-312.
- 869 ZHENG, C., LIU, Y., BLUEMLING, B., CHEN, J. & MOL, A. P. J. 2013. Modeling the environmental behavior
870 and performance of livestock farmers in China: An ABM approach. *Agricultural Systems*, 122, 60-72.
- 871

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [ABMmanuscriptSupplementaryMaterials.docx](#)