

Effects of Surge Tank Geometry on the Water Hammer Phenomenon: Numerical Investigation

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1 **Effects of Surge Tank Geometry on the Water Hammer Phenomenon: Numerical**
2 **Investigation**

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12

13 **Abstract**

14 A surge tank, as one of the most common control facilities, is applied to control head
15 pressure level in long pressurized pipelines during the water hammer occurrence. The
16 cost-effective operation of surge tank is highly affected by its characteristics (i.e., surge
17 tank diameter and inlet diameter of surge tank) and can effectively reduce the
18 repercussion of water hammer. This investigation utilized the method of characteristics
19 (MOC) in order to simulate the behavior of transient flow at surge tank upstream and
20 head pressure fluctuations regime for hydraulic system of a hydropower dam. The
21 various types of boundary conditions (i.e., surge tank, reservoir, branch connection of
22 three pipes, series pipes, and downstream valve) were applied to numerically investigate
23 the simultaneous effects of the surge tank properties. In this way, all the simulations of
24 water hammer equations were conducted for nine various combinations of surge tank
25 diameter (D) and inlet diameter of surge tank (d). Results of this study indicated that, for
26 the surge tank design with $D=6m$ and $d=3.4m$, head pressure fluctuations reached

27 minimum level in the large section of pipeline here is surge tank upstream. Additionally,
28 occurrence of water hammer phenomenon was probable at the initial section of pipeline.

29

30 **Keywords:** Water hammer; Transient flow fluctuations; Method of characteristics; Surge
31 Tank

32

33 **Introduction**

34 Water hammer occurrence is one of the most destructive hydraulic phenomena in the
35 water distribution systems. This issue takes place in the event that flow velocity and
36 pressure values vary suddenly in the some cases such as suddenly opening and closing
37 valves, accidents of pump, and unexpectedly-depressurized hydraulic systems. Overall,
38 water hammer takes place in various hydraulic systems such as pump stations (Wan and
39 Huang, 2011; Hur et al., 2017; Kim et al., 2014; Rohani and Afshar, 2010), hydro-power
40 systems (Guo et. al., 2017; Liu et al., 2017; Riasi and Tazraei, 2017), water-transferring
41 systems (Duan et al., 2010; Kim, 2010; Collins et al., 2012; Zhang et al., 2018; Bettaieb
42 and Taieb, 2020), and oil-transferring systems (Behbahani-Nejad and Bagheri, 2010;
43 Esmailzadeh et al., 2009).

44 Water hammer causes damages in different ways (*i*) severe fluctuations in pressure and
45 noise, (*ii*) cavitation occurrence in the hydraulic systems. Specifically, instant positive
46 and negative pressure occurred during water hammer phenomenon stands at the higher
47 level than operation pressure. Positive pressure causes the damages to the valves and pipe
48 burst whereas negative pressure crushes the pipe systems.

49 Applying preventative methods to eradicate the repercussions of the water hammer
50 phenomena has become the cornerstone of experts in the hydraulic fields. Through this

51 issue, the maximum reduction of maximum pressure values and maximum increase of
52 minimum pressure values have drawn significant attention to the control of water
53 hammer occurrences in the recent decades. In this way, these methods generally include
54 installation of control facilities of water hammer and optimization of facilities
55 performance (Wan and Zhang, 2018; Wan et al., 2019). Improvement of policy for the
56 closing valve and coordinated performance of the hydraulic systems is one of the most
57 common optimization methods to control water hammer phenomenon (Bazargan-Lari et
58 al., 2013; Zhou et al., 2017; Wan and Li, 2016).

59 Moreover, optimizing the performance of the hydraulic systems is not essentially capable
60 of controlling water hammer and there is an occasional need for protective pieces of
61 device for this aim. Generally, surge tanks, relief valves, and pressure tanks are the most
62 well-known pieces of equipment to control the water hammer (Wan et al., 2019).
63 However, surge tanks are one of the most widely used protection devices to reduce the
64 water hammer. These tanks are very popular in water transmission systems, pumping
65 systems and power plant systems. Although large number of attempts were made on
66 surge tanks, they are still being developed to investigate responses of surge tank to the
67 variations of surge tank geometry. It should be noted that severe fluctuations in water
68 level may cause during frequent emptying and filling tank in the water hammer
69 occurrence. Therefore, having a sufficient cross section and vertical height is essential.
70 As a result, the lack of space and necessary height occasionally limit the coordinated
71 operation of surge tanks. However, in the case of the above-mentioned problems, surge
72 tanks are not well capable of controlling the severe fluctuations caused by the water
73 hammer.

74 Laboratory and numerical investigations have been conducted to simulate transient
75 hydraulic flows occurring the water hammer. However, in the case of laboratory
76 simulations, it is very difficult to simultaneously combine various boundary conditions.
77 In addition, performing an experimental study is highly time-consuming time and
78 expensive. With the development of computers and computational methodologies,
79 numerical techniques are being used more widely to simulate the water hammer in
80 various engineering applications. In this case, numerical methods are grouped into three
81 main categories: Finite Difference Method (FDM), Finite Element Method (FEM), and
82 Finite Volume Method (FVM). Over the past few decades, various numerical methods
83 have been developed to simulate and control transient hydraulic flows during the water
84 hammer phenomenon. The most commonly used FDMs is the Method of Characteristics
85 (MOC) with explicit form, which has been widely applied and improved in multi-pipe
86 hydraulic systems (i.e., Karadzic et al., 2014; Holler and Jaberg, 2013; Vasconcelos et al.,
87 2015; Wang and Yang, 2014; Wan and Zhang, 2018).

88 In the recent years, effects of inlet cross-section and length of inlet junction associated
89 with surge tank on the response of surge tank have been investigated (Wang and Yang,
90 2014; Wan et al., 2019). Simultaneous influences of surge tank properties (i.e., surge tank
91 diameter and inlet diameter of surge tank) have not been yet studied. In fact,
92 simultaneous use of surge tank properties causes to find efficient and economic design of
93 water systems. Hence, in this study, the performance of surge tank, installed on the
94 pipeline of Jiroft Dam powerhouse, is studied to reduce the repercussion of water
95 hammer phenomenon. In this way, Method Of Characteristic (MOC), introduced as the
96 most well-known FDMs, is used to simulate the response of water system along with

97 various boundary conditions in the pipeline such as triple-shaped junction, series
98 pipelines, and control valve at downstream of power plant model.

99

100 **Overview of Power Plant Model**

101 The power plant case study was constructed for Jiroft Hydroelectric Dam, located at the
102 south east of Iran. The reservoir capacity contains approximately 410 million cubic
103 meters up to 1185m above sea level. Fig. 1 shows the details of the hydraulic system of
104 the power plant, including the upstream reservoir, the branch connection of triple pipes,
105 the surge tank, connection of two series pipes, and the valve downstream. The upstream
106 pipe of the surge tank with length 2544 m and diameter 3.4m is connected to a three-way
107 junction with the same diameter. The inlet diameter of the surge tank (d) and the diameter
108 of the surge tank (D) are 3.4m and 6m, respectively. The system response to the
109 variations in D and d variables is assessed by $\pm 20\%$ variations in these factors. There are
110 two series pipes with diameters of 2.4m and 3.4m which placed downstream of power
111 plant model. The friction factor of system pipes is 0.016. In order to investigate and
112 analyze the water hammer phenomenon in different parts of the system, 12 nodes have
113 been located. Nodes 1 to 5 on the upstream pipe, 5 to 7 for the three-way connection,
114 node 8 for the surge tank, nodes 9 and 10 for the series connection of the two pipes, node
115 11 for the end pipe and node 12 for the control valve at the end of the line path. Pipes are
116 considered. In the initial conditions, the level of the head pressure in nodes 1, 8 and 12
117 are 67m, 62.28m and 62.06m, respectively.

118

119 **Equations Governing Transient Flows**

120 The set of physical equations corresponding to fluid motion includes the continuity
 121 equation, the motion size equation, and the energy equation. For one-dimensional flow in
 122 a closed-ended pipe (as an isothermal process without energy conversion), the governing
 123 equations of flow are the equations of continuity and momentum. Therefore, the
 124 following equations can be considered for the transient flow regime in the pipe:

$$125 \begin{cases} L_1 = h_t + \frac{a^2}{g} v_x + v h_x + v \sin \theta = 0 \\ L_2 = v_t + g h_x + v v_x + f \frac{v|v|}{2D_p} = 0 \end{cases} \quad (1)$$

126 where h is the head pressure, v is the flow velocity, a is the wave speed of water hammer,
 127 g is the gravity acceleration, θ is the pipe slope, D_p is the pipeline diameter, t subscript is
 128 the derivative of a variable respect to time, and x is derivative of a variable respect to
 129 space.

130

131 **Overview of MOC**

132 The Method Of Characteristics (MOC) has been widely applied to simulate the transient
 133 flow for various situations: water pipeline (Zhang et al., 2018; Bettaieb and Taieb, 2020),
 134 power plant (Guo et. al., 2017; Liu et al., 2017), and water pump station (; Kim et al.,
 135 2014; Hur et al., 2017).

136 For this purpose, Eq. (1) is converted to the linear combination of L1 and L2 as,
 137 $L1+\lambda L2=0$, in which $\lambda=\pm g/a$ and $dx/dt=v\pm a$. In this way, Eq.(1) is re-expressed as,

$$138 \begin{cases} C^+ : \frac{g}{a} h_t + v_t + \frac{g}{a} v \sin \theta + f \frac{v|v|}{2D_p} = 0 & , \quad dx/dt = v + a \\ C^- : -\frac{g}{a} h_t + v_t - \frac{g}{a} v \sin \theta + f \frac{v|v|}{2D_p} = 0 & , \quad dx/dt = v - a \end{cases} \quad (2)$$

139 Schematic diagram of MOC was conceptually illustrated in Fig. 2. As seen in Fig. 2, flow
 140 velocity and head pressure values which are associated with nodes 2, 3, 4, 11 (see Fig. 1),
 141 are obtained as,

$$142 \begin{cases} C^+: (v_P - v_L) + \frac{g}{a}(h_P - h_L) + \frac{g}{a}v_L \sin \theta (t_P - t_L) + f \frac{v_L|v_L|}{2D_P} (t_P - t_L) = 0 \\ (x_P - x_L) = (v_L + a)(t_P - t_L) \\ C^-: (v_P - v_R) - \frac{g}{a}(h_P - h_R) - \frac{g}{a}v_R \sin \theta (t_P - t_R) + f \frac{v_R|v_R|}{2D_P} (t_P - t_R) = 0 \\ (x_P - x_R) = (v_R - a)(t_P - t_R) \end{cases} \quad (3)$$

143 In the above-mentioned equation, v_L , v_R , h_L , and h_R are initially computed by
 144 interpolation as,

$$145 \begin{cases} v_L = v_C - a(v_C - v_A) \Delta t / \Delta x \\ v_R = v_C - a(v_C - v_B) \Delta t / \Delta x \\ h_L = h_C - a(h_C - h_A) \Delta t / \Delta x \\ h_R = h_C - a(h_C - h_B) \Delta t / \Delta x \end{cases} \quad (4)$$

146 Eq. (4) is substituted into Eq. (1) and then h_P and v_P are computed as,

$$147 \begin{cases} v_P = 0.5 \left[v_L + v_R + \frac{g}{a}(h_L - h_R) - \frac{g}{a} \Delta t \sin \theta (v_L - v_R) - \frac{f \Delta t}{2D_P} (v_L|v_L| + v_R|v_R|) \right] \\ h_P = 0.5 \left[h_L + h_R + \frac{a}{g}(v_L - v_R) - \Delta t \sin \theta (v_L + v_R) - \frac{a}{g} \frac{f \Delta t}{2D_P} (v_L|v_L| - v_R|v_R|) \right] \end{cases} \quad (5)$$

148 To compute h_P and v_P for other nodes, boundary conditions need to be investigated.

149 In this study, the wave speed of transient flow (a) for pipeline at the surge tank upstream
 150 ($d=3.4m$) is 1150 m/s whereas, for pipeline at the surge tank downstream ($d=2.4m$), is
 151 1300 m/s . To implement MOC for simulation of head pressure and flow velocity
 152 variations in the present hydraulic systems, Δt and Δx are fixed as 0.3s and 318m,
 153 respectively. Furthermore, MOC programming code was provided in MATLAB.

154

155 **Boundary conditions**

156 **Reservoir upstream**

157 Fig.3 illustrates boundary condition of reservoir upstream. According to this, equation
 158 governed by reservoir upstream is expressed as (Chaudhry, 1986),

$$159 \left\{ \begin{array}{l} h_{p_{j,1}} = H_{res} \\ C^-: \quad v_{p_{j,1}} = C1_j + C2_j h_{p_{j,1}} \\ C1 = v_R - C2 h_R + C2 v_R \sin \theta \Delta t - \frac{f\Delta t}{2D_p} v_R |v_R| \quad , \quad C2 = \frac{a}{g} \end{array} \right. \quad (6)$$

160 in which j is the number of pipe and H_{res} is the head pressure of reservoir upstream.

161

162 **Branch Connection of Three Pipes**

163 According to Fig.1, h_p and v_p are associated with nodes 5, 6, and 7. In fact, boundary
 164 condition of branch connection of three pipes was studied by these nodes (5-7). Fig. 4
 165 depicted this typical boundary condition for nodes 5 to 7 and then the following
 166 formulation is expressed to find the flow characteristics for these nodes (5 to 7) as
 167 (Chaudhry, 1986),

$$168 \left\{ \begin{array}{l} h_{p_{j,n+1}} = \frac{C3_j A_j - C1_{j+1} A_{j+1} - C1_{j+2} A_{j+2}}{C2_j A_j + C2_{j+1} A_{j+1} + C2_{j+2} A_{j+2}} \\ h_{p_{j+1,1}} = h_{p_{j+2,1}} = h_{p_{j,n+1}} \\ v_{p_{j,n+1}} = C3_j - C2_j h_{p_{j,n+1}} \\ v_{p_{j+1,1}} = C1_{j+1} + C2_{j+1} h_{p_{j+1,1}} \\ v_{p_{j+2,1}} = C1_{j+2} + C2_{j+2} h_{p_{j+2,1}} \\ C3 = v_L + C2 h_L - C2 v_L \sin \theta \Delta t - \frac{f\Delta t}{2D_p} v_L |v_L| \end{array} \right. \quad (7)$$

169 where subscript of n denotes the number of node.

170

171 **Surge Tank**

172 According to Fig. 5, h_p and v_p values for node 5 are computed as (Chaudhry, 1986),

$$173 \quad \begin{cases} h_{p_{j+2,n+1}} = L_{j+2} + ZP \\ ZP = z + v_{p_{j+2,n+1}} A_{j+2} \Delta t / A_s \\ v_{p_{j+2,n+1}} = (C3_{j+2} - C2_{j+2} h_{p_{j+2,n+1}}) / (1 + C2_{j+2} A_{j+2} \Delta t / A_s) \end{cases} \quad (8)$$

174 Where A_s is the cross-section of surge tank, ZP is the height of water surface in the surge
 175 tank at the end of time interval, and z denotes is the height of water surface at the
 176 beginning of time interval.

177

178 **Series Connection**

179 Schematic diagram of series connection boundary condition used in this study was
 180 illustrated in Fig.6. The boundary conditions for nodes 9&10 (as seen in Fig. 1) are
 181 grouped into typical series connections; therefor, h_p and v_p values are computed as
 182 (Chaudhry, 1986),

$$183 \quad \begin{cases} h_{p_{j,n+1}} = (C3_j A_j - C1_{j+1} A_{j+1}) / (C2_j A_j + C2_{j+1} A_{j+1}) \\ h_{p_{j+1,1}} = h_{p_{j,n+1}} \\ C^+: v_{p_{j,n+1}} = C3_j - C2_j h_{p_{j,n+1}} \\ C^-: v_{p_{j+1,1}} = C1_{j+1} + C2_{j+1} h_{p_{j+1,1}} \end{cases} \quad (9)$$

184

185 **Downstream Valve**

186 Boundary condition of node 12, as illustrated in Fig. 7, includes two states. The first state
 187 is the time when valve is closed. This situation is expressed by as $\tau = (1 - t/t_c)$ which
 188 t_c is the time duration for valve complete closure.

$$189 \quad \begin{cases} c_v = v_{0_j}^2 / C2_j H_{0_{j,n+1}} \\ C4 = \tau^2 c_v \\ v_{p_{j,n+1}} = \frac{1}{2} C4 (-1 + \sqrt{1 + 4 \frac{C3_j}{C4}}) \\ h_{p_{j,n+1}} = (C3_j - v_{p_{j,n+1}}) / C2_j \end{cases} \quad (10)$$

190 where H_0 is the initial head pressure at valve.

191 The second state of boundary condition is associated with the time when valve is
192 completely closed. In this way, boundary condition for node 12 is expressed as
193 (Chaudhry, 1986),

$$194 \begin{cases} v_{p_{j,n+1}} = 0 \\ h_{p_{j,n+1}} = C3_j/C2_j \end{cases} \quad (11)$$

195

196 **Results and Discussion**

197 **Response of surge tank**

198 In this section, variation of transient flow characteristics (pressure and velocity)
199 associated with surge tank are investigated. Fig. 8a illustrates variations of transient head
200 pressure versus time for the surge tank. As seen in Fig. 8a, 9.10 m difference between
201 peak pressure head (H_{\max}) and trough pressure head (H_{\min}) was depicted in the initially-
202 developed transient flow during fast-closing valve. Difference between H_{\max} and H_{\min}
203 decreased to 1.08 m in the middle of time period. Head pressure of surge tank remained
204 constant (66.56 m) in the last of time period. Moreover, variations of transient flow
205 velocity against time (for node 8 in Fig. 1) were illustrated in Fig. 8b. maximum
206 fluctuations of transient flow velocity was 13.76 m/s at the beginning of transient flow
207 formation and then this value decreased to 1.37 m/s in the middle time period.
208 Additionally, variations of minimum and maximum head pressure in the surge tank were
209 shown in Fig. 9.

210

211 **Response of surge tank due to inlet diameter variation**

212 Fig. 10 indicates variations of surge tank response versus inlet diameter (d). In this study,
213 variations of d were considered as $d \pm 0.2d$. Fig. 10a illustrates variations of head pressure
214 for various surge tank inlet diameters. Maximum variation in the head pressure of surge
215 tank decreased from 9.10 m to 8.95 m as d value increase from 3.4 m to 4.08 m . In the
216 case of 20% decrease in d value, maximum head pressure remained constant at 9.1 m . As
217 illustrated in Fig. 10a, with passing the time, fluctuations of head pressure for $d=2.72$ m
218 stood at the lower level that of $d=3.4$ m and 4.08 m . Variations of transient flow velocity
219 versus various d values were shown in Fig. 10b. Results indicated that maximum
220 fluctuation of transient flow velocity decreased from 13.76 m/s in $d=3.4$ m to 9.23 m/s in
221 $d=4.08$ m . In contrast, as d value decreases from 3.4 m to 2.72 m , maximum fluctuation of
222 flow velocity in the transient state augments from 13.76 m/s to 20.63 m/s .

223 With reference to Fig. 11, the pressure head of surge tank was on the rise when flow
224 velocity had positive value. On the contrary, negative values of flow velocity, introduced
225 as flow direction from surge tank to pipeline, cause increase of the head pressure in the
226 surge tank. On the other hand, as the pressure head increased, the surge tank absorbed
227 water from the pipeline to prevent the increase of pressure fluctuation.

228

229 **Response of surge tank due to variations of tank cross sections**

230 In this research, the diameter of surge tank (D) varies $\pm 20\%D$ to investigate the response
231 of surge tank. Results showed that variations of the maximum values of head pressure
232 fluctuation in the surge tank versus diameter values has reverse trend in way that values
233 decreased with an increase in D values. As illustrated in Fig. 12, the maximum value of
234 head pressure fluctuation increased from 9.10 m in $D=6$ m to 9.54 m in $D=4.08$ m . On the

235 other hand, with an increase of D value from 6.0 m to 7.2 m, maximum values of head
236 pressure fluctuation decreased from 9.10 m to 8.77 m.
237 Furthermore, variations of flow velocity in the transient state versus different values of
238 surge tank diameter were illustrated in Fig. 13. Qualitatively, results indicated that
239 maximum difference of flow velocity values in the node (just under the surge tank) rose
240 from 13.76 m/s to 16.75 m/s when D values increased from 6.0 m to 7.2 m. In contract,
241 the maximum difference of flow velocity declined from 13.76 m/s in $D=6.0$ m to 10.66
242 m/s in $D=4.08$ m.

243

244 **Transient flow at upstream of surge tank**

245 Due to existing majority of pipe length between node 1 and node 5, Fig. 14 demonstrated
246 variation of head pressure and flow velocity between nodes 1&5 which placed in the
247 middle and last sections of pipeline. Result demonstrated that maximum value of
248 difference in the head pressure has downward trend, decreasing from 8.62 m in node 5 to
249 zero in node 1. In contrast, maximum difference in the flow velocity increased from
250 16.25 m/s in node 5 to 18.52 m/s in node 1. The minimum and maximum head pressure
251 values were presented in Fig. 15. According to Fig. 15, the maximum head pressure
252 values were 6.62 m, 4.81 m, and 3.12 m for nodes 4, 3, and 2, respectively.

253

254 **Effects of surge tank inlet diameter on transient flow at upstream of surge tank**

255 As mentioned in the previous section, the maximum difference in the head pressure was
256 on the decline and additionally this trend remained with variations of surge tank inlet
257 diameter. Fig. 16a demonstrated that, for $d=2.72$ m, maximum difference in the head

258 pressure declined from 8.73 *m* in node 5 to zero in node 1. Moreover, for $d=4.08$ *m*,
259 maximum difference in the head pressure decreased from 9.01 *m* in node 5 to zero in
260 node 1 (see Fig. 16b). Therefore, it can be said that variations of surge tank inlet diameter
261 do not prevent the decrease of fluctuation of head pressure at upstream of surge tank.
262 Table 1 presents flow velocity values for nodes 1 to 5 in the various values of surge tank
263 inlet diameter. As seen in Table 1, maximum difference in the flow velocity at upstream
264 of surge tank has upward trend when inlet diameter of surge tank increased from 2.72 *m*
265 to 4.08 *m*. In fact, fluctuations of flow velocity at upstream of surge tank were affected by
266 decrease of inlet diameter (*d*).

267

268 **Effects of surge tank diameter on the transient flow at upstream of surge tank**

269 Fig. 17a&b depicted fluctuations of head pressure at upstream of surge tank for different
270 values of *D*. As seen in Fig. 17a&b, maximum difference in pressure head values in the
271 surge tank upstream decreased as diameter of surge tank increased. For $D=4.8$ *m*,
272 maximum difference in the pressure head decreased from 9.17 *m* at node 5 to zero at
273 node 1 (see Fig 17a) and similarity, for $D=7.2$ *m*, maximum value of difference in the
274 pressure head declines from 8.71 *m* at node 5 to zero at node 1 (see Fig 17b).

275 Fig. 18a&b illustrates fluctuation of flow velocity at upstream of surge tank for various
276 diameter of surge tank. According to Fig. 18a&b the maximum difference in the flow
277 velocity has upward trend at upstream of surge tank as diameter of surge tank increased.
278 For $D=4.8$ *m*, the maximum difference in the flow velocity increased from 14.7 *m/s* in
279 node 5 to 16.8 *m/s* in node 1 (see Fig 18a). Also, the maximum difference in the flow
280 velocity rose from 17.87 *m/s* in node 5 to 19.37 *m/s* in node 1 for $D=7.2$ *m* (see Fig 18b).

281 **Simultaneous effects of surge tank characteristics on the surge tank response**

282 Table 2 presents maximum difference in the pressure head for the three values of surge
283 tank diameter (D) and three values of surge tank inlet diameter (d). In fact, nine
284 combinations for d and D values were provided. As seen in Table 2, for the minimum
285 value of surge tank diameter ($d=4.8\text{ m}$), the fluctuation of head pressure in the surge tank
286 stood at the maximum level when d values had intermediate level ($d=3.4\text{ m}$).
287 Furthermore, values of head pressure fluctuation for both minimum and maximum values
288 of surge tank inlet diameter are approximately the same. Overall, it can be inferred from
289 Table 2 that for all the D values, value of head pressure fluctuation in the surge tank
290 stood at the minimum level for maximum level for maximum level for maximum value of
291 surge tank diameter. In the case of $D=6\text{ m}$, minimum value of head pressure fluctuation
292 obtained 8.95 m for $d=4.08\text{ m}$, for instance. In Table 3, the minimum and maximum
293 values of flow velocity fluctuations at node 8 obtained 7.03 m/s and 24.67 m/s ,
294 respectively. In fact, the minimum level of fluctuation (7.03) was associated with $d=4.08$
295 m and $D=4.8\text{ m}$ while the maximum values of fluctuation was due to $d=2.72\text{ m}$ and $D=7.2$
296 m . According to the results, when design of surge tank with low diameter and high inlet
297 diameter is desirable, fluctuation of head pressure in the surge tank and fluctuation of
298 flow velocity decreased along with reduction of costs.

299

300 **Simultaneous effects of surge tank characteristics on the response of upstream**

301 Values of head pressure fluctuations at upstream of surge tank for each combination of d
302 and D were given in Table 4. In minimum value of surge tank diameter ($D=4.8\text{ m}$) for
303 nodes 2 and 5, head pressure fluctuation decreased with increase of d values. On the

304 contrary, this issue had downward trend for nodes 3 and 4. Hence, it can be said that
305 values of head pressure fluctuation in the middle section of upstream pipeline did not
306 stood at the minimum level with lower diameter surge tank and higher value of surge
307 tank inlet diameter. In the nodes 3 to 5, head pressure fluctuations in the middle section
308 of diameter surge tank stood at the higher level for $d=2.72\text{ m}$ and 4.08 m than that of
309 $d=3.4\text{ m}$. While, for $D=6\text{ m}$ the head pressure fluctuations in the node 2, decreased with
310 an increase of $d=3.4\text{ m}$. Generally, design purpose of surge tank in the middle level of d
311 and D , the head pressure fluctuations in the majority section of upstream surge tank stood
312 at the minimum level for both middle values of surge tank properties ($d=3.4\text{ m}$ and $D=6$
313 m). And more, high risk of water hammer occurrence is probable at the beginning of
314 upstream surge tank. Moreover, for $D=7.2\text{ m}$, head pressure fluctuations decreased at
315 nodes 2 and 4 with an increase of d values while, for node 5, this trend was increasing.
316 Hence, it can be said that design of surge tank with high values of D and d cause to
317 increase probability of water hammer occurrences.

318 Table 5 indicated flow velocity fluctuations in the surge tank upstream for each
319 combination of D and d values. For low value of D , fluctuation of flow velocity stood at
320 the maximum level as d was equal to 3.4 m . Moreover, for $D=6\text{ m}$ and 7.2 m , as inlet
321 diameter values of surge tank were 3.4 and 4.08 m , fluctuations of flow velocity were
322 found to be maximum for $d=4.08\text{ m}$. Furthermore, for each value of d , fluctuations of
323 flow velocity at surge tank upstream increased with an increase in diameter of surge tank.

324

325 **Conclusion**

326 In this research, numerical simulation of water hammer phenomenon was conducted
327 using MOC along with various boundary conditions. Responses of surge tank and
328 upstream pipeline of surge tank to the variations of d and D variables were investigated.
329 In this way, each of d and D variables varied $\pm 20\%$ and therefore, three levels of d (or D)
330 were provided for each geometric factor. Thus, the following conclusions were drawn as,
331 - Although maximum fluctuation of head pressure in the surge tank for $d=2.72m$ and
332 $3.40m$ remained constant values, head pressure for d of $2.72m$ had lower fluctuations than
333 that of $d=3.40m$.
334 - Results of MOC simulations indicated that variations of the maximum values of head
335 pressure fluctuations in the surge tank decreased as surge tank diameter got larger.
336 - At upstream of surge tank (nodes 5 to 1), maximum values of head pressure and
337 maximum values of transient flow velocity had downward and upward trends,
338 respectively.
339 - The maximum values of fluctuation in the head pressure indicated decreasing trend and
340 then these variations was stable with variations of d values. Fluctuations of flow velocity
341 in the pipeline of surge tank upstream had decreasing trend as d values decreased.
342 Furthermore, upward trend of head pressure fluctuations at the surge tank upstream
343 remained constant with variations of surge tank diameter. For all diameters of surge tank,
344 minimum values of head pressure fluctuations took place for $d=4.08m$.
345 - Design of surge tank with low D and high d causes the reduction of construction costs
346 in a way that head pressure fluctuations in the surge tank and flow velocity in the pipeline
347 decreased. In this state, fluctuations of head pressure in the middle sections of pipeline
348 upstream stood at the maximum level and additionally negative consequences of water

349 hammer need to be prevented. Furthermore, design of surge tank with high values of D
350 and d , risk of water hammer at vicinity of surge tank is higher than other sections of
351 pipeline upstream.

352

353 **Ethical Approval**

354 All procedures performed in studies involving human participants were in accordance
355 with the ethical standards of the institutional and/or national research committee and with
356 the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

357

358 **Consent to Participate**

359 Informed consent was obtained from all individual participants included in the study.

360

361 **Consent to Publish**

362 All the authors give the Publisher the permission of the authors to publish the research
363 work.

364

365 **Authors Contributions**

366 **Mohammad Mahmoudi-rad;** Performing the numerical simulation and applying various
367 boundary condition; Analysing results of numerical model; Resources

368 **Mohammad Najafzadeh;** Water hammer analysis; Writing - original draft preparation,
369 Writing - review and editing; Supervision

370

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373

374 **Competing Interests**

375 There is no conflict of interest.

376

377 **Availability of data and materials**

378 The data are not publicly available due to restrictions such their containing information

379 that

380

381 **References**

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Figures

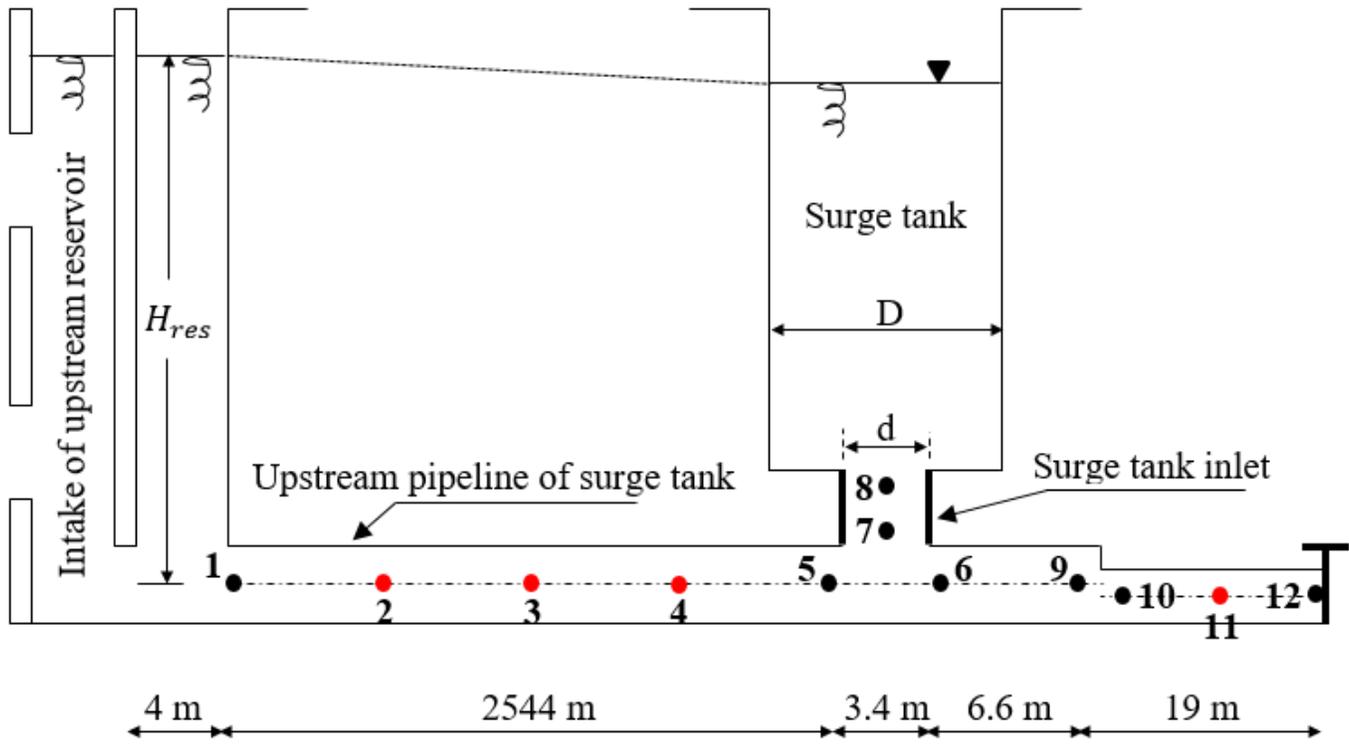


Figure 1

Schematic diagram of the main sections of the power plant

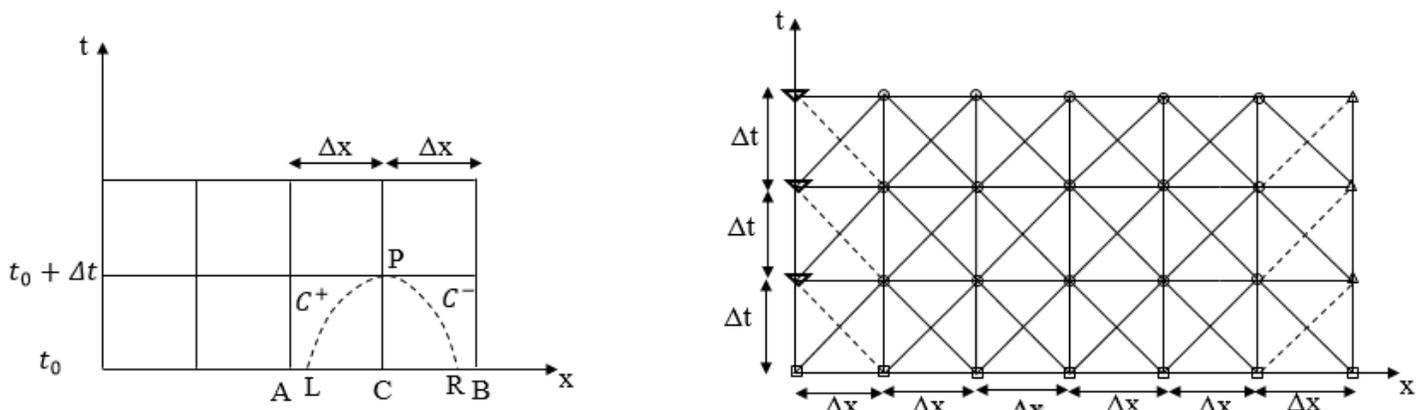


Figure 2

Schematic illustration of MOC

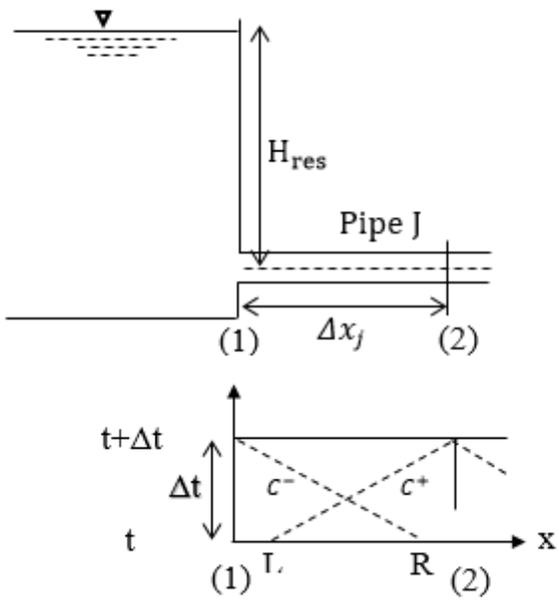


Figure 3

Schematic diagram of boundary condition of reservoir upstream

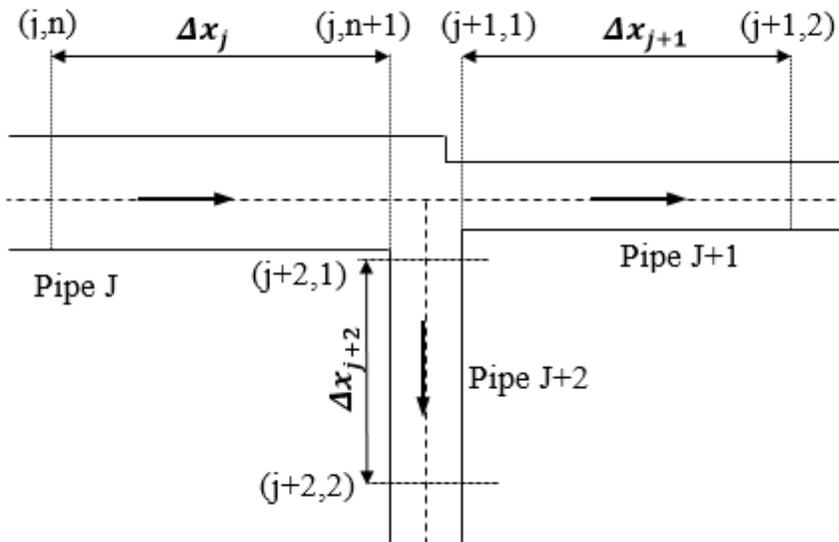


Figure 4

Illustration of boundary condition for branch connection of three pipes

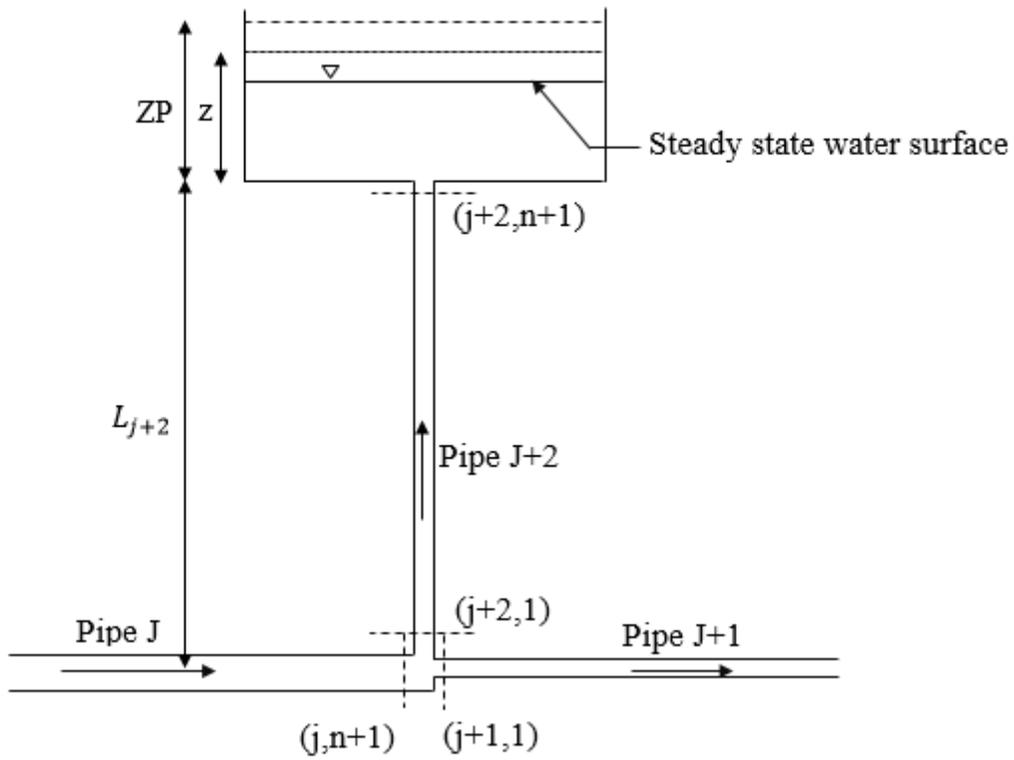


Figure 5

Illustration of boundary condition for surge tank

Figure 6

Conceptual depiction of boundary condition for series connection state

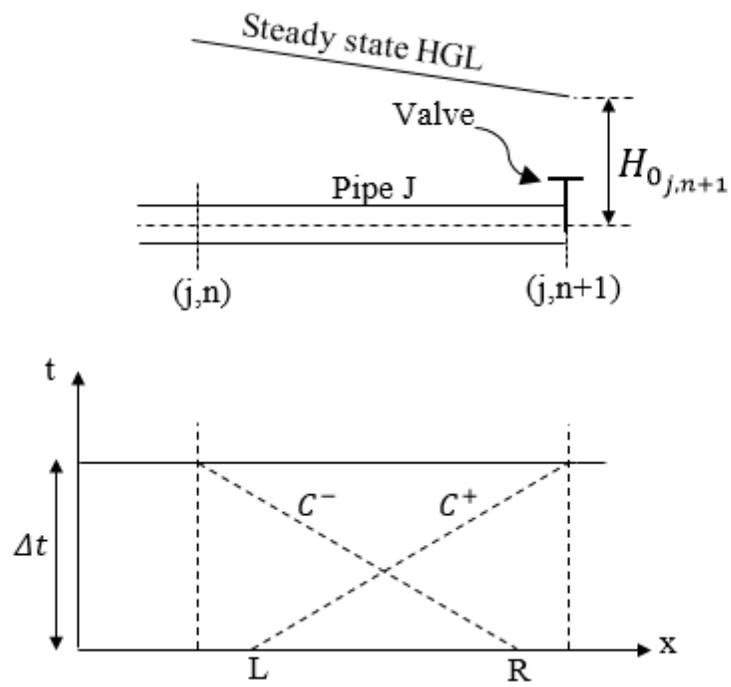
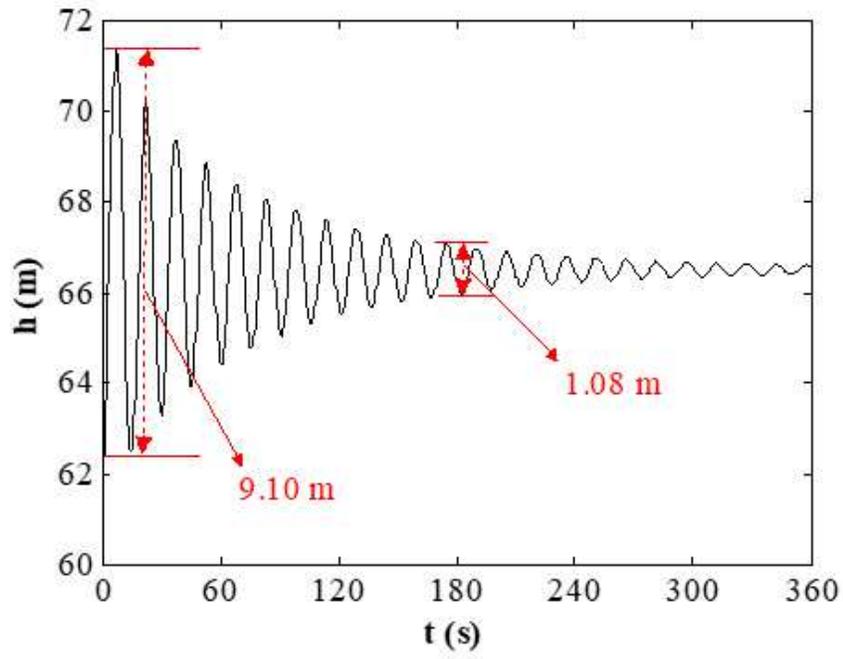
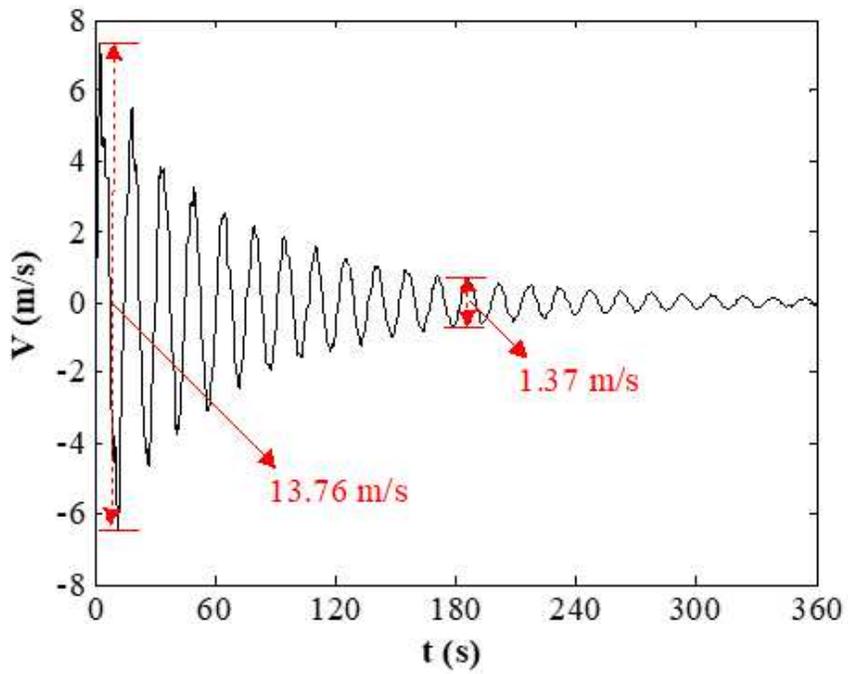


Figure 7

Conceptual depiction of boundary condition for downstream valve state



(a)



(b)

Figure 8

Variations of transient flow properties in the surge tank versus time: (a) head pressure, and (b) flow velocity

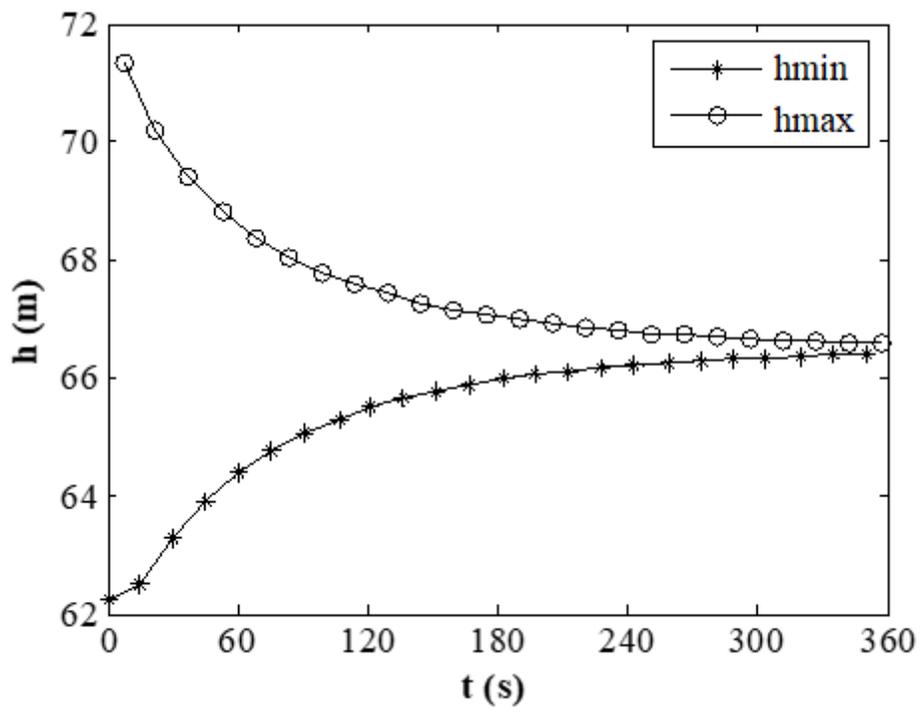
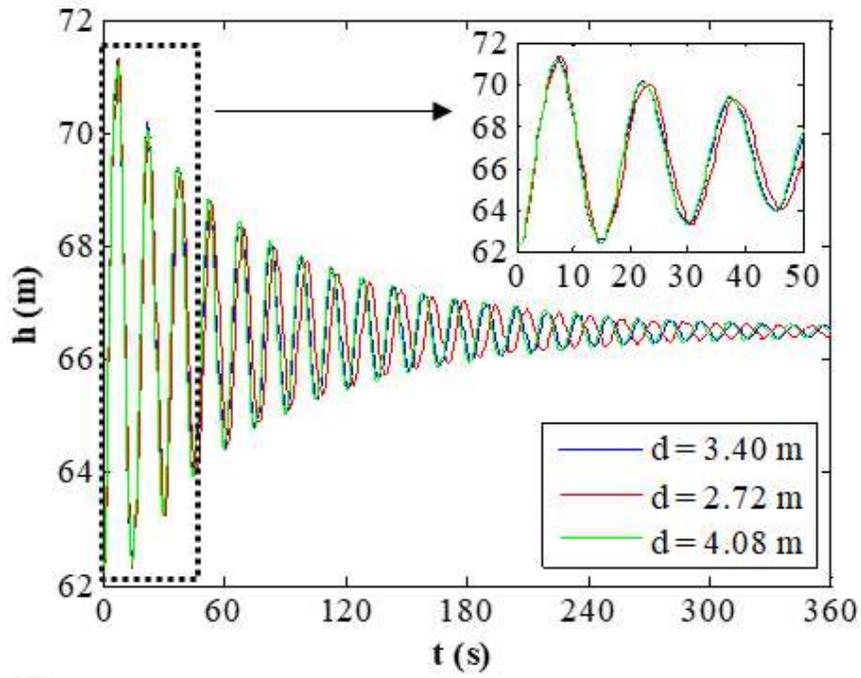
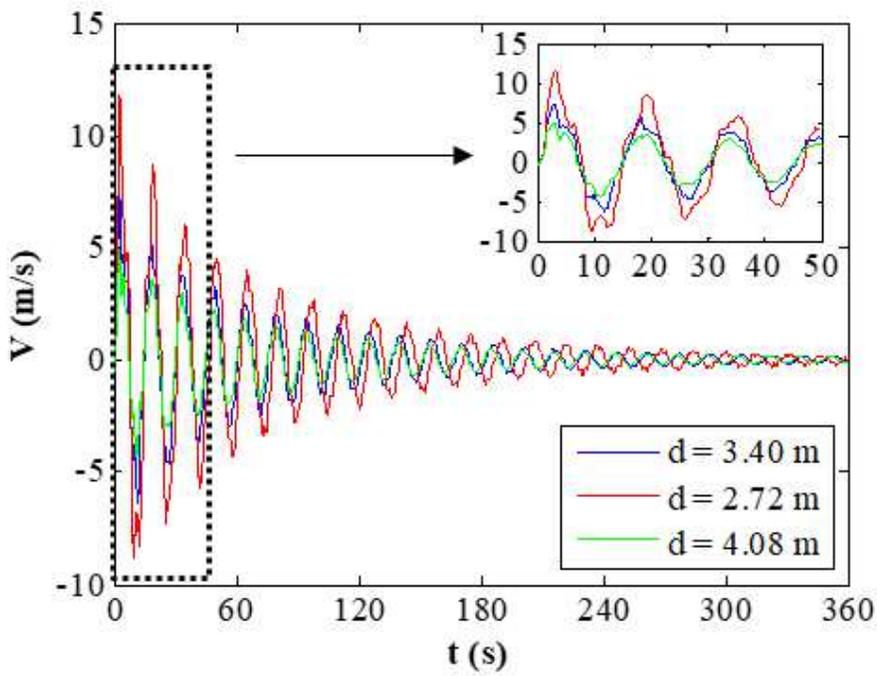


Figure 9

Variations of minimum and maximum peaks associated with head pressure in the surge tank



(a)



(b)

Figure 10

Variations of surge tank response versus inlet diameter: (a) head pressure, and (b) flow velocity

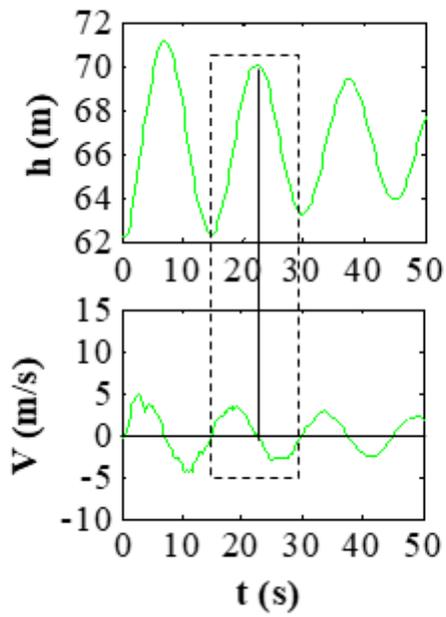


Figure 11

Response of the surge tank

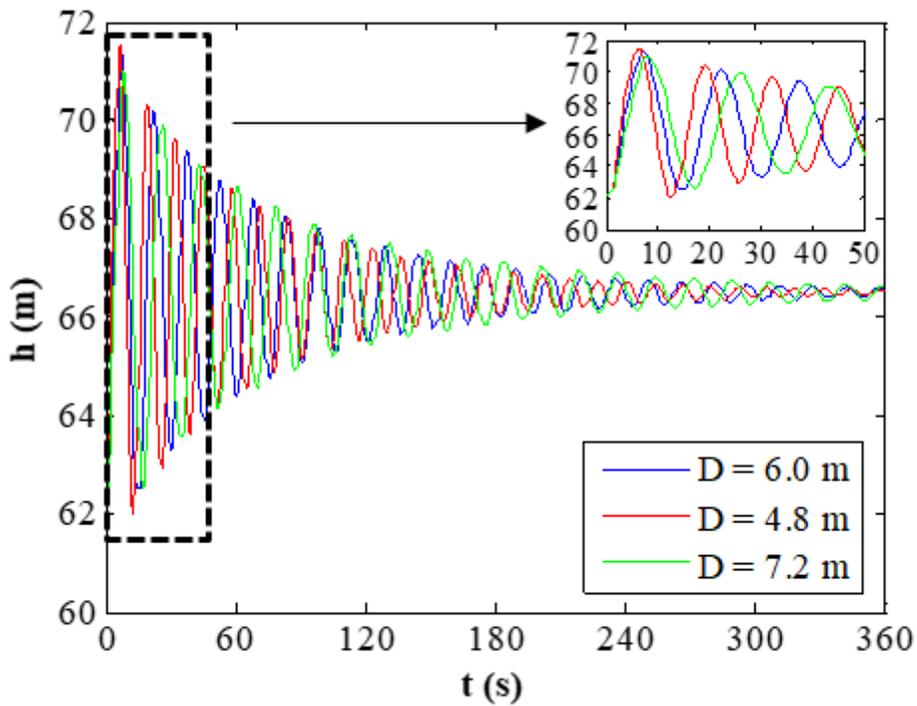


Figure 12

Variations of head pressure fluctuations for various values of surge tank diameter

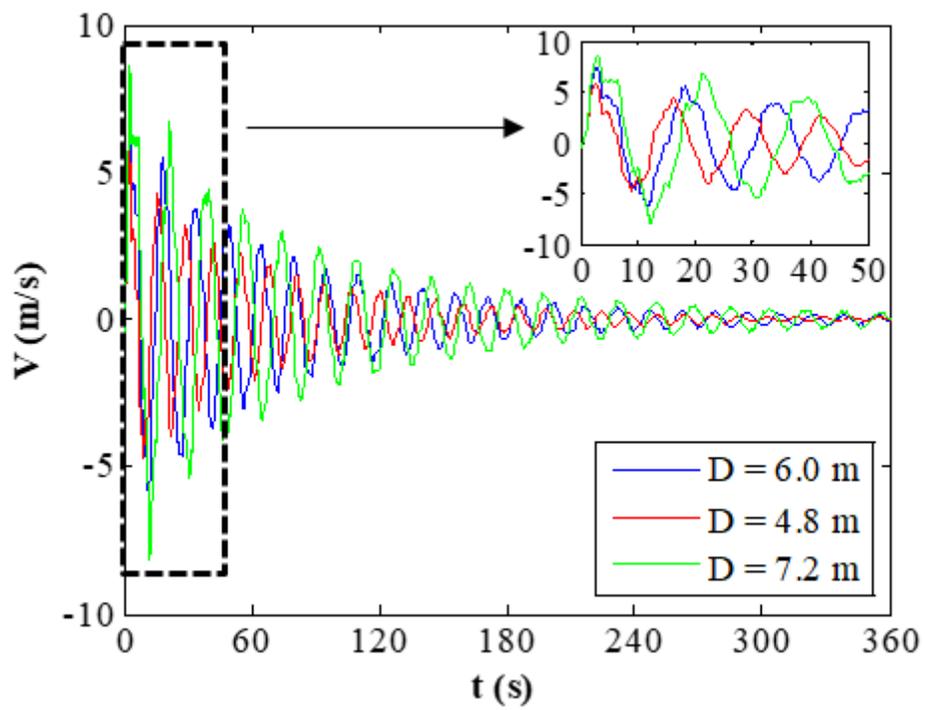
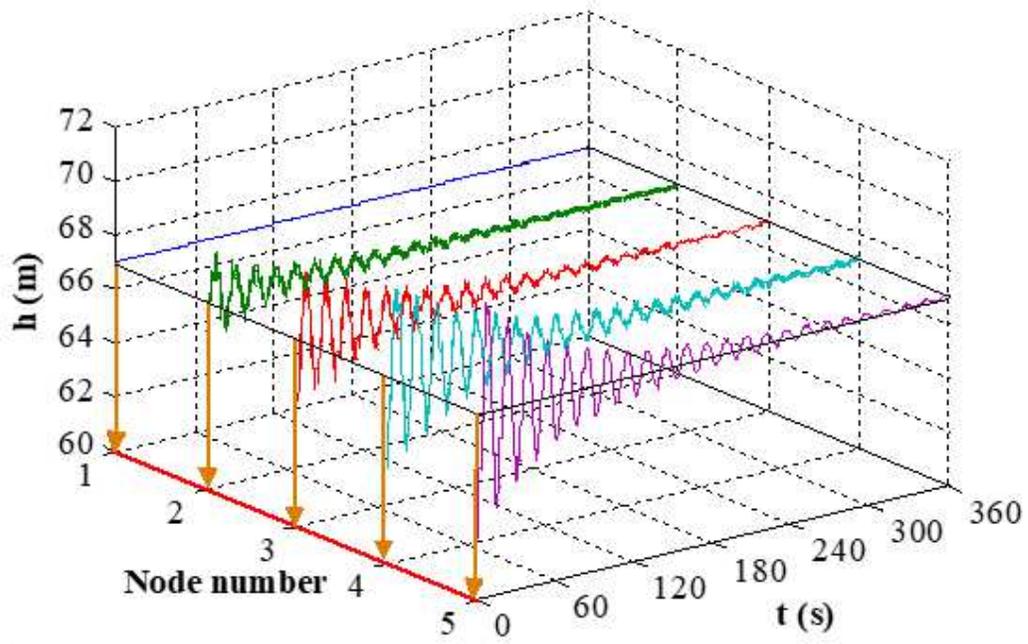
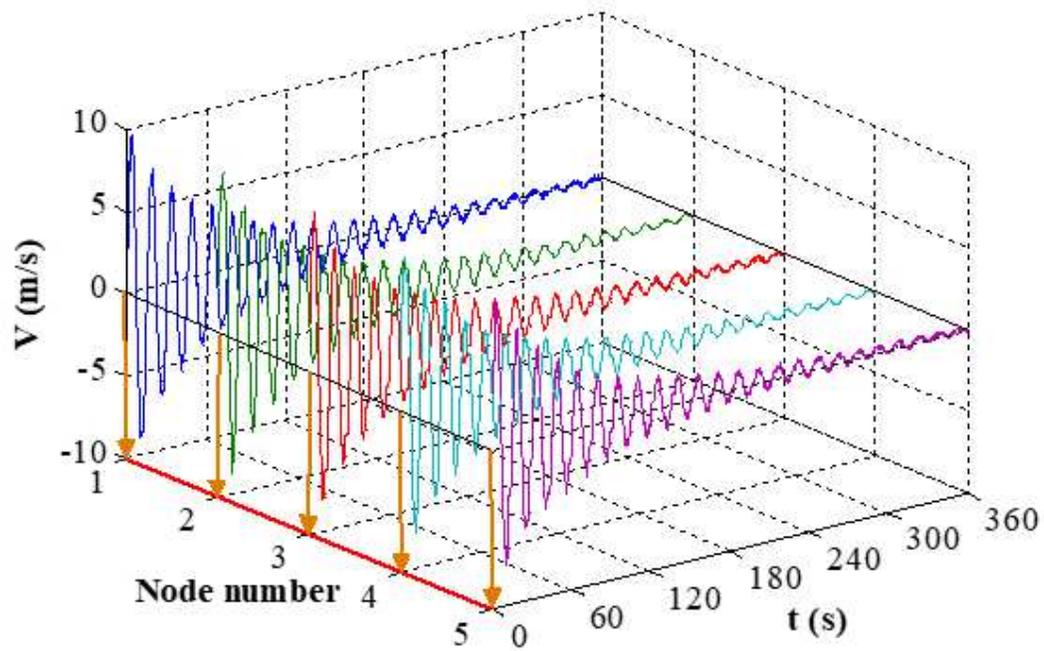


Figure 13

Variations of flow velocity fluctuations for various values of surge tank diameter



(a)



(b)

Figure 14

Variations of upstream pipeline of surge tank for nodes 1 to 5: (a) head pressure, and (b) flow velocity

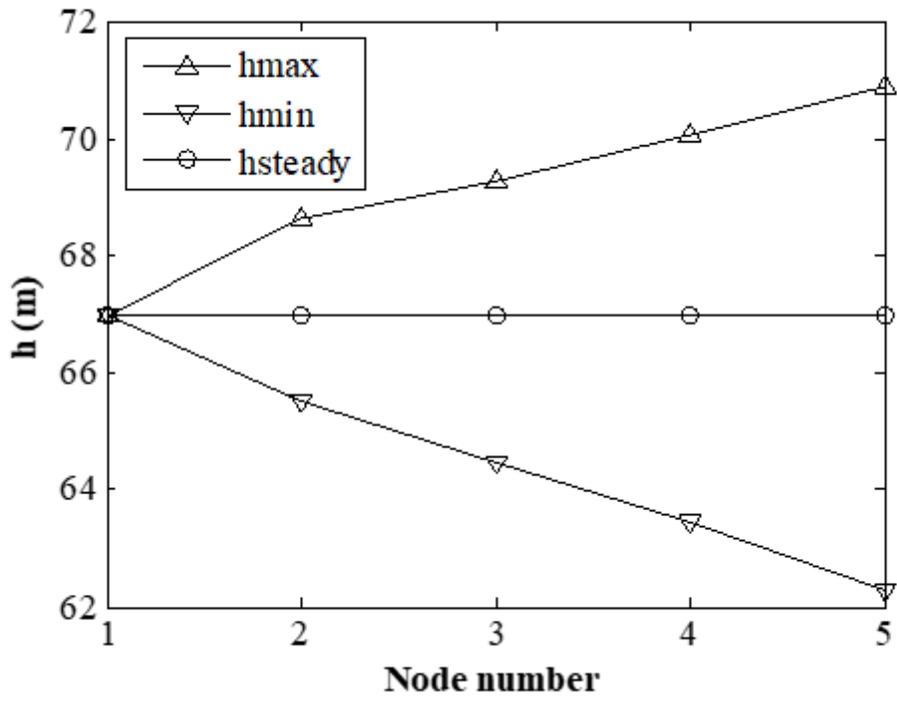
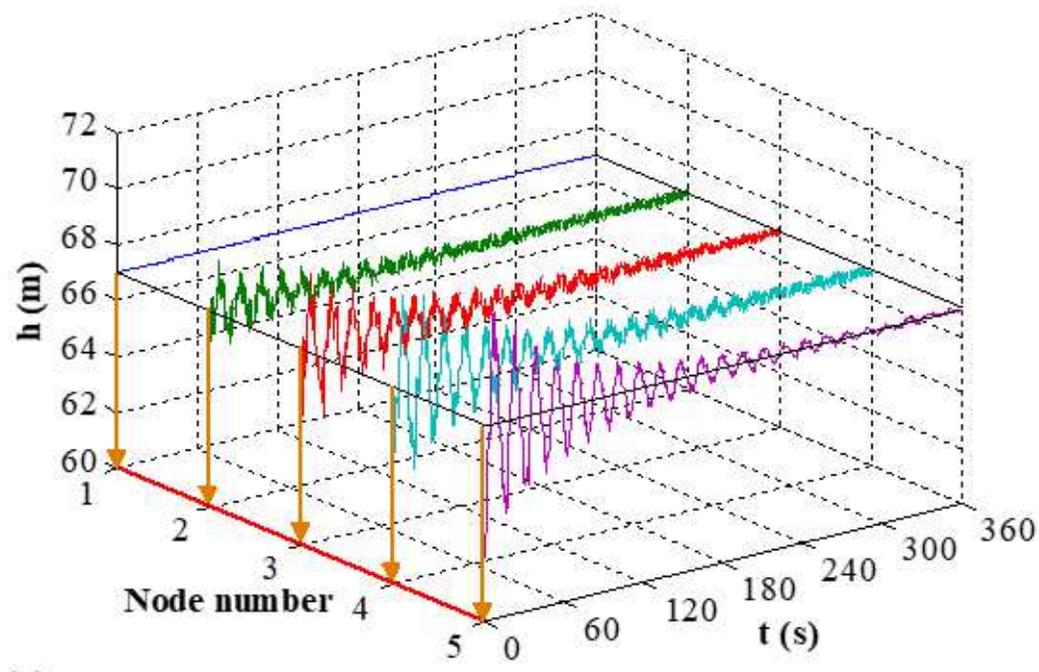
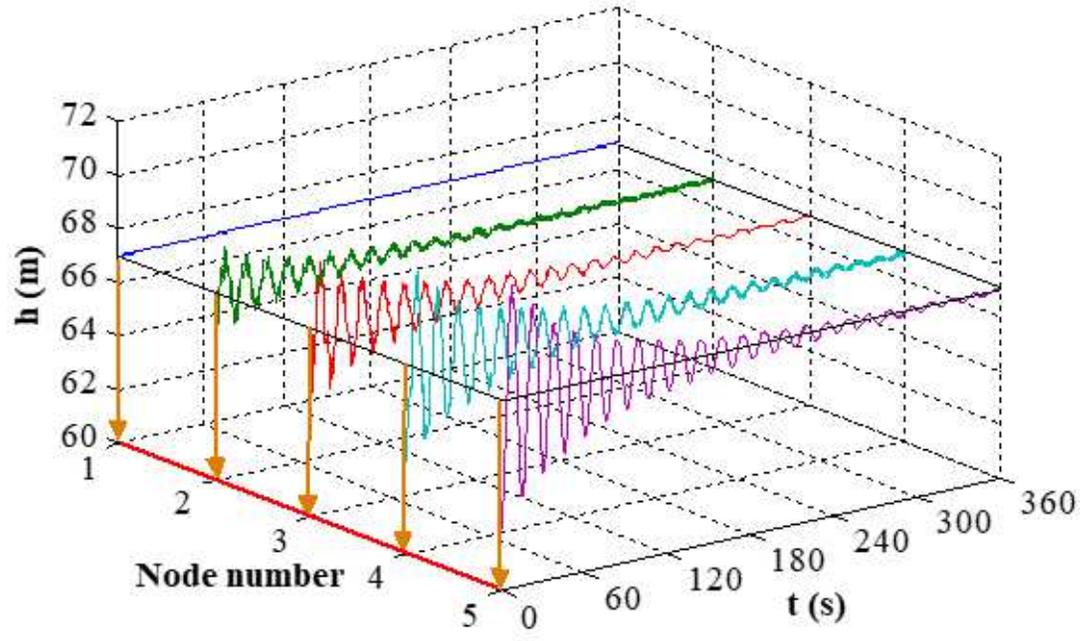


Figure 15

Minimum and minimum values of head pressure at nodes 1 to 5



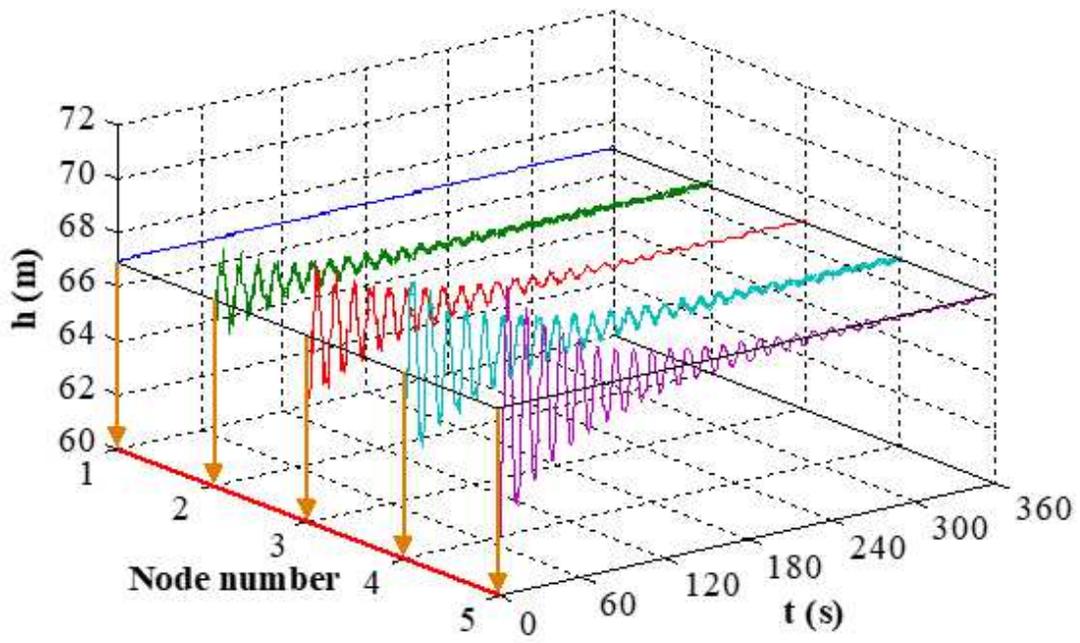
(a)



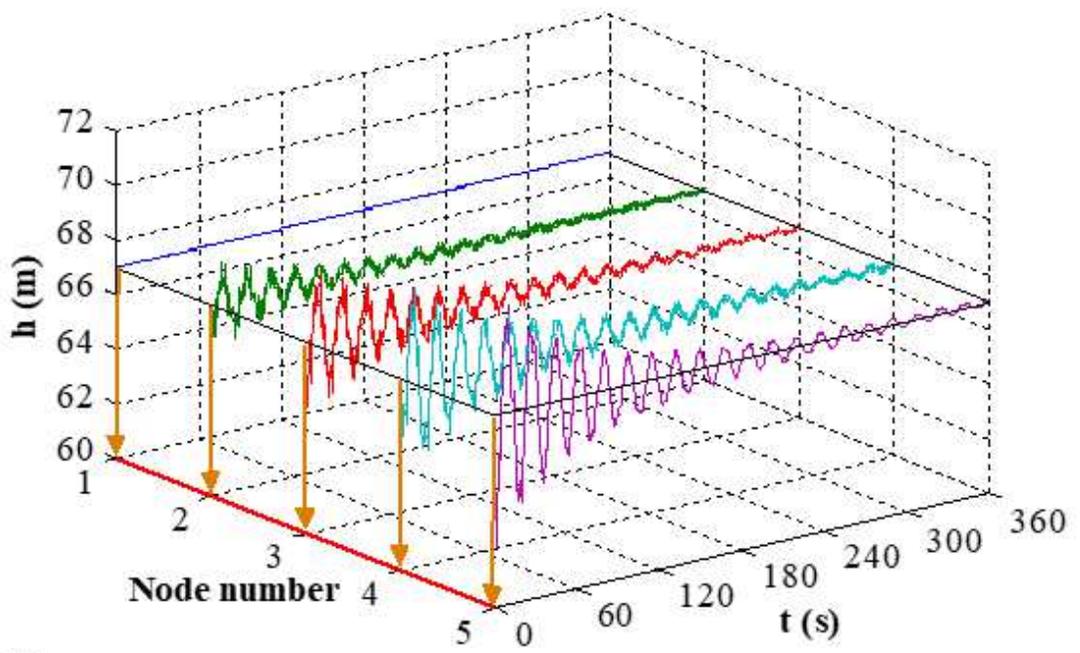
(b)

Figure 16

Variations of maximum difference in the head pressure for nodes 1 to 5 versus various values of surge tank inlet diameter (a) $d=2.72$ m, and (b) $d=4.08$ m



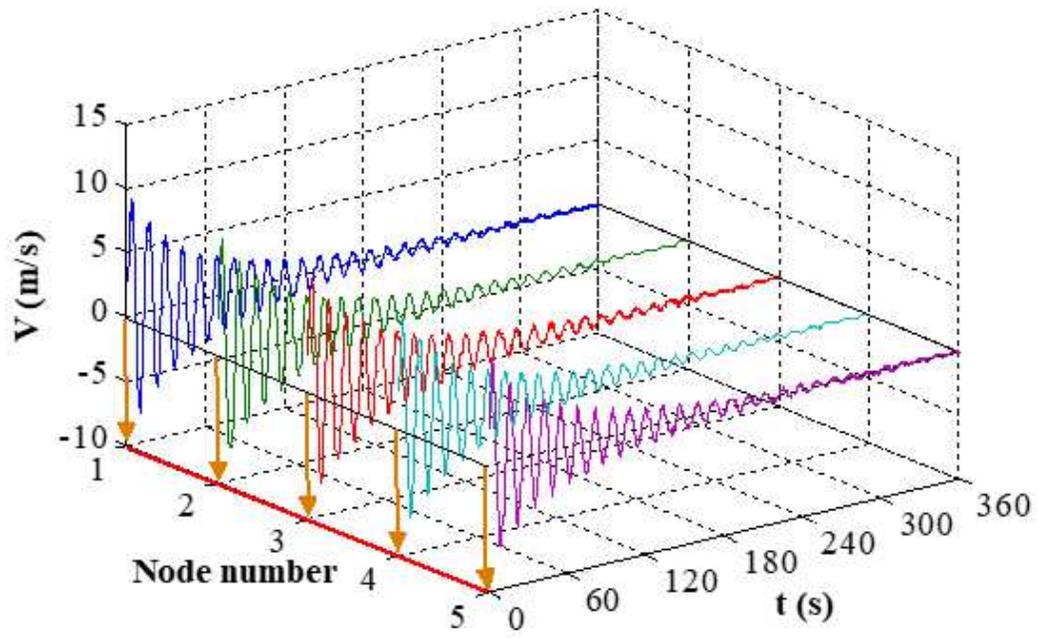
(a)



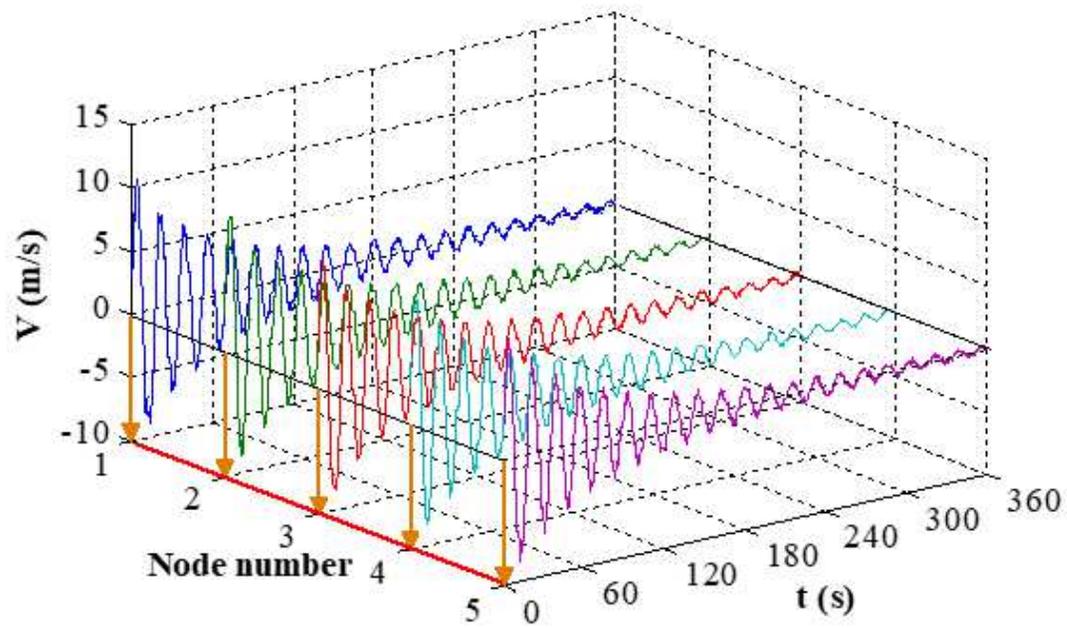
(b)

Figure 17

Fluctuations of head pressure at upstream of surge tank with different diameter: (a) $D=4.8$ m and (b) $D=7.2$ m



(a)



(b)

Figure 18

Maximum difference in the flow velocity at upstream of surge tank with different diameter: (a) $D=4.8$ m and (b) $D=7.2$ m