

# Subcutaneous Trastuzumab with Pertuzumab and Docetaxel in HER2-Positive Metastatic Breast Cancer: Final Analysis of MetaPHER, A Phase 3b Single-Arm Safety Study

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## Research article

**Keywords:** Adverse event, Docetaxel, HER2-positive breast cancer, Metastatic breast cancer, Pertuzumab, Progression-free survival, Route of administration, Safety, Subcutaneous trastuzumab

**Posted Date:** August 12th, 2020

**DOI:** <https://doi.org/10.21203/rs.3.rs-57692/v1>

**Version of Record:** A version of this preprint was published at Breast Cancer Research and Treatment on March 21st, 2021. See the published version at <https://doi.org/10.1007/s10549-021-06145-3>.

## Abstract

**BACKGROUND** Intravenous trastuzumab, pertuzumab, and docetaxel is first-line standard of care for patients with HER2-positive metastatic breast cancer. Subcutaneous trastuzumab plus intravenous pertuzumab and chemotherapy has shown similar safety and tolerability to intravenous trastuzumab in patients with HER2-positive early and metastatic breast cancer; however, in the metastatic setting, this has yet to be shown globally.

**METHODS** In this open-label, single-arm, multicenter phase 3b study, eligible patients were  $\geq 18$  years old with histologically/cytologically confirmed previously untreated HER2-positive metastatic breast cancer. All patients received  $\geq 1$  dose of subcutaneous trastuzumab (fixed-dose 600 mg) plus intravenous pertuzumab (loading dose: 840 mg/kg; maintenance dose: 420 mg/kg) and docetaxel ( $\geq 6$  cycles; initial dose 75 mg/m<sup>2</sup>) every 3 weeks. The primary objective was safety and tolerability; secondary objectives included efficacy.

**RESULTS** At clinical cutoff, 276 patients had completed the study; median duration of follow-up was 27 months. The most common any-grade adverse events were diarrhea, alopecia, and asthenia. The most common grade  $\geq 3$  adverse events were neutropenia, febrile neutropenia, and hypertension. There were no cardiac deaths and mean left ventricular ejection fraction was stable over time. Median investigator-assessed progression-free survival was 18.7 months; objective response rate was 75.6%.

**CONCLUSIONS** Efficacy/safety results of subcutaneous trastuzumab plus intravenous pertuzumab and docetaxel in metastatic breast cancer are consistent with historical evidence of intravenous trastuzumab. These findings further support the body of evidence indicating that subcutaneous administration does not affect the safety and efficacy profile of trastuzumab in HER2-positive breast cancer.

**TRIAL REGISTRATION** ClinicalTrials.gov Identifier: [NCT02402712](https://clinicaltrials.gov/ct2/show/study/NCT02402712) (date of registration: 30<sup>th</sup> March 2015)

## Background

In previously untreated patients with human epidermal growth factor receptor 2 (HER2)-positive metastatic breast cancer (MBC), the pivotal phase 3 CLEOPATRA study demonstrated improved progression-free survival (PFS: 18.5 vs. 12.4 months, hazard ratio [HR] 0.62; 95% confidence interval, 0.51–0.75;  $P < 0.001$ ), as assessed by an independent review facility, with first-line intravenous fixed-dose pertuzumab (P IV), weight-based intravenous trastuzumab (H IV), and docetaxel (D IV) compared with placebo, H IV, and D IV. Statistical significance for improved overall survival (OS) with P IV plus H IV and D IV was reached in a secondary interim analysis and further confirmed after an additional year (at 30-month follow-up: not reached vs. 37.6 months, HR 0.66; at 4 years' follow-up: 56.5 vs. 40.8 months, HR 0.68) [1-3]. Based on these results, H IV plus P IV and D IV is the first-line standard of care for these patients [4]. The CLEOPATRA end-of-study analysis at 99-month follow-up (maximum 120 months) has continued to show improved OS benefit of this regimen (57.1 vs. 40.8 months, HR 0.69), and confirmed the consistency of its long-term safety, including maintained cardiac safety, compared with placebo, H IV, and D IV [5].

Despite the benefit of H IV in HER2-positive MBC [6], the current IV formulation involves dose calculations, aseptic preparation of infusion fluids, long infusion durations (~30–90 min) and placement of a central line for administration [7, 8]. Subcutaneous trastuzumab (H SC) contains a fixed dose of 600 mg of H co-formulated with 2000 U/m of recombinant human hyaluronidase (rHuPH20), a permeant enhancer that allows absorption and dispersion of large fluid volumes through degradation of hyaluronan [9]. It can be administered in ~2–5 min and has been shown to reduce patient chair and active healthcare professional time, compared with H IV (20.9 vs. 77.8 min [ $P < 0.0001$ ] and 5.1 vs. 20.8 min [ $P < 0.0001$ ], respectively) [8, 10]. In contrast to H IV, a loading dose and weight-adjusted dose are not required for H SC. Phase 2 and 3 studies have also reported higher patient preference and healthcare professional satisfaction with H SC compared with H IV, in both HER2-positive early breast cancer (EBC) and MBC (PrefHer and MetaspHer, respectively) [11-13].

In the pivotal phase 3 HannaH study, H SC was non-inferior to H IV in patients with HER2-positive EBC, based on co-primary endpoints of pathological complete response in the breast and serum trough concentration at pre-dose cycle 8 [14]. Event-free survival and OS, as well as safety, were also shown to be comparable between the two arms [14-17]. SafeHer further supported safety and tolerability of H SC as adjuvant therapy with concurrent or sequential chemotherapy for HER2-positive EBC; MetaspHer showed similar results in the metastatic setting [13, 18-20]. SAPPHERE was the first clinical trial to demonstrate similar safety and tolerability of H SC compared with

H IV plus P IV and a taxane in the metastatic setting; however, this was a study of only 50 patients and such results have not yet been demonstrated globally.

Here, we report results from the primary and final analysis of MetaPHER (NCT02402712). To our knowledge, this is the largest study to evaluate safety and tolerability of first-line H SC plus P IV and D IV in patients with HER2-positive metastatic BC.

## Materials And Methods

### *Study design and patients*

MetaPHER was an open-label, single-arm, multicenter, phase 3b study. Full details of the study design are provided in the trial protocol (Additional file 1). Eligible patients were aged  $\geq 18$  years with histologically or cytologically confirmed HER2-positive metastatic BC previously untreated with systemic non-hormonal anticancer therapy. Prior treatment with  $\leq 2$  lines of hormonal therapy, one of which could be in combination with everolimus, was permitted. Hormonal therapy concomitant with use of P IV and H IV was permitted after chemotherapy discontinuation. Additional inclusion criteria included baseline left ventricular ejection fraction (LVEF)  $\geq 50\%$ . Key exclusion criteria were prior adjuvant/neoadjuvant treatment with any anti-HER2 agent other than H for BC, a disease-free interval of  $< 6$  months from completion of adjuvant/neoadjuvant systemic non-hormonal treatment to recurrence of BC, and radiographic (computer tomography or magnetic resonance imaging) evidence of uncontrolled (symptomatic or requiring treatment with continuous corticosteroids) central nervous system metastases.

The study was performed in accordance with the Declaration of Helsinki and Good Clinical Practice guidelines. Approval for the study protocol and all accompanying material provided to patients was obtained from independent ethics committees at participating institutions. All patients provided written informed consent.

### *Treatment*

All patients received  $\geq 1$  dose of H SC (fixed-dose 600 mg) plus P IV (loading dose: 840 mg/kg; maintenance dose: 420 mg/kg) every 3 weeks. D IV was also administered every 3 weeks for  $\geq 6$  cycles with a recommended initial dose of 75 mg/m<sup>2</sup>; continuation after cycle 6 was at the discretion of the treating physician and patient. The dose of docetaxel could be escalated to 100 mg/m<sup>2</sup> if well tolerated. Granulocyte colony stimulating factor was used according to product license and approved prescribing information for docetaxel and American Society of Clinical Oncology clinical guidelines [21]. Treatment was continued until disease progression, unacceptable toxicity, withdrawal of consent, death, or predefined study end.

### *Endpoints*

The primary objective was evaluation of safety and tolerability. Adverse events (AEs) and cardiac AEs were graded according to the National Cancer Institute's Common Terminology Criteria for Adverse Events (NCI-CTCAE) version 4.0 [22]. Heart failures were classified according to the New York Heart Association Functional Classification system.

Secondary objectives were evaluation of efficacy (investigator-assessed PFS, OS, and investigator-assessed objective response rate [ORR]), and incidence of anti-H and anti-rHuPH20 antibody formation. Investigator-assessed PFS and ORR were determined using Response Evaluation Criteria In Solid Tumors version 1.1.

### *Statistics*

The planned sample size was 400 patients. The primary objective was assessed at 24 months after enrollment of the last patient, with analyses performed in all patients who received  $\geq 1$  dose of any study drug. The Kaplan–Meier method was used to estimate the medians of PFS and OS. All results are descriptive.

## Results

### *Patients and treatment exposure*

A total of 418 patients were enrolled in the study at 88 locations across 12 countries (May 6, 2015–February 23, 2017). 412 patients received  $\geq 1$  cycle of treatment and were analyzed for safety; median duration of follow-up was 27 months. At the date of clinical

cutoff for final analysis (February 22, 2019), 276 patients had completed the study and 160 remained on treatment (Fig. 1).

The mean age of patients was 55.6 years (standard deviation: 11.7) (Additional file 2). All patients enrolled had HER2-positive disease and most had visceral disease ( $n = 306$  [74.3%]) and estrogen receptor- and/or progesterone receptor-positive hormonal status ( $n = 290$  [70.4%]). Approximately half of patients did not receive prior neoadjuvant or adjuvant treatment; 131 patients (31.8%) had received prior H therapy.

The median numbers of cycles for H SC, P IV, and D IV were 22.0, 21.5, and 6.0, respectively. The maximum number of cycles for H SC and P IV was 63; that of D IV was 18. Amongst the 195 patients who received  $\geq 1$  anticancer treatment after study treatment discontinuation and disease progression, 160 (82.1%) were treated with HER2-targeted therapies (Additional file 3). From first cycle onwards, 100 patients (24.3%) were treated with prophylactic granulocyte colony stimulating factor (253 treatments received).

### *Safety*

A safety overview is provided in Table 1. Any-grade and grade  $\geq 3$  AEs occurred in 406 (98.5%) and 221 (53.6%) patients, respectively. Most common any-grade AEs were diarrhea ( $n = 261$  [63.3%]), alopecia ( $n = 193$  [46.8%]), and asthenia ( $n = 137$  [33.3%]) (Table 2). Most common grade  $\geq 3$  AEs were neutropenia ( $n = 52$  [12.6%]), febrile neutropenia ( $n = 35$  [8.5%]), and hypertension ( $n = 25$  [6.1%]) (Table 2). Investigator-reported administration-related and local injection site reactions occurred in 87 patients (21.1%) (Table 2); H SC-related reactions occurred in 21 patients (5.1%), and all were grade 1. Serious AEs were reported in 107 patients (26.0%), treatment-related AEs in 399 patients (96.8%), AEs leading to withdrawal from any study treatment in 87 patients (21.1%; most frequently withdrawal of D IV [76/87; 87.4%]), and AEs leading to interruption of any study treatment in 147 patients (35.7%) (Table 1).

There were 87 deaths (21.1%) (Table 1). Most common causes were disease progression ( $n = 73$  [17.7%]), AEs ( $n = 9$  [2.2%]), and other causes occurring after treatment discontinuation determined to have an "Unknown" cause by the investigator ( $n = 5$  [1.2%]). AEs leading to death were "Unexplained death" ( $n = 4$ ), aortic dissection, lactic acidosis, community-acquired pneumonia (without neutropenia), B-cell lymphoblastic leukemia, and suicide ( $n = 1$  each).

Three patients (0.7%) had grade  $\geq 3$  cardiac AEs (Table 1), which were supraventricular tachycardia ( $n = 1$ ) and left ventricular dysfunction ( $n = 2$ ). Serious AEs suggestive of congestive heart failure occurred in one patient (0.2%) (Table 1) in the form of left ventricular dysfunction; there were no cardiac deaths (Table 1). Mean LVEF was stable over time (Additional file 4), with decreases at cycles 60 and 63 and during safety follow-up at weeks 120 and 144; notably, small numbers of patients were assessed at these timepoints. Table 3 provides a summary of significant LVEF declines (reduction of  $\geq 10\%$  from baseline to LVEF  $< 50\%$ ). Median baseline LVEF was 64% and median post-baseline worst LVEF was 58%. Of the 396 patients with LVEF measurements at baseline and  $\geq 1$  post-baseline visit, 40 (10.1%) had a significant LVEF drop.

Patients were also analyzed by hormone receptor status and treatment with hormonal therapy. Most common any-grade AEs for patients with hormone receptor-positive BC who received hormonal therapy were diarrhea ( $n = 83$  [64.8%]), alopecia ( $n = 59$  [46.1%]), and asthenia ( $n = 48$  [37.5%]). Most common grade  $\geq 3$  events for the same subgroup were febrile neutropenia ( $n = 16$  [12.5%]), neutropenia ( $n = 13$  [10.2%]), and diarrhea ( $n = 9$  [7.0%]). In both cases, this was found not to differ from patients with hormone receptor-positive BC who did not receive hormonal therapy. Patients with hormone receptor-positive BC that received  $\geq 1$  dose of H IV/P IV after D IV discontinuation showed a low incidence of grade  $\geq 3$  events that also did not differ depending on hormonal therapy.

### *Efficacy*

Median investigator-assessed PFS was 18.7 months (234 events [56.8%]) (Fig. 2A). Median OS was not reached by study end (87 events [21.1%]), and OS rates at 12 and 24 months were 92.89% and 81.13%, respectively (Fig. 2B). ORR was 75.6%; 42 (12.5%) and 212 (63.1%) patients achieved a complete and partial response, respectively (Additional file 5). The clinical benefit rate was 92.0% (309 pts). At 2 years, investigator-assessed PFS was greater for those given hormonal treatment, compared to those that were not (55 events [53.8%] vs. 99 events [33.2%]).

### *Anti-drug antibodies for H SC*

Fifty-six (14.1%) and 95 (24.0%) patients were positive for anti-drug antibodies (ADAs) at baseline and post-baseline, respectively (Additional file 6). Of the 95 patients with post-baseline ADAs, these were treatment-induced in 82 and treatment-enhanced in 13; 42/82 patients with treatment-induced ADAs had transient ADAs, while 40 had persistent ADAs. The median time to ADA onset was 3 weeks

and titers ranged from 1.00 to 512.00. Two patients (2.1%) had administration-related reactions (ARRs), both were H SC-related and occurred within 24 hours of administration. Seventeen of the 300 patients who were ADA-negative post-baseline (5.7%) also had ARR. In both cases, no patients experienced ARR grade  $\geq 3$ .

Anti-rHuPH20 antibodies post-baseline were observed in 11/396 patients.

## Discussion

In this primary and final analysis of 412 patients with HER2-positive MBC, the safety profile of first-line H SC plus P IV and D IV was tolerable and consistent with that of CLEOPATRA, in which H was delivered intravenously within the same combination regimen and in a similar patient population [1-3, 5]. No new safety signals were identified and AEs of particular interest to P + H therapy, including diarrhea, rash, mucosal inflammation, and febrile neutropenia, occurred less frequently in MetaPHER than in the CLEOPATRA secondary interim OS analysis [2]. Incidence of AEs was similar whether patients received additional hormone therapy or not, with diarrhea and febrile neutropenia the most common any-grade and grade  $\geq 3$  events respectively.

Cardiac safety was further assessed in MetaPHER. Although grade  $\geq 3$  cardiac AEs and serious AEs suggestive of coronary heart failure were more frequent in CLEOPATRA secondary analysis, no cardiac deaths were reported in either study [2]. Baseline and post-treatment median LVEFs were also similar. Although a higher proportion of patients had significant LVEF declines in MetaPHER, the majority were grade 1 or 2 and asymptomatic, and did not lead to study drug discontinuation.

Though efficacy results here were exploratory, investigator-assessed PFS and ORR findings support results observed with first-line H IV plus P IV and D IV in CLEOPATRA [2]. Median PFS was 18.7 months in the MetaPHER and the CLEOPATRA secondary analysis [2]; ORRs were also similar, although the number of patients achieving complete response was slightly higher in CLEOPATRA vs. MetaPHER [1, 2].

The incidence of post-treatment ADAs to H SC (24%) was higher in MetaPHER than in HannaH (14.9%) [14]; pre-existing ADAs from previous H IV treatment at baseline or increased anti-framework antibodies from H + P may explain this. Analysis of safety by immunogenicity status indicated no noticeable association between presence of treatment-emergent ADAs for H SC, and increased frequency or severity of ARR.

Despite MetaPHER and CLEOPATRA including similar numbers of de novo patients with no prior therapy for BC ( $n = 205$  [49.8%] and  $n = 218$  [54.2%] respectively), the proportion who received H treatment prior was higher in our study ( $n = 131$  [31.8%] vs.  $n = 47$  [11.7%]) [1]. This is reflective of the fact that when the CLEOPATRA study design was developed, use of H as adjuvant treatment for BC was not as common. Race/ethnic group also differed between CLEOPATRA and our study, with MetaPHER including fewer Asians ( $n = 2$  [0.5%] vs.  $n = 125$  [31.1%]) and more White individuals ( $n = 347$  [84.2%] vs.  $n = 245$  [60.9%]). These differences, as well as differences in study design/procedures (e.g. different versions of NCI-CTCAE for AE grading, and MetaPHER permitting concomitant use of hormonal therapy with study drug and excluding patients with disease-free interval of <6 months vs. 12 months for CLEOPATRA), reflect differences in scope and timing of this study vs. CLEOPATRA [1].

## Conclusion

As MetaPHER was a single-arm study, no comparator arm is available for direct comparisons of H SC plus P IV and D IV with H IV plus P IV and D IV. However, safety and efficacy results from this large cohort of patients with HER2-positive MBC in our study are consistent with results of H IV plus P IV and D IV in CLEOPATRA, and further support the conclusion of the pivotal HannaH study for H SC in EBC [1-3, 5, 14]. Together, these results indicate that efficacy and safety of H given within standard regimens including P for HER2-positive EBC and MBC are not affected by administration route.

## Abbreviations

ADA anti-drug antibody, AE adverse event, ARR administration-related reaction, D IV intravenous docetaxel, EBC early breast cancer, H IV intravenous trastuzumab, H SC subcutaneous trastuzumab, HER2 human epidermal growth factor receptor 2, HR hazard ratio, LVEF left ventricular ejection fraction, MBC metastatic breast cancer, NCI-CTCAE National Cancer Institute's Common Terminology Criteria for Adverse Events, ORR objective response rate, OS overall survival, P IV intravenous pertuzumab, PFS progression-free survival, rHuPH20 recombinant human hyaluronidase.

## Declarations

**Ethics approval and consent to participate:** The study was performed in accordance with the Declaration of Helsinki and Good Clinical Practice guidelines. Approval for the study protocol and all accompanying material provided to patients was obtained from independent ethics committees at participating institutions. All patients provided written informed consent.

**Consent for publication:** N/A.

**Availability of data and materials:** Qualified researchers may request access to individual patient level data through the clinical study data request platform (<https://vivli.org/>). Further details on Roche's criteria for eligible studies are available here: <https://vivli.org/members/ourmembers/>. For further details on Roche's Global Policy on the Sharing of Clinical Information and how to request access to related clinical study documents, see here: [https://www.roche.com/research\\_and\\_development/who\\_we\\_are\\_how\\_we\\_work/clinical\\_trials/our\\_commitment\\_to\\_data\\_sharing.htm](https://www.roche.com/research_and_development/who_we_are_how_we_work/clinical_trials/our_commitment_to_data_sharing.htm)

**Competing Interests:** All authors received support for third-party writing assistance for this manuscript, provided by F. Hoffmann-La Roche Ltd. Professor Kümmel has received consulting fees from F. Hoffmann-La Roche Ltd, Genomic Health, Novartis, Amgen, Celgene, Daiichi Sankyo, AstraZeneca, Somatex, Merck Sharp & Dohme, Pfizer, Puma Biotechnology, and PFM Medical, and has received non-financial support outside the submitted work for a travel grant from F. Hoffmann-La Roche Ltd, Daiichi Sankyo, and SonoScape. Dr. Tondini has received an institutional grant from ASST PAPA GIOVANNI XXIII for participation in the MetaPHER study, and institutional grants for participation in other clinical trials from F. Hoffmann-La Roche Ltd, Novartis, Merck Sharp & Dohme, Bristol-Myers Squibb, AstraZeneca, and Array BioPharma, Inc. Dr. Abraham has received personal fees for conference participation from Eisai, and personal fees for an advisory board from Merck & Co, Inc. Dr. Nowecki has received a travel grant from F. Hoffmann-La Roche Ltd. Dr. Itrych has no other conflicts to disclose. Dr. Hitre has received research funding and non-financial support (i.e. drugs) outside the submitted work from F. Hoffmann-La Roche Ltd. Dr. Karaszewska has received research grants from F. Hoffmann-La Roche Ltd, Novartis, Merck & Co, Inc., and Amgen. Dr. Juárez-Ramiro has received fees for clinical research from F. Hoffmann-La Roche Ltd, Bristol-Myers Squibb, Merck Sharp & Dohme, Bayer, Pfizer, and Amgen, and personal speaker fees from F. Hoffmann-La Roche Ltd, Bristol-Myers Squibb, Merck Serono, Bayer, and Amgen. Dr. Morales-Vásquez has received consulting fees from F. Hoffmann-La Roche Ltd, AstraZeneca, and Asofarma. Dr. Pérez García has received personal fees for an advisory board and travel grants from F. Hoffmann-La Roche Ltd, and has received personal fees for an advisory board from Lilly. Dr. Cardona-Huerta has received consulting fees from F. Hoffmann-La Roche Ltd and AstraZeneca. Dr. Monturus is an employee of F. Hoffmann-La Roche Ltd (author and spouse/partner) and has stock/ownership interest in F. Hoffmann-La Roche Ltd/Genentech, Inc. (author and spouse/partner). Dr. Sequi is an employee of Parexel and is contracted by F. Hoffmann-La Roche Ltd. Dr. Restuccia is an employee of F. Hoffmann-La Roche Ltd and has stock/ownership interest in F. Hoffmann-La Roche Ltd. Dr. Benyunes is an employee of Genentech, Inc. Dr. Martín has received research grants and personal fees from F. Hoffmann-La Roche Ltd, PUMA, and Novartis, consulting/advisory fees from AstraZeneca, Amgen, Taiho Oncology, F. Hoffmann-La Roche Ltd/Genentech, Novartis, PharmaMar, Eli Lilly, PUMA, Daiichi Sankyo, and Pfizer.

**Funding:** This study was sponsored by F. Hoffmann-La Roche Ltd.

**Author Contributions:** All authors had full access to all the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

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**Acknowledgments:** The sponsor, F. Hoffmann-La Roche Ltd., contributed to the design of this study. Data collected by the investigators were analyzed by statisticians at F. Hoffmann-La Roche Ltd. Authors employed by the study sponsor contributed to the conduct of the study; collection, management, analysis, and interpretation of the data; and preparation, review, and approval of the manuscript, as

well as the decision to submit the manuscript for publication. We would also like to thank all volunteers, patients, and other investigators who participated in this study. Support for third-party writing assistance for this manuscript, furnished by Katie Wilson, PhD, and Stephen Salem, BSc, of Health Interactions, was provided by F. Hoffmann-La Roche Ltd, Basel, Switzerland.

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## Tables

**Table 1 – Safety summary.**

	H SC + P IV + D IV (N = 412)
Any AE	406 (98.5)
Grade $\geq$ 3 AE	221 (53.6)
Serious AE	107 (26.0)
Death	87 (21.1)
Death due to disease progression	73 (17.7)
Death due to AEs	9 (2.2)
Death due to other causes	5 (1.2)
Fatal AE	9 (2.2)
Related AE <sup>a</sup>	399 (96.8)
AE leading to drug withdrawal <sup>a</sup>	87 (21.1)
AE leading to drug interruption <sup>a</sup>	147 (35.7)
Cardiac AE	
Grade $\geq$ 3 cardiac AE <sup>b</sup>	3 (0.7)
Serious AE suggestive of CHF <sup>c</sup>	1 (0.2)
Cardiac death <sup>d</sup>	0

<sup>a</sup> Any event related to any study treatment component (H SC, P IV, or D IV).

<sup>b</sup> Events classified as SOC Cardiac Disorders.

<sup>c</sup> Serious events classified using the SMQ Cardiac Failure.

<sup>d</sup> Deaths with SOC Cardiac Disorders as the primary cause.

Abbreviations: *AE* adverse event, *CHF* coronary heart failure, *D IV* intravenous docetaxel, *H SC* subcutaneous trastuzumab, *P IV* intravenous pertuzumab, *SOC* System Organ Class, *SMQ* Standardized MedDRA Query.

Data are number of patients (%).

**Table 2 – Any-grade and grade  $\geq 3$  AEs and investigator-reported AEs.**

	H SC + P IV + D IV (N = 412)	
	Any grade	Grade $\geq 3$
AE		
Leukopenia	29 (7.0)	15 (3.6)
Febrile neutropenia	35 (8.5)	35 (8.5)
Neutropenia	75 (18.2)	52 (12.6)
Diarrhea	261 (63.3)	21 (5.1)
Mucositis	68 (16.5)	3 (0.7)
Interstitial lung disease	5 (1.2)	1 (0.2)
Rash	68 (16.5)	4 (1.0)
Hypersensitivity, anaphylaxis	1 (0.2)	1 (0.2)
Investigator-reported AE		
ARR and local injection site reactions	87 (21.1)	5 (1.2)
ARR and local injection site reactions: Only H SC-related	21 (5.1)	0
ARR and local infusion site reactions: Only P IV infusion-related	22 (5.3)	1 (0.2)
ARR and local infusion site reactions: Only D IV infusion-related	48 (11.7)	4 (1.0)

Abbreviations; *AE* adverse event *ARR* administration-related reactions, *D IV* intravenous docetaxel, *H SC* subcutaneous trastuzumab, *P IV* intravenous pertuzumab.

Data are number of patients (%).

**Table 3 – Summary of significant LVEF declines, overall and by treatment phase.**

	H SC + P IV + D IV		
	Overall (N = 412)	Treatment phase (n = 387)	Post-treatment phase (n = 387)
Median baseline LVEF (range)	64 (50–83) <sup>a</sup>		
Median overall post-baseline worst value (range)	58 (30–74) <sup>b</sup>	59.0 (30–74)	60.0 (34–75)
Patients with baseline and ≥1 post-baseline value measured, no. (%)			
Increase or no change	89 (22.5) <sup>c</sup>	93 (24.2) <sup>d</sup>	65 (40.1) <sup>e</sup>
Decrease of <10% point from baseline	182 (46) <sup>c</sup>	178 (46.2) <sup>d</sup>	61 (37.7) <sup>e</sup>
Decrease of ≥10% point from baseline	125 (31.6) <sup>c</sup>	114 (29.6) <sup>d</sup>	36 (22.2) <sup>e</sup>
Patients with LVEF <50% and decrease ≥10% point from baseline, no. (%)	40 (10.1) <sup>c</sup>	37 (9.6)	13 (8.0)
LVEF 45%–50% and decreased ≥10% point from baseline	21 (5.3) <sup>c</sup>	21 (5.5)	4 (2.5)
LVEF <45% and decreased ≥10% point from baseline	22 (5.6) <sup>c</sup>	19 (4.9)	9 (5.6)

<sup>a</sup> n = 411.

<sup>b</sup> n = 398.

<sup>c</sup> n = 396.

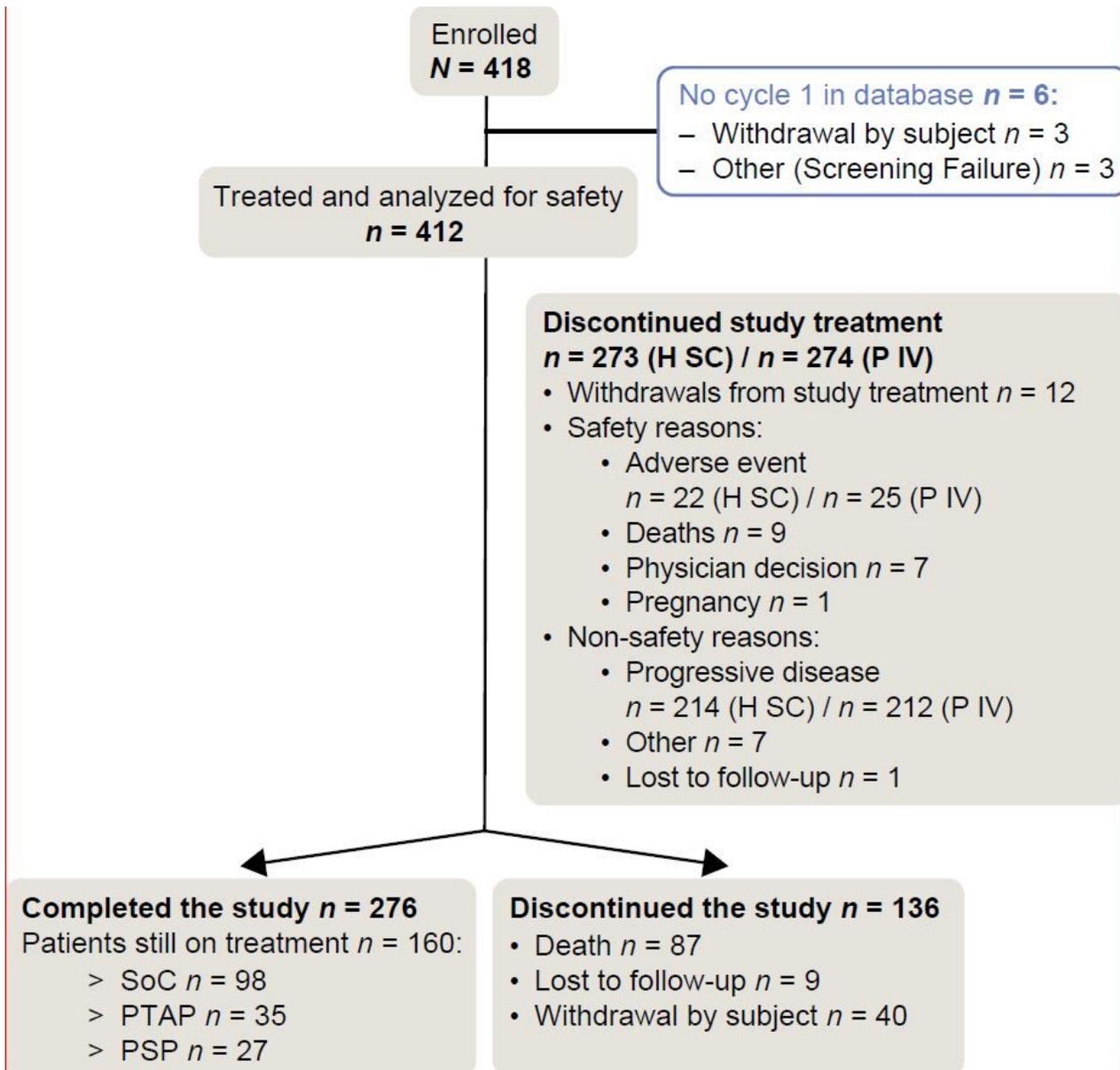
<sup>d</sup> n = 385.

<sup>e</sup> n = 162.

Abbreviations: AE adverse event, D IV intravenous docetaxel, H SC subcutaneous trastuzumab, LVEF left ventricular ejection fraction, P IV intravenous pertuzumab.

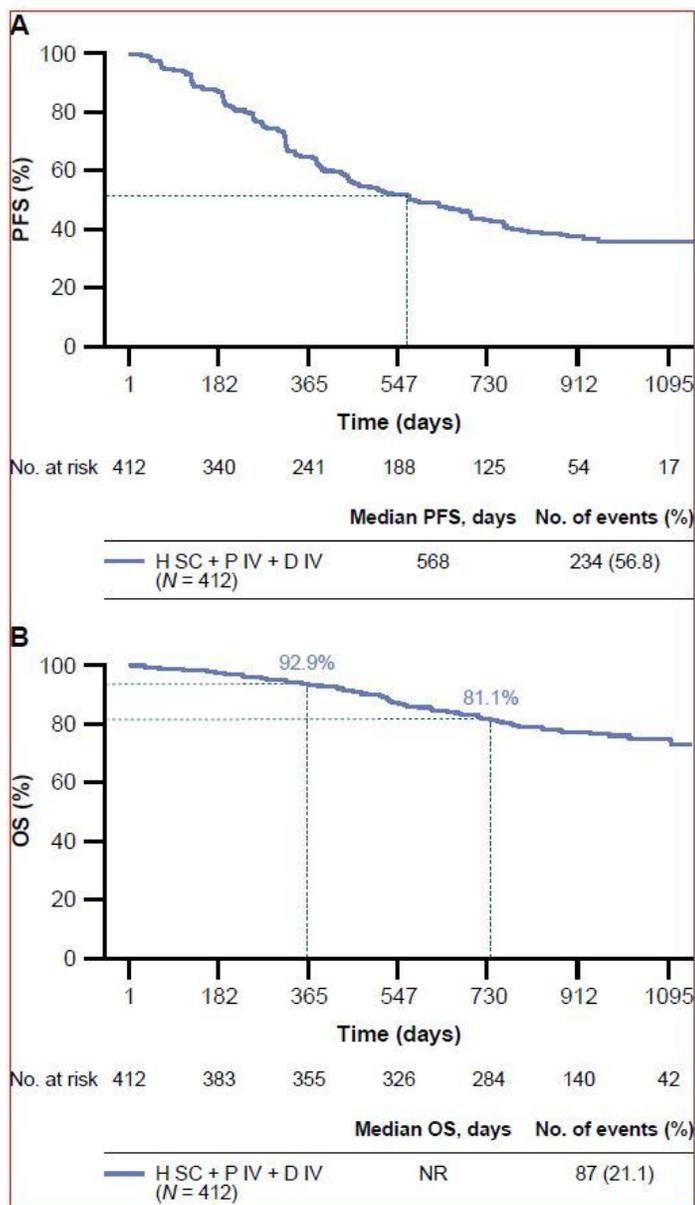
Data are median (range) or number of patients (%).

## Figures



**Figure 1**

Patient dispositions. Abbreviations: H SC subcutaneous trastuzumab, P IV intravenous pertuzumab, PSP patient support program, PTAP post-trial access program, SoC standard of care.



**Figure 2**

Investigator-assessed PFS and OS. A, investigator-assessed PFS. B, OS. Abbreviations: D IV intravenous docetaxel, H SC subcutaneous trastuzumab, NR not reported, OS overall survival, P IV intravenous pertuzumab, PFS progression-free survival.

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