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Research

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Single-Step Ethanol Production from Raw Cassava Starch Using a Combination of Raw Starch Hydrolysis and Fermentation, Scale-Up from 5-L Laboratory and 200-L Pilot Plant to 3,000-L Industrial Fermenters

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Abstract

Background: A single-step ethanol production is the combination of raw cassava starch hydrolysis and fermentation. For the development of raw starch consolidated bioprocessing technologies, this research was to investigate the optimum conditions and technical procedures for the production of ethanol from raw cassava starch in a single step. It successfully resulted in high yields and productivities of all the experiments from the laboratory, the pilot, through the industrial scales. Yields of ethanol concentration are comparable with those in the commercial industries that use molasses and hydrolyzed starch as the raw materials.

Results: Before single-step ethanol production, studies of raw cassava starch hydrolysis by a granular starch hydrolyzing enzyme, StargenTM002, were carefully conducted. It successfully converted 80.19% (w/v) of raw cassava starch to glucose at a concentration of 176.41 g/L with a productivity at 2.45 g/L/h when it was pretreated at 60 °C for 1 h with 0.10% (v/w dry starch basis) of Distillase ASP before hydrolysis. The single-step ethanol production at 34 °C in a 5-L fermenter showed that *Saccharomyces cerevisiae* (Fali, active dry yeast) produced the maximum ethanol concentration, p_{max} at 81.86 g/L (10.37% v/v) with a yield coefficient, $Y_{p/s}$ of 0.43 g/g, a productivity or production rate, r_p at 1.14 g/L/h and an efficiency, E_f of 75.29%. Scale-up experiments of the single-step ethanol production using this method, from the 5-L fermenter to the 200-L fermenter and further to the 3,000-L industrial fermenter were successfully achieved with essentially good results. The values of p_{max} , $Y_{p/s}$, r_p , and E_f of the 200-L scale were at 80.85 g/L (10.25% v/v), 0.42 g/g, 1.12 g/L/h and 74.40%, respectively and those of the 3,000-L scale were at 70.74 g/L (8.97% v/v), 0.38 g/g, 0.98 g/L/h and 67.56%, respectively. Because of using raw starch, major by-products, i.e., glycerol, lactic acid, and acetic acid of all three scales were very low, in ranges of 0.940–1.140, 0.046–0.052, 0.000–0.059 (% w/v), respectively, where are less than those values in the industries.

36 **Conclusion:** The single-step ethanol production using the combination of raw cassava starch hydrolysis
37 and fermentation of three fermentation scales in this study is practicable and feasible for the scale-up
38 of industrial production of ethanol from raw starch.

39 **Keywords:** bioethanol; single-step ethanol production; raw cassava starch; hydrolysis; fermentation;
40 pilot scale; industrial scale

41 **1. Background**

42 The “hydrolysis and fermentation” of starch to bioethanol is widely employed for the production
43 of biofuel, pharmaceutical and cosmetic ethanol, potable alcohols, e.g., beer, whiskey, other distilled
44 spirits, and other ethanol products. The production of fuel ethanol from starch was first introduced in
45 the United States at the beginning of the 20th century [1]. Bioethanol is an alternative energy source to
46 replace the utilization of those fuels. Bioethanol is an attractive alternative fuel because it is an
47 eco-friendly renewable bio-based resource contributing to the reduction of fuel emissions that affect the
48 climate change and the negative environmental impacts generated by the worldwide utilization of
49 petroleum oil [2–4]. Bioethanol is the major source of renewable biofuels with about 110 billion liters
50 (BL) produced in 2019 [1, 5], mainly obtained from corn starch and sugarcane. The bulk of the
51 bioethanol of 59.8 BL in 2019 produced in the USA is primarily from corn starch, using about 205
52 operational plants [5].

53 Starch is the first generation (1G) feedstock that is the most abundant renewable carbon source and
54 is more readily digestible for conversion to biofuels than cellulosic second generation (2G) feedstock.
55 However, starchy corn gains the lowest ethanol yield per unit area of cultivation when compared to that
56 of other crops. Bioethanol can be produced from various kinds of feedstock. However, when ethanol
57 yields per unit area of cultivations are compared, cassava is the highest potential crop to gain the
58 highest yield. Average yields of cassava, sugar beet, sweet potato, wheat, sugar cane, rice, sorghum, and
59 starchy corn are about 31.25, 56.00, 30.00, 9.00, 62.50, 7.31, 6.25, and 6.00 MT/ha/year (metric
60 ton/ha/year), respectively. Carbohydrate contents (as starch or sugar) of those crops are approximately
61 28.0, 14.0, 24.5, 70.0, 10.5, 80.0, 70.0, and 70.0 (% w/w), respectively. Theoretical yields of bioethanol per
62 unit area of cultivation per annum of those crops could be 4.95, 4.44, 4.16, 3.57, 3.53, 3.31, 2.48, and 2.38
63 MT/ha/year (tons of ethanol/ha/year), respectively. They were calculated from a ton of starch produces
64 566 kg of ethanol, and a ton of sucrose sugar produces 538 kg of ethanol (see Supplementary material I).

65 Cassava is the major energy crop and one of the renewable resources that is utilized for bioethanol
66 production. Cassava starch can be used at large scales to produce ethanol in tropical countries where
67 Thailand is one of the largest cassava producers in the world [6]. The global market stood at 6.90 million

68 MT in 2019. It is a renewable carbohydrate carbon source and available in very abundance. It is cheap
69 (450 US \$/MT), clean, non-toxic, and widely used as the important feedstock for various industrial
70 applications especially for the production of ethanol [7]. Therefore, bioethanol production from starch
71 has been extensively researching [8] and still need more developments for more effective industrial
72 productions with higher efficiencies.

73 Our research work is herein pertinent with the “Recent Advances” addresses [1] in June 2020 for
74 starch-to-ethanol conversion providing a platform for the development of raw starch consolidated
75 bioprocessing (CBP) technologies. Several proof-of-concept studies identified the improved enzyme
76 combinations, alternative feedstocks, and novel strains [9, 10] for evaluation and application under
77 fermentation conditions. In their reviews, different CBP approaches were defined, discussed, and also
78 highlighted the role of enzymes for supplemented CBP processes. Various achievements of
79 amylolytic *Saccharomyces cerevisiae* strains [9, 10] for CBP of raw starch and the remaining challenges that
80 need to be tackled/ pursued to bring yeast raw starch CBP to industrial realization were described [1].
81 Most of the advances on raw starch CBP have resulted from small batches (50 to 100-mL volumes) or
82 just bioreactor-scale (1 to 2-L volumes) studies and therefore only represent a proof-of-concept.
83 However, further research efforts are required before this technology can be scaled-up to an industrial
84 level. The scale-up of raw starch CBP processes at the industrial level remains an important hurdle to
85 progress to commercialization [1].

86 At present, the conventional large-scale ethanol production from starch is a batch process [7]
87 comprises three steps: (i) liquefaction by alpha-amylase to reduce the viscosity of the starch and to
88 fragment in the starch chains to the small-sized fragments, followed by (ii) saccharification whereby the
89 liquefied starch is hydrolyzed in to fermentable sugar, i.e., glucose using glucoamylase. Finally, (iii) the
90 glucose is fermented to ethanol by yeast cells [11, 12]. In the process to convert starch to ethanol, starch
91 granules must be gelatinized and liquefied at a high temperature before saccharification and
92 fermentation [13]. The conventional enzymatic liquefaction and saccharification of starch have many
93 disadvantages. They require high-energy inputs [14] including enormous amounts of steam and
94 efficient water-based cooling systems to bring down the temperatures for fermentation [15], thus
95 increasing the production costs of starch-based ethanol. Besides the conventional process of ethanol
96 production, the simultaneous saccharification and fermentation (SSF) process has been widely used [16].
97 After liquefaction, saccharification is performed simultaneously with the fermentation. This process
98 uses glucoamylase and free cells of yeast at the same time in a single fermenter. The advantages of the
99 SSF process include the reduction of cost because less equipment and fermentation time are required,
100 resulting in higher ethanol productivity and profitability [17, 18]. However, SSF processes also have
101 significant impacts on energy consumption because liquefaction steps are operated at high
102 temperatures.

103 The conventional starch liquefaction and saccharification processes are energy-intensive,
104 complicated, and not environmentally friendly. Therefore, the processes to reduce high energy
105 consumption are required. If the hydrolysis of starch at a gelatinization temperature was avoided, the
106 costs of 30-40% due to the high energy consumption of starch-based ethanol in the manufacturing
107 process can be saved [19]. The direct hydrolysis of raw starch to glucose by raw starch-digesting
108 glucoamylase at a low temperature is so-called the “cold process”, which significantly simplifies
109 processing and reduces the cost of producing starch-based products [20], e.g., bioethanol and other
110 bioproducts. The cold process saves on energy costs, as well as 40–50% of the total capital and the
111 operational costs [21].

112 The literature review of recent research updates is addressed here. For bioethanol production based
113 on the cold process, large companies developed novel and efficient enzymes for the saccharification of
114 starch at lower temperatures. Genencor International Inc. (now DuPont) released STARGEN™ and
115 Novozyme released the BPX™ for cold processes [1]. *S. cerevisiae* IR2 was immobilized in the reservoir
116 and the system was used for simultaneous amylase production, hydrolysis and ethanol production from
117 raw cassava starch. The process was very stable for more than seven batches providing an ethanol
118 concentration of 90 g/L with a yield coefficient of 0.46 g/g and a productivity of 1.73 g/L/h [22]. A
119 single-step ethanol production by co-cultures of amylolytic fungus and *S. cerevisiae* TISTR 5088 was
120 studied. The most effective fungus could convert starch at the concentrations of 20% and 25% to
121 fermenting sugar at 115.94 and 159.72 g/L, respectively. In a co-culture system, ethanol at a
122 concentration of 7.37% (w/v) was obtained from using cassava starch medium at a concentration of 20%
123 (w/v) with 65.11% of theoretical yield (% efficiency) [23]. The amylolytic *S. cerevisiae* strains displayed
124 improved fermentation vigor on raw corn starch and broken rice, reaching 97% efficiency and
125 converting 100% of the available carbon to products within 120 h in small-scale CBP fermentations on
126 broken rice [24]. Ethanol at a concentration of 10.22% (w/v) with 78% efficiency was obtained from
127 modified SSF using co-fermentation of the enzymatic hydrolysate of 300 g raw cassava chips/L with
128 cane molasses [25]. The cold hydrolysis of cassava pulp (CP) and its use in SSF to produce ethanol were
129 undertaken. The cold hydrolysis at 50 °C for 2 h, followed by at 30 °C for 72 h gave satisfactory
130 saccharification result. Its further SSF yielded ethanol at a concentration of 27.4 g/L, a yield coefficient
131 on CP of 0.27 g/g with 57.8% efficiency [26]. A single-step ethanol production from raw cassava starch
132 by *K. marxianus* SS106 in 5-L stirred tank fermenter by cold hydrolysis process was conducted. Ethanol
133 at a concentration of 6.17% w/v with a productivity of 0.86 g/L/h was obtained [27].

134 To overcome the high-temperature-cooking fermentation in the industrial ethanol production from
135 cassava starch, a single-step ethanol production process of simultaneous raw starch hydrolysis and
136 ethanol fermentation in a single fermenter was undertaken in this study. This process is not only yeast

137 fermentation but also includes simultaneous hydrolyzation of raw cassava starch at a low temperature
138 by the addition of a mixture of raw starch-digesting enzymes at the initial stage, which significantly
139 decreases the energy consumption and the operation cost. Moreover, the low temperature fermentations
140 have been conducted at the pilot-plant-scale and industrial-scale productions without contamination by
141 bacterial cells and yielded the fermentation efficiencies similar to those of the conventional
142 fermentations. Another advantage of the single-step process is able to maintain a low concentration of
143 glucose during fermentation, which could decrease the inhibitory effects of glucose on the enzyme and
144 yeast activities [11]. This results in minimizing the major by-products, i.e., glycerol, lactic acid, and
145 acetic acid.

146 The objective of this study is to combine both raw cassava starch hydrolysis and ethanol
147 fermentation in a single process step by utilizing the cold enzymes which are capable of hydrolyzing
148 raw cassava starch under fermentation conditions. This reduces the complexities of operations by a
149 combination of raw cassava starch hydrolysis and fermentation in a single step and single fermenter. It
150 results in saving on high energy consumption, operation cost, and time. This study aims to optimize the
151 hydrolysis of raw cassava starch by the enzyme StargenTM002 and to develop a practicable single-step
152 ethanol production process using raw cassava starch as the raw material at the laboratory, the pilot, and
153 the industrial scales.

154 **2. Results and Discussion**

155 **The optimization of enzyme StargenTM002 conditions for raw cassava starch hydrolysis**

156 Formations and degree of conversions of glucose from raw cassava starch hydrolyses by varying
157 concentrations of the enzyme StargenTM002, temperatures, and pH values, for those optimal values are
158 shown in Table 1. Considering the enzyme dosages, it was found that the highest glucose concentration
159 was achieved by using StargenTM002 at a concentration of 0.30% (v/w ds). A 200 g/L of raw cassava
160 starch with the enzyme dosage at 0.30% (v/w ds) yielded the glucose at a concentration of 73.78 g/L
161 with 33.54% (w/w) degree of starch conversion. In order to assess the effect of temperature on raw
162 cassava starch hydrolysis, the hydrolyzations were carried out at 30, 35, and 40 °C. There was an
163 increase in quantities of glucose released and higher drgrees of conversion of raw starch to glucose
164 when the temperature of starch slurry was increased from 30 °C to 40 °C. The hydrolysis at 40 °C gained
165 the highest glucose concentration of 133.27 g/L with 60.58% (w/w) degree of conversion. Further, pH
166 values of raw starch slurries from pH 3.0–7.0 were verified for their effects on raw starch hydrolyses.
167 Results showed that glucose concentrations and degrees of conversion were increased when pH values
168 of starch slurry were decreased from 7.0 to 3.0. The starch hydrolysis by StargenTM002 at pH 3.0
169 yielded the maximum glucose concentration of 114.39 g/L with 52% (w/w) degrees of conversion.

170 However, this experiment was concerned that the pH value below 4.0 could be a negative effect on
171 yeast cell growth and fermentation activities. The pH is one of the most important parameters
172 influencing yeast cell growth and fermentation activities. Low initial pH values cause chemical stress on
173 yeast cells affecting the accumulated biomass loss, the decrease in consumption rate of sugar, the
174 decrease in final concentration of ethanol, and the increase in final concentrations of glycerol [28, 29].
175 Several studies investigating the influence of pH on *S. cerevisiae* fermentations have been published. A
176 pH at 4.5 gave the highest ethanol production from *S. cerevisiae* [30]. A pH below 3.5 led to reduce yeast
177 viability and its vigor as well as lower ethanol yield [31]. Optimal pH values for yeast growth could
178 vary from 4.0 to 6.0, depending on their strains and the decrease in ethanol production was observed
179 when the initial medium pH was at 3.0 [32]. The pH is considered an important factor for survival and
180 growth of yeasts. It affects the permeability of the cell membrane and on the enzymes that are active in
181 degrading the substrate [33]. Therefore, in our further studies, the pH value at 4.0 was used as the
182 optimum pH on raw starch hydrolysis and ethanol fermentation.

183 [Table 1. is about here.]

184 **Raw cassava starch pretreatments and hydrolyses**

185 Formations and degrees of conversion of glucose from raw cassava starch hydrolyses by enzyme
186 StargenTM002 at the optimum conditions for 72 h are shown in Table 2. It was found that the hydrolysis
187 of raw cassava starch at pH 4.0 and 40 °C for 72 h with StargenTM002 at a concentration of 0.3% (v/w
188 ds) generated the glucose at a concentration of 150.83 g/L with 68.56% (w/w) degree of conversion. This
189 result indicated that it was incomplete hydrolysis. Consequently, the pretreatment of raw cassava starch
190 which influences the StargenTM002 activity is an interesting strategy for increasing the hydrolysis
191 capability.

192 [Table 2. is about here.]

193 Table 2 shows glucose concentrations, productivities, and degrees of conversion of starch to
194 glucose from pretreatments of raw cassava starch by heat, enzyme Distillase ASP, or urea, and
195 subsequently followed by hydrolyses with StargenTM002 at the optimum conditions. After the raw
196 cassava starch pretreated by heat at a sub-gelatinization temperature of 60 °C for 1 h, the starch slurry
197 was further hydrolyzed by StargenTM002 at the optimum conditions. Results showed that
198 sub-gelatinization temperature pretreatment increased the raw cassava starch hydrolysis activity by
199 StargenTM002. Compared with the non-pretreatment treatment, the heat at 60 °C generated a higher
200 glucose concentration of 159.90 g/L with 72.68% (w/w) degree of conversion.

201 Moreover, the pretreatment of raw cassava starch at the sub-gelatinization temperature of 60 °C
202 together with Distillase ASP increased glucose formation and its degree of conversion. The highest
203 glucose concentration of 176.41 g/L with a 80.19% (w/w) degree of conversion was successfully achieved
204 when raw cassava starch was pretreated at 60 °C together with 0.10% (v/w ds) of Distillase ASP before
205 the step of StargenTM002 hydrolysis. However, increases in Distillase ASP dosages to 0.20 and 0.30 (%
206 v/w ds) for the pretreatments did not significantly increase further raw starch hydrolyses by
207 StargenTM002.

208 On the contrary, the heat pretreatment at 60 °C together with Distillase ASP plus urea at the
209 concentrations of 1.0–3.0% (w/w sd) did not significantly effect raw cassava starch hydrolyses when
210 compared with the pretreatment without urea. This result indicates that urea pretreatment does not
211 improve raw cassava starch hydrolysis by StargenTM002. This studied result does not agree well with
212 [34] who reported that the combination of urea addition and sub-gelatinization temperature
213 pretreatment greatly improved triticale and corn starch hydrolyses by breaking hydrogen bonds in
214 starch molecules. The reason for the difference between this result and those of [34] are unclear, but one
215 of the reasons is the difference in starch structures of cassava to those of triticale and corn.

216 Morphological and microstructural changes of the pretreated and hydrolyzed raw cassava starch
217 granules revealed by a scanning electron microscope (SEM) are shown in Figure 1. From SEM
218 micrographs, the surface of the pretreated raw cassava starch granules at 60 °C together with 0.10% (v/w
219 ds) enzyme Distillase ASP for 1 h was smooth with few furrows and shallow depressions (Figure 1a).
220 The hydrolyzation of raw cassava starch granules by StargenTM002 for 48 h resulted in the degradation
221 of most starch to glucose fermentable sugar. Many large enlarged surface holes were observed on the
222 residual starch granule (Figure 1b).

223 [Figure 1 (a) and (b) are about here.]

224 Sub-gelatinization temperature pretreatment would allow the starch granules to swell and open up
225 the pore on the granule surfaces [14] which increased the ability of Distillase ASP to hydrolyze starch
226 granule surface resulting to increase surface area for later StargenTM002 attack. The raw cassava starch
227 hydrolysis by StargenTM002 was initiated from the granule surface by size enlargement of existing
228 holes [35]. Moreover, the roughened surface in hydrolyzed raw cassava starch granule might be due to
229 the uneven shortening of amylopectin molecules by the action of amylase enzyme [7]. A
230 sub-gelatinization temperature pretreatment causes the starch granule to swell and Distillase ASP action
231 later increases the starch granules surface, resulting in the StargenTM002 to penetrate into the granule
232 more extensively forms pits and channels during hydrolysis. The enzyme degraded the external part of
233 the starch granule by exo-corrosion as holes. These results were also in accordance with [34, 36] who

234 reported that enzymatic corrosion occurred mainly from the cassava starch granule surface to the center.
235 The rough surface and corroded granules were observed in hydrolyzed heat-treated starch compared to
236 hydrolyzed native starch which displayed rough surface with limited erosion and fewer holes [14].
237 Enzymes are adsorbed on the surface of starch granule and induce holes on the surface where glucose is
238 released [37].

239 Results from the previous study showed uncompleted hydrolysis of raw cassava starch by
240 StargenTM002 (Table 2). The degree of hydrolysis slightly increased after washing the residual starch
241 and adding a fresh enzyme dosage. No rapid hydrolysis was observed after removing the enzyme by
242 washing and adding a new amylase solution [38]. Therefore, it was assumed that the raw cassava starch
243 hydrolysis was uncompleted due to the presence of a residue of resistant starch. Using the X-ray
244 diffraction patterns could differentiate between the native and the hydrolyzed starch by detection of the
245 change in crystallinity of granular starch. Crystalline types of native and hydrolyzed cassava starch
246 were not markedly changed. However, the crystalline peak of hydrolyzed starch became bigger when
247 compared with that of the native starch. The amorphous region of the granule was hydrolyzed more
248 extensively than the crystalline region [14, 34]. Thus, in this study, the hydrolysis might primarily occur
249 in the amorphous regions of the starch granules. When StargenTM002 hydrolyzed the starch granules, it
250 could primarily degrade the amorphous regions. The crystalline structure might increase the raw
251 cassava starch residue.

252 **Single-step ethanol production in 5-L laboratory fermenter using combination of raw cassava starch** 253 **hydrolysis and fermentation**

254 Single-step ethanol fermentations by *S. cerevisiae* (Fali) were conducted in the 5-L fermenters each
255 contained 4 L of fermentation medium composed of the pretreated raw cassava starch at a concentration
256 of 200 g/L. Enzyme StargenTM002 and *S. cerevisiae* inoculants (Fali active dry yeast) were added into the
257 fermentation medium at the concentrations of 0.30% (v/w ds) and 0.10% (w/v), respectively. To study
258 the effect of temperature on single-step ethanol production, fermentations were performed at 30 and 40
259 °C, and without temperature control (with the initial temperature at 40 °C). Fermenters were agitated at
260 the design speed of 200 rpm for 72 h along with the fermentations.

261 Temperature is one of the most important factors that affect ethanol production. Figure 2 shows the
262 effect of different temperatures on single-step ethanol production. In Figure 2 (a) to (c), based on the
263 results obtained, they are observed that the single-step ethanol fermentation at a temperature of 30 °C
264 led to a final ethanol concentration at 70.92 g/L (8.99% v/v). At the non-control temperature (34 ± 1.0 °C)
265 condition, the highest ethanol concentration was obtained at the concentration of 81.86 g/L (10.37% v/v).
266 For the treatment of a temperature increased to 40 °C, it possessed the highest fermentation rate at the

267 first 36 h and after that, it slightly decreased with increasing time. A final ethanol concentration at 65.78
268 g/L (8.34% v/v) was obtained which was lower than those of the treatments at 30 °C and the non-control
269 temperature. This finding is in a good agreement with [32] who reported that the rate of enzyme
270 catalyzing the reaction in yeast cells increases with temperature up to a certain value and then the
271 enzyme begins to denature resulting in inhibition of yeast activities and consequential decrease in
272 ethanol fermentation. Thus a controlled specific optimum temperature was essentially required for the
273 single-step ethanol production.

274 [Figure 2 (a), (b), and (c) are about here.]

275 The concentration of glucose in fermentation broth at the temperature below 40 °C decreased with
276 time as the fermentation proceeded normally. In contrast to at 40 °C, glucose rapidly decreased within
277 the first 36 h, after that it could not be utilized by yeast which consequently caused the results of final
278 glucose accumulation and lower ethanol in the system.

279 Although *S. cerevisiae* growth profiles of all fermentation temperatures tended to approach similar
280 values except that at the temperature of 40 °C which was lower than those of the 30 °C and non-control
281 temperatures. Results indicate that the increasing the fermentation temperature resulted in the
282 decreasing the growth and the ethanol production by the yeast. The obtained data clearly show that the
283 optimum temperature of around 34 °C at which both yeast and Stargen™002 work best together. If
284 lower than 34 °C, the reaction rate of Stargen™002 was declined, and if above this value the yeast cell
285 growth and its activities would be inhibited.

286 Temperature directly affects metabolism and growth of yeast cells. At a warmer temperature, yeast
287 cells show a rapid decline in viability at the end of fermentation while at an excessively high
288 temperature, enzyme and membrane functions may be disrupted resulting in the stuck fermentation
289 [39]. Moreover, heat stress causes a change of plasma membrane which reduces levels of plasma
290 membrane H⁺-ATPs and transport systems [40]. High temperature showed the inhibitory effect to
291 ethanol production. The intracellular ethanol concentration was higher than the optimum level. Its
292 accumulation within the cells was a consequence of the resistance to its diffusion through cell wall from
293 inside to outside the cells [41]. It affects the plasma membrane of yeast cells resulting in altered
294 membrane organization and permeability [42]. Therefore, during the ethanol fermentation, increasing
295 both temperature and ethanol concentration together acts to cause a reduction in growth rate,
296 fermentation rate, and cell viability. Together both heat and ethanol stress can cause reduction of
297 metabolic activity and eventually cell death.

298 In this study, we combined the enzymatic hydrolysis of raw cassava starch and ethanol
299 fermentation within a single stage. However, the optimum temperature at 40 °C for the hydrolysis of
300 raw cassava starch was higher than that of the fermentation. The design of operating temperature for
301 single-step ethanol production was very important. As mentioned before, the temperature at 40 °C was
302 optimal for enzyme activity but it could reduce metabolism and growth of *S. cerevisiae* whilst the use
303 of temperature at 30 °C increased the yeast activity but reduced the hydrolytic rate of raw cassava
304 starch. As it has been explained above, the maximum final ethanol concentration (p_{\max}) at 81.86 g/L
305 (10.37% v/v) with an ethanol yield coefficient ($Y_{p/s}$) of 0.43 g/g, a productivity (r_p) at 1.14 g/L/h, and an
306 efficiency (E_f) of 75.29% was obtained under the non-control fermentation temperature (34 ± 1.0 °C).
307 This result revealed that the single-step ethanol production by *S. cerevisiae* at approximately 34 °C
308 provides the best-compromised temperature that enhanced enzyme activity and promotes *S. cerevisiae* to
309 produce ethanol at a high concentration.

310 Furthermore, it was interesting to note that by-products, i.e., glycerol, lactic acid, and acetic acid
311 were very low at maximum values of only 1.14, 0.05, and 0.00% (w/v), respectively (Table 4). These
312 major by-products in ethanol production were almost not produced, when using raw cassava starch as
313 the raw material with the single-step fermentation. The advantage of this fermentation system is that the
314 heat pretreatment at 60 °C for 1 h in the early process step reduces acid-producing bacteria
315 contamination resulting in a very low amount of lactic acid and without acetic acid. This method also
316 prevents the system of single-step ethanol fermentation using raw cassava starch from other
317 microorganisms' contamination.

318 **Single-step ethanol productions at pilot and industrial scales using the combination of raw cassava** 319 **starch hydrolysis and fermentation**

320 Major objectives of this section were to (i) evaluate the potential implementation of the single-step
321 ethanol fermentations at the larger scales, (ii) check the ethanol yield and productivity of the single-step
322 fermentations, and (iii) identify problems that were not significantly noticed at the laboratory scale.
323 According to results in laboratory-scale fermentation, further single-step ethanol fermentations were
324 conducted in a 200-L pilot and in a 3,000-L industrial fermenter. After enzyme additions and yeast
325 inoculations, single-step ethanol fermentations using the combination of raw cassava starch hydrolysis
326 and fermentation were operated at the same temperature of 34 °C as that of the 5-L fermentation above
327 for 72 h at the agitation speeds of 125 rpm for 200-L fermenter and 55 rpm for 3,000-L fermenter. To
328 maintain the designed scale-up parameter, i.e., the energy dissipation rate per unit mass or power input,
329 $\bar{\epsilon}_T$ of both scales in similarity, both different agitation speeds of the two were consumed with the
330 equivalent power input, $\bar{\epsilon}_T$ of 0.10 W/kg (Watts/ kg of fermentation broth).

331 The p_{\max} at 80.90 g/L (10.25% v/v) with a $Y_{p/s}$ of 0.42 g/g, an r_p at 1.12 g/L/h and an Ef of 74.40% was
 332 achieved when the single-step ethanol fermentation from raw cassava starch has been scaled-up to the
 333 200-L fermenter (Figure 3 a). The p_{\max} of the 200-L fermentation was not significantly different from the
 334 $p_{\max} = 81.86$ g/L (10.37% v/v) of the 5-L laboratory fermentation. Results in the 200-L fermentation
 335 indicated that operations, conditions, and its performances at this scale-up were significantly as effective
 336 as those of results obtained in the 5-L fermentation. Furthermore, the single-step ethanol fermentation in
 337 the 3,000-L industrial fermenter was also studied. In Figure 3 (b), it produced the p_{\max} at 70.74 g/L (8.97%
 338 v/v) with a $Y_{p/s}$ of 0.38 g/g, an r_p at 0.98 g/L/h and, an Ef of 67.56%. Its performances could be observed
 339 that there were similar profiles in ethanol production and sugar utilization between both scales of
 340 fermentations (Figure 3 (a) and (b)).

341 [Figure 3 (a) and (b) are about here.]

342 A comparison of results obtained at different scales of 5-L, 200-L, and 3,000-L fermentations was
 343 shown in Table 3 and Figure 3 (a) and (b). p_{\max} values of the 5-L and the 200-L fermenter were very close
 344 together with values at 81.86 and 80.90 g/L, respectively, corresponding to $Y_{p/s}$ of 0.43 and 0.42 g/g, r_p at
 345 1.14 and 1.12 g/L/h, and Ef of 75.29% and 74.40% at both fermentation scales, respectively. They were
 346 not significantly different at p -value ≤ 0.05 . However, the p_{\max} of the 3,000-L fermentation was at 70.74 g/L
 347 with a $Y_{p/s}$ of 0.38 g/g, an r_p at 0.98 g/L/h, and an Ef of 67.56%. Differences of those values, i.e., p_{\max} , $Y_{p/s}$,
 348 r_p , and Ef of the 5-L and the 200-L fermentation are around 10% higher than those of the 3,000-L
 349 fermentation. Reasons why the 3,000-L fermentation (Figure 3 b) possessed lower values of p_{\max} , $Y_{p/s}$, r_p ,
 350 and Ef than those of the 5-L (Figure 2 a) and the 200-L fermentation (Figure 3 a) are as follows. (i) Initial
 351 glucose concentrations from starch hydrolyses by Stargen™002 at the start of fermentations were at
 352 66.30, 54.27, and 36.57 g/L for 5-L, 200-L, and 3,000-L fermentation, respectively. That of the 3,000-L
 353 fermenter was the lowest. Differences were due to faster (in 5 and 20 min) temperature controls to reach
 354 at 60 °C for raw starch pretreatments at 5-L and 200-L scale fermenter other than the 3,000 fermenter that
 355 took a longer time for ~30 min. (ii) Final total sugar concentrations left at the end of those fermentations
 356 were at 7.90, 8.00, and 15.00 g/L, respectively affected their ethanol yields. (iii) They consequently,
 357 affected rates of substrate utilizations, r_s at values of 2.67, 2.67, and 2.57 g/L/h for 5-L, 200-L, and 3,000-L
 358 fermentation, respectively.

359 Concentrations of major by-products, i.e., glycerol, lactic acid, and acetic acid at the end of
 360 single-step ethanol productions remained very low values at every fermentation scale (Table 4).
 361 Minimum inhibitory concentrations of lactic and acetic acid were at 0.80% and 0.05%, respectively [30].
 362 In this research work, concentrations of both acid by-products remained lower than those of minimum
 363 stressful values.

364 [Table 3. and Table 4. are about here.]

365 It is clearly shown that at 200-L and 3,000-L scales of fermentations, values of ethanol content,
366 glucose left, glycerol, lactic acid, and acetic acid during single-step ethanol productions using the
367 combination of raw cassava starch hydrolysis and fermentation were similar to those values obtained at
368 the 5-L laboratory scale. This indicated that there were no deviations of those results, i.e., p_{max} , $Y_{p/s}$, r_p ,
369 and E_f including minimal by-products obtained when the 5-L fermentation was scaled-up to the pilot
370 scale, and further to the industrial scale of operations with around 10% deviation, where is statistically
371 acceptable. This proves and supports the scaleable potential and feasibility for the decision to the new
372 route for the industrial bioethanol production from raw starch.

373 **Advantages**

374 Advantages of this research work are as follows. (i) The production of ethanol from raw starch can
375 be done in a single-step of operation without liquefaction at very high temperature, and saccharification.
376 (ii) Consequently, complexities, times, and costs, of operations can significantly be minimized. (iii) As
377 the raw starch is used as the substrate, major by-products, i.e., glycerol, lactic acid, and acetic acid are
378 essentially minimized. (iv) With high concentrations, yields, productivities, and efficiencies of ethanol
379 productions at all experimental scales, comparable with those in commercial industries that use
380 molasses and hydrolyzed starch as raw materials, this can be implemented in the industries. (v) Using
381 fluid dynamics for the design of impellers speeds and operations, the lysis reactor for raw starch
382 pretreatment, and the ethanol fermenter at every scale can be designed and scaled-up to the industries.

383 **3. Conclusions**

384 The combination of raw cassava starch hydrolysis and fermentation is practicable for the
385 single-step ethanol production. The granular starch hydrolyzing enzyme Stargen™002 showed a high
386 ability for raw cassava starch hydrolysis. Under the optimum condition, 68.56% (w/w) of raw cassava
387 starch was converted to glucose. Moreover, the pretreatment of raw cassava starch at 60 °C for 1 h with
388 Distillase ASP (a blend of glucoamylase and pullulanase) greatly improved subsequent further raw
389 starch hydrolysis by Stargen™002 which converted 80.19% (w/w) of raw cassava starch to glucose. For
390 ethanol production from raw cassava starch, the highest ethanol concentration from single-step
391 fermentation by *S. cerevisiae* (Fali, active dry yeast) at 34 °C in a 5-L fermenter was achieved. This
392 produced ethanol at the concentration of 81.86 g/L (10.37% v/v) with a yield coefficient of 0.43 g/g, a
393 productivity at 1.14 g/L/h, and an efficiency of 75.29%. The scale-up from the 5-L laboratory fermenter to
394 the 200-L pilot-scale, and further to the 3,000-L industrial fermenter was essentially successful. There
395 were no significant differences of those values, results, and performances between the 5-L and the 200-L

396 fermentation scale. There were little differences of those values of the 3,000-L scale comparable with the
 397 former two. These results indicated that the single-step ethanol production using the combination of
 398 raw cassava starch hydrolysis and fermentation can be scaled-up to the novel industrial production of
 399 bioethanol.

400 **4. Materials**

401 **Microorganism**

402 The manufactured active dry yeast, *Saccharomyces cerevisiae* (Fali), obtained from AB Mauri
 403 (Australia), was used in this study. This yeast strain could produce the maximum ethanol yield
 404 exceeding 18% (v/v) or 12% (w/v) depending on fermentation procedures. Furthermore, it is extremely
 405 thermotolerant and it has a wide range of fermentation temperatures from 25 to 40 °C. The active dry
 406 yeast inoculants were re-hydrated in distilled water at 40 °C for 20 min prior to inoculations into the
 407 single-step ethanol fermentations.

408 **Materials**

409 Cassava starch of the “Three Elephants” brand was obtained from Chorchiwat Industry Co., Ltd
 410 (Chon Buri province, Thailand) with complements. After manufactured, freshly cassava starch was kept
 411 at a dry and cool place in the laboratory store. Moisture content and other compositions of the cassava
 412 starch in this study was totally $\sim 10 \pm 1.00$ (% w/w) so that the carbohydrate (fermentable carbon source)
 413 is $\sim 90 \pm 1.00$ (% w/w). Its pH was 5-7. Cassava starch chemical compositions, i.e., carbohydrate,
 414 moisture, crude fiber, ash, protein, and fat were 90.80 ± 1.22 , 7.10 ± 0.10 , 1.20 ± 0.00 , 0.45 ± 0.22 , 0.32 ± 0.01 ,
 415 and 0.17 ± 0.00 (% w/w), respectively [43].

416
 417 Commercial enzymes provided by DuPont Industrial Biosciences (previously known as Genencor,
 418 A Danisco Division) were utilized in this study. They were (i) StargenTM002 (granular starch
 419 hydrolyzing enzyme, containing *Aspergillus kawachi* α -amylase expressed in *Trichoderma reesei* and
 420 glucoamylase from *T. reesei*) and (ii) Distillase ASP (containing a blend of glucoamylase from *Bacillus*
 421 *licheniformis* and bacterial pullulanase from *T. reesei*). Properties of these commercial enzymes are
 422 presented in Table 5.

423
 424
 425

Table 5. Characterizations of the commercial enzymes used in this study

Commercial enzymes (Types)	Optimum temperature (°C)	Optimum pH	Activity
StargenTM002 (Blend of alpha-amylase and glucoamylase)	20–40	4.0–4.5	570 GAU/g
Distillase ASP (Blend of glucoamylase and pullulanase)	58–65	4.0–4.5	580 GAU/g

426 GAU/g means Glucoamylase Unit (GAU). One GAU unit, defined by DuPont, is the amount of enzyme that will
427 liberate one gram of reducing sugars calculated as glucose per hour from soluble starch substrate under the assay
428 conditions.
429

430

431

432

433 **5. Methods**

434 **Optimization of StargenTM002 conditions for raw cassava starch hydrolysis**

435 1-L volumes of starch slurry in glass containers, each contained with 20% (w/v) of raw cassava
436 starch prepared in distilled water, were incubated in a water bath at 30 °C for 48 h, with continuous
437 stirring at 100 rpm using an overhead stirrer. StargenTM002 was added into raw cassava starch slurries
438 at concentrations of 0.10–0.40% (v/w of dry starch basis, ds) before starts of hydrolysis.

439 For the study of temperature effect on raw cassava starch hydrolysis, starch slurries were incubated
440 at 30–40 °C with StargenTM002 at a concentration of 0.10% (v/w ds). Effects of pH on raw cassava starch
441 hydrolysis were verified from 3.0 to 7.0. Starch slurries at pH 3.0–4.0 were prepared in sodium acetate
442 buffer and those at pH 5.0–7.0 were prepared in potassium phosphate buffer before hydrolyses by
443 StargenTM002 at a concentration of 0.10% (v/w ds).

444 **Raw cassava starch hydrolysis in 15-L lysis reactor**

445 A 10 L of starch slurry, containing 20% (w/v) of raw cassava starch prepared in sodium acetate
446 buffer at pH 4.0, was hydrolyzed by StargenTM002 at a concentration of 0.30% (v/w ds) in a 15-L lysis
447 reactor at 40 °C and agitated at 220 rpm (equivalent to the power input, $\bar{\epsilon}_T$ of 0.10 W/kg) for 72 h.
448 During hydrolysis, samples of 10 mL were withdrawn at every 12 h intervals for analyses. The pH value
449 of each sample was adjusted to 1.5–1.6 with 2 M HCl solution to stop enzyme activities [14].

450 **Raw cassava starch pretreatments and subsequent hydrolysis in 15-L lysis reactor**

451 The heat pretreatment of cassava starch at its below gelatinization temperature before being
452 subjected to enzyme hydrolysis can increase the degree of conversion (hydrolysis) of native starch to
453 release free glucose molecules [14]. In addition, it was also reported that the combination of urea
454 addition and pre-heating treatment at a sub-gelatinization temperature greatly facilitated the hydrolysis
455 by StargenTM002 [44]. Therefore, in this study, the 10 L of starch slurries, containing 20% (w/v) of raw
456 cassava starch prepared in sodium acetate buffer at pH 4.0, were pretreated with heating at 60 °C in the
457 15-L lysis reactors (in-house design and fabrication by our group, fit with 2-Ekato Intermig, the
458 high-efficiency impellers (Germany) of the diameter, D of 0.115 m, agitating at 220 rpm ($\bar{\epsilon}_T = 0.10$ W/kg)
459 for 1 h. The 10 L of raw starch slurries above were pretreated with the additions of (i) Distillase ASP at

460 the concentrations of 0.10–0.30% (v/w ds) and (ii) urea at the concentrations of 1.0–3.0% (v/w ds). After
461 the pretreatments, the raw starch slurries were hydrolyzed by Stargen™002 at the concentration of
462 0.30% (v/w ds) at 40 °C and stirring at the same speed of 220 rpm with $\bar{\epsilon}_T = 0.10$ W/kg for 72 h.

463

464 **Single-step ethanol production using combination of raw cassava starch hydrolysis and fermentation at** 465 **different temperatures in 5-L fermenter**

466 In order to study the effect of temperatures on single-step ethanol production, fermentations were
467 performed at different temperatures of 30, 40 °C, and without temperature control (with the initial
468 temperature of 40 °C). pH values were not controlled, but undergoing with the initial value at 5.5. They
469 were carried out using 5-L fermenters (Biostat B, B. Braun Biotech International, Germany), each fit with
470 2 Rushton turbine impellers of the diameter, D of 0.065 m, operating at the designed agitation speed of
471 200 rpm, equivalent to the power input, $\bar{\epsilon}_T$ of 0.10 W/kg, for 72 h. 5-L fermenters were contained with 4
472 L of fermentation medium which was composed of 200 g/L (18% w/v carbohydrate, based on deduction
473 of 10% (w/v) of moisture and other contents) of the pretreated raw cassava starch slurry plus 40 g/L (4%
474 w/v) of sugarcane molasses containing 50% (w/v) of sucrose to meet the total carbon source
475 concentration of 20% (w/v). Inorganic salts, i.e., $(\text{NH}_4)_2\text{HPO}_4$, KH_2PO_4 , Na_2HPO_4 , and $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$, were
476 also supplemented into the medium at concentrations of 0.1, 1.5, 1.8, and 3.8 g/L, respectively. After
477 homogeneous medium mixing, Stargen™002 was added at a concentration of 0.30% (v/w ds) and
478 inoculants of re-hydrated active dry yeast, *S. cerevisiae* Fali, were further inoculated into fermenters at a
479 final concentration of 1.0 g/L (0.10% w/v). During fermentations, samples of 10 mL were withdrawn at
480 every 12 h interval for analyses.

481 **Single-step ethanol production using combination of raw cassava starch hydrolysis and fermentation at** 482 **200-L pilot and 3,000-L industrial scales**

483 (1) The pilot-scale ethanol fermentation was carefully conducted using the 200-L fermenter of 0.50
484 m diameter, T and 1.00 m height, H (in-house design and fabrication by our group, fit with 2 Ekato
485 Intermig impellers of the diameter, D of 0.30 m, agitating at 125 rpm (equivalent to the power input,
486 $\bar{\epsilon}_T$ of 0.10 W/kg). The fermenter was contained with 150 L of the fermentation medium^P.

487 (2) The industrial ethanol fermentation was practically implemented using the 3,000-L fermenter of
488 1.25 m diameter, T and 2.50 m height, H (industrial design by our group and fabrication by Chorchiwat
489 Industry Co., Ltd. (CCW)), fit with 2 Ekato Intermig impellers of the diameter, D of 0.85 m, agitating at
490 55 rpm (equivalent to the power input, $\bar{\epsilon}_T$ of 0.10 W/kg). The fermenter was contained with 2,100 L of
491 the fermentation medium^I.

492 The fermentation medium^P and medium^I of both scales were definitely the same in compositions
493 and concentrations. Like the 5-L medium composition above, they were composed of 20% (w/v) of the
494 pretreated raw cassava starch slurry plus 4.0% (w/v) of sugarcane molasses containing 50% (w/v)
495 sucrose, and 0.10 g/L (NH₄)₂HPO₄, 1.50 g/L of HK₂PO₄, 1.80 g/L of Na₂HPO₄, and 3.80 g/L of
496 MgSO₄·7H₂O. When mixed well and reached the designed set-point temperature at 34 °C and the initial
497 pH at 5.5 (after 24 h, pH declined to 4.5 constant) the enzyme StargenTM002 was added into the
498 fermenters at a concentration of 0.30% (v/w ds) and subsequently inoculants of re-hydrated active dry
499 yeast, *S. cerevisiae* Fali, were inoculated at a concentration of 0.10% (w/v). Fermentations were performed
500 at the temperature of 34 ± 1.0 °C for 72 h at designed agitation speeds of each fermenter mentioned
501 above. During fermentations, samples of 10 mL were withdrawn at every 12 h interval for further
502 analyses.

503 Studies of single-step ethanol productions at the 200-L pilot scale and the 3,000-L industrial scale
504 fermenter were practically based on principles of scale-up rules: (i) Geometric similarity where
505 dimensional lengths of all fermenter geometries among different fermenter scales were designed to
506 maintain the same values. (ii) Conditional similarity where all optimal conditions, i.e., temperature, pH,
507 substrate and enzyme concentrations were imitated from the 5-L laboratory research work (iii)
508 Operational similarity where a selected key operational method was designed. For this work, the power
509 input (energy dissipation rate per unit mass or power input, $\bar{\epsilon}_T$) was maintained similar (kept constant)
510 with the value of $\bar{\epsilon}_T = 0.10$ (W/kg), thus impeller agitation speeds among different scales were
511 empirically calculated (see details below).

512 **6. Analyses and quantitative methods**

513 **(1) Analytical method using HPLC to quantify concentrations of glucose, ethanol, and by-products**

514 The High-Performance Liquid Chromatography (HPLC) method was utilized to quantify
515 concentrations of glucose, ethanol, glycerol, lactic acid, and acetic acid in fermentation broth samples by
516 comparisons with standards of those known concentration values. The HPLC system (KNAUER
517 Smartline, Berlin, Germany) with the refractive index (RI) detector (Smartline 2300) and with the
518 Eurokat H vertex column was used. An eluent solution of 0.01 N H₂SO₄ was utilized at a flow rate of 0.8
519 mL/min. Analyses were performed at 60 °C. Samples were diluted 10 times, filtered through 0.45 µm
520 filters, and injected into the column with an amount of 20 µL [27, 45].

521 **(2) Total sugar analysis**

522 Total sugars mean all concentrations of carbon sources, i.e., raw starch plus free residual glucose of
523 which raw starch in the mixture of fermentation broth was completely hydrolyzed by acid to release all
524 glucose molecules, and then glucose from both sources in the same mixture was measured as the total

525 sugar or total glucose. The total sugar of cultured samples during fermentation was analyzed by the
 526 modified sulfuric acid hydrolysis method. Samples of 1 mL in micro-tubes were centrifuged at 10,000
 527 rpm for 10 min. Supernatants of 0.5 mL were transferred into 20-mL test tubes and then 2-mL volumes
 528 of 2 N H₂SO₄ solution were added, mixed well, and capped. Test tubes with mixtures were boiled in a
 529 water bath at 95 °C for 30 min. Neutralizations were done with the addition of 4 N NaOH and
 530 re-centrifugations were operated to precipitate residues. Supernatants were analyzed using the HPLC to
 531 quantify concentrations of glucose as the total sugar [27, 45].

532 (3) Scanning electron microscopy

533 Photographic characteristics of pretreated and hydrolyzed raw cassava starch granules were
 534 observed under the SEM (scanning electron microscope, LEO, 1450VP, Germany). Samples were
 535 mounted on circular aluminum stubs with carbon tape, coated with gold, and examined in SEM at an
 536 accelerating voltage of 10 kV and photographed.

537 (4) Kinetic parameters' calculations

538 (4.1) Starch hydrolyses

539 The degree of conversion of raw starch to glucose was calculated as the percentage of glucose
 540 released from the raw cassava starch hydrolysis using the equation:

$$541 \text{ Degree of conversion (\%)} = \frac{\text{Glucose released (g/L)}}{\text{Raw cassava starch used (g/L)} \times 1.11(\text{g/g})} \times 100 \quad (1)$$

542 where 1.11 (g/g) is the 1.11 g theoretical (stoichiometric) yield of glucose from 1.00 g of starch, calculated
 543 from $\frac{n(\text{C}_6\text{H}_{12}\text{O}_6)}{(\text{C}_6\text{H}_{12}\text{O}_6)_n - ((n-1) \times \text{H}_2\text{O})}$, where C₆H₁₂O₆ is the glucose with a molecular weight (MW) of 180.156
 544 g/mol; H₂O is the water with a MW of 18.015 g/mol, and n is the number of glucose molecules of glucose
 545 and of starch or degree of polymerization (Dp). For example, if a starch chain polymer of 1,000 glucose
 546 molecules was completely hydrolyzed, where n = 1,000 molecules, then substitute into the above term to
 547 obtain $\frac{1,000(180.156)}{((180.156)_{1,000}) - ((1,000-1) \times 18.015)} = 1.11$ g/g. Although n or Dp values vary, the number of 1.11
 548 g/g is still obtained as a constant (see Supplementary materials, II).

551 (4.2) Ethanol productions

552 Three kinetic parameters of ethanol productions were calculated using experimental data, i.e.,
 553 cultivation time, t (h), concentration of ethanol, p (g/L), and concentration of raw starch carbon substrate
 554 used, s (g/L). (i) The yield coefficient of ethanol production, Y_{p/s} (g/g) is from $Y_{p/s} = \frac{\Delta p}{\Delta s}$, where Δp is the
 555 ethanol produced (g/L) and Δs is the substrate used (g/L), (ii) The productivity or production rate of
 556 ethanol, r_p (g/L/h) is from $r_p = \frac{dp}{dt}$. (iii) The utilization rate of substrate, r_s (g/L/h) is from $r_s = \frac{ds}{dt}$. The
 557 production efficiency, Ef (%) is from $Ef = \frac{Y_{p/s}}{Y'_{p/s}} \times 100$, where Y_{p/s} is from the experiment (observed value)
 558 and Y'_{p/s} is from the theoretical yield coefficient or stoichiometry [45]. The theoretical yield, Y'_{p/s} of
 559 ethanol from starch is 0.566 g/g, calculated from $Y'_{p/s} = \frac{1.11^a \times 0.51^b}{1.0}$, where "a" is the glucose yield of 1.11 g

560 from 1.00 g of starch as in Equation....(1) that has been profoundly explained above, and “b” is the
 561 theoretical yield ($Y'_{p/s}$) of ethanol from 1.00 g of glucose, calculated from $Y'_{p/s} = \frac{2(46.07)}{180.156} = 0.51 \text{ g/g}$,
 562 where molecular weights of ethanol and glucose are 46.07 and 180.156 g/mol, respectively, and one
 563 molecule of glucose stoichiometrically produces two molecules of ethanol.

564 (5) Calculations of designed fermenter impeller speeds

565 For fluid dynamics in the stirred tank bioreactor [46, 47] the power, P (W) is calculated from $P =$
 566 $nPo\rho N^3 D^5$, where n is the number of impellers of 2 for all the 5-L fermenter, 15-L lysis reactor, 200
 567 pilot-scale fermenter, and 3,000-L industrial fermenter. Po is the power number of 5 (no unit or
 568 dimensionless) for one Rushton turbine impeller of the 5-L fermenter and Po of 0.33 for one Ekato
 569 Intermig (high-efficiency) impeller of every scale, i.e., 15-L lysis reactor, 200-L and 3,000-L fermenters. ρ
 570 is the fluid density (kg/m³) of lysis reactor or fermenter. N is the impeller speed (rps), and D is the
 571 impeller diameter, where D of 0.065 m is for the 5-L fermenter, 0.115 m is for the 15-L lysis reactor, 0.30
 572 m is for the 200-L fermenter, and 0.85 m is for the 3,000-L fermenter.

573 The power input or energy dissipation rate per unit mass, $\bar{\epsilon}_T$ (W/kg) is calculated from

$$574 \quad \bar{\epsilon}_T \text{ or } \frac{P}{\rho V} = \frac{nPo\rho N^3 D^5}{\rho V} \quad (2)$$

575 where V is the fluid volume (m³). Thus, designed impeller speeds, N, of the power input of 0.10 (W/kg)
 576 are calculated from

$$577 \quad N = \left(\frac{\bar{\epsilon}_T V}{nPoD^5} \right)^{1/3} \quad (3)$$

578 These both kinetic and fluid dynamic parameters from the laboratory and the pilot-scale
 579 experiment are very crucial for the scale-up of further fermentation at the industrial scale. That is the
 580 foreseen reason why impeller speeds were designed based on the power input rather than just the speed
 581 in rpm (Table 6).

582

583 **Table 6.** Lysis reactor and fermenter designs, and scale-up values for this research work; they were
 584 designed by maintaining geometric similarities and the same power input, $\bar{\epsilon}_T$ among different
 585 fermenter scales constant with the value of 0.10 W/kg

Reactor types; total vol. (L)	Working vol. (L)	Dimension H/T (m/m) Height/Tank \varnothing	Impeller type	No.	Impeller diameter, D (m)	Speed (rpm)	Power input, $\bar{\epsilon}_T$ (W/kg)
15-L Lysis reactor	10	0.40 / 0.20	Ekato Intermig	2	0.115	220	0.10
5-L Fermenter	4	0.35 / 0.15	Rushton Turbine	2	0.065	200	0.10
200-L Fermenter	150	1.00 / 0.50	Ekato Intermig	2	0.300	125	0.10
3,000-L Fermenter	2,100	3.00 / 1.50	Ekato Intermig	2	0.850	55	0.10

586 (6) Statistical analysis

587 Statistical analyses of each data set from each experiment were undertaken with the One–Way
 588 Analysis of Variance (ANOVA). Differences of treatment mean values from three replications (each
 589 experiment was done in three replicates) were compared with the Tukey’s Range Test method at p -value
 590 ≤ 0.05 using the Minitab version 17 software. Standard errors (\pm SE) were shown together with mean
 591 values as error bars in the graph. If error bars did not emerge, assuming that they were smaller than
 592 sizes of legend symbols.

593

594 **Abbreviations**

595 BL: billion liters; 1G: first generation feedstock; 2G: second generation feedstock; ha: hectare; MT: metric ton;
 596 CBP: consolidated bioprocessing; CP: cassava pulp; p_{\max} : maximum product; $Y_{p/s}$: observed product yield
 597 coefficient; $Y'_{p/s}$: theoretical yield coefficient; r_p : productivity or production rate; r_s : substrate utilization rate; E_f :
 598 efficiency; SSF: simultaneous saccharification and fermentation; w/w: weight by weight; v/v: volume by volume;
 599 v/w ds: volume by weight of dry solid; g/L/h : gram per liter per hour; L: liter; m: meter; H^+ -ATP: hydrogen
 600 ion-adenosine triphosphate; g/g: gram per gram; g/L: gram per liter; $\bar{\epsilon}_7$: power input or energy dissipation rate
 601 per unit mass; W/kg: Watts per kilogram; GAU: glucoamylase unit; rpm: revolutions per minute; rps: revolutions
 602 per second; M HCl: molar of hydrochloric acid conc.; $(NH_4)_2HPO_4$: diammonium hydrogen phosphate; HK_2PO_4 :
 603 hydrogen dipotassium phosphate; Na_2HPO_4 : disodium hydrogen phosphate; $MgSO_4 \cdot 7H_2O$: magnesium sulphate;
 604 N H_2SO_4 : normal of sulfuric acid conc.; N NaOH normal of sodium hydroxide conc.; H: height; T: tank diameter; D:
 605 impeller diameter; CCW: Chorchiwat Industry Co., Ltd.; HPLC: high-performance liquid; μ m: micrometer; μ L:
 606 microliter; SEM: scanning electron microscope; p: conc. of ethanol product; t: time; s: carbon substrate (glucose
 607 or starch); Δp : ethanol produced; Δs : substrate used; d: differential; P: power; n: number of impellers; P_o :
 608 impeller power number; N: impeller speed in rps; ρ : fluid density; V: volume; \emptyset : diameter; ANOVA: analysis of
 609 variance; SE: standard error.

610

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617

618 **Author contributions**

619 Conceptualization, M.K.; methodology, investigation, analysis and writing—original draft preparation, and K.M.,
 620 J.S. and K.R.; resources, validation, visualization, and data cu ration, S.C.; writing—review and editing, validation,
 621 visualization, supervision, project administration, and funding acquisition.

622

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627

628 **Availability of data and materials**

629 Supplementary materials: (I) <https://www.researchgate.net/publication/345716528> —Details show yields of
 630 different crops per unit area, starch or sugar contents in each crop, theoretical yield coefficients, $Y'_{p/s}$ (mass of
 631 ethanol/mass of starch or sugar) produced stoichiometrically, and calculated yields of ethanol per unit area. (II)

632 <https://www.researchgate.net/publication/345716731> —Degree of starch conversion, hydrolysis of starch to
 633 release glucose (molecules) and yield coefficient.

634

635 **Ethics approval and consent to participate**

636 Not applicable.

637

638 **Consent for publication**

639 Not applicable.

640

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643

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645 **Competing interests**

646 Authors declare no competing interests. The funder had no role in the design of this study, analyses, or
 647 interpretation of data; in the writing of the manuscript; or in the decision to publish the experimental
 648 results.

649

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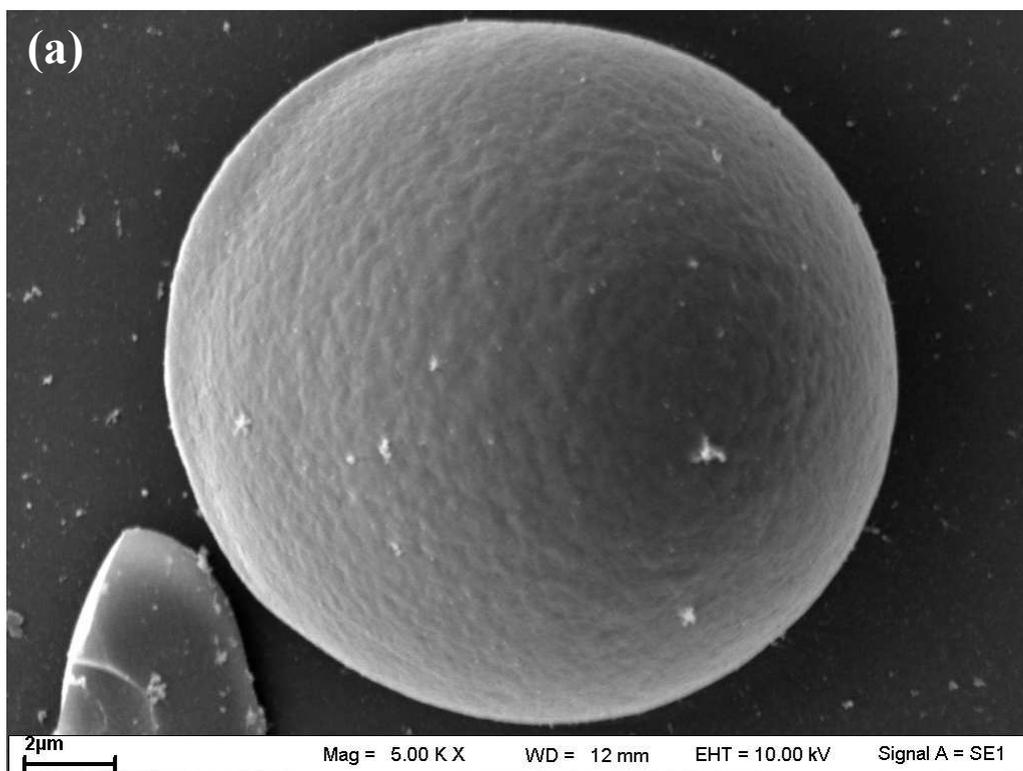
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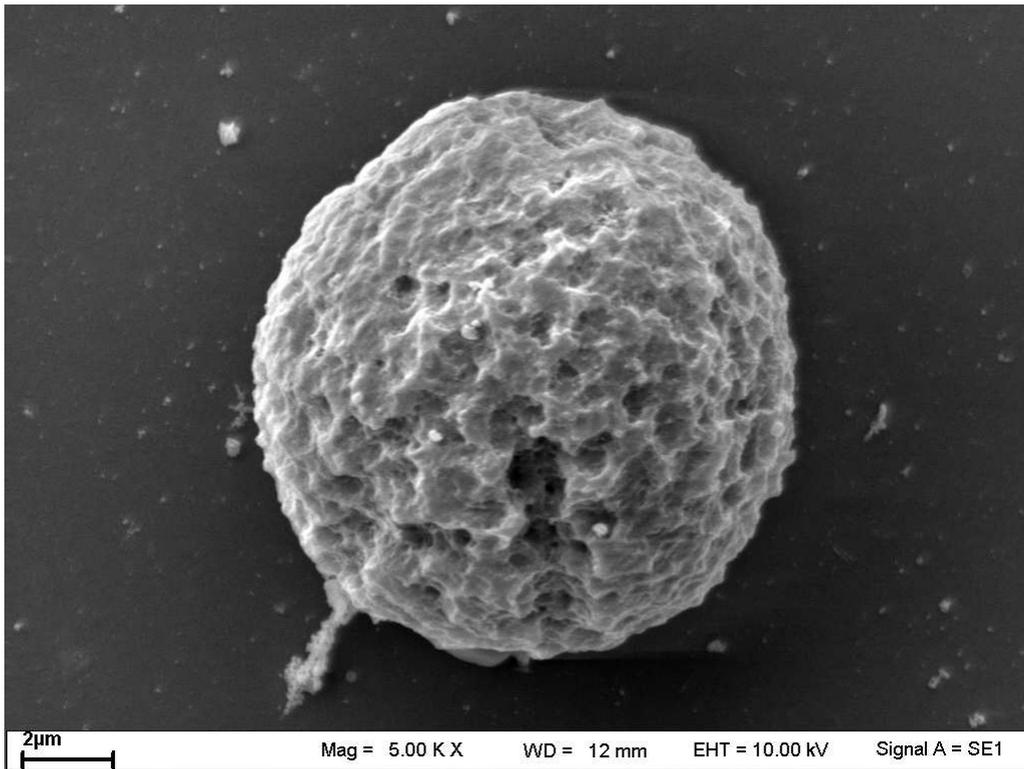
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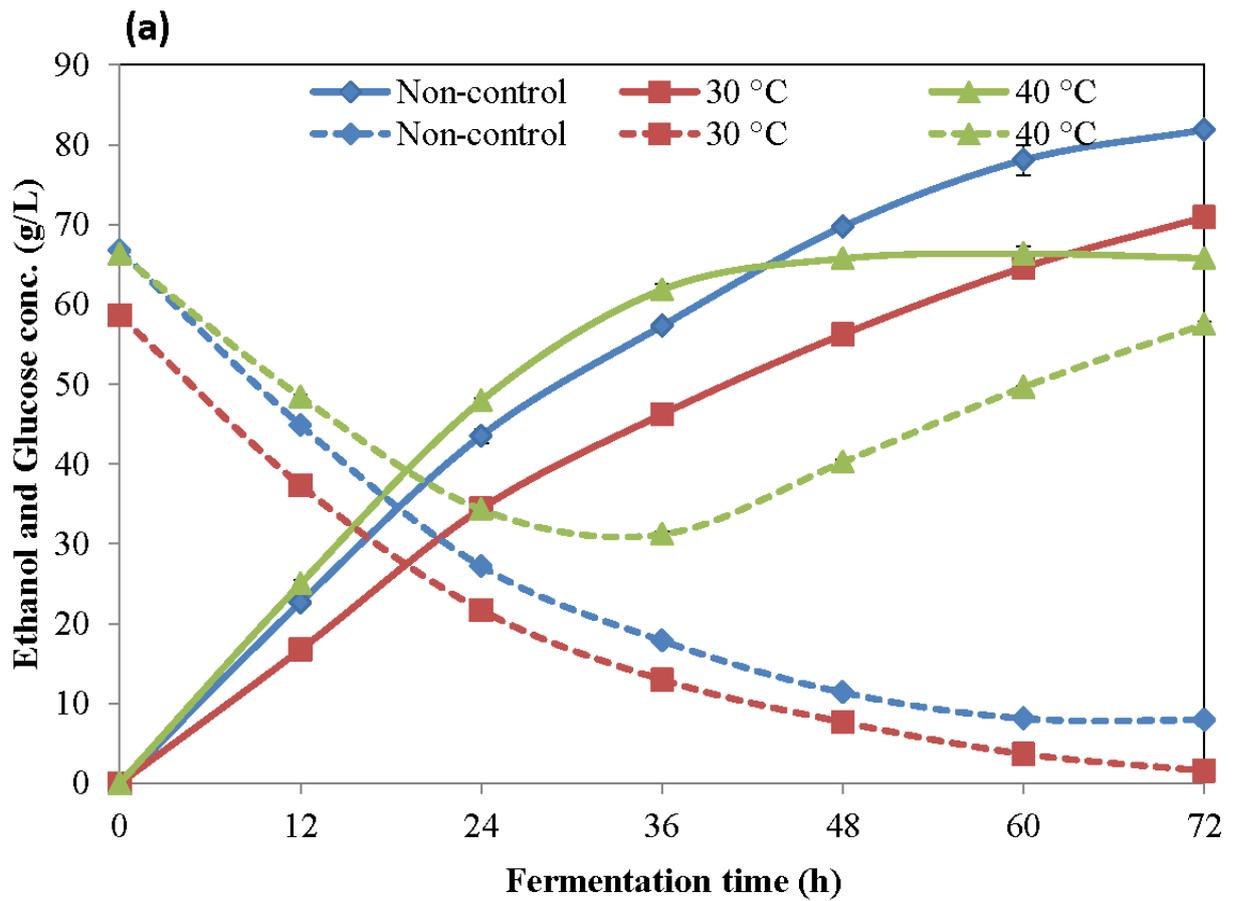


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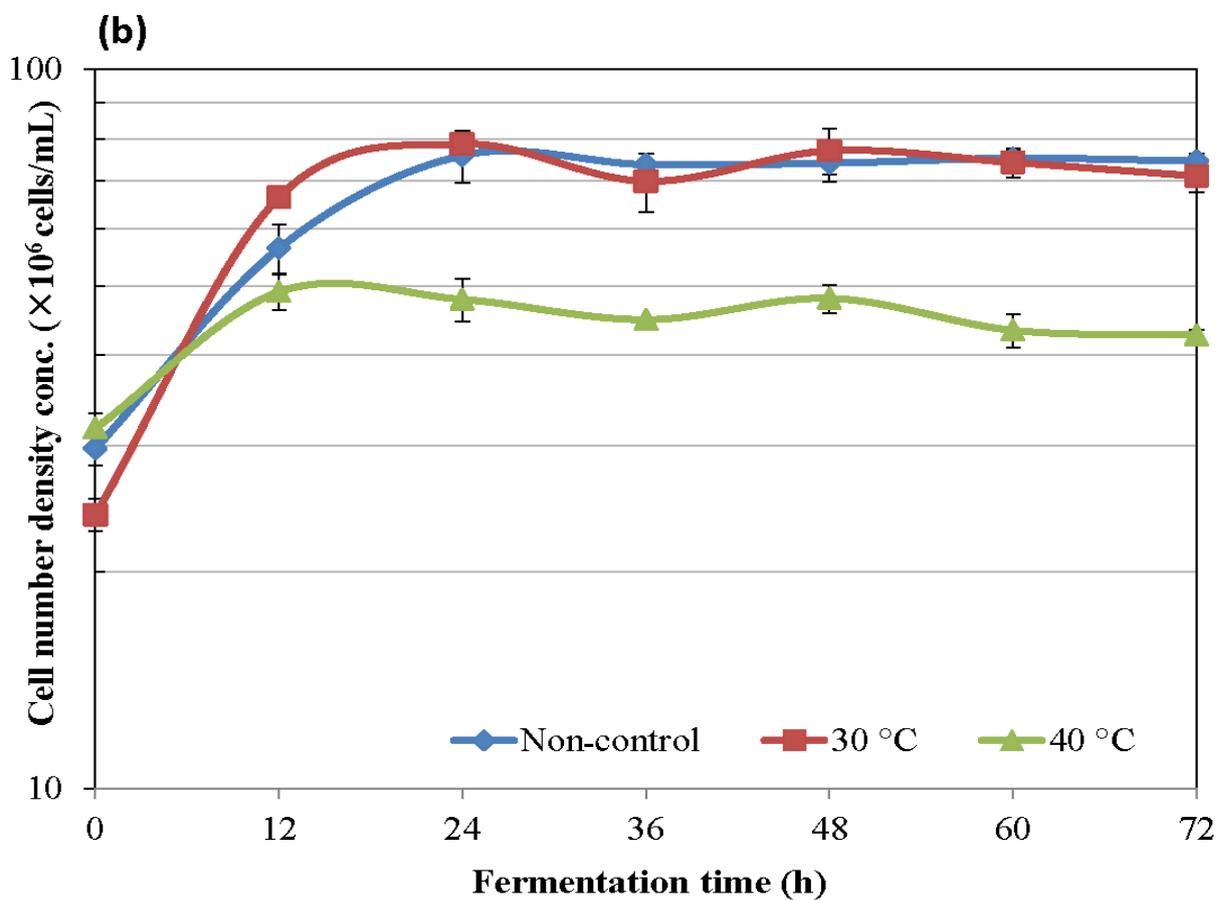


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Figure 1. Scanning electron micrographs: (a) pretreated raw cassava starch granule at a sub-gelatinization temperature of 60 °C with Distillase ASP for 1 h and (b) hydrolyzed raw cassava starch granule by StargenTM002 for 48 h.

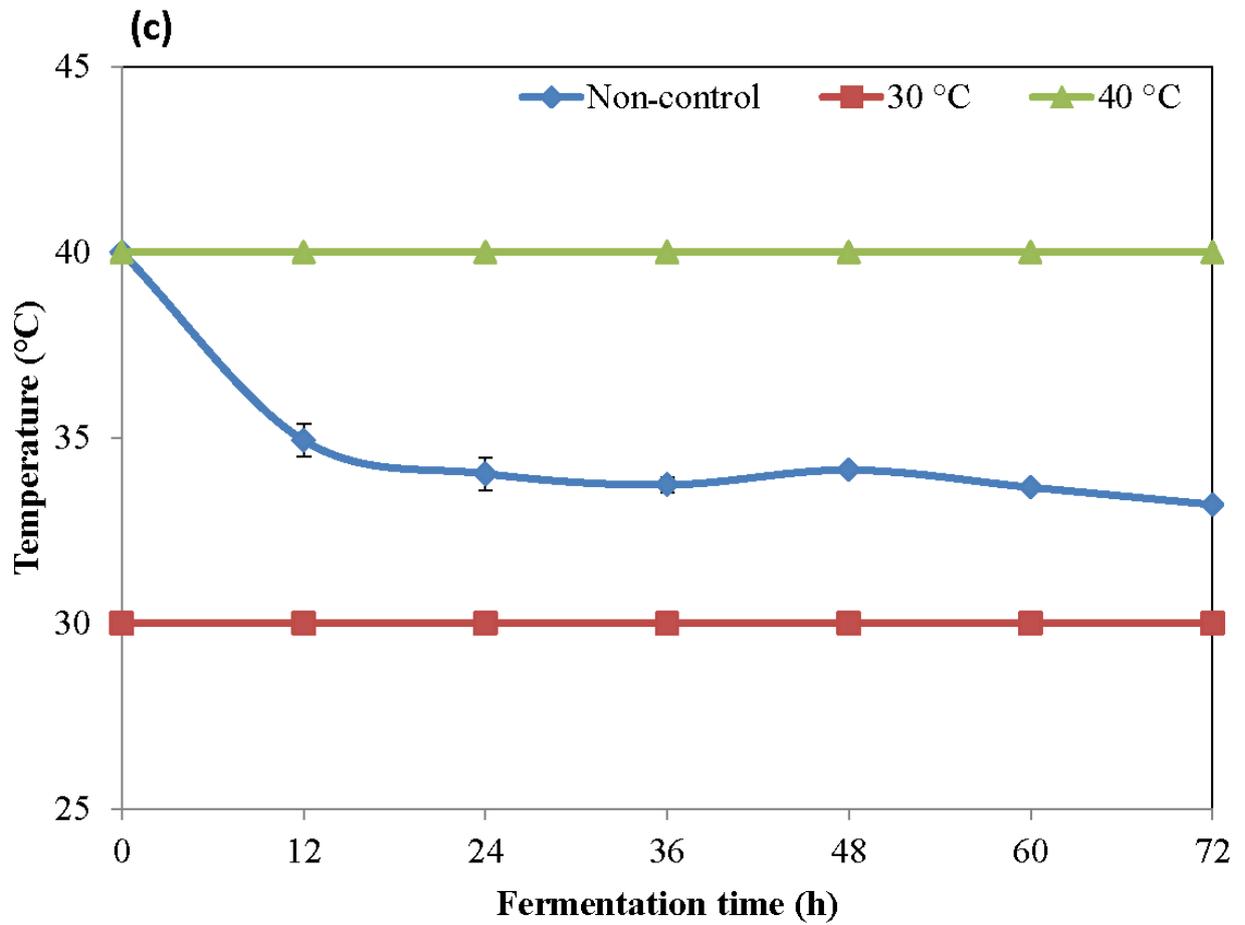


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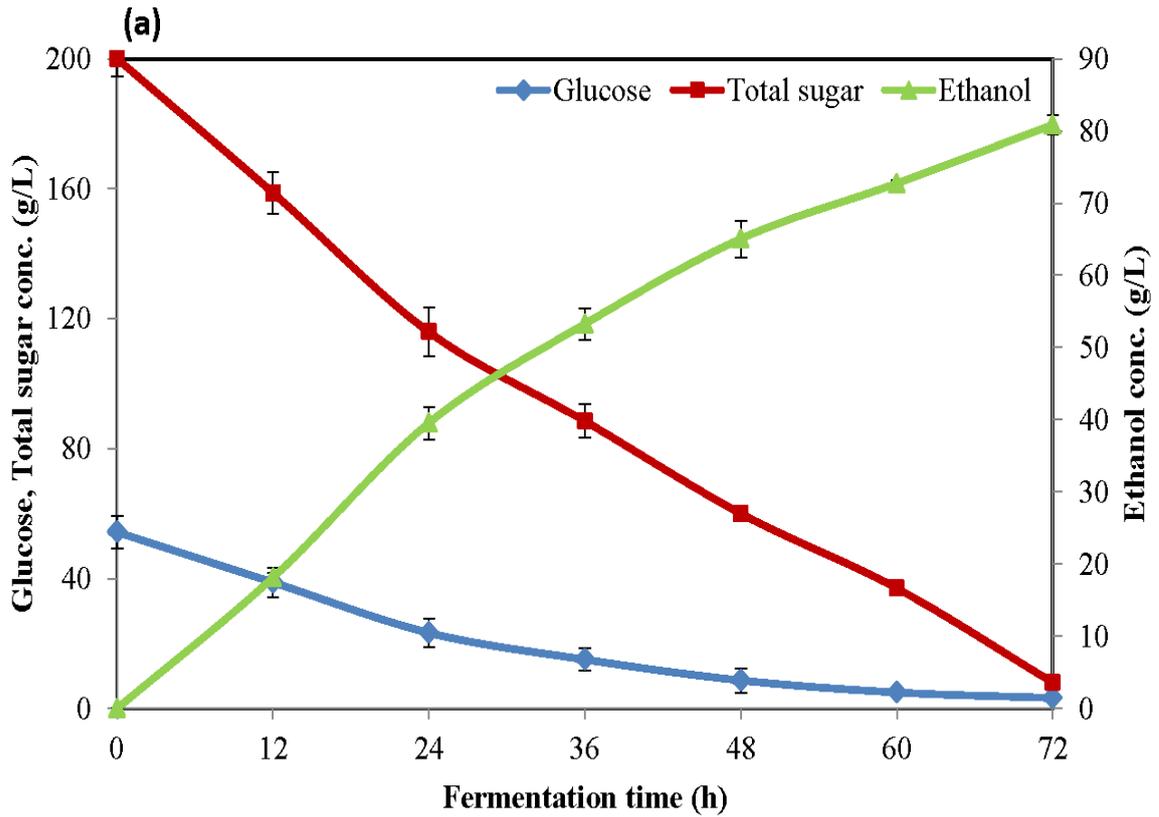
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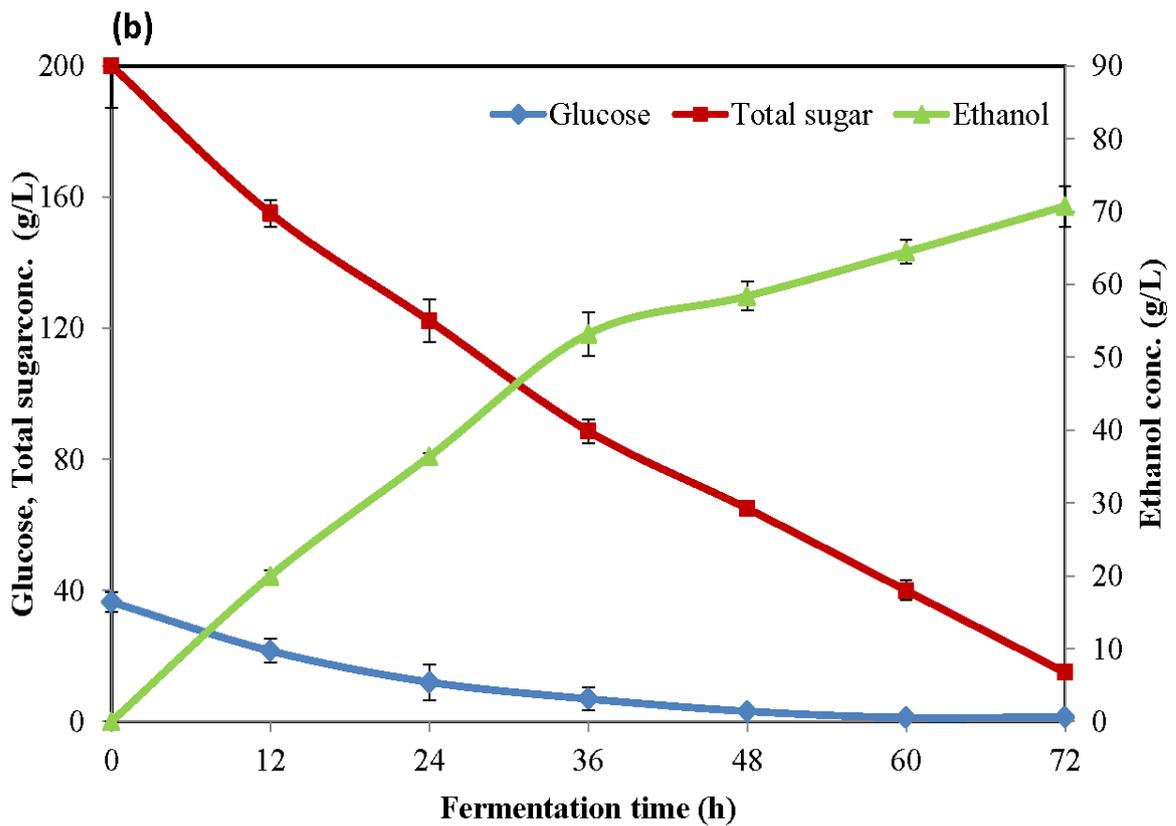
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Figure 2. (a) Ethanol production and sugar utilization; (b) cell number density concentration of *S. cerevisiae*; (c) temperature profile, during single-step ethanol fermentations at 30, 40 °C, and a non-control treatment in 5-L fermenters.



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804

805 **Figure 3.** Ethanol productions and substrate utilizations by single-step ethanol fermentations: (a) 200-L

806 pilot-scale fermenter and (b) 3,000-L industrial fermenter.

807

808 **Table 1.** Release of glucose, productivity, and degree of conversion of raw cassava starch to glucose
 809 from raw cassava starch hydrolyses by StargenTM002 at various enzyme dosages, temperatures, and
 810 initial pH values.
 811

Main factors	Glucose concentration (g/L)	Productivity, r_p (g/L/h)	Degree of conversion to glucose (% w/w)
StargenTM002 (% v/w)			
0.1	37.45 ± 5.98 ^c	0.99 ± 0.27 ^c	17.02 ± 2.72 ^c
0.2	56.56 ± 1.36 ^b	1.18 ± 0.03 ^b	25.71 ± 0.62 ^b
0.3	73.78 ± 4.18 ^a	1.54 ± 0.09 ^a	33.54 ± 1.90 ^a
0.4	78.70 ± 1.41 ^a	1.64 ± 0.03 ^a	35.77 ± 0.64 ^a
Temperature (°C)			
30	30.07 ± 0.39 ^c	0.63 ± 0.01 ^c	13.67 ± 0.18 ^c
35	59.50 ± 5.31 ^b	1.24 ± 0.11 ^b	27.05 ± 2.41 ^b
40	133.27 ± 7.83 ^a	2.78 ± 0.16 ^a	60.58 ± 3.56 ^a
Initial pH			
3.0	114.39 ± 1.24 ^a	2.38 ± 0.03 ^a	52.00 ± 0.56 ^a
4.0	96.39 ± 1.03 ^b	2.01 ± 0.02 ^b	43.82 ± 0.47 ^b
5.0	56.26 ± 1.17 ^c	1.17 ± 0.02 ^c	25.57 ± 0.53 ^c
6.0	33.10 ± 1.14 ^d	0.69 ± 0.02 ^d	15.04 ± 0.52 ^d
7.0	16.59 ± 0.36 ^e	0.35 ± 0.01 ^e	7.70 ± 0.16 ^e

812 Statistic comparisons of those mean values within their own columns (among main factors) at p -values ≤ 0.05 show
 813 different characters, a, b, c, d, and e, which indicate statically significant differences.
 814
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816 **Table 2.** Release of glucose, productivity, and degree of conversion of starch to glucose from
 817 pretreatments of raw cassava starch and further hydrolyses by StargenTM002 at optimum
 818 conditions for 72 h
 819

Starch slurry pretreatment (1 h)			Hydrolysis by StargenTM002 (40 °C, 72 h)		
Temperature (°C)	Distillase ASP (% w/w)	Urea (% w/w)	Glucose concentration (g/L)	Productivity, r_p (g/L/h)	Degree of conversion to glucose (% w/w)
Non-pretreatment treatment			150.83 ± 1.58 ^d	2.09 ± 0.02 ^d	68.56 ± 0.72 ^d
60	-	-	159.90 ± 2.85 ^c	2.22 ± 0.04 ^c	72.68 ± 1.30 ^c
60	0.1	-	176.41 ± 1.52 ^a	2.45 ± 0.02 ^a	80.19 ± 0.69 ^a
60	0.2	-	173.81 ± 2.28 ^a	2.41 ± 0.07 ^a	79.00 ± 1.20 ^a
60	0.3	-	175.93 ± 1.99 ^a	2.44 ± 0.02 ^a	79.97 ± 1.81 ^a
60	0.1	1.0	167.81 ± 1.51 ^b	2.33 ± 0.02 ^b	76.28 ± 0.68 ^b
60	0.1	2.0	171.55 ± 8.09 ^b	2.38 ± 0.11 ^b	77.93 ± 3.68 ^b
60	0.1	3.0	166.47 ± 1.15 ^b	2.31 ± 0.02 ^b	75.67 ± 0.52 ^b

820 Statistic comparisons of those mean values within their own columns (among slurry pretreatments) at
 821 p -values ≤ 0.05 show different characters, a, b, c, and d, which indicate statically significant differences.
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826 **Table 3.** Comparison of ethanol concentrations and kinetic parameters of single-step ethanol
 827 productions using combination of raw cassava starch hydrolysis and fermentation at different scales
 828 of 5-L, 200-L, and 3,000-L fermentations by *S. cerevisiae* for 72 h
 829

Fermenters	Ethanol Conc. (g/L)	Ethanol % (v/v)	$Y_{p/s}$, Yield coefficient (g/g)	Productivity, r_p (g/L/h)	Substrate utilization rate, r_s (g/L/h)	Efficiency (%)
5 L	81.86 ± 1.88 ^a	10.37 ^a	0.43 ± 0.01 ^a	1.14 ± 0.03 ^a	2.67 ± 0.14 ^a	75.29 ± 1.32 ^a
200 L	80.90 ± 0.45 ^a	10.25 ^a	0.42 ± 0.00 ^a	1.12 ± 0.01 ^a	2.67 ± 0.12 ^a	74.40 ± 0.33 ^a
3,000 L	70.74 ± 0.56 ^b	8.97 ^b	0.38 ± 0.00 ^b	0.98 ± 0.01 ^b	2.57 ± 0.15 ^b	67.56 ± 0.39 ^b

830 Statistic comparisons of those mean values within their own columns (among fermentation scales) at
 831 p -values ≤0.05 show different characters, a, b, c, and d, which indicate statically significant differences.
 832

833

834 **Table 4.** Concentrations of by-products of glycerol, lactic acid, and acetic acid from single-step
 835 ethanol productions using combination of raw cassava starch hydrolysis and fermentation at
 836 different scales of 5-L, 200-L, and 3,000-L fermentations by *S. cerevisiae* for 72 h.

837

Fermentations	Glycerol (g/L)	Lactic acid (g/L)	Acetic acid (g/L)
5-L fermenter	11.39 ± 0.11	0.46 ± 0.00	0.00 ± 0.00
200-L fermenter	11.02 ± 0.03	0.51 ± 0.01	0.23 ± 0.01
3,000-L fermenter	9.39 ± 0.07	0.52 ± 0.01	0.59 ± 0.00

838 No statistic comparisons of those mean values within their own columns (among fermentation scales)
 839 because those values are very low and less than those of the values to inhibit yeast cell growth and
 840 affect ethanol yield.

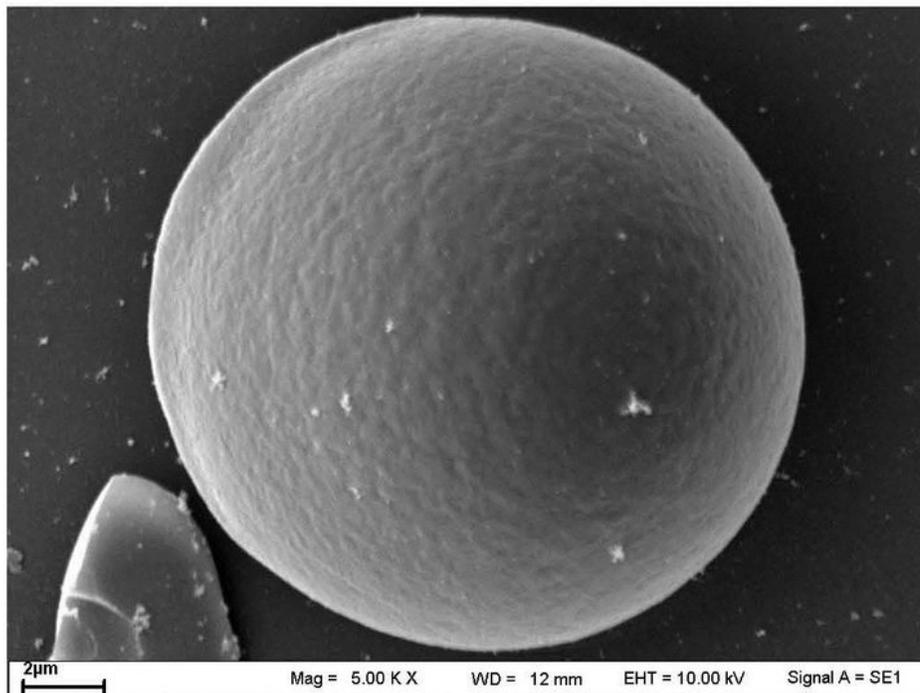
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Figures

(a)



(b)

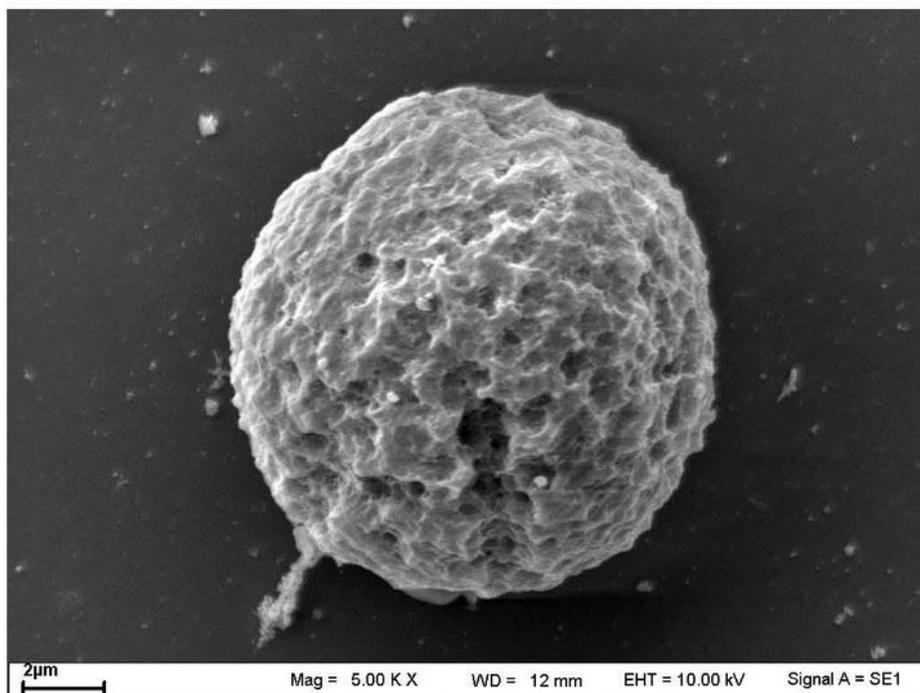


Figure 1

Scanning electron micrographs: (a) pretreated raw cassava starch granule at a sub-gelatinization temperature of 60 °C with Distillase ASP for 1 h and (b) hydrolyzed raw cassava starch granule by StargenTM002 for 48 h.

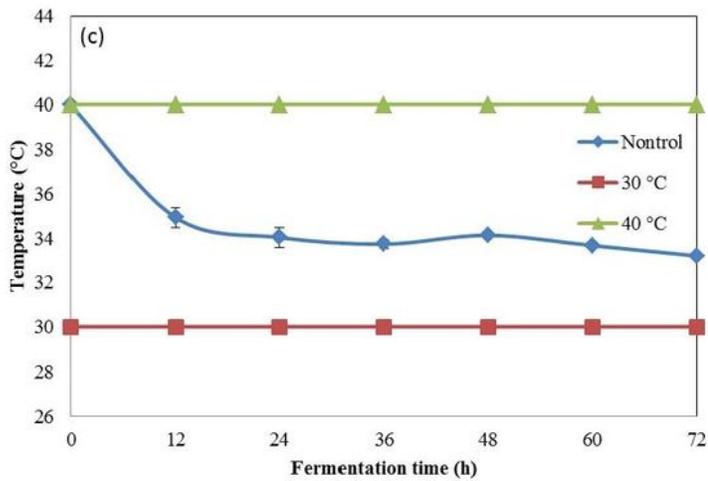
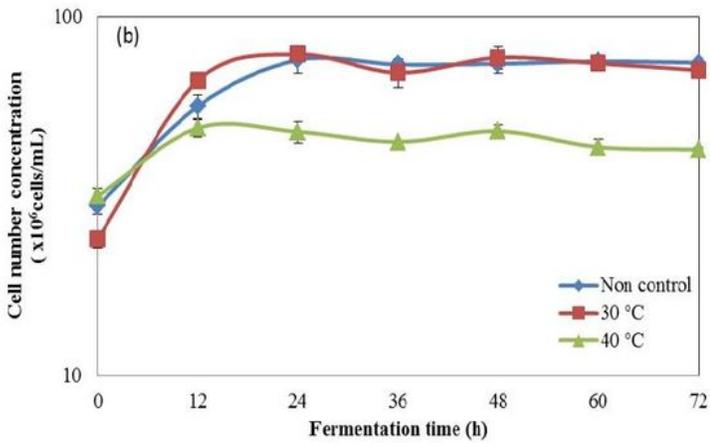
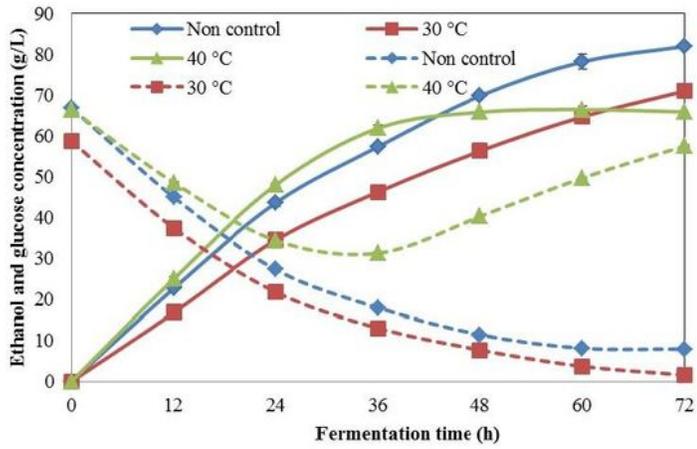


Figure 2

(a) Ethanol production and sugar utilization; (b) cell number density concentration of *S. cerevisiae*; (c) temperature profile, during single-step ethanol fermentations at 30, 40 °C, and a non-control treatment in 5-L fermenters.

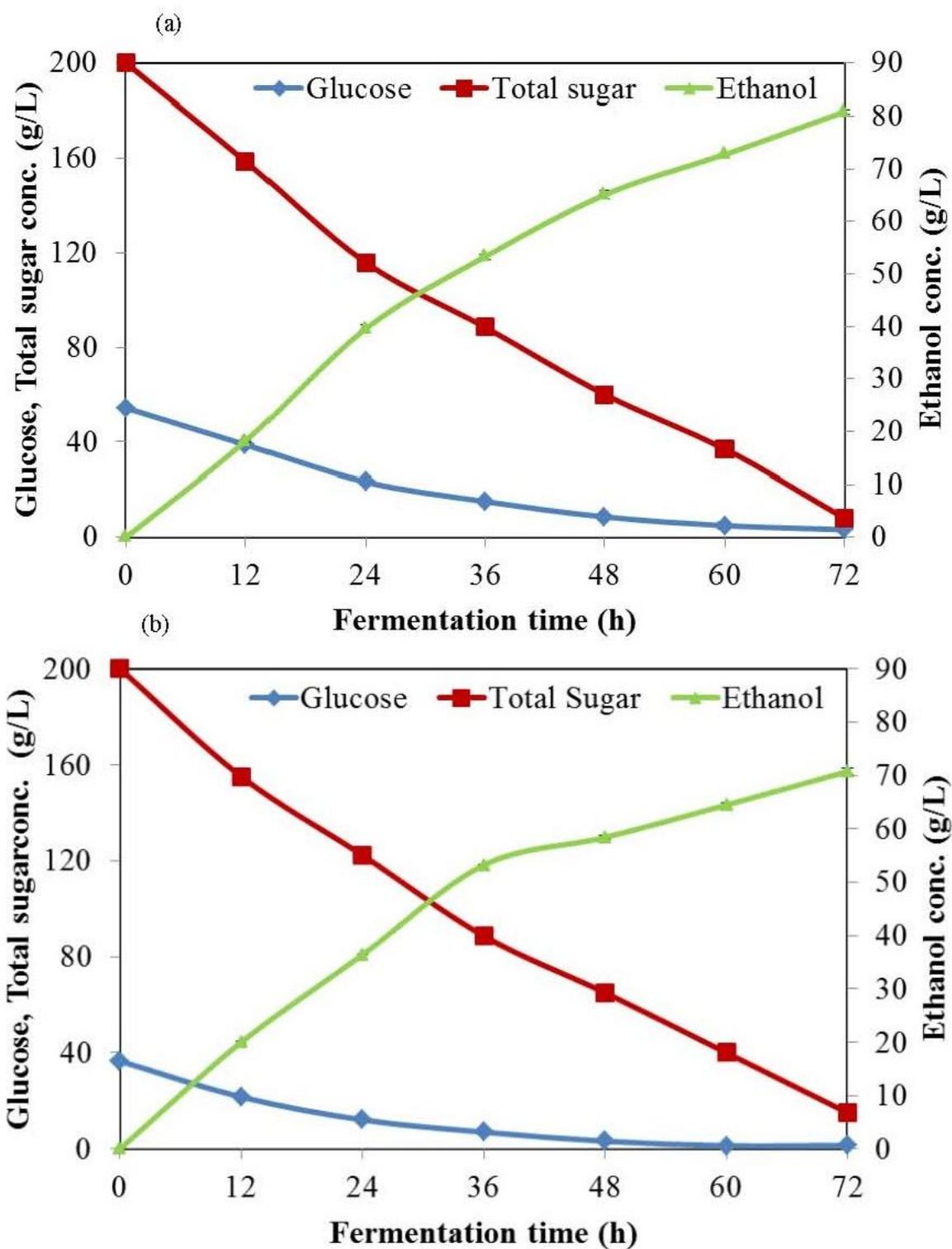


Figure 3

Ethanol productions and substrate utilizations by single-step ethanol fermentations: (a) 200-L pilot-scale fermenter and (b) 3,000-L industrial fermenter.

Supplementary Files

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