

An Innovative Approach to Determination of Double-Porosity Fractured Aquifers Hydraulic Parameters Using Artificial Neural Network

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An Innovative Approach to Determination of Double-Porosity Fractured Aquifers Hydraulic Parameters Using Artificial Neural Network

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Abstract

Accurate determination of hydraulic parameter values is the first step to the sustainable development of an aquifer. Since Theis (1935), type curve matching technique (TCMT) has been used to estimate the aquifer parameters from pumping test data. The TCMT is subjected to graphical error. To eliminate the error an Artificial Neural Network (ANN) is developed as an alternative to the conventional TCMT by modeling the Bourdet-Gringaten's well function for the determination of the fractured double porosity aquifer parameters. The neural network model is developed in a six-step protocol based on multi-layer perceptron (MLP) networks architecture and is trained for the well function of double porosity aquifers by the back propagation method and the Levenberg-Marquardt optimization algorithm. By applying the principal component analysis on the training input data and through a trial-and-error procedure the optimum structure of the network is fixed with the topology of [3×6×3]. The replicative, predictive and structural validity of the developed network are evaluated with synthetic and real field data. The developed network

25 provides an automatic and fast procedure for the double porosity aquifer parameter determination
26 that eliminates graphical errors inherent in the conventional TCMT. The network receives
27 pumping test data and provides the user with the aquifer parameter values.

28 **Keywords:** Aquifer parameters; Double porosity; Well function; Artificial neural networks;
29 Principal component analysis (PCA).

30

31 **1 Introduction**

32 The aquifer parameters obtained by the type-curve graphical method are rather subjective due to
33 graphical and personal errors and the accurate results are usually not obtained. In spite of a
34 multitude of papers published on the ANN application in water resources, published works on
35 aquifer parameters estimation are very limited. In recent years, some suitable approaches based on
36 artificial neural networks (ANNs) have been developed as an alternative approach to model the
37 well functions and remove the errors resulted from graphical type curve matching techniques. Lin
38 and Chen (2005) proposed an ANN approach to estimate aquifer parameters of leaky confined
39 aquifers based on a combination of a Radial Basis Function Network (RBFN) and Hantush and
40 Jacob (1995) analytical solution. Lin and Chen (2006) also suggested the combination of an ANN
41 and the Theis (1935) analytical solution in confined aquifers. The problem with Lin and Chen
42 networks is that as the number of time-drawdown data increases, the dimensionality of networks
43 becomes larger and they also have to be trained and tested for each individual set of pumping test
44 data (Samani et al. 2007). Accordingly, Samani et al. (2007) proposed simple ANN by replacing
45 the gradient descent algorithm with the faster Levenberg-Marquardt (LM) training algorithm and
46 applying Principal Component Analysis (PCA) on the training data set to estimate confined aquifer
47 parameters without the aforementioned limitations. Later Lin et al. (2010) applied the PCA on the

48 training and testing data patterns in the development of an ANN for the parameter estimation of
49 anisotropic aquifers. Later Azari et al. (2015) proposed two ANN models for the determination of
50 leaky confined aquifer with and without storage in the aquitard. Azari and Samani (2018)
51 developed an ANN for modeling the Neuman's well function to estimate the parameters of
52 unconfined aquifers. Cahyadi et al. (2021) developed an artificial neural network back propagation
53 (ANNBP) model based on the rock quality designation, lithology permeability index, depth index,
54 and gouge content designation parameters in order to prediction the distribution of K value
55 isotopically for groundwater modeling. Recently, Tabari et al. (2021) proposed a SCMTA model
56 for the determination of confined aquifers parameters as an alternative to the type-curve graphical
57 method and the existing ANN approaches.

58 As mentioned, existing researches have focused on estimating the effective parameters of aquifers
59 with homogeneous conditions by ANN approaches; however, aquifers are usually heterogeneous
60 and anisotropic in real situations. The double-porosity fractured aquifers are an example of
61 aquifers with heterogeneous conditions. To the best of our knowledge, no artificial intelligence
62 (AI) model has been used to determine the effective parameters of double-porosity fractured
63 aquifers with heterogeneous conditions.

64 Hydrogeological parameters such as transmissibility and storage coefficient are not clear-cut and
65 most of the time they are associated with uncertainties. Among different AI techniques, in this
66 study, a simple ANN model has been proposed to investigate the feasibility of acquiring
67 hydrological parameters for double-porosity fractured aquifers with heterogeneous conditions.

68 The ANN receives the pumping test data as inputs and derives the optimal match points as outputs
69 to determine the double-porosity fractured aquifer parameters with heterogeneous conditions.
70 Synthetic data and in situ field data have been adopted to test the applicability and reliability of

71 the proposed methodology. Finally, the performance of the proposed model in generating the
72 match-point coordinates is compared with TCMT.

73 Therefore, the objective of this study is to develop an alternative approach and model the Bourdet-
74 Gringarten's well function (Eq. (5)) for estimation of the double-porosity fractured aquifers
75 parameters and remove the errors resulted from graphical type curve matching techniques. The
76 developed model is recommended as an efficient and accurate tool to the type-curve graphical
77 method for the determination of parameter values of double porosity aquifers.

78

79 **2 Double porosity fractured aquifer well function**

80 Based on the double porosity concept, initially introduced by Barenblatt et al. (1960), Bourdet and
81 Gringarten (1980) developed an analytical model for the determination of hydraulic properties of
82 fractured aquifers. The double porosity concept assumes the existence of two porous regions of
83 distinctly different properties within the aquifer. The fractures with a high conductivity and low
84 storage capacity which transmit water to the well and the matrix blocks region with a low
85 conductivity and high storage capacity which feed water only to the fractures. The concept of the
86 double porosity flow was also used by Warren and Root (1963), Kazemi et al. (1969), Boulton and
87 Streltsova (1977), Moench (1984), and Sen (1988). In the Bourdet-Gringarten model the
88 homogeneous and isotropic matrix blocks are separated from each other either by an orthogonal
89 system of continuous uniform fractures or by equally spaced horizontal fractures. The pressure
90 difference between fractures and matrix blocks which causes the flow of water from the blocks
91 into the fractures, known as inter-porosity flow, is under a pseudo-steady state condition. The flow
92 through the fractures to the well is radial and in an unsteady state condition. When a pumping well
93 fully penetrates a fracture and is pumped at a constant rate in a fractured confined aquifer,

94 dewatering occurs and creates a cone of depression in the piezometric surface (Fig. 1). Bourdet
 95 and Gringarten (1980) state that in a fractured aquifer of the double porosity type the drawdown
 96 response to pumping as observed in observation wells can be expressed as:

$$97 \quad s = \frac{Q}{4\pi T_f} F(u^*, \lambda, \omega) \quad (1)$$

$$98 \quad u^* = \frac{T_f t}{(S_f + \beta S_m) r^2} \quad (2)$$

$$99 \quad \lambda = \alpha r^2 \frac{K_m}{K_f} \quad (3)$$

$$100 \quad \omega = \frac{S_f}{S_f + \beta S_m} \quad (4)$$

$$101 \quad F(u^*, \lambda, \omega) = 2.3 \log(2.25u^*) + \text{Ei}\left(-\frac{\lambda u^*}{\omega(1-\omega)}\right) - \text{Ei}\left(-\frac{\lambda u^*}{(1-\omega)}\right) \quad (5)$$

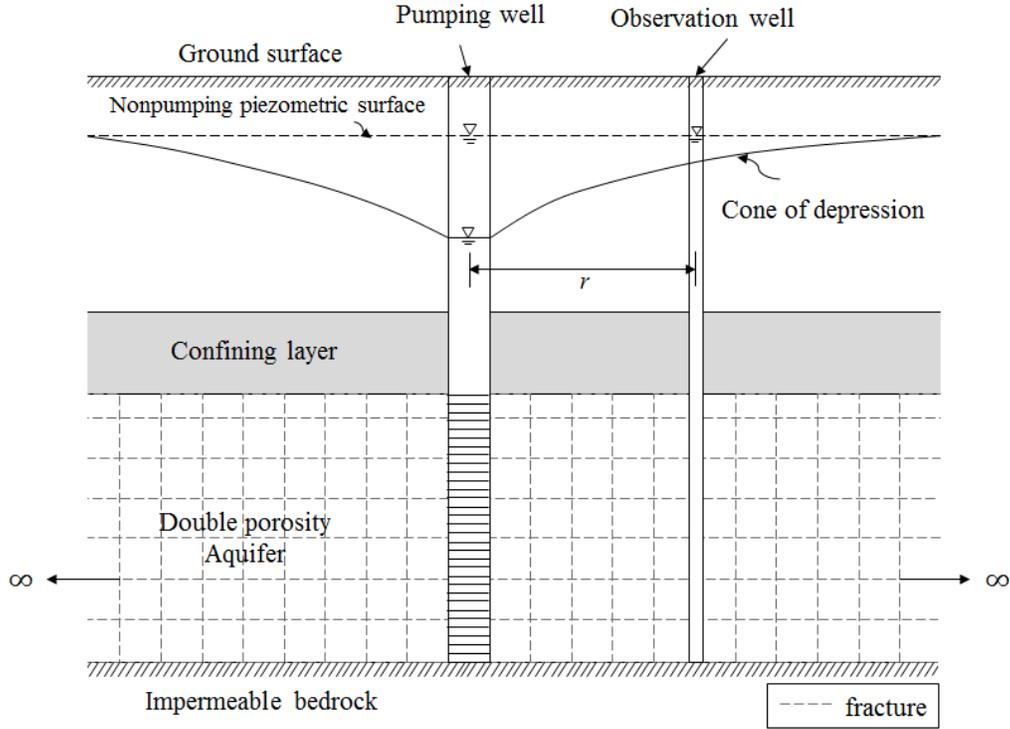
$$102 \quad \text{Ei}(-x) = -\int_0^x \frac{e^{-u}}{u} du \quad (6)$$

$$103 \quad \alpha = 4n(n+2)/l^2 \quad (7)$$

104 where, s [L] is the drawdown measured at time t [T] in an observation well located at the distance
 105 r [L] from the pumping well, Q is constant discharge rate of pumped well [L^3T^{-1}], T [L^2T^{-1}] is
 106 transmissibility, S is storativity, K [LT^{-1}] is hydraulic conductivity, λ is the inter-porosity flow
 107 coefficient, ω is the ratio of the storativity of the fissure system to that of the total reservoir
 108 (fissures + matrix blocks), u is an argument known as dimensionless time, α is shape factor
 109 (parameter characteristic of the geometry of the fractures and matrix blocks) and β is a factor, for
 110 early-time analysis it equals zero and for late-time analysis it equals 1/3 (orthogonal system) or 1
 111 (strata type), respectively.

112 Subscripts f and m refer to the fissures and matrix blocks, respectively.

113 Also, n is the number of a normal set of fractures (1, 2 or 3) and l is a characteristic dimension of
 114 a matrix block.



115

116 **Fig. 1** Schematic representation of a fractured double porosity aquifer with a fully penetrating
 117 pumping well

118 For small values of pumping time, Eq. (1) reduces to the Theis equation

119
$$s = \frac{Q}{4\pi T_f} W(u) \tag{8}$$

120 where,

121
$$u = \frac{(S_f + \beta S_m)r^2}{4 T_f t} \tag{9}$$

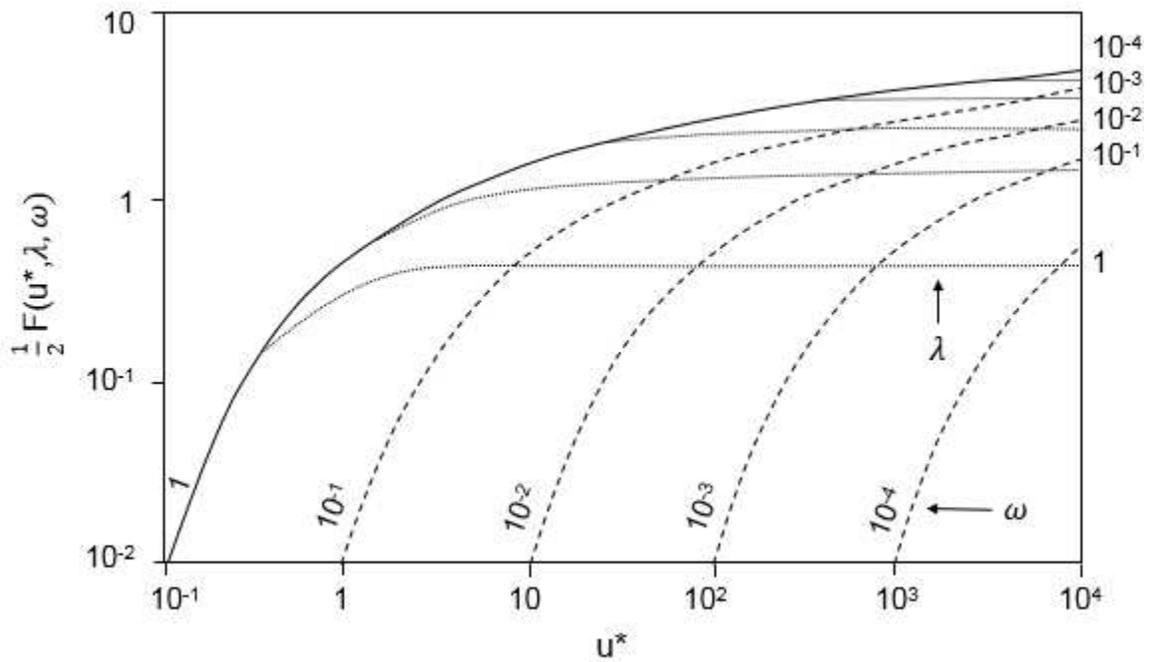
122 Equation (8) explains only the drawdown behavior in the fracture system (β equals zero). For the
 123 late time-drawdown data, Eq. (1) also reduces to the Theis equation, which now describes the
 124 drawdown behavior in the combined fracture and matrix block system (β equals 1/3 or 1).

125 According to the pseudo-steady state inter-porosity flow concept, when the matrix blocks start
 126 contributing flow to the fracture flow, the drawdown at intermediate pumping times is only a
 127 function of λ and is independent of early and late-time drawdown behaviors.

128 $s = \frac{q}{2\pi T_f} K_0(\sqrt{\lambda})$ (10)

129 where, $K_0(X)$ is the modified Bessel function of the second kind and of zero order.

130 Bourdet and Gringarten (1980) plotted $F(u^*, \lambda, \omega)$ versus values of u^* for different values of λ
 131 and ω and constructed a family of type curves each labeled with separate λ and ω values as
 132 represented in Fig. 2. Numerous combinations of λ and ω values generate different type curves.



133
 134 **Fig. 2** Family of Bourdet-Gringarten's type curves (1980), $F(u^*, \lambda, \omega)$ versus values of u^* for
 135 different values of λ and ω

136
 137 To determine the double porosity confined aquifer parameter values (T_f , S_f , S_m , λ , and ω), time-
 138 drawdown data recorded in a pumping test is plotted on logarithmic paper of the same scale as for
 139 the type curves (Fig. 2). The time-drawdown field data curve is superimposed on the type curves,
 140 keeping the coordinate axes of the two plots parallel and adjusted until most of the plotted points
 141 of the observed early time-drawdown data match on one of the type curves with a λ .

142 A match point is selected and its coordinates on both plots are recorded $[(\frac{1}{u})_m, W(u)_m, s_m, t_m]$.
143 With values of the match point coordinates thus determined, aquifer parameters (T_f and S_f) are
144 obtained from Eqs. (8) and (9), ($\beta = 0$). If the plotted data exhibit a horizontal straight-line
145 segment or only an inflection point, the value of the stabilized drawdown or that of the drawdown
146 at the inflection point is substituted into Eq. (10) and λ is calculated.
147 The late time-drawdown data are then superposed on the type curves, keeping the coordinate axes
148 of the two plots parallel and adjusted until most of the plotted points of the observed late time-
149 drawdown data match on one of the type curves with an ω . From the second match point, $[(\frac{1}{u})_m,$
150 $W(u)_m, s_m, t_m]$ and by using Eq. (8) and (9), values of $T_f, S_f, S_m,$ and ω can be determined.
151 The process of curve matching is rather subjective and involves graphical error. In the next section
152 an ANN model is developed as an alternative to curve matching for the determination of double
153 porosity fractured aquifer parameters.

154

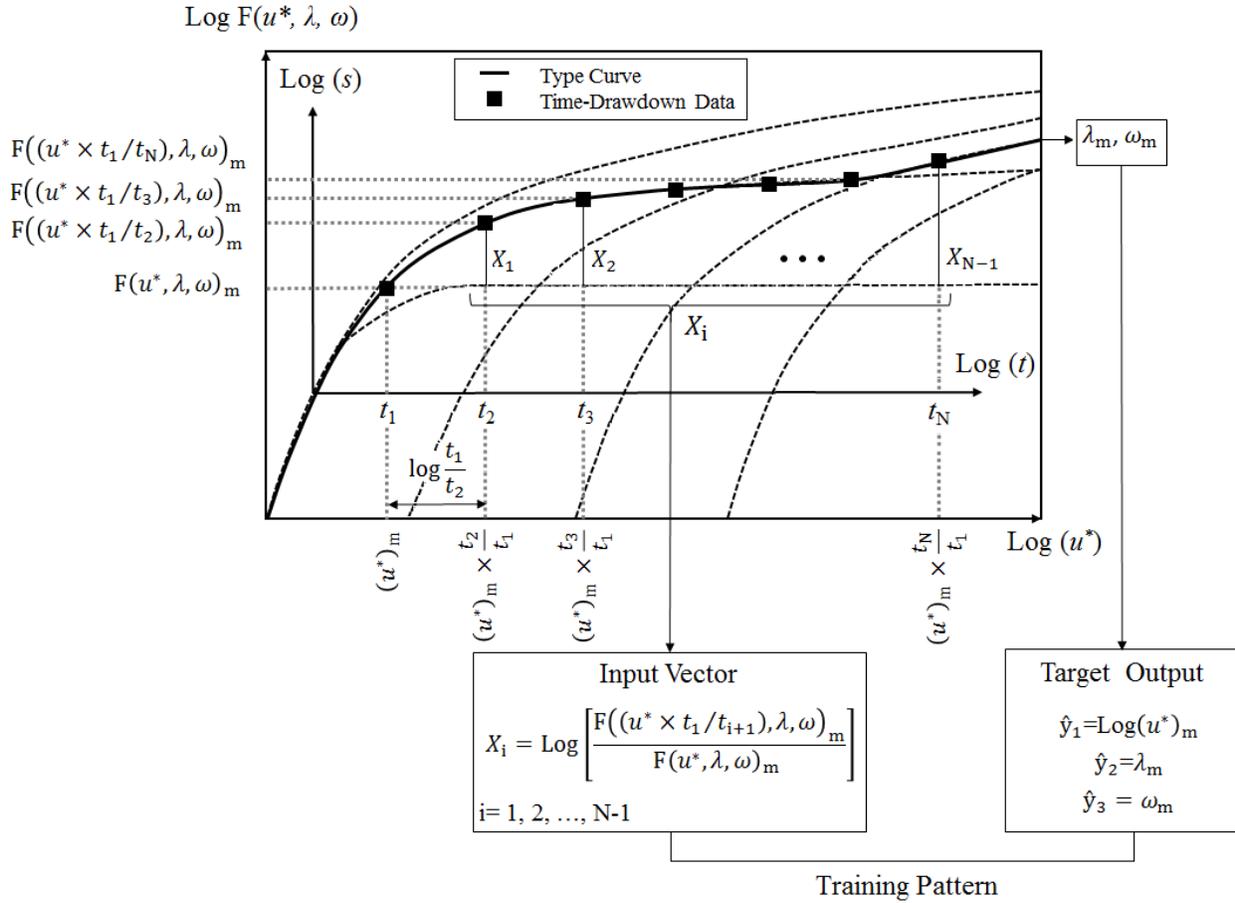
155 **3 Materials and methods**

156 In the following sections we demonstrate the methodology of the network development in a clear
157 and systematic procedure. The methodology consists of six steps and in each step the rationale on
158 the choice of procedures and their advantages are discussed and documented.

159 ***Step I: Generation and Selection of Input Data Patterns***

160 To generate (to select) the training data patterns for the network design, first a logical range of
161 $\text{Log}(u^*)_m$ (from -1 to 4), λ_m (from 10^{-9} to 1) and of ω_m (from 10^{-4} to 1) parameters are selected
162 as the ANN output targets that represent the aquifer behavior according to the type curve presented
163 by Bourdet-Gringarten (1980) and as inferred from Fig. (2). Using interval values of 0.01, 0.05
164 and 0.05 for $\text{Log}(u^*)_m, \lambda_m$ and ω_m , respectively; 200000 sets of training input pattern each

165 constitutes $N-1$ elements (N is the number of drawdown-time records) were generated as illustrated
 166 in Fig. 3.



167
 168 **Fig. 3** Graphical presentation of input vectors and target outputs generation for the training of the
 169 ANN

170 Therefore, the size of the input data matrix is $[(N-1) \times 200000]$. Then for all these sets of
 171 $[\text{Log } (u^*)_m, \lambda_m, \omega_m]$, well function $F(u^*, \lambda, \omega)$ is calculated by Eq. (5) and then the training input
 172 patterns X_i are generated by the following equation as illustrated in Fig. 3:

173
$$X_i = \log \left[\frac{F((u^* \times t_1/t_{i+1}), \lambda, \omega)_m}{F(u^*, \lambda, \omega)_m} \right] \quad (11)$$

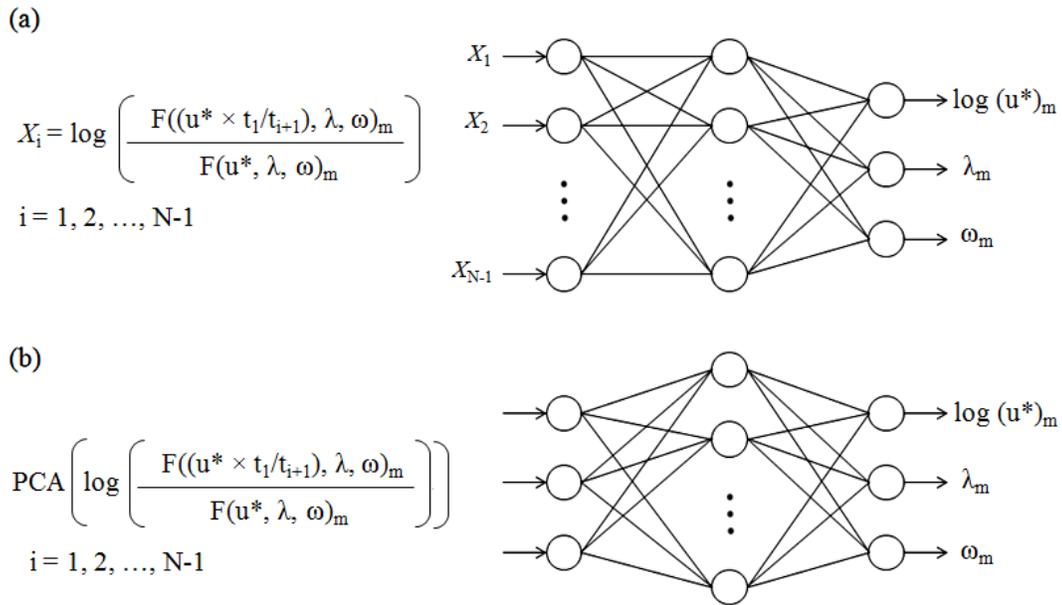
174 Subscript m denotes the match point, $i=1, 2, \dots, N-1$ and N is the number of time-drawdown
 175 records. Before using the generated training data patterns as input vector to the ANN, their

176 significance and the independence needs to be examined (Maier 2010). Data independency may
177 be conducted by dimensionality reduction and filtering. Dimensionality reduction can be achieved
178 by using PCA (Samani et al. 2007; Wu et al. 2014). Therefore, the training data patterns are
179 normalized and subjected to PCA to reduce their dimensionality and eliminate redundant data.
180 PCA transforms the data to a new coordinate system such that the greatest variance by any
181 projection of the data comes to lie on the first coordinate (called the first PC), and the second PC
182 explains the maximum variances of the residual data set and so on. When the analysis is complete,
183 the resulting components will display varying degrees of correlation with the observed variables,
184 but are completely uncorrelated with one another. One of the parameters in PCA method is
185 minimum fraction variance. By specifying a minimum fraction variance one can eliminate those
186 principal components that contribute less than this value to the total variation in the data set and
187 hence the dimensionality of the data set is reduced with no information lost (Cattell 1966).
188 Samani et al. (2007), Lin et al. (2010), Azari et al. (2015), Azari and Samani (2018) and later
189 Tabari et al. (2021) applied PCA successfully to reduce the dimension of the input vector and
190 develop a network with fixed structure for accurate determination of isotropic and anisotropic
191 confined aquifer parameters, respectively.

192 ***Step II: Selection of the Network Architecture***

193 A typical ANN consists of an input layer, a number of hidden layers and an output layer each
194 having a number of processing neurons (nodes). The number of neurons in input and output layers
195 is determined by the number of input and output variables, respectively. The number of hidden
196 layers and their neurons are determined in the process of model training (calibration) usually by a
197 trial-and-error procedure. The pattern of connection between nodes, the method of determining the
198 connection weights, and the activation function characterizes the architectures of the network

199 (Fausett 1994; ASCE 2000a, 2000b). ANNs are also categorized based on the direction of
 200 information flow and processing (Maier et al. 2010). For instance, in a feed-forward neural
 201 network, also known as multi-layer perceptrons (MLP) information passes from the input nodes
 202 to the output nodes only (Hornik 1989; Tabari and Zarif Sanayei 2019). This is in contrast to a
 203 recurrent ANN in which information flows through the nodes in both directions, from the input to
 204 the output nodes and vice versa (Malekpour and Tabari 2020). Feed forward neural networks are
 205 the most commonly used ANN architecture (ASCE 2000a; Maier et al 2010; Wu et al. 2014). A
 206 single-hidden-layer feed forward neural network is sufficient to approximate any continuous
 207 mapping from the input patterns to the output mainly because they are less susceptible into poor
 208 local minima (Razavi and Tolson 2011). Fig. 4 illustrates the architecture of our single-hidden-
 209 layer network before and after conducting PCA on the input data sets. The optimum number of
 210 neurons in the hidden-layer is determined in the process of network training (calibration) in the
 211 next sections where the optimum structure of the network is also determined.



212
 213 **Fig. 4** Structure of the single-hidden-layer network before (a) and after (b) conducting PCA on
 214 the input training data sets

215 ***Step III: Network Training (Calibration)***

216 Among various methods for neural network training or calibration, the classic back-propagation
217 (BP) method developed by Rumelhart et al. (1986) among others is the most popular for it can
218 learn the mapping of any linear and nonlinear relationship between the inputs and outputs (Maier
219 and Dandy 1999, 2000; ASCE 2000a) by finding the optimum set of weights with the use of an
220 optimization algorithm.

221 In the BP method, the inputs are delivered to the hidden-layer after being multiplied by synaptic
222 weights. The output of the hidden-layer (h_l) can be obtained based on the following equations:

223
$$Z_l = b_l + \sum_{i=1}^n X_i w_{il} \tag{12}$$

224
$$h_l = f(Z_l) \tag{13}$$

225 where, X_i is the input to the processing nodes (determined in Eq. (11) and subjected to PCA); b_l is
226 the bias representing the threshold value associated with node l ; w_{il} is the connection weight from
227 the i^{th} node in the preceding layer to node l for imitating the biological synapse strength; n is the
228 total number of inputs applied to nodes i in the input layer and f is the activation function for
229 converting the weighted summation input to the output.

230 Different implementations of the conjugate gradient approach and various quasi-Newton
231 implementations have been incorporated into the BP algorithm to enhance the convergence speed
232 of this algorithm. While the global optimization algorithms such as genetic algorithm are less prone
233 to fall in local optima they are more computationally expensive and often have more parameters
234 that need to be determined (Haykin 1999; Wu et al. 2014).

235 In this paper, the network training and the weights adjustment are implemented by the
236 deterministic Levenberg–Marquardt (LM) optimization method which is probably the most
237 efficient optimization method for small and medium sized neural networks (Razavi and Tolson

238 2011). Many researchers have successfully used this methodology to hydrology problems (Samani
 239 1990; Maier and Dandy 1999, 2000; Coulibaly et al. 2001; Daliakopoulos et al. 2005; Samani et
 240 al. 2007; Soltani and Tabari 2012; Azari et al. 2015; Azari and Samani 2018). In the LM algorithm,
 241 the updated function of the weights $w(k + 1)$ is estimated using:

$$242 \quad w(k + 1) = w(k) - [J^T \times J + \mu I]^{-1} \times J^T \times e \quad (14)$$

243 where, J is the Jacobin matrix of the performance (error) criteria to be minimized, μ is the learning
 244 rate, k is the iteration during the optimization process, e is the vector of the residual value and I is
 245 the identity unit matrix. After, the weights and biases are adjusted for all the interconnection
 246 neurons in different layers and the convergence criterion is reached (e.g. 10^{-6}), network training is
 247 complete.

248 ***Step IV: Determination of Network Optimum Structure***

249 To make sure that the network structure designed in the calibration section is the optimum structure
 250 of the network (i.e., the optimization algorithms have not fallen in a local optimum point), a
 251 sensitivity analysis is conducted to determine the optimum number of hidden layers and nodes.
 252 This will put further confidence on generalization of the trained network (structural validity, Wu
 253 et al.2014) in predicting the match point coordinates (network target). In the sensitivity analysis
 254 error measures (the difference between the expected target and the simulated target) such as
 255 absolute errors, squared errors, relative errors, product differences and information criteria may be
 256 used (Maier et al. 2010). In this paper the following two efficiency criteria are used:

- 257 • The relative root mean square error (RRMSE) of the calculated target:

$$258 \quad \text{RRMSE} = 100 \times \sqrt{\frac{1}{n} \sum_{j=1}^n \left(\frac{\hat{y}_j - y_j}{y_j} \right)^2} \quad (15)$$

259 where, \hat{y}_j is the simulated target calculated by the network, y_j is the actual target, and n is the
 260 number of data patterns. The accuracy of prediction increases as the value of RRMSE decreases.
 261 RRSME=0 indicates 100% precision.

262 • The determination coefficient, R^2 :

$$263 \quad R^2 = 1 - \frac{\sum(y_j - \hat{y}_j)^2}{\sum y_j^2 - \frac{\sum \hat{y}_j}{n}} \quad (16)$$

264 where, R^2 is a key output of regression analysis that indicates how well the network output fit the
 265 actual output. $R^2=1$ indicates 100% fit between network output values and expected target values.
 266 The advantage of RRMSE and R^2 that are used in this work is that their values vary between 0 to
 267 100% and 0 to 1, respectively and in general sense they are more understandable and are
 268 unambiguous measure of average error magnitude.

269 ***Step V: Testing the Developed Network***

270 Having developed the network with the optimum topology the test patterns are used to assess its
 271 performance in determining the match point coordinates and hence the aquifer parameters. The
 272 best result of network performance is obtained when the trained network produces the smallest
 273 prediction error on the test data sets which are different from the training sets.

274 The performance of the trained network was assessed by 100000 sets of synthetic error-free
 275 drawdown data. The synthetic data are generated by Bourdet-Gringarten's analytical solution (Eq.
 276 (1) to (5)) for the double porosity aquifer from combinations of idealized T_f , S_f , S_m , λ and ω
 277 values ranging from 10^2 to 10^6 m²/day, 10^{-6} to 10^{-3} , 10^{-3} to 10^{-1} , 10^{-9} to 1.0 and 10^{-4} to 1.0,
 278 respectively by selecting a number of time steps as on Fig. 3. These synthetic error-free data (they
 279 are error-free because Eq. (1) to (5) are exact analytical solution of double porosity aquifers
 280 response to pumping) were converted to drawdown ratios using Eq. (17) which forms the input
 281 testing vectors (X_j) to the developed ANN.

282 $X_i = \log(s_{i+1}) - \log(s_1) = \log\left(\frac{s_{i+1}}{s_1}\right)$ (17)

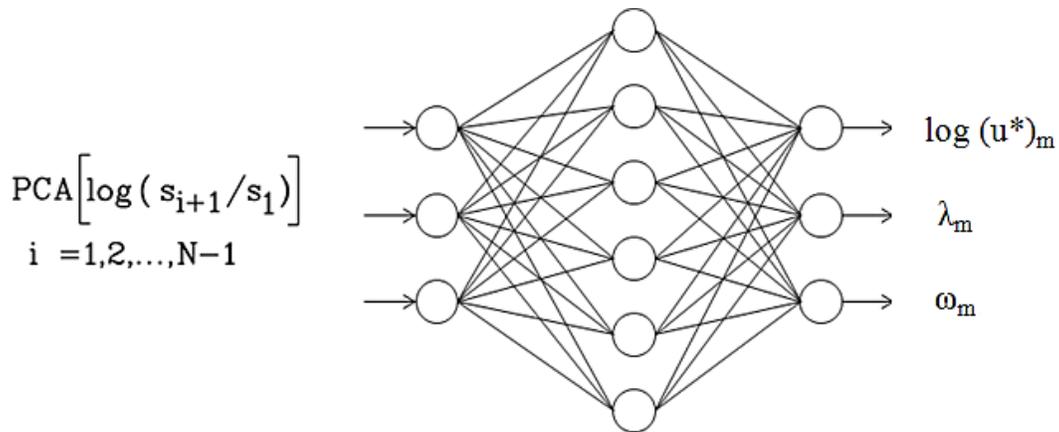
283 where, s_i is the drawdown recorded at time t_i .

284 Applying the PCA to the input vectors (X_i), the reduced drawdown ratios $\text{PCA}\left[\log\left(\frac{s_{i+1}}{s_1}\right)\right]$ are

285 generated. Figure 5 illustrates the structure of the developed network at the testing stage. The

286 developed network receives the reduced drawdown ratios (drawdown ratios subjected to PCA) and

287 calculates the $\text{Log}(u^*)_m$, λ_m , and ω_m which are converted to T_f , S_f , and S_m by Eq. (1) to (5).



288

289 **Fig. 5** Structure of the developed ANN in the testing stage

290

291 ***Step VI: Validation of the developed Network***

292 The main goal of model validation is establishing greater confidence in the model in

293 producing/predicting system actual response. In other words, the model should be physically

294 plausible as well as being predictive. Therefore, in this step, two sets of real pumping test (time-

295 drawdown) data were used to evaluate the applicability and reliability of the developed ANN. The

296 first set is adapted from Moench (1984) and the second set from McConnell (1993):

297 *a) First Pumping Test*

298 This pumping test was carried out in the fractured Tertiary volcanic rocks of the Nevada Test Site,
299 U.S.A., as published by Moench (1984) that is pumped by a fully penetrated well at a constant rate
300 of 35.8 l/s for nearly 3 days. Like Moench, we assume that the fractured aquifer is the strata type
301 (i.e., $\beta = 1$). The time-drawdown data for an observation well 110 m away from the pumping well
302 are listed in Table S1 (supplemental materials).

303 *b) Second Pumping Test*

304 This test is conducted in a double porosity aquifer of the strata type (i.e., $\beta = 1$) at Kingdom City,
305 Missouri which is a small community in the Ozark region. A 1500 minute well test runs on the
306 Kingdom City well in 1988 at a constant rate of 500 gpm. The time-drawdown data from this test
307 are given in Table S2 (supplemental materials).

308 Each drawdown data set is converted to drawdown data ratios and subjected to PCA. The reduced
309 data set is used as the input to the developed network and allowed the network to determine the
310 match point coordinates values $[(u^*)_m, \lambda_m \text{ and } \omega_m]$.

311

312 **4 Results and discussion**

313 In order to determination of the fractured double porosity aquifer parameters (T_f , S_f , and S_m), the
314 six-step protocol based on multi-layer perceptron (MLP) networks architecture were proposed and
315 implemented. Based on these steps, the following actions were performed:

316 generation of the required data for neural network training and testing within the defined allowable
317 range, reduction the dimensions of the input parameters with PCA, determination of the optimal
318 network structure, training of developed simulation model and evaluation of the network
319 performance for two sets of real pumping test.

320 Based on step I of developed methodology, Table 1 provides PCA parameters for the training
 321 patterns X_i (generated by Eq. (11)). The principal components were extracted by considering
 322 minimum fraction variance of 0.001%. The result of PCA given in Table 1 shows that the three
 323 first principal components together describe 99.99993% of the variance of the training data sets.
 324 Because the variance accounted by the fourth component is smaller than minimum fraction
 325 variance (i.e., $0.000071 < 0.001$) it is ignored.

326

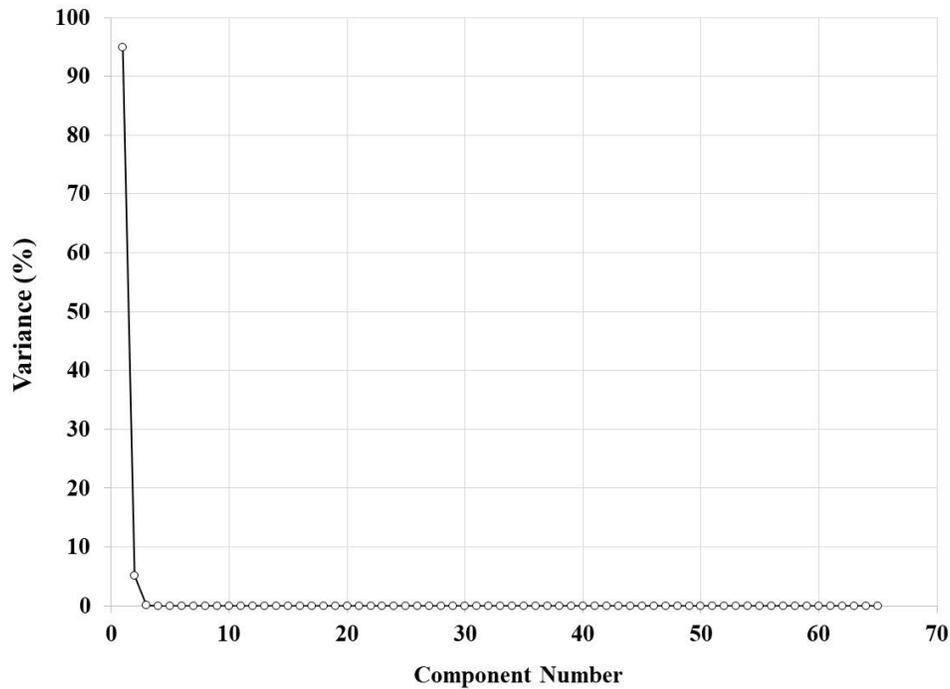
327 **Table 1.** The principal component parameters of the training set for the double porosity aquifer

Principal Component	Eigenvalue	Variance (%)	Cumulative variance (%)
PC1	61.669	94.87532	94.87532
PC2	3.309	5.090766	99.96608
PC3	0.022	0.033846	99.99993
PC4	4.614e-05	7.10e-005	100
PC5	1.186e-08	0	100

328

329 Moreover, the results of the screen test (Cattell 1966), as shown in Fig. 6, confirm that only the
 330 three first principal components should be considered as input vectors. This is in harmony with the
 331 well function that has three variables and also with the Bourdet-Gringarten's type curve which
 332 consist of three segments. This means that the elements of training input vector reduce to 3 and
 333 the number of neurons in the input-layer of the network to be designed can be fixed to 3 neurons
 334 instead of number of records in drawdown-time data which varies from one pumping test to
 335 another. In brief the application of PCA on Input data has the following advantages: it reduces

336 number of neurons in both the input and hidden-layers, it reduces network training and testing
337 times and it fixes the input-layer neuron independent of drawdown data records.



338

Fig. 6 The scree plot of the training patterns

339

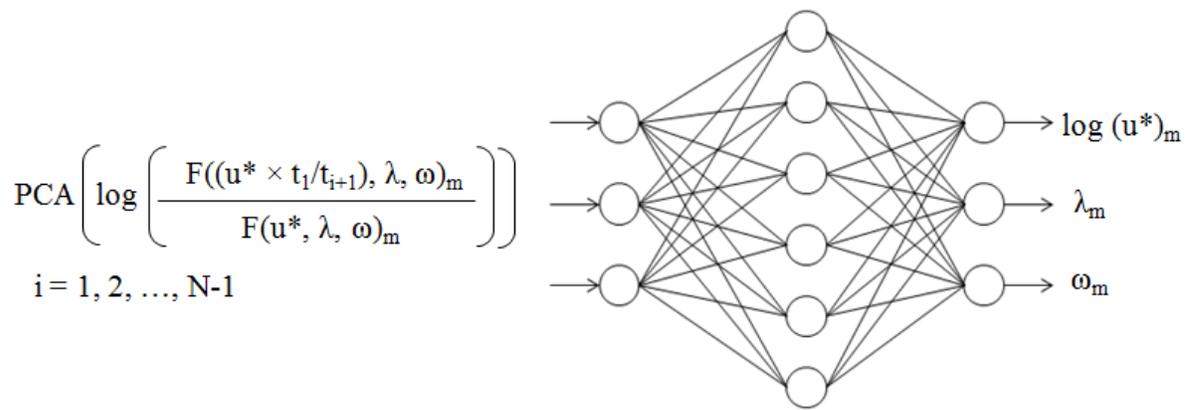
340

341 In step II, we started with a single-hidden-layer feed forward neural network. The number of nodes
342 in input and output layers was already determined based on the three principal components of the
343 training input data and the three variables in the Bourdet-Gringarten's well function of double
344 porosity aquifers (i.e. the coordinates of match point, $\text{Log}(u^*)_m$, λ_m and ω_m). The inputs are fed
345 through the input layer and, after being multiplied by synaptic weights, are delivered to the hidden
346 layer. In the hidden and output layers an activation function such as threshold, unipolar sigmoid,
347 bi-polar sigmoid, hyperbolic tangent, conic section, Gaussian and linear are used for converting
348 the weighted summation input to the output (Haykin 1999). Based on our previous experience
349 (Samani et al. 2007; Azari et al. 2015; Azari and Samani, 2018) we use, the hyperbolic tangent

350 function (tansig): $f(x) = (1 - e^{-2x}) / (1 + e^{-2x})$ for the hidden layer and the linear transfer
351 function (purelin): $[f(x) = x, \text{ for all } x]$ for the output-layer (Fig. 1S, Supplementary materials).
352 Tabari et al. (2011) also analyzed the performance of generalized MLP architectures using the
353 above activation functions for the hidden and output layers. Simulation results showed that tansig
354 (hyperbolic tangent) function performs better recognition accuracy than that of the other functions.
355 According to the considered structure for ANN, single-hidden-layer network was calibrated
356 (trained) using two automated parameter optimization schemes namely Particle Swarm
357 Optimization (PSO) (Kennedy and Eberhart 1995) and Genetic algorithm (GA) (Davis 2002). Both
358 algorithms resulted in a network with six nodes in the hidden layer. Therefore, the structure of the
359 trained network gained the topology of $[3 \times 6 \times 3]$, where in, 3, 6 and 3 refer to the number of neurons
360 in the input, hidden and output layers, respectively (Fig. 7). The parameters applied during the
361 training process are shown in Table 2.

362 In order to evaluate the optimal structure of the prepared neural network model, it is necessary to
363 use the error index according to step four. Figure 8 shows variations of the error criteria (i.e., R^2
364 and RRMSE) with respect to number of hidden layers and number of nodes for the predicted values
365 of the three network targets. The four plots in Fig. 8 collectively indicate that a single-hidden-layer
366 feed forward network with the topology of $[3 \times 6 \times 3]$ is the best and optimum ANN that efficiently
367 model the well function of double porosity aquifers and accurately predict the match point
368 coordinates. The values of the above two criteria for the developed optimum network are also
369 indicated on Fig. 8.

370 Figure 9 is a plot of convergence of BP indicating that the network with the topology of $[3 \times 6 \times 3]$
371 is trained smoothly and quickly without falling to local optima compare to networks with simpler
372 and complicated topologies, i.e., $[3 \times 3 \times 3]$, $[3 \times 9 \times 3]$, $[3 \times 12 \times 3]$ and $[3 \times 13 \times 3]$.



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374

Fig. 7 The optimum structure of the trained ANN

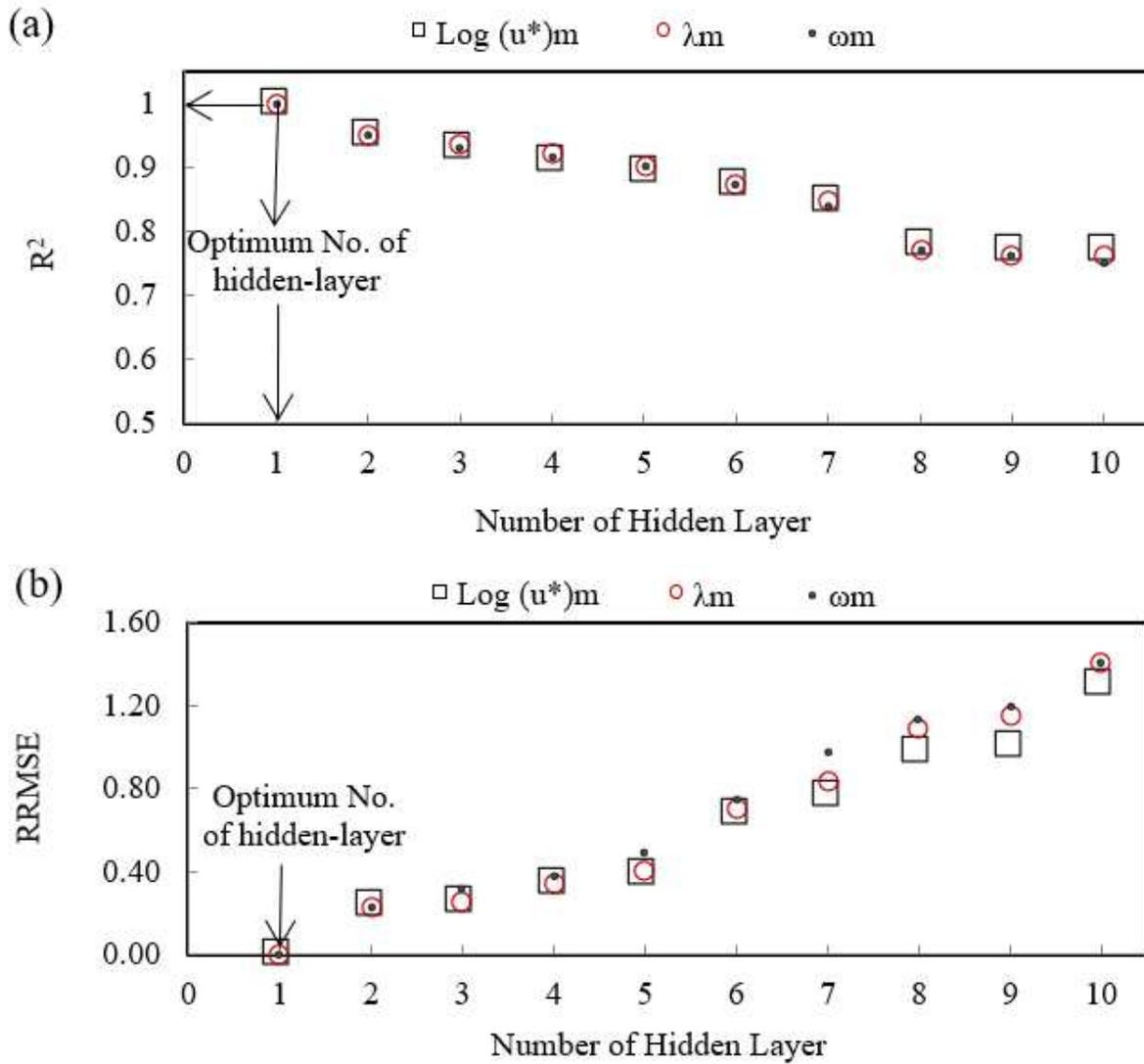
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Table 2. The ANN parameters applied during training

Parameter	Value
Learning rate	0.5
Convergence criterion	1e-6
Maximum training cycle	10000
Number of training patterns	200000

377

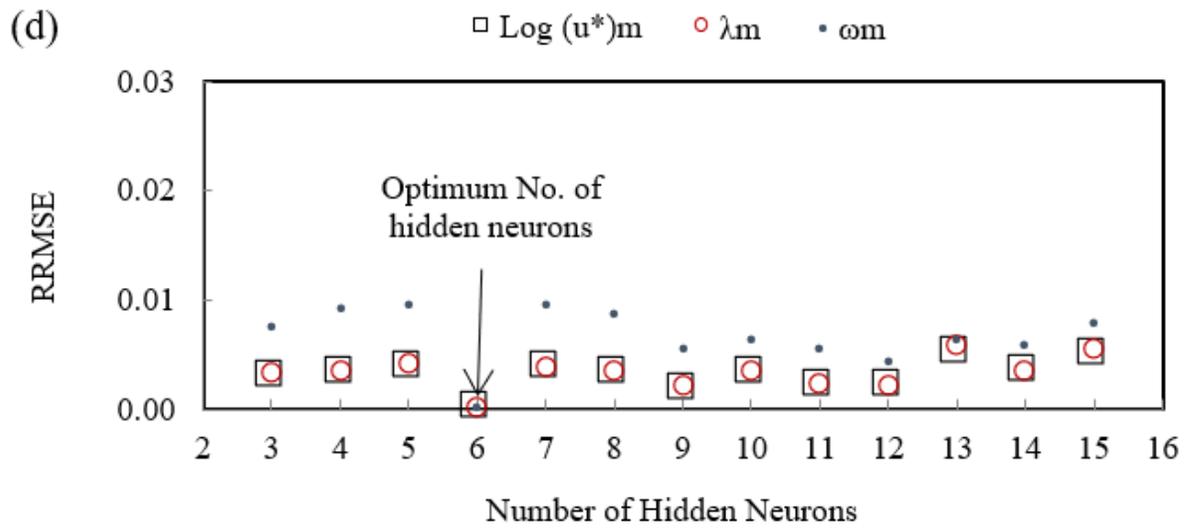
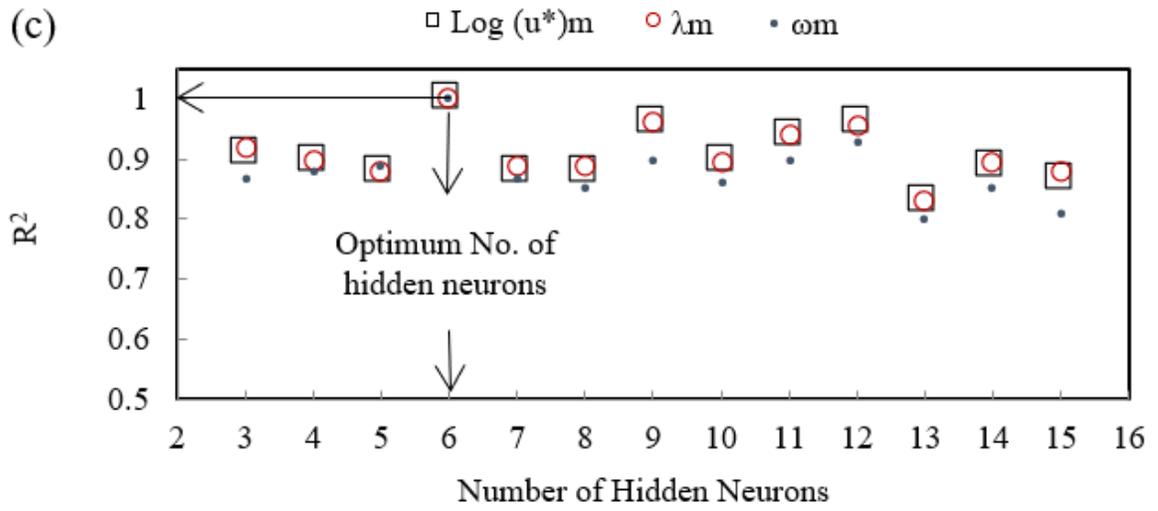


378

379 **Fig. 8** Sensitivity plots based on number of hidden layer and number of hidden neurons for the

380

developed ANN model structure



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382

Fig. 8 (continue)

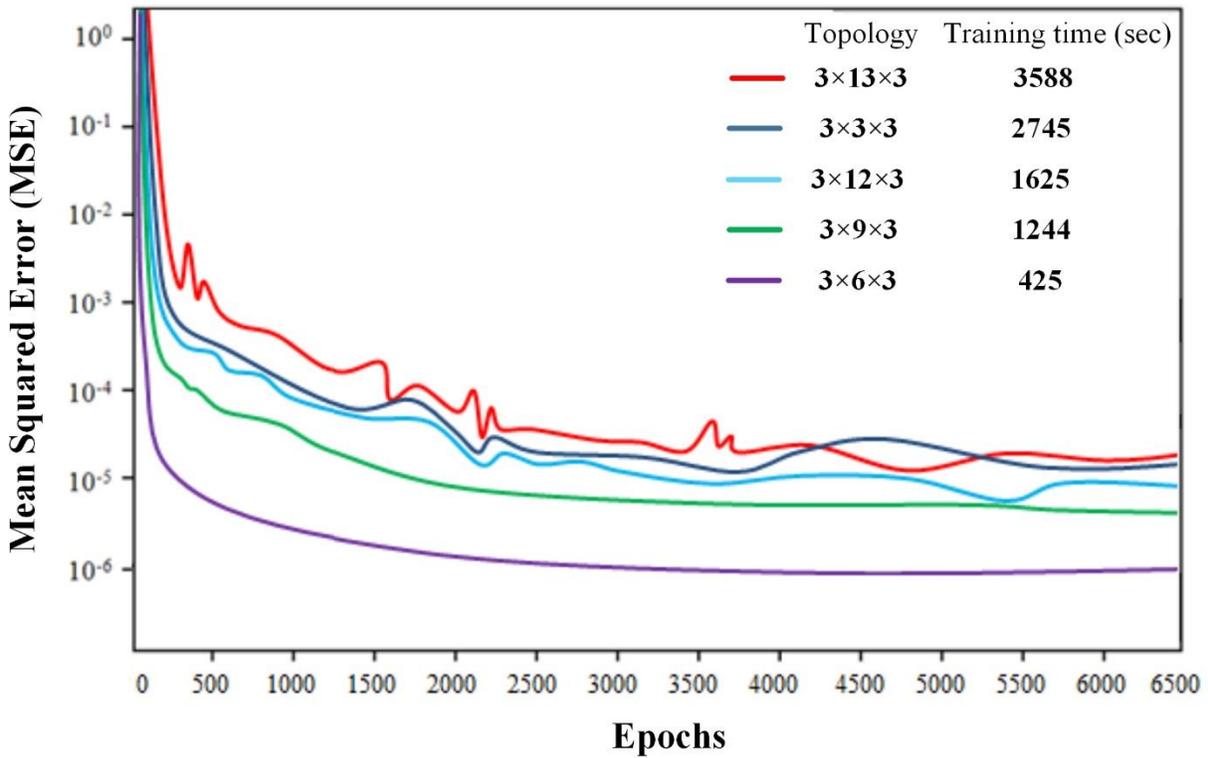
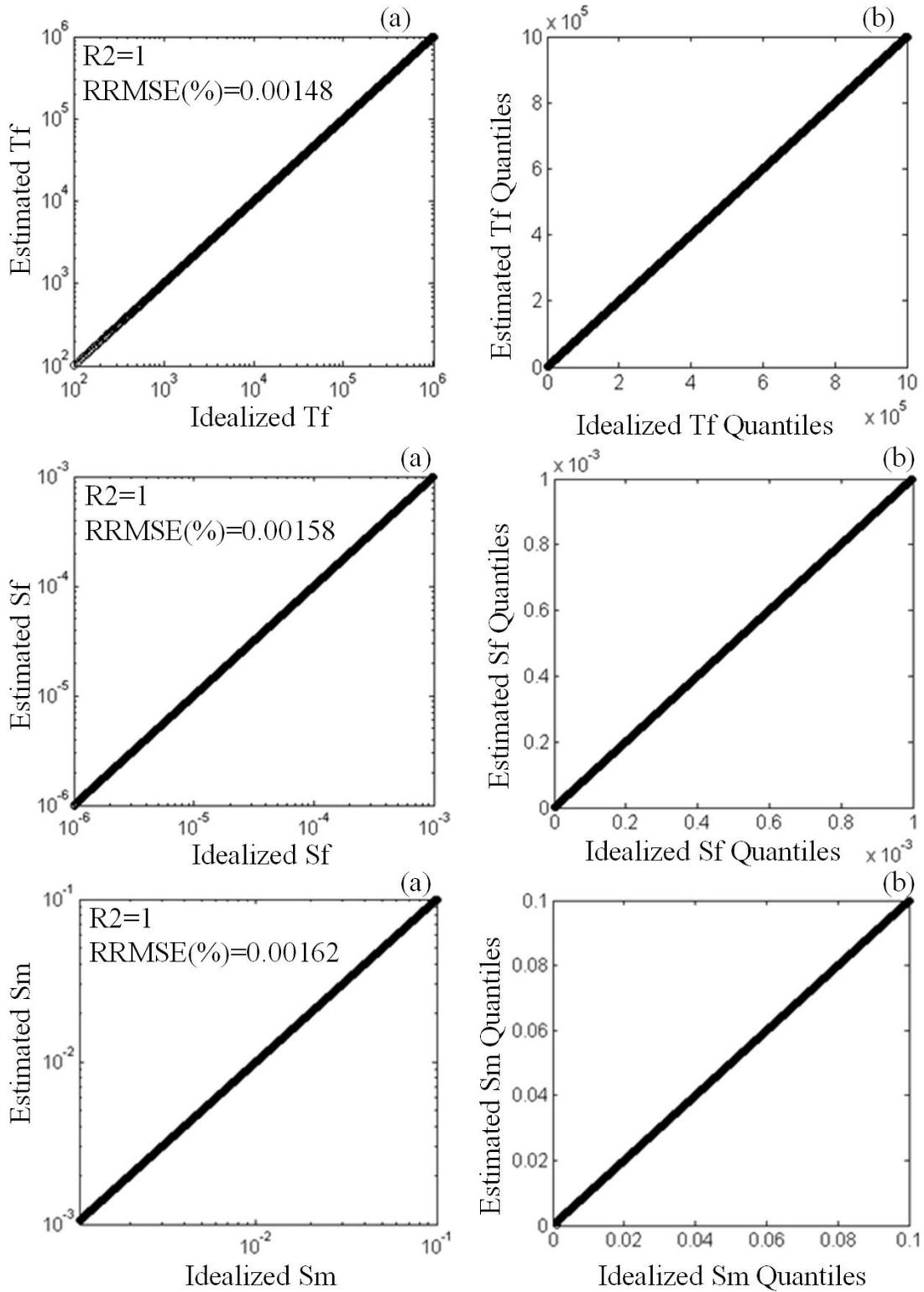


Fig. 9 Convergence plot of networks with different topology

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By applying the test stage data to the developed optimal neural network topology, the network performance was evaluated. Figure 10 shows the scatter plots and the best-fitted line as well as the QQ (normal distribution) plot of residuals (Bennett et al. 2013) for the idealized and estimated aquifer parameters (T_f , S_f , and S_m) by the proposed ANN for the double porosity aquifer, respectively. As shown in these figures, the developed network can accurately estimate the aquifer parameters over a wide tested range. In all plots the value of R^2 is equal to unity and RRMSE values are very close to zero (summarized in Table 3) indicating a high prediction precision of the developed network in simulating the exact theoretical response of the real system (Eq. (1)) and hence its replicative validity (Wu et al. 2014).



396

397

Fig. 10 a) Idealized versus calculated aquifer parameter values (T_f , S_f , and S_m), b) QQ plot of

398

residuals

399 **Table 3.** Values of the RRMSE (%) and R^2 of T_f , S_f , S_m , λ , and ω for the developed ANN during
 400 the testing process

Parameters	RRMSE (%)
T_f	0.00148
S_f	0.00158
S_m	0.00162
λ	0.00191
ω	0.00188

$R^2=1$ for the five above parameters

401
 402 By implementing the proposed neural network model on two sets of time-drawdown real pumping
 403 test, the model was validated. Table 4 compares the values of match point coordinates generated
 404 by the developed network with those of type curve matching techniques given by Moench (1984)
 405 and McConnell (1993) and for the first and second set of time-drawdown data, respectively.

406
 407 **Table 4.** Values of the match points coordinates determined by the developed ANN and the type-
 408 curve matching method for the double porosity aquifer

Pumping test	Match point coordinates	Methods	
		Type-curve Method	Developed ANN
First (Moench, 1984)	$\text{Log } (u^*)_m$	0.43	0.1
	λ_m	7.3×10^{-6}	4×10^{-6}
	ω_m	0.011	0.02
Second (McConnell, 1993)	$\text{Log } (u^*)_m$	3.37	1
	λ_m	1×10^{-6}	2.5×10^{-6}
	ω_m	0.07	0.31

409 4.1 Determination of Aquifer Parameter Values

410 Having determined the match point coordinates of both pumping test data by the developed
411 network, they are adjusted and extended for all time-drawn records as illustrated in Fig. 3:

$$412 \hat{y}_1 = \log(u^*)_j = \log \left[(u^*)_m \times \left(\frac{t_j}{t_1} \right) \right], j = 1, 2, \dots, N \quad (18)$$

$$413 (u^*)_j = \left[(u^*)_m \times \left(\frac{t_j}{t_1} \right) \right] = 10^{\hat{y}_1} \quad (19)$$

$$414 F[(u^*)_j, \lambda_m, \omega_m] = F(10^{\hat{y}_1}, \hat{y}_2, \hat{y}_3) \quad (20)$$

$$415 s_m = s_j \quad (21)$$

$$416 t_m = t_j \quad (22)$$

417 Substituting these values of the match point coordinates into Eq. (1) to (5) the double porosity
418 aquifer parameter values (T_f , S_f , and S_m) are determined. The drawdown record point
419 $[(u^*)_j, F_j, s_j, t_j]$ that yields the minimum RRMSE of the estimated drawdown compare to the real
420 drawdown records is selected as the optimal match point coordinates which yields the aquifer
421 parameter values with the greatest possible accuracy.

422 Aquifer parameter values (T_f , S_f , and S_m) determined by the developed network is given in Tables
423 (5) and (6) for the first and second pumping tests, respectively, and compared with the
424 corresponding values determined with the type curve matching technique. The RRSME values
425 (5.72% and 9.78% compare to 0.02% and 0.03% for the first and second pumping tests) show
426 higher precision for the proposed model. In the first and second pumping test, the fourteenth and
427 eighth time-drawdown records were found to be the optimal points as they produce the lowest
428 value of RRMSE (Fig. 11). The results in Tables (5) and (6) demonstrate the predictive validity of
429 the developed network as it has been able to simulate the response of the real system very
430 accurately.

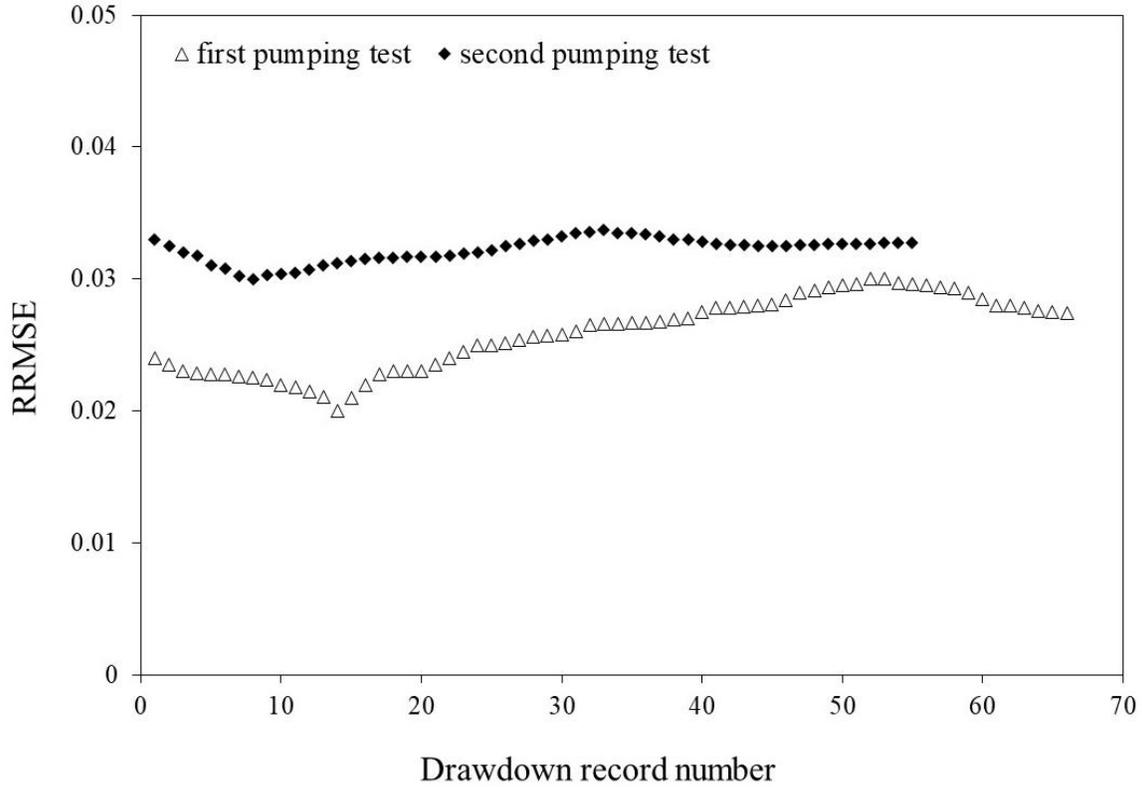
431 **Table 5.** Aquifer parameter values estimated by the developed ANN and the type-curve
 432 matching method and their RRMSE (First pumping test)

Aquifer parameters	Methods	
	Type-curve graphical Method (Moench, 1984)	Developed ANN
T_f (m ² /day)	333	393.82
S_f	0.0016	0.0033
S_m	0.15	0.1594
RRMSE (%)	5.72	0.02

433
 434 **Table 6.** Aquifer parameter values estimated by the developed ANN and the type-curve
 435 matching method and their RRMSE (Second pumping test)

Aquifer parameters	Methods	
	Type-curve graphical Method (McConnell, 1993)	Developed ANN
T_f (gpd/ft)	3661	3850
S_f	10^{-5}	4.5×10^{-5}
S_m	1.2×10^{-4}	10^{-4}
RRMSE (%)	9.78	0.03

436



437
 438 **Fig. 11** RRMSE plot locating the optimum drawdown-time record for the two sets of real
 439 pumping test data.

440
 441 **5 Summary and Conclusions**

442 In this paper, a single-hidden-layer feed forward neural network with the Levenberg-Marquardt
 443 (LM) training algorithm was developed to imitate Bourdet-Gringarten’s well function for the
 444 determination of the double porosity aquifer parameters. We followed a six-step protocol similar
 445 to that suggested by Wu et al. (2014) to develop the network and rationalized and documented the
 446 methodology used in each step. In the first step (input data selection), $N-1$ sets of input data patterns
 447 were generated using the exact analytical Bourdet-Gringarten’s solution of flow in double porosity
 448 aquifers by considering a wide range of logical values for the network output targets namely
 449 $(u^*)_m$, λ_m and ω_m (Fig. 3 and Eq. (11)). These three variables form neurons in the output-layer.

450 Before designing the network structure, the PCA was also applied on the training data sets to
451 reduce the dimension of input training data patterns and eliminate the redundant data by
452 considering a minimum fraction variance of 0.001%. It was found that the three first principal
453 components together explain 99.99993% of the variance of the training data. As a result, the
454 dimension of the input patterns and hence the number of neurons in the input-layer reduced and
455 fixed to 3.

456 In the second step (selection of network architecture), we started with a single-hidden-layer
457 network that is probably the most commonly used neural network in engineering applications. In
458 this step activation functions were selected and an arbitrary number of neurons set for the hidden-
459 layer.

460 In the third step (network calibration), the network training and weights adjustment were
461 implemented by employing the classical BP training method and using the deterministic LM
462 optimization algorithm. The network was trained with 6 neurons in the hidden-layer and gained
463 the topology of [3×6×3] by maintaining the desired convergence criterion of 10^{-6} through a trial-
464 and-error process.

465 In the fourth step (determining the network optimum structure), a sensitivity analysis was
466 conducted to determine the optimum topology of the trained network by evaluating the ability of
467 networks to generate the target output using the two efficiency criteria of RRMSE and R^2 . It was
468 found that both increasing and decreasing number of nodes in the hidden-layer and also increasing
469 number of hidden-layer reduce the accuracy of the network to estimate the expected target. As a
470 consequence, the optimum topology of the developed network was fixed to [3×6×3], regardless of
471 the number of drawdown measurements.

472 In the fifth step (testing the network), the accuracy of the developed network was tested by 100000
473 synthetic error-free drawdown-time data sets. The scatter diagram of output targets (estimated
474 target values versus actual target values) and the QQ plot of residuals indicated the network
475 replicative validity. In the last step (network validation), the performance of the network in
476 generating the match point coordinates is compared with the type curve matching technique using
477 two sets of real pumping test data. The comparison evaluated by efficiency indices i.e. RRMSE
478 and R^2 showed the predictive validity of the network structure.

479 In brief, the developed network receives the reduced drawdown ratios as inputs and provides the
480 match point coordinates of the first record as the outputs. The outputs are incorporated with
481 Bourdet-Gringarten's analytical solution for each individual time-drawdown records and aquifer
482 parameters are calculated. Using each set of aquifer parameters, drawdown records are generated
483 and compared with the real drawdown records, in terms of the RRMSE. The parameter values that
484 give the lowest RRSME value are selected as the most accurate estimate of aquifer parameter
485 values.

486 The results showed that the ANN model provides an automatic and fast procedure for aquifer
487 parameters estimation and eliminates graphical error inherent in the type curve matching
488 technique, therefore, the applicability of the ANN methodology is recommended as an efficient,
489 accurate and easily used alternative tool to the graphical type-curve matching methods for the
490 determination of double porosity aquifer parameters. The modeling procedure used in this paper
491 may be used to design ANN models for other well functions in groundwater hydrology.

492 **Ethical Approval**

493 This article does not contain any studies with human participants or animals performed by any of
494 the authors.

495 **Consent to Participate**

496 Not Applicable

497 **Consent to Publish**

498 Not Applicable

499 **Authors Contributions**

500 Tahereh Azari: Conceptualization, Methodology, Data acquisition, Writing- Original draft
501 preparation

502 Mahmoud Mohammad Rezapour Tabari: Conceptualization, Supervision, Methodology,
503 Visualization, Editing of manuscript

504 **Funding**

505 Not Applicable

506 **Competing Interests**

507 The authors declare that they have no conflicts of interest.

508 **Availability of data and materials**

509 Data and material would be made available on request.

510

511 **References**

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Figures

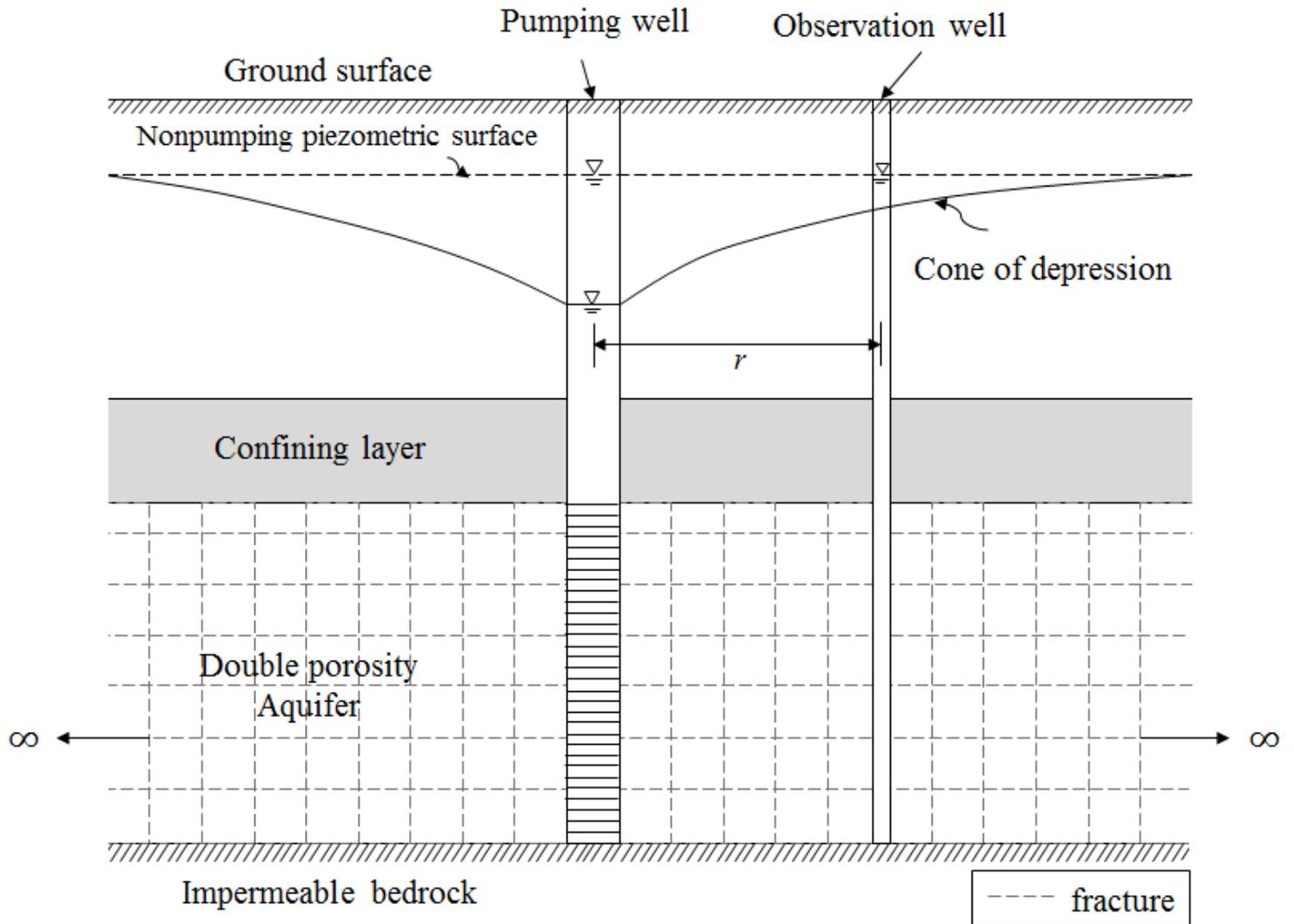


Figure 1

Schematic representation of a fractured double porosity aquifer with a fully penetrating pumping well

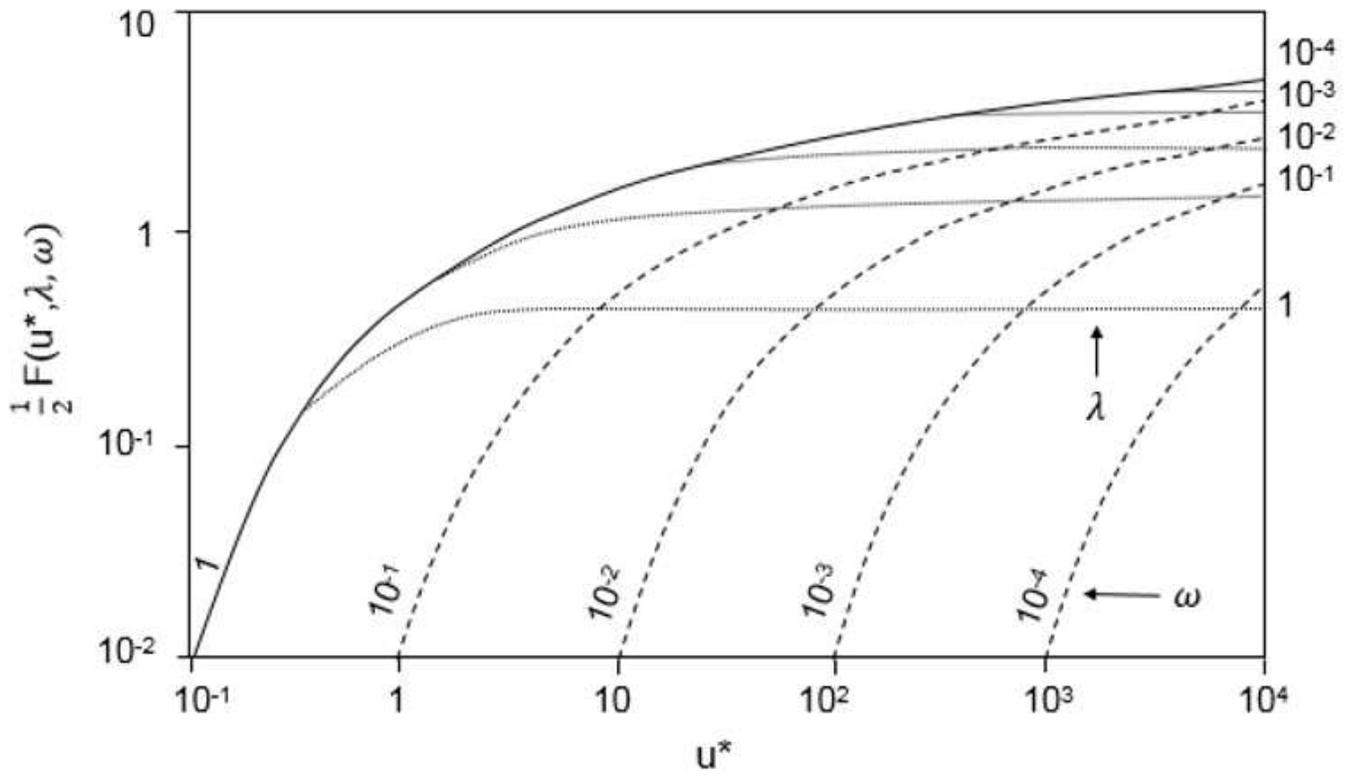


Figure 2

Family of Bourdet-Gringarten's type curves (1980), $F(u^*, \lambda, \omega)$ versus values of u^* for different values of λ and ω

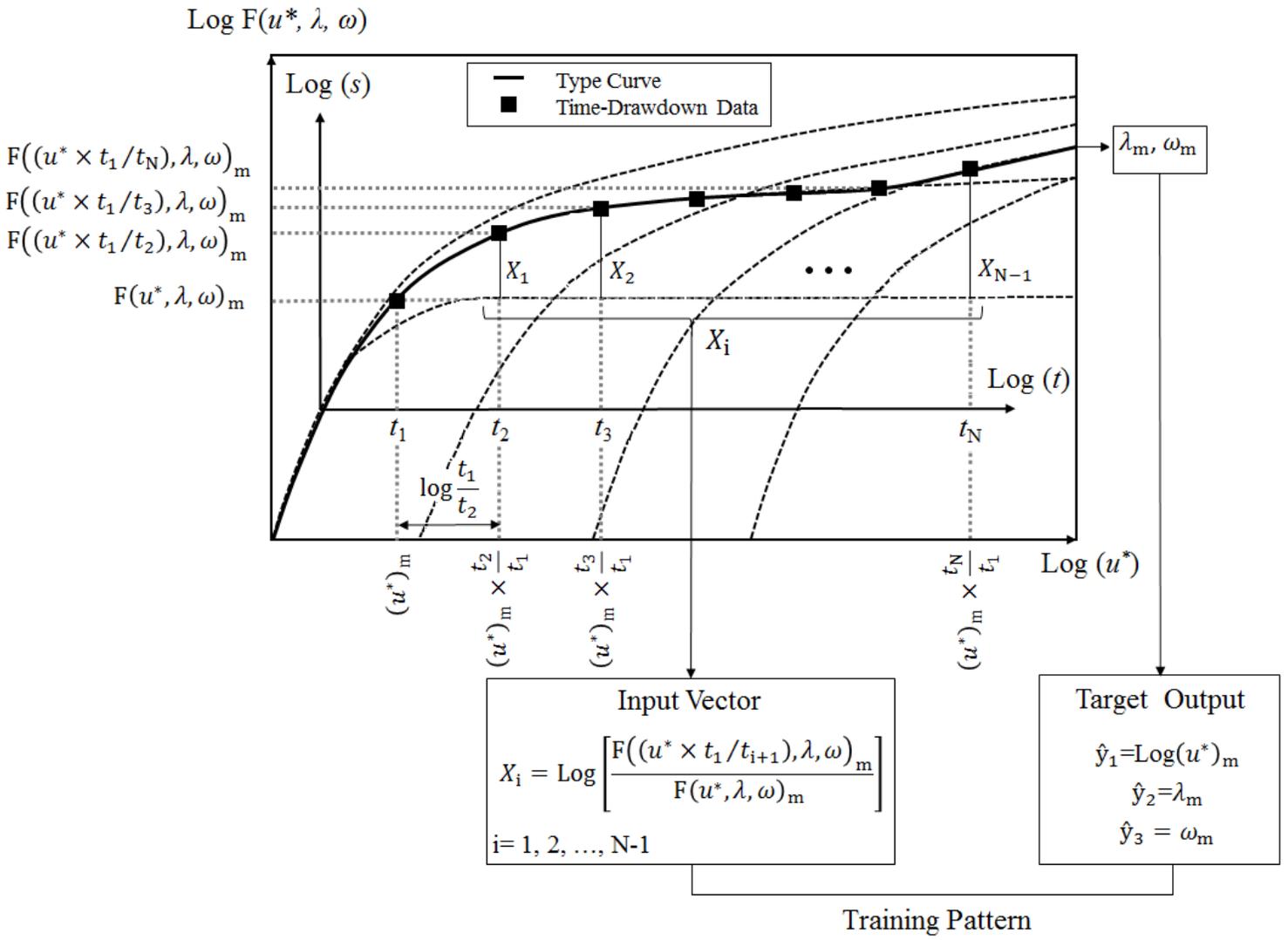


Figure 3

Graphical presentation of input vectors and target outputs generation for the training of the ANN

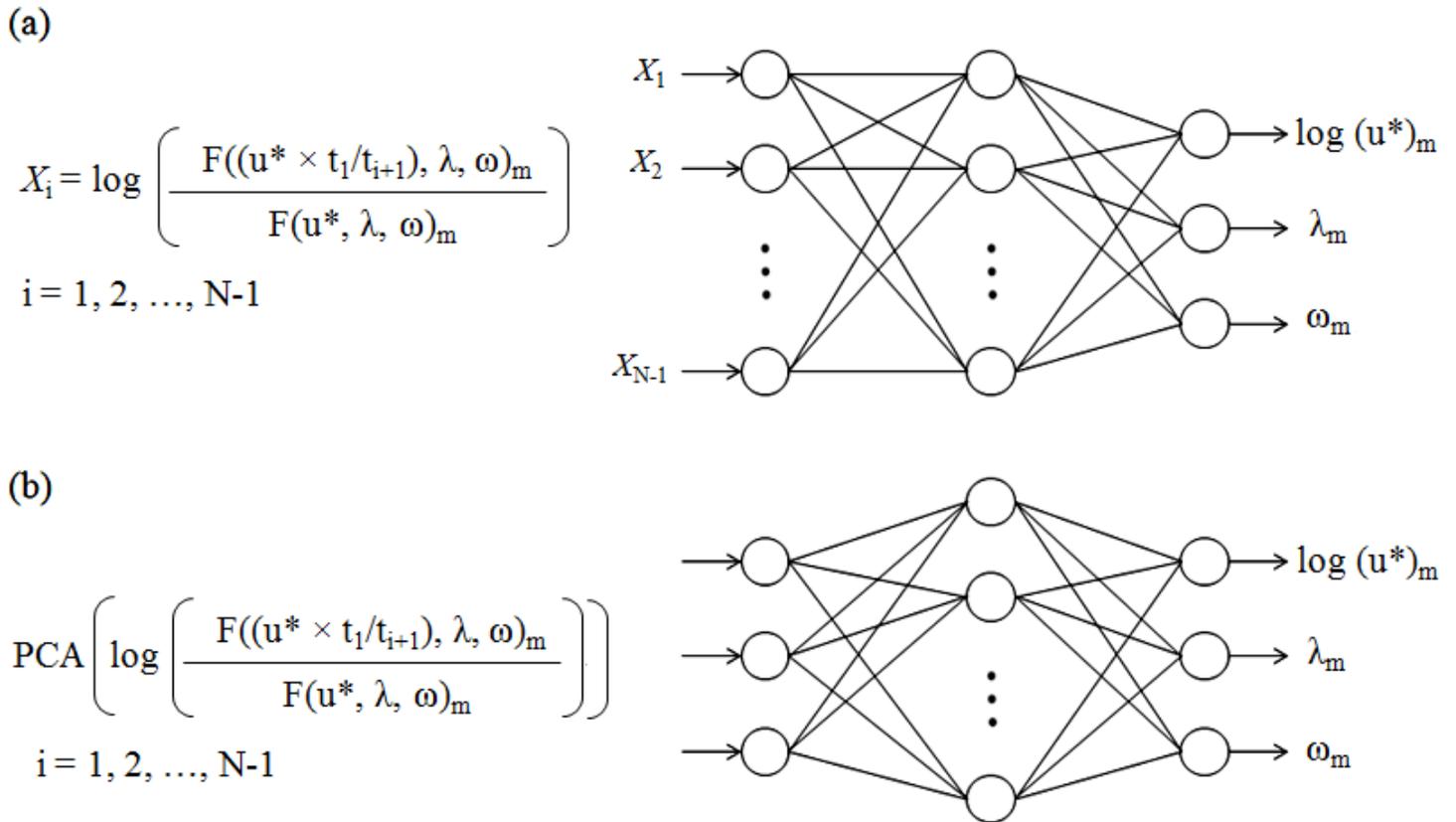


Figure 4

Structure of the single-hidden-layer network before (a) and after (b) conducting PCA on the input training data sets

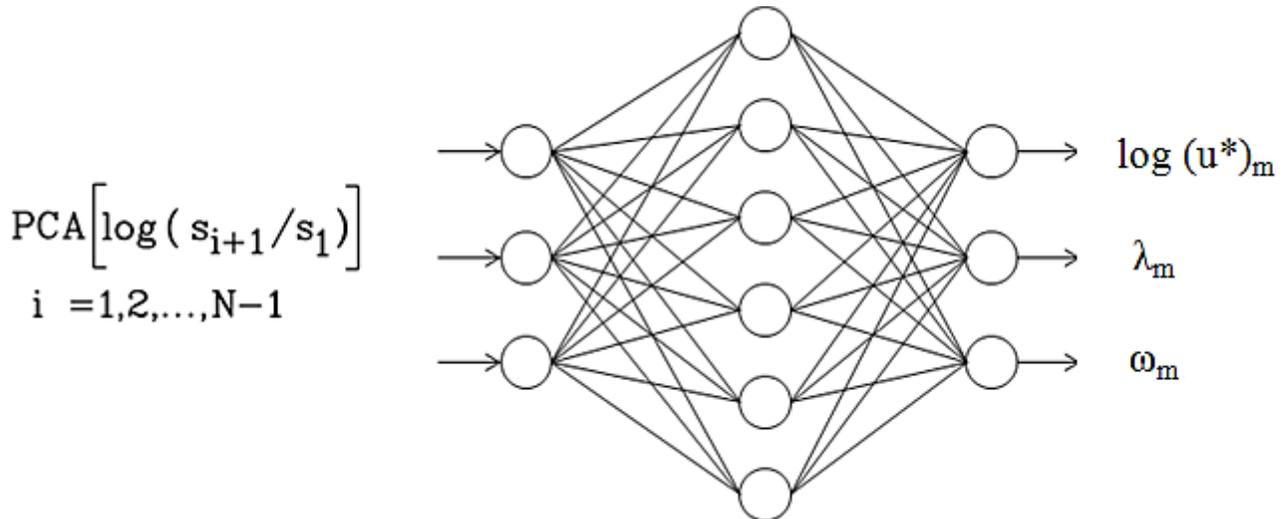


Figure 5

Structure of the developed ANN in the testing stage

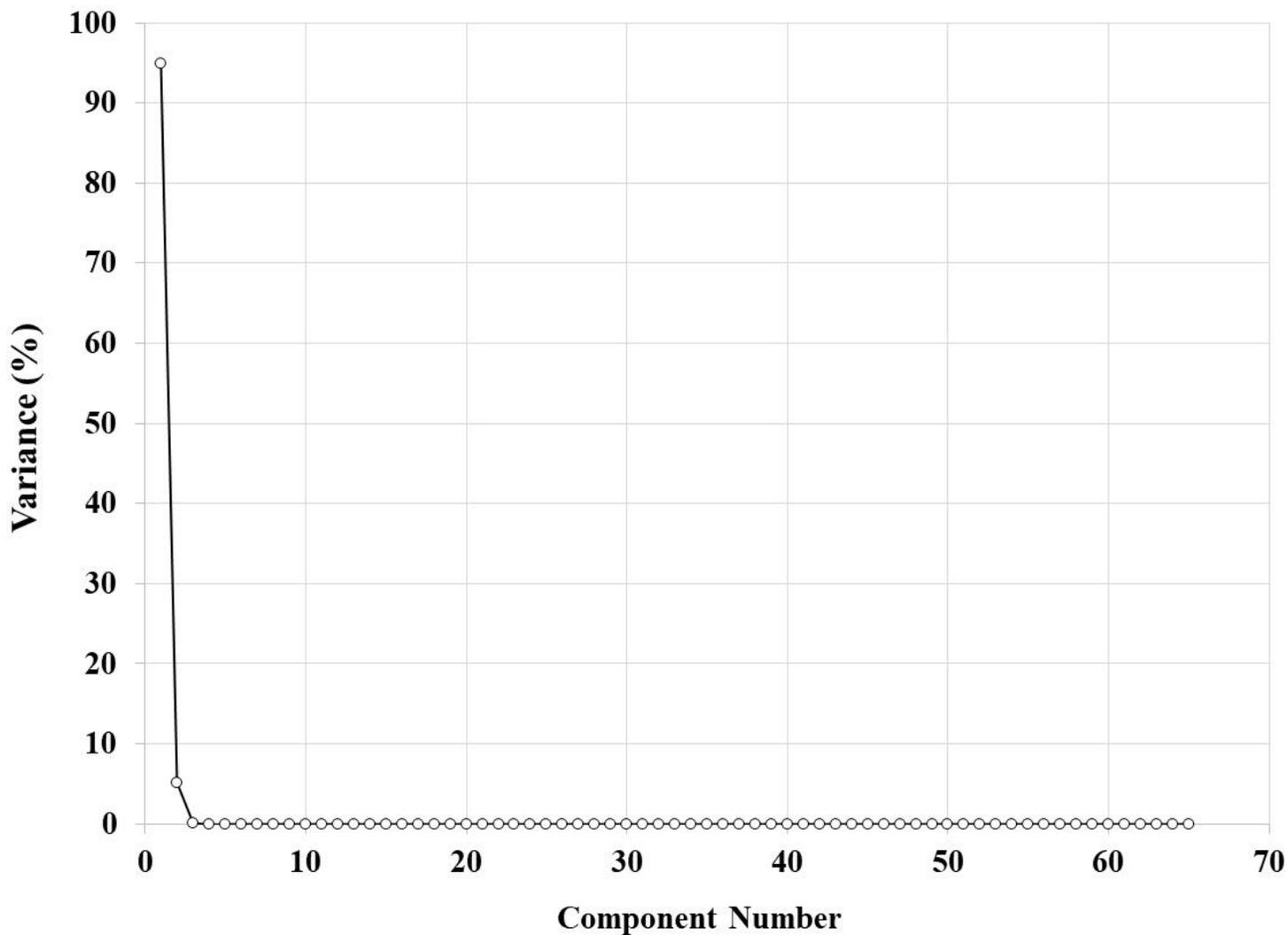


Figure 6

The scree plot of the training patterns

$$\text{PCA} \left(\log \left(\frac{F((u^* \times t_1/t_{i+1}), \lambda, \omega)_m}{F(u^*, \lambda, \omega)_m} \right) \right)$$

$$i = 1, 2, \dots, N-1$$

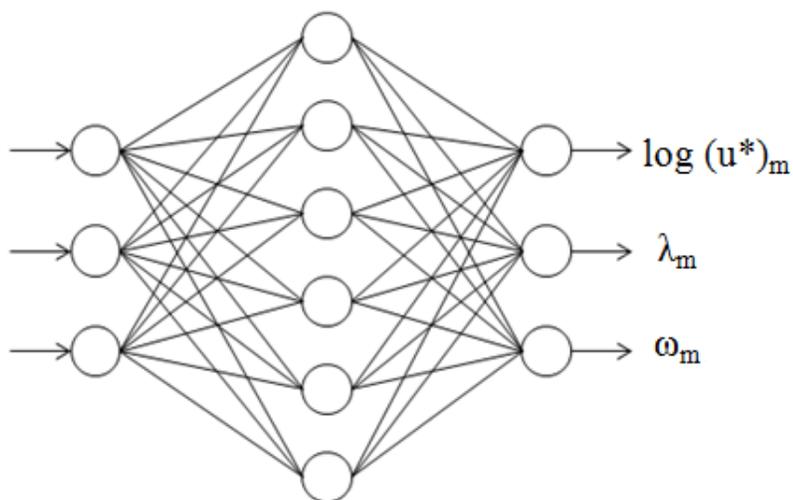


Figure 7

The optimum structure of the trained ANN

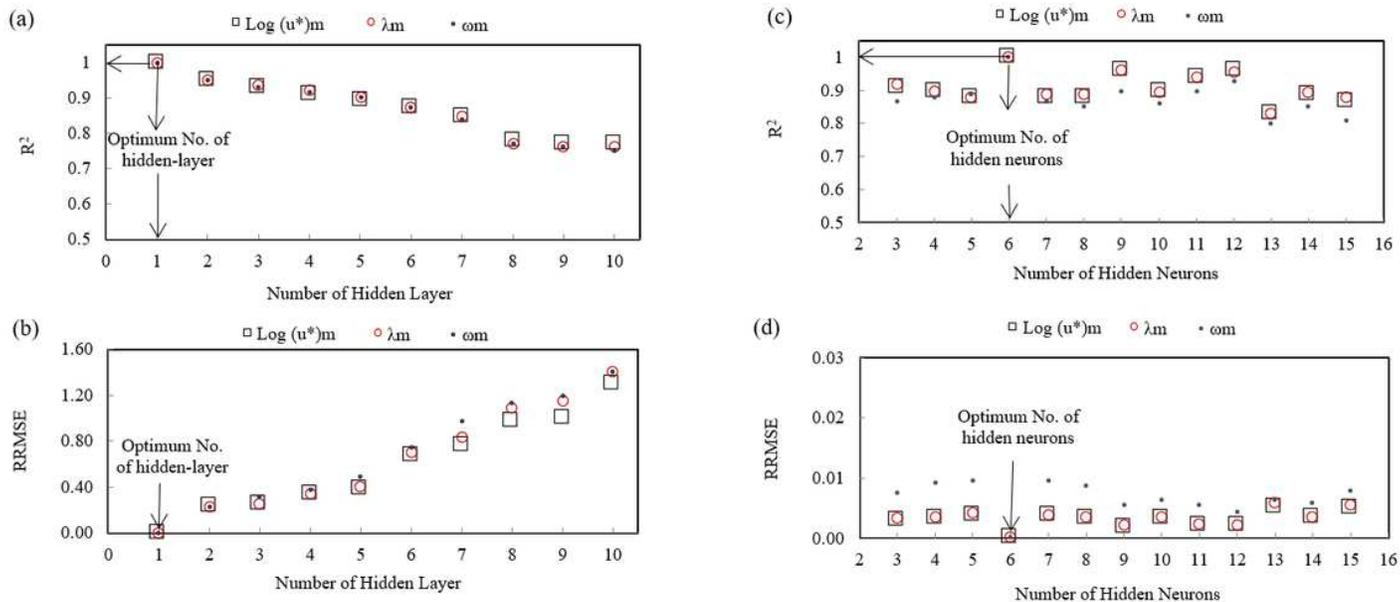


Figure 8

Sensitivity plots based on number of hidden layer and number of hidden neurons for the developed ANN model structure

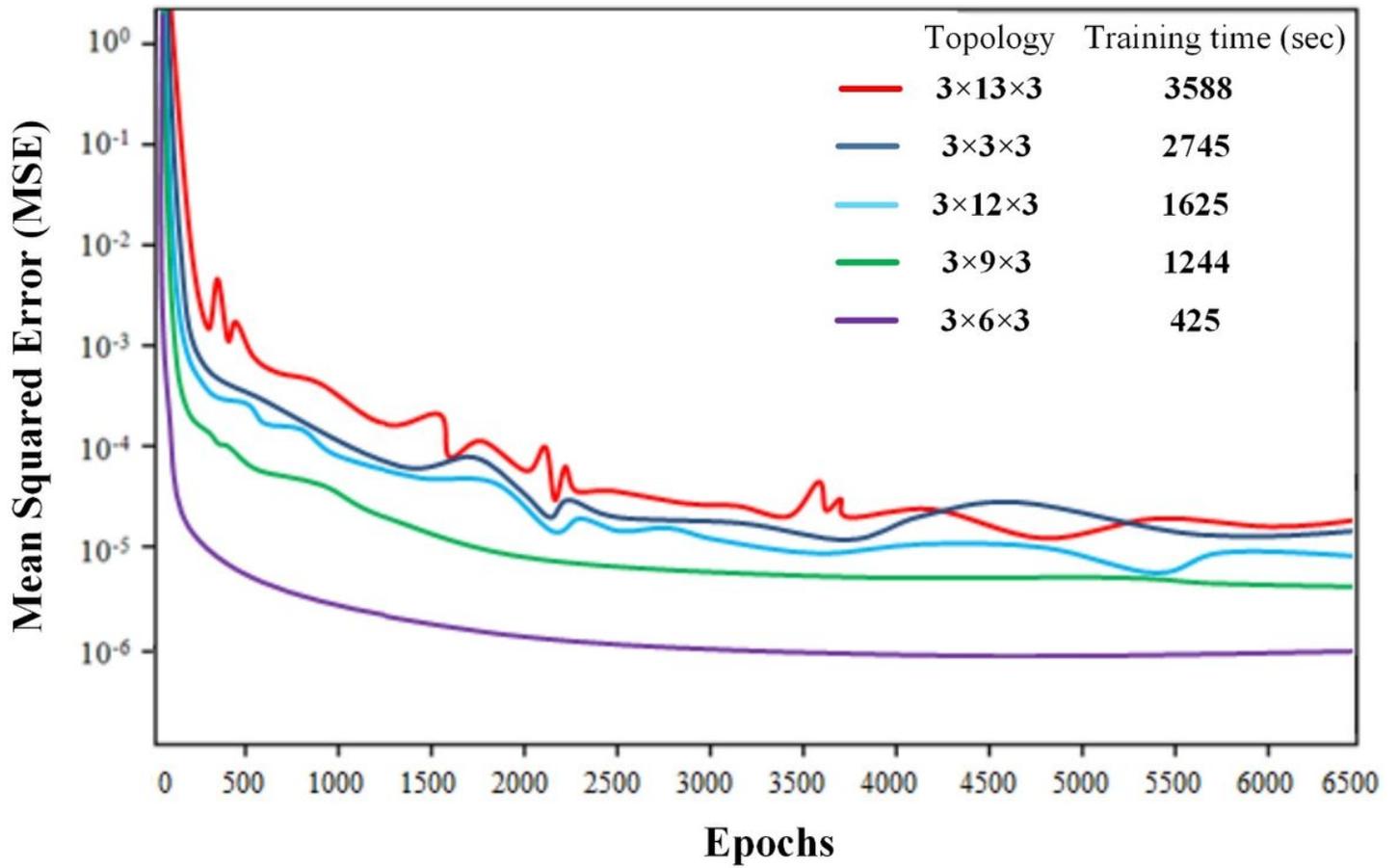


Figure 9

Convergence plot of networks with different topology

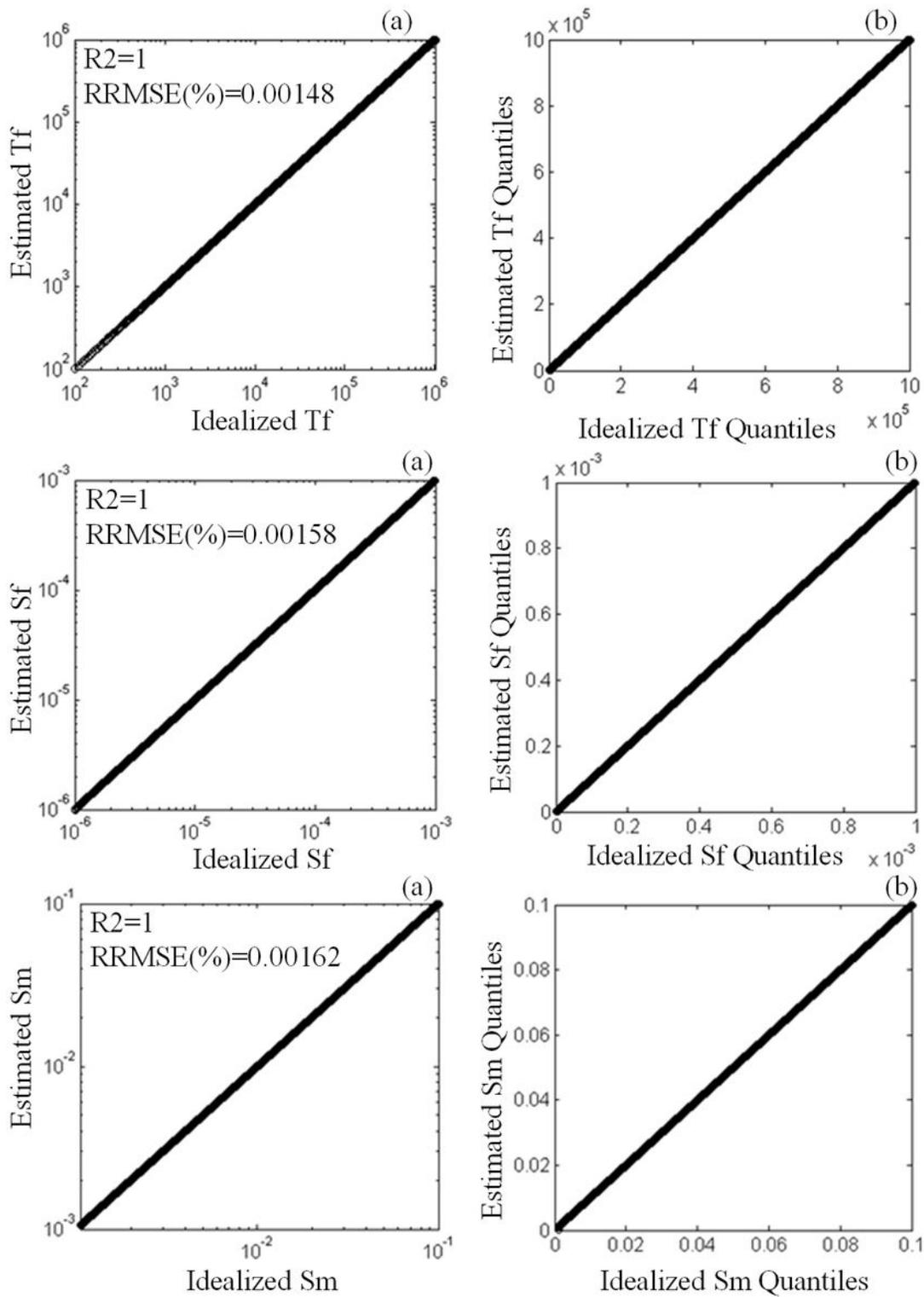


Figure 10

a) Idealized versus calculated aquifer parameter values (T_f , S_f , and S_m), b) QQ plot of residuals

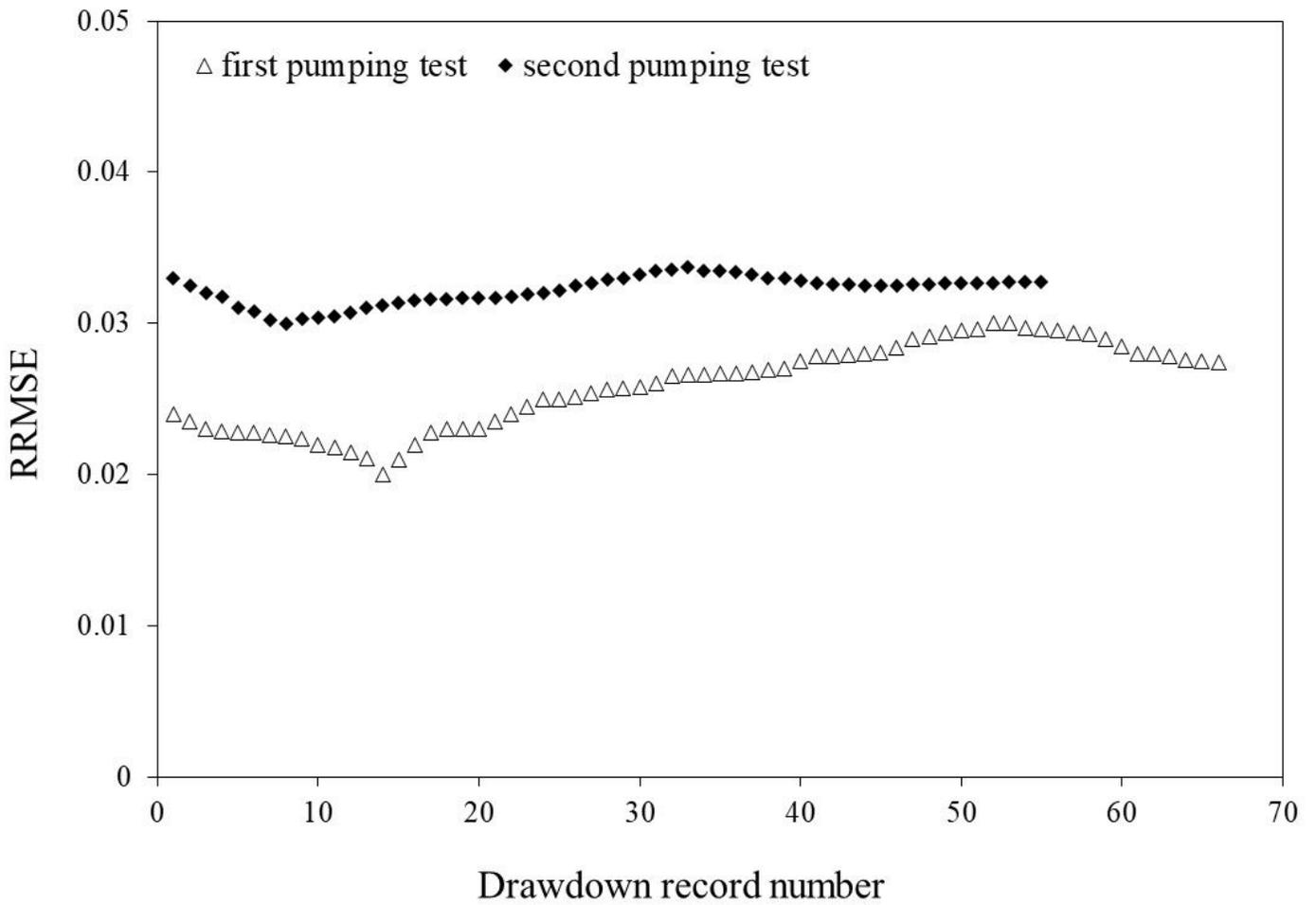


Figure 11

RRMSE plot locating the optimum drawdown-time record for the two sets of real pumping test data.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplementaryMaterials1.docx](#)