

Multiple Climate Change-Driven Tipping Points for Coastal Systems

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1 **Multiple climate change-driven tipping points for coastal systems**

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Abstract

As the climate evolves over the next century, the interaction of accelerating sea level rise (SLR) and storms, combined with confining development and infrastructure, will place greater stresses on physical, ecological, and human systems along the ocean-land margin. Many of these valued coastal systems could reach “tipping points,” at which hazard exposure substantially increases and threatens the present-day form, function, and viability of communities, infrastructure, and ecosystems. Determining the timing and nature of these tipping points is essential for effective climate adaptation planning. Here we present a multidisciplinary case study from Santa Barbara, California (USA), to identify potential climate change-related tipping points for various coastal systems. This study integrates numerical and statistical models of the climate, ocean water levels, beach and cliff evolution, and two soft sediment ecosystems, sandy beaches and tidal wetlands. We find that tipping points for beaches and wetlands could be reached with just 0.25 m or less of SLR (~2050), with > 50% subsequent habitat loss that would degrade overall biodiversity and ecosystem function. In contrast, the largest projected changes in socioeconomic exposure to flooding for five communities in this region are not anticipated until SLR exceeds 0.75 m for daily flooding and 1.5 m for storm-driven flooding (~2100 or later). These changes are less acute relative to community totals and do not qualify as tipping points given the adaptive capacity of communities. Nonetheless, the natural and human built systems are interconnected such that the loss of natural system function could negatively impact the quality of life of residents and disrupt the local economy, resulting in indirect socioeconomic impacts long before built infrastructure is directly impacted by flooding.

63 Introduction

64 A tipping point “refers to a critical threshold at which a tiny perturbation can qualitatively alter the state or
65 development of a system” (Lenton et al., 2008). In relation to Earth’s systems, such as the climate, a tipping
66 point is a critical juncture at which the system responds nonlinearly to a small change in forcing,
67 significantly altering future states (Lenton et al., 2008; Lenton, 2011). A key climate tipping point has been
68 projected to occur if global temperatures rise beyond 1.5°C above pre-industrial levels, at which point
69 threats to health, food security, water supply, economic growth, and geopolitical stability are expected to
70 increase appreciably (IPCC, 2018).

71

72 In an ecological context, a tipping point is a condition in which an ecosystem experiences a shift to a new
73 state, with significant changes to biodiversity, and the functions and services it underpins (GBO, 2014).

74 Several ecological tipping points have already been reached due to climate change and other
75 anthropogenic influences, such as overfishing and pollution, resulting in significant loss of habitat, species,
76 and biodiversity (Cox et al., 2000; Hughes, 2000; McCarty, 2001; Mantyka-Pringle et al., 2012), with a major
77 decline in marine biodiversity since the mid-20th century (Duarte, 2020). However, individual components
78 of Earth’s physical, ecological, chemical, and human systems will reach tipping points at different stages
79 based on their internal dynamics and sensitivity to external forcing. For example, coral reefs are sensitive
80 to ocean temperature, water quality, and pH, with recent, relatively moderate changes in ocean
81 temperature and chemistry having resulted in significant degradation of this ecosystem (Hoegh-Guldberg
82 et al., 2007), possibly pushing reef sustainability beyond a tipping point (Mumby et al., 2007; Mora et al.,
83 2016). Human populations on low-lying coral atolls, which depend on the reefs for protection from wave-
84 driven flooding (Reguero et al., 2021), are also vulnerable, but could develop adaptation options that
85 provide several more decades protection before sea level rise (SLR) reaches a critical threshold for their
86 sustainability (Storlazzi et al., 2018). Defining tipping points for communities is, therefore, quite complex. A

87 consensus definition for what constitutes a community-scale tipping point has not been established (Andersen
88 et al., 2009; Scheffer et al. 2009; Petraitis and Hoffman, 2010). How humans respond to physical climate
89 disturbance is dependent on factors that include accommodation space, adaptability, migration potential, and
90 habitat availability, many of which are controlled by anthropogenic factors, such as urbanization and land use
91 change, as well as political will (Richards et al., 2008; Vos et al., 2008; Torio and Chmura, 2013; Bragg et al.,
92 2021).

93
94 SLR is one of the major consequences of climate change (IPCC, 2007; Nichols and Cazenave, 2010; Hinkel et
95 al., 2014) and is linked to global surface temperature (Rahmstorf, 2007). Multiple studies derive SLR
96 estimates whose mid-range values by 2100 exceed 1 m (e.g., Rahmstorf, 2007; Griggs et al., 2017; Sweet et al.,
97 2017; Ocean Protection Council, 2018) with higher estimates (but with lower probabilities) exceeding 2 m
98 under upper end emission and temperature scenarios (LeBars et al., 2017; Bamber et al., 2019). During the
99 Last Interglacial Stage (125,000 years ago), sea level was 6-9 m higher than present, serving as a potential
100 analogue for the long-term (i.e., centuries to millennia) ramifications of present-day warming of 1-2°C
101 above pre-industrial levels (Kopp et al., 2009). With over 1 billion people expected to live in the coastal
102 zone (< 10 m elevation) by 2050 (Merkens et al., 2016), the potential permanent inundation and more
103 frequent flooding of coastal environments and threats to human populations could have widespread and
104 catastrophic impacts (Wong et al., 2014).

105
106 The vulnerability of the coastal zone environment is often assessed by a single component deemed to be
107 representative of that system, typically based on physical (Turner et al., 2018), biological (Mumby et al.,
108 2007), or statistical relationships (Sweet and Park, 2014). Climate adaptation plans are generally developed
109 with a single tipping point target, such as flood exposure. This may be an effective approach in identifying
110 the vulnerability and timing of an individual component of the coastal zone (e.g., human population), but

111 this approach inherently is not adequate to identify the full breadth of vulnerability of coastal systems to
112 climate change (e.g., impacts to natural systems as well as built or human systems). Adaptation planning
113 can benefit from effective identification of multiple SLR tipping points that will result in major
114 consequences to the various components of coastal systems, including ecosystems, such as sandy beach
115 and tidal wetlands, as well as infrastructure and the human population. Moreover, better characterizing
116 multiple tipping points, and their potential interactions, could help communities better understand the
117 complexity of positive feedbacks (Kopp et al., 2016) and interconnected and cascading impacts resulting
118 from social-natural dependence and interactions (Moser and Finzi Hart, 2015).

119
120 Here we report on an interdisciplinary study to simultaneously assess the vulnerability of major
121 components of a coastal system to climate change during the 21st century in the Santa Barbara region,
122 California (USA). Our investigation integrates the modeled SLR response of beaches, cliffs, beach and tidal
123 wetland ecosystems, flooding potential, and socioeconomic exposure to these flood hazards. Through this
124 synthesis we demonstrate that multiple SLR tipping points exist, the identification of which is critical for
125 effective short-and long-term planning, serving as a model for climate adaptation studies in coastal settings
126 worldwide.

127 128 **Study Approach**

129 We performed a multidisciplinary climate vulnerability study by integrating 21st century projected changes in
130 climate forcing with the associated response of coastal watersheds, beaches, and tidal wetlands, and the
131 exposure of the urbanized environment to flooding and erosion. A Mediterranean climate exists in the Santa
132 Barbara region, characterized by warm, dry summers, and sporadically wet, cool winters (Ryan, 1994), with
133 atmospheric rivers and quasi-periodic El Niño events strongly influencing local hydrology (Dettinger et al.,
134 2011) and coastal hazards (Barnard et al., 2015; 2017). This is an optimal location to perform such a study, as

135 projected SLR in this region is substantial (Griggs et al., 2017) and it contains a representative swath of coastal
136 environments along the 95-km study area (Fig. 1), including coastal bluffs and cliffs, dunes, beaches, river
137 mouths, and tidal wetlands, with development ranging from rural to urbanized. Further, the influence of
138 coastal hazards (e.g., erosion and flooding) on the ability of ecosystems to adapt is dependent on the
139 variability and degree of urbanization.

141 The foundational study was designed to broadly support local government officials tasked with developing
142 plans to adapt to climate-driven threats to natural and human communities (Myers et al., 2019). Downscaled
143 21st century climate projections that included rainfall, winds, and SLR (Pierce et al., 2018) drove a coastal
144 hazards model, the Coastal Storm Modeling System (CoSMoS: Barnard et al., 2014; 2019), as well as an
145 analysis of local impacts to watersheds (Feng et al., 2019), tidal wetlands (Myers et al., 2019), sandy beach
146 ecosystems (Dugan et al., 2013), and socioeconomic exposure (Jones et al., 2016). Each of the research
147 components used a consistent set of atmospheric forcing and SLR scenarios provided by downscaled climate
148 projections.

150 For this study, potential tipping points were defined by identifying the SLR scenarios at which subsequent
151 projected changes to system metrics (e.g., ecosystem habitat-rich zones, amount of developed land in flood-
152 hazard zones) exceed 50% compared to current conditions (i.e., zero SLR and no storm scenarios). We assume
153 that a negative change of at least 50% of the total metric represents a substantial change in state at which
154 point further degradation is likely (Ganju et al., 2017; Nowosad and Stepinski, 2019). Further, we assume that
155 projected changes at a specific SLR increment cannot be reversed without human intervention, and therefore
156 a potential tipping point has been reached. We also highlight SLR scenarios where the *relative* increase in
157 projected change between two SLR scenarios exceeds 50%, less as a potential tipping point of system function
158 and more as a moment at which the system experiences a considerable change that may mobilize

159 communities to implement interventions to reduce future losses. We demonstrate that the timing of the
160 projected changes for total system metrics and between SLR scenarios for the different components of this
161 coastal system are out of phase, which can have implications for natural resource management and climate
162 adaptation planning.

164 **Results**

165 The rate of 21st century SLR is dependent on surface temperature increases (Rahmstorf, 2007), which in turn
166 are dictated by prescribed global emissions trajectories, characterized by the Representative Concentration
167 Pathway (RCP) scenarios developed for the 5th Assessment Report of the Intergovernmental Panel on Climate
168 Change (IPCC, 2013). For the Santa Barbara region, two RCP scenarios were considered: the intermediate
169 RCP4.5 scenarios wherein emissions peak in mid-21st Century and diminish thereafter; and the higher RCP8.5
170 scenario wherein emissions continue to rise along with population growth through the 21st Century. Projected
171 global surface temperatures for the 21st Century were downscaled for both RCPs to the study region using 10
172 global climate models (GCMs) (Fig. 2). Using the 30 year 1970-1999 average as a recent baseline, the two RCP
173 scenarios follow a similar temperature trend until 2035, increasing by ~1.5°C. After 2035 the two scenarios
174 begin to diverge, and by the end of the 21st Century have increased by ~2.5°C under RCP4.5 and ~5.0°C under
175 the RCP8.5 scenario. There has been a marked rising trend of recent global surface temperatures, which in
176 2019 has already reached ~0.55°C above the 1970-1999 baseline, and ~1.2°C above pre-Industrial
177 temperatures (World Meteorological Organization, 2021). Moreover, the years 2015-2020 were the 6
178 warmest in the 140-year instrumental record (NASA, 2020; National Academy of Sciences, 2020; World
179 Meteorological Organization, 2021). Thus, by 2029 the Paris Agreement target of 1.5°C will likely be reached
180 under either RCP4.5 or 8.5 (Henley and Parker, 2017), which is widely considered a critical tipping point for
181 climate impacts to natural and human systems (IPCC, 2018; WMO 2021).

183 As recent temperatures are rising at a rate equal or higher than either RCP4.5 or RCP8.5 scenarios, it seems
184 prudent to consider future SLR that accords with the higher RCP8.5 scenario. Downscaled wind, surface
185 pressure and temperature from eight RCP8.5 GCMs were employed to drive short period sea level variability,
186 superimposed upon three long term SLR scenarios (Fig. 2). These scenarios are consistent with recent SLR
187 guidance from the State of California (Griggs et al. 2017; Ocean Protection Council [OPC], 2018). Mid-century
188 SLR projections (2050) for the Santa Barbara region range from a median of 15 cm (low range) to 60 cm (high
189 range), and 45 cm to 170 cm by 2100. An extreme scenario that considers rapid warming coupled with
190 accelerated ice sheet decay projects as much as ~3 m of SLR by the end of the century for Santa Barbara
191 (Sweet et al., 2017; OPC, 2018; Pierce et al., 2018).

192
193 Beaches and cliffs provide the first lines of defense for SLR and storm-driven hazards that can result in the
194 more frequent exposure and degradation of *in situ* and adjacent ecological and human systems. For the Santa
195 Barbara study area, the relative amounts of beach erosion and cliff retreat are projected to increase most
196 significantly beyond 0.50 m (55%) and 0.25 m (95%) of SLR, respectively (Fig. 3a). Coastal erosion rates would
197 be expected to accelerate as the rate of SLR increases under the higher scenarios, but this is not projected for
198 the Santa Barbara region as coastal defenses and other urban infrastructure limit landward retreat in many
199 locations.

200
201 The future width of the ecologically important upper intertidal zone of sandy beaches in the Santa Barbara
202 region, whose landward boundaries included coastal bluffs, dunes, armoring and beach grooming, was
203 assessed for SLR scenarios ranging from 0 to 2.0 m in 0.5 m increments (Fig. 3b). Using CoSMoS projections of
204 the mean high water (MHW) shoreline and maximum water levels along the beaches, projected future upper
205 intertidal zone habitat sharply decreased immediately with the transition from 0 to 0.5 m SLR, averaging 74%
206 habitat loss across all beach types, including 87% and 99% for the bluff-backed and armored beaches,

207 respectively. When SLR reaches 1.0 m, <10% of the upper tidal zone habitat space remains, and when SLR
208 reaches 1.5 m SLR, <5% remains. Analyzing the loss of the upper intertidal zone when considering SLR
209 combined with annual storms yielded identical tipping point patterns (Supplemental Table). With the most
210 severe ecosystem habitat loss observed after the first SLR scenario (i.e., between 0 and 0.5 m), these results
211 indicate that a tipping point for sandy beach ecosystems may have already been reached.

212
213 Tidal marsh habitat zones in Carpinteria salt marsh were tracked for SLR scenarios based on the present-day
214 relationship between habitat distribution, elevation and flooding frequency (Fig. 3c). Although the ecologically
215 rich mid-marsh (largely vegetated, providing habitat for the endangered Belding's Savannah Sparrow),
216 botanically diverse high marsh, transition and upland zones currently account for >90% of the tidal marsh
217 habitat areas, with just 0.36 m of SLR relative to the marsh surface, those ecologically rich habitat zones are
218 projected to comprise only 46% of the tidal marsh. During this transition, the mudflats and sub-tidal habitats
219 become dominant, including a more than tripling of the lower mud flat area when transitioning from 0.18 to
220 0.36 m of SLR, and the high mudflat zone between 0.36 and 0.61 m of SLR. During the SLR transition from 0.18
221 to 0.61 m, areas of the mid-marsh, high marsh, transition, and upland zones decrease most acutely by 54%.
222 Upon reaching 1 m of SLR, mud flats and sub-tidal regions are projected to account for 85% of the tidal marsh,
223 and 97% at 2 m. In short, by ~0.6 m of SLR, consistent with sandy beach ecosystems by 0.5 m of SLR, vegetated
224 tidal marsh habitat will have already severely degraded, with the largest change occurring after 0.18 m of SLR.
225 Since the habitat changes are relative to the marsh surface, the timing of the tipping point depends on the
226 rate of accretion and SLR scenario. Assuming a marsh accretion rate of 4 mm y⁻¹ (Reynolds et al., 2008) and the
227 high range scenario of SLR, mudflat would comprise 56% of habitat by 2050 and >80% by the end of the 21st
228 century (Myers et al. 2019), representing a major shift in habitat type. Similar losses of existing vegetated
229 marsh by the end of the century have been projected for other tidal marshes region-wide (Thorne et al., 2018)
230 and are consistent with global studies linking early warning signals to marsh collapse (Neijens et al., 2021).

231

232 The potential for socioeconomic tipping points was based on projected changes in the number of residents
233 and employees and the amount of developed land and parcel values in areas that are estimated to have
234 daily/permanent coastal flooding (i.e., inundation) and storm-driven flooding (i.e., annual and 100-year return
235 interval events) relative to CoSMoS SLR scenarios (0 to 2.0 meters). Changes in flood-hazard exposure were
236 estimated for five communities near the sandy beach and tidal wetland ecosystem study sites, including
237 Goleta, Isla Vista, Santa Barbara, Montecito, and Carpinteria (Fig. 1). Exposure to daily flooding (i.e., no storms
238 considered) for each societal metric relative to study area totals is far below the 50% tipping point threshold,
239 instead only directly affecting 2.4% of total parcel values, 2.7% of total residents, 2.9% of total employees, and
240 7.4% of total developed land even for the largest SLR scenario of 2.0 meters (Fig. 3d-g). Although total system
241 exposure is not projected to surpass the 50% threshold observed in the ecological systems, there are certain
242 SLR scenarios that could result in considerable, relative increases between SLR scenarios that may galvanize
243 communities to mitigate further losses. For example, the largest relative increases of societal exposure to
244 inundation occurs across all four metrics when transitioning from 0.75 m to 1.0 m of SLR (Fig. 3d-g,
245 Supplemental Table), with an increase of 1,712 residents (175% increase), 972 employees (254% increase),
246 \$283 million dollars of parcel value (160%) and 1.5 km² of developed land (144% increase). Peak increases in
247 coastal flood hazard exposure associated with SLR inundation are preceded by the largest increases in the
248 relative amounts of beach erosion and cliff retreat (Fig. 3a).

249

250 Even without considering future SLR, the Santa Barbara region is already at risk from coastal storm, wave-
251 driven flooding hazards (Fig. 3d-g). The five communities in our study area collectively have 2,302 residents
252 and \$345M in property value in projected flood areas for coastal storms with an average annual return
253 interval, increasing to 3,232 residents and \$581M in property values for storms with a 100-year return
254 interval. These storm-related exposure values rise considerably when taking into account the role of SLR in

255 increasing potential flood areas. Nevertheless, similar to the non-storm scenarios, total system exposure is not
256 expected to surpass the 50% threshold for any societal metric, instead only directly affecting 3-7% of residents
257 and parcel values, 3-8% of employees, and 8-13% of developed land for the largest SLR scenario of 2.0 meters
258 (range noting annual and 100-year storm estimates). There are, however, considerable relative increases in
259 flood hazard exposure from 100-year storms when transitioning from 1.5 to 2.0 m of SLR (Fig. 3d-g,
260 Supplemental Table), with an increase of 6,542 residents (130% increase), 6,532 employees (171% increase),
261 \$2B of parcel value (156%) and 2.6 km² of developed land (66% increase). This analysis of the physical and
262 societal hazards related to SLR demonstrates that the largest changes in exposure for the different styles of
263 projected future flooding are asynchronous (e.g., when transitioning from 0.75-1.0 m of SLR for daily
264 flooding/inundation compared to 1.5-2.0 m of SLR for storm-driven flooding). Further, when exposure changes
265 are calculated uniformly by comparing scenarios based on jurisdictional and habit area totals and not relative
266 changes, the human system values do not occur until much higher SLR scenarios, are far smaller, and do not
267 qualify as tipping points.

269 Discussion

270 The results described herein indicate that degradation of coastal ecosystems in the Santa Barbara region is on
271 the leading edge of climate impacts, consistent with observations world-wide (e.g., Cox et al., 2000; Hughes,
272 2000; McCarty, 2001; Hoegh-Guldberg et al., 2007; Mumby et al., 2007; Mantyka-Pringle et al., 2012; Mora
273 et al., 2016). Sandy beach ecosystems have possibly reached a tipping point, and tidal marshes will reach that
274 point perhaps as early as ~0.25 m of SLR. This timing (~mid-century or sooner) is similar to the temperature
275 tipping point of the terrestrial biosphere projected to be within just a few decades (Duffy et al., 2021),
276 although the precise timing of this transition is difficult to determine due to uncertainty related to future
277 climate warming, response of SLR to warming, SLR scenario resolution, and future sediment supply to beaches
278 and marshes. Greenhouse gas emissions are currently tracking the RCP8.5 scenario most closely (Henley and

279 Parker, 2017), so warming is likely to rapidly exceed 1.5°C and therefore SLR could reach 0.25 m by mid-
280 century under either a middle or high SLR scenario, and just a few decades later for the low SLR scenario (Fig.
281 2). Even if greenhouse gas emissions are reduced to a net zero level, the current concentration of CO₂ in the
282 atmosphere has already committed oceans to an additional ~1.7 m of global mean SLR (Clark et al., 2016),
283 which would match the higher end SLR scenario projected for Santa Barbara by 2100. Given that 250 million
284 people currently live within 1 m of present-day high tide across the world (Kulp and Strauss, 2019), a SLR
285 tipping point may have already been reached globally and locally.

286
287 Sandy beach ecosystems are especially vulnerable and appear on the verge or have already exceeded a tipping
288 point, especially for armored beaches, where 99% of the existing upper beach zone habitat is projected to be
289 lost with just 0.5 m of SLR (range of all beach types = 51-99%, mean = 74%). The majority of beaches are
290 projected to decline in overall width with increasing SLR. Importantly, the loss of beach width will not be
291 evenly distributed across intertidal zones. Upper beach zones are projected to experience the
292 greatest declines in width and losses with SLR. Although often narrow in width, these upper intertidal zones
293 are vital components of biodiversity and ecosystem function (Dugan et al., 2003; 2013). The sand of the upper
294 intertidal zone is associated with the distributions of key beach organisms, biodiversity and ecosystem
295 functions, including the accumulation of macrophyte wrack and the wrack-associated invertebrate
296 community. This often narrow zone supports ~45% of total intertidal invertebrate biodiversity, provides prey
297 resources for birds and fish, and plays a vital role in detrital processing and nutrient cycling (Dugan et al.,
298 2003; 2011; 2013; Hubbard and Dugan, 2003; Goodridge and Melack, 2014; Lowman et al., 2019; Jaramillo et
299 al., 2020). These key upper beach zones are already scarce and/or ephemeral for many beaches in the study
300 region. When seawater regularly reaches the bluff toe, armoring structure or beach limit, drift macrophyte
301 wrack and the rich intertidal biodiversity, ecosystem functions and prey resources that macrophyte supports,
302 as well as critical habitat for fish and wildlife are eliminated from the beach ecosystem. Coastal strand

303 vegetation that can enhance resilience by trapping sand and building dune topography (Dugan and Hubbard,
304 2010) also is eliminated.

305

306 While continued cliff retreat might create more space for sandy beach habitats, SLR-driven erosion will
307 remove the sand in front of cliffs. Across Southern California, one- to two-thirds of beaches, and the habitats
308 therein, are expected be drowned and lost due to SLR this century, the loss accelerated by curbs on landward
309 migration due to cliffs and/or urban resistance (Vitousek et al., 2017). Existing coastal armoring already
310 restricts the migration potential of up to 57% of Southern California county beaches, including 12% in Santa
311 Barbara County (Griggs and Patsch, 2019), as well as impacting intertidal biodiversity and function (Dugan et
312 al., 2008; Jaramillo et al., 2020). There has been a greater than five-fold increase in armoring over the last 50
313 years across this region, and as the coastal hazards associated with rising seas increase in this urbanized
314 setting, as in others worldwide, more structures that protect human populations but limit habitat migration
315 are likely to be constructed.

316

317 Urbanization is a major factor in the observed habitat squeeze of sandy beach and tidal marsh ecosystems and
318 related short-term tipping points as engineered tidal marsh shorelines, armored beaches, as well as bluffs
319 prevent the upland transgression of tidal marshes and landward migration of sandy beaches (Fig. 4). In the
320 absence of urbanization, these coastal habitats are resilient and capable of responding to high rates of SLR, as
321 evidenced by their ability to survive during the >100 m of SLR of the late Quaternary, including several
322 centuries during Meltwater Pulse 1A where rates approximated 5 cm/yr (Milne et al., 2005). However, tidal
323 marshes have more recently been in decline across the coast of California due to urbanization and land
324 management practices, with a 48% loss of habitat across southern California since 1850, including a 62%
325 decline in Santa Barbara County (Stein et al., 2014). Accelerating sea levels world-wide and limits to landward
326 mobility will further increase tidal inundation of marshes leading to changes in key physical and biological

327 properties known to structure marsh plant communities and habitats (Grewell et al., 2007). As demonstrated
328 in this study, major changes are forthcoming or already underway in Carpinteria salt marsh, and this regionally
329 scarce ecosystem may pass a tipping point with less than 0.25 m of SLR with the significant loss of high salt-
330 marsh and transition habitats and the functions these habitats provide. The precise timing of habitat evolution
331 will depend on the rate of SLR and the accretion rate of the marsh surface, but ultimately, with limited
332 landward accommodation space, this vegetated marsh will be converted to mudflat. While specific tipping
333 point dates are tidal marsh-specific and depend on local hydrology, sediment supply, and topography,
334 urbanized tidal marshes are the norm along the California coast and other populated settings. This study,
335 therefore, may serve as a proxy for the response of similar tidal marsh systems world-wide. Mitigating and
336 adapting to the anticipated vulnerability of this ecosystem to climate-related impacts are a key planning and
337 management priority for local, state, and federal agencies (Griggs and Russell, 2012; NRC 2012, Little Hoover
338 Commission, 2014).

339
340 If the sole trigger for communities across the region to implement adaptation options is based on substantial
341 increases in the physical exposure of developed land and built systems to SLR and storms, then communities
342 might delay adaptation strategies until after 2050 as the largest changes in exposure are not projected until
343 SLR exceeds 0.75 m for daily flooding (i.e., inundation) and 1.50 m for storm-driven flooding, and therefore
344 not projected to occur until toward the end of the 21st century or later. Further, when looking at
345 socioeconomic exposure, the population, employees, property values, and developed land directly exposed to
346 flooding in the study area jurisdictions represents just 2-13% of totals even under the most extreme SLR
347 scenario considered here (i.e., 2 m), and the largest changes between scenarios are all 5% or less.

348
349 Although projected changes in flood-hazard exposure may not represent high percentages of the total number
350 or amount of residents, employees, property values and developed land in the communities, these values do

351 not consider the interconnection of the human-natural system. For instance, the study region is highly
352 dependent on tourism, driven largely by visitors enjoying the beaches and wetlands along the coast. For
353 example, a 2017 analysis of visitor profiles in the study area identified several activities that relate to these
354 coastal systems, including going to the beach (52-68% of visitors, depending on type of visitor), going to parks
355 (19-25%), and water-based recreational activities (2-8%). This report also estimates that the region had an
356 average of 28,884 daily visitors, which supported 13,482 jobs and resulted in \$1.9 billion in annual revenue
357 (Destination Analysts, 2018). While there is not a direct 1:1 relationship between beach loss and loss of
358 tourism, it has been shown to lead to economic impacts in other regions and is something for which coastal
359 communities could prepare. In addition, valuable public infrastructure and public services, such as the Santa
360 Barbara airport, the Amtrak and Railroad, Highway 101, the El Estero Water Resource Center, along with
361 stormwater drains, sewage pump stations, and harbors are located in these coastal strips; even intermittent
362 coastal storm-related flooding can cause severe interruptions of these systems, resulting in further economic
363 impacts that can compound over time. Understanding the timing of different tipping points (or at least the
364 point when the largest changes in exposure are projected to occur), including losses to natural systems, upon
365 which economic drivers such as coastal tourism are dependent, could therefore be important for robust
366 adaptation planning.

367
368 Admittedly, assuming an irreversible change in our tipping point definition does not consider the adaptive
369 capacity of each system to respond to SLR. Adaptive capacity may be limited when beach and tidal marsh
370 habitat areas are squeezed between rising seas and hardened urban landscapes. In such cases, endemic plant
371 and animal populations dependent on those habitats may be completely eliminated. Other biota (e.g., deeper
372 water fish assemblages) might use the flooded habitat, however, overall ecological composition, biodiversity,
373 and functions will change. Conversely, while the socioeconomic impacts of more frequent coastal flooding
374 may be severe, human populations have the ability to migrate to safer, inland settings, although social

375 inequities can play a major role in migration potential for underserved communities. The complexity of
376 adaptive capacity, human response, and societal value placed on different coastal systems makes a universal
377 definition for a community-scale tipping point difficult to quantify in a way that directly supports management
378 action across the board. What is clear, however, is that regardless of the chosen metric, individual coastal
379 systems will continue to evolve at different rates and reach critical thresholds at different times due to climate
380 change, prompting the need to adopt a multi-tiered, multi-disciplinary approach to address the range of
381 physical, biological, and human impacts associated with climate change in coastal systems.

382
383 The most substantial changes and/or tipping points identified here are based on projections of future coastal
384 hazard exposure and ecosystem response assuming no interventions, i.e., they are not inevitable. Measures
385 that could counter local SLR and storm impacts include ecosystem restoration, removing barriers to inland
386 transgression (King et al., 2018), increasing sediment supply (e.g., dam removal), and removing shoreline
387 armoring and reducing mechanized beach grooming (Dugan and Hubbard, 2010). Ultimately, the pace of
388 management action will depend upon societal values and the availability of community resources (e.g.,
389 financial resources and political will) to mitigate potential losses, absorb losses, and/or implement adaptation
390 measures within the coastal zone. However, if communities only look at singular triggers to make adaptation
391 planning decisions – either for natural (e.g., limited marsh conversion) or human systems (e.g., number of
392 businesses impacted) – they are missing the interconnectedness of natural-human systems. The full range of
393 vulnerability, and their associated tipping points, must be analyzed in tandem. If the prevention of significant
394 ecosystem changes is a priority, then swift action is essential as those tipping points are imminent. Similarly,
395 beyond the intrinsic value of having thriving coastal ecosystems, like many coastal towns, a healthy coastal
396 zone is also critical to this region’s economy.

398 In summary, defining a tipping point that universally captures the point of significant degradation across
399 physical, biological, and human systems is challenging. Cascading interactions between SLR, coastal hazards,
400 and human response amplify impacts but are complex and difficult to quantify; humans could choose to adapt
401 and stay in place or move away from a hazardous region. Moreover, in a tourism-driven economy such as
402 Santa Barbara's, focusing only on flooding to infrastructure and property, and not preparing for the impacts to
403 the coastal ecology and beach loss, ignores an important socio-natural interconnection on which the region's
404 economy depends; natural system degradation will likely negatively impact coastal tourism, which in turn then
405 makes local businesses and communities vulnerable well before direct flood exposure to physical assets.
406 Nevertheless, to better inform resource decisions, this study identifies climate-adaptation planning that
407 considers tipping points for multiple components of a coastal system, including for natural ecosystems, as
408 opposed to the more common singular focus on human components.

410 **Materials and Methods**

411 Climate modeling

412 Ten global climate models (GCMs) were selected from the Coupled Model Intercomparison Project Phase 5
413 (CMIP5) archive (Taylor et al., 2012; IPCC, 2013) based on their realism in representing California's historical
414 climate (Pierce et al., 2018). The Local Constructed Analogs (LOCA) statistical technique was used to
415 downscale each GCM to 6 km resolution for daily temperature and precipitation from 1950-2100 for
416 Representative Concentration Pathway (RCP) scenarios RCP4.5 and RCP8.5 (Pierce et al., 2014).

417
418 Sea level rise projections for the 21st Century were derived from the National Research Council (NRC, 2012)
419 and integrated with short period fluctuations due to tides, meteorological conditions, and short period climate
420 variability (e.g., El Niño) to produce hourly coastal water levels for the study area. Model inputs for the
421 multiple-linear regression model were based on water level observations at Santa Barbara Harbor and

422 historical NCEP meteorological reanalysis data, with the variables including daily climate model data, surface
423 pressure, wind stress, and both local sea surface temperature and central Pacific Ocean sea surface
424 temperature to assess El Niño variability (Cayan et al., 2008).

425

426 Coastal hazards

427 Driven by the wind and pressure fields from the native resolution and downscaled GFDL-ESM2M (RCP4.5
428 scenario) GCM from above, the Coastal Storm Modeling System (CoSMoS: Barnard et al., 2014, 2019; Erikson
429 et al., 2018; O’Neill et al., 2018) was applied to the study area by considering 40 SLR (i.e., 0, 0.25, 0.50, 0.75,
430 1.00, 1.25, 1.50, 1.75, 2.00 and 5.00 m) and storm scenarios (i.e., daily, annual, 20-year and 100-year storms).
431 Twenty-first century wind fields were fed into a global and nested Eastern North Pacific WAVEWATCH III wave
432 model (Tolman et al., 2002) to establish wave conditions at the continental shelf edge (Erikson et al., 2015),
433 and then dynamically downscaled using SWAN (Booij et al., 1999) to transform the waves to the nearshore. To
434 establish nearshore water level boundary conditions, SWAN was coupled with DELFT3D-FLOW to capture the
435 tides, seasonal water level anomalies, river discharge and storm surge (O’Neill et al., 2017; 2018; Pierce,
436 2017). High-resolution hydrodynamic grids ($O \sim 10$ m) were used to model total water levels and overland
437 flooding for complex shorelines, including protected embayments, while cross-shore, 1-D XBeach models
438 (Roelvink et al., 2009) were spaced every 100 m alongshore on the open coast to predict wave set-up and
439 swash. Projected flood levels for each scenario were interpolated onto a 2-m grid and differenced from a
440 Digital Elevation Model (DEM: Danielson et al., 2016) to provide the flood extent and depth. Storm scenarios
441 for the full computational application of CoSMoS were established via a proxy approach (Erikson et al., 2018),
442 which also served to provide a 21st century, continuous time series of total water levels to drive the coastal
443 change models for sandy beaches (CoSMoS-COAST: Vitousek et al., 2017) and cliffs (Limber et al., 2018) along
444 the established XBeach transects. The long-term coastal change projections were used to evolve the DEM for

445 the future flooding scenarios (Erikson et al., 2017). All the model projections are freely available for viewing
446 (Ballard et al., 2020) and download (Barnard et al., 2018).

448 Sandy beach ecosystems

449 Standard elevational metrics were related with ecological components and habitat zones of beaches to
450 identify the vulnerability of sandy beach ecosystems to SLR. The current and future state of the ecologically
451 critical, upper tidal zone of each beach, which ranged from bluff-backed, dune-backed, armored, and
452 groomed, was measured and modeled using the total water level datum (Moore et al., 2006; Ruggiero and
453 List, 2009) as a proxy for the dynamic landward extent of the upper intertidal zone, equivalent to the daily
454 High Strand line (HTS) (Dugan et al., 2013). Ecological research on area beaches (Dugan et al., 2003; 2008;
455 2011; 2013; Hubbard and Dugan, 2003) combined with beach surveys and coastal processes studies enabled a
456 predictive framework to be established between SLR and changes in the upper beach zones (Barnard et al.,
457 2009; Griggs and Russell, 2012). The total water level projections from CoSMoS (Barnard et al., 2014; 2019;
458 O'Neill et al., 2018) for 0.50, 1.00, 1.50, 2.00 and 5.00 m of SLR combined with background and annual storm
459 scenarios were used to establish the landward extent of the upper beach zone. The seaward limit of the upper
460 beach zone was determined by the projected MHW elevation, as determined by shoreline modeling driven by
461 a CoSMoS-generated 21st century water level time series (Vitousek et al., 2017). Upper beach zone landward
462 migration and width was restricted by the presence of non-erodible structures, such as revetments, sea walls,
463 roads or parking lots.

465 Tidal wetlands

466 This study examined the wetland evolution of Carpinteria salt marsh, a 93 ha tidal wetland (Fig. 1). This
467 wetland represents an urbanized marsh system, surrounded by urban and residential development that
468 restricts potential upland migration, and an engineered inlet that maintains the tidal connection to the ocean.

469 The system contains a wide range of marsh species and habitats, including a salt tolerant pickleweed
470 (*Sarcocornia pacifica*) dominating the regularly flooded middle tidal marsh, various succulent, grass, and
471 perennial and annual herb species occur in the high marsh and upland transition zones, including rare and
472 endangered species (Myers et al., 2019). Existing habitats were delineated using multispectral aerial imagery
473 as open water subtidal, high and low mudflat, coastal salt marsh, salt marsh-upland transition, and
474 undeveloped land. Using Santa Barbara Harbor tide data from 2006-2014 (NOAA, 2020) and elevation surveys
475 from both aerial Lidar and *in situ* Real Time Kinematic Global Positioning System (RTK GPS), habitat was linked
476 to elevation and flooding frequency. This relationship was then applied to assess future marsh habitat
477 evolution using SLR ranging from 0 to 2.5 m. The potential timing of habitat evolution was evaluated assuming
478 a vertical accretion rate of 4 mm/yr (Reynolds et al., 2018) and based on the National Research Council SLR
479 scenarios (NRC, 2012).

481 Socioeconomic exposure

482 Socioeconomic exposure to the flood extent for 21 SLR/storm scenarios summarized in Barnard et al. (2019)
483 was estimated by the geospatial analysis of various community assets (Jones et al., 2016; 2017). Residential
484 counts are based on 2010 block level data from the U.S. Census Bureau (U.S. Census Bureau, 2020). Employee
485 counts and locations came from the 2020 Infogroup Employer Database (Data Axle, 2020). Parcel boundaries
486 and their total assessed values (tax year 2019) are from the Homeland Infrastructure Foundation-Level Data
487 (HIFLD) repository (U.S. Department of Homeland Security, 2020). Estimates of developed land are based on
488 high-, medium-, and low-intensity developed classes in the 2016 National Land Cover Database (Multi-
489 Resolution Land Characteristics Consortium 2020). All the data are served up in an interactive web application
490 (Wood et al., 2021).

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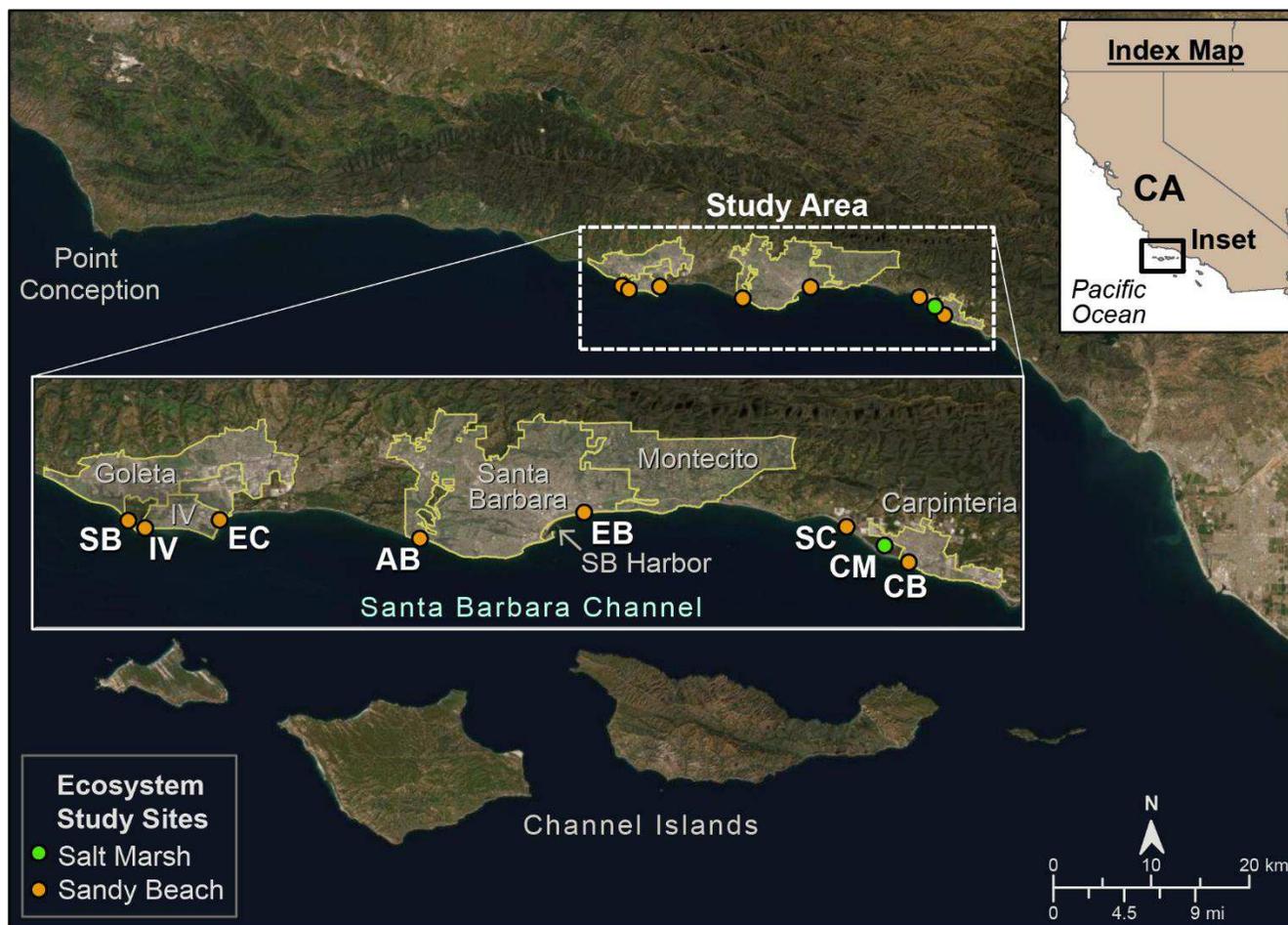
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802 **Figures**

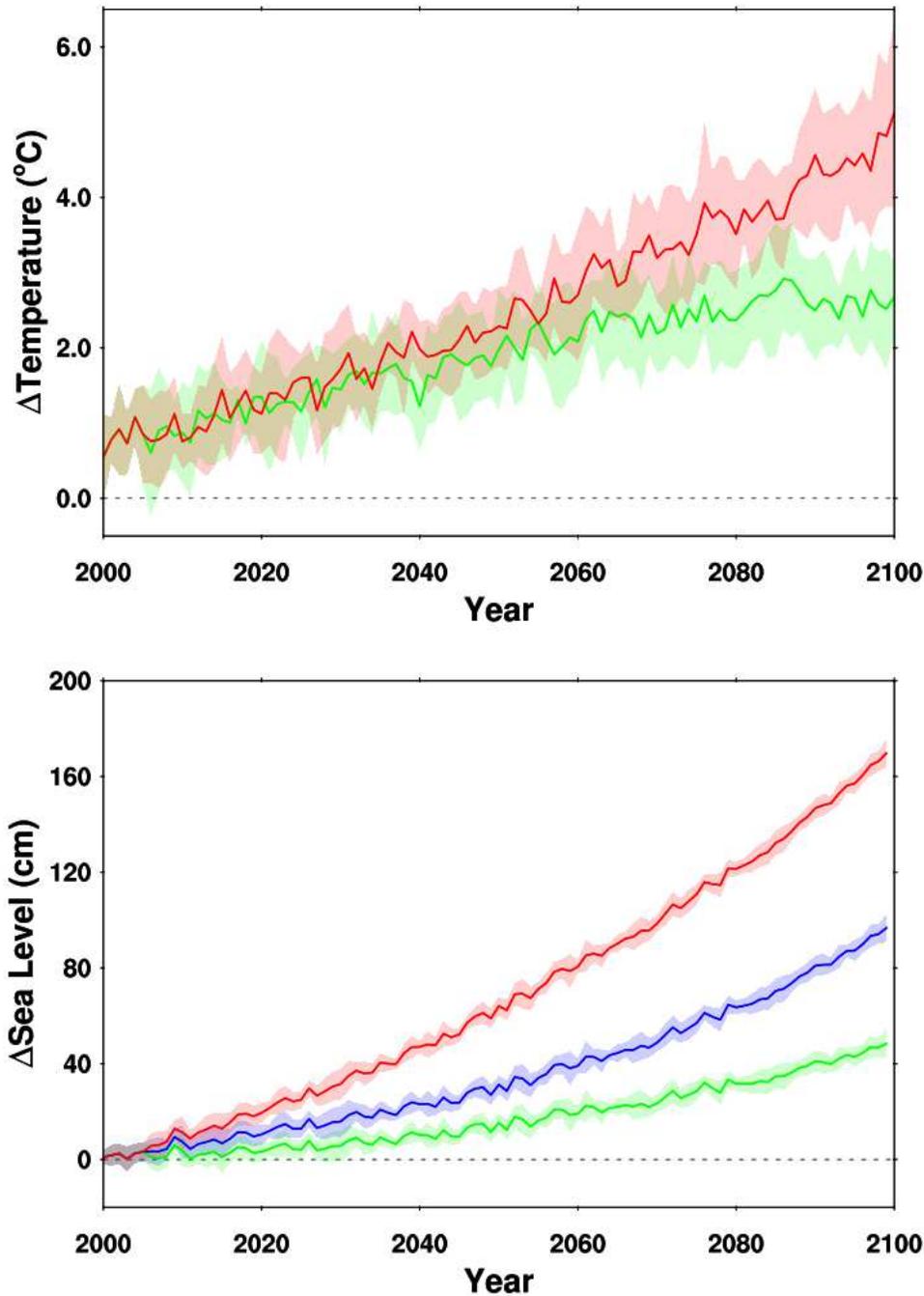
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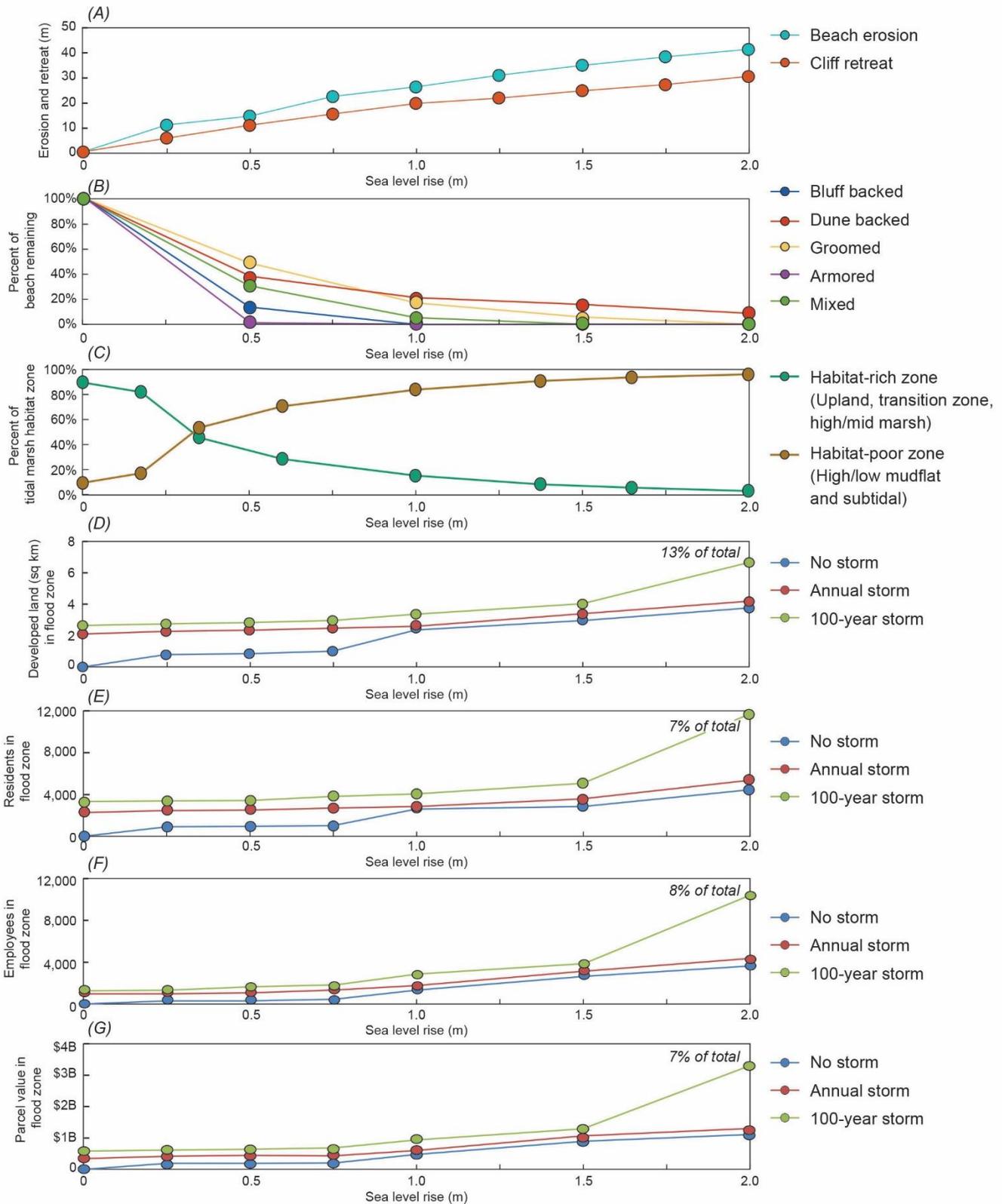
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806 **Fig. 1. Study area.** Projections of coastal change were conducted from Point Conception east to the Santa
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 808 ecosystem study sites (SB=Sands/Ellwood beach, IV=Isla Vista, EC=East Campus, AB=Arroyo Burro, EB=East
 809 Beach, SC=Santa Claus, CM=Carpinteria salt marsh, and CB=Carpinteria city beach). Map also identifies the
 810 communities used to characterize socioeconomic exposure to projected flooding, including Goleta, Isla Vista,
 811 Santa Barbara (city), Montecito, and Carpinteria.
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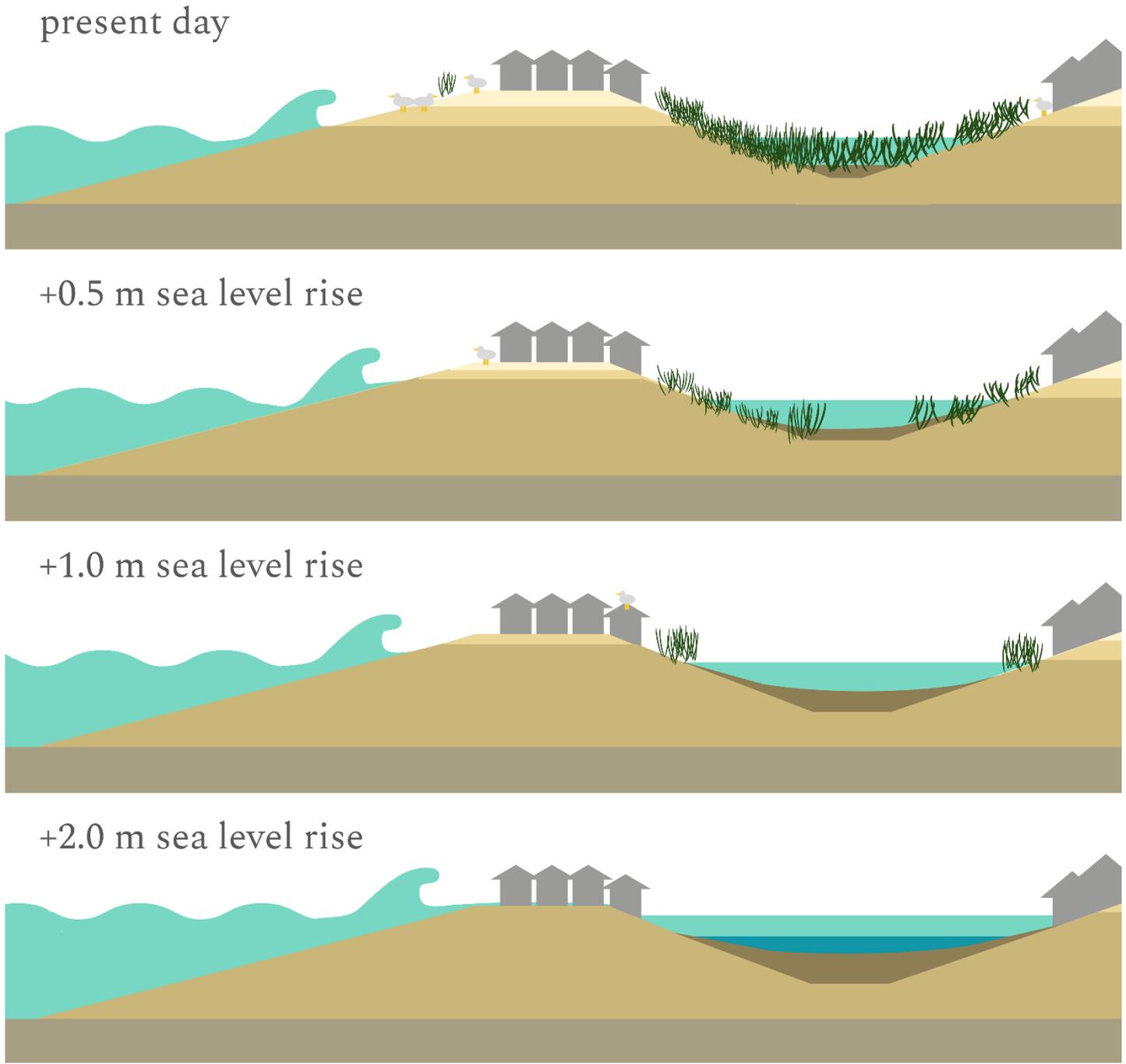
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Fig. 2. Global Climate Model projections of climate metrics for the Santa Barbara, California, region through 2100. Top panel: Annual mean temperature anomalies (relative to 1970-1999 base period) in the Goleta-Santa Barbara-Carpinteria coastal region from an ensemble of ten climate models employing the RCP 4.5 (green) and RCP 8.5 (red) emission scenarios. Solid line is the ensemble mean while the envelope is +/- one standard deviation of the individual model annual values from the ten model ensemble mean. Bottom panel: Departures of annual mean sea level (relative to year 2000 values) using three sea level rise scenarios and eight climate model projections using the RCP 8.5 emission scenario. The sea level rise scenarios are the low-range (green), mid-range (blue), and high-range (red) estimates from the National Research Council (NRC) 2012 report. The solid line represents the ensemble mean while the envelope is +/- one standard deviation of the annual values from the eight models about the ensemble mean.



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Fig. 3. Projected changes in coastal systems for the Santa Barbara study area. A) Beach erosion and cliff retreat projections, B) the percent of beach remaining along transects, C) the percentage of habitat-rich and habitat-poor zones in Carpinteria salt marsh, and the amount of various community assets in projected flood zones including D) developed land, E) residents, F) employees, and G) parcel values relative to sea level rise scenarios from 0 to 2 meters.



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Fig. 4. Conceptual diagram of the tipping points in the Santa Barbara coastal system with increasing SLR. A) Present day: coastal habitats and infrastructure vulnerable. B) 0.5 m sea level rise (SLR): sandy beach ecosystems squeezed by SLR and urban infrastructure, tidal marsh habitats degrading. C) 1 m SLR: sandy beach ecosystems and salt marsh habitats almost completely eliminated, daily tidal flooding impacts urban environments. D) 2 m SLR: Habitats lost, and urban environment highly susceptible to daily and periodic storm impacts.

Figures

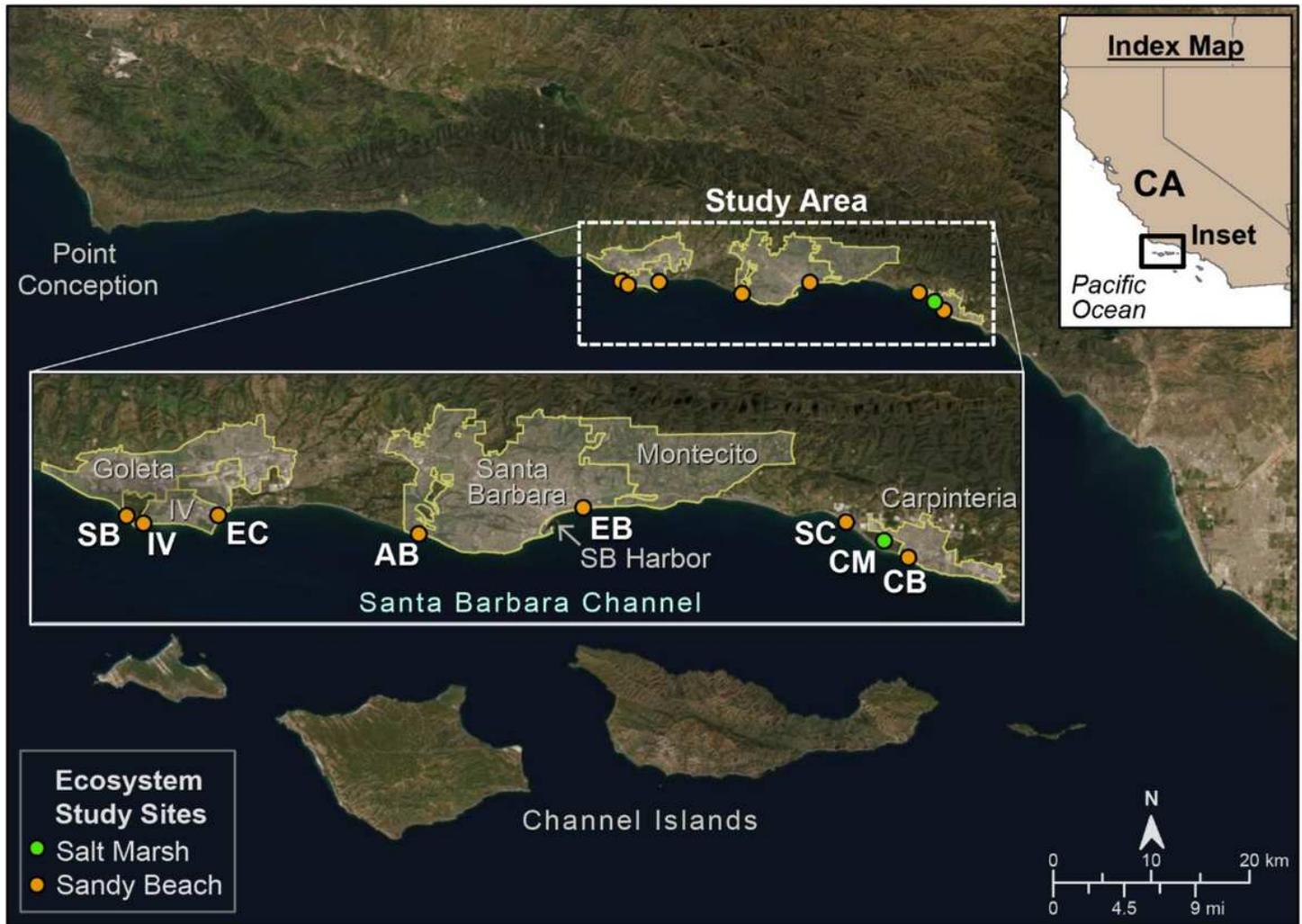


Figure 1

Study area. Projections of coastal change were conducted from Point Conception east to the Santa Barbara County line, just east of Carpinteria, California. Inset shows location of tidal marsh and sandy beach ecosystem study sites (SB=Sands/Ellwood beach, IV=Isla Vista, EC=East Campus, AB=Arroyo Burro, EB=East Beach, SC=Santa Claus, CM=Carpinteria salt marsh, and CB=Carpinteria city beach). Map also identifies the communities used to characterize socioeconomic exposure to projected flooding, including Goleta, Isla Vista, Santa Barbara (city), Montecito, and Carpinteria. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

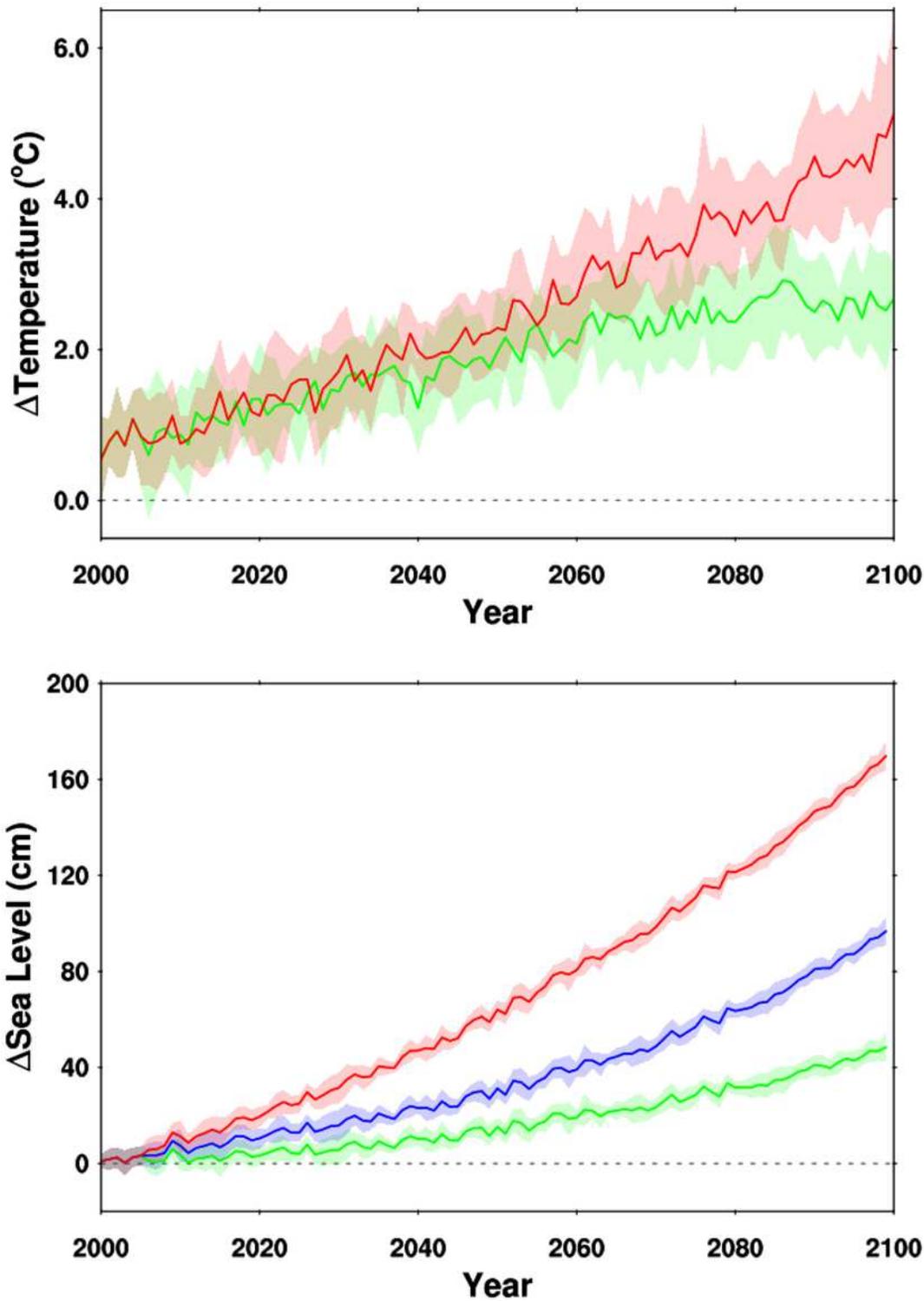


Figure 2

Global Climate Model projections of climate metrics for the Santa Barbara, California, region through 2100. Top panel: Annual mean temperature anomalies (relative to 1970-1999 base period) in the Goleta-Santa Barbara-Carpinteria coastal region from an ensemble of ten climate models employing the RCP 4.5 (green) and RCP 8.5 (red) emission scenarios. Solid line is the ensemble mean while the envelope is +/- one standard deviation of the individual model annual values from the ten model ensemble mean.

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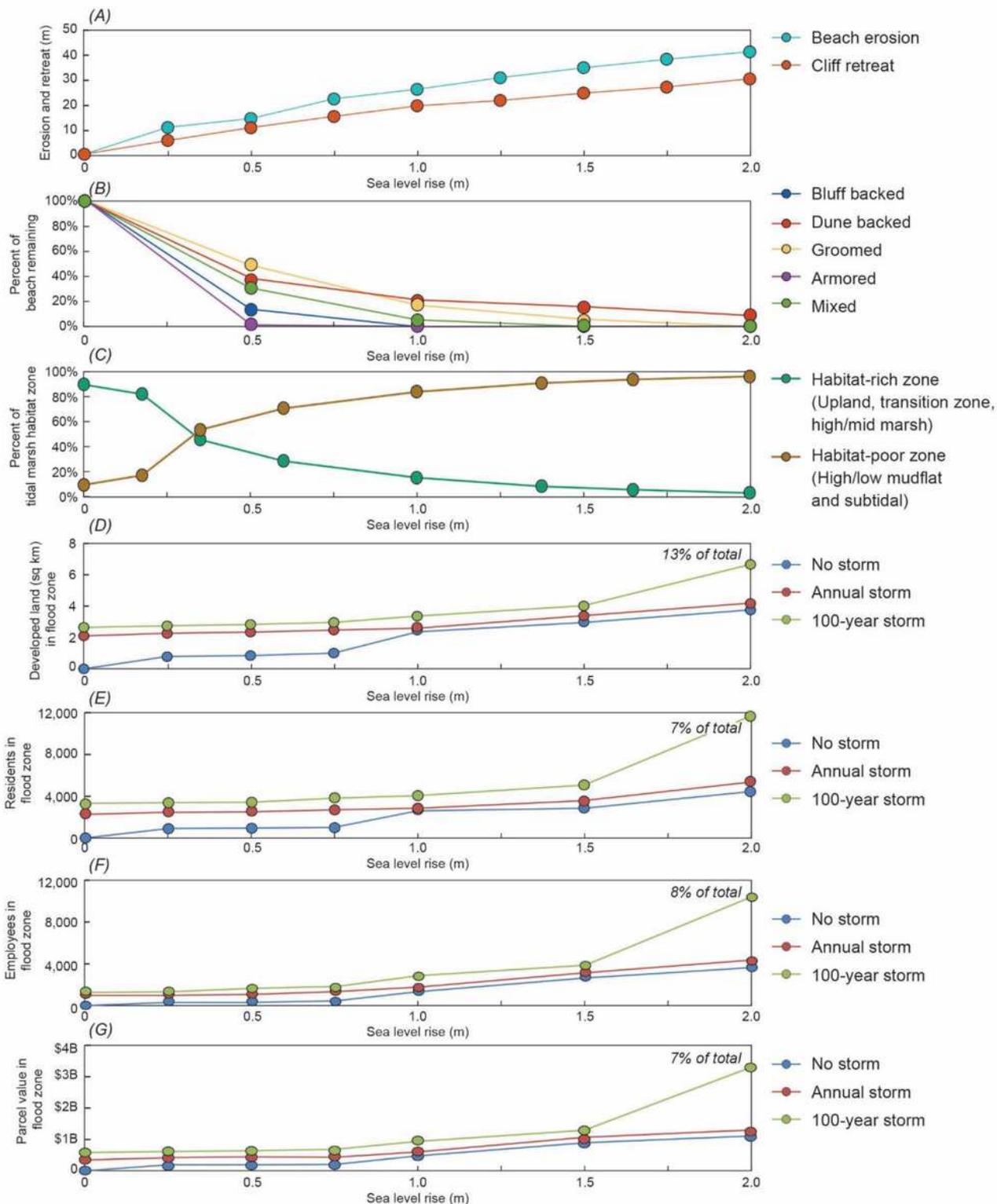


Figure 3

Projected changes in coastal systems for the Santa Barbara study area. A) Beach erosion and cliff retreat projections, B) the percent of beach remaining along transects, C) the percentage of habitat-rich and habitat-poor zones in Carpinteria salt marsh, and the amount of various community assets in projected flood zones including D) developed land, E) residents, F) employees, and G) parcel values relative to sea level rise scenarios from 0 to 2 meters.

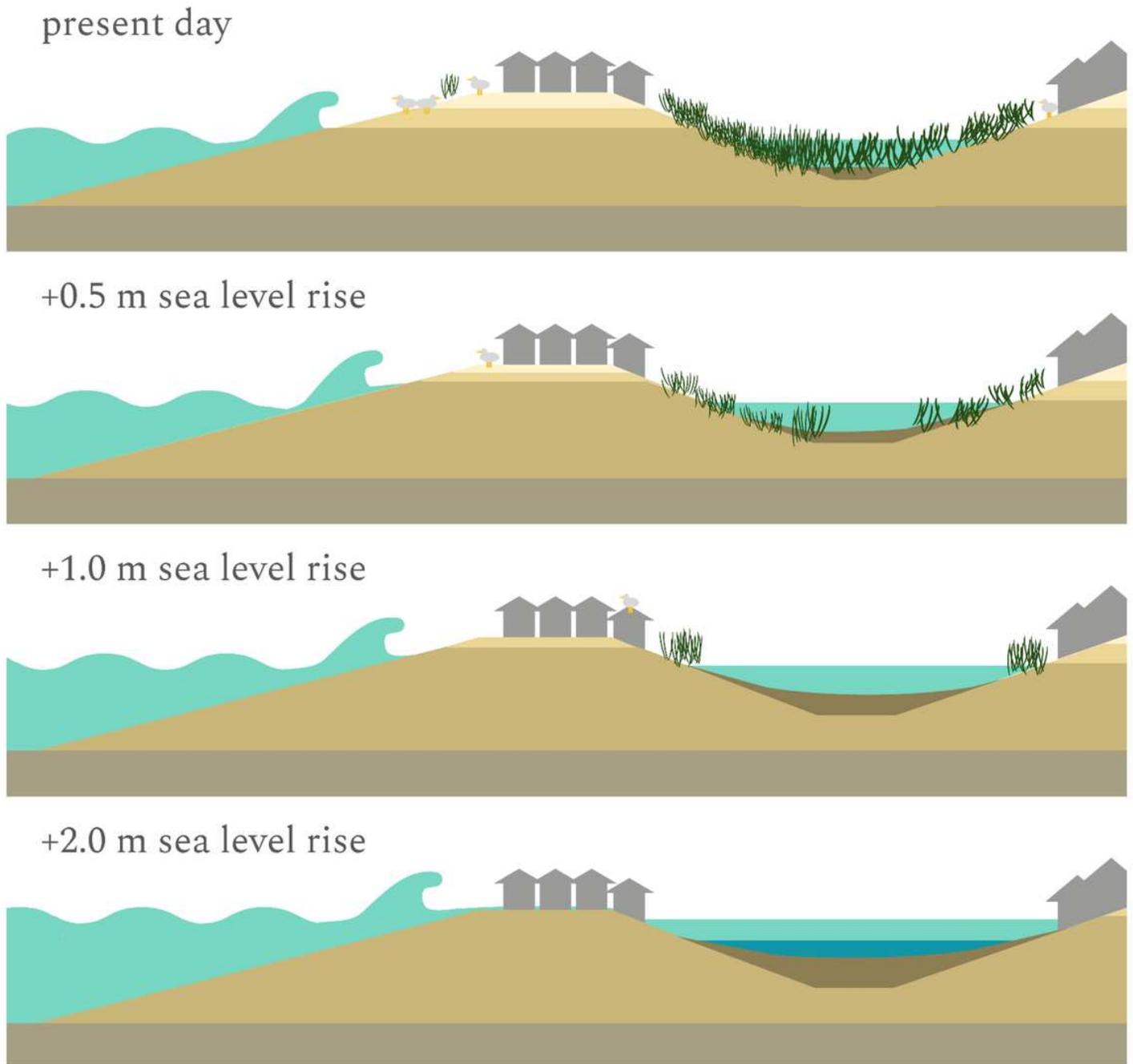


Figure 4

Conceptual diagram of the tipping points in the Santa Barbara coastal system with increasing SLR. A) Present day: coastal habitats and infrastructure vulnerable. B) 0.5 m sea level rise (SLR): sandy beach ecosystems squeezed by SLR and urban infrastructure, tidal marsh habitats degrading. C) 1 m SLR:

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Supplementary Files

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- [SupplementalTableupdated5.6.21.xlsx](#)