

1 **Linkage analysis, GWAS, transcriptome analysis to identify candidate genes for**  
2 **rice seedlings in response to high temperature stress**

3  
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17  
18 **ABSTRACT**

19 **Background:** With the increase of global temperature, the problem of rice yield  
20 decline caused by the rising temperature is becoming more and more prominent,  
21 which urgently needs to be solved. Mining heat-resistant genes and applying them to  
22 rice breeding is a feasible and effective way to solve the problem.

23 **Result:** Three main biomass traits, including shoot length, dry weight, and fresh  
24 weight, changed after abnormally high temperature treatment in the seedling stage of  
25 a recombinant inbred line and natural groups. Based on a comparison of the results of  
26 linkage analysis and genome-wide association analysis, the presence of two sections  
27 with lengths of 57 kb and 69 kb in *qDW7* and *qFW6*, respectively, was associated

28 with the rice response to abnormally high temperatures in the seedling stage.  
29 Meanwhile, based on integrated transcriptome analysis, some genes are considered as  
30 candidate genes for subsequent research and analysis. Combining with reports of  
31 known genes and analysis of homologous genes, it was found that there are 8 genes in  
32 candidate genes that need to be focused in subsequent research.

33 **Conclusions:** The results indicated several relevant beneficial loci reacted to heat  
34 stress in rice seedling stage, which would help researchers to further discover  
35 excellent heat-resistant genes that can be applied to rice heat-resistant breeding.

36 **Keywords:** Linkage analysis, GWAS, Transcriptome analysis, Rice seedling,  
37 High-temperature-mediated growth response.

38

## 39 **Background**

40 Rice (*Oryza sativa* L.) is a main food crop worldwide, especially in Asian countries.  
41 As an Asian country, China has a population of 1.4 billion, and 2/3 of the people's  
42 main food is rice. Fortunately, despite of the large population of eating rice as main  
43 food in China, China is the world's largest rice producer, whose rice production ranks  
44 first in the world<sup>[1]</sup>. But, as the global industrialization process accelerates,  
45 greenhouse gases, such as carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>), have caused the  
46 global temperature to rise continually. The rising high temperature is affecting the rice  
47 yield worldwide. Even if some rice varieties from tropical regions have formed  
48 ecological characteristics adapted to high temperature environments, their yields will  
49 also be affected once the temperature exceeds their appropriate temperature<sup>[2]</sup>. The  
50 ambient temperature exceeding the appropriate temperature during rice growth and  
51 development would lead to yield reduction<sup>[3]</sup>. Some high-temperature sensitive  
52 cultivars may have a decrease in yield of more than 10% for every 1 °C increase<sup>[4]</sup>.

53 Early seedling growth is important for plant morphogenesis, but high-temperature  
54 stress during this period is unfavorable for plant growth and development<sup>[5]</sup>. When  
55 rice seedlings experience high temperature above 35°C, the protein type and content  
56 of leaves change considerably<sup>[6-7]</sup>, and the shoot length, dry weight, and other traits  
57 are negatively affected<sup>[8]</sup>.

58 In order to further understand the mechanism of rice responding to high-temperature  
59 stress, some research groups have used mapping populations to explore the effects of  
60 high-temperature stress on rice and obtained heat-tolerant genes or QTLs at different  
61 physiological stages<sup>[5-6,9-11]</sup>. Linkage analysis has been confirmed as a feasible method  
62 in mining rice heat-tolerant genes. However, as the number of inbred line populations  
63 used in linkage analysis is limited, the quantitative trait locus (QTL) results have a  
64 wide range<sup>[12]</sup>. The detected QTL results are difficult to be used directly in breeding,  
65 which requires time-consuming fine mapping<sup>[2]</sup>. Association analysis using natural  
66 populations can effectively circumvent the problem of linkage analysis. So far, there  
67 are few reports on using natural populations to mine beneficial loci in response to heat  
68 stress during rice vegetative growth.

69 Genome-wide association analysis (GWAS) has been used to identify causal loci for  
70 important agronomic traits, such as grain length<sup>[13-14]</sup> and the 1000-grain weight<sup>[13]</sup> in  
71 rice. Previously, some key SNPs on chromosome 4 affecting floret fertility were  
72 detected under high-temperature conditions using GWAS population<sup>[15]</sup>. However, the  
73 influences of the population genetic structure and allele frequency were easily  
74 overlooked in GWAS, which increased the probability of false positives in linkage  
75 disequilibrium (LD) mapping results<sup>[16]</sup>. Combining the results from linkage analysis  
76 and correlation analysis has facilitated the attempt to explore quantitative agronomic  
77 traits. It has been confirmed that combining these methods can further improve the

78 efficiency and accuracy of QTL calling<sup>[17]</sup> and can effectively narrow the intervals of  
79 major QTLs in rice<sup>[18]</sup>. In this study, a natural rice population and the recombinant  
80 inbred line (RIL) population derives from the 93-11×PA64s were used to compare the  
81 differences in seedling traits with or without heat stress treatment, and then linkage  
82 analysis, association analysis and RNA\_seq analysis were conducted. QTLs related to  
83 heat stress in the rice seedling stage were identified, which provided a basis for later  
84 fine mapping and gene editing in heat-resistant molecular-assisted selection breeding.

85

## 86 **Results**

### 87 **Phenotypes of RIL and GWAS population**

88 To detect the influence of heat treatment on rice seedlings, the phenotypic traits of  
89 RIL and the natural rice population with or without heat treatment were statistically  
90 analyzed (Fig. 1). The average and extreme values of the shoot length (SL), dry  
91 weight (DW), and fresh weight (FW) of RIL and natural population decreased after  
92 heat stress treatment, which confirmed that heat stress had a negative impact on rice  
93 growth. The measured values between the RIL control and the treatment groups were  
94 different, and the measured values were higher than the average value of the  
95 corresponding parents, which suggested the existence of super-parent separation of  
96 biomass traits (Table S1). The measured traits of the natural rice population and the  
97 RIL were tested to determine whether they followed a normal distribution (Fig. S1  
98 and S2). It showed that shoot length, dry weight, and fresh weight were basically in  
99 accordance with a normal distribution in both RIL and GWAS population. According  
100 to the traits data, it was determined that the biomass traits of rice seedlings in the  
101 experiment are quantitative traits controlled by typical polygenes (Table S1). Biomass  
102 traits of the control and treatment groups in RIL were significantly lower than those in

103 the parental groups. However, the survival rate (SR) of the RIL was higher compared  
104 to the parents (Fig. 1, Table S1), which suggested that the RIL had pyramided more  
105 heat-tolerant genes from the parental materials during the recombination process. The  
106 difference in biomass traits between the control and treatment groups of GWAS  
107 population was less than that of RIL, while the survival rate of GWAS population was  
108 significant higher than that of RIL (Fig. 1, Table S1). These results inferred that there  
109 were more natural heat-tolerant genes and genetic diversity in the natural population.  
110 Exploring the heat-resistant QTL of rice seedlings provides a possibility for  
111 comparison of control and post-treatment QTL mapping to obtain QTLs for  
112 heat-resistant effects.

113 The correlations among biomass traits of RIL and GWAS population were analyzed  
114 separately (Fig. 2). The results showed that the correlations of the biomass traits  
115 between the two groups were different. Among them, the strongest correlation was  
116 found between dry weight and fresh weight of the GWAS treatment group, and the  
117 correlation coefficient was 0.91. In the RIL population, there was also a strong  
118 correlation between dry weight and fresh weight of the RIL treatment group, with a  
119 correlation coefficient of 0.75. These results suggested that there should be genes in  
120 rice seedlings that affect both dry and fresh weight of rice seedlings simultaneously  
121 under high temperature stress, and in this way respond to high temperature stress.  
122 Previous studies have confirmed that seedling biomass traits can reflect rice seedling  
123 vigor<sup>[19]</sup>. But in this study, although the effect of high temperature stress on rice  
124 seedlings can be directly reflected by biomass traits, correlation analysis showed that  
125 there is a very weak correlation between survival rate traits that directly reflect the  
126 vigor of rice seedlings and the biomass of rice seedlings. This indicates that biomass  
127 traits were not the unique manifestation in response to high temperature stress in rice,

128 and that survival rates and biomass traits were regulated by different genes.

129

### 130 **QTL mapping of RIL**

131 Using the high density map of RIL in 2013<sup>[20]</sup>, QTL mapping of the RIL with or  
132 without heat stress treatment was carried out. In total, 20 QTLs, distributed on the  
133 chromosomes 2, 3, 4, 6, 7, 9,11, and 12, were detected (Table 1). The detection of  
134 multiple QTLs for the same trait indicates that the biomass trait is indeed a  
135 quantitative trait. There are several known genes related to plant heat tolerance in the  
136 above QTL intervals, including *GS8*<sup>[21]</sup> and *abli*<sup>[22]</sup>. All of these known genes  
137 confirmed the accuracy of the linkage analysis. The results showed that *qDW11*, a  
138 locus for dry weight trait in the treatment group, had the highest logarithm of odds  
139 (LOD) value (6.72). Meanwhile, we found *qDW11* and another loci *qFW11.1* for fresh  
140 weight in the treatment group are two identical intervals, which is also verified by the  
141 correlation analysis results (Fig. 2). In the analysis of dry and fresh weights of the  
142 control group, the same set of QTLs (*qCDW11* and *qCFW11*) were also identified on  
143 chromosome 11. However, the same interval for dry and fresh weight detected in the  
144 control group was inconsistent with that in the treatment group, indicating that the  
145 QTL identified in the treatment group responded to the influence of high temperature.  
146 Therefore, we speculate that *qDW11* and *qFW11.1* contain genes that respond to high  
147 temperature stress, which are not expressed under normal growth conditions, and we  
148 will focus on this candidate interval later.

149

### 150 **GWAS of heat-related traits in the seedling stage**

151 A genome-wide association analysis of 255 natural rice lines was performed using the  
152 existing genome-wide coverage of 14,779,691 single-nucleotide polymorphism (SNP)

153 data from 3k database<sup>[23]</sup>. It is generally considered that linkage disequilibrium was  
154 between 100 kb and 200 kb in rice<sup>[18]</sup>. In this study, the SNP threshold for the  
155 significantly associated sites is P value  $<10^{-6}$  and the significant SNPs sites within the  
156 100 kb interval are considered candidate loci (Table S2). There were a large number  
157 of different significant loci in the heat treatment and control groups, which suggested  
158 that the high temperature in the seedling stage might affect the growth of the natural  
159 population through these loci (Fig 3 and S3). According to the stringent screening  
160 conditions, only a small number of reasonable SNPs were associated with the shoot  
161 length, so the P value was reduced to  $< 10^{-5}$  for subsequent analysis. In this way, a  
162 total of 10 intervals for biomass traits were identified in the control groups, while 29  
163 intervals for biomass traits were present on all of the chromosomes other than  
164 chromosome 3 in the heat treatment group (Table S2). For the dry weight and fresh  
165 weight of the treatment groups, the consistent intervals, *qDW\_ind8* (3.09Mb-3.29Mb)  
166 and *qFW\_ind8* (3.09Mb-3.29Mb), were identified on chromosome 8. Therefore, there  
167 are important candidate genes controlling each biomass traits under high temperature  
168 stress in this interval. It can be inferred that the expression of one or more genes in  
169 this interval was in response to high temperature stress by adjusting the dry weight  
170 and fresh weight characters of rice seedlings.

171 There are 77 intervals containing 283 SNPs for survival rate after heat treatment (Fig.  
172 4a, Table S2). Among them, *qSR\_ind9-3* on chromosome 9 contains gene *Ugp1*, a  
173 known heat-tolerant gene at the heading stage<sup>[24]</sup>(Fig. 4c). As *Ugp1* is expressed  
174 during the whole growth and development period of rice<sup>[24]</sup>, it was suggested that this  
175 gene could also had an effect on the rice seedling response to heat stress. To further  
176 determine the natural variation of *Ugp1*, we performed haplotype analysis using all of  
177 the non-synonymous SNPs in the coding region of this gene (Fig. 4d). A total of 10

178 SNPs in the coding sequence (CDS) region were detected, and four major haplotypes  
179 were identified based on the 10 SNPs. Hap.1 is the main haplotype in nature  
180 population, and the varieties belonging to Hap.1 had higher survival rate after high  
181 temperature stress treatment in rice seedling stage. It can be found that the expression  
182 of *Ugp1* does have an effect on the response of rice seedlings to high temperature  
183 stress, and the varieties belonging to Hap.1 had higher survival rate after high  
184 temperature stress treatment in rice seedling stage. It can be found that the expression  
185 of *Ugp1* does affect the response of rice seedlings to high temperature stress. Among  
186 255 materials, a total of 191 varieties belong to the Hap.1 haplotype, which proves  
187 that Hap.1 has a higher utilization rate in the population. Compared with Hap.1, the  
188 survival rate of Hap.2 after the change of chr9\_21920470 was low, but the biomass  
189 increased significantly. For Hap.3, all sites except chr9\_21920470 changed. Although  
190 the survival rate of Hap.3 is also lower than that of Hap.1, the decline rate of survival  
191 rate is lower than that of Hap.2. Therefore, we judge that the chr9\_21920470 locus  
192 has a stronger effect on the survival rate of rice seedlings than other loci. In Hap.4,  
193 where chr9\_21920592 changed, compared with Hap.1, shoot length is lower. From  
194 this, we judge that this site may be related to plant growth.

195

#### 196 **Compare results from linkage analysis and GWAS**

197 We compared the QTLs obtained by linkage analysis with the candidate intervals  
198 identified by GWAS. Two groups of candidate regions identified on chromosome 7  
199 and chromosome 6 were co-located by linkage analysis and association analysis.  
200 There was a 200 kb (5.59 Mb-5.70 Mb) coincidence interval in *qFW6* and *qFW\_ind6*  
201 for the fresh weight trait in the treatment group. Analyzing the LD attenuation  
202 distance of this interval (Fig. 5), we further reduced the candidate interval to 69 kb

203 (5.62 Mb-5.69 Mb). According to MSU V7.0<sup>[25]</sup> annotated information, there are 13  
204 candidate genes in this interval, including 12 genes involved in expression (Table S3).  
205 The same analysis was performed on the interval of 200 kb (17.82 Mb-18.02 Mb) in  
206 *qDW7* and *qDW\_ind7* on chromosome 7, we reduced the candidate interval on  
207 chromosome 7 to 57 kb (17.90 Mb-17.95 Mb), and identified 6 genes (Table S3). To  
208 further investigate candidate genes, we performed homology analysis on the obtained  
209 genes. The results of homology analysis showed that the homolog gene *LECRK-VII.2*  
210 of *LOC\_Os06g10790* is involved in the response to high temperature and drought in  
211 *Arabidopsis*<sup>[26]</sup>. The homologous gene *UGT73B3*<sup>[27]</sup> of *LOC\_Os06g10860* and the  
212 homologous gene *UGT85A2*<sup>[28]</sup> of *LOC\_Os07g30330* are involved in the stress  
213 response of *Arabidopsis*. The homologous gene *PHT3*<sup>[29]</sup> of *LOC\_Os06g10810* is  
214 related to the redox reaction of *Arabidopsis thaliana*. These homologous genes on the  
215 one hand confirm the accuracy of the experimental results, on the other hand, further  
216 narrow the range of candidate genes in this study.

217

### 218 **Transcriptome analysis by RNA sequencing**

219 In order to study the transcriptome response of *indica* rice to high temperature in the  
220 seedling stage, independent of the above genomic analysis, two heat-tolerant varieties  
221 and two heat-sensitive varieties were selected for ribonucleic acid sequencing  
222 (RNA\_seq) analysis. After comparing the gene expression between the heat-resistant  
223 groups and the heat-sensitive groups, it was determined that a total of 529 genes were  
224 differentially expressed in the four groups (Fig. 6a), and it was considered that there  
225 were key genes responding to high temperature stress in rice seedling. Kyoto  
226 encyclopedia of genes and genomes (KEGG) analysis was performed on 529  
227 differential expressed genes (DEGs). The results showed that 75 DEGs were

228 significantly enriched in pathways such as metabolic pathways, pyruvate metabolism,  
229 starch and sucrose metabolism (Table S4). *OsCML4*<sup>[30]</sup>, which is enriched in the  
230 mitogen-activated protein kinase (MAPK) signaling pathway-plant pathway, has been  
231 shown to improve the rice tolerance by removing reactive oxygen species and  
232 inducing other stress related genes in a form independent of ABA Survival rate. This  
233 indicates that there are genes in DEGs genes that can respond to high temperature  
234 stress for subsequent research. Further, we identified *LOC\_Os01g09450*,  
235 *LOC\_Os03g59040* and *LOC\_Os12g42980* in the GWAS candidate intervals  
236 *qSR\_ind1-1*, *qSR\_ind3-9*, and *qSR\_ind12-7*, respectively. The three genes were  
237 located in the KEGG pathway in Plant hormone signal transduction, Metabolic  
238 pathways, and Cysteine and methionine metabolism pathways, and the expression of  
239 *LOC\_Os03g59040* was confirmed to be related to the stomatal conductance of rice<sup>[31]</sup>.  
240 We conclude that *LOC\_Os01g09450*, *LOC\_Os03g59040* and *LOC\_Os12g42980*  
241 could be used as candidate genes for rice seedlings in response to high temperature  
242 stress. Based on the gene ontology (GO) enrichment analysis, most genes were  
243 enriched in the oxidation reduction, oxidoreductase activity, transmembrane transport  
244 and others (Fig. 6b). We focused on the 44 genes enriched in oxidation reduction and  
245 oxidation activity activities. Among the 44 genes identified, there are 10  
246 down-regulated genes and 22 up-regulated genes (Fig. 6c, d). After a comparison with  
247 these genes and the intervals obtained in GWAS, it was found that *LOC\_Os02g12890*  
248 was located on the *qSR\_ind2-1* for survival rate. It was suggested that  
249 *LOC\_Os02g12890* could be the candidate gene which may respond to high  
250 temperature stress in the rice seedling stage and directly affect the heat tolerance of  
251 rice seedlings.

252 In summary, a total of 23 candidate genes were co-localized by two or more methods,

253 including 19 genes co-located by linkage analysis and GWAS and genes in 4 related  
254 pathways by GWAS and transcriptome analysis. 8 genes, such as *LOC\_Os06g10790*,  
255 *LOC\_Os06g10860*, *LOC\_Os07g30330*, *LOC\_Os06g10810*, *LOC\_Os01g09450*,  
256 *LOC\_Os03g59040*, *LOC\_Os12g42980*, *LOC\_Os02g12890*, etc. have been reported to  
257 be related to the plant response to heat stress due to homology analysis or the focus  
258 has been reported in the follow-up research on the plant response to heat stress.

259

## 260 **Discussion**

### 261 **The combination of linkage, association analysis and transcriptome provides** 262 **effective information for target genes mining**

263 Abnormally high temperatures can affect the growth and development of rice. At  
264 present, temperatures in some areas have reached the critical value of the optimal  
265 temperature for rice growth, and rising temperatures would lead to a decline in rice  
266 production<sup>[32]</sup>. Therefore, exploring the genetic mechanism of heat resistance in rice is  
267 the key to cultivation of heat-resistant rice to adapt to the global warming  
268 environment. Linkage analysis based on RIL and association analysis based on a  
269 natural population are two complementary approaches to revealing the candidate  
270 genetic variation leading to traits of interest. GWAS analysis can evaluate the  
271 diversified effect of many alleles with higher mapping resolution, which can make up  
272 for the inferiority of QTL analysis that the number of natural allelic diversity is  
273 limited. In our study, a total of 116 loci were detected by GWAS, and only 20 loci  
274 were detected by linkage analysis. In the present study, two colocalized regions, a 200  
275 kb interval in *qFW6* and *qFW\_ind6*, and another 200 kb interval in *qDW7* and  
276 *qDW\_ind7*, were detected by both linkage analysis and association analysis. These  
277 two reliable intervals should contain important candidate genes contributing to heat  
278 resistance in rice seedling stage. Further functional verification of these genes will  
279 reveal their underlying genetic and molecular mechanisms.

280 The transcriptome analysis is an effective approach for mining genes associated with

281 given trait. In our study, we sequenced the transcriptome of two heat-tolerant varieties  
282 and two heat-sensitive varieties, compared the DEGs with GWAS, and obtained four  
283 candidate genes. These results suggest that the combination of linkage, association  
284 analysis and transcriptome is a powerful method for mining target genes responsible  
285 for rice heat resistance.

#### 286 **Identification of candidate genes**

287 To further narrow the scope of candidate genes, transcriptome analysis and gene  
288 homology analysis were applied to this study. Among the 19 genes identified by both  
289 linkage and association analysis, the homologous genes of *LOC\_Os06g10860*,  
290 *LOC\_Os06g10790*, *LOC\_Os07g30330* and *LOC\_Os06g10810* have been confirmed  
291 to be involved in life activities related to high temperature stress in *Arabidopsis*.  
292 These genes will be used as reliable candidate genes in subsequent research. Among  
293 the four candidate genes, *LOC\_Os06g10790* was determined to participate in high  
294 temperature and drought responses in homology analysis. In subsequent studies, we  
295 will focus on this candidate gene.

296 At the same time, in the transcriptome analysis, *LOC\_Os02g12890*,  
297 *LOC\_Os01g09450*, *LOC\_Os03g59040* and *LOC\_Os12g42980* was found in the  
298 extreme group among the differential genes. These genes are located in the candidate  
299 interval which was identified by GWAS. The transcriptome analysis and GWAS are  
300 mutually verified and supplemented, indicating that *LOC\_Os02g12890*,  
301 *LOC\_Os01g09450*, *LOC\_Os03g59040* and *LOC\_Os12g42980* can also be used as a  
302 candidate gene in subsequent analysis.

303

#### 304 **Exploration of genes related to high temperature in rice seedling**

305 Research on the high temperature stress of rice was concentrated only on the late  
306 stage of rice growth and development, as an abnormally high temperature during

307 reproductive growth has a direct impact on rice yield<sup>[6,33-34]</sup>. However, previous  
308 experiments have also proved that heat treatment affects rice seedling biomass, and  
309 high temperatures above 40°C or long-term heat treatment affect the survival rate of  
310 rice<sup>[3-4,6-7,11,35]</sup>. In particular, Li et al. completed the cloning of the heat-resistant gene  
311 *TTI* in 2015<sup>[11]</sup>, which greatly facilitated the breeding of heat-resistant and  
312 heat-resistant molecules in the later period. It is worth mentioning that the *TTI* gene  
313 was identified in wild African rice, and this gene was not identified in this experiment.  
314 The wild rice material analysis was not used in this experiment, so it is speculated that  
315 this is the reason that the gene was not found in this experiment. Nevertheless, the  
316 discovery of *TTI* did further confirms the feasibility and necessity of studying  
317 candidate genes that respond to high temperature stress at the seedling stage.

318 Experiments have shown that various biomass traits at the seedling stage can show  
319 different responses in different varieties of seedlings to high temperature. The three  
320 traits, SL, FW and DW, changed significantly in the RIL and natural rice population  
321 after heat treatment (Fig. 1). Utilizing the different characteristics of the RIL  
322 population and the natural population, linkage analysis and association analysis were  
323 performed and obtained multiple candidate intervals. Researchers have used linkage  
324 analysis or association analysis to identify heat-tolerant genes in rice  
325 previously<sup>[9-12,36-38]</sup>. In the study of other agronomic traits, it has also been confirmed  
326 that the combined application of linkage and association analysis can obtain more  
327 accurate candidate intervals<sup>[18]</sup>. In this study, we narrowed the candidate intervals to  
328 69 kb on chromosome 6 (5.62 Mb-5.69 Mb) and the interval 57 kb on chromosome 7  
329 (17.90 Mb-17.95 Mb) based on the overlapping intervals and the rate of linkage  
330 disequilibrium decay of SNPs in the linkage analysis and association analysis. 19  
331 candidate genes were identified in the two fragments according to the gene annotation

332 (Table S3), which provided reliable genetic evidence for fine mapping and more  
333 reliable sequencing.

334

### 335 **Identification of *Ugp1* favorable haplotypes**

336 In order to obtain the ideal high-temperature tolerance of rice, we also determined the  
337 favorable haplotype of the known gene *Ugp1*<sup>[24]</sup> identified in the GWAS results. By  
338 determining the survival rate of high-temperature stress seedlings among different  
339 haplotypes, it was confirmed that the haplotype change had an impact on the survival  
340 rate of rice. The results of haplotype analysis indicated that the major haplotype  
341 consisting of non-synonymous SNPs in the coding sequence (CDS) within a single  
342 locus, represented the haplotypes of most varieties. At the same time, the haplotype  
343 analysis of *Ugp1*<sup>[24]</sup> confirmed that haplotype analysis can identify key sites and  
344 favorable alleles of the target genes, providing a research direction for the  
345 identification of subsequent genes. This finding also facilitated the selection of  
346 optimal haplotypes for subsequent breeding.

347

348

### 349 **Conclusions**

350 In this study, three methods of linkage analysis, association analysis, and  
351 transcriptome analysis were used to complement and verify each other. New genes for  
352 rice seedlings responding to abnormally high temperatures were explored, and the  
353 genes identified by two or more methods were selected as candidate genes. Finally,  
354 based on homology analysis and known gene information, it was determined that  
355 eight candidate genes could be focused on in subsequent studies. These results  
356 provide a reference for rice heat-resistant gene cloning and molecular breeding.

357

## 358 **METHODS**

### 359 **Plant materials**

360 The rice variety 93-11, PA64s and their RIL were used for linkage analysis<sup>[20]</sup>. A  
361 natural population of 255 Asian cultivated rice varieties with high genetic diversity  
362 from the 3000 rice project<sup>[23]</sup> was used for association analysis.

363

### 364 **Seedling culture and high-temperature stress treatment**

365 Intact seeds of the RIL and natural population were selected and immersed in  
366 deionized water for one night, and then transferred to a 32-well tray filled with  
367 nutrient solution. The nutrient solution was the conventional nutrient solution  
368 configuration of the International Rice Research Institute<sup>[39]</sup>. The pH value of the  
369 nutrient solution was adjusted between 5.5 and 6, and the nutrient solution was  
370 replaced once every three days. On the 15th day, the seedlings of the RIL and natural  
371 population required to be heat-treated were placed in an incubator at 45 °C for 52  
372 hours<sup>[10]</sup>. On the 20th day, the biomass traits, including plant height, dry weight, fresh  
373 weight, and seedling survival rate of RIL and natural population with or without heat  
374 stress treatment, were measured and recorded.

375

### 376 **Statistical analysis of data**

377 The phenotypic data with or without seedling heat stress treatment were statistically  
378 analyzed by R ×64 3. 4. 2.

379

### 380 **Linkage analysis**

381 Linkage analysis was performed according to a genetic map constructed in previous

382 study<sup>[20]</sup> by combining genotypic analysis and phenotypic identification of 124 RILs.  
383 The QTL of RIL was analyzed by composite interval mapping (CIM)<sup>[40]</sup> using  
384 Windows QTL Cartographer V2.5 software (<http://statgen.ncsu.edu/qtlcart/WQTLCart.htm>, 2008). The threshold of logarithm of odds was set as 2.5  
385 for the presence of QTLs and physical locations of the obtained QTLs were  
386 determined according to a high-density SNP-labeled recombination bin map<sup>[20]</sup>. The  
387 detected QTLs were named by reference to the method proposed by McCouch<sup>[41]</sup>.

389

### 390 **Association analysis**

391 Association analysis of genotypes and phenotypes was performed with the efficient  
392 mixed-model association eXpedited (EMMAX)<sup>[42]</sup>. The genotype data were referenced  
393 with sequencing information released for the 3k rice database<sup>[23]</sup>. SNP site settings  
394 with a deletion rate greater than 40% and a minimum allele frequency (MAF) of less  
395 than 5% were filtered out. When the site had a significant level,  $P < 10^{-5}$ , it was  
396 considered to be associated with the trait and was used for subsequent analysis. The  
397 relevant drawings in the subsequent analysis were completed by the corresponding  
398 drawing package of R x64 3.4.2. The method for determining and naming GWAS  
399 candidate intervals refers to the method proposed by Zhao<sup>[43]</sup>.

400

### 401 **Transcriptome analysis**

402 Two varieties were selected according to the highest and lowest survival rates of the  
403 natural population after heat treatment, respectively, and a total of four varieties were  
404 used for transcriptome analysis. The RNA was extracted using TRIzol reagent  
405 according to the manufacturer's guidelines, and two biological replicates were  
406 measured for each variety. In order to ensure that the RNA sequencing libraries had

407 high-quality RNA, agarose gel electrophoresis, and spectrophotometry were used to  
408 check RNA concentration and purity. TOPhat2 software<sup>[44]</sup> was used to align the  
409 cleanup data to the reference genome<sup>[25]</sup> and gene expression was quantified by  
410 fragment per kilobase million (FPKM) using the Cufflinks<sup>[44]</sup> default parameters. The  
411 genes with adjusted P values less than  $e^{-5}$  and log fold change (FC) absolute value  
412 higher than 0.58 were considered as differentially expressed genes (DEGs)<sup>[23]</sup>. Finally,  
413 Gene Ontology (GO) enrichment analysis was performed for DEGs using an online  
414 tool agriGO<sup>[45]</sup> and the GO terms were assigned to the GO categories biological  
415 process (BP), molecular function (MF), and cellular component (CC). The threshold  
416 of FDR<0.05 was selected to identify significant enriched GO terms.

417

#### 418 **Supplementary information**

419 Table S1 Traits statics during the seedling stage of RIL, together with their parents  
420 and natural population.

421 Table S2 Candidate QTLs identified by GWAS.

422 Table S3 Annotation information of candidate genes identified in GWAS and linkage  
423 analysis.

424 Table S4 KEGG pathway analysis of DEGs.

425 Fig. S1 Normal distribution maps of biomass traits and survival rate in the RIL in  
426 control and treatment groups.

427 Fig. S2 Normal distribution maps of biomass traits and survival rate in the natural  
428 population in control and treatment groups.

429 Fig. S3 QQplots for Genome-wide association analysis in the treatment and control  
430 groups of the natural population.

431

432 **Abbreviations**

433 BP: Biological process; CC: Cellular component; CDS: Coding sequence; CIM:  
434 Composite interval mapping; DEGs: Differential expressed genes; DW: Dry weight;  
435 EMMAx: Efficient mixed model association eXpedited; FC: fold change; FPKM:  
436 Fragments per kilobase million; FW: Fresh weight; GO: Gene ontology; GWAS:  
437 Genome-wide association analysis; KEGG: Kyoto encyclopedia of genes and  
438 genomes; LD: Linkage disequilibrium; LOD: Logarithm of odds; MAF: Minimum  
439 allele frequency; MAPK: Mitogen-activated protein kinase; MF: Molecular function;  
440 QTL: Quantitative trait locus; RNA\_seq: Ribonucleic acid sequencing; RILs:  
441 Recombinant inbred lines; SL: Shoot length; SNP: Single nucleotide polymorphism;  
442 SR: Survival rate.

443

444 **Authors' contributions**

445 L.S., L.K. and Q.Q. designed the research, Z.W., Q.Y. and H.L. performed most of  
446 experiments and analyzed the data. B.Z., H.H., S.S., H.G., helped with characterizing  
447 the phenotypes; X.L., C.Z., T.L., helped with the transcriptomic analysis; L.S., Z.W.  
448 and Z.G. conceived the experiment and wrote the manuscript. All of the authors read  
449 and approved the final manuscript.

450

451

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460

461 **Availability of data and materials**

462 The datasets used during the current study are available from the corresponding  
463 author on reasonable request.

464

465 **Ethics approval and consent to participate**

466 Not applicable.

467

468 **Consent for publication**

469 Not applicable.

470

471 **Competing interests**

472 The authors declare that they have no competing interests.

473

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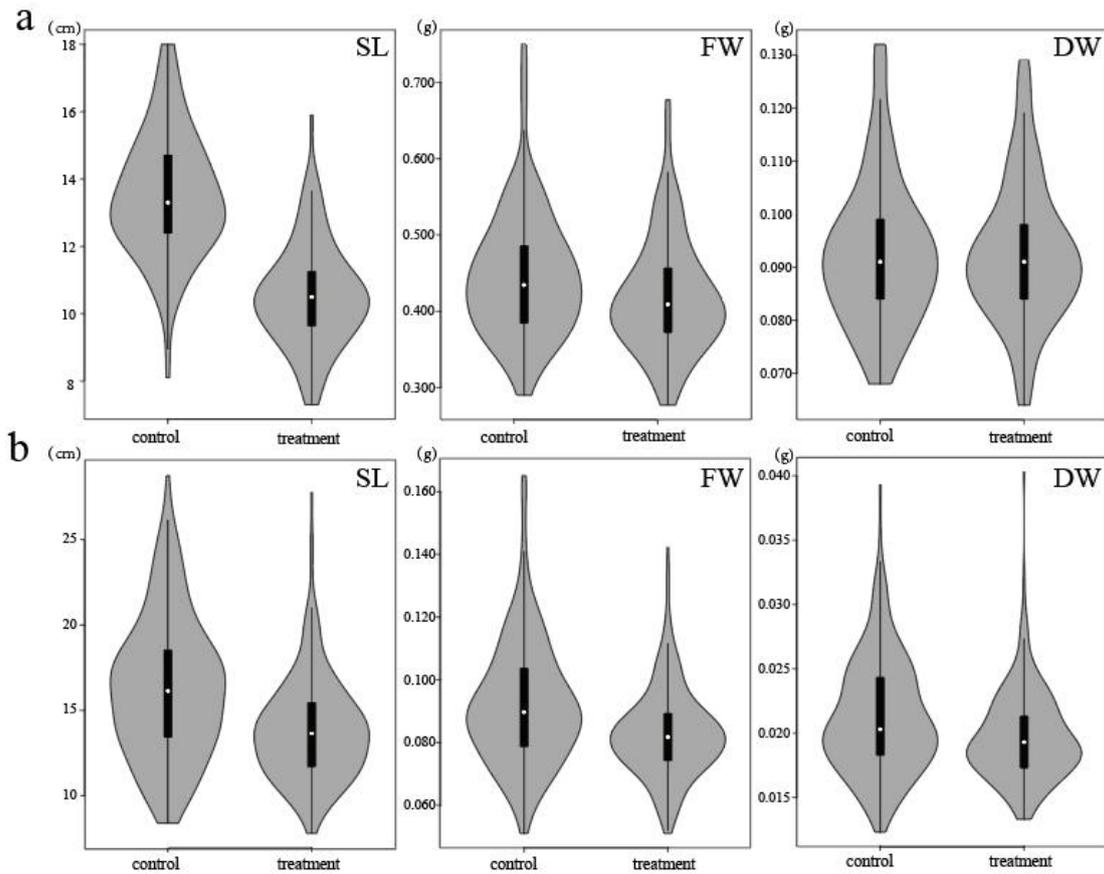
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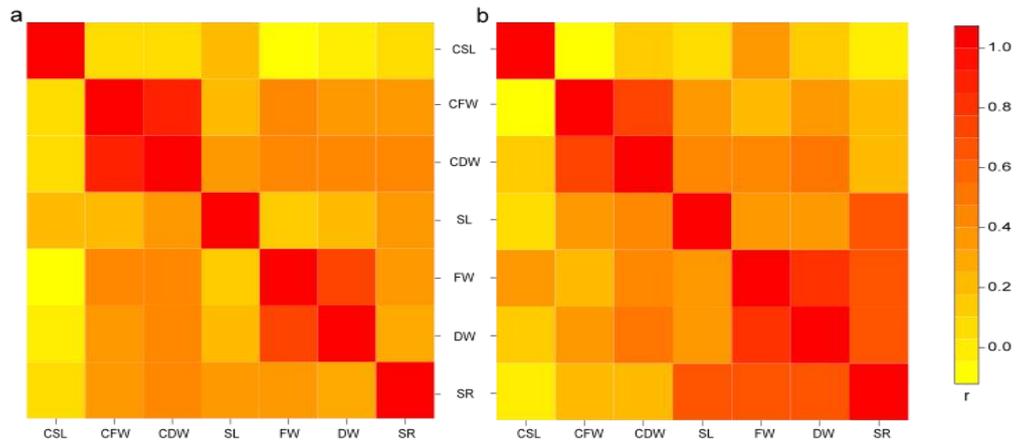
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**Fig. 1 The phenotypic traits of RIL and the natural population**

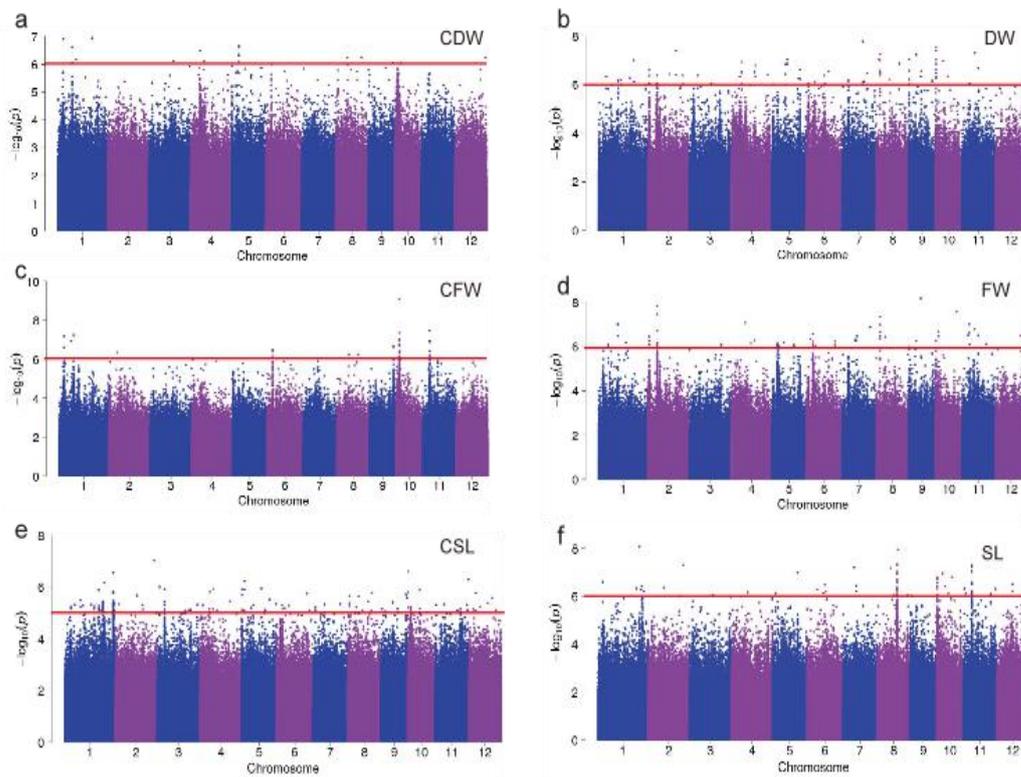
a, Phenotypic traits of the RIL used for linkage analysis. b, Phenotypic traits of the natural rice population used for association analysis. CSL, CFW, and CDW indicate shoot length, fresh weight, and dry weight of the seedlings in control groups, respectively. SL, FW, DW indicate shoot length, fresh weight, and dry weight of the seedlings in treatment groups, respectively.



609

610 **Fig. 2 Correlation analysis among biomass traits of RIL and the natural**  
 611 **population.**

612 a, Recombinant inbred lines. b, Natural rice population. The color indicates the  
 613 correlation coefficient.



615

616 **Fig. 3 Genome-wide association analysis of biomass traits under heat stress.**

617 Manhattan plots of a, Dry weight of control groups. b, Dry weight of treatment groups.

618 c, Fresh weight of control groups. d, Fresh weight of treatment groups. e, Shoot length

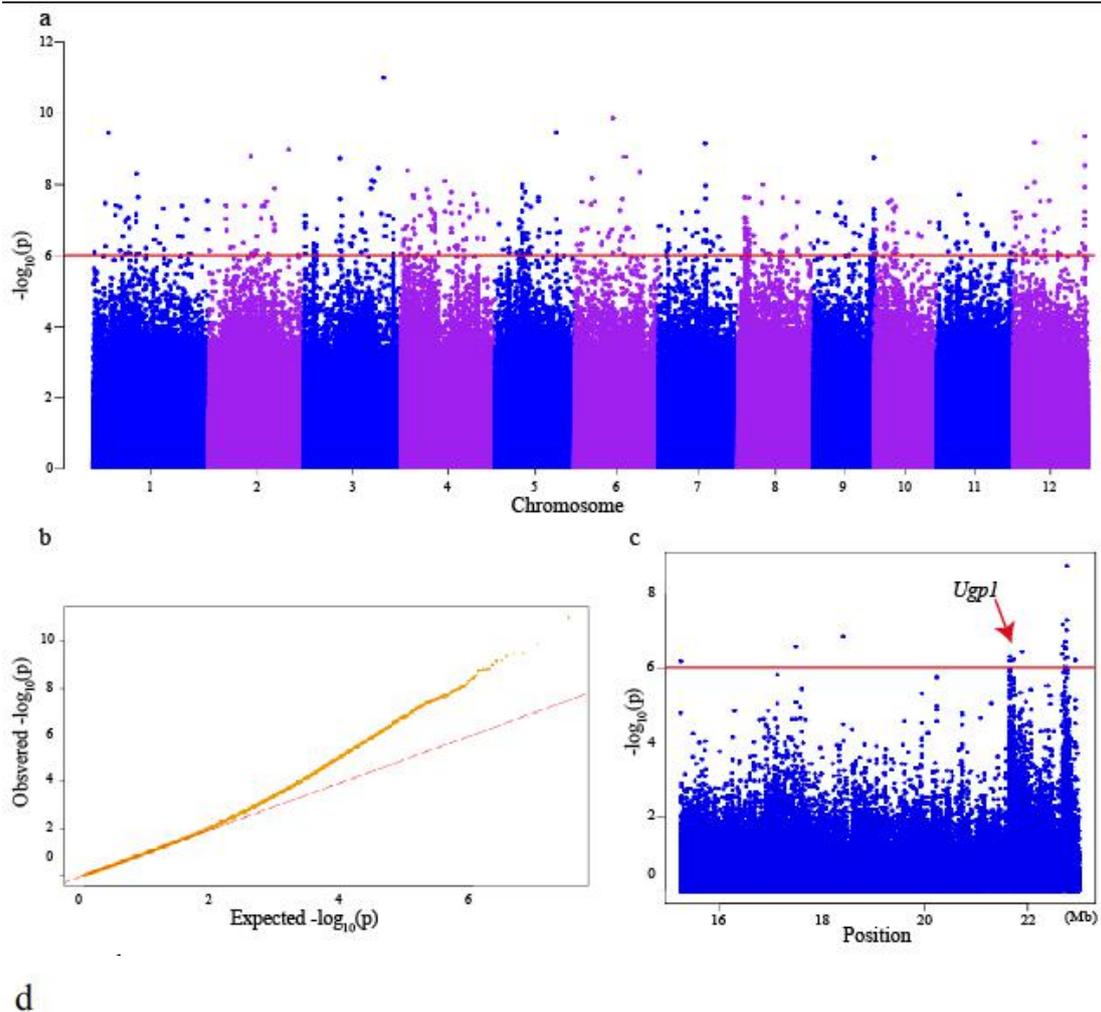
619 of control groups. f, Shoot length of treatment groups. CSL, CFW, and CDW indicate

620 shoot length, fresh weight, and dry weight of the seedlings in control groups,

621 respectively. SL, FW, and DW indicate the respective shoot length, fresh weight, and

622 dry weight of the seedling in treatment groups, respectively.

623



624

Hap	21920756	21919424	21921215	21920513	21920592	21920470	21917982	21919625	21919315	21921937	Num.	SR	SL	GW	DW
Hap.1	C	C	C	C	C	T	A	G	G	A	191	0.94	13.78	0.08	0.02
Hap.2	C	C	C	C	C	C	A	G	G	A	17	0.84	15.45	0.09	0.02
Hap.3	T	T	T	T	T	T	C	A	T	G	10	0.91	13.05	0.09	0.02
Hap.4	C	C	C	C	T	T	A	G	G	A	6	0.92	12.63	0.08	0.02

625

626 **Fig. 4 Correlation analysis of the survival rate of the natural rice population and**  
 627 **analysis of known gene haplotypes.**

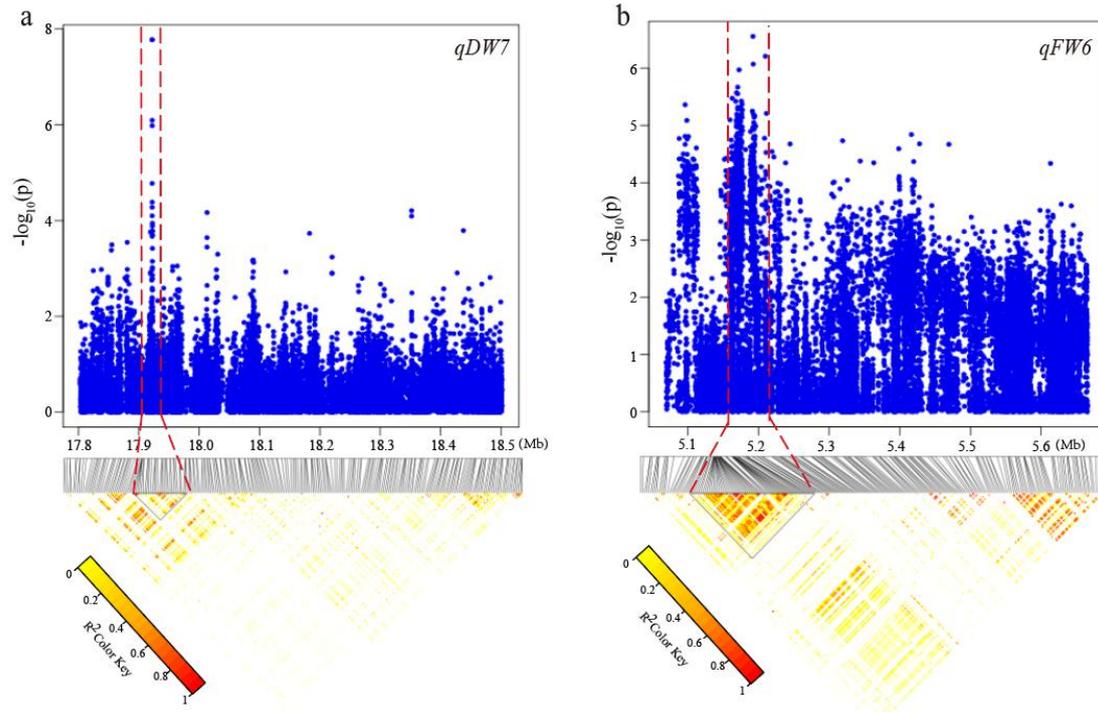
628 a, Manhattan plot of survival rate (SR). b, QQplot of survival rate. c, Scatter plot of  
 629 *Ugp1*. The arrow indicates that the site is the approximate site of *Ugp1*. d, Gene  
 630 structures (left) and biomass traits of different haplotypes (right) of *Ugp1*. Red  
 631 colored numbers indicate the key SNPs among major haplotypes.

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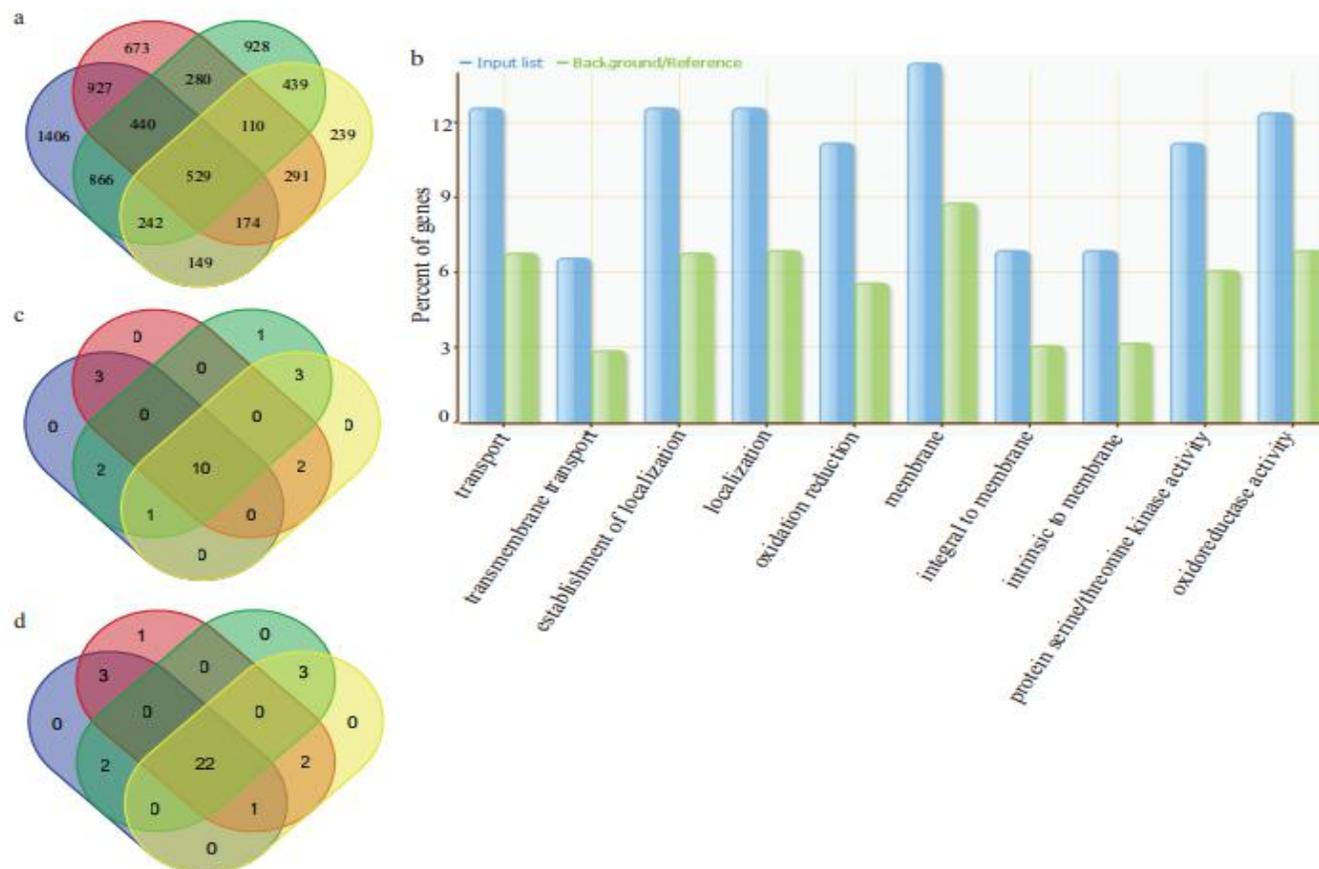


636

637 **Fig. 5** Manhattan plot (top) and LD heatmap (bottom) surrounding the peak of  
638 **the candidate interval.**

639 Interval of linkage analysis and genome-wide association analysis in a, *qDW7*. b,

640 *qFW6*. Dashed lines indicate the candidate region of the peak.



641

642 **Fig. 6 Genome-wide transcriptome analysis of heat stress between heat-tolerant and heat-sensitive rice varieties.**

643 a, Venn diagrams showing the differential genes in response to heat stress. b, GO enrichment analysis of differential genes. c, genes up-regulated  
644 by redox-related pathways. d. Redox-related pathways down-regulate genes. HSR.1 and HSR.2 are varieties with extremely high survival rate;  
645 LSR.1 and LSR.2 are varieties with extremely low survival rate.

**Table 1. QTLs for various traits during seedling stage**

Trait	QTL	Chromosome	LOD	Genetic Distance (cM)	Known Gene
CDW	<i>qCDW2.1</i>	2	2.88	98.2-101.3	
	<i>qCDW2.2</i>	2	3.65	105.8-107.8	
	<i>qCDW2.3</i>	2	3.30	142.5-145.3	
	<i>qCDW11</i>	11	4.01	8.1-9.4	
CFW	<i>qCFW6.1</i>	6	3.09	58.3-60.1	
	<i>qCFW6.2</i>	6	2.92	64.2-65.7	
	<i>qCFW11</i>	11	3.59	7.8-9.4	
CSL	<i>qCSL4.1</i>	4	2.57	74.4-78.3	
	<i>qCSL4.2</i>	4	3.81	84.2-85.8	
	<i>qCSL9</i>	9	5.15	27.3-29.1	
DW	<i>qDW3</i>	3	3.82	11.0-14.3	<i>GS8</i> <sup>[21]</sup>
	<i>qDW7.1</i>	7	3.68	28.0-29.0	
	<i>qDW11</i>	11	6.72	60.5-63.5	
FW	<i>qFW6</i>	6	2.77	19.1-22.0	<i>abl1</i> <sup>[22]</sup>
	<i>qFW11.1</i>	11	5.01	60.5-64.0	
	<i>qFW11.2</i>	11	2.53	66.1-70.4	
SL	<i>qSL12.1</i>	12	4.80	9.7-11.5	
	<i>qSL12.2</i>	12	2.82	21.9-25.9	
SR	<i>qSR3.1</i>	3	2.96	122.3-125.1	
	<i>qSR3.2</i>	9	3.54	2.0-7.5	

647 CSL, CFW, and CDW indicate shoot length, fresh weight, and dry weight of the  
648 seedlings in control groups, respectively. SL, FW, and DW indicate shoot length,  
649 fresh weight, and dry weight of the seedling in treatment groups, respectively. SR  
650 indicates the survival rate.