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Design of smart light source based on bi-color LED with single duty cycle for correlated color temperature adjustment

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Abstract. Color-adjustable light sources facilitate both mood lighting and daylight harvesting. A single duty cycle can be used by a bi-color LED to adjust the correlated color temperature by associating it with the duty cycle of the pulse width modulation dimming signal of the cold and warm light sources. The one-to-one mapping relationship between the single duty cycle and the correlated color temperature is based on the color mixing theory of bi-color LEDs. A method to correlate the dimming signals for cold and warm LEDs is presented. The influence of the time characteristics of the two basic signals on dimming and color temperature adjustment is analyzed. The dimming system of bi-color LEDs is designed, and the method used to adjust the correlated color temperature with a single duty cycle is verified. The experiment showed that the correlated color temperature can be accurately adjusted by the proposed method.

Keywords: LED, correlated color temperature, pulse width modulation, duty cycle.

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1 Introduction

Previous studies have shown that lighting color temperature and illumination can change people's visual perception and emotion; they also play an important role in the control of human endocrine system activity, physiological rhythm, and psychological cognition [1-4]. Existing literature [5] has identified that the light irradiation of highly correlated color temperature light-emitting diodes (LEDs) has an impact on sleep, mood, and physical strength. Moreover, people exposed to cold light experience better sleep quality and quantity than those exposed to warm light. A previous study [6] indicated that the interaction between illumination and color temperature affects cognition and self-control. In addition to mood lighting, which affects the emotional feeling of humans, color-tunable light sources may be used for daylight harvesting. The correlated color temperature of daylight can vary from 2000 K at sunrise to 5000 K for direct daylight at noon, even exceeding 10 000 K under overcast conditions. Along with the correlated color temperature,

the illuminance of daylight also varies dynamically. Therefore, to create a near-exact visual sensation and energy-efficient lighting, an artificial light source (visually matched LED lamp) with independently tunable correlated color temperature and illuminance should preferably be introduced in daylight harvesting schemes [7,8].

As is widely known, white light with different correlated color temperatures can be produced by a blue LED or near-ultraviolet LED stimulating proper yellow phosphors. For RGB three-color [9-12] or RGBA four-color LEDs [13], the Grassmann color law is applied to adjust the ratio of the luminosity of different color LEDs to produce white light. These types of LED systems require three or more pulse-width-modulated (PWM) output channels, which make them difficult to practically realize. Among the many correlated color temperature regulation methods, the double white LED method is more convenient and practical than others. The PWM dimming method is used to adjust the luminous flux of high- and low-correlated color temperature LEDs and then mix them together to adjust the correlated color temperature [14-17]. We use the bi-color LED color matching method to simplify the implementation of correlated color temperature regulation. Through association of the dimming duty cycle of cold and warm LEDs, we transform the mapping relationship between the duty cycle and the correlated color temperature from two duty cycles to a single duty cycle.

This paper is organized as follows. Section 2 describes the principle of correlating the duty cycle of warm and cold LED dimming signals. Section 3 defines dimming signals for warm and cold LEDs, analyzes the time relevant character of dimming signals for precision of color adjustment, and designs a control system for bi-color LED. Section 4 verifies the method through a series of experiment. Conclusions and future research are presented in Sec. 5.

2 Correlated Color Temperature Calculation Model of Bi-color LED

The correlated color temperature represents the color attribute of light that has the temperature of blackbody radiation. According to the principle of color matching, the essence of color matching with bi-color LEDs is to change the ratio of cold light luminosity to warm light luminosity using dimming technology to adjust the correlated color temperature [18]. We used the correlated color temperature calculation model proposed by Ref. [19], which is shown in Eq. (1):

$$\begin{cases} CCT = -449n^3 + 3525n^2 - 6823.3n + 5520.33 \\ n = \frac{x_m - 0.3320}{y_m - 0.1858} \end{cases} \quad (1)$$

where n is the inverse slope, and x_m and y_m are the color coordinates of the mixed light, which can be obtained by

$$\begin{cases} x_m = \frac{R_c D_c x_c + R_w D_w x_w}{R_c D_c + R_w D_w} \\ y_m = \frac{R_c D_c y_c + R_w D_w y_w}{R_c D_c - R_w D_w} \end{cases}, \quad (2)$$

where $R_c = \frac{\Phi_c}{y_c}$, and $R_w = \frac{\Phi_w}{y_w}$.

According to Eqs. (1) and (2), two duty cycles are required to obtain the correlated color temperature: the dimming duty cycles D_c and D_w of the cold and warm light sources, respectively. They are independent and have no relation to each other, which makes it more difficult to determine the correlated color temperature [20]. In a previous study [21], two complementary PWM signals with equal periods were used to adjust the cold and warm light source, respectively, and the duty cycle of the two PWM signals met the condition $D_c + D_w = 1$. However, the study did not conduct a theoretical analysis and did not establish the mapping relationship between the duty cycle and values of the photometry; thus, the correlated color temperature could not be accurately controlled. Substituting $1 - D_w$ for D_c into Eq. (2), we obtain the mapping relationship between the correlated color temperature and warm light dimming

duty cycle D_w , as shown in Eq. (3). Clearly, it is more convenient to confirm the correlated color temperature using a single duty cycle compared with two independent dimming duty cycles.

$$\left\{ \begin{array}{l} CCT = -449n^3 + 3525n^2 - 6823.3n + 5520.33 \\ n = \frac{\frac{R_c(1-D_w)x_c + R_w D_w x_w - 0.3320}{R_c(1-D_w) + R_w D_w}}{\frac{R_c(1-D_w)y_c + R_w D_w y_w - 0.1858}{R_c(1-D_w) - R_w D_w}} \end{array} \right. \quad (3)$$

Using Eq. (3), we present the curve between the correlated color temperature and the dimming duty cycle D_w of a warm white LED in Fig. 1. The relationship between the correlated color temperature and D_w is nonlinear. The correlated color temperature decreases with an increase in the warm duty cycle D_w ; it is lower than that of a cold LED but higher than that of a warm LED. The parameters of the cold and warm LEDs used to obtain Fig.1 are listed in Table 1.

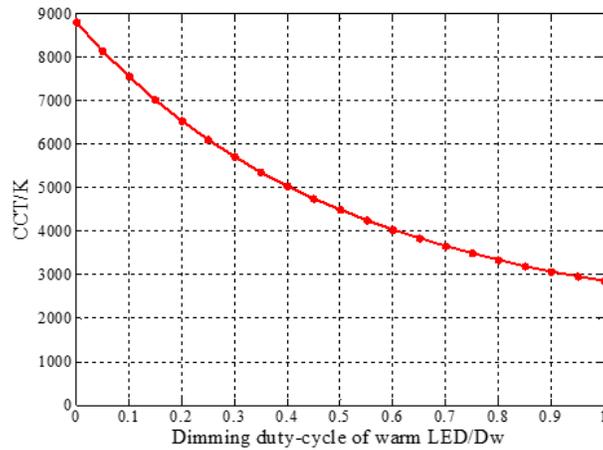


Fig. 1 Relationship between duty cycle D_w and the correlated color temperature (CCT)

3 Dimming Signals

3.1 Definition of Dimming Signals

According to the Kruithof curve, a comfortable lighting environment can be obtained only through the reasonable combination of the correlated color temperature and illumination. A smart light source is characterized by its ability to adjust both the correlated color temperature and illumination, which is convenient for application in different environments, and achieves the goal

of implementing one multi-use lamp. To simultaneously adjust the luminous flux and correlated color temperature of the light source, two basic PWM signals, PWM_1 and PWM_2 , are defined; their periods are T_1 and T_2 , respectively. The duty cycles D and d , corresponding to PWM_1 and PWM_2 , respectively, are shown in Fig. 2.

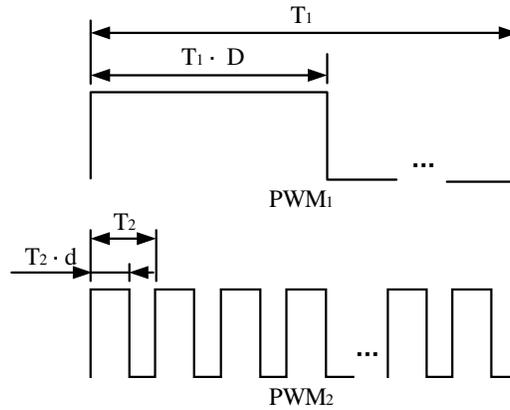


Fig. 2 Definition of the two basic PWM signals

Using the two basic PWM signals, the generation of the dimming signals introduced in this study is shown in Fig. 3. The dimming signal of the warm LED is $PWM_w = PWM_1 \cap PWM_2$, and that of the cold LED is $PWM_c = PWM_1 \cap \overline{PWM_2}$. When $PWM_1 = 1$, $PWM_w = PWM_2$ and $PWM_c = \overline{PWM_2}$. Clearly, if the duty cycle D_w of PWM_w is d , then the duty cycle D_c of PWM_c is $1 - d$, and the two duty cycles are associated. In particular, when $PWM_1 = 0$, then $PWM_w = PWM_c = 0$.

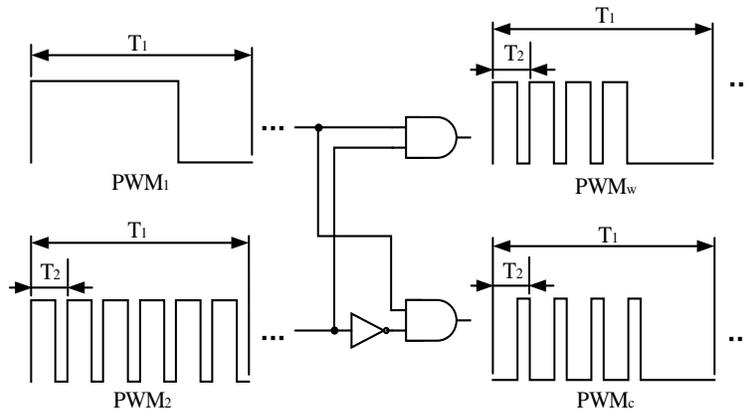


Fig. 3 Generation of dimming signals for cold and warm LEDs

3.2 Analysis of Dimming Signals

The two basic signals PWM_1 and PWM_2 should satisfy the time-related constraint, or the precision of the color adjustment may deteriorate. According to the definition presented in Sec. 3.1, when PWM_1 is high voltage, the signals PWM_w and PWM_c , which are used to dim the warm and cold light, respectively, are output. The relationship between the high voltage duration of signal PWM_1 and cycle T_2 of PWM_2 can be expressed by Eq. (4):

$$T_1 \cdot D = k \cdot T_2 \pm t \quad (k = 1, 2, \dots; 0 \leq t < T_2), \quad (4)$$

where t represents a time period less than T_2 and k is the number of cycles of T_2 that are composed of the high voltage period of the PWM_1 signal. According to Eq. (4), if $t=0$, $T_1 \cdot D$ is equal to T_2 multiplied by integer k . Then, the duration of the warm light emission t_w is $k \cdot T_2 \cdot d$, and that of the cold light emission t_c is $k \cdot T_2 \cdot (1 - d)$ in one cycle of PWM_1 . We set Φ_c and Φ_w , which are the luminous flux outputs corresponding to the constant working current of the cold and warm LEDs, respectively.

$\Phi_{w,t}$ is the dimming luminous flux of the warm light:

$$\Phi_{w,t} = \frac{\Phi_w \cdot t_w}{T_1} = \frac{\Phi_w \cdot k \cdot T_2 \cdot d}{T_1}. \quad (5)$$

$\Phi_{c,t}$ is the dimming luminous flux of the cold light:

$$\Phi_{c,t} = \frac{\Phi_c \cdot t_c}{T_1} = \frac{\Phi_c \cdot k \cdot T_2 \cdot (1-d)}{T_1}. \quad (6)$$

The ratio of $\Phi_{w,t}$ to $\Phi_{c,t}$ determines the mixed color:

$$\frac{\Phi_{w,t}}{\Phi_{c,t}} = \frac{\Phi_w \cdot d}{\Phi_c (1-d)}. \quad (7)$$

The mixed luminous flux is

$$\Phi_m = \Phi_w \cdot D \cdot d + \Phi_c \cdot D \cdot (1 - d). \quad (8)$$

It can be concluded from Eqs. (7) and (8) that the ratio of the luminous flux of cold light to that of warm light, i.e., the total luminous flux Φ_m , is independent of the periods T_1 and T_2 .

$$\frac{\Phi_{w,t}}{\Phi_{c,t}} = \frac{\Phi_w \cdot (k \cdot T_2 \cdot d + t)}{\Phi_c \cdot k \cdot T_2 \cdot (1-d)} = \frac{\Phi_w \cdot (d + \frac{t}{k \cdot T_2})}{\Phi_c \cdot (1-d)}. \quad (11)$$

It is clear that Eq. (11) is influenced by k and t . Considering $\frac{t}{T_2} < 1$, $\frac{t}{k \cdot T_2}$ would be close to zero with an increase in k . Given T_1 and Eq. (4) and considering that k is the number of cycles of T_2 that are composed of the high voltage duration of PWM₁, the frequency of PWM₂ should increase with an increase in k . It can be concluded that Eq. (11) is independent of t , and it converges to $\frac{\Phi_w \cdot d}{\Phi_c \cdot (1-d)}$ as the frequency of PWM₂ increases.

The mixed luminous flux $\Phi_m = \Phi_{w,t} + \Phi_{c,t}$ can be expressed as

$$\Phi_m = \frac{\Phi_w \cdot (k \cdot T_2 \cdot d + t)}{T_1} + \frac{\Phi_c \cdot k \cdot T_2 \cdot (1-d)}{T_1}. \quad (12)$$

If the luminous flux Φ_c is the same as Φ_w , Φ_m can be expressed as $\Phi_w \cdot \frac{(kT_2+t)}{T_1}$ or $\Phi_c \cdot \frac{(kT_2+t)}{T_1}$. According to Eq. (4), $kT_2 + t$ is equal to $T_1 \cdot D$, and the mixed luminous flux Φ_m is $\Phi_w \cdot D$ or $\Phi_c \cdot D$, which is independent of both t and d .

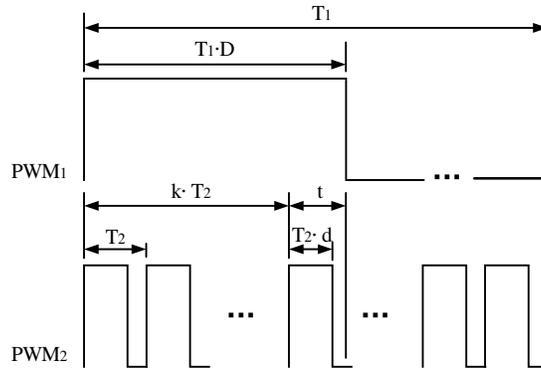


Fig. 5 Alternative illustration of Eq. (4)

Another state described by Eq. (4) is presented in Fig. 5. During the high voltage period of PWM₁, the duration of the warm light emission t_w is $k \cdot T_2 \cdot d + T_2 \cdot d$, and the duration of the cold light emission t_c is $k \cdot T_2 \cdot (1 - d) + t - T_2 \cdot d$.

$\Phi_{w,t}$ is the dimming luminous flux of the warm light:

$$\Phi_{w,t} = \frac{\Phi_w \cdot t_w}{T_1} = \frac{\Phi_w \cdot (k+1) \cdot T_2 \cdot d}{T_1}. \quad (13)$$

$\Phi_{c,t}$ is the dimming luminous flux of the cold light:

$$\Phi_{c,t} = \frac{\Phi_c \cdot t_c}{T_1} = \frac{\Phi_c \cdot ((k-(k+1) \cdot d) \cdot T_2 + t)}{T_1}. \quad (14)$$

The ratio of $\Phi_{w,T}$ to $\Phi_{c,T}$ is

$$\frac{\Phi_{w,t}}{\Phi_{c,t}} = \frac{\Phi_w \cdot (k+1) \cdot T_2 \cdot d}{\Phi_c \cdot ((k-(k+1) \cdot d) \cdot T_2 + t)} = \frac{\Phi_w \cdot d}{\Phi_c \cdot ((\frac{k}{k+1} - d) + \frac{t}{(k+1)T_2})}. \quad (15)$$

The analysis of Eq. (15) is similar to that of Eq. (11). With an increase in the frequency of PWM₂, Eq. (15) converges to $\frac{\Phi_w \cdot d}{\Phi_c (1-d)}$, owing to the convergence of the denominator to $\Phi_c \cdot (1 - d)$.

The mixed luminous flux $\Phi_m = \Phi_{w,t} + \Phi_{c,t}$ can be expressed as

$$\Phi_m = \frac{\Phi_w \cdot (k+1) \cdot T_2 \cdot d}{T_1} + \frac{\Phi_c \cdot ((k-(k+1) \cdot d) \cdot T_2 + t)}{T_1}. \quad (16)$$

Similar to the analysis of Eq. (12), it can be concluded that Φ_m is $\Phi_c \cdot D$ or $\Phi_w \cdot D$ when $\Phi_c = \Phi_w$. That is, the mixed luminous flux Φ_m is independent of both t and d , and it is only affected by D , which is the duty cycle of PWM₁, given the same Φ_c and Φ_w .

3.3 Generation of Dimming Signals

The dimming system of a bi-color LED smart light source consists of a power supply unit, control unit, and drive unit, as shown as in Fig. 6. The input voltage of the system is 12 V, which was also implemented as the input voltage of the driving unit. The 12 V voltage is converted to 5 V by a power supply unit to power the control unit. The control unit is composed of a microcontroller (STC15F2K60S2, STCmicro Technology Ltd., Shenzhen, China) and gate circuit. It receives control instructions and generates dimming signals for the cold and warm lights. The drive unit

adopts two constant current buck drivers (PT4115, H&M Semiconductor Ltd., Shenzhen, China) and is used to drive the cold and warm LEDs. The cold and warm dimming signals generated from the control unit are respectively sent to the PWM dimming input terminals of the two buck drivers. The experimental dimming system of a bi-color LED is presented in Fig. 7.

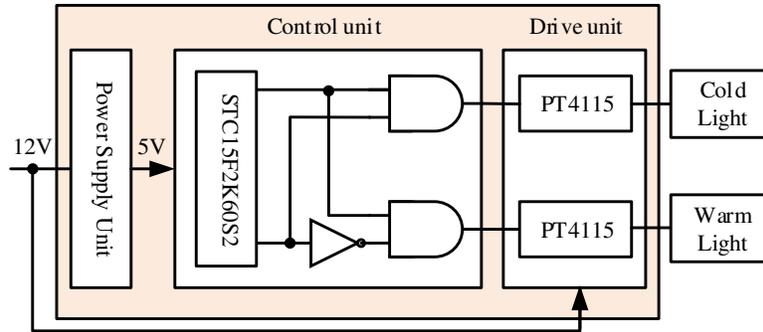


Fig. 6 Basic block diagram of bi-color LED system

We set the period of the basic dimming signal PWM_1 to 1 ms at 10 levels; thus, the minimal duration of high voltage is $100 \mu s$. The PWM_2 signal is also at 10 levels; its period is set to $100 \mu s$ to satisfy the requirement that the high voltage duration of PWM_1 is an integer multiple of the period of PWM_2 , according to the discussion in Sec. 3.2. Using the timer of the microcontroller, the basic dimming signals PWM_1 and PWM_2 are output from P1.0 and P1.1, respectively, with the initial state of the high voltage.

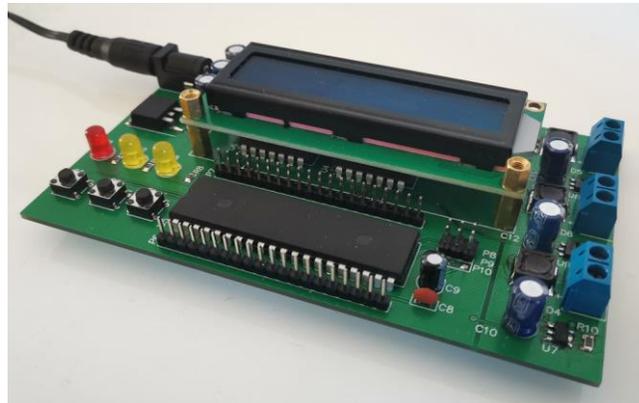


Fig. 7 Bi-color LED dimming experiment system

Figure 8 presents a flow chart that describes how the output signals PWM_1 and PWM_2 are obtained through P1.0 and P1.1, respectively, by using a timer interrupt function. Two integer variables, counter1 and counter2, are used to determine the period and duty cycle of the signals corresponding to PWM_2 and PWM_1 , respectively. The initial and final values of the two variables are set to zero and ten, respectively. Counter1 is incremented by one every time the timer interrupt function is executed, and counter2 is incremented by one when counter1 accumulates from zero to ten.

Given a timing interval of $10\ \mu s$, two PWM signals can be obtained with the same duty cycle of 50% but with different periods ($200\ \mu s$ and $2\ ms$). When the two variables increase from zero to ten, they should be reset, and the output voltages of P1.0 and P1.1 should be reversed. If the output voltage of the pins is reversed when counter1 or counter2 is less than ten, two PWM signals may be output with an adjustable duty cycle and periods of $100\ \mu s$ and $1\ ms$. For example, the output voltage of the pins was reversed when counter1=3 and counter2=6, two PWM signals with duty cycles of 30% and 60% could be obtained.

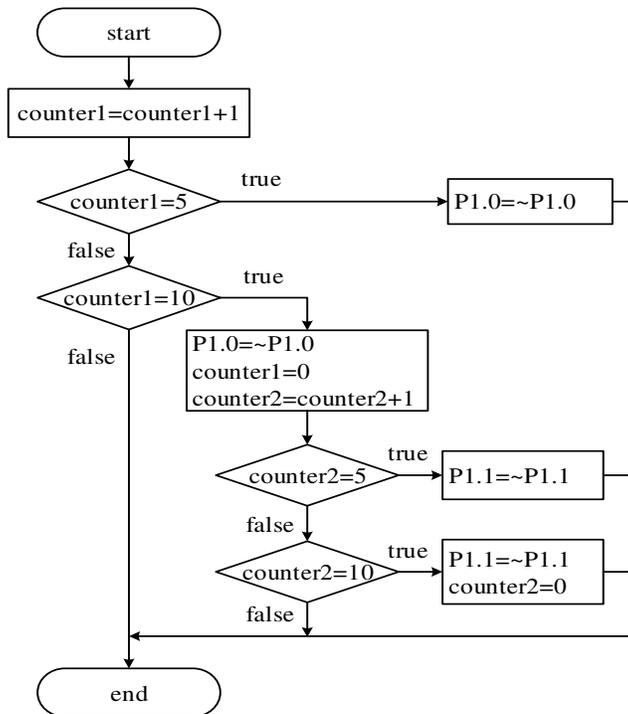


Fig. 8 Flow chart of the production of a basic dimming signal

Given a timing interval of $10\ \mu\text{s}$, two basic signals PWM_1 and PWM_2 with the same duty cycle of 50% but different periods (1 ms and $100\ \mu\text{s}$) are presented in Fig. 9. The signals are used to dim the cold and warm LEDs, respectively, and are obtained by the gate-circuit transformation of basic signals PWM_1 and PWM_2 , as shown in Fig. 10.

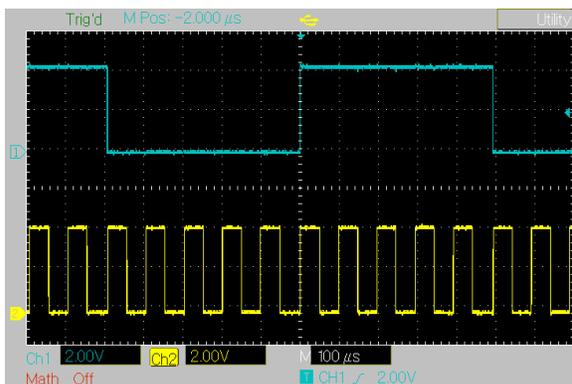


Fig. 9 Basic signals PWM_1 and PWM_2

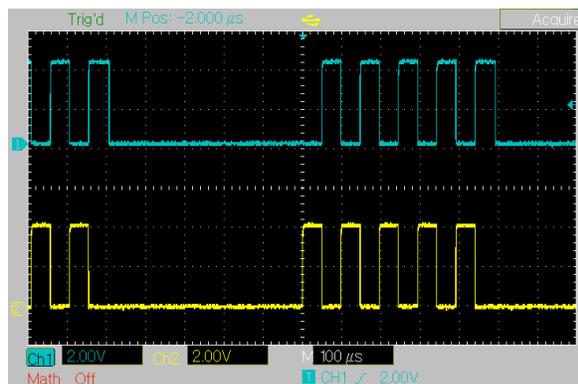


Fig. 10 Cold LED and warm LED dimming signals

4 Experiment and Analysis

4.1 Test of Linearity of PWM Dimming

The test system includes a spectral radiometer (HAAS-2000), integrating sphere, computer, and current source. Two 3 W LEDs, cold and warm, were placed in the integrating sphere, and their chromaticity parameters were measured with a constant current 750 mA, as shown in Table 1. Considering that a high PN junction temperature would cause a color drift or light decay [22,23], we welded the two LEDs on the aluminum substrate to dissipate heat to reduce the influence of temperature on the light source.

Table 1 Parameters of cold and warm LEDs

LED	(x, y)	CCT/K	Luminous flux/lm
Cold white light	(0.2901,0.2917)	8800	179.16
Warm white light	(0.4583,0.4257)	2840	179.69

Using the system shown in Fig. 7, we obtained the corresponding relationship between the luminous flux of the LED and the dimming duty cycle, as shown in Fig. 11. There is good linearity between the luminous flux and the dimming duty cycle of the LED, owing to an R^2 (coefficient of determination) value close to 1.

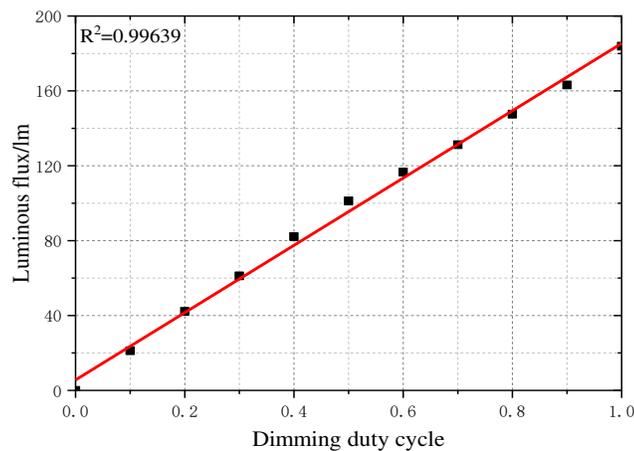


Fig. 11 Luminous flux vs. duty cycle

The working current determines the correlated color temperature of the white light LED, which produces white light using a blue light stimulating yellow phosphor. PWM dimming is realized by a periodic on-off constant working current; therefore, the luminous flux can be adjusted while maintaining the correlated color temperature. In addition, PWM dimming is easier to realize with high precision [24]. However, PWM dimming causes LED flickering with low frequency, which would cause discomfort or even damage to human eyes. To make dimming comfortable, a high frequency, at least 1 kHz, should be adopted. The dimming frequency used in this test was 1.6 kHz.

4.2 Test of Mixed Luminous Flux of Bi-color LED

According to discussion in Sec. 4.1, the mixed luminous flux of a bi-color LED light source is linear and has a duty cycle D of signal PWM_1 , which is independent of duty cycle d of signal PWM_2 , when the luminous flux of cold light and warm light is equal. Therefore, the method used in this study can adjust the correlated color temperature as well as maintain a constant illumination. With the duty cycle D of the PWM_1 signal set to one, the test results and theoretical values of the mixed luminous flux are given by Table 2. With variation of the duty cycle d of the PWM_2 signal, the maximum error of the mixed luminous flux between the theoretical value and the test result is 4%, the minimum error is 0.22%, and the average error is 1.82%. Clearly, the error between the test and calculation is minimal.

Table 2 Theoretical values and test results of luminous flux

duty cycle /d	theory /lm	test /lm	error	error%
0	179.69	179.23	0.46	0.26
0.1	179.64	180.04	0.4	0.22
0.2	179.58	183.62	4.04	2.25
0.3	179.53	184.23	4.70	2.62
0.4	179.48	186.65	7.17	4.00
0.5	179.43	185.76	6.33	3.53

0.6	179.37	184.63	5.31	2.96
0.7	179.32	182.92	3.60	2.01
0.8	179.26	180.51	1.25	0.70
0.9	179.21	181.13	1.92	1.07
1	179.16	179.87	0.71	0.40

The test results of the mixed luminous flux with the duty cycle D of PWM₁ set to 0.2, 0.4, 0.6, and 0.8 are shown in Fig. 12. Given a duty cycle D , the difference of the luminous flux is small as the duty cycle d varies. From the test, it can be concluded that duty cycle d has no effect on the mixed luminous flux when the luminous flux of the cold light and warm light is equal.

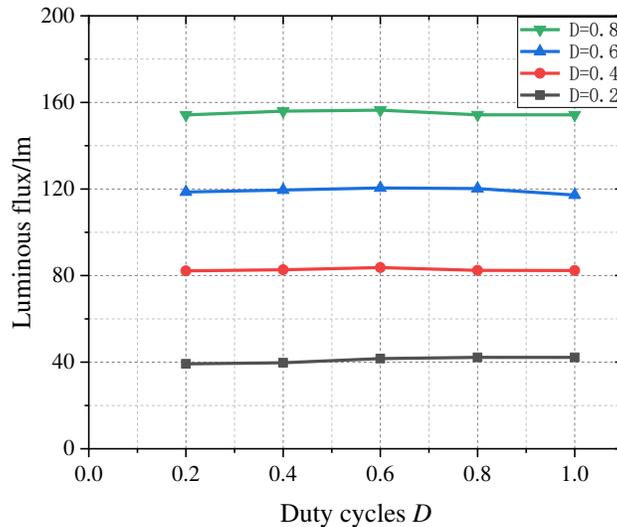


Fig. 12 Mixed luminous flux vs. duty cycles D and d

4.3 Test of Correlated Color Temperature

Three group test results, the theoretical values of the correlated color temperature, and the corresponding error are given in Table 3. With the variation of the duty cycle of the cold light dimming signal, which is equal to $1 - d$ according to the Fig. 3, the maximum difference between the theoretical values and the test results of the correlated color temperature is 72 K, the minimum difference is 1 K, and the average error is 28 K. The results show that the method used in this study exhibits high accuracy.

Table 3 Theoretical values and test results of correlated color temperature

D _c	theory /K	test1 /K	error1 /K	test2 /K	error2 /K	test3 /K	error3 /K
0	2840	2838	2	2841	1	2842	2
0.1	3064	3088	24	3086	22	3090	26
0.2	3331	3375	44	3366	35	3362	31
0.3	3648	3671	23	3672	24	3684	36
0.4	4028	4039	11	4039	11	4027	1
0.5	4484	4434	50	4437	47	4455	29
0.6	5035	5051	16	5050	15	5040	5
0.7	5704	5722	18	5726	22	5744	40
0.8	6525	6528	3	6482	43	6574	49
0.9	7538	7532	4	7500	38	7492	46
1	8800	8854	54	8864	64	8872	72

Figure13 shows the lighting effects obtained with the variation of correlated color temperatures and a constant illuminance of 570 lx. The power of a single light source is 3 W, and the distance between the light source and the target is 0.6 m. With the increase in D_c, the correlated color temperature changed from low to high, and the picture gradually appeared cooler.



Fig. 13 Lighting effect with constant illumination and different correlated color temperatures

Figure 14 shows the lighting effect with two correlated color temperatures and three illuminances; the duty cycle of PWM_1 is D . The power of a single LED and the distance were the same as in the former experiment. The correlated color temperature of Fig. 14(a)–(c) is 3375 K, and that of Fig. 14(d)–(f) is 6528 K. Compared with the high correlated color temperature and high illumination Fig. 14(f), the high correlated color temperature and low illumination Fig. 14(d) looks darker and cooler.



Fig. 14 Lighting effect with constant correlated color temperature and different illuminances

A low correlated color temperature matches low illumination, and high correlated color temperature matches high illumination; moreover, a reasonable combination of the correlated color temperature and illumination makes people comfortable. Figure 14(d), which has low illumination and a high color temperature, looks significantly uncomfortable compared with Fig. 14(f), which has high illumination and a high correlated color temperature. The method that we used can easily adjust the illuminance and correlated color temperature, and their combination can be obtained in accordance with the Kruithof curve.

5. Conclusion

To simply color temperature adjustment of bi-color LEDs, the duty cycle of two PWM dimming signals were correlated, and the mapping relationship between the correlated color temperature and a single duty cycle was given. A bi-color LED light source system was designed with a dimming signal and color matching signal that could adjust the correlated color temperature while maintaining a constant value for the luminous flux, which makes the method convenient for application in daylight harvesting, in addition to mood lighting. Experiments showed that the method used to adjust the correlated color temperature was simple to realize within a small error. Although color temperature could be accurately adjusted within a wide range, the color rendering index of the bi-color LED light source was as poor as warm or cold LED. Improvement of the color rendering index is an important subject to investigate.

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