

Differential Effects of Elevated Nest Temperature and Parasitism on the Gut Microbiota of Wild Avian Hosts

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1 **Differential effects of elevated nest temperature and parasitism**
2 **on the gut microbiota of wild avian hosts**

3
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12
13 **ABSTRACT**

14 **Background:**

15 Changes in wild animal gut microbiotas may influence host health and fitness. While
16 many studies have shown correlations between gut microbiota structure and external
17 factors, few studies demonstrate causal links between environmental variables and
18 microbiota shifts. Here, we use a fully factorial experiment to test the effects of elevated
19 ambient temperature and natural nest parasitism by nest flies (*Protophthora sialia*) on
20 the microbiotas of two species of wild birds, the eastern bluebird (*Sialia sialis*) and the
21 tree swallow (*Tachycineta bicolor*).

22
23 **Results:**

24 We find that bacterial communities from the nestlings of each host species show
25 differential response to both heat and parasitism, with gut microbiotas of eastern
26 bluebirds more disrupted by heat and parasitism than those of tree swallows. Thus, we
27 find that eastern bluebirds are unable to maintain stable associations with their gut
28 bacteria in the face of both elevated temperature and parasitism. In contrast, tree
29 swallow gut microbiotas are not significantly impacted by either heat or nest parasitism.

31 **Conclusions:**

32 Our results suggest that excess heat (e.g., as a result of climate change) may
33 destabilize natural host-parasite-microbiota systems, with the potential to affect host
34 fitness and survival in the anthropocene.

35

36 **Keywords:** birds, climate change, gut microbiota, nest parasites, temperature

37

38 **BACKGROUND**

39

40 Host-associated gut microbiota are critical for host functioning and fulfill key roles
41 such as provisioning nutrients for the host [1, 2], priming the host immune system [3, 4]
42 and detoxifying xenobiotic compounds [5–7]. Over the past two decades, our knowledge
43 of microbiota patterning as it relates to host evolution (e.g., [8, 9]) and ecology (e.g., [10,
44 11]) has increased. While these studies are useful for identifying important correlations
45 between host intrinsic factors and gut community structure, few studies demonstrate
46 causal links between external forces and changes in the gut microbiota.

47 Understanding how and why gut bacterial communities change in response to
48 specific factors requires careful experimental manipulation. In many cases, such causal
49 links have been inferred through the use of germ-free laboratory models that allow for
50 the controlled testing of effector variables. For example, the inoculation of microbiota
51 characteristic of patients suffering from rheumatoid arthritis was shown to produce the
52 disease phenotype in germ-free mice [12]. Studies addressing causal factors influencing
53 the gut microbiota in non-model animals are rare. Experimental studies performed on

54 free-ranging wild hosts are even rarer despite a growing body of research suggesting
55 that animal-associated microbiotas may inform wildlife health and conservation [13–15].

56 An important variable with the potential to destabilize host-associated microbial
57 communities is ambient temperature. Given predictions that rising global temperatures
58 will lead to substantial biodiversity loss (e.g., [16–18]), it is reasonable to question how a
59 warming climate might impact or disrupt associations between wildlife hosts and their
60 symbiotic bacterial communities. To date, most studies examining the effects of ambient
61 temperature on microbiota memberships have been performed on ectothermic animals,
62 such as oysters [19], ticks [20], and corals [21]. Fewer studies have tested the effects of
63 ambient temperature on endothermic homeotherms, such as mammals and birds (but
64 see [22]). Furthermore, many field microbiome studies sample animals across different
65 seasons and geographic sites, which may correlate with ambient temperature but may
66 also be confounded by unmeasured variables associated with seasonality, such as
67 variation in rainfall (e.g. [23, 24]). Field-based microbiome studies also rarely investigate
68 the integration of temperature with other environmental stressors, including natural
69 parasitism, the presence of environmental toxins, or habitat loss [25].

70 To address these knowledge gaps, we performed a fully-crossed field experiment
71 to test the effects of elevated nest temperature and natural parasitism on the
72 microbiotas of two free-ranging wild bird species, the eastern bluebird (*Sialia sialis*) and
73 the tree swallow (*Tachycineta bicolor*) (Fig. 1). Unlike previous studies, our use of
74 experimental heat manipulation during a single summer relieves the effect of
75 confounding variables introduced by studying temperature changes over seasons. Both
76 eastern bluebirds and tree swallows are box-nesting birds, making them a tractable and

77 easily manipulatable wild system. In addition, both species are parasitized by the same
78 species of blowfly, *Protocalliphora sialia*. Adult *P. sialia* are non-parasitic but lay their
79 eggs in the nests of birds soon after nestlings hatch. The larvae then feed externally on
80 the blood of nestlings [26]. Larvae of *P. sialia* can be experimentally removed using
81 insecticidal sprays that do not negatively impact bird nestlings survival [27–29]. In a
82 related study, the interaction of elevated nest temperature and parasitism was shown to
83 have physiological effects on eastern bluebirds and tree swallows. Bluebird nestlings,
84 but not tree swallows, suffer higher parasite loads and lower body mass when exposed
85 to heat and parasites [30]. This data suggests that hosts are capable of mediating the
86 interaction between heat and parasitism. Because previous studies show that the early
87 life microbiota may play a key role in mediating later parasitism outcomes (e.g., [31,
88 32]), it is possible that the microbiota may mediate the effects of heat and nest
89 parasitism, probably via the immune system. We therefore tested whether heat and
90 parasitism could cause a shift in the gut microbiota of eastern bluebirds and tree
91 swallows. We hypothesize that tree swallows, which are generally more tolerant and
92 resistant to both nest parasites and heat, should maintain their gut microbiota structure,
93 while the more susceptible eastern bluebirds should show destabilized microbiotas.

94

95 **RESULTS**

96 *Sequencing and Pre Processing*

97 A total of 7,516,369 raw reads underwent DADA2 filtering, of which 6,489,278
98 passed the denoising step (86%). The average number of merged, non-chimeric reads
99 was 45,673 across all samples ($SE_{\text{Mean}} \pm 3,918$). The total number of ASVs recovered

100 after contaminant filtering was 8,107. Analysis of contaminants with *decontam* identified
101 only a single ASV as a potential contaminant, so we removed this ASV from the feature
102 table prior to all statistical analyses.

103

104 *Composition and Diversity of Eastern Bluebird and Tree Swallow Microbiota*

105 The majority of taxa from both eastern bluebirds and tree swallows were
106 represented by seven bacterial phyla. The most common members of the microbiota
107 were the Proteobacteria, Bacteroidetes, and Firmicutes (Fig. 2). In general, eastern
108 bluebirds had a higher relative abundance of Bacteroidetes and Planctomycetes
109 compared to the tree swallows.

110 The microbiota of both nestling and adult eastern bluebirds had higher median Shannon
111 diversity than those of tree swallows, (Table S1; Fig. 3A,B). Microbiotas of bluebirds and
112 tree swallows were also significantly different in membership (PERMANOVA, $F_{2,134} =$
113 4.65 , $p_{adj} = 0.016$) and composition (PERMANOVA, $F_{2,134} = 8.59$, $p_{adj} = 0.016$)(Fig.
114 3C,D). Host species identity explained more variation in membership (e.g. presence or
115 absence of bacterial OTUs) than abundance-weighted composition (Table S2). Because
116 host species identity had a strong effect on microbiotas, we analyzed the effects of heat
117 treatment and parasitism on bacterial beta diversity separately for each species. We
118 also limited these analyses to only the microbiota of nestlings since microbiota varied
119 significantly according to host life stage (Table S2).

120

121 *Effects of temperature and nest parasitism on nestling microbiota*

122 For alpha diversity, we found that parasitized eastern bluebirds had lower
123 bacterial richness than non-parasitized birds, regardless of heat treatment or life stage.
124 In particular, heat treated and parasitized nestlings had the lowest bacterial richness of
125 any treatment condition in this species (Table S1). In contrast, parasitized tree swallow
126 nestlings had higher richness than non-parasitized nestlings, but only when heat was
127 not applied. In the heat treated tree swallow nestlings, bacterial richness slightly
128 decreased in the parasitized cohort (Table S1). There were too few observations of
129 adult tree swallows to make such a comparison in this cohort, but there was a trend
130 toward lower bacterial richness in parasitized adults in the non-heat treated group
131 (Table S1). Heat and parasite treatments impacted the alpha diversity of bluebird and
132 tree swallow microbiotas, but the significance of these effects varied between host
133 species. For both species, we found that heat treatment alone did not significantly alter
134 microbial richness, phylogenetic diversity, or community evenness (Fig. 4, Table S1).
135 Overall, heat treatment in combination with parasitism significantly reduced all three
136 alpha diversity estimates in bluebirds, while tree swallows were not affected (Fig. 4).

137 We tested the effects of heat and parasite treatments on each species at both
138 the community level (i.e., beta diversity) and at the level of individual bacterial ASV. We
139 used PERMANOVA to test the effects of these treatments on beta diversity of whole
140 bacterial communities. For the eastern bluebirds, we found that parasite treatment and
141 heat treatment significantly impacted microbial beta diversity in both membership and
142 composition, but the interaction between these variables only significantly impacted
143 composition (Table S3). In contrast, tree swallow microbiota membership and

144 composition were not significantly impacted by parasitism or heat treatment, nor their
145 interaction (Table S3).

146 We next tested the effects of heat, parasite treatment, and their interaction on the
147 individual abundances of 13 bacterial phyla. Models for several bacterial taxa failed to
148 converge, likely due to a small number of observations. For the remaining taxa which
149 achieved model convergence, we report parameter estimates and results of significance
150 tests corrected for the false discovery rate (Table S4). The abundances of Fusobacteria,
151 Actinobacteria, Chloroflexi, and Synergistes were negatively associated with the
152 presence of nest parasites in eastern bluebirds, while only Chloroflexi abundance was
153 impacted by heat treatment alone. For tree swallows, we did not detect an effect of
154 either heat or parasitism on the abundance of any of the tested phyla. For both nestling
155 species, the interaction between heat and parasitism was not determined to have a
156 significant effect on the abundance of any one bacterial phylum.

157

158 **DISCUSSION**

159 This study showed that experimental heat treatment and parasite manipulation
160 differentially impacts the microbiotas of two hosts, eastern bluebirds and tree swallows.
161 Host species identity explained most of the variation in microbiotas among the two bird
162 species (Fig. 2 & 3, Table S2), which is consistent with previous work showing strong
163 patterns of bacterial host specificity in other wild vertebrates [33–36]. The bacterial
164 communities of the two nestling species also showed idiosyncratic responses to both
165 heat treatment and nest parasite treatment. Eastern bluebird nestling microbiotas
166 showed a 14% decrease in mean richness in the presence of nest parasites when heat

167 was not applied (Table S1). When temperature was experimentally elevated, parasitized
168 bluebird nestlings showed a 48% decrease in richness compared to the non-parasitized
169 birds. On the other hand, tree swallow nestlings had similar microbiota alpha diversity in
170 the presence or absence of nest parasites. When heat was applied, alpha diversity
171 decreased by 22% in the parasitized nestlings compared to those in parasite-free nests,
172 though this reduction was not statistically significant. Heat application alone did not
173 significantly reduce alpha diversity in eastern bluebirds when parasites were absent,
174 though there was a trend toward lower richness as well (Table S3).

175 The results of the heat treatment experiment are broadly concordant with
176 previous studies examining the effects of heat on vertebrate gut bacterial communities.
177 In cattle and tadpoles, heat alone appears to have no effect on microbiome alpha
178 diversity [37, 38]. In other cases, higher ambient temperatures were found to be
179 associated with lower alpha diversity in the gut microbiota of lizards [39], laying
180 chickens [40], and salamanders [41]. Because endothermic animals such as birds are
181 able to maintain thermal homeostasis, it is not likely that ambient temperature directly
182 affects their gut microbiotas. Rather, the shifts we observed likely result from changes in
183 host physiology spurred by the higher temperatures (e.g., [42, 43]). Accumulating
184 evidence suggests that passerine nestlings respond physiologically to changes in nest
185 temperature; elevated temperatures are associated with decreased body mass and
186 wing length and higher corticosterone levels [30, 44, 45]. The exact mechanisms by
187 which these physiological changes might alter gut microbiota composition remain
188 largely unknown. One hypothesis is that corticosterone alters the structure of the gut
189 mucosal barrier, which exerts an effect on the microbiota. For example, elevated cortisol

190 levels lead to reduced mucin production in urban squirrels [46]. Mucus may regulate the
191 composition of the microbiota, and it is thought that perturbations to the mucus layer
192 (e.g., by changes in host physiology in response to stress) may compromise the stability
193 of these communities and give rise to dysbiosis [47]. Future studies could examine links
194 between mucosal thickness, corticosterone levels, and microbiota attributes in birds
195 experimentally exposed to heat to further develop our understanding of these
196 interactions.

197 The two host species' microbiotas also responded differently to the treatments in
198 terms of beta diversity. Both experimental variables impacted the membership and
199 composition of eastern bluebird nestling microbiotas, including the interaction of the
200 heat and parasitism treatments (Table S4). However, tree swallow beta diversity was
201 robust to both experimental treatments, with neither heat, parasitism, nor their
202 interaction, having a significant influence on either community membership or structure.
203 These results are consistent with previous work on this system, which showed that
204 while both species are tolerant to the presence of parasitic nest flies in terms of nestling
205 mortality, tree swallows are both more tolerant *and* more resistant to the sublethal
206 effects of the parasites than bluebirds [28, 48]. However, given that heat application still
207 resulted in a trend toward lower alpha diversity in tree swallow nestlings, our results
208 suggest that heat stress may interfere with the ability of both species to maintain stable
209 associations with their microbiotas in the presence of nest parasites.

210 Interactions between temperature and parasitism have been reported in other
211 systems, though few studies explicitly incorporate effects on host gut microbiota. In
212 three-spined sticklebacks, rates of tapeworm infection were modulated by ambient

213 temperature such that parasites were more easily able to exploit hosts in warmer
214 experimental conditions [49]. *P. sialia* is an ectoparasite that feeds non-subcutaneously
215 on nestlings, and thus may respond differently to heat than internal parasites, which are
216 buffered from changes in the broader environment due to living within their hosts.
217 Evidence suggests that elevated temperatures result in higher parasite burdens in
218 eastern bluebird nests, while the opposite effect is observed for tree swallows [30].
219 Eastern bluebirds therefore appear to be more susceptible to the interaction of heat
220 stress and parasitism than tree swallows, and our results suggest that these effects in
221 combination have the power to alter their gut bacterial communities. Shifts in microbial
222 composition may signal destabilization of the microbiota in ways that are maladaptive
223 for their hosts. For example, urbanization-driven changes in the microbiotas of
224 American white ibises were significantly associated with pathogen prevalence,
225 suggesting that wild hosts may suffer decreased fitness as a result of microbiome
226 perturbation [50]. However, not all microbiome shifts are necessarily maladaptive.
227 Future work using metagenomic or metatranscriptomic techniques could assess the
228 functional implications of these shifts for hosts and determine the fitness consequences
229 of altered microbial communities.

230 Heat and parasitism did not significantly impact the abundance of any bacterial
231 phylum in tree swallow nestlings (Table S4). In eastern bluebirds, we found that parasite
232 presence caused a decrease in the abundance of Chloroflexi, Fusobacteria,
233 Synergistes, and Actinobacteria, while heat treatment only had a significant effect on
234 Chloroflexi abundance (Table S4). The reduced abundance of these bacterial phyla has
235 been linked to parasitism and disease outcomes in other study systems. One study

236 found that treatment-induced reduction of Fusobacteria in tadpole microbiotas was
237 linked to higher parasite susceptibility in adult frogs [31]. Similarly, IgY and IgG antibody
238 production has been strongly correlated with Chloroflexi and Fusobacteria abundance in
239 wild Galapagos mockingbirds [27] and a mouse model [51], respectively, suggesting
240 that these bacterial taxa may be instrumental for priming the immune system in early
241 life. Phylum Synergistes is a recently described bacterial phylum that is common in
242 animal guts but about which relatively little is known. However, at least one study
243 comparing captive and wild wood grouse (*Tetrao urogallus*) found that Synergistes was
244 completely lost and Actinobacteria significantly reduced in captive birds and suggested
245 that these changes may compromise the performance of the cecum in birds released
246 into the wild [52].

247 Taken together, these results suggest that parasitized eastern bluebird nestlings
248 are sensitive to exposure to heat and parasitism. Parasitism may reduce the abundance
249 of key microbiota members that are known to prime immune function, and as a result,
250 the birds may be more susceptible to heat stress and other environmental stressors
251 throughout their lives [30]. The interaction of temperature and parasitism on host-
252 associated microbiotas may be of particular relevance in the context of climate change.
253 Mean global surface air temperature is projected to increase by 1.4° to 5.8°C by 2100
254 relative to 1990 [53], which falls within the range of our experimentally elevated nest
255 temperatures relative to normal [54]. Given our results, we might expect eastern
256 bluebirds to fare worse under climate change than tree swallows. An interesting
257 mechanistic hypothesis for this prediction is that the tree swallow microbiotas may be
258 mediating their susceptibility to both heat and parasitism. Previous studies have

259 demonstrated that tree swallows mount immune responses to *P. sialia* that reduce
260 parasite burdens [48], and given the links between the microbiota and immune function,
261 we speculate that the ability of tree swallows to maintain stable associations with their
262 microbes may partially be explained by their immune performance. In general, internal
263 microbiota diversity is correlated with immune complexity across all organisms,
264 suggesting a role for the immune system in promoting stable associations with microbes
265 [55]. Eastern bluebirds, on the other hand, do not produce elevated immune responses
266 to parasites and sustain twice the parasite burden per gram of nestling compared with
267 tree swallows [48]. In contrast with tree swallows, eastern bluebird microbiotas shifted in
268 response to heat and parasitism, suggesting that their immune systems and microbiotas
269 may be less coupled. Future studies elucidating these mechanisms would add depth to
270 our understanding of the importance of the microbiome in mediating host immune
271 performance.

272 Given that both parasitism and heat reduced the abundance of key microbiota
273 members associated with immunity, we predict that the net effects of a warming world
274 and natural nest parasitism may threaten eastern bluebird survival in the future. This
275 prediction is consistent with other studies raising concerns about the impacts of climate
276 change on bluebird reproduction and survival (e.g., [56, 57]). In contrast, a long-term
277 study on breeding performance found that between the periods 1962-1972 and 2006-
278 2016, tree swallow reproductive performance increased as a result of earlier breeding
279 induced by warmer winter temperatures [58]. Thus, the combined effects of a warming
280 climate in concert with variation in physiological responses to heat and nest parasites
281 are likely to impact eastern bluebirds and tree swallows differently. Future work should

282 explicitly test the survival and lifetime fitness costs of nestlings exposed to heat in early
283 life and incorporate metatranscriptomic assays or metabolomics to assess the functional
284 contributions of gut bacteria in determining host immune outcomes.

285

286 **CONCLUSIONS**

287 In conclusion, this study demonstrated that two species of free-ranging avian
288 hosts respond differently to heat and parasitism at the level of the microbiota, and that
289 specific bacterial phyla change in relative abundance in response to the treatments. Our
290 work joins a growing body of literature suggesting that the gut microbiota may have the
291 power to mediate external stressors such as heat and ectoparasite presence in wild
292 hosts. This experimental study and future work will be instrumental in predicting how
293 natural host-parasite-microbe systems respond to a warming world.

294

295 **METHODS**

296 *Study Site*

297 The experiment was conducted at the University of Minnesota Itasca Biological
298 Station and Laboratories (47°13'33"N, -95°11'42"W), Minnesota, USA from May to
299 August 2018. In 2018, approximately 170 nest boxes were established on private
300 properties and near Itasca State Park. Tree swallows and eastern bluebirds are
301 abundant in the area and nest readily in artificial cavities. At this site, *Protocalliphora*
302 *sialia* is the main species of blowfly that parasitizes both bluebird and tree swallow nests
303 [48]. Tree swallow clutch size ranges from one to nine eggs incubated for 13-14 days.
304 Nestlings spend an average of 20 days in the nest [48]. Bluebird clutch size ranges from

305 one to seven eggs, which are incubated for 13-14 days. Nestlings spend an average of
306 18.8 days in the nest [59].

307

308 *Experimental Manipulation of Nest Parasites and Temperature*

309 We checked nest boxes once per week for evidence of nest construction. Once
310 eggs were found, we recorded lay date and checked nests every other day until the
311 eggs hatched. During the nestling stage, we conducted a fully factorial experiment by
312 manipulating parasite presence (parasites vs. no parasites) and nest temperature (heat
313 treatment vs. no heat) for both eastern bluebird and tree swallow nests (Fig. 1). At
314 hatching, the nestlings and the top liner of the nests were removed for parasite
315 manipulation. For the control treatment, we treated nests with sterile water to allow for
316 natural parasitism (parasitized). For the experimental treatment, we treated nests with a
317 1% permethrin solution to remove all parasites (non-parasitized; Permethrin II) [27, 28].
318 We initially determined parasite treatment for each species by a coin flip, and alternately
319 assigned all subsequent nests to a treatment.

320 For the heat treatment, we used a metal spatula to lift nest material from the bottom of
321 the box and placed a fresh UniHeat 72+ Hour heat pack (heat-treated) or an exhausted
322 heat pack (non-heat treated) in the open space. The packs contain a mixture of
323 charcoal, iron powder, vermiculite, salt, sawdust, and moisture, and produce elevated
324 temperatures between 35 – 40°C for two days when exposed to the air [60]. Nest boxes
325 were revisited every two days to replace active heat packs so that nest boxes were
326 maintained at constant elevated temperature until nestlings were 10 days old. For
327 control nests, nest material was lifted with a metal spatula to control for nest

328 disturbance. Heat packs were always checked for parasites before they were removed;
329 any parasites that were on the heat pack were returned to the nest. Heat treatment for
330 each species was initially determined by a coin flip and all subsequent nests were
331 assigned to alternating treatments.

332 When nestlings were approximately 10 days old, we collected feces from them
333 and ended the heat treatment. To collect feces, nestlings were removed from the nest
334 and held over a sterile weigh-boat until they defecated. The fecal sample was then
335 moved from the tray to a sterile tube with approximately 500 μ L of DNA/RNA Shield
336 (Zymo Research, Inc.), placed on ice in the field for up to 6 hours, and then stored in a -
337 80°C freezer until the bacterial DNA was extracted. The samples were then transported
338 to the University of Connecticut and stored in a -80°C freezer for downstream 16S
339 sequencing. Although studies show that the bacterial community in avian feces does not
340 always represent the entire digesta of the host (e.g., in the cecum; [61]), fecal samples
341 are generally representative of the bacterial community in the large intestines [61, 62]
342 and are used when hosts cannot be euthanized [63].

343 When nests were empty, they were carefully removed, along with the heat packs,
344 from the nest box and stored in a gallon-sized, labeled plastic bag. Nest material was
345 then dissected over trays lined with a white piece of paper. All *P. sialia* larvae (1st, 2nd,
346 and 3rd instars), pupae, and pupal cases were counted to determine total parasite
347 abundance for each nest for Albert et al. (2021) [30].

348

349 *DNA Isolation and 16S rRNA Gene Sequencing*

350 Before starting the extraction, samples were centrifuged for 10 minutes at 10,000
351 rpm and 4°C and the supernatant (i.e. DNA/RNA Shield) was then removed. Total DNA
352 was extracted from nestling feces using a Qiagen PowerFecal DNA Isolation Kit
353 (Qiagen, Inc.). DNA extractions were then sent to the University of Connecticut
354 Microbial Analysis, Resources and Services for sequencing with an Illumina MiSeq
355 platform and v2 2x250 base pair kit (Illumina, Inc.). We also sequenced a laboratory
356 blank to control for kit contamination and found no detectable sequences. Bacterial
357 inventories were conducted by amplifying the V4 region of the 16S rRNA gene using
358 primers 515F and 806R [64] and with Illumina adapters and dual indices [65].

359

360 *Bioinformatic and Statistical Analyses*

361 A total of 138 fecal samples were successfully sequenced. Raw forward and
362 reverse reads for each sample were imported into QIIME2 v. 2020.8 [66]. Initial quality
363 control was performed manually by summarizing demultiplexed sequences and
364 visualizing per-base quality scores in QIIME2. Next, we used the DADA2 algorithm to
365 trim low-quality base calls (as identified in the previous summary step), join forward and
366 reverse reads, and identify and remove chimeric sequences [67]. The resulting feature
367 table of amplicon sequence variants (ASVs) was then used to construct a bacterial 16S
368 phylogeny, using the MAFFT alignment algorithm (q2-alignment) and the FastTree
369 maximum likelihood estimation (q2-phylogeny) plugin [68, 69]. Bacterial taxonomy was
370 assigned using the q2-feature-classifier [70] naive Bayesian classifier against the
371 Greengenes 13_8_99% OTUs reference database [71]. After visual inspection of the
372 resulting taxonomic bar plots, we filtered out mitochondrial and chloroplast sequences

373 from the ASV feature table and exported the data for further analysis in the R statistical
374 environment [72].

375 Basic data preprocessing was performed using packages *phyloseq* v. 1.32.0 [73]
376 and *microbiome* v. 2.1.25 [74]. First, we filtered the feature table to retain only those
377 samples with at least 1000 total reads, which reduced the dataset to 135 samples.
378 Because microbiome samples are prone to ambient laboratory contamination [75, 76],
379 we also used *decontam* v. 1.8.0 [77] to filter out potential contaminant ASVs from the
380 samples based on the prevalence of bacteria in the extraction negative control. We
381 performed alpha diversity calculations on the contaminant-filtered dataset, computing
382 Shannon diversity for each sample. Prior to beta diversity analyses, we performed a
383 Hellinger transformation (i.e., square root of the relative abundance given at the scale
384 [0,1]) on the ASV table to account for differences in library size [78].

385 Because our dataset contained relatively few adult mothers ($n = 29$), we decided
386 to focus on only nestlings for the beta diversity analyses ($n = 107$). We used R package
387 *vegan* [79] to compute alpha (Shannon, Faith's PD, Evenness) and beta (Bray-Curtis,
388 Unifrac) diversity metrics for all samples [80]. To test for differences in alpha diversity
389 among experimental treatments, we compared treatments within species using the
390 Wilcoxon test and applying a Bonferroni correction to all significance values. To
391 compare microbiota among experimental conditions, we conducted PERMANOVA tests
392 on both unweighted (composition) and weighted (structure) Unifrac distances. Distance
393 matrices were estimated separately for each bird species. The full model call was
394 (dist.matrix ~ Parasite + Heat + Parasite * Heat). An important assumption of
395 PERMANOVA is homogeneity of dispersion among groups, so we also performed a

396 beta dispersion test for each distance matrix using the command “betadisper” in *vegan*.
397 Because we tested the same hypotheses separately on each bird species, we
398 controlled for multiple testing by adjusting all p -values using a Benjamini-Hochberg
399 correction.

400 To specifically test for the effects of nest temperature treatment and parasite
401 treatment on detection of gut microbial taxa, we used general linear models with mixed
402 effects (GLMMs). To reduce the overall number of ASVs tested, we pruned the dataset
403 to remove those ASVs that were not detected in at least 10 individual birds as well as
404 those that had fewer than 100 total read counts. This data stringency left us with a total
405 of 16 phyla of 112 bacterial families available for testing. Using package *glmmTMB* [81],
406 we constructed models testing the effects of parasite treatment, heat treatment, and the
407 interaction between parasitism and heat on each bacterial phylum. We fit models using
408 a type-2 negative binomial distribution with a log link and modeled nest ID as a random
409 effect to account for brood sampled from the same nests. Because microbiome features
410 tables include many zeroes, we additionally included a zero-inflation component
411 modelled on a single intercept. All p -values were adjusted for false discovery rate with a
412 Benjamini-Hochberg correction.

413 All visualizations were created using *phyloseq* [73] and *ggplot2* [82]. Bar plots
414 were created using *fantaxtic* (<https://github.com/gmteunisse/Fantaxtic>) and all color
415 palettes are adapted from *wesanderson* (<https://github.com/karthik/wesanderson>).

416

417 **DECLARATIONS**

418 **Ethics approval and consent to participate:** All applicable institutional guidelines for
419 the care and use of animals were followed (University of Connecticut IACUC protocol
420 #A18-005).

421 **Consent for publication:** Not applicable.

422 **Availability of data and material:** All statistical analyses and code can be accessed
423 publicly at <https://github.com/MelissaIngala/ItascaBirdMicrobiota>. Raw 16S rRNA data is
424 publicly archived on the NCBI SRA under BioProject # PRJNA733473.

425 **Competing interests:** The authors declare no competing interests

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431 **Authors' contributions:** LA and SAK designed the study, LA and SAK collected the
432 data, AA and MW conducted the molecular work. MRI analyzed the data and wrote the
433 manuscript. All authors participated in improving the manuscript. Bird illustrations by
434 MRI.

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452

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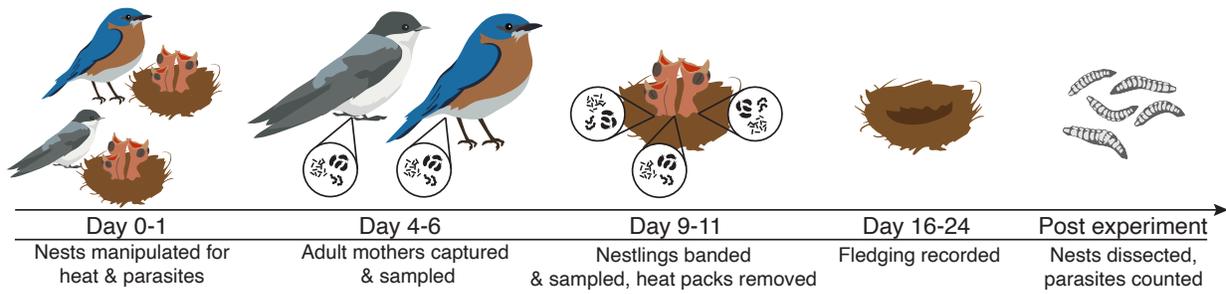
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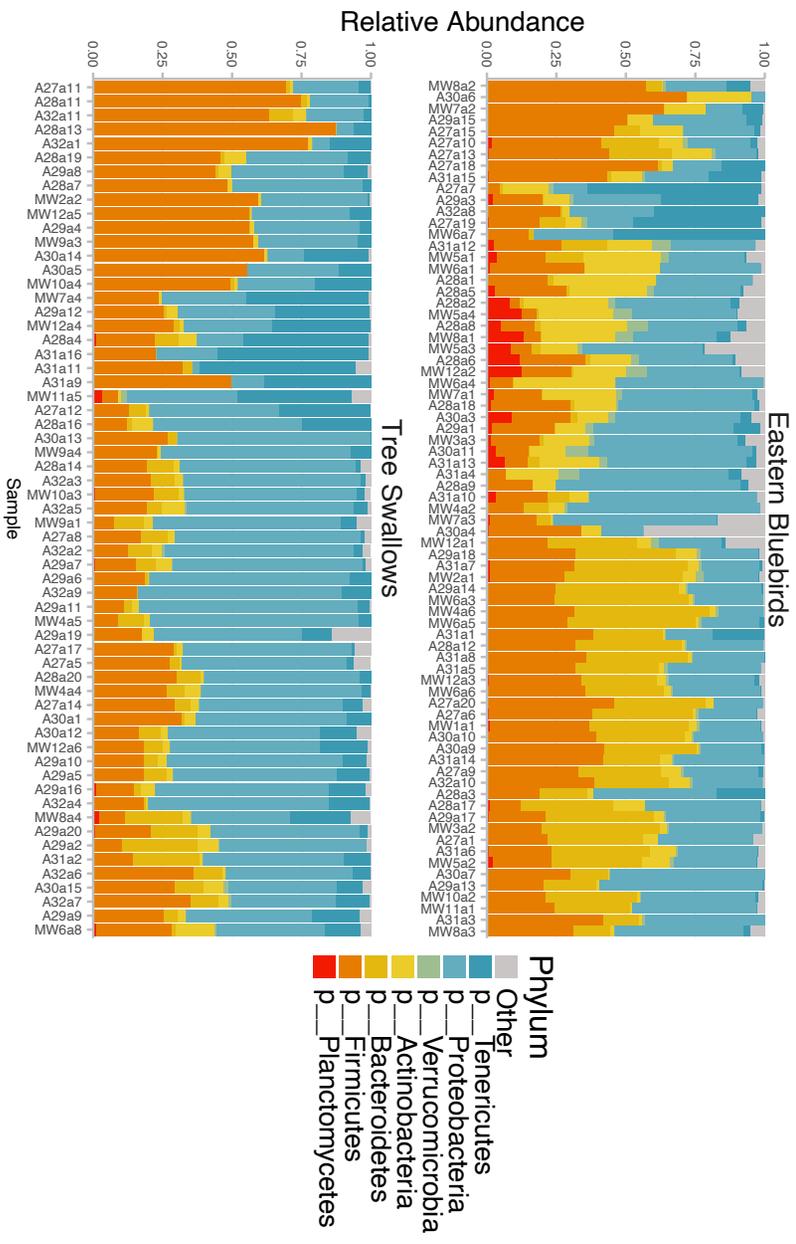
682 **FIGURES & TABLES**



683

684 **Figure 1.** Eastern bluebird and tree swallow nests were manipulated to test the effects
685 of heat treatment and parasitism on microbiota. Days represent nestling age from hatch
686 date.

687



688 **Figure 2.** Proportional abundance of bacterial phyla identified from eastern bluebird

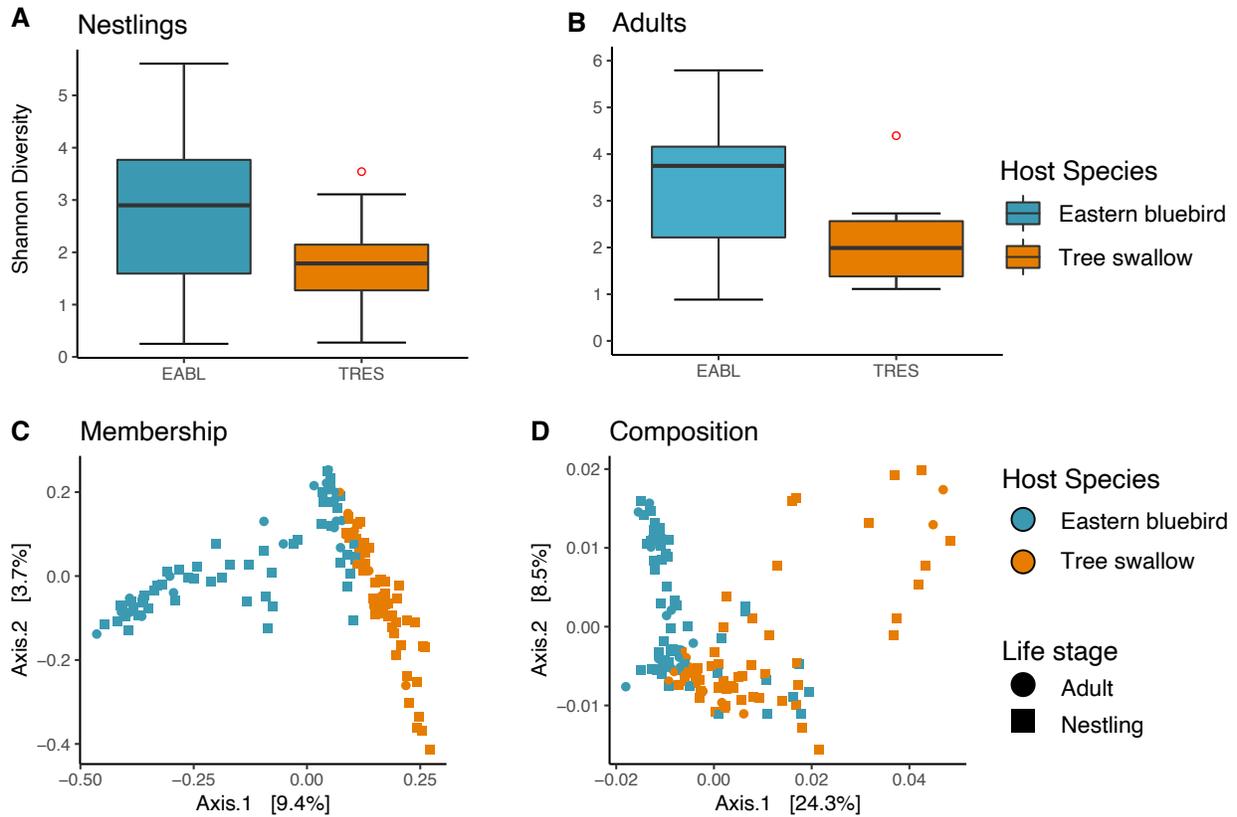
689 (top) and tree swallow (bottom) microbiota. Each bar represents a sample from an

690 individual bird.

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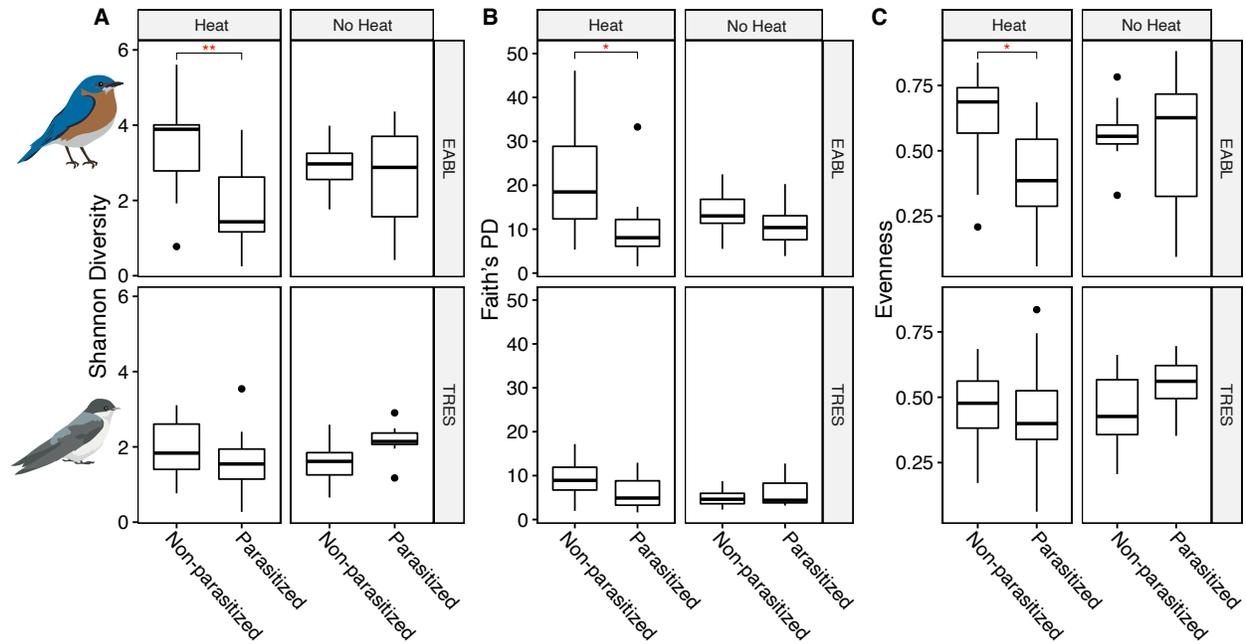
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695 **Figure 3.** Shannon diversity boxplots for nestlings (A) and adult birds (B) of both
 696 species. Midline represents the median Shannon diversity value. Red points indicate
 697 outliers. Principal coordinates ordination of (C) unweighted (membership) and (D)
 698 weighted (composition) Unifrac distances for all birds. Each point represents an
 699 individual sample colored according to host species. Point shape indicates host life
 700 stage.

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702

703 **Figure 4.** Effect of heat and parasite treatments on alpha diversity of eastern bluebird
 704 and tree swallow nestling microbiotas. Panels depict A) Shannon diversity, B) Faith's
 705 phylogenetic diversity, and C) Evenness. Horizontal bars represent median values while
 706 solid points indicate outliers. Significance codes: ** = $p_{adj} < 0.001$, * = $p_{adj} < 0.05$.

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717 **Supplemental Information**

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719 **Supplemental Table S1.** Effect of experimental heat and parasite treatment on alpha
 720 diversity of bird microbiotas. Mean Shannon diversity is reported \pm standard error.

721 Numbers in parentheses indicate sample size for each condition.

	No heat		Heat	
	Non-parasitized	Parasitized	Non-parasitized	Parasitized
Eastern bluebirds				
Nestlings	2.90 \pm 0.2 (10)	2.55 \pm 0.33 (16)	3.49 \pm 0.33 (14)	1.82 \pm 0.28 (15)
Adults	3.71 \pm 0.33 (6)	2.88 \pm 0.46 (6)	4.99 \pm 0.81 (2)	2.89 \pm 0.56 (6)
Tree swallows				
Nestlings	1.58 \pm 0.15 (13)	2.16 \pm 0.14 (10)	1.99 \pm 0.28 (9)	1.56 \pm 0.16 (20)
Adults	3.56 \pm 0.83 (2)	1.96 \pm 0.60 (2)	1.38 (1)	1.76 \pm 0.23 (4)

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727 **Supplemental Table S2.** PERMANOVA results for Bray-Curtis distance matrix and
 728 both membership (unweighted Unifrac) and composition (weighted Unifrac) for all birds.

	Bray-Curtis		Unweighted Unifrac		Weighted Unifrac	
	r ²	P _{adj}	r ²	P _{adj}	r ²	P _{adj}
Host species	0.11142	0.012*	0.11144	0.012*	0.06445	0.016*
Parasite Treatment	0.00859	0.012*	0.00876	0.012*	0.00850	0.016*
Heat Treatment	0.01851	0.012*	0.02080	0.012*	0.01067	0.016*
Life Stage	0.01154	0.012*	0.00973	0.012*	0.00901	0.016*

729

730 *Significant at (P_{adj} < 0.05)

731 **Table S3.** Effect of parasite treatment, heat treatment, and their interaction on beta
 732 diversity of eastern bluebird and tree swallow microbiotas. Values labeled * are
 733 significant at $P_{adj} \leq 0.05$.

	Unweighted Unifrac (Membership)		Weighted Unifrac (Composition)	
	r^2	p_{adj}	r^2	p_{adj}
Eastern Bluebirds				
Parasite Treatment	0.024	0.047 *	0.029	0.047 *
Heat Treatment	0.027	0.047 *	0.041	0.018 *
Parasite*Heat	0.018	0.4370	0.029	0.047 *
Tree Swallows				
Parasite Treatment	0.019	0.80	0.019	0.80
Heat Treatment	0.023	0.46	0.036	0.33
Parasite*Heat	0.025	0.276	0.025	0.71

734

735 **Table S4.** Mixed effects model results (coefficient and standard deviation) for the
 736 impacts of heat and parasite manipulation on 16 bacterial phyla, split amongst eastern
 737 bluebirds and tree swallows nestlings. Values shown in bold are significant at $p_{adj} < 0.05$
 738 after Benjamini-Hochberg correction. "NA" values indicate that the model failed to
 739 converge for the specified bacterial taxon. W = parasitized condition, NH = no heat
 740 applied

Host Species	Bacterial Phylum	Intercept	Parasitism (Parasitized)	Heat (No Heat)	Parasitism*Heat
Eastern Bluebirds	Tenericutes	6.51 (± 1.75)	3.35 (± 2.23)	-1.23 (± 2.57)	-5.94 (± 3.4)
	Proteobacteria	9.88 (± 0.34)	-0.75 (± 0.48)	0.70 (± 0.53)	0.79 (± 0.70)
	Fusobacteria	6.32 (± 1.53)	-4.99 (± 2.13)	-32.6 (± 158411)	31.1(± 158411)
	Deferribacteres	3.19 (± 0.89)	-0.62 (± 1.24)	0.80 (± 1.38)	-0.25 (± 1.83)

	Crenarchaeota	3.88 (± 0.89)	-0.26 (± 0.0002)	-1.08 (± 1.38)	-5.56 (± 0.00004)
	Verrucomicrobia	5.67 (± 0.59)	-0.81 (± 0.82)	-0.61 (± 0.91)	1.27 (± 1.21)
	Actinobacteria	6.53 (± 0.48)	-1.38 (± 0.63)	-0.0009 (± 0.72)	0.33 (± 0.92)
	Chlamydiae	3.92 (± 2.23)	-0.63 (± 3.10)	-4.27 (± 3.48)	8.33 (± 4.60)
	Acidobacteria	-5.26 (± 4.72)	-2.52 (± 4.26)	-20.0 (± 15342)	19.40 (± 15342)
	Chloroflexi	4.93 (± 1.0)	-5.24 ± (1.43)	-3.21 ± (1.56)	2.54 (± 2.12)
	p__Unknown	NA	NA	NA	NA
	Synergistetes	4.91 (± 1.33)	-5.13 (± 1.87)	0.42 (± 2.05)	1.37 (± 2.74)
	Bacteroidetes	9.14 (± 1.28)	-1.53 (± 1.17)	0.24 (± 1.44)	1.25 (± 1.65)
	Firmicutes	9.24 (±0.50)	-0.37 (± 0.68)	0.23 (± 0.78)	0.018 (±1.01)
	Cyanobacteria	NA	NA	NA	NA
	Planctomycetes	4.16 (± 0.95)	-2.68 (± 1.33)	-1.78 (± 1.48)	2.34 (± 1.96)
Tree Swallows	Tenericutes	8.87 (±0.55)	0.31 (±0.67)	-0.42 (±0.72)	-1.13 (±0.97)
	Proteobacteria	9.65 (± 0.62)	-0.46 (±0.82)	-0.63 (±0.82)	1.13 (±1.16)
	Fusobacteria	NA	NA	NA	NA
	Deferribacteres	-24.2 (± 39533)	-0.93 (± 60975)	-0.97 (± 69930)	3.87 (± 84132)
	Crenarchaeota	NA	NA	NA	NA

Verrucomicrobia	NA	NA	NA	NA
Actinobacteria	5.46 (± 0.66)	-0.70 (± 0.80)	-1.53 (± 0.86)	0.94 (± 1.16)
Chlamydiae	NA	NA	NA	NA
Acidobacteria	-24.16 (± 39533)	-0.93 (± 60975)	-0.97 (± 69930)	3.87 (± 84132)
Chloroflexi	24.2 (± 39533)	-0.93 (± 60975)	-0.97 (± 69930)	3.87 (± 84132)
p__Unknown	-24.16 (± 39533)	-0.93 (± 60975)	-0.97 (± 69930)	3.87 (± 84132)
Synergistetes	NA	NA	NA	NA
Bacteroidetes	7.55 (± 0.96)	-0.38 (± 1.15)	0.99 (± 1.25)	-1.85 (±1.67)
Firmicutes	9.62 (± 0.41)	-0.35 (± 0.50)	-0.30 (± 0.54)	0.15 (± 0.72)
Cyanobacteria	2.87 (± 2.54)	-1.12 (± 3.06)	-0.62 (± 3.30)	0.072 (± 4.44)
Planctomycetes	-24.16 (± 39533)	-0.93 (± 60975)	-0.97 (± 69930)	3.87 (± 84132)

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Figures

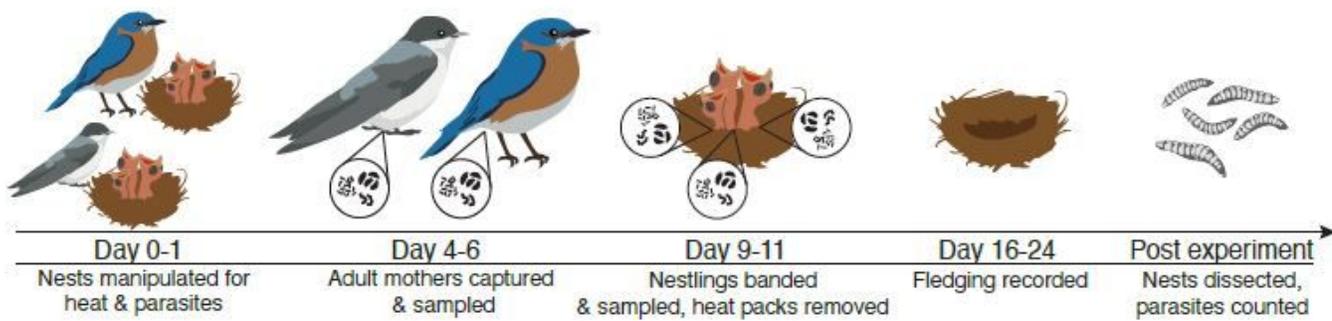


Figure 1

Eastern bluebird and tree swallow nests were manipulated to test the effects of heat treatment and parasitism on microbiota. Days represent nestling age from hatch date.

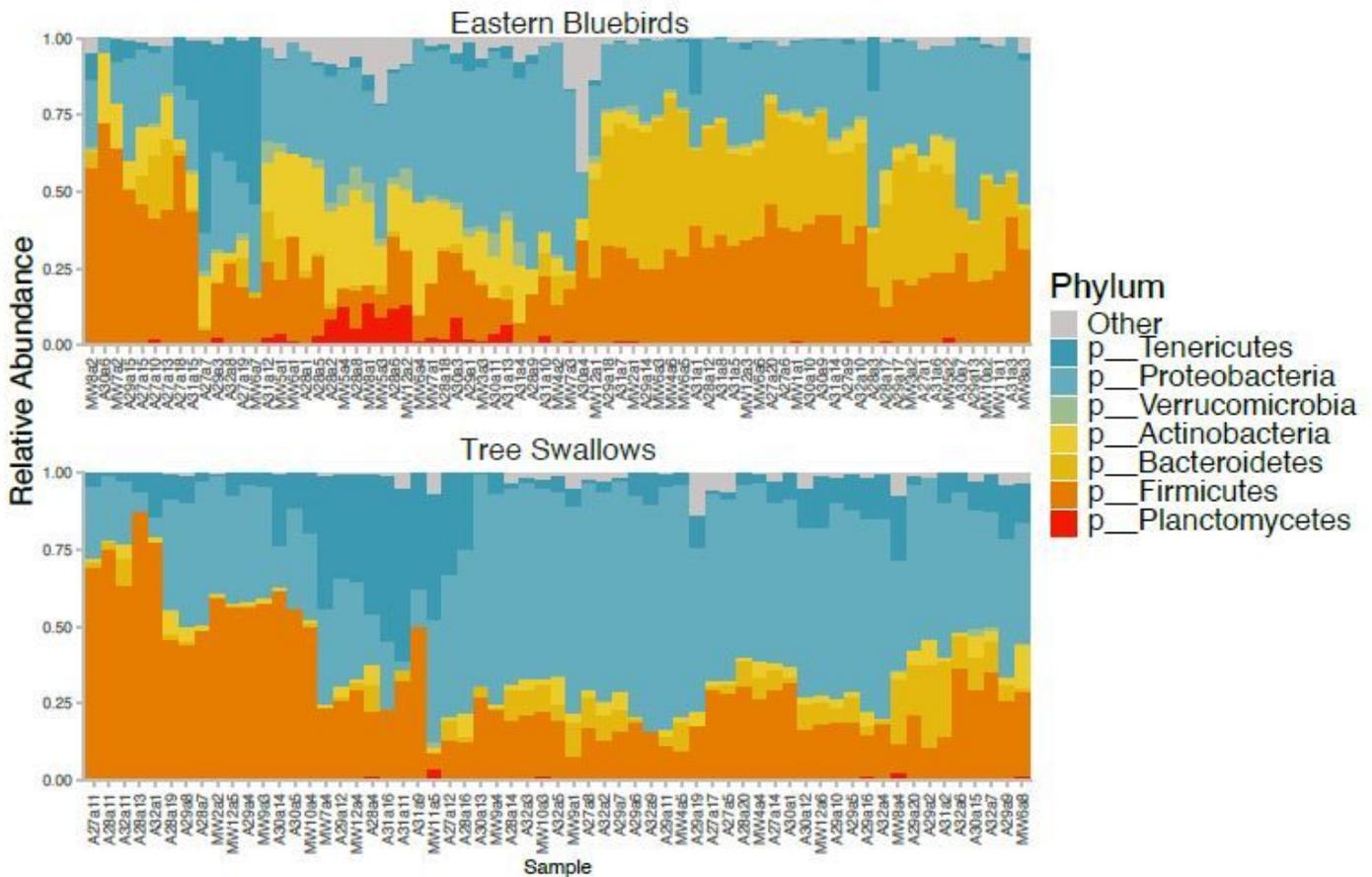


Figure 2

Proportional abundance of bacterial phyla identified from eastern bluebird (top) and tree swallow (bottom) microbiota. Each bar represents a sample from an individual bird.

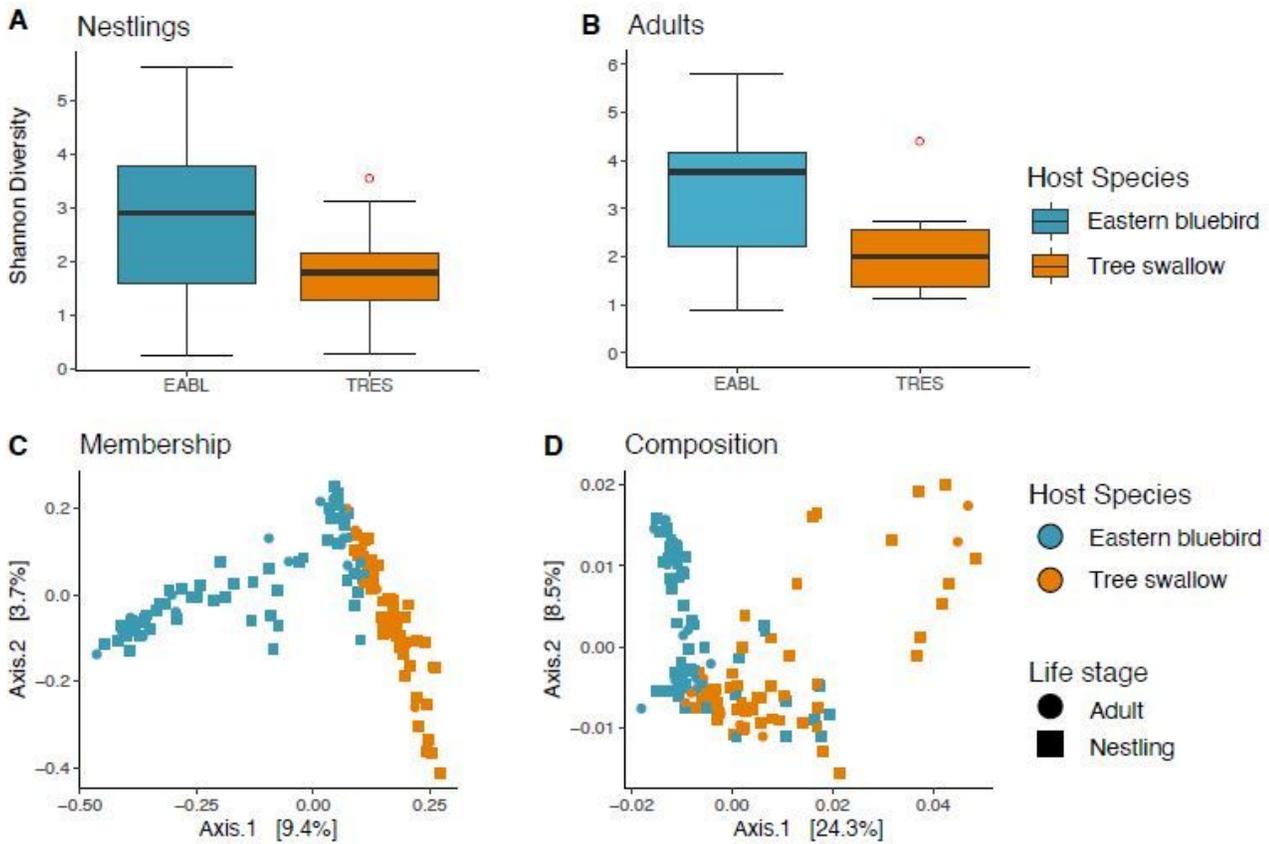


Figure 3

Shannon diversity boxplots for nestlings (A) and adult birds (B) of both species. Midline represents the median Shannon diversity value. Red points indicate outliers. Principal coordinates ordination of (C) unweighted (membership) and (D) weighted (composition) Unifrac distances for all birds. Each point represents an individual sample colored according to host species. Point shape indicates host life stage.

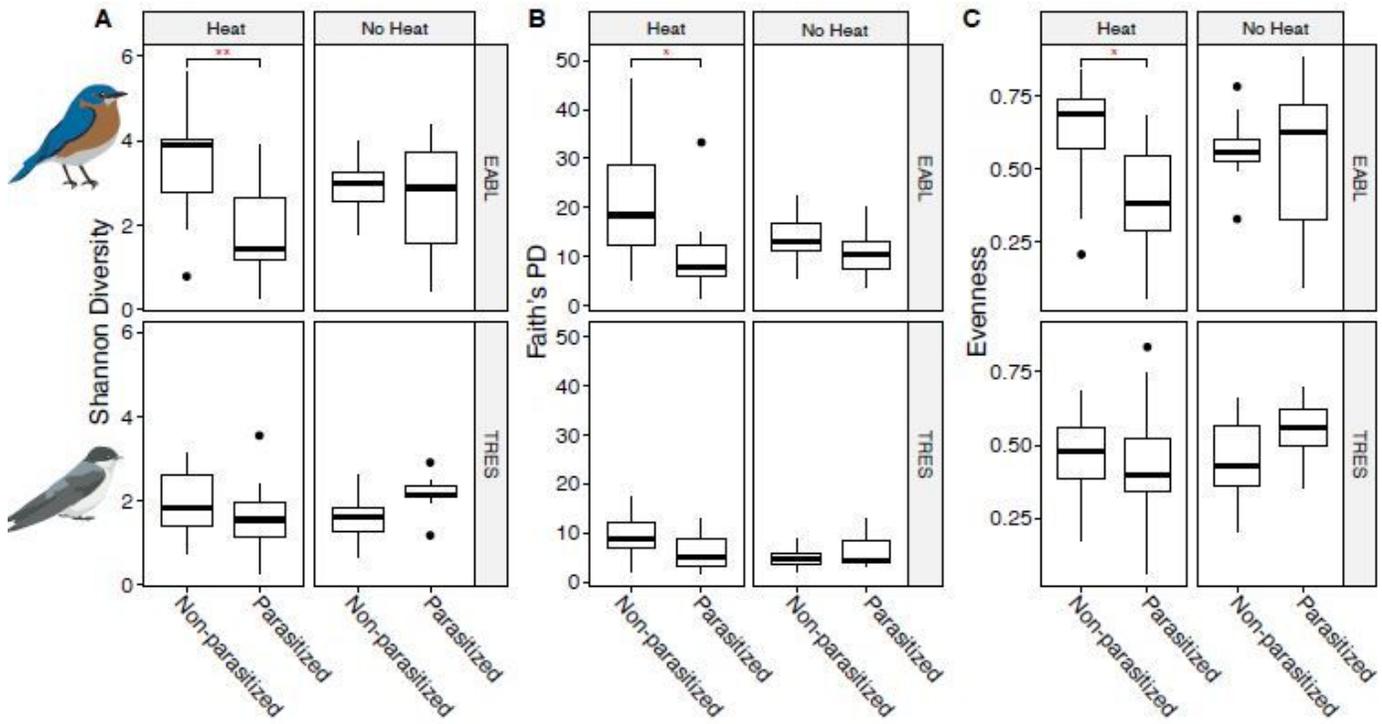


Figure 4

Effect of heat and parasite treatments on alpha diversity of eastern bluebird and tree swallow nestling microbiotas. Panels depict A) Shannon diversity, B) Faith's phylogenetic diversity, and C) Evenness. Horizontal bars represent median values while solid points indicate outliers. Significance codes: ** = $p_{adj} < 0.001$, * = $p_{adj} < 0.05$.