

A Five Collagen-related Gene Signature to Estimate the Prognosis and Immune Microenvironment in Clear Cell Renal Cell Cancer

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1 **A five collagen-related gene signature to estimate the prognosis and**
2 **immune microenvironment in clear cell renal cell cancer**

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33 **Abstract**

34 **Background:** Collagen is the main component of Extracellular matrix (ECM) and might play an
35 important role in tumor microenvironment. However, the relationship between collagen and clear
36 cell renal cell cancer (ccRCC) still not fully clarified. Hence, we aimed to establish a collagen-
37 related signature to predict the prognosis and estimate the tumor immune microenvironment in
38 ccRCC patients.

39 **Results:** In this study, we established a five collagen-related gene signature to estimate the immune
40 microenvironment and predict the prognosis of ccRCC patients. Patients with high-risk score were
41 often correlated with unfavorable overall survival (OS) and immunosuppressive microenvironment.
42 In addition, the collagen-related genetic signature was highly correlated with clinical pathological
43 features and can be considered as independent prognostic factors in ccRCC patients. Besides, GSEA
44 results also show that patients with high-risk grade tend to be associated with epithelial-
45 mesenchymal junctions (EMT) and immune responses.

46 **Conclusion:** In this study, we developed a collagen-related gene signature, which might possess the
47 potential to predict the prognosis and immune microenvironment of ccRCC patients and function
48 as an independent prognostic factor in ccRCC.

49 **Keywords:** collagen; epithelial-mesenchymal transition (EMT); GSEA; immune microenvironment;
50 prognosis.

51 **Background**

52 Renal cell carcinoma is the most common kidney malignant tumor, accounting for about 2%
53 to 3% of adult malignant tumors. Among them, clear cell renal cell carcinoma is the most common
54 type of renal cell carcinoma with its morbidity reached up to 70% - 80% [1]. In recent years, the

55 incidence and fatality rate of renal clear cell carcinoma have been increasing over the world [2].
56 Nowadays, due to the widespread use of imaging technology, the early diagnosis rate of renal clear
57 cell carcinoma has increased significantly. However, about 1/3 of renal clear cell patients have been
58 accompanied by distant metastasis at the time of initial diagnosis [3]. Currently, surgical resection
59 is the main treatment for early localized clear cell renal carcinoma, but even with radical or partial
60 nephrectomy, local or distant metastases still occur in 16% of patients [4]. For advanced metastatic
61 clear cell renal cell carcinoma, because patients are not sensitive to radiotherapy and chemotherapy,
62 the main treatment is targeted therapy and immunotherapy. However, 30% of patients with
63 metastatic clear cell renal cell carcinoma have primary drug resistance toward molecularly targeted
64 drugs and some patients will develop secondary drug resistance about 1 year after receiving
65 treatment, which ultimately leads to poor prognosis of patients [5]. Therefore, it is of great
66 significance to explore the molecular mechanisms related to the progression of renal clear cell
67 carcinoma for improving the diagnosis and prognosis of patients with renal clear cell carcinoma.

68 Over the past century, researches of malignant tumor have been focused on the mechanism of
69 abnormal proliferation of tumor cells. Therefore, many genes and pathways related to cancer cell
70 growth and metabolism have been elucidated. In recent years, the seed-soil theory was proposed
71 and elucidate the development process of tumors which is a dynamic process of interaction between
72 tumor cells and their microenvironment [6–8]. The tumor microenvironment is involved in the re-
73 differentiation of tumor cells and extracellular matrix (ECM), which play an important role in tumor
74 development. [9]. These researches opened up a new horizon for exploring the complex mechanisms
75 of tumor progression. Collagen is the main component of ECM. As the attachment and scaffold for
76 cell growth, it can induce the proliferation, differentiation and migration of epithelial cells, and plays

77 an important role in maintaining intercellular adhesion, tissue integrity and repairing as well as
 78 supporting organs. Accumulating evidence showed that the expression of collagen I, III, IV, V, VI,
 79 and X in gastric cancer and bladder cancer tissues is with significantly difference [10–14], implying
 80 that collagen is significantly correlated with tumorigenesis and development.

81 In this study, we intended to establish a collagen-related gene signature which might serve as
 82 a prognostic biomarker to assist the diagnosis and prediction of prognosis in clear renal cell cancer.
 83 This risk model might also used to predict the immune microenvironment in ccRCC groups. These
 84 results will deepen our understanding between collagen and ccRCC, and immune microenvironment.

85 **Results**

86 **1. Characteristics of patients separated into training and test cohort**

87 Clinical and pathological information of 537 ccRCC patients and 72 normal tissue samples were
 88 obtained from the cancer genome atlas (TCGA, <https://portal.gdc.cancer.gov/>) database. All patients
 89 were randomly separated into train cohort (n=320) and test cohort (n=217). Then, we summarized
 90 the clinical information including age at diagnosis, gender, OS, survival state, histological stage,
 91 pathological stage and clinical stage in **Table 1**.

92 **Table 1.** Clinical characteristics of the RCC patients in Train and Test cohorts

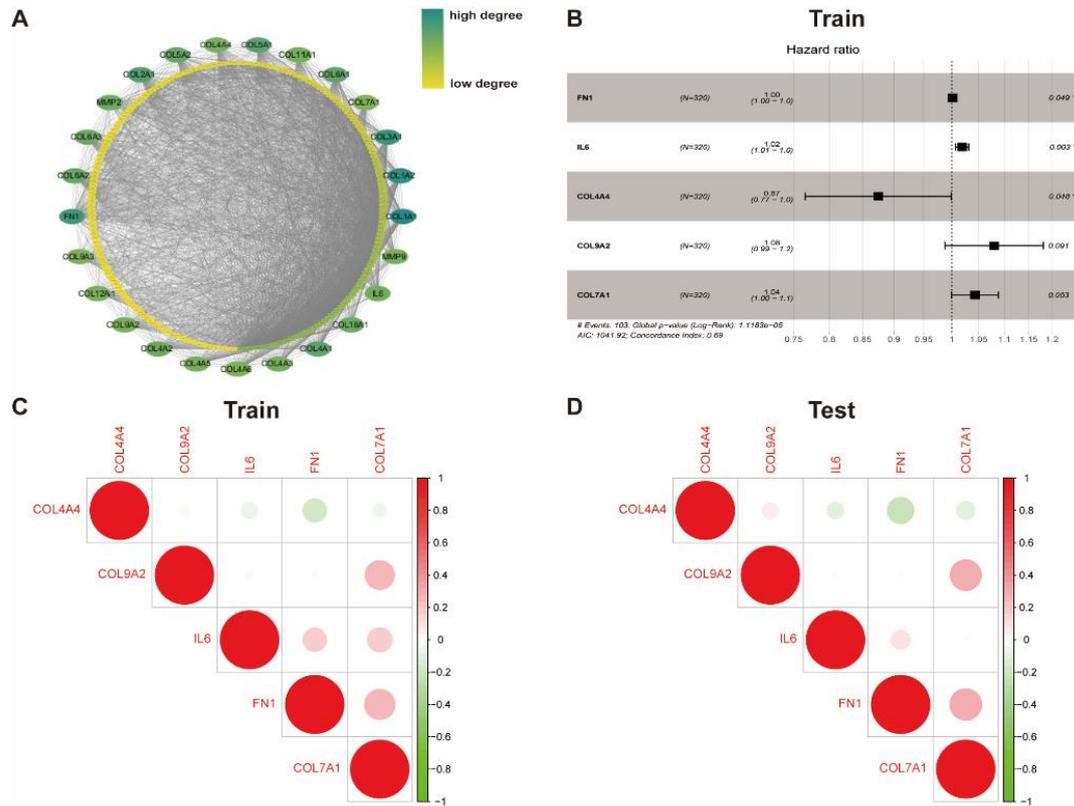
Variables	Train (n=320)	Test (n=217)	Overall (n=537)
Age			
Mean	60.03	61.42	61.52
Median[min,max]	60.00[29,90]	61[26,90]	61[26,90]
Gender			
MALE	209(65.31%)	137(63.13%)	346(64.43%)
FEMALE	111(34.69%)	80(36.87%)	191(35.57%)
Overall Survival time			
Mean	1130.68	1146.57	1147.79
Median[min,max]	1026.50[3,3431]	1106.00[2,3668]	1091[2,3668]
Unknow	3	2	5
Survival State			
Alive	217 (67.81%)	150(69.12%)	367(68.34%)

Dead	103(32.19%)	67(30.88%)	170(31.66%)
Histologic grade			
G1	10(3.13%)	4(1.84%)	14(2.61%)
G2	133(41.56%)	97(44.70%)	230(42.83%)
G3	127(39.69%)	80(36.87%)	207(38.55%)
G4	45(14.06%)	33(15.21%)	78(14.53%)
Unknow	5(1.56%)	3(1.38%)	8(1.49%)
T-stage			
T1	159(49.69%)	116(53.46%)	275(51.21%)
T2	43(13.44%)	26(11.98%)	69(12.85%)
T3	111(34.69%)	71(32.72%)	182(33.89%)
T4	7(2.19%)	4(1.84%)	11(2.05%)
Unknow	0(0%)	0(0%)	0(0%)
N-stage			
N1	12(3.75%)	5(2.30%)	17(3.17%)
N0	134(41.88%)	106(48.85%)	240(44.69%)
Unknow	174(54.38%)	106(48.85%)	280(52.14%)
M-stage			
M1	48(15.00%)	31(14.29%)	79(14.71%)
M0	256(80.00%)	170(78.34%)	426(79.33%)
Unknow	16(5.00%)	16(7.37%)	32(5.69%)
Clinical stage			
Stage I	155(48.44%)	114(52.53%)	269(50.09%)
Stage II	34(10.63%)	23(10.60%)	57(10.61%)
Stage III	79(24.69%)	46(21.20%)	125(23.28%)
Stage IV	50(15.63%)	33(15.21%)	83(15.46%)
Unknow	2(0.63%)	1(0.46%)	3(0.56%)

93 2. Establishment of a Collagen-Related risk model

94 The collagen-related gene set, which contained 257 genes (**Table S1**), was adopted from the
95 Molecular Signature Database (MsigDB, <http://www.gsea-msigdb.org/gsea/msigdb/index.jsp>). For
96 better comprehension, we embedded 257 collagen-related genes into STRING online database
97 (<https://string-db.org/>) to construct a protein-protein interaction network. Based on the interaction
98 degrees, the Cytoscape was used to re-visualize the interaction network and screen out the top 25
99 hub genes with highest degree (**Figure 1 A**), suggesting their critical relationship with collagen.

100 We sought to construct a collagen-related risk model which can predict the prognosis of ccRCC
 101 patients using single and multiple stepwise regression analyses based on top 25 collagen-related



102 genes (10 percent of total genes) in the training cohort. In the single factor regression, 16 genes with
 103 statistic significant were correlated with patients' OS (**Table S2**). Subsequently, we performed a
 104 multivariate cox regression with these genes and generated a five collagen-related signature
 105 containing IL6, FN1 and three genes encoding collagen (COL4A4, COL9A2, COL7A1) to predict
 106 the prognosis of ccRCC patients (**Figure 1B**). Each patient in this study obtained a risk-score which
 107 was calculated with the following formula:

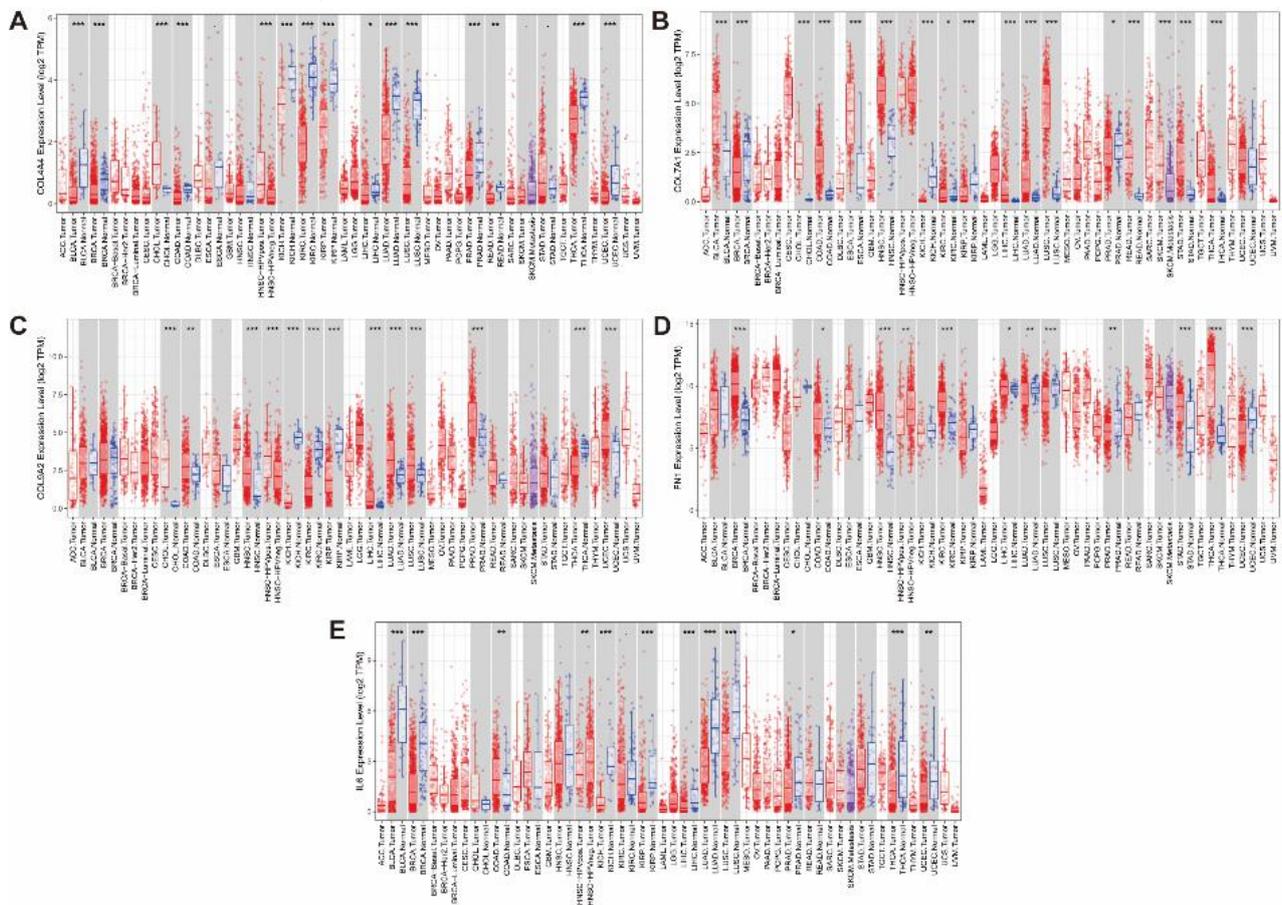
108
$$\text{Risk-score} = (0.0015 \times \text{FN1}) + (0.019 \times \text{IL6}) + (-0.1338 \times \text{COL4A4}) + (0.0772 \times \text{COL9A2}) + (0.0422 \times \text{COL7A1}).$$

109 All six FRGs were not significantly correlated with each other in both Train and Test cohorts,
 110 indicating this risk model avoided the overfitting caused by collinearity (**Figure 1C,D**).

111 **Figure 1.** Identification of collagen-related signature to predict prognosis of ccRCC. (**A**) 25 hub genes dragged

112 out from 257 collagen-related genes based on interaction degrees; **(B)** Establishment of a collagen-related risk model
 113 by univariate and multivariate cox regression; **(C,D)** Spearman correlation analysis of five collagen-related gene in
 114 train and test cohort.

115 Then, we searched in Tumor IMMune Estimation Resource (TIMER,
 116 <https://cistrome.shinyapps.io/timer/>) database to preview the expression of these five collagen-
 117 related genes in pan-cancer. **Figure 2A-E** demonstrated that three collagen-related genes were found
 118 to be differently expressed in genitourinary tumors like bladder cancer (BLCA), clear cell renal cell
 119 cancer (ccRCC/KIRC) and prostate cancer (PRAD). Besides, the expression of FN1 were found to
 120 be significantly differently expressed in KIRC and PRAD while IL6 were significantly differently
 121 expressed in BLCA, KIRC and PRAD ($P < 0.1$ was considered statistically significant according to
 122 the TIMER database).

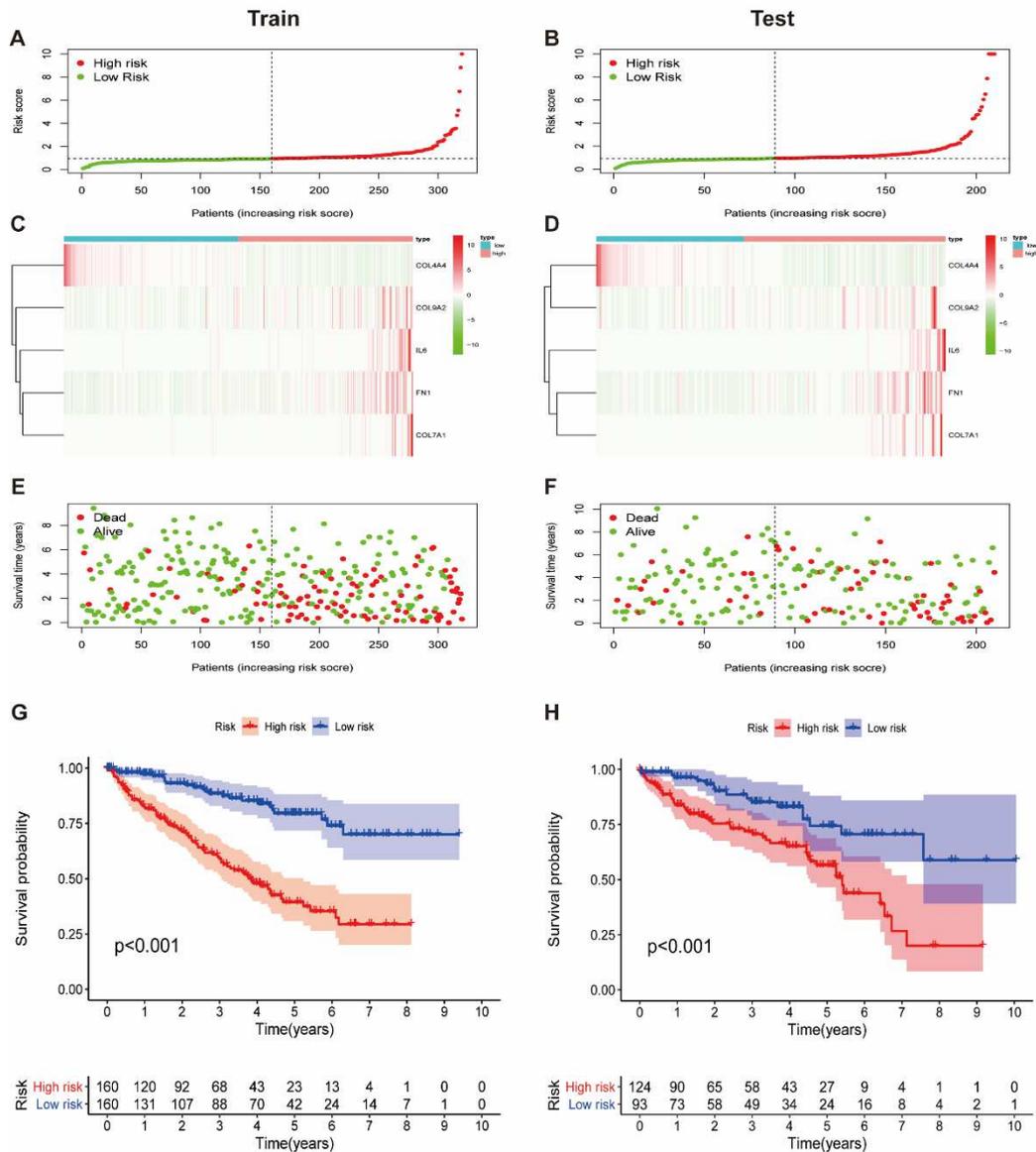


123 **Figure2.** The gene expression of five collagen-related genes in TIMER database.

124 **3. Prognostic Value of the Collagen-Related Signature in ccRCC Patients**

125 Having developed the five collagen-related risk model, samples in the training cohort and test
126 cohort were assigned a risk score. Then, the medium value of risk scores in the training cohort was
127 set as a cutoff to judge and separate patients into high-risk and low-risk groups in both training and
128 test cohort (**Figure 3A,B**).

129 As the main component of extracellular matrix, collagen is closely related to the degree of tumor
130 malignancy, whether it increases or decreases. Hence, the prognostic significance of the collagen-
131 related signature was further detected. As demonstrated in the heatmap (**Figure 3C,D**), the
132 expression level of COL4A4 was increased in the low-risk group while the expression level of
133 remaining four genes were increased in the high-risk group in both Train and Test cohort. Compared
134 to the low-risk group, the fatality rate in the high-risk group was significantly higher in both Training
135 and Testing cohort (**Figure 3E,F**). Furthermore, the implications for prognosis of the collagen-
136 related risk model in ccRCC were assessed with Kaplan-Meier analysis. According to **Figure 3G**,
137 patients with high-risk scores tend to obtain unfavorable overall survival in training cohort and this
138 result were confirmed by test cohort (**Figure 3H**).

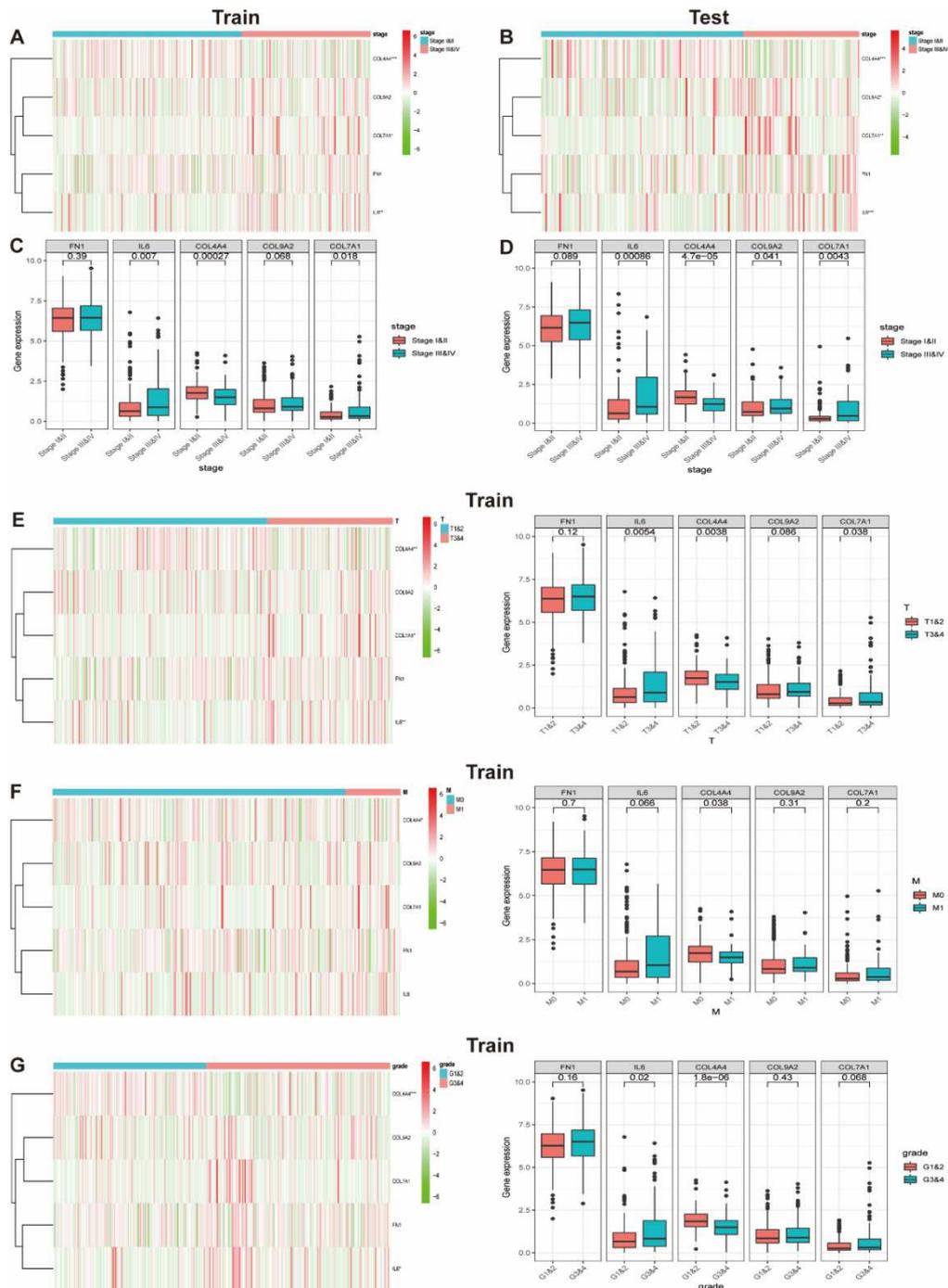


139 **Figure3.** Prognosis value of collagen-related signature in train and test cohort. **(A,B)** Risk curve of ccRCC
 140 patients in train and test cohort. The median risk score in Train cohort was set as the cutoff value to separate patients
 141 into high and low risk groups. The cutoff value in train cohort was also used to calculate the risk score of patients in
 142 Test cohort; **(C,D)** The heatmap showing five hub gene expression profiles in high and low risk groups from Train
 143 and Test cohort; **(E,F)** Patient status distribution in high and low risk groups; **(G,H)** The Kaplan-Meier overall
 144 survival curves for patients assigned to high and low risk groups based on the risk score.

145 **4. The expression of Collagen-related genes is associated with clinical and pathological**
 146 **characteristics.**

147 In view of the significant biological function of collagen in the occurrence and development of
148 tumors, we comprehensively analyzed the relationship between the five collagen-related genes and
149 the clinicopathological characteristics of ccRCC, including clinical stage and WHO grades. In both
150 training and test cohort, the gene expression level of COL4A4 is increased in low clinical stage
151 groups while the expression level of COL7A1 and IL6 are increased in high clinical stage groups
152 (**Figure 4A,B**), implying that COL4A4 might act as a critical protective factor while another two
153 genes are risk factors in tumor development. Quantitative analysis were also performed and
154 confirmed a significant association between tumor stage and mRNA expression in Training (**Figure**
155 **4C**) and Test (**Figure 4D**) cohort. Furthermore, we investigated the association between gene
156 expression and T, M stage and WHO grade in training cohort, separately. The findings revealed that
157 the mRNA expression of COL4A4 was stably increased in low T and M stage group as well as low
158 WHO grade group (**Figure 4E-G**), and were further validated in the test cohort (**Figure S1A-C**),

159 implying COL4A4 might act be an important predictive factor in tumor development.



160 **Figure4.** Collagen-related gene expression is correlated with clinicopathological features of ccRCC. (A,B) The
 161 heatmap showing five collagen-related gene expression profiles in different clinical stages from train and test cohorts;
 162 (C,D) The expression levels of five collagen-related genes in ccRCC with different clinical stages; (E-G) The
 163 heatmap and expression levels of five collagen-related genes in different T-stage, M-stage and WHO grades from

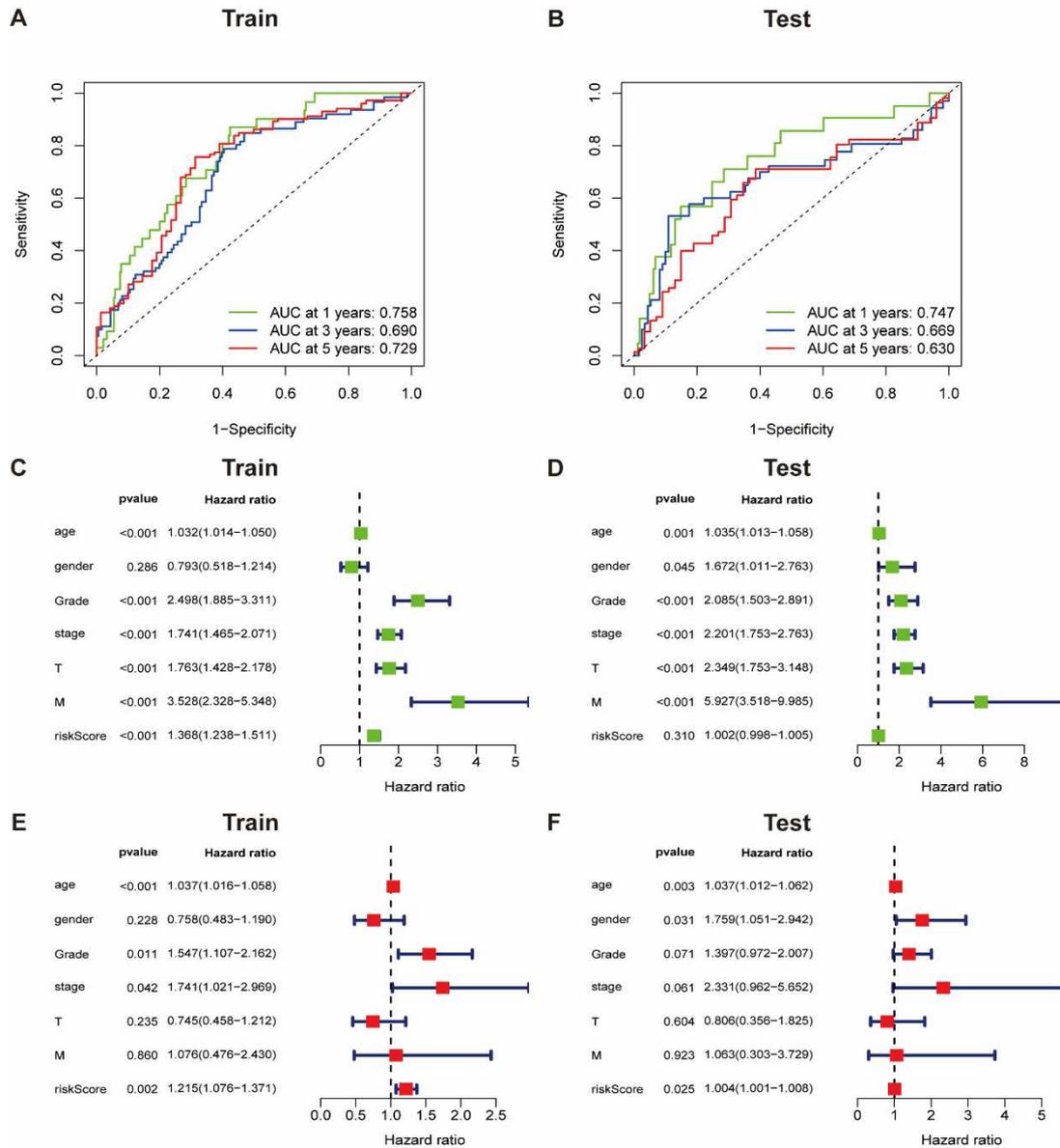
164 train and test cohorts; *P<0.05,**P<0.01 and ***P<0.001

165 **5. The Accuracy of Collagen-Related Signature for prognosis evaluation**

166 To estimate the accuracy in overall survival prediction of the collagen-related signature, the
167 received operating characteristic (ROC) curves were analyzed based on datasets from the training
168 and test cohorts. The area under the ROC curve at 1 year and 5 years was up to 0.758 and 0.729,
169 separately, indicating a relatively high accuracy of prognosis prediction (**Figure 5A**). Results were
170 subsequently confirmed in the test cohort (**Figure 5B**).

171 Moreover, the single and multiple stepwise regression analyses were further adopted to estimate
172 the independent prognostic value of collagen-related signature. The single factor regression analysis
173 revealed that patients with high-risk scores were associated with unfavorable overall survival
174 (**Figure 5C**) as well as other evaluating indicators including age, WHO grade, clinical stage and
175 pathological stage. This was further validated in test cohort (**Figure 5D**). Moreover, multiple
176 stepwise regression analysis demonstrated that high risk score was tended to be independently
177 correlated with unfavorable overall survival in ccRCC patients (**Figure 5E**), indicating an

178 independent prognostic value for ccRCC. This was also validated in the test cohort (**Figure 5F**).



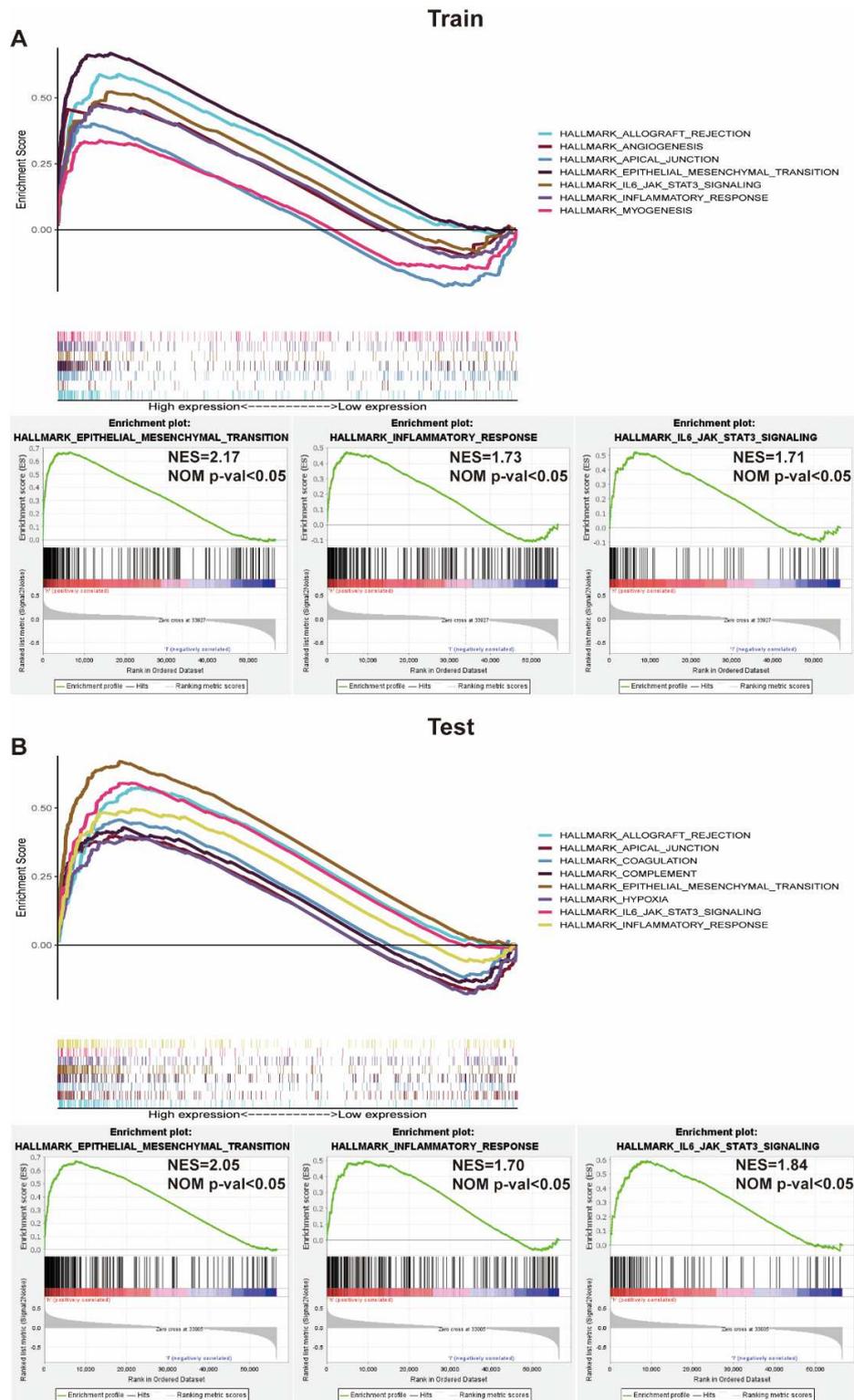
179 **Figure 5.** Prognostic value of the collagen-related signature in ccRCC. (**A,B**) ROC curves showing the
 180 predictive efficiency of the collagen-related signature on the 1-,3- and 5-years survival rate; (**C-F**) Univariate and
 181 multivariate cox regression analysis evaluating the independent prognostic value of collagen-related signature in
 182 terms of OS in ccRCC patients.

183 6. GSEA Identifies Potential Signaling Pathways

184 GSEA was adopted to investigate the potential signaling pathways activated in high-risk group.

185 Genes were differently concentrated in high-risk groups based on data from Training cohort, as they

186 were related to tumorigenesis and immune response, such as epithelial-mesenchymal transition,
 187 inflammatory response and IL6-JAK-STAT3 signaling pathway (**Figure 6A**). Similarly, this was
 188 also verified with test cohort (**Figure 6B**).



189 **Figure6.** GSEA enrichment analysis between high and low risk groups. **(A)** The hallmark enrichment of high

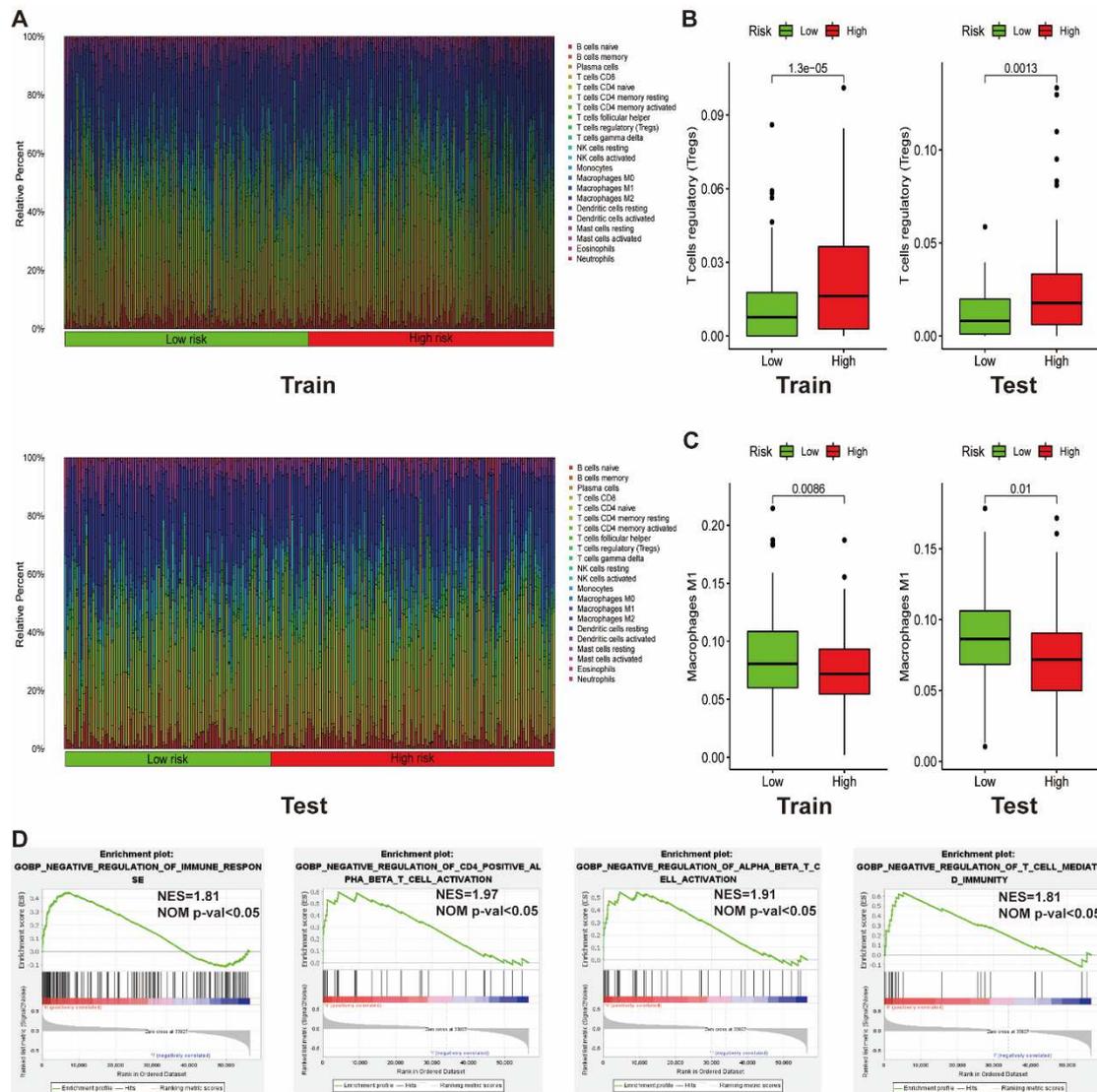
190 and low risk groups by GSEA method; GSEA revealing that genes in the high-risk group were enriched for hallmarks
191 of epithelial mesenchymal transition (EMT) and immune response in train cohort; **(B)** The results were further
192 validated by the test cohort.

193 **7. Identification of Immune cells Infiltrated in Patients with different Risk Scores**

194 As immune response was identified activated in the high-risk group, we explored the possibility
195 of a collagen-related signature in assessing the immune microenvironment. The CIBERSORT
196 method was utilized to evaluate the discrepancies in the immune infiltration of 22 immune cell types
197 between variable risk groups. **Figure 7A** summarized the findings acquired from 320 ccRCC
198 patients in training cohort and 217 patients in test cohort. Patients in high-risk group possessed
199 relatively higher ratio of regulatory T cells (Tregs) (**Figure 7B**) but lowers proportions of
200 Macrophages M1 (**Figure 7C**), indicating that patients with high-risk score might generate an
201 immunosuppressive environment.

202 Moreover, GSEA was utilized to probe the connection between biological processes associated
203 with immunity and collagen-related signature. Results suggested that high risk ccRCC samples were
204 remarkably correlated with negative regulation of immunity pathway, such as negative regulation
205 of immune response, CD4⁺ αβ T cell activation, αβ T cell activation and T cell mediated
206 immunity (**Figure 7D**).

207 Consequently, to improve the efficiency of immunotherapy, collagen-related genes might be a
 208 critical target to focus on.



209 **Figure 7.** Immune landscape between low and high risk ccRCC patients. **(A)** Relative proportion of immune
 210 infiltration in high and low risk patients. **(B-C)** Box plots visualizing significantly different immune cells between
 211 high-risk and low-risk patients. **(D)** GSEA demonstrating that collagen-related signature correlated with immune-
 212 related biological function.

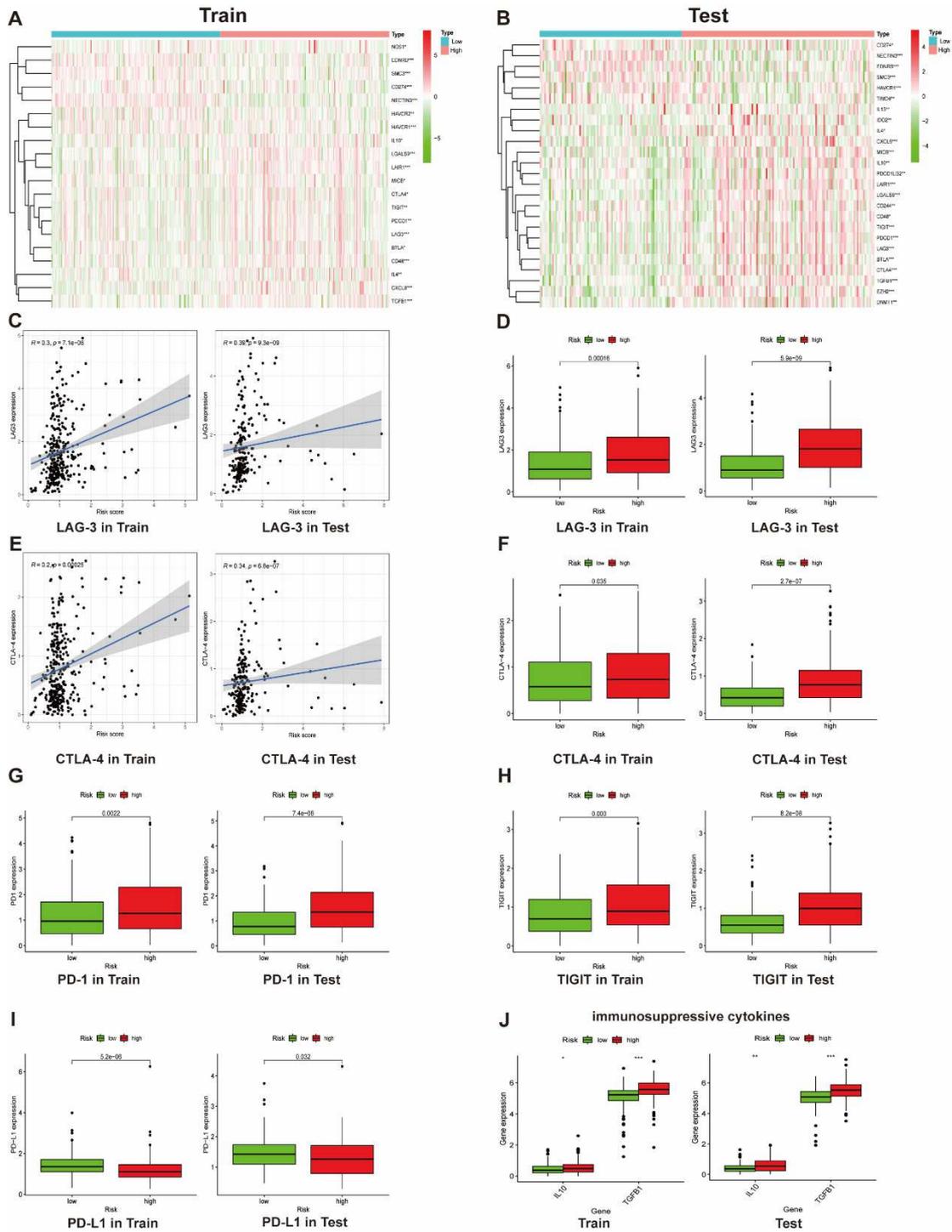
213 **8. The immune microenvironment of patients with high-risk scores tend to be suppressed**

214 We compared expression of genes negatively regulating the processed in cancer immunity cycle
 215 between low-risk and high-risk cohorts. Gene symbols were obtained from Tracking Tumor

216 Immunophenotype website. According to **Figures 8A, B**, the majority genes which negatively
217 regulate the tumor immune circulation were overexpressed in high-risk group, suggesting that the
218 activity of immune response in these patients were suppressed.

219 As our previous findings revealed that the proportions of Tregs are increased in the high-risk
220 group, the expression of molecules correlated with immune checkpoints were analyzed in both low
221 and high-risk groups. Findings demonstrated that the expression of LAG3 and CTLA-4, which
222 positively associated with collagen-related risk score, were upregulated in the high-risk group
223 (**Figure 8C-F**). In addition, the mRNA level of other significant immune checkpoints such as PD1
224 and TIGIT were significantly upregulated in high-risk groups (**Figure 8G-H**) while PD-L1 was
225 downregulated in high-risk groups (**Figure 8I**). Besides, two immunosuppressive cytokines

226 including IL10 and TGFB1 were also upregulated in high-risk groups (**Figure 8J**).



227 **Figure 8.** High collagen-related risk score indicates an immunosuppressive microenvironment. **(A,B)** Heatmap
 228 of gene profiles involved in the negative regulation of the Cancer-immunity Cycle in high and low risk groups in
 229 train and test cohorts; **(C)** Correlation between LAG3 expression and risk score; **(D)** LAG3 expression in high and
 230 low risk groups; **(E)** Correlation between CTLA-4 expression and risk score; **(F)** CTLA-4 expression in high and

231 low risk groups; **(G-I)** PD1, TIGIT and PD-L1 expression in high and low risk groups; **(J)** Tumor immunosuppressive
232 cytokines expression in high and low risk groups; *P<0.05, **P<0.01 and ***P<0.001.

233 **Discussion**

234 Evidence from previous researches have showed that collagen-related genes are aberrantly
235 expressed in variable tumors [10, 12, 13, 15, 16]. However, the expression and roles of collagen-
236 related genes in ccRCC were with limited information. Hence, firstly, in this study, we sorted out
237 257 collagen-related genes from the MSigDB and listed them in **Table S1**. Then, a PPI network was
238 constructed and top 10 percent of these genes with supreme degrees of interaction were screened
239 out. Subsequently, the single and multiple stepwise regression analyses were adopted to analyze the
240 prognostic impact on ccRCC patients. Finally, a risk model which could predict ccRCC patients'
241 prognosis based on five hub collagen-related genes were constructed. These findings promote the
242 identification of novel biomarkers for the prediction of diagnosis and prognosis of ccRCC.

243 As is reported that several hub collagen-related genes including FN1, IL6, COL4A4 and
244 COL7A1 were involved in the progression and development of variable cancers. Fibronectin 1
245 (FN1), an extracellular matrix glycoprotein, plays major roles in cell adhesion, migration, and
246 differentiation [17, 18]. Importantly, FN1 is also the key mediator of carcinoma genesis and tumor
247 metastasis, including in lung adenocarcinoma, gastric cancer, and brain glioblastoma [19].
248 Interleukin-6 (IL-6) which plays a significant role in cancer progression, is a pleiotropic factor that
249 belongs to a cytokine subfamily [20]. Evidence showed that IL-6 could mediate down-regulation of
250 type II collagen through JAK/STAT pathway [21]. Collagen Type IV Alpha 4 Chain (COL4A4),
251 encodes one of the six subunits of type IV collagen, the major structural component of basement
252 membranes. Mutations in this gene are associated with type II autosomal recessive Alport syndrome

253 (hereditary glomeruli nephropathy) and with familial benign hematuria (thin basement membrane
254 disease) [22]. Also, COL4A4 was also found to be down-regulated in esophageal tumor tissues [23,
255 24]. COL7A1 gene encodes for collagen type VII, and was found aberrantly expressed in esophageal
256 squamous cell carcinoma [25]. Besides, high levels of type VII collagen expression was found to be
257 correlated with the migration and invasion of recessive dystrophic epidermolysis bullosa cutaneous
258 squamous cell carcinoma keratinocytes [26]. Nevertheless, these genes were rarely reported in
259 ccRCC. In this research, COL4A4, COL7A1 and IL6 were found with extraordinary expression and
260 were found to be associated with clinicopathological features with statistic significant. In contrast
261 with IL6 and COL7A1, the lower expression of COL4A4 was often correlated with lower clinical
262 stage, pathological stage and WHO grade, indicting COL4A4 is a protecting factor while IL6 and
263 COL7A1 are risk factors of ccRCC.

264 Moreover, based on the expression of five hub genes related with collagen, a risk model was
265 established to forecast prognosis of ccRCC patients in both training and testing groups, KM curves
266 demonstrated that high-risk group was tremendously correlated with unfavorable OS. Furthermore,
267 ROC curves revealed that the signature of five collagen-related hub genes exist a significant
268 prognosis value for discriminating ccRCC patients with unfavorable OS.

269 Furthermore, to investigate whether the molecular biology mechanism of the five collagen-
270 related genes promoted clear cell renal carcinoma genesis and progression, ccRCC patients in train
271 cohort were separated into high and low risk groups based on the median risk score. Results revealed
272 that patients in high-risk groups were tend to correlate with epithelial-mesenchymal transition (EMT)
273 and immune response. Recent studies have found that Tumor epithelial cells and their adjacent
274 normal epithelial cells can be transformed into cancer-associated fibroblasts(CAFs) through EMT,

275 which can induce the invasion and migration of Tumor cells and promote the development of tumors
276 [27, 28]. Activated CAFs can promote migration by secreting extracellular matrix components such
277 as collagen glycotenin. Through expressing a series of growth factors and cytokines, VEGF and
278 monocyte chemotactic protein1 (MCP1), it can further activate tumor matrix and promote the
279 formation of microenvironment needed for tumor development [29].

280 Additionally, several researches revealed that Epithelial-to-Mesenchymal Transition
281 contributes to generation of immunosuppressive microenvironment [30, 31]. Moreover, the high
282 collagen density environment also tends to generate a immunosuppressive microenvironment [32].
283 Hence, from this perspective, we compared the proportion of immune cell infiltrated in high-risk
284 and low-risk groups. Findings revealed that the content of regulatory T cells (tregs) in high-risk
285 groups was relatively high while the proportion of M1 macrophages that contribute to the antitumor
286 response was relatively low, indicating that the immune microenvironment of people with high-risk
287 scores are likely to be suppressed. Results of GSEA based on the gene ontology gene set also
288 revealed that patients in high-risk groups are negatively correlated with regulation of immune
289 pathways, for example, negative regulation of immune response, CD4⁺ αβ T cell activation, αβ
290 T cell activation and T cell mediated immunity. These results implying that our risk model might
291 have the potential to predict the immune microenvironment.

292 As cytokines function as a critical role in regulatory tumor immunity, we investigated the
293 expression of two immunosuppressive cytokines including IL-10 and TGFB1. IL-10 helps maintain
294 the expression of Foxp3 and TGF-β, thereby stabilizing the phenotype and function of Treg [33].
295 Besides, TGF-β contribute to the inhibition of NK cell activity and dendritic cell maturation as well
296 as decreasing of cytokine production [34, 35]. Corresponding to these researches, the expression

297 level of IL-10 and TGF- β were found overexpressed in high risk group patients.

298 Except for immunosuppressive cytokines, immune checkpoints also play an important part in
299 tumorigenesis through promotion of tumor immunosuppressive effects. Tumors have the capability
300 to stimulate immune checkpoint targets such as LAG-3, CTLA-4, PD1, PD-L1, TIGIT and TIM-3
301 to protect themselves from attacking. It is reported that high expression of collagen could exhaust
302 the proportion of CD8⁺ T cells through activation of LAIR1 receptor, which is upregulated following
303 CD18 interaction with collagen. Targeting impression of interaction between collagen and CD18
304 could enhance the sensitivity of Anti-PD-1 to lung cancer [36]. In our study, we analyzed the
305 correlation between these immune checkpoints and risk score between high and low risk groups.
306 Among them LAG-3 and CTLA-4 were found with relatively high positive correlation. Besides, the
307 expression of these genes was also found over expressed in high-risk groups.

308 Collectively, our risk model based on five collagen-related genes has a better prognostic value
309 for ccRCC patients and could also predict the immune environment of these patients. Targeting
310 immune checkpoints such as LAG-3 and CTLA-4 might contribute to the treatment of ccRCC.
311 Nevertheless, there are several shortcomings worth mentioning. At first, our risk signature was
312 merely constructed based on the data from TCGA database and was only validated with internal
313 data, which need to be further validated with external data and clinical patient cohort as well as
314 multi-center study. In the second place, further investigations, including in vivo and in vitro
315 experiments are requisite to elucidate the molecular biological mechanisms.

316 **Conclusion**

317 To sum up, a prognostic risk signature consisting of five collagen-related genes was established,
318 which were closely related with clinicopathology and immune response. Our results demonstrated

319 new perspective for the individualized diagnosis of ccRCC patients.

320 **Methods**

321 **1. Data obtaining and pre-processing**

322 The transcriptome RNA sequence data (FPKM value) and corresponding clinical and
323 pathological information of 537 ccRCC patients and 72 normal tissue samples can be possessed
324 from the TCGA (<https://portal.gdc.cancer.gov/>) database. All patients were randomly separated into
325 train cohort (n=320) and test cohort (n=217). Then, we summarized the clinical information
326 including age at diagnosis, gender, OS, survival state, histological stage, pathological stage and
327 clinical stage in **Table 1**. In addition, the Tumor IMMune Estimation Resource (TIMER,
328 <https://cistrome.shinyapps.io/timer/>) database was used to obtain the expression of genes in pan-
329 cancer. All parameters in this section were default.

330 **2. Establishment of a Protein-protein interaction network**

331 Firstly, we established a Protein-Protein Interaction Network (PPI) with STRING ([https://](https://stringdb.org)
332 stringdb.org) Online Database. Then, Cytoscape software (V3.7.2, <https://cytoscape.org>) was
333 adopted to analyze the interaction degree of collagen-related genes and re-visualize the interaction
334 network. To screen hub genes of PPI, the plug-in named Network analyzer was adopted to count
335 nodes degree which characterized as the interaction degree.

336 **3. Collagen-related risk model establishment.**

337 The univariate cox regression was performed to figure out the relationship between overall
338 survival (OS) and hub collagen-related genes. Hub collagen-related genes screened with statistic
339 significant were then embedded into multiple regression to acquire the coefficients, the risk-score
340 was acquired based on the following formula: $\sum_{i=1}^N(Exp_i * Coef_i)$, where N=5, Exp_i was the

341 expression level of each collagen-related gene, $Coef_i$ was the corresponding coefficient obtained
342 from multiple regression.

343 **4. GSEA analysis and immune cell type fraction estimation**

344 GSEA was used to investigate the discrepancy in the set of genes expressed between the high-
345 risk and low-risk groups in the enrichment of the MSigDB Collection (h.all.v7.4.symbols.gmt;
346 c5.go.v7.4.symbol.gmt). The Risk scores were used as the phenotype label. All other parameters are
347 default. CIBERSORT (<https://cibersort.stanford.edu/>) is a useful instrument which have the
348 capability to distinguish 22 immune cell types in a mixed cell population based on gene expression
349 data. Here, the CIBERSORT was adopted to assess the fractions of 22 immune cell types.

350 **5. Statistics**

351 We used the R language software (V.4.0.2, <https://cran.r-project.org>) to handle this analysis in
352 this study. Wilcoxon rank sum test was used to compare the mRNA levels of collagen related genes
353 between ccRCC samples and normal samples. The hub genes in risk model were identified based
354 on the univariate and multivariate cox regression. We adopted the ‘survival’ R package and the log-
355 rank test to plot and assess the Kaplan-Meier (KM) curve. To verify the precision of our risk
356 signature in forecasting the prognosis (OS) of ccRCC patients, ROC curves were generated using
357 ‘survivalROC’ R package.

358

359 **List of abbreviations**

360 ECM: Extracellular matrix

361 ccRCC: clear cell renal cell cancer

362 OS: overall survival

363 EMT: epithelial-mesenchymal junctions

364 GSEA: gene set enrichment analysis

365 MsigDB: Molecular Signature Database

366 ROC: received operating characteristic

367 Tregs: regulatory T cells

368 CAFs : cancer-associated fibroblasts

369 MCP1: monocyte chemotactic protein1

370 KM: Kaplan-Meier

371

372 **Declarations**

373 **Ethics approval and consent to participate**

374 This study, as a bioinformatics analysis is exempt from any requirement for Institutional Review

375 Board approval.

376

377 **Consent for publication**

378 Not applicable

379

380 **Availability of data and materials**

381 The datasets analysed during the current study are available in the [TCGA and STRING] repository,

382 [<https://portal.gdc.cancer.gov/>; <https://stringdb.org>]

383

384 **Competing interests**

385 The authors declare that they have no competing interests

386

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392

393 **Author Contributions**

394 Li Zuo, Lifeng Zhang: Project development

395 Xiaokai Shi: Data collection; Writing- Original draft

396 Xiao Zhou: software; visualization

397 Chuang Yue, Chao Lu: Investigation; Validation

398 Zhiqin Sun, Shenglin Gao: Supervision; Writing- review & editing

399 All authors have access to the data.

400

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403

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