

Longitudinal SARS-CoV-2 seroprevalence in Portugal and antibody maintenance 12 months after the start of the COVID-19 pandemic

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1 **Longitudinal SARS-CoV-2 seroprevalence in Portugal and antibody maintenance**
2 **12 months after the start of the COVID-19 pandemic**

3

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22

23 **ABSTRACT**

24 During the COVID-19 pandemic, Portugal has experienced three distinct SARS-CoV-2 infection waves.
25 We previously documented the prevalence of SARS-CoV-2 immunity, measured by specific antibodies, in
26 September 2020, six months after the initial moderate wave. Here we show the seroprevalence changes
27 six months later, up to the second week of March 2021, shortly following the third wave, which was one
28 of the most severe in the world, and two months following the start of the vaccination campaign. A
29 longitudinal epidemiological study was conducted, with a stratified quota sample of the Portuguese
30 population. Serological testing was performed, including ELISA determination of antibody class and
31 titres. The proportion of seropositives, which was 2.2% in September 2020, rose sharply to 17.3% (95%
32 CI: 15.8% – 18.8%) in March 2021. Importantly, circulating IgG and IgA antibody levels were very stable
33 six months after the initial determination and up to a year after initial infection, indicating long-lasting
34 natural immunity against SARS-CoV-2. Moreover, vaccinated people had higher IgG levels from 3 weeks
35 post-vaccination when compared with previously infected people at the same times post-infection.

36

37

38 **INTRODUCTION**

39 On January 30th, 2020 the WHO declared that the outbreak SARS-CoV-2 constituted a Public Health
40 Emergency of International Concern (PHEIC), followed by its characterization as a pandemic on March
41 11th. Since then, the infection has spread to almost every country in the world, with variable attack
42 rates. Accurate estimates of anti-SARS-CoV-2 antibody seroprevalence in the population remain critical
43 to inform policy to contain and bring to an end the ongoing pandemic. Seroprevalence studies can
44 uniquely determine population exposure and correlate with the quality of immunity, and are more
45 inclusive than polymerase chain reaction (PCR)-based virus-detection strategies. For example, they will
46 include the prevalence of asymptomatic and pauci-symptomatic cases, individuals often missed in
47 symptom-based infection screenings. Importantly, longitudinal seroprevalence studies provide a
48 quantification of the evolution of exposure over time and associated demographics. Moreover,
49 longitudinal designs can inform on the duration of antibody seropositivity. From the start, uncertainties
50 regarding immune response and the duration of immunity against a novel mucosal corona virus were
51 raised. We now have reports of good levels of antibodies, present at least 6 months post-infection, and

52 T cell immunity (1-10). But the majority of these studies use specific samples, such as health care
53 workers, and do not provide a complete cross-sectional picture of the population.

54 In many countries, the epidemic has proceeded in waves. Portugal is a good example of this pattern. The
55 first case of SARS-CoV-2 infection was officially reported on March 2nd, 2020, at the beginning of the first
56 wave, which led to multiple early containment measures (Figure 1). There was a second much larger rise
57 in incidence of infection in the months of October-November, followed by a severe third wave during
58 January of 2021, when Portugal was for a few weeks one of the countries in the world with the most
59 cases per million people (Figure 1).

60 However, the true level of incidence of the infection is difficult to ascertain from official case reports, as
61 has been shown by serological prevalence studies from multiple countries (11-14). For example, we
62 conducted a national level study based on a stratified quota sample of the prevalence of people positive
63 for antibodies against SARS-CoV-2, in September 2020, before the second wave of infection, as an
64 indicator of past infection, and concluded that 3-4 times as many people had been infected than the
65 official case number reports (15). Moreover, this factor of extra infections was different among age
66 groups, being ~9-fold in people younger than 18 years. This difference between number of registered
67 cases and actual infections can be due to the number of asymptomatic or mild infections that go
68 undetected, and overall testing policies.

69 Here, we report the results of a follow-up seroprevalence study performed from March 1st to March
70 17th, 2021 after the large increase of cases seen in January. At this time, in early March, there were ~810
71 thousand confirmed cases by the Portuguese health authorities, 58.3% of these cases occurring in
72 December and January (Figure 1) (16). The current study is based on a sample randomly selected from
73 the participants with known serological status in the first study (15). The participants constitute a
74 national-level sample, stratified by age group and population density. Our primary objective was to
75 assess the proportion of people with SARS-CoV-2 specific antibodies in Portugal, and how this varied by
76 age group and population density. An important issue to determine an accurate estimate of the
77 proportion of previous infections is how long antibodies can be detected after natural infection (1, 7, 17,
78 18). Thus, in this follow-up study, we also analyzed the antibody levels of people, who had tested
79 positive in the first study, many of whom were infected during the first wave, up to ~1 year before.
80 Finally, because the vaccine rollout was initiated in Portugal at the end of December, we also kept track
81 of the fraction of people vaccinated. Altogether, we found a 17.3% seroprevalence level in Portugal and

82 that the vast majority of people maintain detectable antibodies, with some of these people almost 1
83 year after initial infection. This result provides insights in SARS-CoV-2-specific antibody waning.

84

85 **METHODS**

86 *Study design, population and sample size*

87 We conducted an observational, follow-up study to our previous non-institutionalized population
88 seroprevalence study from September 2020. From the participants in that study, we invited for the
89 current study a sample from among those who were seronegative – we call this the previously negative
90 cohort (NC) – and all the participants (n=296) who tested positive for total antibodies against SARS-CoV-
91 2 – we call this the previously positive cohort (PC). The global sample size was determined to allow no
92 more than a 1.5% margin of error, at a 95% confidence level, for an expected prevalence of antibody
93 positivity of 10%. With these assumptions, we estimated a sample of 2260 people, 2000 in the NC and
94 260 in the PC. To reach the desired sample size in the NC, we invited 3000 people from among those
95 participants in our September study who were seronegative, which included over 13000 people (15).
96 These 3000 people were selected randomly in strata by age group (<18, 18 to 54, ≥55 years) and by
97 population density of the place of residence (≤500, >500 people/km²). In addition, using appropriate
98 probabilities of inclusion in the sample, we ensured that the distributions by sex, household size and
99 level of formal education were consistent with those in the overall population Portugal.

100 We used the PC cohort to analyze the evolution of the antibody levels in people who tested positive in
101 the previous study.

102 Informed consent was obtained from all participants aged 16 years or older. In addition, parental or
103 legal guardian consent was required for all participants below 18 years old. Participants were excluded if
104 they had any contraindication for phlebotomy. Prior diagnosis of SARS-CoV-2 infection was not an
105 exclusion criterion.

106 The study was conducted in compliance with data protection regulations in Portugal and was approved
107 by the Ethics Committee of the Centro Académico de Medicina de Lisboa (CAML – the Lisbon Academic
108 Medical Center), under reference # 484/20 of 23rd of February 2021.

109

110 *Serological tests and procedures*

111 All blood collections and serological tests were done by Centro de Medicina Laboratorial Germano de
112 Sousa (CMLGS), an ISO 9001:2015 certified private laboratory, which performs serological tests for SARS-
113 CoV-2 according to the clinical guidelines issued by the Directorate-General of Health (DGS), within the
114 Portuguese Ministry of Health. CMLGS coordinated blood collection through their national network of
115 collection sites, which allowed the participants to visit the center that was more convenient for them.
116 Each participant donated 7-9 ml of blood collected into tubes with separation gel and without any anti-
117 coagulant, for a 4-5ml serum sample, obtained by centrifugation. All samples were transported to the
118 central laboratory, according to usual procedures, where they were assayed.

119 Total antibodies against SARS-CoV-2 were assessed using a chemiluminescent immunoassay test,
120 Siemens® SARS-CoV-2 Total (COV2T) (Advia Centaur Siemens, Siemens Healthcare, Portugal). The overall
121 sensitivity and specificity of this test are 98.1% and 99.9%, respectively (19), which we used to correct
122 the seroprevalence estimates with the Rogan-Gladen estimator (20).

123 A majority of the samples that tested positive for total antibodies were sent to Biobanco-iMM, Lisbon
124 Academic Medical Center, and stored at -80 C. Then they were further tested to quantify the level of
125 antibodies. To this end we used our in-house developed protocol described in detail in (1, 21). Briefly,
126 flat-bottom 96-well plates (Microton plates medium binding; Greiner) were coated with recombinant
127 protein RBD or Spike prepared in PBS at a concentration of 2 µg/ml (50 µl/well). Plates were blocked
128 with 200 µl/well of 3% nonfat milk powder in PBS-1%T for 1 hour at room temperature and then washed
129 with PBS-T 3x, 6x or 10x, as described previously (1). Serum samples were diluted in PBS-0.1%T + 1%
130 nonfat milk powder, added (100 µl/well) and incubated for 1–2 h at room temperature, washed with
131 PBS-T 3x, 6x or 10x. Hereafter several antibody isotypes, namely IgG, IgM, and IgA antiSARS-CoV2 were
132 detected using HRP-labeled goat anti-human IgG Fc (Abcam, ab97225), IgM mu chain (Abcam, ab97205),
133 IgA alpha chain (Abcam, ab97215), respectively. OD at 450nm was measured via SPARK (TECAN) plate
134 reader. Each plate contained Quality control (QC) samples, composed of a pool of positive samples,
135 tested in a high and low dilution.

136 To compare antibody titers after vaccination with those after natural infection, we included additional
137 samples previously processed with identical method and reported in Figueiredo et al. (1). These
138 samples, from our previous study, were obtained shortly after infection and provide a better
139 comparison with the vaccinated people, who we sampled in the current study shortly after vaccination.

140

141 *Data collection and outcomes*

142 All participants completed a questionnaire with socio-demographic, general health and
143 clinical/epidemiological questions regarding SARS-CoV-2 exposure, including symptoms of interest, as
144 well as vaccination status. The full questionnaire was presented before (15).

145 The primary outcome was the proportion of serological positive cases in each of the twelve strata (six
146 for each cohort), defined as the fraction of participants who tested positive for SARS-CoV-2 specific
147 antibodies in the COV2T assay. With these fractions, we inferred the seroprevalence in the Portuguese
148 population, adjusting for the weights of the strata and correcting for sensitivity and specificity of the
149 tests. The secondary outcome included the proportion of previously positive people that remained
150 positive, and the quantification of any decline in antibody levels.

151

152 *Statistical analyses*

153 We used sample weights to adjust the seroprevalence extrapolating from our strata (age groups and
154 population density of the place of residence) to the whole population. In addition, we combined the
155 results of seroprevalence in the two cohorts NC and PC, with appropriate weights for each, based on the
156 results of the previous study (15), to obtain the overall estimation of seroprevalence in Portugal. We
157 performed these calculations in two ways, excluding or including the people, who indicated that they
158 had been vaccinated before the study.

159 The prevalence was calculated as weighted proportion and to calculate confidence intervals, we used
160 the methodology described in (22), *i.e.*, we used the exact limiting terms for the binomial parameter
161 adapted for weighted proportions and combined stratum specific confidence intervals with the use of
162 the adequate rescaling factor as proposed in (22).

163 We compared continuous variables (such as antibody titres) using non-parametric tests (Wilcoxon
164 matched pairs for paired design, Mann-Whitney for unpaired designs and Kruskal-Wallis to compare
165 more than two groups, with Tukey correction for multiple comparisons). To analyze antibody levels with
166 time since infection (or vaccination), we used the dates of known PCR positive test or COVID-19
167 symptoms, when known. We then calculated the decay of (log) antibody titers over time using linear-
168 mixed effects models, where participant was the random factor. The half-life of antibodies is then given

169 by $\log(1/2)/\text{slope of decay}$. We used general linear models to control for time since infection, when
170 studying differences of antibody levels by gender, age, body mass index (BMI) and smoking status. We
171 did not input any missing values. All statistical analyses were two-sided, the significance level was
172 $\alpha=0.05$, and reported confidence intervals are at the 95% level. Statistical analyses were done using R
173 (version 3.6.1, R Foundation for Statistical Computing, Vienna, Austria) and GraphPad Prism 6.01
174 (GraphPad Software, San Diego, California USA).

175

176 **RESULTS**

177 *SARS-CoV-2 antibody seroprevalence in the Portuguese population*

178 We conducted a follow-up to our seroprevalence study of September 2020, with blood collections for
179 serological assays between March 1st and March 17th, 2021. From the participants in that study, we
180 recruited 2172 people, who had previously tested negative (negative cohort - NC), and 263 people, who
181 had previously tested positive (PC). In the NC, 43.6% were men (n=948), and 10.3% (n=224) were
182 younger than 18, 46.3% (n=1006) were between 18 and 54-years old, and 43.4% (n=942) were 55 years
183 or older. We asked about the participant status regarding vaccination against SARS-CoV-2 and 173
184 (7.1%) participants indicated that they had at least one dose of a vaccine (156 in the NC, and 17 in the
185 PC). In Table 1, we show the characteristics of the two cohorts.

186 We tested all participants for specific antibodies against SARS-CoV-2 (see Methods for details). Not
187 including vaccinated people, there were 2016 people in the NC and 246 people in the PC, of these 214
188 tested positive in the NC and 239 in the PC. With these results, and adjusting for the strata (age groups
189 and population density of place of residence), as well as sensitivity and specificity of the test, the global
190 seroprevalence found in this study was 13.1% (95% CI: 11.8% -14.6%) due to natural infection only
191 (Table 2). In addition, considering the vaccinated people, who had already developed antibodies (more
192 below), the fraction of seropositive in the population increases to 17.3% (95% CI: 15.8% -18.8%).

193 Analyzing the results by the strata (Table 2), including all seropositive, whether by natural infection or
194 vaccination, we found similar fraction of seropositivity by population density, 17.3% (95% CI: 15.0% -
195 19.6%) for high density (>500 people/km²) and 17.0% (95% CI: 15.0%-19.0%) for low/medium density
196 (\leq 500 people/km²). Furthermore, in terms of age groups the seroprevalence was 15.0% (11.0%-19.6%)
197 in people <18 years old, 20.2% (17.8%-22.4%) for the 18-54 years old, and 14.2% (95% CI: 12.1%-16.3%)
198 for \geq 55 years old, which reflects the priorities of vaccination early on in the campaign in Portugal. This

199 vaccination bias is clear if we compare these numbers with the seroprevalence estimated from natural
200 infection only: 15.0% (11.0%-19.6%) in people <18 years old, 13.8% (11.7%-15.8%) for the 18-54 years
201 old, and 10.9% (95% CI: 9.0%-12.9%) for ≥55 years old.

202

203 *Evolution of SARS-CoV-2 antibody seroprevalence in the Portuguese population over the last 6 months*

204 When we compare the results of seroprevalence obtained in this study, with its precursor 6 months
205 before, we see that the overall prevalence increased from 2.2% to 13.1%, due to natural infection. The
206 increase in seroprevalence was similar in the younger age group (<18 years) and intermediate age group
207 (18-54 years) from 2.4% to 15.0% and from 2.3% to 13.8%, respectively; but smaller in the eldest group,
208 from 1.9% to 10.9%. In terms of population density, in September we obtained a significantly higher
209 prevalence in high population density regions, but now the relative gap narrowed, since we found,
210 considering only natural infection, 13.5% prevalence in high-density regions and 12.5% in the other
211 regions.

212 It is also interesting to compare the total number of cases estimated by these seroprevalence studies to
213 the official number of cases reported by the Portuguese authorities. In September 2020, we found an
214 overall prevalence of 2.2% o for antibodies against SARS-CoV-2 in the Portuguese population,
215 corresponding to about 226 000 people, considering the 10.3 million people living in Portugal. In the
216 current study, we found a prevalence of 13.1% antibody positive due to natural infected, corresponding
217 to about 1 350 000 people. Assuming that it takes an average of two weeks from the time of infection
218 for people to become seropositive (23-25), this seroprevalence reflects the extent of SARS-CoV-2
219 infection in Portugal two weeks before each study. Comparing with the cumulative confirmed cases in
220 Portugal (58243 thousand on September 1st, 2020 and 797,525 on February 21st, 2021) (16), we can see
221 that the multiplicative factor decreased from more than three to less than two, suggesting a higher
222 testing rate.

223 In terms of vaccination, there were 0 people in September 2020, before any vaccine had been approved,
224 and there were 7.1% of people that reported being vaccinated in the current study. This value compares
225 well with the reported number of people with at least one dose of vaccination, at 6% on February 28th
226 and 8% on March 14th (26)

227

228 *Quantification of antibodies against SARS-CoV-2*

229 In September 2020, in the first phase of this longitudinal study, we quantified, using our in-house ELISA
230 assay (see Methods), the titers of antibodies in 204 people, who also participated in the March 2021
231 phase of the study. Now, i.e., 6 months following the first determinations, we performed the same
232 quantification in 201 of those people (for the other 3 no sample was available). Of these, 13 had
233 received at least one dose of a vaccine and were excluded from the analysis. Importantly all 188 (non-
234 vaccinated) previously screened seropositive persons remained seropositive 6 months later. When
235 comparing antibody levels, we found a reduction in IgM ($p=0.0006$) and IgG ($p=0.0095$) levels 6 months
236 following the first assessment, but no significant difference in IgA ($p=0.15$) (Figure 2a). One individual
237 had a substantial increase in IgG titre, suggesting a reinfection with SARS-CoV-2. We plotted the
238 antibody titers since estimated date of infection (confirmed by PCR or suspected due to symptoms)
239 (Figure 2b). Twenty-two subjects were removed due to an unknown date of infection. Overall, the data
240 demonstrate the longevity of antibodies, up to 12 months after initial infection (Figure 2b). We
241 calculated the half-life of antibody decay using a linear mixed-effect model, and found that $t_{1/2} \sim 29$
242 months for IgM, $t_{1/2} \sim 28$ months for IgG, and no decay for IgA. We then separated the participants into
243 two groups, according to how long after infection their serostatus had been analyzed in September: i)
244 less than 4 months or ii) more than 4 months. Participants in the former group show a reduction in IgM
245 and IgG levels but stable IgA levels six months later (Figure 2c). Those participants for whom the first
246 antibodies were determined 5-7 months after infection show more stable levels for IgM and IgG
247 isotypes six months later (Figure 2d), although IgA showed a slight but significant decline. Collectively,
248 this indicates that after the initial contraction phase, there is some waning of IgM and IgG antibodies
249 levels, which subsequently stabilizes resulting in detectable levels anti-SARS-CoV-2 Spike antibodies for
250 the first year after natural infection (Figure 2).

251 We also quantified the levels of antibodies for 194 newly infected and non-vaccinated people, of whom
252 178 knew an approximate date of infection. These more recently infected participants had levels of IgG
253 and IgA antibodies higher than the titers detected in the participants who were seropositive in the first
254 phase of the study in September 2020 (Figure 3a). The mean time since infection until assessment in the
255 study in September was 151 days, whereas for the newly positive people in March, this median time was
256 76 days. This is consistent with the September 2020 phase of the study occurring several months after
257 the first wave, in March-April 2020; and the March 2021 screening occurring shortly after the severe

258 third wave in January 2021. Categorizing the antibody levels according to month since infection
259 indicated similar antibody levels raised after the first or second and third waves (Figure 3b).

260 We also analyzed the level of antibody in non-vaccinated participants by gender at birth, age, BMI, and
261 smoking status controlling for time since infection when known, using general linear models. We found
262 no differences by gender or BMI. However, when controlling for time since infection, older people
263 tended to have higher levels of antibodies (IgM, IgG and IgA, $p=10^{-5}$, $p=0.006$ and $p=0.022$, respectively)
264 than younger people, and non-smokers also had higher levels of antibodies (IgG and IgA, $p=0.0078$,
265 $p=0.012$, respectively) than smokers, even when we controlled for age group and gender ($p=0.021$,
266 $p=0.013$, for IgG and IgA, respectively).

267 SARS-CoV-2 vaccination in Portugal started December 27th, 2020. We quantified antibody titers in 161
268 people, who reported vaccination between days 1 and 73 prior to providing a blood sample. Of these 13
269 were in seropositive participants from the first phase. Vaccines are intramuscular and in accordance, we
270 observe little RBD-specific IgM, robust induction of IgG after the first 2 weeks and modest IgA (Figure
271 4a). Furthermore, within the group of confirmed seropositive after natural infection with SARS-CoV-2, as
272 expected vaccination did not significantly increase RBD-specific IgM levels, but significantly boosted IgG
273 and IgA levels (Figure 4b).

274 Comparing antibody levels generated by natural infection or the first dose of the vaccine (Figure 4c), in
275 comparable time bins since infection or vaccination, showed high variability in the induced levels of
276 antibodies, especially after natural infection; and that natural infection elicits stronger IgM, IgA and IgG
277 responses early on, but, at least for IgG, vaccine induced-levels are higher from 3 weeks after dosing.
278 These data underscore the potential of vaccines to maximize anti-RBD IgG responses.

279

280

281 **DISCUSSION**

282 We present the findings of a population-based longitudinal study, conducted with a stratified quota
283 sample of the Portuguese population, covering two distinct periods during the SARS-CoV-2 pandemic.
284 Our first study was in September 2020 after a modest first SARS-CoV-2 wave in March to May 2020 and
285 showed a low seroprevalence of 2.2%, approximately 226,000 people (15). The current follow-up study
286 followed the second and third wave, the latter of which was very severe, placing Portugal at the top of

287 number of cases and fatalities (per capita) in the world during January 2021. Furthermore, the
288 Portuguese national vaccination program started on December 27th 2020, albeit at a small scale due to
289 limited supply in the beginning of 2021 and with priority given to healthcare staff and elderly care home
290 residents (this latter group is not included in our study). Reflecting the high SARS-CoV-2 incidence in this
291 period and the initial vaccination effort, until March 2021 we found a seroprevalence of 13.1% due to
292 natural infection, corresponding to about 1,350,000 people. Considering that the number of official PCR-
293 confirmed cases reported at the beginning of September 2020 and in late February 2021 were ~58
294 thousand and ~797 thousand, respectively (16). The ratio between serological test and reported PCR
295 positive cases reduced from approximately 3.9 to 1.7, reflecting the increased capacity for testing since
296 the first wave hit Portugal (27). Furthermore, allowing for a typical delay of three weeks from infection
297 to death, the 16684 deaths officially registered on March 14th 2021, suggest an overall infection fatality
298 rate of 1.2%, at the top end of those reported in total populations using serology data (0.5%–1.2%) (15,
299 28-30), and increased from the 0.85% found in September 2020, reflecting the dire situation in Portugal
300 during January 2021. When we also consider vaccinated people, the seroprevalence increased to 17.3%
301 nationwide, approximately 1,782,000 people. This value is consistent with another (unpublished) study
302 of seroprevalence in Portugal conducted between early February and late March, which found 15.5%
303 seropositive people, including vaccinated (31).

304 We previously reported antibody kinetics during and shortly after acute SARS-CoV-2 infection (1). These
305 kinetics shows a characteristic rapid increase peaking at 3-4 weeks post infection followed by a
306 subsequent contraction phase, but with continued presence of SARS-CoV-2-specific antibodies at least
307 until months 6-7 post infection, and with rapid increases of the three isotypes assessed, similar as
308 reported for the SARS and MERS responses (1, 32, 33). An important question in SARS-CoV-2
309 immunology and also in the interpretation of serology studies, is how long seropositivity lasts. We made
310 use of our established sensitive lab-based ELISA, to quantify the antibody levels in 188 people, who were
311 seropositive in the first phase of our longitudinal study in September 2020, and who were re-assessed in
312 March 2021. For many of these people, we know the date of diagnosis by PCR or the suspected date of
313 infection by symptoms and epidemiological contact. We found an initial antibody waning for the
314 isotypes IgM and IgG within the first four months after natural infection, with significantly reduced levels
315 of SARS-CoV-2 RBD-specific IgM and IgG levels in longitudinal testing the same people. Of interest, IgA
316 levels remained stable. After this initial decay, there is a plateau phase with those individuals initially
317 sampled five-seven months after infection showing stable levels of anti-SARS-CoV-2 RBD IgM, IgG and
318 IgA over time, with a trend indicating continued, but modest, antibody waning, maintaining detectable

319 antibody levels up to 13 months after the initial infection in all sera tested. Indeed, it is remarkable that
320 in all 188 people for whom we quantified titers in September 2020, and who represent a cross-sectional
321 sample of the Portuguese population, we could still detect antibodies (IgA, IgM, IgG) in March 2021. For
322 these participants, we estimated very slow decays in antibody levels, with half-lives of >2 years, which is
323 substantially longer than previously reported (8). This is likely explained by our finding that there seems
324 to be two phases of decay, an initial contraction in antibody levels followed by a more stable plateau.
325 The patients in the report by Dan et al. (8) were, in general, assayed earlier after infection than our
326 participants. In fact, if we calculate the half-life of patients identified earlier than 4 months post-
327 infection, it is much shorter at ~300 days for both IgM and IgG, closer to those reported previously.

328 Serological studies rely on maintenance of antibody levels to identify those who are immune and to
329 quantify the extent of infection in populations. The presence of detectable anti-SARS-CoV-2 antibodies
330 more than a year after the infection in a longitudinal study as we present here, suggests good quality
331 immunity likely able to reduce illness severity upon reinfection and reduce future transmission in the
332 population. In addition, it indicates the feasibility to retrospectively interrogate SARS-CoV-2 infection
333 rates with help of serological studies at least a year into the pandemic.

334 Human studies have correlated the presence of antibodies prior to a human coronavirus (HCoV)
335 challenge with protection from infection or symptoms (34, 35). SARS-CoV-2 immunity has been
336 compared with HCoV-E229 infections after which antibodies may last for a period of up to two years,
337 but are reported to be able to wane more rapidly within the first year (36, 37). Endemic HCoV, primarily
338 HCoV-229E, HCoV-HKU1, HCoV-OC43, and HCoV-NL63, as opposed to SARS-CoV and Middle East
339 respiratory syndrome coronavirus (MERS-CoV) do not show high levels of pathogenicity. Tissue damage
340 is an important criterion that determines the threat level to invoke the strength of immune response.
341 Although early HCoV-229E antibody kinetics are similar, SARS anti-Spike antibodies have been detected
342 for up to five years post infection, with some loss of circulating antibodies reported after 16 months (38-
343 40). Anti-MERS antibodies are present at least up to one year after infection (41). Our results are in line
344 with SARS and MERS serological studies, with SARS-CoV-2 invoking a similar immune response.
345 Importantly, antibodies are only one characteristic of immune protection against disease. T cell
346 responses against SARS have been reported 12 years post infection (40). SARS-CoV and MERS-CoV were
347 contained, but with its global spread SARS-CoV-2 is likely to become an endemic virus able to re-infect
348 when neutralising antibody levels are sufficiently low, but possibly without causing severe disease due
349 to immunological memory (42).

350 We also analyzed the effects of vaccination on antibody levels. For the most part, we confirmed
351 expected results, with increasing levels of IgG and IgA detected after the first two- or three-weeks post-
352 vaccination, and a significant boost in antibody levels for those isotypes in people who had been
353 infected before. It is important to note that even after just the first dose of vaccine (in Portugal at this
354 time only the vaccines by AstraZeneca and Pfizer/Biontech were approved) the levels of IgG generated
355 are substantial and after 3 weeks tend to be even higher than in natural infection, at a similar time.
356 These results are important to inform models for most efficient vaccination strategies in the population
357 (43).

358 Our study has some limitations. For logistic, cost and rapidity of the study, we opted in our first-phase
359 study to use a stratified quota sample, which does not guarantee perfect proportionality to the
360 population for demographic and epidemiological variables. However, we believe that true random
361 samples, with more sophisticated sampling schemes, such as random household surveys, also suffer
362 from these potential issues, due to large fraction of non-participation, typically 30%-50% (11, 28, 44) and
363 the potential extra accuracy does not have impact in public health decisions. In the current phase, we
364 sampled from the original participants, matching several characteristics to the Portuguese population
365 for better representation. However, the 28% non-participation rate (2173 of 3000 invitations), in line
366 with the numbers of other studies, may introduce some bias in the sample, which are only partly
367 ameliorated by our stratification strategy. Another issue is that we measured antibody titres, but not
368 neutralization levels, which is arguably a better surrogate of immune protection (6, 10). However, as a
369 measure of past infection, how long antibodies last, and how to interpret serological studies, the levels
370 of IgM, IgG and IgA as assayed here are the gold-standard. Moreover, antibody levels measured by ELISA
371 and neutralization titers are typically well-correlated (3). It is also possible that some of the vaccinated
372 people were infected before receiving the vaccine, and our assays does not distinguish between natural
373 infection and vaccination. Thus, our estimate of natural infection could be slightly higher, however, this
374 is mitigated by current guidelines in Portugal, which delay vaccination to previously infected people until
375 a later phase of the campaign. Although, we did find cases of previously infected people, who were
376 already vaccinated.

377 In spite of these limitations, our study is one of the few assessing seropositivity longitudinally in a large
378 population-wide National sample, allowing calculation of antibody waning. Most other recent National-
379 level studies with multiple serological assessment campaigns use a cross-sectional, rather than a
380 longitudinal design (31, 45-47). One recent study with a longitudinal design was conducted in the Faroe

381 Islands (48), with results comparable to what we present here, especially in terms of two phases of
382 decay and long duration of antibody positivity.

383 Overall, this study showed that even after the severe wave of infection during January of 2021, the
384 overall seropositivity levels of the population in Portugal was only ~13%, reaching 17% when including
385 the estimated vaccinated people. Two months onward from our study, in May 2021, the fraction of
386 people with at least one dose of the vaccine was approaching 50%. We found that antibody levels are
387 measurable in the vast majority of people with times since infection spanning 3 to 12 months. Indeed,
388 all 188 people, who were positive in September 2020, still had detectable antibodies in March 2021. This
389 indicates that antibody immunity against SARS-CoV-2 likely lasts more than one year.

390

391

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407 Biologia Experimental e Tecnológica (iBET) Oeiras, Portugal as part of the Serology4COVID consortium.

408

409 **FIGURE LEGENDS**

410

411 **Figure 1. Comparison of the evolution of the COVID-19 pandemic in Portugal and other European**
412 **countries.** a) Total number of cases per million, b) total number of deaths per million, c) number of new
413 cases per million and d) number of new deaths per million. Depicted are the evolution of the pandemic
414 in neighboring countries (Spain, France, Italy) and other countries of similar size in number of
415 inhabitants (Belgium, Czechia, Netherlands and Sweden). The large third wave when Portugal had very
416 large number of cases and deaths in January is clearly seen.

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419 **longitudinal study.** a) Comparison of IgM, IgG and IgA (from left to right in all rows) in the same people
420 assayed in the two periods (n=188). b) Same as a) but considering the time since infection, which is the
421 time of PCR positive test or symptoms, as reported by the participants (n=166). c) Same as b) but
422 showing only participants who were infected less than 4 months before the September 2020 serology
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425 pairs test.

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427 **Figure 3. Comparison of antibody levels for people seropositive for the first time in September 2020 or**
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431 September 2020 in blue (n=166) and those positive in March 2021 in white (n=178). P-values for two-
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567 **Table 1. Socio-demographic characteristics of the study sample.**

| | Cohort "NC" | | Cohort "PC" | |
|---|-------------|-------|-------------|-------|
| | n | % | n | % |
| Sex | | | | |
| Male | 948 | 43.6% | 126 | 47.9% |
| Female | 1224 | 56.4% | 137 | 52.1% |
| Age categories | | | | |
| <18 years | 224 | 10.3% | 40 | 15.2% |
| 18-54 years | 1006 | 46.3% | 132 | 50.2% |
| ≥55 years | 942 | 43.4% | 91 | 34.6% |
| Population density | | | | |
| Low or Medium | 1162 | 53.5% | 100 | 38.0% |
| High | 1010 | 46.5% | 163 | 62.0% |
| Household size | | | | |
| 1 person | 390 | 18.0% | 24 | 9.1% |
| 2 to 4 people | 1649 | 75.9% | 216 | 82.1% |
| ≥5 people | 133 | 6.1% | 23 | 8.7% |
| Education | | | | |
| Less than high school | 619 | 29.4% | 73 | 27.8% |
| High school, post high school (no undergraduate degree) | 749 | 35.5% | 73 | 27.8% |
| Undergraduate or graduate degree | 704 | 33.4% | 108 | 41.1% |
| Other | 37 | 1.8% | 9 | 3.4% |
| Occupation | | | | |
| Employed | 1272 | 58.6% | 156 | 59.3% |
| Unemployed | 115 | 5.3% | 13 | 4.9% |
| Student | 263 | 12.1% | 47 | 17.9% |
| Retired | 438 | 20.2% | 36 | 13.7% |
| Other, Disability, Homemaker | 84 | 3.9% | 11 | 4.1% |
| Professional sector | | | | |
| Commerce, Industry and Building | 229 | 18.0% | 28 | 10.6% |
| Administration / services | 338 | 26.6% | 35 | 13.3% |
| Education | 190 | 14.9% | 21 | 8.0% |
| Health | 121 | 9.5% | 22 | 8.4% |
| Health (no clinic) | 42 | 3.3% | 7 | 2.7% |
| Transportation | 43 | 3.4% | 3 | 1.1% |
| Other | 309 | 24.3% | 40 | 15.2% |
| Current working arrangement (employed workers) | | | | |
| Teleworking | 345 | 13.2% | 43 | 27.7% |
| Physically at work, only in contact with colleagues | 386 | 14.8% | 54 | 34.8% |
| Physically at work, no contact | 72 | 2.8% | 11 | 7.1% |
| Physically at work, contact with public | 407 | 15.6% | 43 | 27.7% |
| Mixed arrangements | 62 | 2.3% | 4 | 2.6% |
| Body Mass Index | | | | |
| Normal or underweight | 905 | 45.9% | 95 | 42.0% |
| Overweight | 713 | 36.2% | 90 | 39.8% |
| Obese | 353 | 17.9% | 41 | 18.1% |
| Smoking status | | | | |
| Non-smoker | 1366 | 64.8% | 198 | 75.3% |
| Ex-smoker | 415 | 19.7% | 44 | 16.7% |
| Smoker | 328 | 15.6% | 21 | 8.0% |
| Physical Exercise | | | | |
| No | 1141 | 52.5% | 138 | 52.5% |
| Yes | 1031 | 47.5% | 125 | 47.5% |
| COVID-19 Vaccine | | | | |
| No | 2016 | 92.8% | 246 | 93.5% |
| Yes | 156 | 7.2% | 17 | 6.5% |

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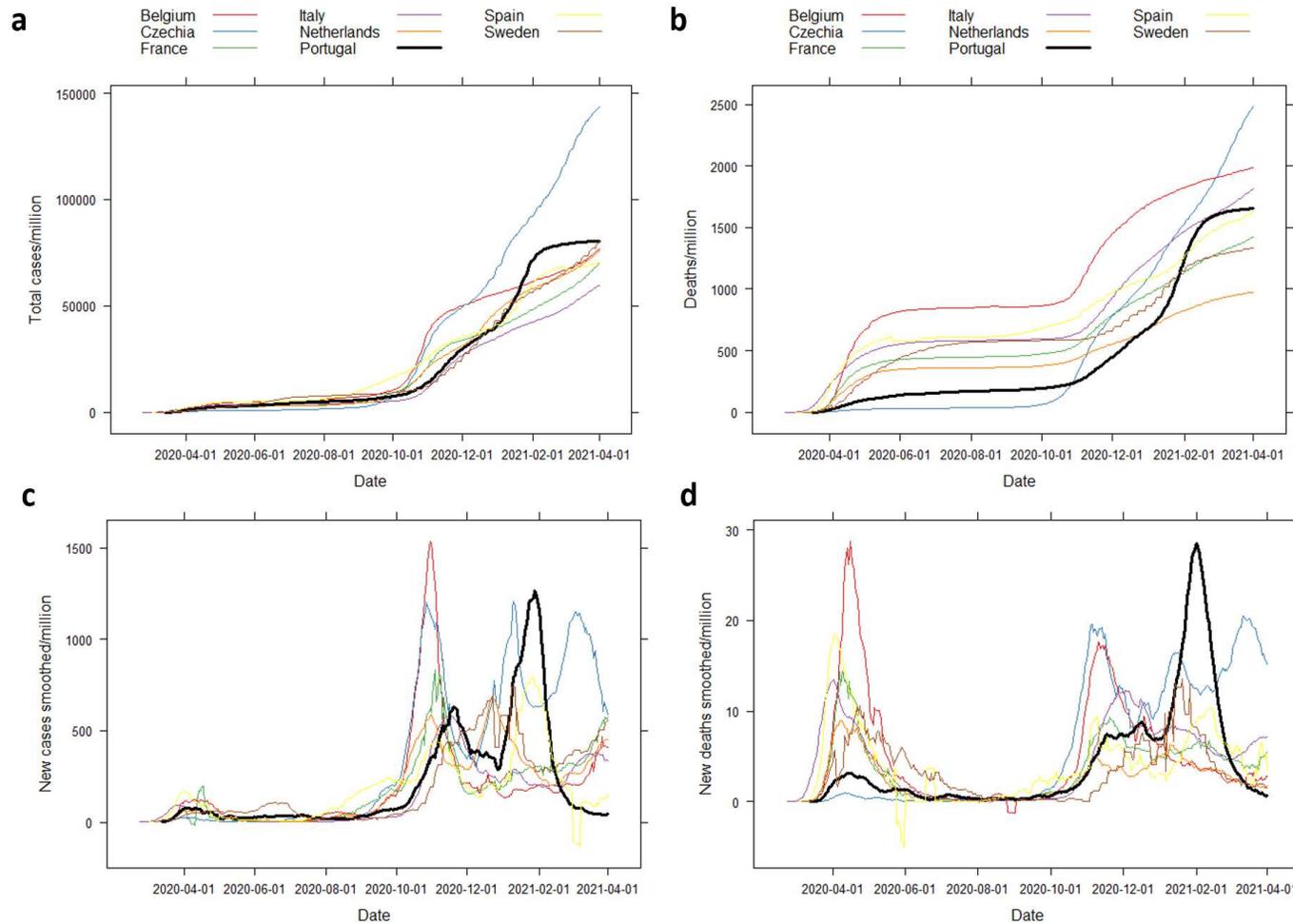
570 **Table 2. SARS-CoV-2 antibody seroprevalence estimates in Portugal, March 2021.** Estimates of
571 seroprevalence for the population of Portugal, and by region of population density and age group. The n
572 indicated in the table corresponds to the sample assessed, and is for information purposes.

| | | Total | | | Non-vaccinated only | | |
|--------------------|------------------------------------|--------------|----------------|------------|----------------------------|----------------|-----------|
| | | n | Seroprevalence | 95% CI | n | Seroprevalence | 95% CI |
| Overall | | 2435 | 17.3% | 15.8 -18.8 | 2262 | 13.1% | 11.8-14.6 |
| Population density | High (>500/km ²) | 1173 | 17.3% | 15.0-19.6 | 1104 | 13.5% | 11.5-15.7 |
| | Low/Medium (<500/km ²) | 1262 | 17.0% | 15.0-19.0 | 1158 | 12.5% | 10.7-14.4 |
| Age group | < 18 years | 264 | 15.0% | 11.0-19.6 | 264 | 15.0% | 11.0-19.6 |
| | 18-54 years | 1138 | 20.2% | 17.8-22.4 | 1047 | 13.8% | 11.7-15.8 |
| | ≥55 years | 1033 | 14.2% | 12.1-16.3 | 951 | 10.9% | 9.0-12.9 |

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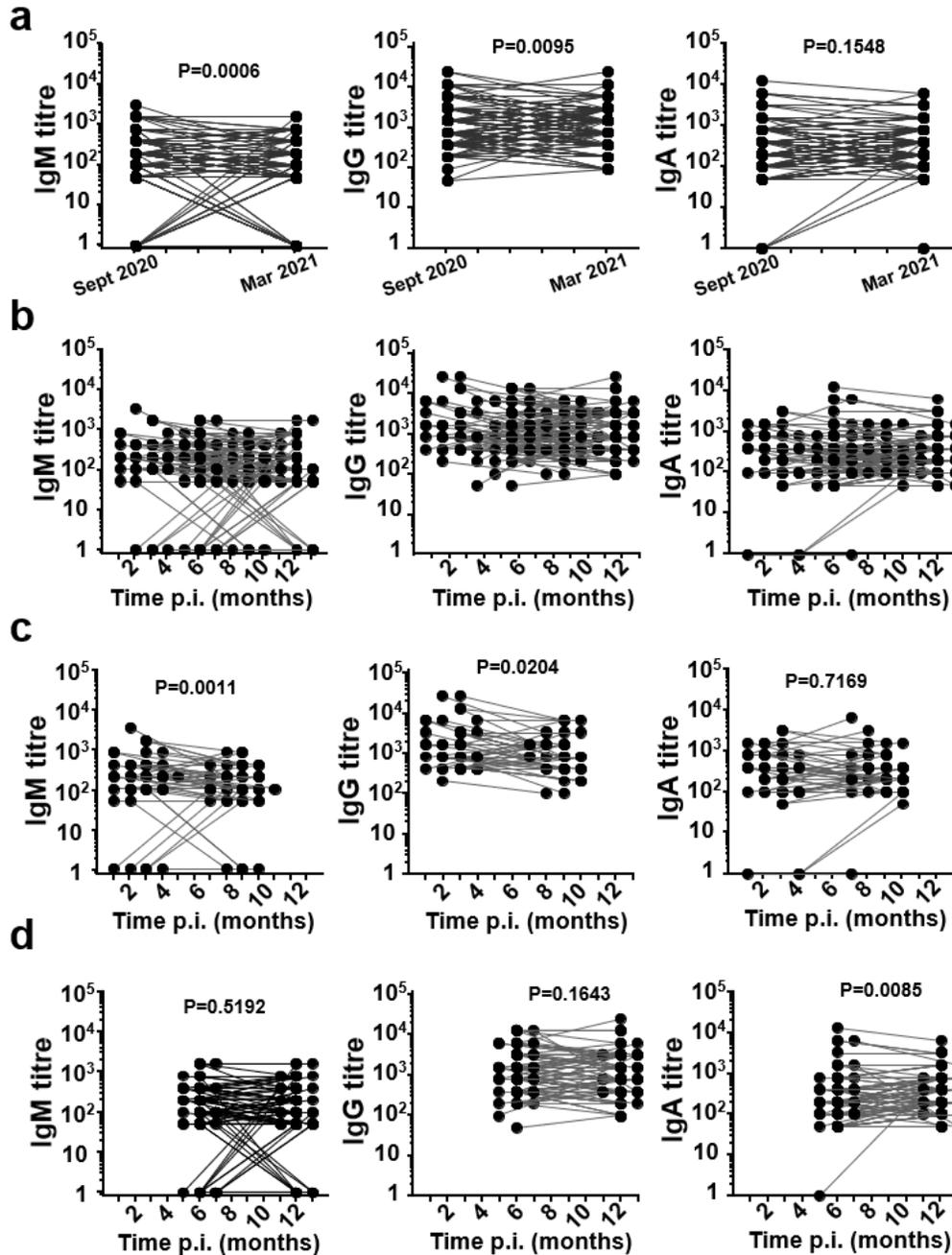
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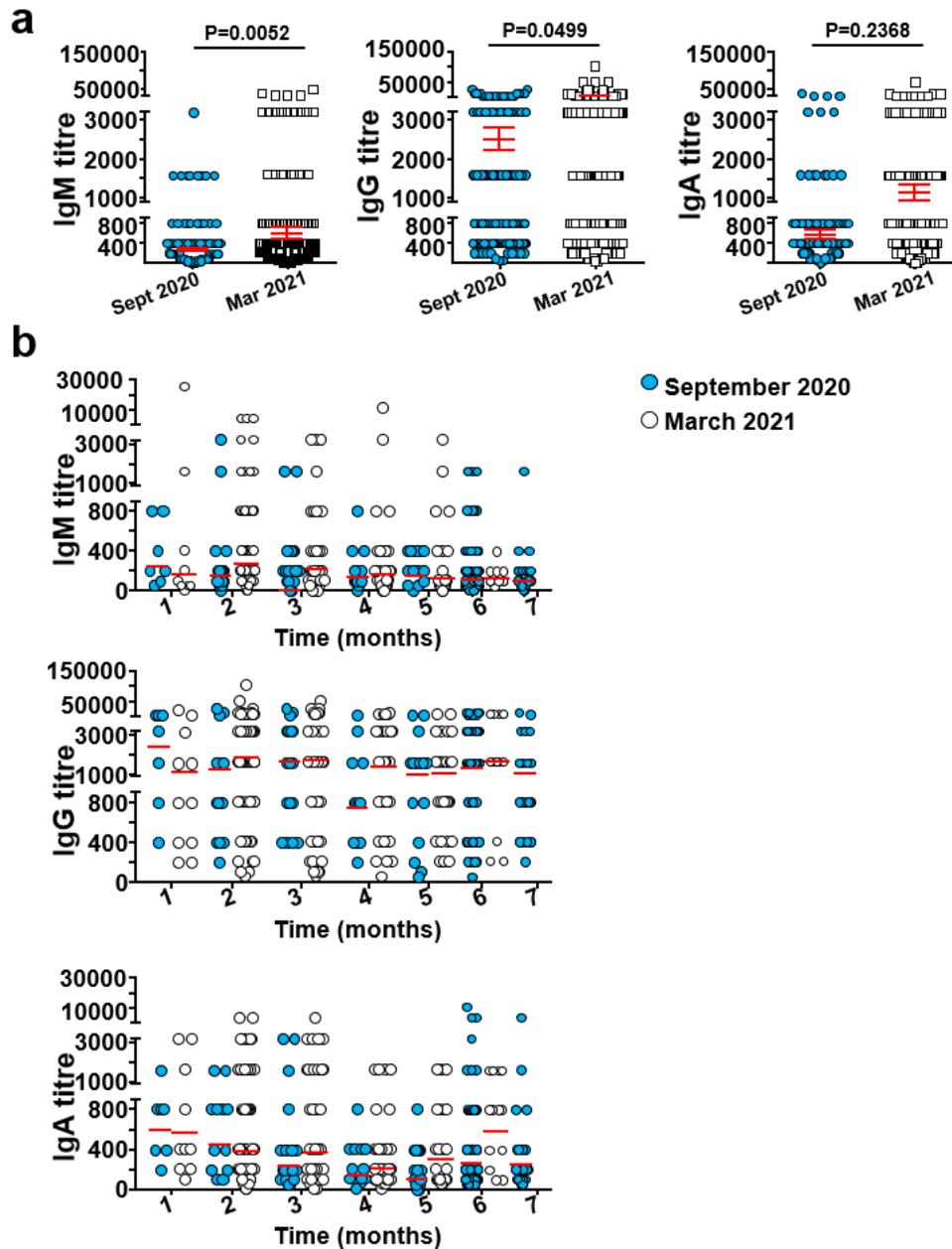
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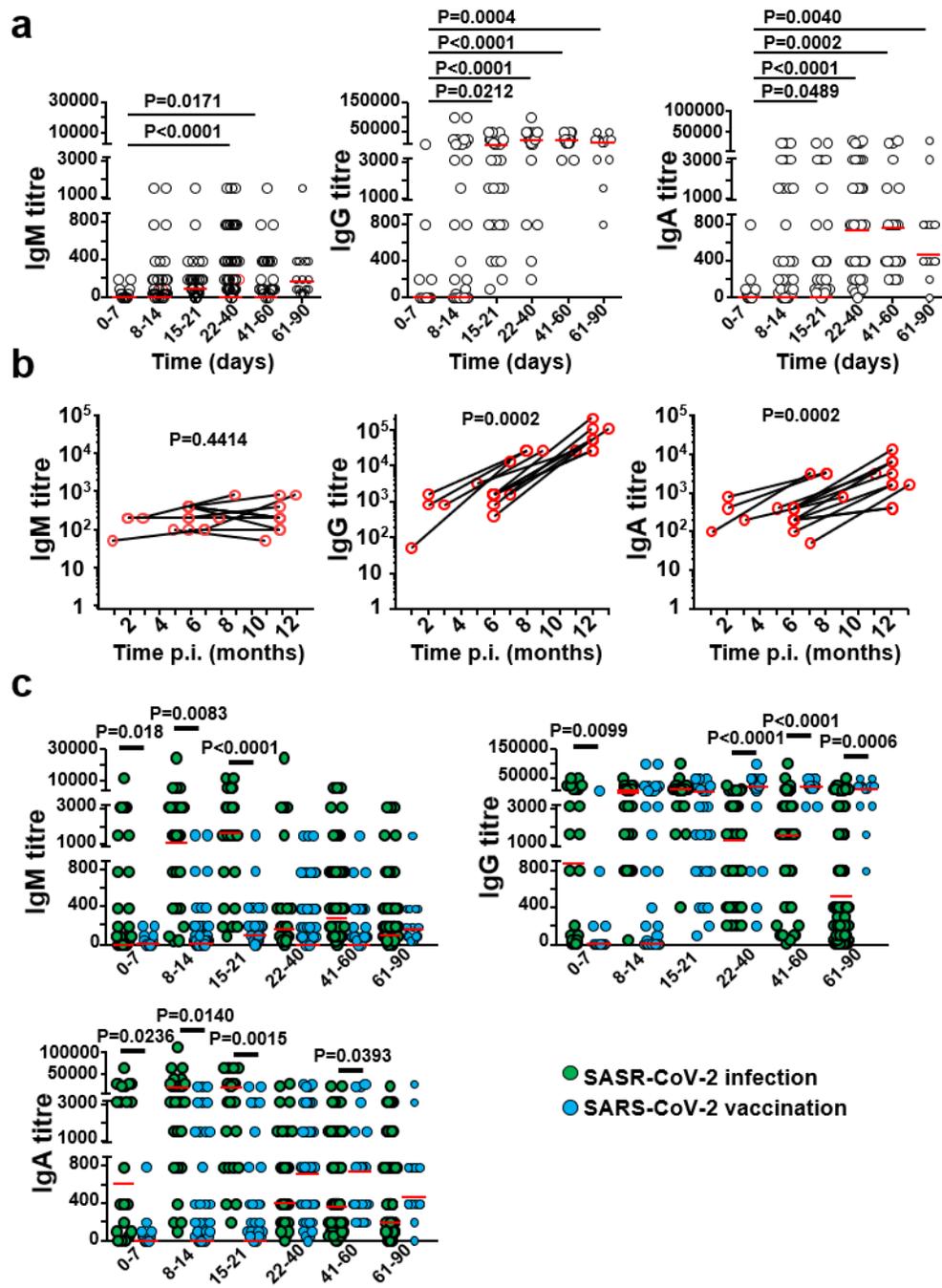
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 604 matched pairs; and in c) are for two-sided Mann-Whitney tests.



605

Supplementary Files

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