

The Optimal Spacing Interval Between Principal Shelterbelts of the Farm-shelter Forest Network

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1 **The Optimal Spacing Interval Between Principal Shelterbelts of the**
2 **Farm-shelter Forest Network**

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13
14 **Abstract:** The farm-shelter forest network is a complex grid protection system, with a windbreak
15 that is distinctly different from that of the single shelterbelt. We selected the farm-shelter forest network
16 of a jujube field in the Tarim Basin of northwest China and used a combination of field measurements
17 and wind tunnel tests to determine the optimal spacing interval between principal shelterbelts. The
18 wind speed reductive curve of the farm-shelter forest network showed a gradual wind speed tendency
19 to stability. Therefore, a model was established based on the energy transfer balance between the upper
20 and the lower airflows for a steady wind speed. The prediction error of the model was found to be < 1%.
21 The model results indicated that increasing the spacing interval between principal shelterbelts from 10
22 *H* to 20 *H*, where *H* is the shelterbelt height, maintained more than 70% of the windbreak effect of the
23 farm-shelter forest network. If the spacing interval between principal shelterbelts were to be increased
24 from 10 *H* to 20 *H*, the jujube planting area would be increased by 0.54%. Therefore, a thorough
25 consideration of the windbreak effect of each shelterbelt, the synergistic effects of shelterbelts, the
26 windbreak effects of tall crops, and the effects of temperature and humidity in farm-shelter forest
27 networks indicates that increasing the spacing interval will not only maintain the windbreak effect, but
28 it will also reduce the side effects of shelterbelts, increase the planting area, favor mechanized
29 operation, and improve planting efficiency.

30 **Keywords:** farm-shelter forest network; spacing interval; structure configuration; farmland
31 shelterbelt; windbreak effect; wind erosion

32

33 **1. Introduction**

34 Farm-shelter forest networks play an important role in producing stable and high crop yields,
35 stabilizing the farmland ecosystem, and improving the microclimate of agricultural fields, primarily
36 through the reduction of windstorm disasters (Kowalchuk and Jong, 1995; Zheng et al., 2016a, b; Zhu
37 et al., 2017; He et al., 2017).

38 The construction of farmland shelterbelts has been studied extensively. In particular, the
39 windbreak effect has been investigated in terms of efficient protection distance (Ma et al., 2010;
40 Ferreira and Lambert, 2011; Dong et al., 2011; Wu, et al., 2013; Dang et al., 2014). However, since the
41 farm-shelter forest network is a complex grid protection system, there has been little research on the
42 construction of networks composed of multiple shelterbelts, especially on the optimal spacing interval
43 between principal shelterbelts. The overall windbreak effect of a farm-shelter forest network not only
44 depends on the windbreak effect of each shelterbelt, but also on the synergistic effects of shelterbelts,
45 the windbreak effects of tall target crops, and the effects of temperature and humidity (Cao, 1983; Zhu,
46 2013).

47 Synergistic effects among shelterbelts have been confirmed. Airflow velocity has been found to
48 decrease after passing through the first shelterbelt in a farm-shelter forest network. Before the wind
49 speed can recover to its initial intensity, it is affected by a second shelterbelt, such that the wind within
50 a forest network continues to maintain a low speed, and it eventually tends to stabilize (Maki, 1982;
51 Cao, 1983; Zuo et al., 2018). Moreover, the airflow velocity actually decreases between the first and
52 second shelterbelts. On the basis of previous research, Li and Sherman (2015) reported that the
53 protective distance increased with increasing numbers of shelterbelts having the same porosity. Via
54 wind tunnel simulation experiments, Bao (2015) discovered that the effective protected area beyond the
55 second shelterbelt in a farm-shelter forest network could be increased using multilayer shelterbelts.

56 The protective effect of farm-shelter forest networks is not only affected by changes to the
57 structure of the network, but it is also closely related to the atmospheric properties within it (Cao, 1983).
58 Shelterbelts not only reduce the wind speed, but they also affect the vertical variation of water vapor
59 and the spatial distribution of heat (Livesley et al., 2004; Chirwa et al., 2007; Cayan et al., 2010),

60 leading to changes in soil water evaporation and plant transpiration in farm-shelter forest networks. In
61 particular, shelterbelts can significantly reduce the temperature by 40%–60%, while also increasing the
62 humidity and soil water content within the forest on a regional scale (Kamal et al., 2014; Zhuang et al.,
63 2017). Therefore, the water vapor content of the air within the forest is increased by shelterbelts, as is
64 the viscous resistance. Finally, wind speed is also affected by farm-shelter forest networks.

65 Farm-shelter forest networks are designed to protect target crops. However, plant heights and areal
66 changes of target crops are associated with varying roughness (Kustas et al., 2005; Ding, 2010; Wu et
67 al., 2016; Vanderwende and Lundquist, 2016), and transpiration (Sun et al., 2011; Sun et al., 2016),
68 which can affect wind speed. Zheng et al. (2016a, b) reported that, just in terms of the protective effect,
69 efficiently-spaced shelterbelts could decrease the shelterbelt areas and increase crop areas, thereby
70 enhancing the economic benefits of crops. Li et al. (2015) reported that crops had the greatest potential
71 for reducing the flux of windblown dust, while red date orchards and cotton fields had the lowest
72 potential for dust flux (due to their highest aerodynamic roughness). Accordingly, when thoroughly
73 considering the protective effect of crops, is it possible to further reduce the spacing interval between
74 principal shelterbelts, decrease the shelterbelt area, and promote the economic benefits of crops?

75 The study site of this investigation was the farm-shelter forest network of a jujube field in the
76 Tarim Basin, China, which is a region that experiences some of the most severe wind-sand disasters in
77 the world. The characteristics of the wind speed variations in the farm-shelter forest network were
78 analyzed using field measurements and wind tunnel tests. An arrangement model for the farm-shelter
79 forest network was established based on the above results, and the optimal spacing interval between
80 principal shelterbelts was determined. Given the rapid development of modern intensive agriculture,
81 precision agriculture, and mechanized agriculture, this research has important theoretical and practical
82 significance for the construction of farm-shelter forest networks that ensure the windbreak effect, while
83 also reducing the side effects of shelterbelts (Kort, 1988; Qiao et al., 2016) and improving planting
84 efficiency.

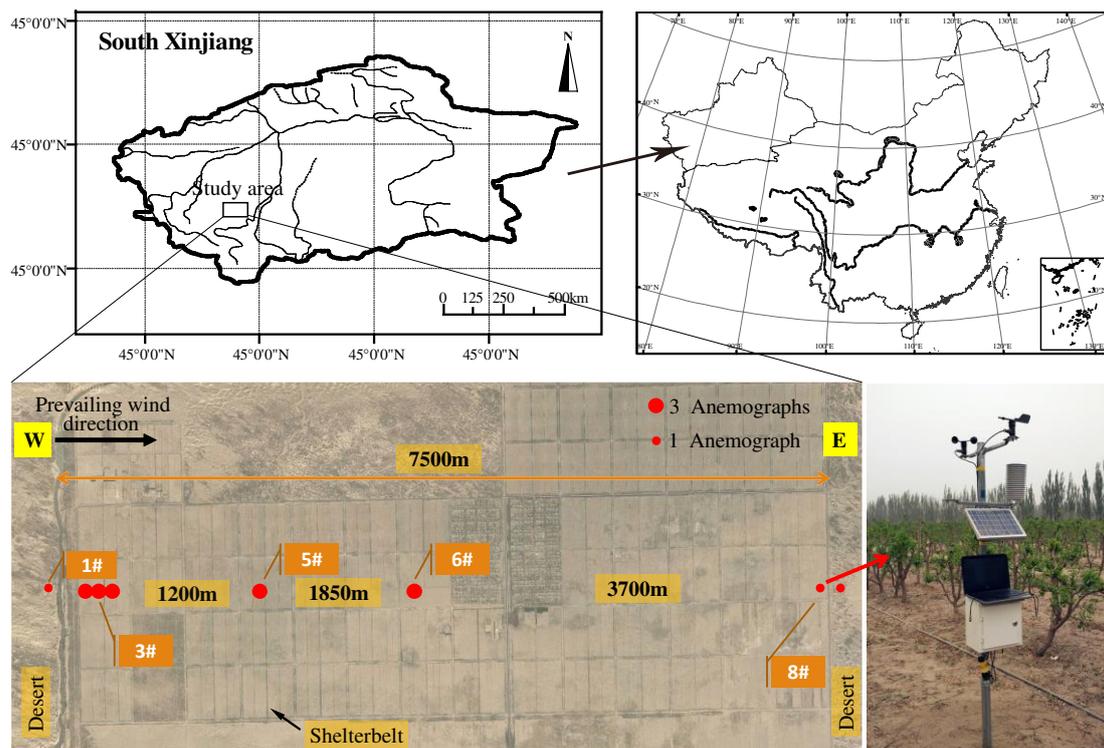
85

86 **2. Materials and Methods**

87 **2.1 Study area**

88 The study area (37°12'29" – 37°24'51.5"N, 79°14'57" – 79°22'25"E, at an altitude of 1304 – 1397
89 m) is located in Farm 224, Hotan Prefecture, in the southern Tarim Basin of Xinjiang, China (Figure 1).

90 The Tarim Basin is one of the primary dust sources in the arid and semiarid regions of the world. Dust
 91 emitted from the Tarim Basin can be transported by winds across Asia and the Pacific Ocean (Yu et al.,
 92 2012). The study area (21.8×9.2 km) is surrounded by deserts, including the Gobi, and its elevation is
 93 greater in the south than in the north. The arid desert climate of the study area is characterized by an
 94 annual mean precipitation of 32.1 mm, an annual potential evaporation of 2563.9 mm, an annual mean
 95 temperature of 12.2°C, a maximum temperature of 42.7°C, and a minimum temperature of -23.7°C.
 96 Wind-sand events are the prevalent type of meteorological disaster in the study area, and they generally
 97 include high winds, dust storms, dust clouds, and hot, dry air in the spring, summer, and autumn. Gale
 98 events occur an average of 11.5 times per year, and airborne dust events occur 200 d/yr. The main wind
 99 direction in the spring is west-northwest, with a maximum wind frequency of 41.7%. The study area,
 100 with jujube farming as the leading industry and drip irrigation as the main water application method,
 101 has an extensive farm-shelter forest network.



102
103 Figure 1. Location of the study area and distribution of anemographs

104 **2.2 Structural factors of the farm-shelter forest network**

105 Based on shelterbelt length and other indicators, we selected different types of shelterbelt
 106 structures and surveyed these shelterbelts as well as the jujube field using the methods described by
 107 Guan et al. (2002). Specifically, a 100-m section was selected near the center of the shelterbelt as the
 108 study sample; dead trees were tallied to calculate survival rates; the perimeter method was used to

109 measure *DBH* (diameter at breast height); crown width was estimated visually; mean height, mean
110 height under branches, and mean crown height were measured digitally; and tree species, row number,
111 row spacing, in-row spacing, lengths, and widths were recorded. For rapid and accurate quantitative
112 measurement of shelterbelt porosity, windbreak porosity was measured digitally.

113 **2.3 Wind observations in the farm-shelter forest network**

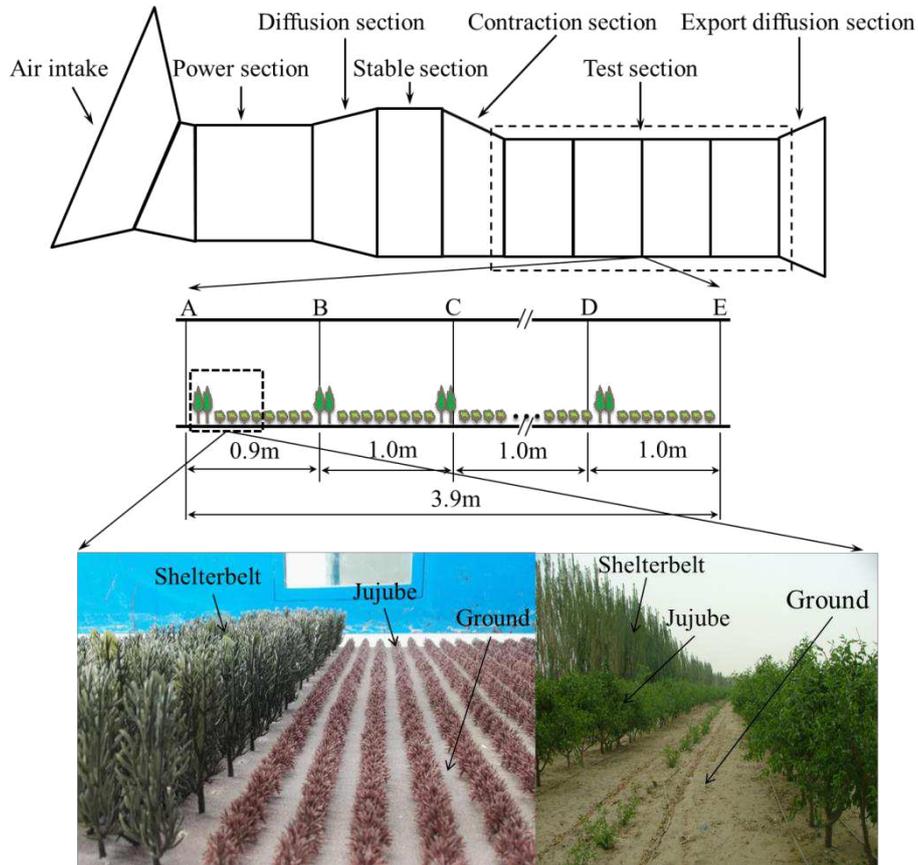
114 A farm-shelter forest network, 7.5 km long from east to west, in which trees grew and were
115 preserved well, was selected as the study area. The observation points were situated along the main
116 direction in the forest. Anemographs were used to determine wind speed, wind direction, temperature,
117 and humidity at different locations. In order to determine the role of shelterbelts in the western
118 farm-shelter forest network, the observation points were densely situated in the western section, and
119 sparsely positioned in the eastern section. In addition, two observation points were placed outside the
120 farm-shelter forest network to serve as references (Figure 1).

121 Wind speed and wind direction were recorded from the germination stage to the fruit harvest of
122 the jujube crop (April 23–October 26, 2016). Wind speed and wind direction data were collected every
123 2 minutes using an automatic wind speed recorder (XE48/YM-24). The measurement height was 2 m.
124 Sample point 1 in the outside forest was set as the control. The layout of the wind speed recorders is
125 shown in Figure 1. The wind profile in the farm-shelter forest network was determined using a field
126 vertical anemometer (heights of 0.2 m, 0.5 m, 1.5 m, and 2.5 m). These data were primarily used to
127 verify the wind profile in the wind tunnel.

128 **2.4 Wind tunnel simulation experiment of the wind speed characteristics in the farm-shelter** 129 **forest network**

130 The wind tunnel simulation test was carried out in a movable environmental wind tunnel (16.2 m;
131 Figure 2) at the Xinjiang Institute of Ecology and Geography, Chinese Academy of Sciences. The test
132 section of the wind tunnel was rectangular; its length was 8 m, width was 1.3 m, and height was 1 m,
133 with an aspect ratio is 1:3. The wind speed along the axis of the test section could be adjusted
134 continuously within a range of 1–25 m/s. The stability coefficient of the airflow in the wind tunnel was
135 < 1%, the lateral nonuniformity was < 2.5%, the turbulence intensity was ~1%, and the boundary layers
136 of the bottom and the side were 15 cm and 10 cm, respectively. Transverse sections at 0 m, 0.9 m, 1.9
137 m, 2.9 m, and 3.9 m behind the entrance of the work section were selected as the test sections; the
138 locations are shown in Figures 2 A–E. There were 10 measuring points on each test transverse section.

139 Ten pitot tubes were mounted on brackets at heights of 0.5 cm, 1.0 cm, 1.5 cm, 2.0 cm, 5.0 cm, 8.0 cm,
 140 10.0 cm, 15.0 cm, 30.0 cm, and 50.0 cm.



141

142 Figure 2. Schematic of wind tunnel structure, test section, and shelterbelt and jujube model

143 The shelterbelt and jujube models were made of soft plastic trees based on the similarity theory
 144 and actual investigations of tree height and porosity in wind tunnel experiments. The height of the
 145 shelterbelt model was 7 cm, and the height of jujube tree model was 1.5 cm. The shelterbelt and jujube
 146 models were zoomed out by the same scale, and the model to real object ratio was 1:143. The surface
 147 of the farmland was simulated with 125- μ m sand paper (Figure 2).

148 The major factors influencing a shelterbelt's windbreak effect are its height, porosity, width,
 149 section shape, angle between it and the wind direction, principal shelterbelt spacing intervals, and other
 150 factors (Zhu, 2013). Four wind speeds were set in the numerical simulations: 8 m/s, 10 m/s, 12 m/s, and
 151 14 m/s. The effect of jujube trees on wind speed in the shelterbelts was analyzed by including and
 152 removing the jujube trees in the model; the effect of crops on the wind relief provided by shelterbelts
 153 was further analyzed. By increasing the number of shelterbelts in the same area (there were a total of
 154 16 rows of forest belts and the sum of the belt spacing was 30 H), the cumulative effect of multilayer
 155 shelterbelts was analyzed. The maximum number of shelterbelts could be set to 4 since the length of

156 the wind tunnel test section was 8 meters.

157 The design of the farm-shelter forest network in the wind tunnel simulation experiment is shown
 158 is Table 1.

159 Table 1. Design of the farm-shelter forest network in the wind tunnel simulation experiment

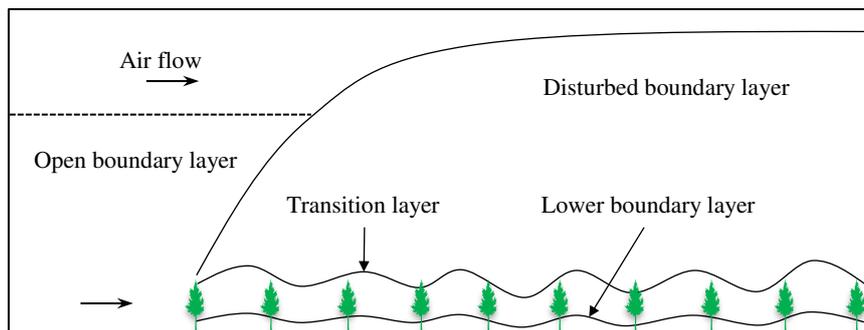
Number	Width of core shelterbelt/row	Shelterbelt spacing (1/H)	Shelterbelt 1	Shelterbelt	Shelterbelt 2
			in farmland/row	spacing (2/H)	in farmland/row
1	4	12	6	18	6
2	6	16	5	14	5
3	8	20	4	10	4
4	10	10	3	20	3
5	12	14	2	16	2
6	12	18	1	12	1
7	12	18	2	12	2
8	8	-	-	-	-
9	8	20	0.4	-	-
10 (CK)	-	-	-	-	-

160

161 **2.5 Construction of the farmland shelterbelt allocation model**

162 2.5.1 Surface wind field structure in the farm-shelter forest network

163 Boundary layer of the farm-shelter forest network is shown in Figure 3.



164

165 Figure 3. Boundary layer of the farm-shelter forest network

166 According to previous research (Zhu and Zhou, 1993), airflow with a certain velocity enters from
 167 a smooth surface to the farm-shelter forest network, then plateaus after a period of time, forming stable
 168 boundary layers. There are three stable boundary layers in the farm-shelter forest network: the open

169 boundary layer, lower boundary layer, and disturbed boundary layer. The variation of wind speed with
170 height follows the logarithm law in the three layers.

171 For neutral conditions, the form of the wind velocity profile in the wild is as follows:

$$172 \quad u_0 = \frac{u_{*0}}{k} \ln \frac{z}{z_0}, \quad (1)$$

173 where u_0 is the wind velocity, u_{*0} is the dynamic speed, z_0 is the roughness, and z is measurement
174 height.

175 The form of the wind velocity profile in the lower boundary layer is as follows:

$$176 \quad u' = \frac{u_*'}{k} \ln \frac{z}{z_0''} \quad (2)$$

177 where u' , u_*' , and z_0'' are velocity, dynamic speed, and roughness in the lower boundary layer,
178 respectively.

179 The form of the wind velocity profile in the disturbed boundary layer is as follows:

$$180 \quad u = \frac{u_*}{k} \ln \frac{z}{z_0'} \quad (3)$$

181 where u , u_* , and z_0' are the velocity, dynamic speed, and roughness in the disturbed boundary layer,
182 respectively.

183 2.5.2 Energy balance theory

184 The model was based on the energy transfer balance between the upper and lower airflows for a
185 steady wind speed. Friction was increased by surface roughness when the airflow entered the forest
186 area from the flat and homogeneous wilderness area. As a result, the momentum flux transmitted from
187 the upper layer to the lower layer increased to supplement the kinetic energy consumed by the lower
188 airflow, and equilibrium was eventually reached. The momentum flux of a single forest grid in the
189 disturbed boundary layer was as follows:

$$190 \quad M = L_1 L_2 \rho u_*^2 \quad (4)$$

191 where L_1 is the length of the main shelterbelt, L_2 is the length of the assistant shelterbelt (the width of
192 the shelterbelt is neglected here; L_2 is the distance between main shelterbelts), and ρ is the air density.

193 The surface friction was composed of 2 parts: the resistance of the main shelterbelt and the
194 resistance of the forest area, as follows:

$$195 \quad M = \tau_1 + \tau_2 \quad (5)$$

196 where τ_1 is the resistance of the main shelterbelt and τ_2 is the resistance of the forest area.

197 2.5.3 Resistance of the main shelterbelt

198 Based on the flow resistance formula of fluid mechanics proposed by Newton in 1726 (Zhu and
 199 Zhou, 1993; Ding, 2010), let H be the shelterbelt height, L_1 be the main shelterbelt length, L_2 be the
 200 secondary shelterbelt length, α be the shelterbelt ventilation coefficient, β be the shelterbelt porosity, ω
 201 be the angle between the wind direction and the shelterbelt, and ρ be the air density. The resistance of
 202 the main shelterbelt τ_1 was as follows:

$$203 \quad \tau_1 = F_D H L_1 \sin \omega = \frac{1}{2} C_d \rho u_e^2 H L_1 \sin \omega \quad (6)$$

204 C_d is the drag coefficient in the formula; its calculation is from Zhu et al. (1993):

$$205 \quad C_d = 1.63(1 - \alpha)^{0.55} \quad (7)$$

206 α is the ventilation coefficient in the formula; its calculation is from Ren et al. (2013):

$$207 \quad \alpha = \beta^{0.55} \quad (8)$$

208 u_e is the resistance wind speed of the shelterbelt. The geometric mean of the wind speed in the 5–10 H
 209 range in front of the shelterbelt in the farm-shelter forest network is directly proportional to the u_{e0} . u_{e0}
 210 is the initial wind speed in front of the farm-shelter forest network.

$$211 \quad u_e = r_e u_{e0} = r_e \frac{u_{*0}}{K} \ln \frac{H}{e z_0} \quad (9)$$

212 r_e is the coefficient of wind speed reduction of the shelterbelt; its calculation is from Zhu and Zhou
 213 (1993):

$$214 \quad r_e = 0.179 \ln \left(\frac{x}{H} - 5 \right) + 0.288 \quad (10)$$

215 where x is the horizontal distance from the shelterbelt.

216 2.5.4 Resistance of one grid of the farm-shelter forest network

217 The formula is as follows:

$$218 \quad \tau_2 = L_1 L_2 \rho u_z^2 \quad (11)$$

219 where u_z is the dynamic speed in the lower boundary layer, which decreases with increasing roughness
 220 in the farm-shelter forest network.

$$221 \quad u_z = (r_z + r_s) u_{*0} \quad (12)$$

222 where r_z is the coefficient of wind speed reduction in the farm-shelter forest network, which is affected
 223 by the surface roughness caused by shelterbelts and crops. Based on formulas (13) and (14), which
 224 were obtained from previous research (Zhu and Zhou, 1993), the empirical curve associated with the
 225 coefficient of wind speed reduction and relative wind speed was calculated. Formula (15) was as
 226 follows:

227
$$\frac{u}{u_0} = \frac{u_*}{u_{*0}} \left(1 - \frac{\ln A}{\ln(Z/Z_0)}\right) \quad (13)$$

228
$$A = \frac{Z'_0}{Z_0} = 3.341 \cdot \left(\frac{u_*}{u_{*0}}\right)^4 - 2.341 \quad (14)$$

229
$$r_z = -2.3775v^2 - 0.2332v + 3.5782 \quad (15)$$

230 where v is the relative wind speed, $v = v_1 + v_2$.

231
$$v_1 = (-0.228 \ln s + 6.85)/u_0 \quad (16)$$

232 where v_1 is the relative wind speed behind the first shelterbelt in the farm-shelter forest network within
 233 the study area. The calculation of v_1 consisted of 2 steps: first, the curve of the wind speed change with
 234 area was obtained from the field observation experiment, then the relative wind speed was corrected
 235 using the wind tunnel experiment.

236 s is the length of the farm-shelter forest network: $s = \sum_{i=1}^n X_i$, where X is the spacing interval
 237 between principal shelterbelts, and n is the number of spacing intervals.

238 v_2 is the standardized relative wind speed. The relative wind speed for different spacing intervals
 239 was standardized using the wind speed of the actual shelterbelt spacing (10 H). v_2 was then obtained by
 240 averaging the above results.

241
$$v_2 = \left[\left(f_f(X_1) - f_f(X_s) \right) + \sum_{i=2}^n \left(f_s(X_i) - f_s(X_s) \right) \right] / n \quad (17)$$

242 $f_f(X) = -0.066 \ln X + 0.241$: The variation of mean relative wind speed with spacing at grid 1.

243 $f_s(X) = -0.061 \ln X + 0.2189$: The variation of mean relative wind speed with spacing at grid 2,
 244 3, ..., n.

245 2.5.5 Coefficient of wind speed reduction by moisture

246 The guiding concept is that viscous resistance by the air is increased by the moisture originating
 247 from vegetation evapotranspiration in the farmland shelterbelt (Zhuang et al., 2017; Sun et al., 2016).

248 The property of fluid viscosity demonstrates that the wind speed is proportional to the viscosity:

249
$$r_s = \frac{u_*}{u_{*0}} = \frac{(\mu_s - \mu_k) \cdot RH}{\mu_k} \quad (18)$$

250 where μ_k is the viscosity of dry air; in this study its value was 1.83×10^{-5} Pa·s at 20°C. μ_s is the
 251 viscosity of water vapor; its value is 2.31×10^{-5} Pa·s at the critical temperature of water vapor,
 252 374.15°C under 0.1 MPa of pressure. RH is the relative humidity.

253 2.5.6 Spacing interval between principal shelterbelts

254 The windbreak effect (E) was then calculated based on Equations (4)–(18):

255
$$E = 1 - \frac{u}{u_0}$$

256
$$= 1 - \left(1 - \frac{\ln A}{\ln(Z/Z_0)}\right) \cdot \left[0.5 \frac{1}{k^2} \cdot H \cdot \left(\ln \frac{H}{eZ_0}\right)^2 \cdot \frac{r_e^2 \cdot C_d \cdot \sin \omega}{L_2} + (r_Z + r_S)^2\right]^{\frac{1}{2}} \quad (19)$$

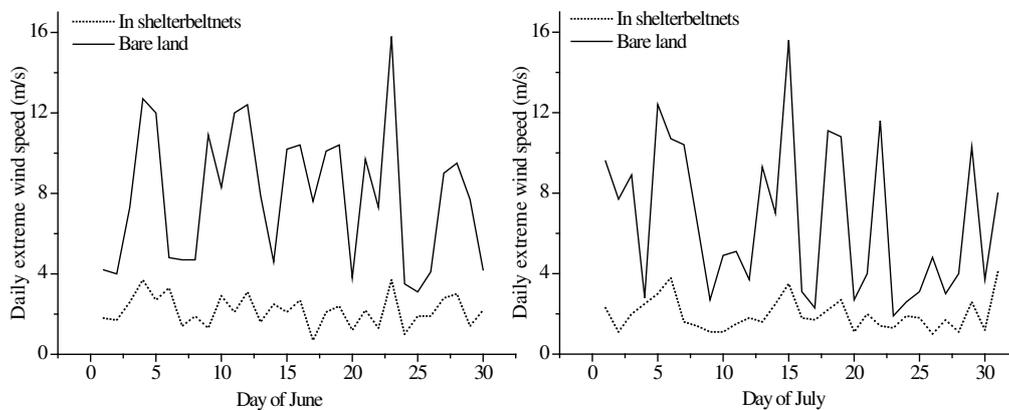
257 The windbreak effect (E) was altered because the shelterbelt spacing (L_2) was altered. By
 258 analyzing the damage threshold wind speeds for different crops, the basic windbreak effect values for
 259 different crop damage threshold wind speeds were determined, and the optimal spacing intervals
 260 between the principal shelterbelts of different target crops were finally obtained.

261

262 3. Results

263 3.1 Analysis of wind variation characteristics in the farm-shelter forest network

264 The wind speed observations measured during the germination to harvest period of the jujube crop
 265 revealed that many extreme wind events occurred. Extreme wind events with maximum instantaneous
 266 wind speeds higher than 10 m/s occurred approximately 15 times in the study area in June and July,
 267 2016 (Figure 4). In the two extreme wind events of June 23 and July 15, the wilderness maximum
 268 instantaneous wind speed outside the farm-shelter forest network exceeded 14–16 m/s, while the wind
 269 speed within the farm-shelter forest network was only ~4 m/s, demonstrating that the protective effect
 270 of the farm-shelter forest network was quite pronounced. The gales occurring on June 23 and July 15
 271 were selected for study. Their average wind speeds exceeded 10 m/s and they lasted more than 2 hours.
 272 These events were selected because the hazard threshold wind speed of the target jujube crop is 6.9 m/s
 273 (Zhu et al., 2016). If the wind speed was too low in the wilderness, the influence of the wind speed
 274 would be insignificant due to the existence of the farm-shelter forest network.

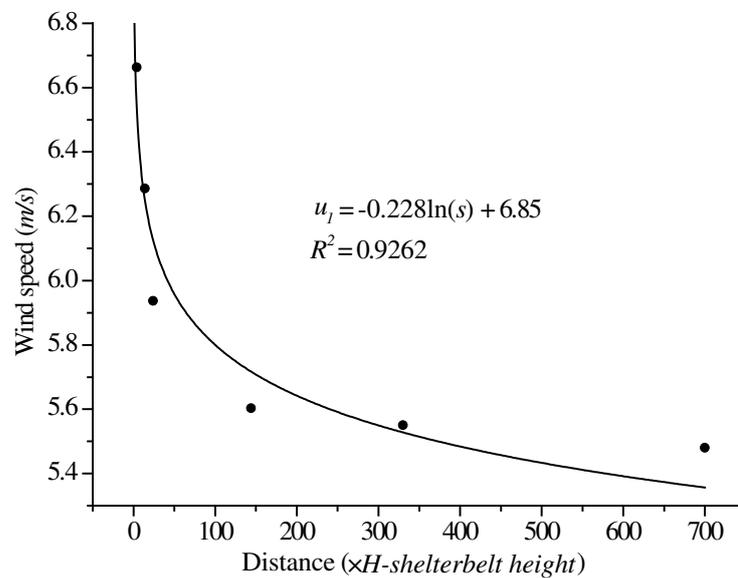


275

276 Figure 4. Wind speed measurements of the farm-shelter forest network in June and July, 2016

277

278 The farm-shelter forest network was investigated in the study area of Farm 224, Hotan Prefecture.
 279 The results revealed that the height of the shelterbelt was 10 m, the length of the principal shelterbelt
 280 was 500 m, the length of secondary shelterbelt was 100 m, the principal shelterbelt was perpendicular
 281 to the wind direction, the height of wind speed observation was 2 m, and the roughness was 0.003 m in
 282 the wilderness (Guan et al., 2001). The wind speeds were not high in the wilderness, and the wind in
 283 the farm-shelter forest network was very low due to the blocking effect of the shelterbelts in the study
 284 area. Therefore, high wind events were selected for our investigation, in order to better reflect the
 285 protective effect of the shelterbelts (Figure 5). The high winds occurring in the study area on July 15
 286 were selected, and the wind profile in the shelterbelts is plotted in Figure 5.



287

288 Figure 5. Wind speed reduction curve of the farm-shelter forest network

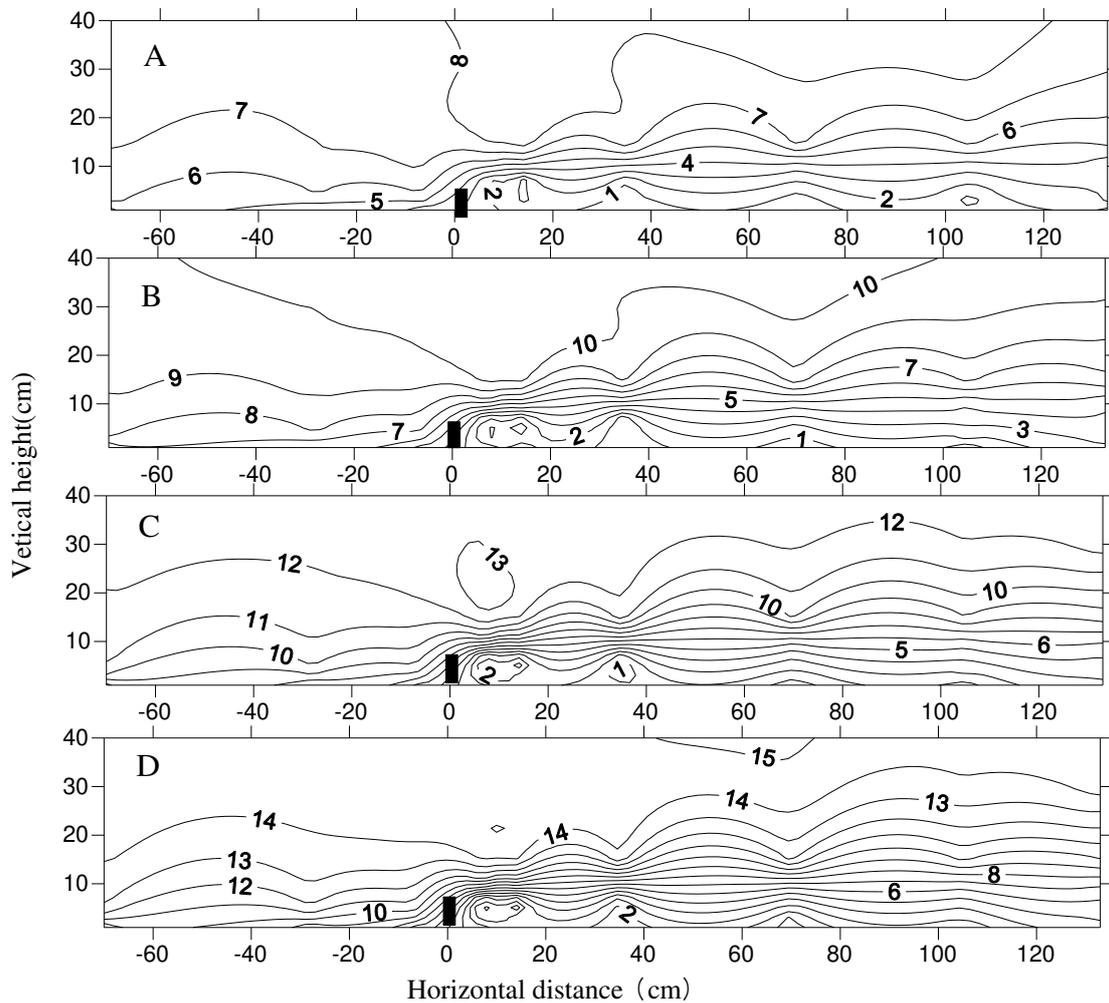
289 Here, u is the wind speed, s is the shelterbelt area (since the shelterbelt width is constant, s is
 290 correlated with the shelterbelt length, in units of H). The wind speed reduction curve in Figure 5 shows
 291 that the velocity of the airflow decreased after passing through the first shelterbelt in the farm-shelter
 292 forest network. The velocity had not yet recovered to its initial speed when the flow reached the second
 293 shelterbelt. Therefore, the wind speed was very low, and eventually stabilized in the farm-shelter forest
 294 network (Maki, 1982; Cao, 1983; Bao, 2020). These results provided a database for the construction of
 295 the windbreak effect model used in this study, since the momentum flux was transmitted from the upper
 296 layer to the lower layer in order to maintain wind speed stability in the model.

297

298

299 **3.2 Wind profile of a single shelterbelt and the farm-shelter forest network for different wind**
300 **speeds**

301 The wind flow field behind the shelterbelts had less impact for different wind speeds. The
302 contours were tighter at the top of the canopy at high wind speeds, and there were more eddies at the
303 back of the shelterbelts (Figure 6). Upwind, the maximum wind decay distance was $9 H$, when the wind
304 speed reached 12 m/s; it was $7 H$ for wind speeds of 8 m/s and 10 m/s. Thus, wind speed was not the
305 main factor responsible for changing the flow field shape. Wind decay was more pronounced in the
306 farm-shelter forest network, and the wind speed maintained a relatively low level (Figure 7).



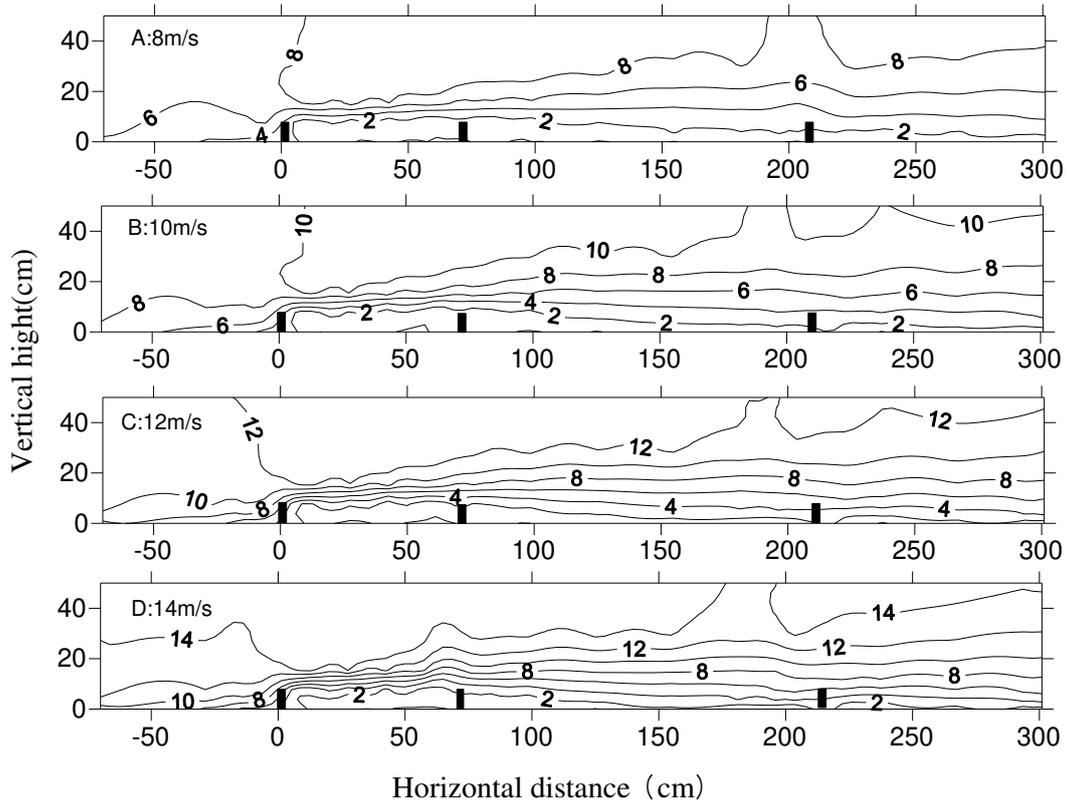
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308 Figure 6. Flow field map of 8 rows of shelterbelt for different wind speeds (A: 8 m/s; B: 10 m/s; C:

309

12 m/s; D: 14 m/s)

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311

312 Figure 7. Flow map of shelterbelts for different wind speeds (A: 8 m/s; B: 10 m/s; C: 12 m/s; D: 14

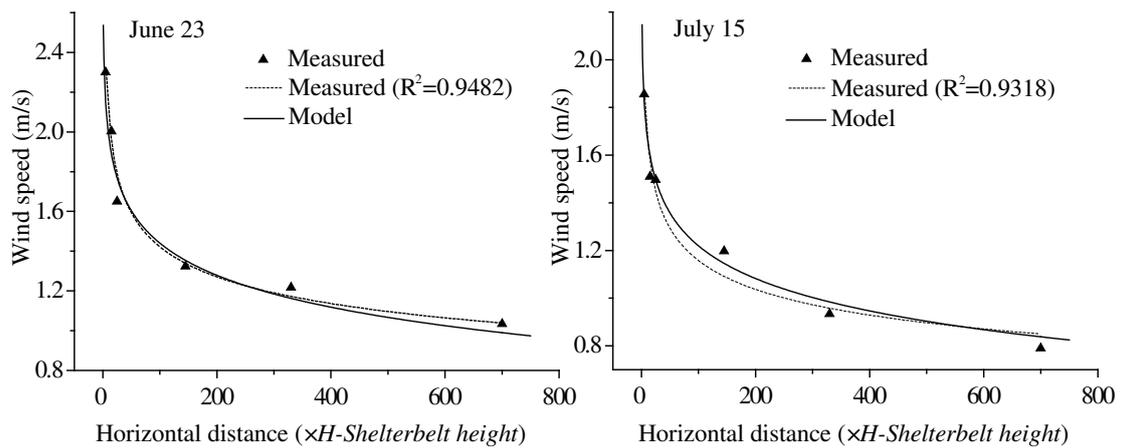
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m/s)

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315 **3.3. Comparing the measured results and the model results of the windbreak effects of the**

316 **farm-shelter forest network**



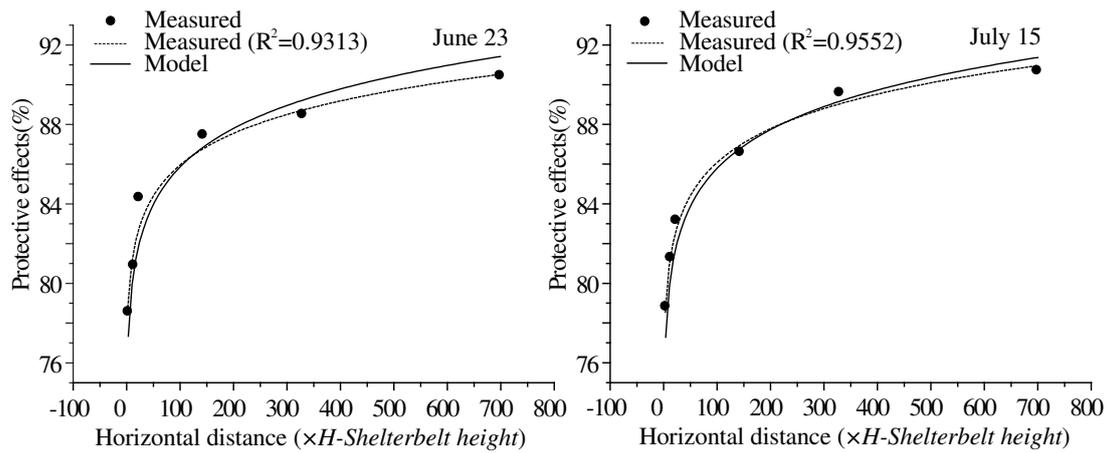
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318 Figure 8. Wind speeds from the model and from actual measurements in different areas of the

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farm-shelter forest network

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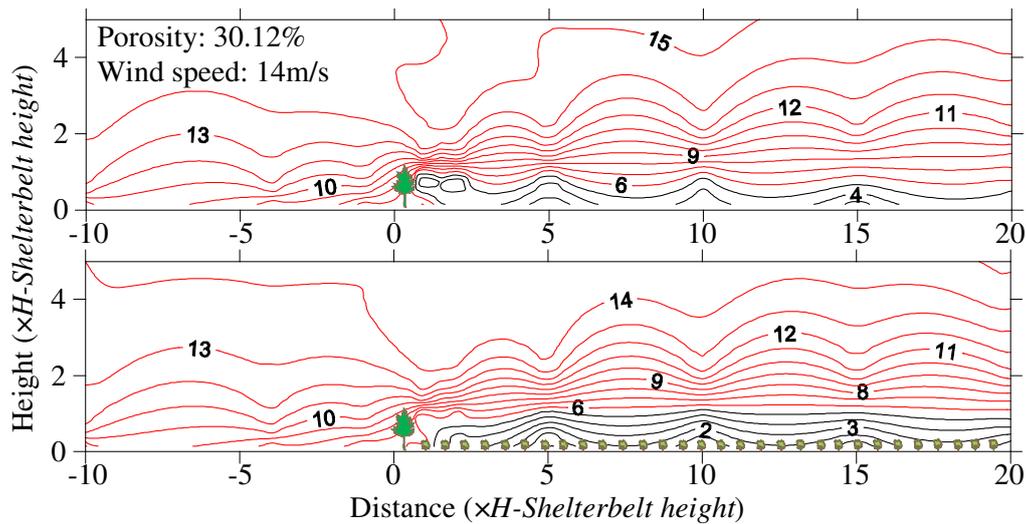
322 Figure 9. Protective effects from the model and from actual measurements in different areas of the
 323 farm-shelter forest network

324 Figure 8 shows a comparison of the model predictions with the actual measurement data. As can
 325 be seen from the Figures 8 and 9, the results indicated that the model predictions were accurate. The
 326 analysis of the gales occurring on June 23 and July 15 had errors of < 1%, with the exception of the
 327 errors of 2.12% at 25 *H* and 1.3% at 700 *H* on June 23. The two relatively large errors on June 23 may
 328 have been the result of topographic fluctuations in the field. The windbreak effect increased with
 329 increasing forest area, strengthening 15% from 5 *H* to 700 *H*. This increase reflected the cumulative
 330 effect of the windbreak in the farm-shelter forest network.

331

332 **3.4 Effect of the target jujube crop on the wind velocity flow field and the windbreak effect of the**
 333 **farm-shelter forest network in a wind tunnel**

334 Since most of the shelterbelts in southern Xinjiang consist of 4 rows of trees, the 4-row shelterbelt
 335 (porosity: 30.12%) was selected for the wind tunnel experiments. Four open field wind speeds were
 336 used: 8 m/s, 10 m/s, 12 m/s, and 14 m/s. The characteristics of the wind speed variations in front of and
 337 behind the shelterbelts were analyzed with and without the jujube trees in order to determine the effects
 338 of jujube on wind speed variations for different wind speeds in the farm-shelter forest network.



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Figure 10. Wind speed contours with and without jujube

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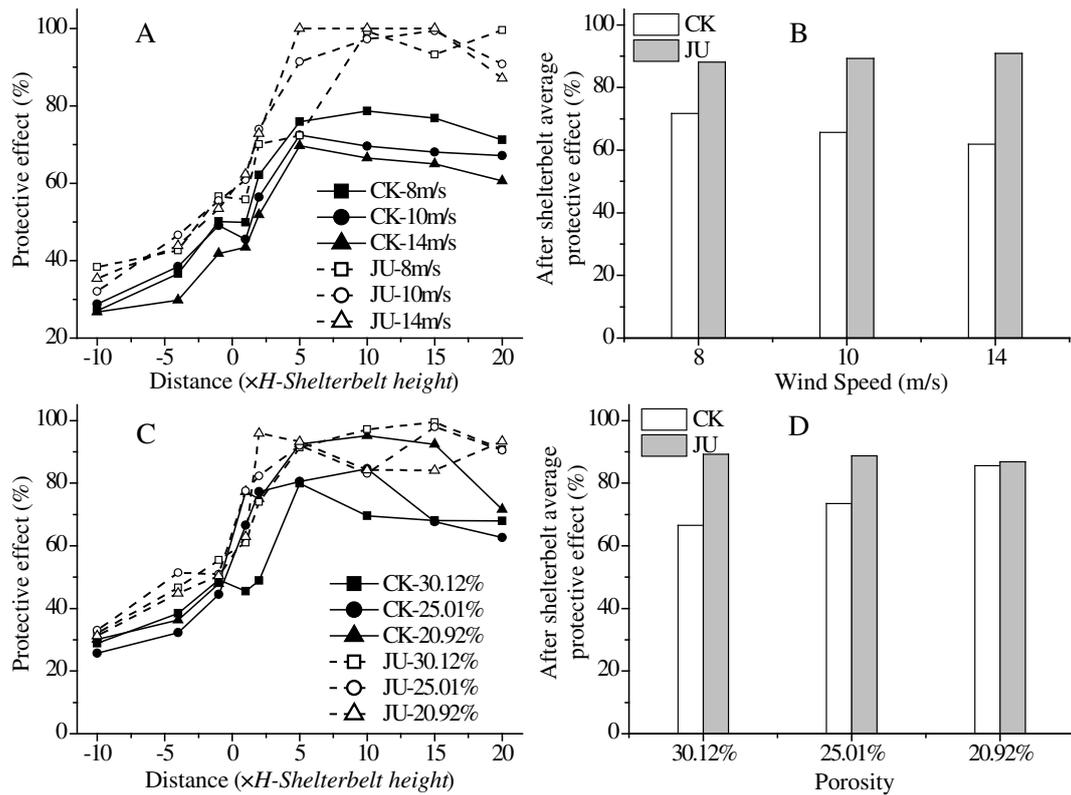
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The results of the wind tunnel tests revealed that jujube significantly impacted the wind speed variations in front of and behind the shelterbelt (Figure 10). The effect of jujube on the airflow lift in front of the shelterbelt was obvious for the same initial wind speed. The wind speed noticeably decreased behind the shelterbelt after the air had flowed through it. This effect was more pronounced when the jujube trees were present. At a height of 3.5 cm, the wind speed was reduced to 4 m/s in the shelterbelt without jujube trees, while the wind speed was reduced to 2 m/s in the shelterbelt with jujube trees. At a height of 3.5–7.0 cm, the wind speed was reduced to 5–7 m/s in the shelterbelt without jujube trees. With jujube trees, the wind speed was reduced to 3–5 m/s, and varied gradually with the horizontal gradient. The wind speed decreased significantly with the horizontal gradient above the height of the shelterbelt (7 cm), and the effect of jujube on the wind speed decreased with increasing height. The wind speed increased gradually until it reached its initial strength more than 5 H behind the shelterbelt, and slowly rebounded under the influence of the jujube trees.



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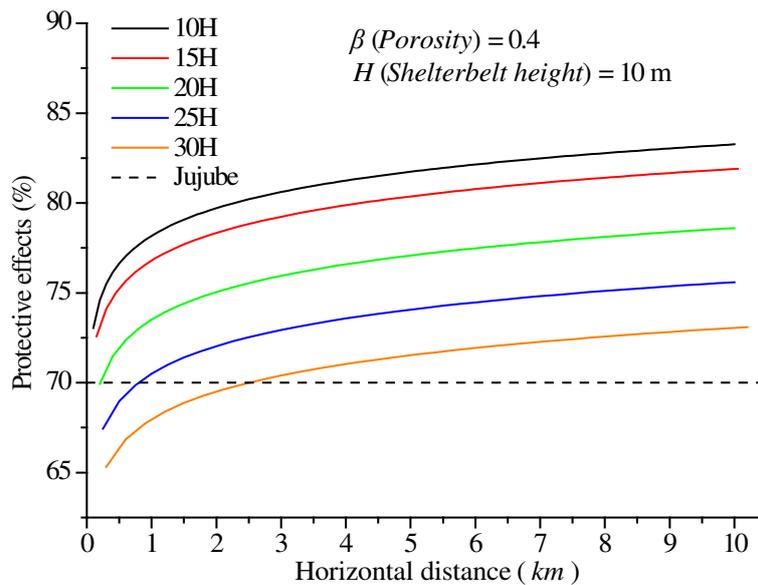
355 Figure 11. Protective effect of the shelterbelt for different wind speeds and porosity levels

356 The average windbreak effect at 2 different heights (1 cm and 2 cm) were selected as measures of
 357 the shelterbelt construction, since the main purpose of the shelterbelt was to protect the crops in the
 358 fields, and the crops were no taller than 3 m. As shown in Figures 11-A and 11-B, the windbreak effect
 359 varied greatly with the horizontal gradient for different wind speeds and the same porosity. Jujube
 360 noticeably enhanced the windbreak effect of the shelterbelt, especially after the airflow had passed
 361 through the shelterbelt. The windbreak effect fluctuated a small amount from 2 H to 20 H behind the
 362 shelterbelt, maintaining a level between 85–95%. There were obvious differences among the windbreak
 363 effects on the horizontal gradient of shelterbelts with different porosities. The windbreak effect of each
 364 shelterbelt increased significantly in the -1 H to 5 H range. The increase of the windbreak effect of the
 365 shelterbelts with jujube trees was 35.6%, 41%, and 42.8% for porosities of 30.12%, 25.01%, and
 366 20.92%, respectively. The increase of wind resistance of the shelterbelts without jujube trees was
 367 30.8%, 36.1%, and 44% for porosities of 30.12%, 25.01%, and 20.92%, respectively. Between 1 H and
 368 20 H , jujube increased the windbreak effects of the shelterbelts with different porosities by 22.72%,
 369 15.22%, and 1.28%, respectively.

370 Tang et al. (2012) showed that the windbreak effect of a single-row shelterbelt would decrease
 371 with increasing wind speed. Gao et al. (2010) showed that 1-m-high shrubs had a good windbreak

372 effect in wind tunnel simulation experiments. In this study, jujube trees exhibited a similar windbreak
 373 effect, which played a synergistic role with the shelterbelts to effectively improve the overall
 374 windbreak effect. When the shelterbelt porosity increased, the horizontal roughness produced by the
 375 height and planting area of the jujube was synergistic with the windbreak effect. Thus, the effect of the
 376 jujube compensated for the decreased windbreak effect of more porous shelterbelts. Therefore, the
 377 windbreak effect of the shelterbelts with jujube trees was less affected by porosity.

378 3.5 The optimal spacing interval between principal shelterbelts in the farm-shelter forest network



379
 380 Figure 12. Protective effects of the farm-shelter forest network for different spacing intervals between
 381 principal shelterbelts. Dotted line: Protective effects when the wind speed in the wilderness outside the
 382 farm-shelter forest network reached 25 m/s and the wind speed in the farm-shelter forest network
 383 reached the damage threshold wind speed for jujube of 6.9 m/s (Zhu et al., 2016).

384
 385 In this study, the shelterbelts of jujube farmland in the southern Tarim Basin of Xinjiang, China
 386 were selected for model calculation. Field investigations revealed that the spacing interval between
 387 principal shelterbelts was 10 H , and there were 3 rows of shelterbelts in every 500-m field. Each
 388 shelterbelt had 2 lines of poplar, spaced 1.8 m apart. The windbreak effect decreased with increasing
 389 spacing interval. Specifically, in the farm-shelter forest network, the windbreak effect was reduced by
 390 2.5% for every $\sim 5 H$ increase in the spacing interval, with the exception of 15 H , at which the
 391 windbreak effect was reduced by $\sim 1\%$ (Figure 12). Analysis of the threshold represented by the dotted
 392 line in the figure revealed that when the spacing interval was between 10 H and 20 H , the shelterbelts

393 could protect the jujube trees from winds up to 25 m/s. Meanwhile, the results also showed that when
394 the spacing interval was $20 H$, the crops in front of the farm-shelter forest network were affected by the
395 wind, but almost all of the crops were protected by the shelterbelts. Therefore, due to its advantages,
396 the spacing interval of $20 H$ was selected for use in actual production.

397

398 **4. Discussion**

399 Wind tunnel experiments on a single shelterbelt and multiple shelterbelts were compared in this
400 study. The results showed a pronounced difference between the wind speed profile and effective
401 protection distance of a single shelterbelt versus the corresponding characteristics of multiple
402 shelterbelts. We discovered that when the extreme wind speed in the wilderness outside the
403 farm-shelter forest network exceeded 14–16 m/s, the wind speed experienced by the farmland was only
404 about 4 m/s (Cao, 1983). This demonstrated that farmland shelterbelts can greatly reduce wind velocity,
405 and this decrease in wind was due to the comprehensive effect of multiple shelterbelts (Li and Sherman,
406 2015; Bao, 2020). We found that the windbreak effect of the farm-shelter forest network was
407 determined not only by the synergistic effect between shelterbelts, but also by the windbreak effects of
408 tall target crops and the effect of humidity in the farm-shelter forest network. Considering the influence
409 of the above factors on wind speed, a farmland shelterbelt allocation model was constructed based on
410 momentum conservation. This model was then validated using the measurement data from two strong
411 wind events. Our analysis demonstrated that reliable prediction results were obtained.

412 The effect of protected crops on wind speed has not attracted enough attention in previous
413 research on shelterbelt construction. This study considered the influence of protected target crops on
414 wind, primarily in the form of the humidity effect produced in extensive crop areas, and the increased
415 surface friction resistance caused by high crops (e.g., the height of the jujube trees ranged from 1.5–4
416 m) in the farm-shelter forest network. Through model construction and numerical simulation analysis,
417 it was discovered that the humidity effect of the target crops was relatively weak, but friction resistance
418 was increased markedly by jujube. Wind tunnel experiments also showed that when target crops were
419 present, the windbreak effect of shelterbelts was enhanced and the amplitude was greater. Therefore,
420 the influence of target crops (especially fruit trees) on the windbreak effect cannot be ignored.

421 Farm-shelter forest network construction is determined not only by the spacing interval between
422 principal shelterbelts (Zhu, 2013) but also by shelterbelt porosity (Guan et al., 2002; Van Thuyet et al.,

423 2014; Zheng et al., 2016a, b; Sun et al., 2020). Through field observations, wind tunnel experiments,
424 and numerical simulation, we found that the windbreak effect of the farm-shelter forest network was
425 primarily affected by shelterbelt spacing and porosity. In this investigation, a common shelterbelt
426 porosity value ($\beta = 0.4$) was selected for the model that was designed to determine farmland shelterbelt
427 allocation and construction. However, the number of rows, row spacing, and other structural
428 characteristics affecting porosity also need to be considered in the actual process of shelterbelt
429 construction. Following the determination of the optimal porosity, the spacing interval between
430 principal shelterbelts was quantified, based on the target crop requirements and shelterbelt species.

431 A large number of studies have shown that the pattern of “narrow shelterbelt (maintaining a
432 certain degree of porosity), small grid (a spacing interval between principal shelterbelts of about $10 H$)”
433 was adopted in farm-shelter forest network construction from the early 1960s to the present in areas of
434 Xinjiang that experience serious sandstorms (Zhao et al., 2009). In this study, quantitative analysis
435 demonstrated that when the spacing interval between principal shelterbelts increased from $10 H$ to $20 H$,
436 more than 70% of the protective windbreak effect of the farm-shelter forest network could be
437 maintained. This result indicates the feasibility of further reducing the spacing interval between
438 principal shelterbelts and decreasing the shelterbelt areas, thereby enhancing the economic benefits of
439 crops. For example, if the spacing interval between principal shelterbelts was increased from $10 H$ to
440 $20 H$ in the jujube fields of southern Xinjiang, the planting area would increase by 0.54% (2700 m^2).
441 The annual profit of jujube is currently \$45,000 per hectare. Thus, the annual profits from the 470,000
442 hectares of jujube trees in southern Xinjiang would increase by \$113 million. In addition, expanding
443 the spacing interval between principal shelterbelts would be conducive to agricultural mechanization,
444 which would greatly improve production efficiency.

445

446 **5. Conclusions**

447 The farm-shelter forest network is a complex grid protection system, with a windbreak effect that
448 differs significantly from that of a single shelterbelt. The windbreak effect of a farm-shelter forest
449 network is determined not only by the windbreak effect of each shelterbelt, but also by the synergistic
450 effect among shelterbelts, the windbreak effects of tall target crops, and the effects of temperature and
451 humidity. In this study, the spacing interval between principal shelterbelts was increased due to the
452 windbreak effect of the farm-shelter forest network. Specifically, the spacing interval between principal

453 shelterbelts was increased from 10 *H* to 20 *H* in a jujube field of southern Xinjiang. This increase
454 maintained the windbreak effect while reducing the side effects of the shelterbelts, increasing the
455 planting area, and improving the planting efficiency. This change to the farm-shelter forest network
456 construction pattern has the potential to significantly promote the development of modern intensive
457 agriculture, precision agriculture, and mechanized agriculture.

458

459 **Declarations:**

460 1) Ethics approval and consent to participate: Not applicable

461 2) Consent for publication: All authors read and approved the final manuscript.

462 3) Availability of data and materials: The datasets used and/or analyzed during the current study
463 are available from the corresponding author on reasonable request.

464 4) Competing interests: No conflict of interest exists in the submission of this manuscript.

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468 6) Authors' contributions: Qinming Sun, Tong Liu contributed to the conception of the study;
469 Qinming Sun, Bo Zheng, Lekui Zhu performed the experiment; Qinming Sun, Tong Liu performed the
470 data analyses and wrote the manuscript; Zhiqian Han, Xiaoran Hao helped perform the analysis with
471 constructive discussions.

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474

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Figures

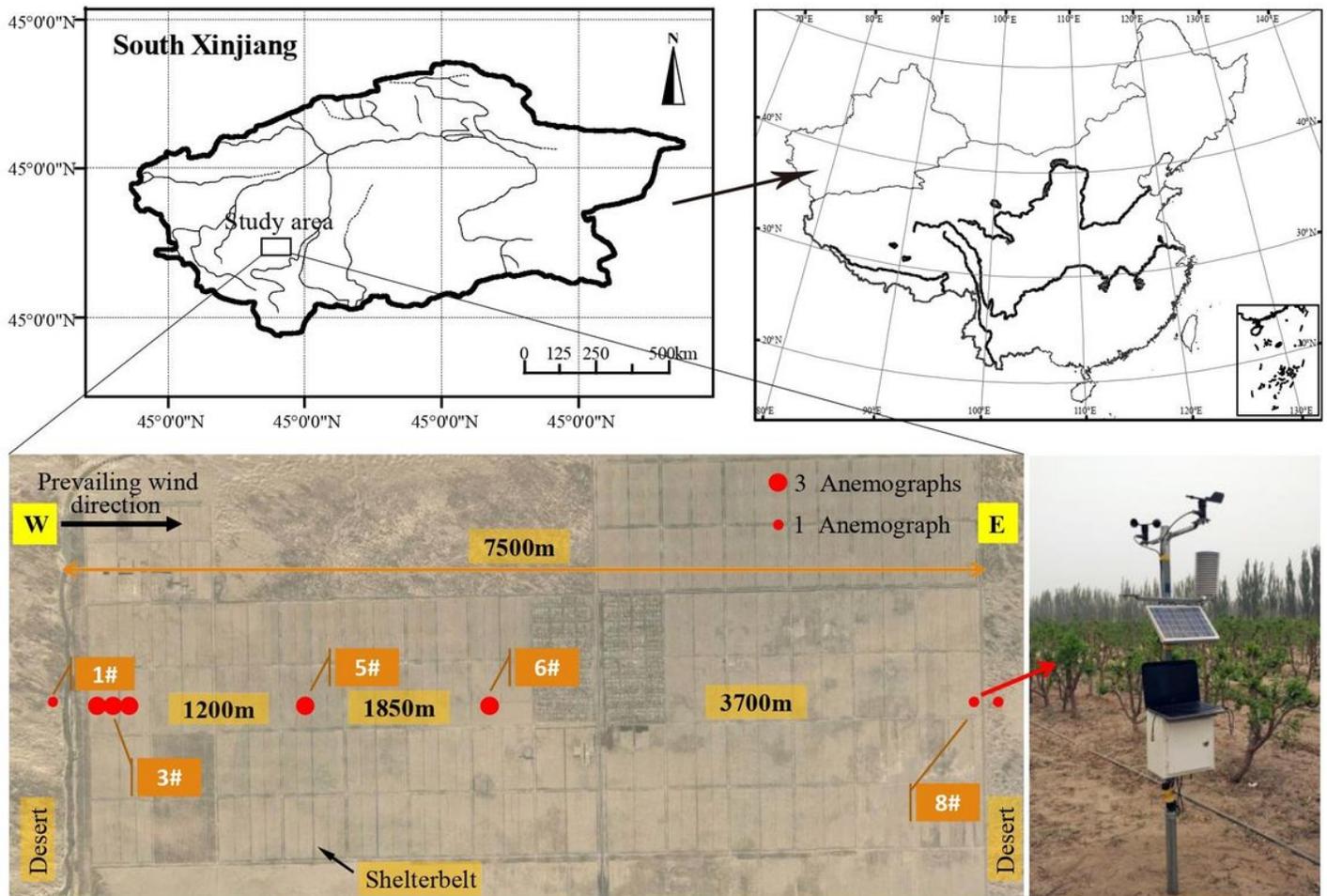


Figure 1

Location of the study area and distribution of anemographs Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

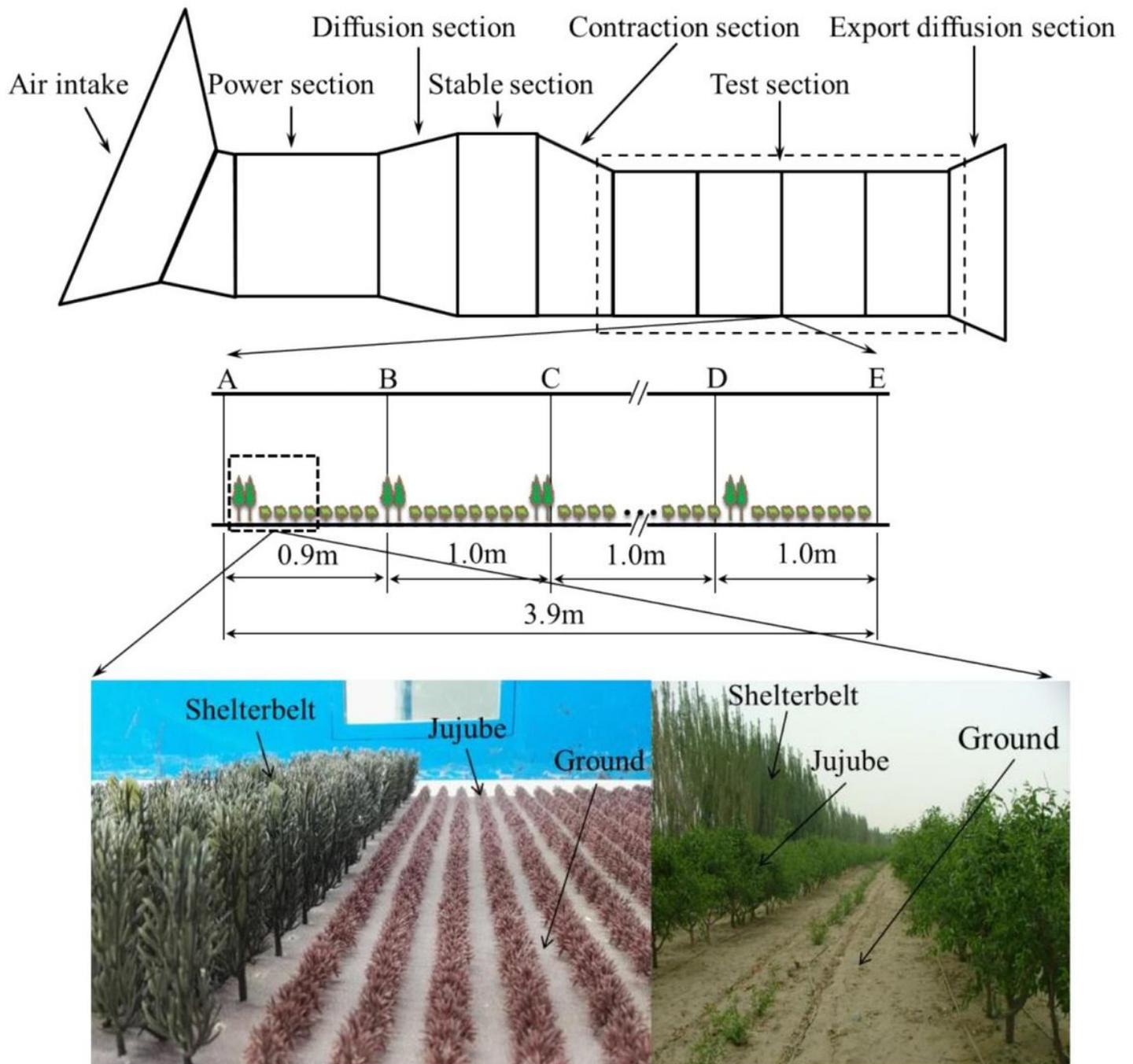


Figure 2

Schematic of wind tunnel structure, test section, and shelterbelt and jujube model

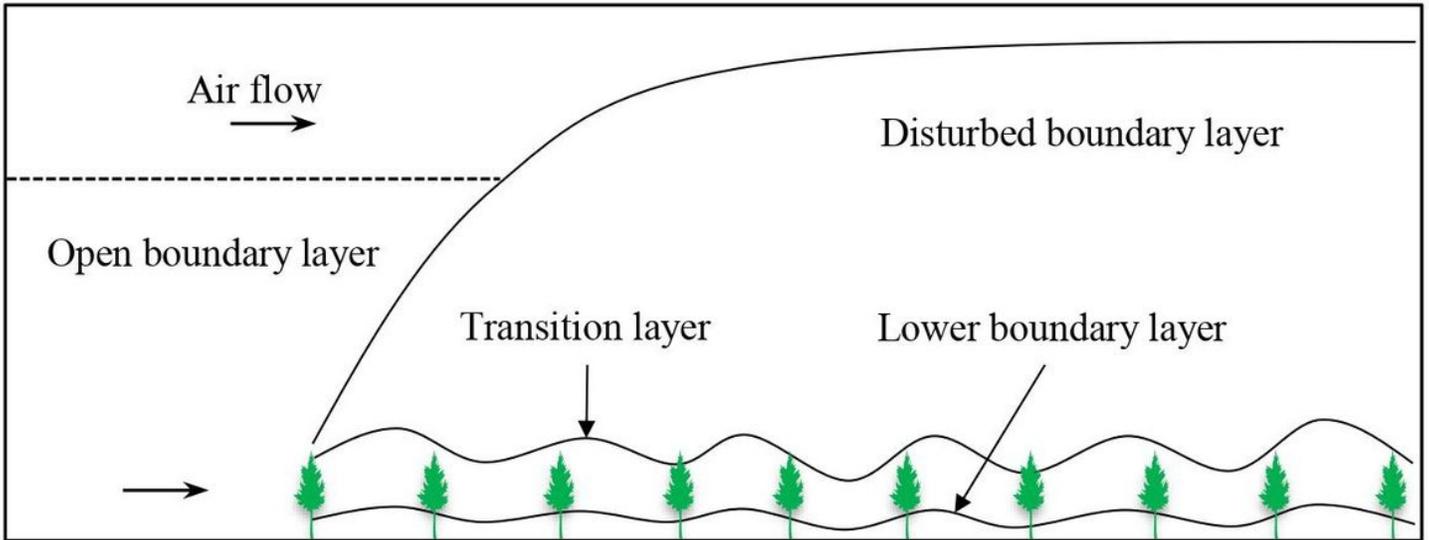


Figure 3

Boundary layer of the farm-shelter forest network

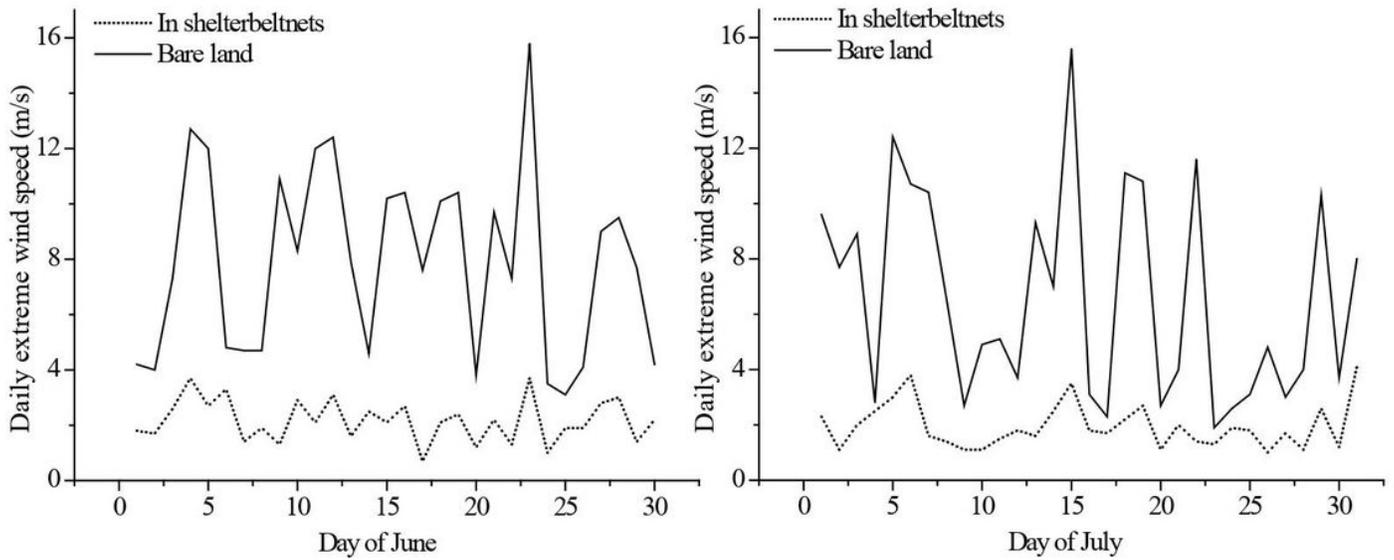


Figure 4

Wind speed measurements of the farm-shelter forest network in June and July, 2016

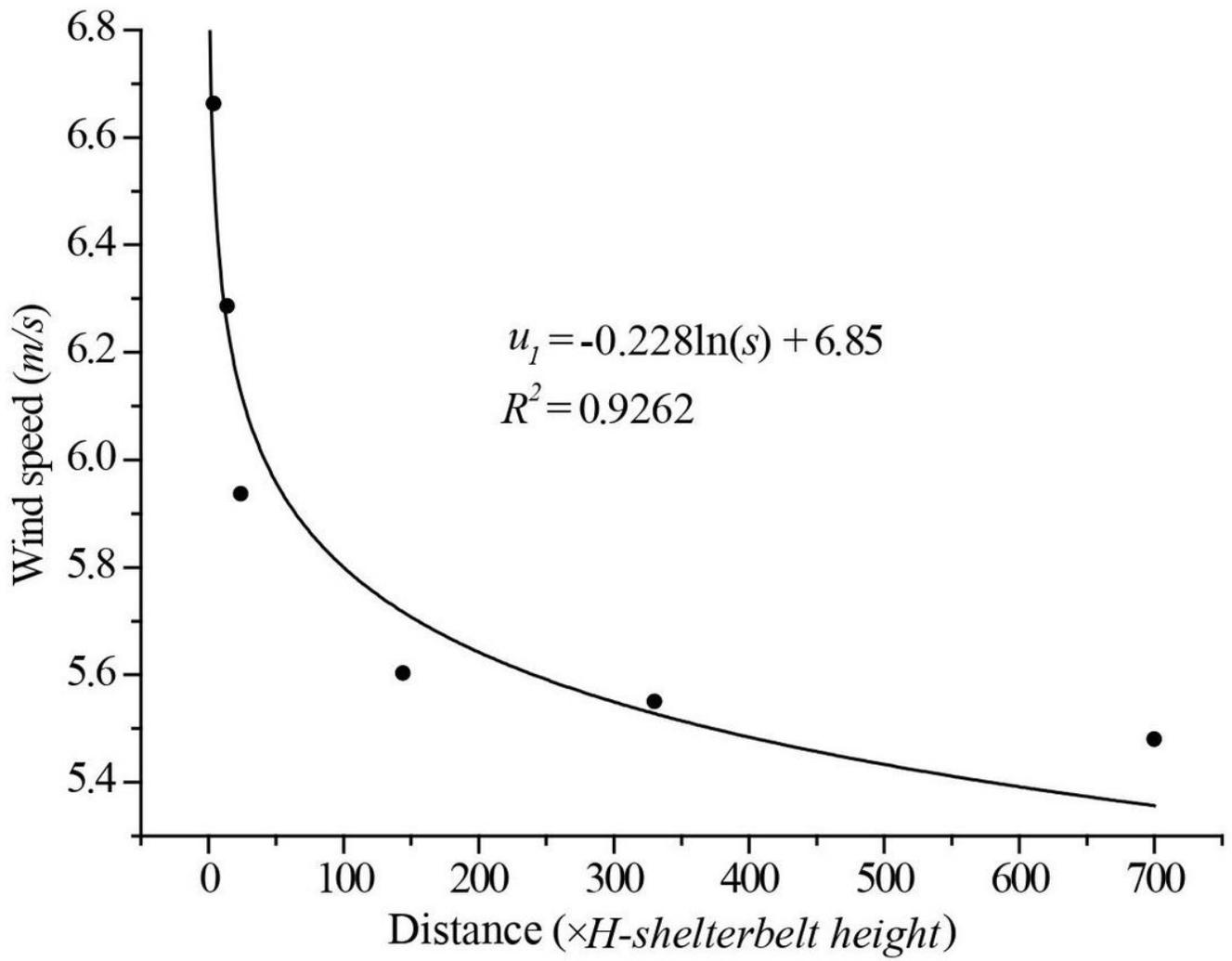


Figure 5

Wind speed reduction curve of the farm-shelter forest network

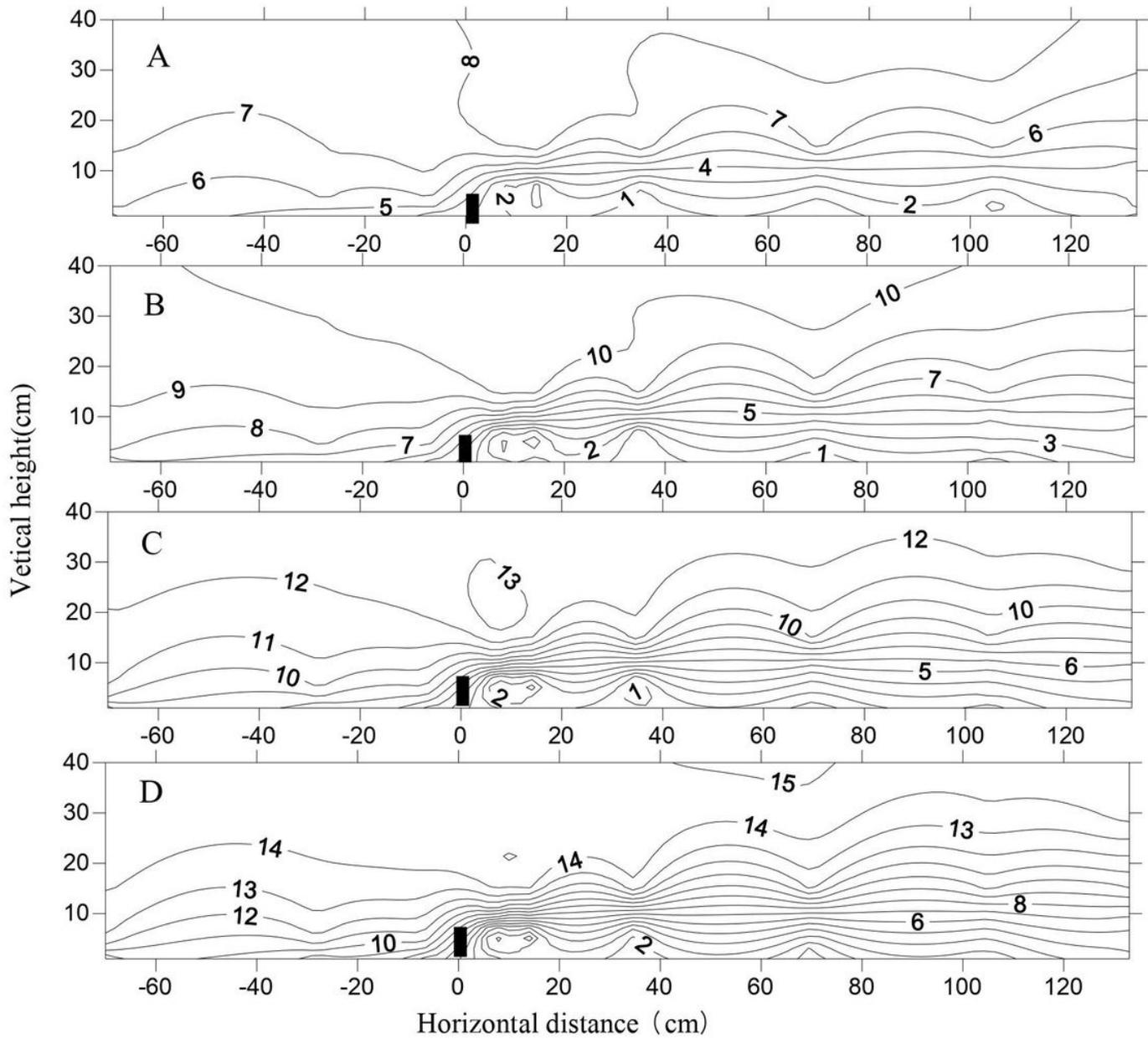


Figure 6

Flow field map of 8 rows of shelterbelt for different wind speeds (A: 8 m/s; B: 10 m/s; C: 12 m/s; D: 14 m/s)

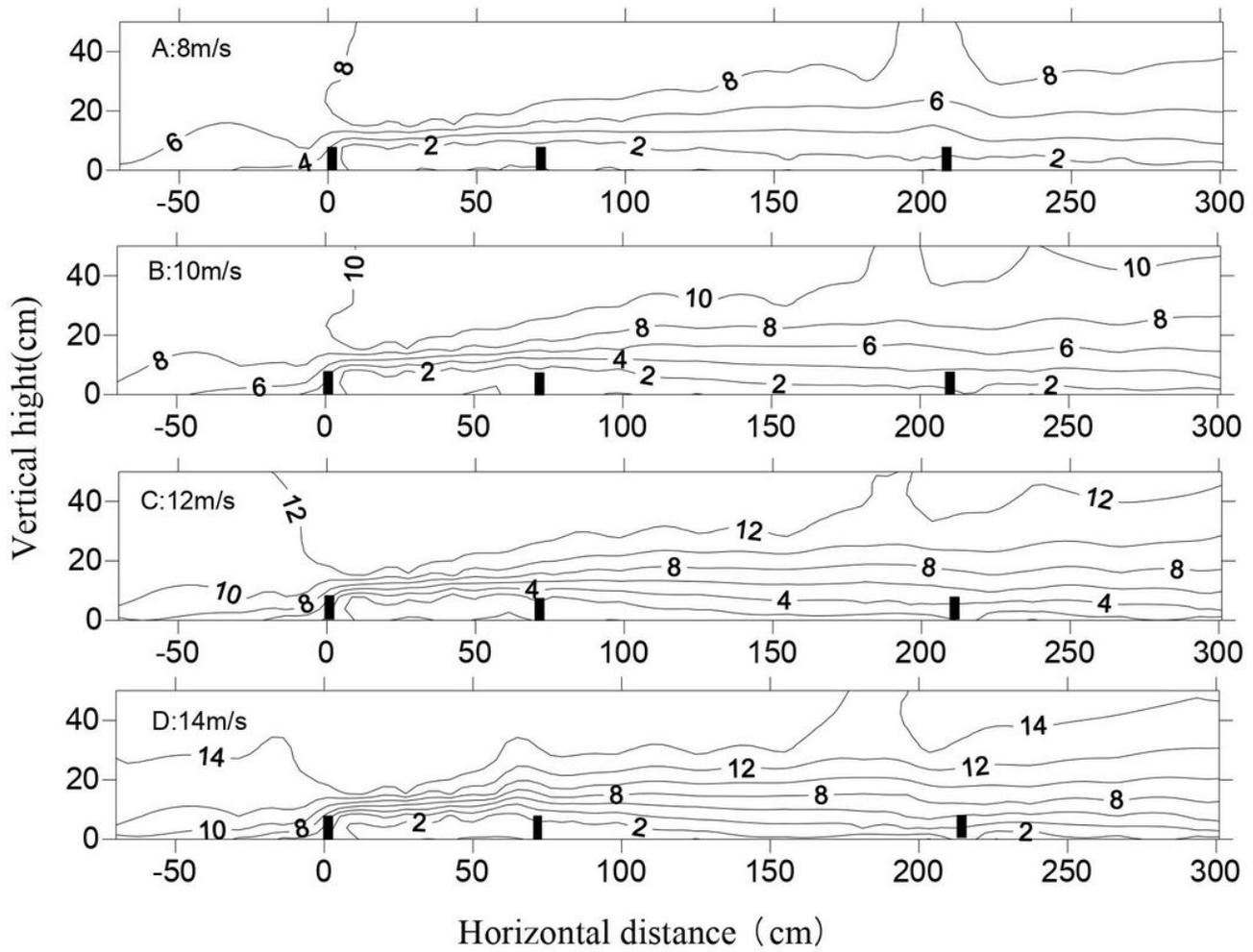


Figure 7

Flow map of shelterbelts for different wind speeds (A: 8 m/s; B: 10 m/s; C: 12 m/s; D: 14 m/s)

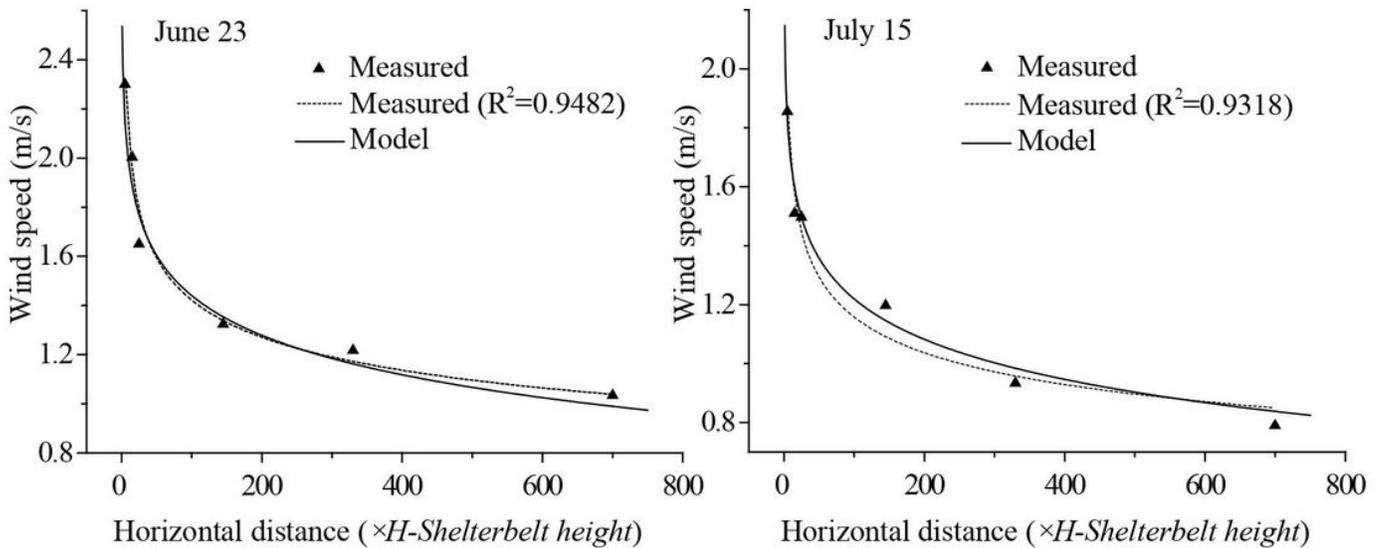


Figure 8

Wind speeds from the model and from actual measurements in different areas of the farm-shelter forest network

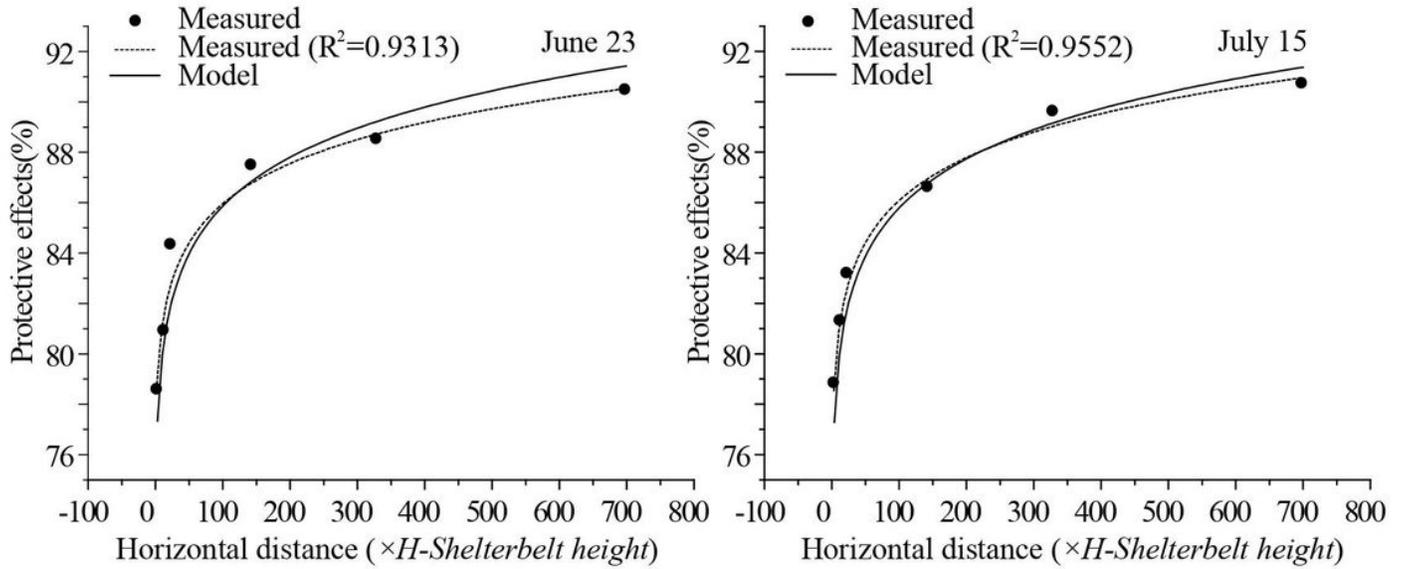


Figure 9

Protective effects from the model and from actual measurements in different areas of the farm-shelter forest network

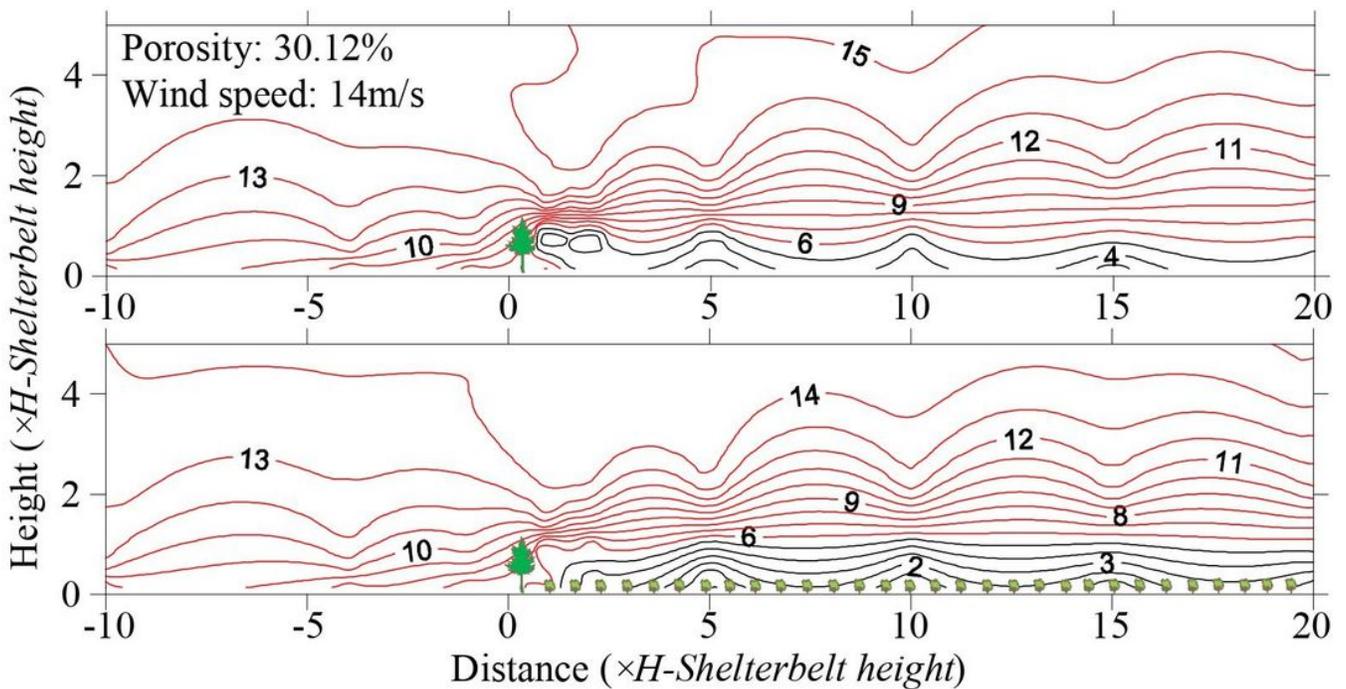


Figure 10

Wind speed contours with and without jujube

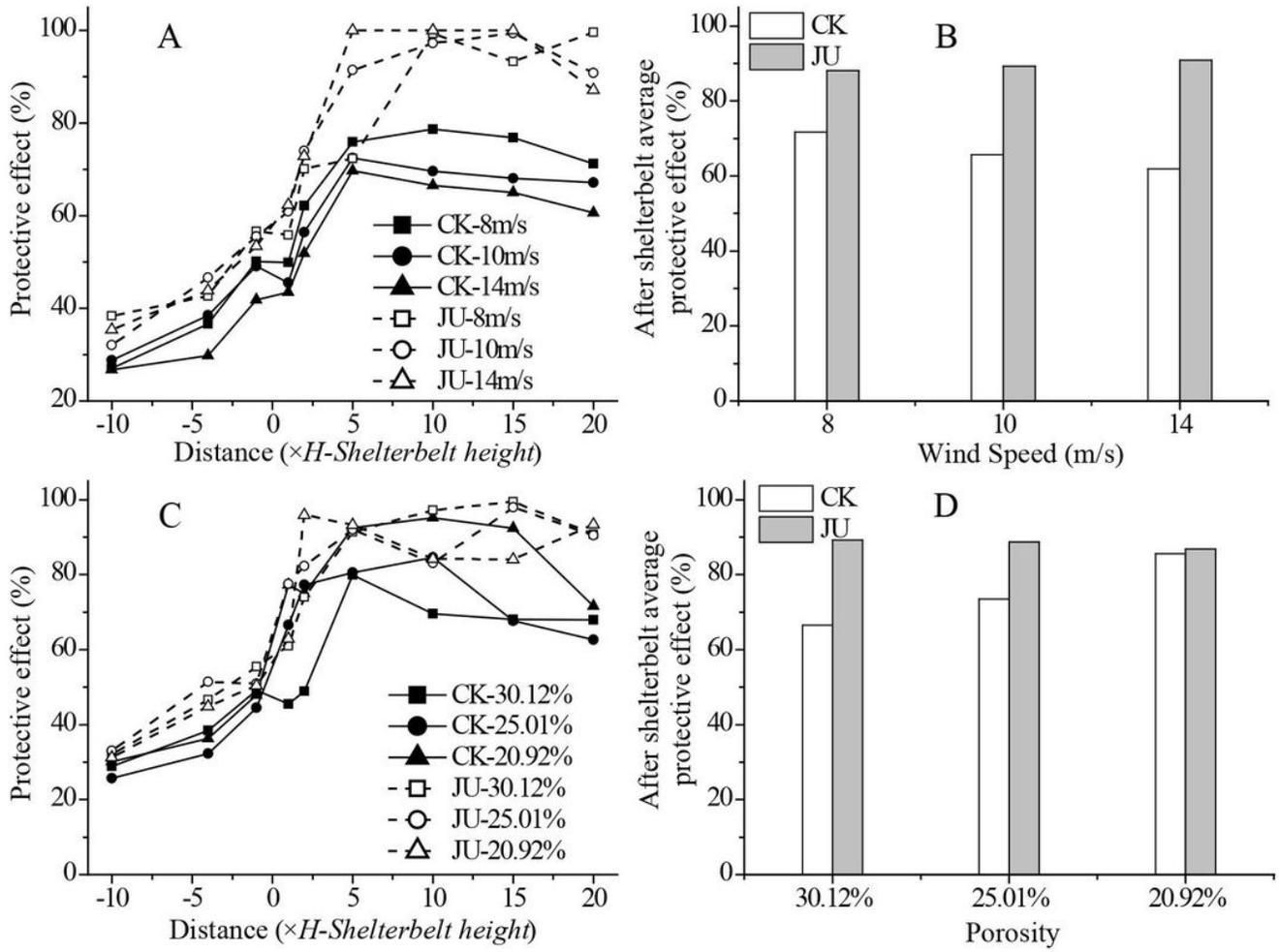


Figure 11

Protective effect of the shelterbelt for different wind speeds and porosity levels

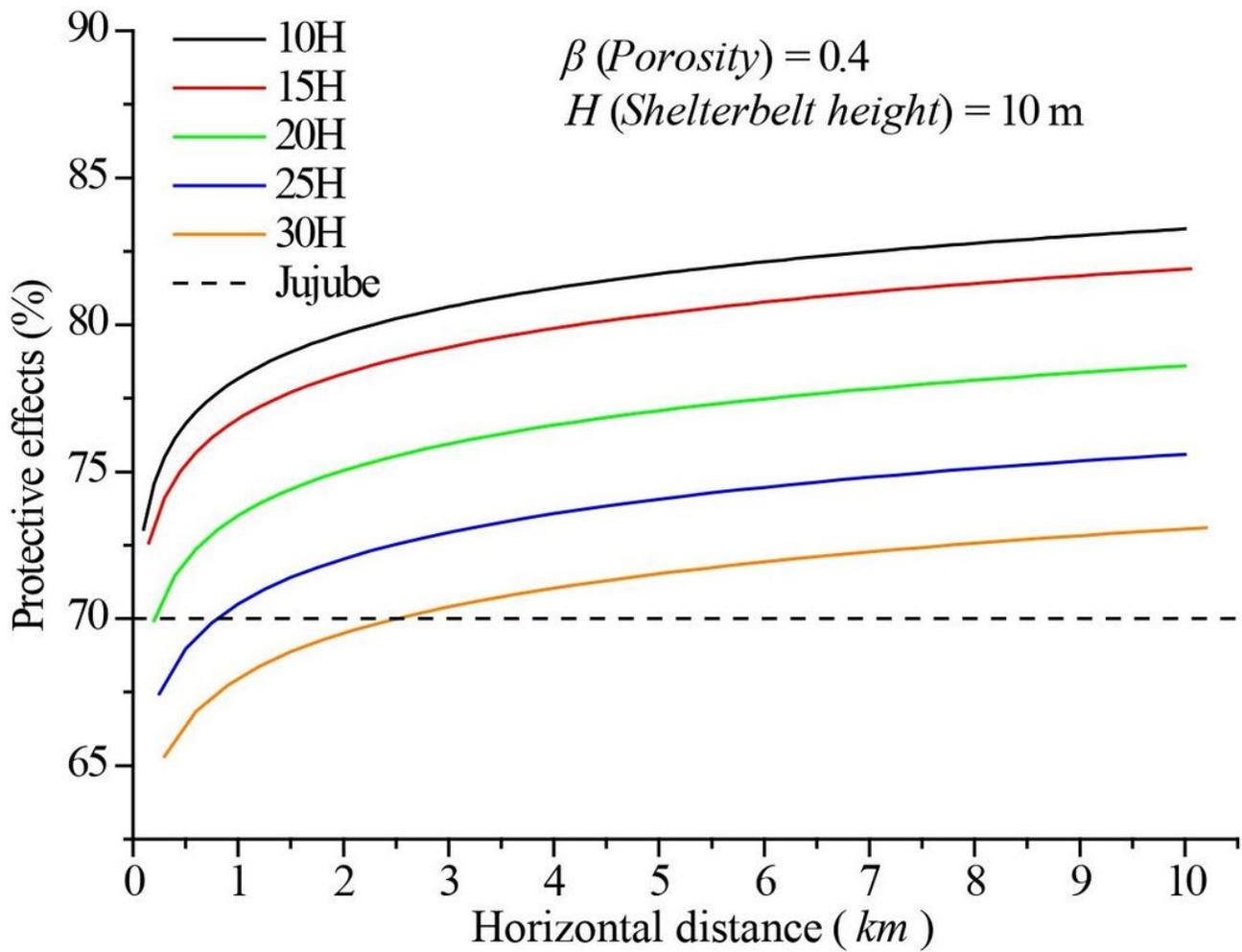


Figure 12

Protective effects of the farm-shelter forest network for different spacing intervals between principal shelterbelts. Dotted line: Protective effects when the wind speed in the wilderness outside the farm-shelter forest network reached 25 m/s and the wind speed in the farm-shelter forest network reached the damage threshold wind speed for jujube of 6.9 m/s (Zhu et al., 2016).