

# Impact of Comorbidities On Physical Function And Survival of Middle-Aged As Compared To Older, Individuals With Cancer.

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## Research Article

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# Abstract

**Purpose:** To investigate if comorbidity predicts mortality and functional impairment in middle-aged individuals with cancer (50-64 years) as compared to older individuals.

**Methods:** A prospective cohort study. Outcomes were mortality and functional impairment at 5 years follow-up. Comorbidity was assessed using adjusted Charlson comorbidity index and polypharmacy ( $\geq 5$  drugs) as surrogate for comorbidity. Multivariate Cox-proportional hazards and binary logit models were used to assess the risk of 5-year mortality and functional impairment respectively.

**Results:** We included 477 middle-aged (50-64 years) and 563 older (65+ years) individuals with cancer. The prevalence of comorbidity (at least one disease in addition to cancer) was 29% for middle-aged and 45% for older individuals, with polypharmacy observed in 15% and 31% respectively. Presence of  $\geq 3$  comorbidities nearly tripled the mortality risk in middle-aged individuals (HR 2.97, 95% CI: 1.43-6.16). In older individuals the HR was 1.7 (95% CI 1.1-2.8). Polypharmacy also significantly increased the risk for mortality in middle-aged (HR 2.35, 95% CI 1.32- 4.16) but not in older individuals (HR 1.2, 95% CI 0.9-1.8). Polypharmacy quadrupled the risk for functional impairment in middle-aged (OR 4.0, 95% CI 1.59-10.06) and older individuals (OR 4.4, 95% CI 1.6-11.7).

**Conclusion:** Comorbidity and polypharmacy are associated with inferior outcomes in younger and older cancer individuals with the strength of association in younger individuals exceeding that of older individuals. Assessment and management of comorbidity should be a priority for cancer care across all age groups.

## Background

Comorbidity in people with cancer, defined as existence of condition(s) in addition to an index condition (cancer) impacts on cancer outcomes, including treatment toxicity, quality of life, function and survival.[1] Furthermore, both cancer itself and its treatment are associated with development of new chronic conditions and increase in severity of existing comorbid conditions, likely as a result of common risk factors and the direct effect of anticancer therapy.[2] Thus, assessing and addressing the additional burden of comorbid conditions is an important priority in cancer care.[3]

The management of patients with cancer and comorbidities is challenging. The decision-making process is more complex; not just because of the interaction of cancer and comorbidity and the impact on outcomes for both; but also because many individuals with cancer have more than one comorbidity and the management of one condition may adversely impact other conditions.[4] Clinical tools that account for the types of comorbid conditions, and their consequences, can assist with decision making.[5] While most of these tools are not yet routinely adopted into clinical practice, there is growing evidence that their application improves individuals' outcomes including quality of life and reduced treatment toxicity outcomes.[6]

However, the evidence supporting the impact of these approaches seems to be confined to the population of patients with cancer who are older than 65 years of age and are managed under the auspices of geriatric oncology, with much less data pertaining to younger patients. The tools available to cancer clinicians have been developed and validated in older cancer individuals. For example, the CRASH (Chemotherapy Risk Assessment Scale) was constructed for individuals 70 years or older [7] and the Geriatric Oncology Assessment tool was validated in individuals 65 year or older.[8]

In contrast to ample data in older patients with cancer on the impact of comorbidities on functional decline and premature death,[9] there is less evidence on the prevalence and impact of comorbidities in middle-aged cancer individuals who may not fit the criteria for geriatric oncology management. This is an important gap in evidence for two reasons. Firstly, the data from general population indicates that while the proportion of individuals with comorbid conditions is highest among older adults, the absolute numbers of individuals with comorbid conditions are highest in those who are younger than 65 years.[10] Secondly, there is growing evidence that after cancer treatment, younger individuals with cancer carry mortality risks equivalent to much older individuals without cancer underscoring the need to explore the pattern and impact of comorbidity in individuals younger than 65 years.[11]

To address this gap, the present study investigated if middle-aged individuals with cancer (50–64 years) were vulnerable to the same negative outcomes as older individuals, including functional impairment, and premature death. We hypothesized that: (1) comorbidity was common in middle-aged individuals (50–64 years) with cancer, (2) poor functional status at baseline was common in middle-aged individuals with cancer, and (3) the presence of comorbidity at baseline was associated with poor outcomes (functional impairment, and mortality) in middle-aged individuals with cancer (50–64 years) with the strength of the association similar to older individuals ( $\geq 65$  years).

## Methods

### Design and setting

The study was the secondary analysis of an existing dataset of KLIMOP (Dutch acronym for a project on older cancer individuals in Belgium and the Netherlands) – an observational cohort study of individuals with cancer who were 50 years or older, recruited in 9 hospitals across Belgium and the Netherlands. The detailed study methodology has been described elsewhere.[12] Data were obtained from the hospital medical records and collected through personal interviews or self-administered questionnaires, at baseline (2010–2014) and after five years follow-up (2015–2019).

### Participants

Individuals were eligible to participate if they were diagnosed with one of the following cancers: breast, prostate, lung or gastrointestinal, were aged 50 years or older, had life expectancy of more than 6 months, had a thorough command of Dutch, and were interviewed within 3 months of cancer diagnosis.

Individuals were excluded if they were too ill to participate, had dementia or prior diagnosis of cancer, except non-melanoma skin cancer.

## Data items

Data collection included socio-demographic information (sex, living arrangements, and educational level), medical information (e.g. cancer type, stage, comorbidities, number of medicines), and functional status.

Comorbidity was measured with an adjusted Charlson Comorbidity Index (CCI)[13] and was calculated with comorbidity data obtained from the medical records. Other comorbidities recorded in the medical record and not normally included in the CCI were included. These included: Parkinsons disease, blood transfusions, transplantation, thromboses, lung embolisms, pacemaker, angina pectoris, percutaneous transluminal coronary angioplasty or a coronary bypass).. Data was analysed according to the number of comorbidities (0, 1–2, or  $\geq 3$ ). Self-reported polypharmacy ( $\geq 5$  drugs) [14] was also examined as a surrogate for the presence of comorbidity.

Functional status was measured by Activities of Daily Living (ADL) using the Katz Index [15] and by Instrumental Activities of Daily Living (IADL) using the Lawton IADL-scale.[16] The Katz Index consists of six items (bathing, dressing, toileting, transferring, continence and feeding). The total sum score ranges from 0 (dependent) to 6 (independent). The Lawton IADL-scale consists of eight items in women (ability to use the telephone, shopping, cooking, housekeeping, doing laundry, taking own medication, transport, and ability to handle finances), and only five items in men, with cooking, housekeeping and laundry excluded. Functional impairment was defined as dependence on at least one domain of ADL or IADL.

## Statistical analyses

All statistical analyses were performed using Stata statistical software, version 16.1. Patient's characteristics were expressed as median and intra quartile range (IQR) for continuous skewed data and proportions were presented as percentages of the respective denominator. Mann-Whitney U-test and standard Chi-square test for association with continuity correction, where appropriate, were used to explore the significant differences of individuals' characteristics between middle-aged (50–64 years) and older ( $\geq 65$  years) people with cancer.

Survival was measured from the date of cancer diagnosis to the date of death, individuals were censored at date of loss to follow-up or census date. The census date was assigned at 5 years follow-up for each individual patient. Date of death was recorded using data from medical records, obituaries, or contacting relatives.

Cox proportional hazard models were applied to examine the survival outcomes between two cohorts. Univariate models were first performed to explore the association between each covariate and the risk of mortality. Multivariate modelling was then undertaken by adding covariates considered clinically important and statistically significant from the univariate models, in order to adjust for confounding between variables. The variables included in the multivariate model were age, gender, and education. The

estimates were calculated using the likelihood ratio method and were expressed as hazard ratios (HRs). Proportional hazard assumption was tested by log-log plot of survival and Schoenfeld Residuals. Survival curves between cohorts were evaluated by standard Kaplan–Meier survival curves and groups were compared by log-rank test. The departure from linearity for continuous predictors was tested by linear spline for the adaptive model and found no evidence of non-linearity. The risk of death within 5 years were established from the Cox proportion hazard models by restricting the predictive model to 5 years death or the time of last follow-up.

A logistic regression model was applied to examine the functional impairment between two cohorts. The functional impairment was treated as a binary outcome at 5-year follow-up time. The same strategy from Cox proportional hazard model was applied for univariate and multivariate models. The estimates were calculated using the likelihood ratio method and were expressed as odds ratios (ORs) from the logit model. The ORs were considered statistically significant if their 95% CI did not include unity. The more the OR deviated from 1, the stronger the association between the exposure variable and outcome. Model diagnostics and goodness of fit were evaluated by Receiver Operator Characteristic (ROC) curves and C-statistics.

The two-sided test was performed for all analysis and the level of significance was set at  $p < 0.05$ .

## Ethics

The Ethical Review Board of KU Leuven and UZ Leuven (S52097 – ML6279) and the Maastricht University Medical Centre (NL31414.068.10) approved the study protocol. The study was conducted in compliance with Good Clinical Practice guidelines Procedures, the principles of the Declaration of Helsinki (version October 2008) and the Belgian (law of 7 may 2004 concerning clinical trials with humans) and Dutch (Medical Research Involving Human Subjects Act and Personal Data Protection Act) laws. All individuals signed informed consent.

## Results

A total of 1040 individuals with cancer aged 50 to 93 were included in the study with 477 (45.9%) in the middle age group (50–64) and remainder in the older group (Table 1). The majority were women ( $n = 710$ , 68%), living together with someone ( $n = 779$ , 75%), either with partner, children, or both. The majority of older individuals ( $\geq 65$  years) left school before they were 18 ( $n = 335$ , 60%), whereas the majority of middle-aged individuals ( $n = 291$ , 50–64 years) left school after the age of 18. The most common cancer types were prostate ( $n = 472$ , 45%), breast ( $n = 372$ , 36%), and colorectal cancer ( $n = 148$ , 14%). When comparing the middle-age and the older cohort, the older cohort had slightly lower proportion of women, were more likely to live alone or in an institution (such as nursing home) and were more likely to complete education earlier. While there was no difference in the distribution of cancer types among the two cohorts, the older group was less likely to be diagnosed with earlier stages of cancer. The prevalence of comorbidity was 29% for middle-aged and 45% for older individuals with higher proportion of older

individuals having 3 or more comorbidities (n = 61; 10.8% versus n = 16; 3.4%). Polypharmacy was also more common in older individuals (172 (31%) vs 70 (15%) (Table 2).

Table 1  
Participants characteristics (n = 1040).

	<b>Middle-aged (50–64 years)</b>		<b>Older (65 + years)</b>		<b>P-value</b>
	<b>N = 477 (45.9%)</b>		<b>N = 563 (54.1%)</b>		
	<b>N</b>	<b>%</b>	<b>N</b>	<b>%</b>	
<b>SOCIO-DEMOGRAPHIC CHARACTERISTICS</b>					
<b>Age (years): median ± IQR</b>	58	(54–61)	73	(68–78)	< 0.001
<b>Gender</b>					< 0.01
Male	131	27.5	199	35.3	
Female	346	72.5	364	64.7	
<b>Living circumstances</b>					< 0.001
Alone	76	15.9	149	26.5	
With someone	391	82.0	388	68.9	
Institutionalized	-	-	15	2.7	
Other	6	1.3	11	2.0	
Missing	4	0.8	-	-	
<b>Age at leaving school</b>					< 0.001
<18 years	173	36.3	335	59.5	
18 + years	291	61.0	214	38.0	
Missing	13	2.7	14	2.5	
<b>CLINICAL CHARACTERISTICS</b>					
<b>Cancer stage</b>					< 0.01
Stage I-III	367	76.9	356	63.2	
Stage IV	13	2.7	30	5.3	
Missing	97	20.3	177	31.4	
<b>Cancer type</b>					0.21
Breast	178	37.3	194	34.5	
Prostate	210	44.0	262	46.5	

	Middle-aged (50–64 years)		Older (65+ years)		P-value
	N = 477 (45.9%)		N = 563 (54.1%)		
	N	%	N	%	
Colorectal	62	13.0	86	15.3	
Lung	10	2.1	15	2.7	
Liver	4	0.8	1	0.2	
Oesophagus	5	1.0	2	0.4	
Pancreas	3	0.6	1	0.2	
Stomach/Intestine	3	1.0	2	0.4	

Table 2  
Prevalence of 10 most common comorbidities at baseline (n = 1040).

	Middle-aged (50–64 years)		Older (65+ years)		P-value
	N = 477 (45.9%)		N = 563 (53.9%)		
	N	%	N	%	
<b>Comorbidity</b>					< 0.001
No	338	70.9	310	55.1	
Yes	139	29.1	253	44.9	
<b>No of comorbidities*</b>					< 0.001
0	338	70.9	310	55.1	
1–2	123	25.8	192	34.1	
3+	16	3.4	61	10.8	
<b>Polypharmacy (number of drugs ≥ 5)</b>					< 0.001
No	407	85.3	391	69.4	
Yes	70	14.7	172	30.6	
<b>Type of comorbidities</b>					
Connective tissue disease	33	6.9	48	8.5	0.25
Diabetes mellitus	28	5.9	64	11.4	< 0.001
Chronic pulmonary disease	26	5.5	53	9.4	0.01
Heart Failure	14	2.9	37	6.6	< 0.01
Angina pectoris	12	2.9	22	5.6	0.01
Peripheral vascular disease	11	2.3	26	4.6	0.04
Myocardial infarction	10	2.1	35	6.2	< 0.001
Cerebrovascular disease	8	1.7	27	4.8	< 0.01
Renal Disease	6	1.3	15	2.7	0.09
Peptic ulcer disease	4	0.8	18	3.2	< 0.01
* Cancer being the index disease, is not included in the comorbidities;					

The median follow-up time was 4.9 years for middle-aged individuals and 3.9 years for older individuals. Five years after cancer diagnosis, the majority (n = 265; 55%) of middle-aged cancer individuals were

alive, 64 (13%) were deceased and 148 (31%) were lost to follow-up. In the older cohort, 38% (n = 211) were still alive, 30% (n = 170) were deceased and 182 (32%) were lost to follow-up. The impact of comorbidity on mortality is summarized in Fig. 1. Presence of any comorbidity did not significantly predict mortality in either cohort (in middle age cohort HR = 1.53 (95%CI 0.86–2.73) p = 0.15 C = 0.75, in older cohort HR = 1.35 (95%CI 0.95–1.90) p = 0.09 C = 0.63). However, presence of  $\geq 3$  comorbidities was associated with nearly triple the risk for mortality in middle-aged individuals (HR 2.97, 95% CI: 1.43–6.16 p < 0.01). In older individuals the HR for mortality if  $\geq 3$  comorbidities present was 1.71 (95% CI 1.05–2.78 p = 0.03). Polypharmacy was also significantly associated with the increased the risk for mortality in middle-aged (HR 2.35, 95% CI 1.32–4.16 p < 0.01) but not in older individuals (HR 1.24, 95% CI 0.87–1.77, p = 0.23).

The impact of comorbidity on functional impairment is summarized in Fig. 2. Comorbidity predicted functional impairment in older adults only (OR = 2.44 (95% CI 1.22–4.89) p = 0.01). Polypharmacy quadrupled the risk for functional impairment in middle-aged (OR 4.00, 95% CI 1.59–10.06 p < 0.01) as well as older individuals (OR 4.36, 95% CI 1.63–11.68, p < 0.01).

Figure 3 summarises changes to functional status at 5 year follow-up according to baseline status. At baseline, 209 (44%) of middle-aged individuals and 339 (60%) of older individuals had functional impairment. While 26% and 20% of middle aged and older individuals who were independent at baseline maintained their independent status, 17% of dependent middle age individuals and less than 1% of older dependent individuals recovered their functional independence at 5 year of follow-up.

## Discussion

This prospective cohort study of middle-aged and older individuals with cancer shows that comorbidities are common in individuals with cancer; with nearly a third of middle-aged individuals and nearly half of older adults with cancer reporting comorbidities. Those with 3 comorbidities or more were at higher risk of mortality, and comorbidity was associated with functional impairment in older but not middle-aged individuals.

The prevalence and types of comorbidities reported in this study was similar to that reported in other studies of individuals with cancer from UK, Europe and New Zealand [17–19] reflecting high prevalence of comorbidities in the general population that is not confined to just older individuals.[20] In fact, the prevalence of comorbid disease in the older cohort in the present study reflects those reported in cancer studies but is lower than what is reported in the general population study for the similar age group which shows prevalence or more than one chronic condition of 64.9% in those 65–84.[20] This finding may suggest that older patients with cancer may be preselected for cancer treatment and those with many comorbidities may not be treated or followed up for cancer.

The unique contribution of the present study is the analysis of the impact of comorbidities on mortality and functional status. Our data suggests that the impact of comorbidity on mortality is greater in middle age than in older individuals. We are unable to determine the reason for this finding. It may reflect a

selection bias with older individuals who have survived with comorbidities possibly being fitter and better adapted to life with comorbidities or underreporting their comorbidities. Similarly, polypharmacy which could serve as a surrogate for comorbidities was a stronger predictor of mortality in middle-aged, more than in older individuals. Given that data on medications was more likely to be accurately extracted from the hospital records, it is less likely that this finding reflects the selection bias or under-reporting but rather than in older individuals, the impact of comorbidity on mortality is likely to be diluted by the expected age related mortality much more so than in younger individuals.

Polypharmacy but not comorbidity predicted functional impairment perhaps suggesting that polypharmacy is a more sensitive indicator of severe disease. This observation highlights the importance of selecting the optimal tool to assess comorbidity – simply counting comorbid conditions may not be the most sensitive way of identifying those with the highest disease burden. Surrogate markers like assessment of polypharmacy may be more useful. Research into optimal comorbidity assessment tools including function assessment and biomarkers is needed. [21]

In our cohort the pattern of change of functional impairment showed that some individuals recovered but the recovery was more likely in the middle-aged individuals than older individuals. Further research is needed as to why this is so. The faster recovery may reflect the different biology of functional impairment in different age groups or the health system issues where older patients who have functional decline are not offered care to assist them in recovery.

Our findings suggesting that comorbidity and its surrogate, polypharmacy, may be more important than age alone in identifying individuals with cancer at risk of poor outcomes. These findings have important implications for practice of oncology underscoring the importance of assessment of comorbidity and function in older and younger individuals with cancer, similarly to what is increasingly recommended in the general population.[10] Special attention should be paid to those individuals who have more than one comorbid condition and coinciding functional impairment. While the emergence of geriatric oncology as a discipline, facilitates care delivery for the older individuals with cancer and comorbid conditions, there are currently no provisions for similar approaches for younger individuals. As research suggests that cancer and its treatment are associated with premature ageing, there is also a need for a more fundamental research into the understanding of multimorbidity in cancer and its aetiology especially in younger individuals.

The strength of this study lies in its large size, prospective nature and long follow-up. Its limitations relate to missing data and using hospital records for data sources which may be incomplete. Some subgroups included only small numbers of individuals (for example there were only few individuals with comorbidities who had no functional impairment) leading to wide confidence intervals for some of the analyses.

In conclusion, this study of middle aged and older adults with cancer shows that comorbid disease is common in younger and older individuals with cancer and is associated with higher risk of mortality and functional impairment. There is a need for research into comorbidities in younger individuals with cancer

and for the development of tools to assess and manage comorbid conditions in all individuals with cancer, not just those who are older.

## Declarations

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**Conflicts of interest/Competing interests** Laura Deckx is currently employed by Kom op tegen Kanker who partially funded this study. However, at the time of the data collection Laura was not employed at Kom op tegen Kanker and was not the investigator on the original grant.

**Availability of data and material** Data are available on reasonable request

**Code availability** (software application or custom code) Code is available upon request

**Authors' contributions:** all authors contributed to the conceptualization, formal analysis, methodology, and writing.

**Ethics approval:** The Ethical Review Board of KU Leuven and UZ Leuven (S52097 – ML6279) and the Maastricht University Medical Centre (NL31414.068.10) approved the study protocol.

**Consent to participate:** All individuals signed informed consent.

**Consent to publish:** All authors granted the consent to publish the manuscript.

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## Figures

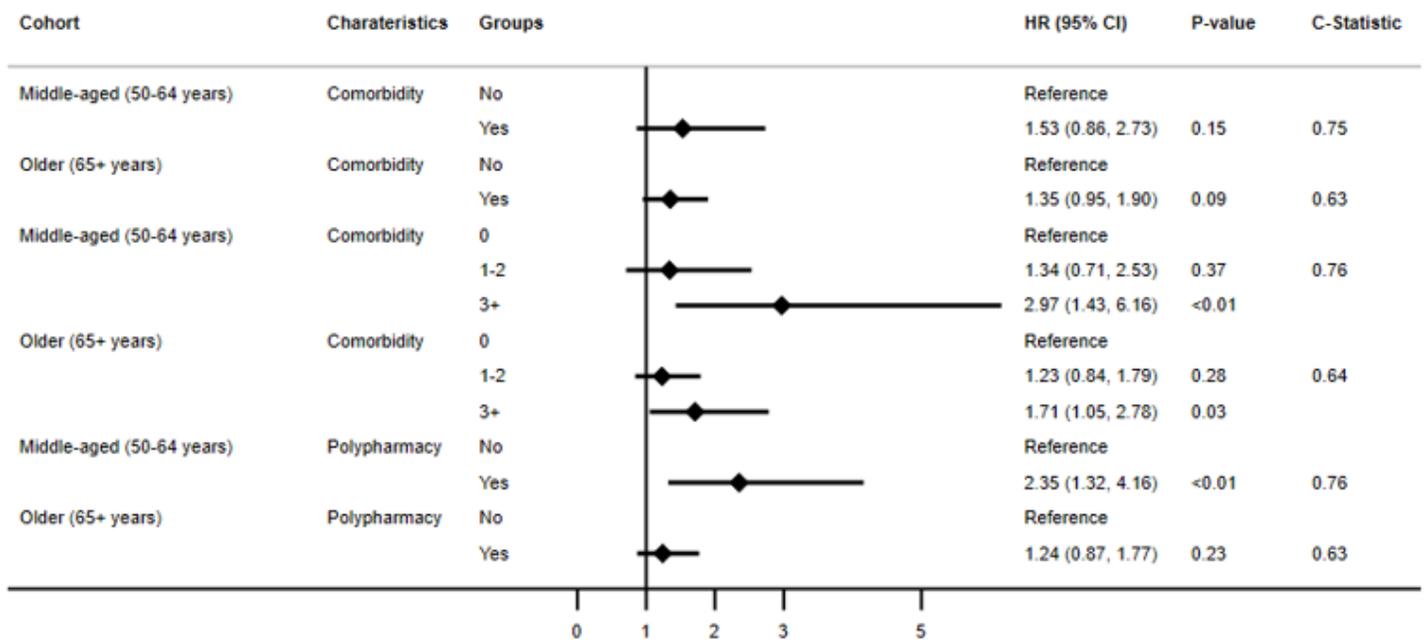
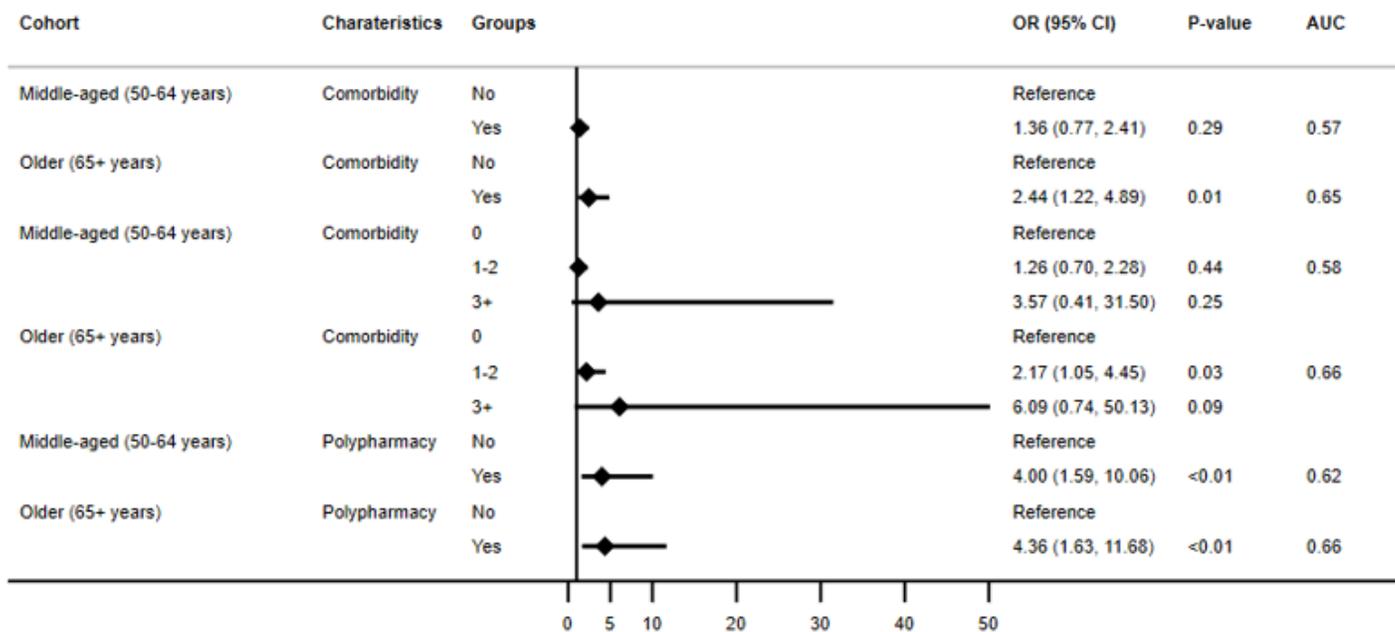


Figure 1

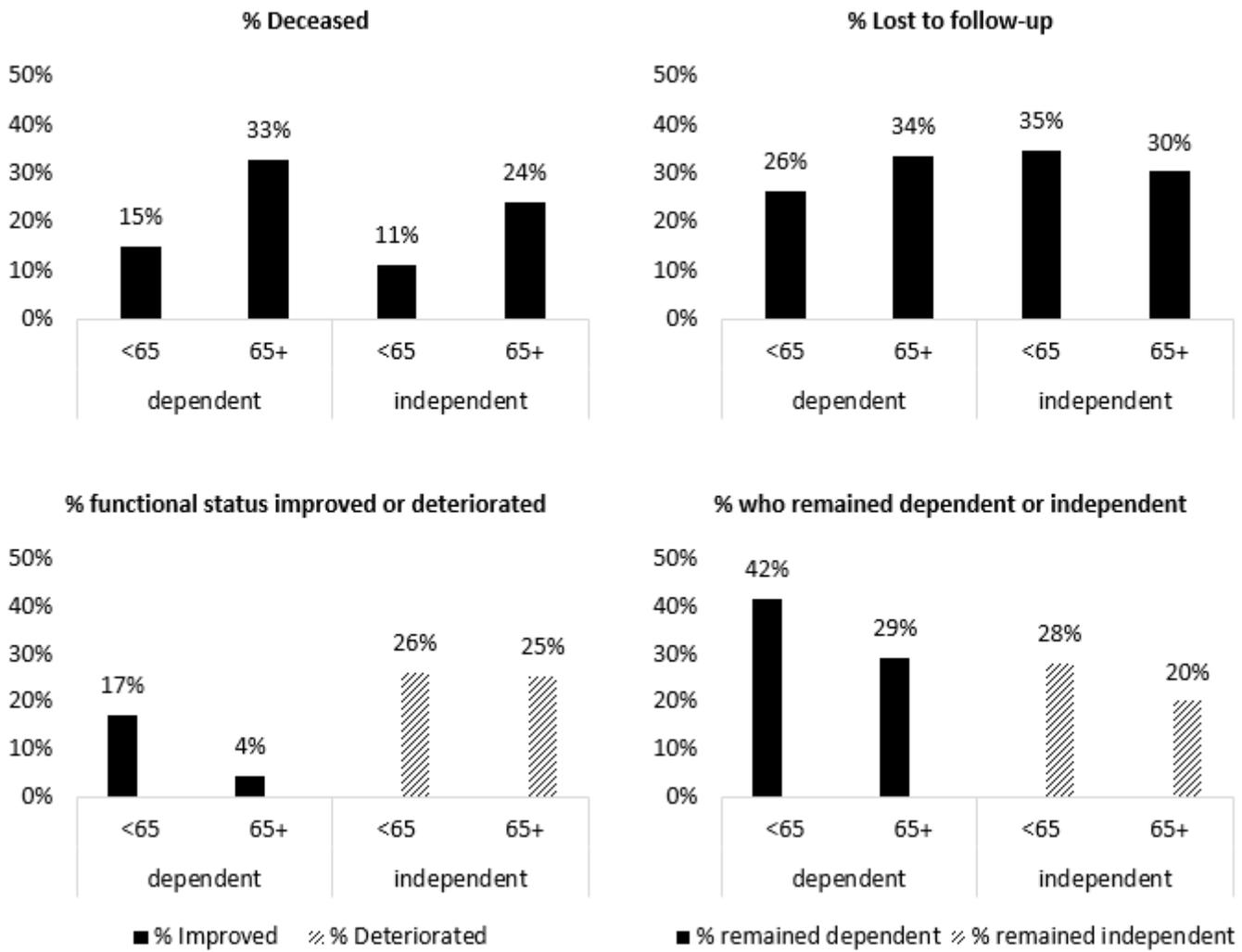
The impact of comorbidity on mortality.



AUC - Area under curve

Figure 2

The impact of comorbidity on functional impairment.



**Figure 3**

Changes in functional status according to baseline status. The denominator is the number of individuals who were dependent ( $n_{<65} = 209$ ;  $n_{\geq 65} = 339$ ) or independent ( $n_{<65} = 268$ ;  $n_{\geq 65} = 224$ ) at baseline