

1 *In vitro* and *in vivo* evaluation of paclitaxel-induced release of apoptotic
2 biomarker ccCK18 to guide treatment optimization in ovarian cancer

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33 **Background:** Cytokeratins hold potential as biomarkers due to their epithelial specificity, abundance and
34 cleavage by caspases during apoptosis. We evaluated paclitaxel-induced circulating caspase-cleaved (cc)
35 cytokeratin 18 (CK18) as potential apoptotic cell-death marker to guide treatment optimization in ovarian
36 cancer.

37 **Methods:** Six ovarian cancer cell lines (SK-OV-3, SK-OV-3lucIP1, Caov-3, NIH:OVCAR-3, PA-1 and PM-LGSOC-
38 01) were exposed in vitro to paclitaxel (PTX, 0 to 1000 nM) for 24h. Extracellular levels of ccCK18 were
39 measured until 5 days after drug exposure. Cell count and ccCK18 release data were analyzed using a phase-
40 nonspecific pharmacodynamic model implemented in NONMEM®. PA-1 and SK-OV-3lucIP1 xenografted
41 female SCID/Beige mice received a placebo or single dose of 50 mg/kg PTX intraperitoneally. Response to
42 PTX was evaluated in vivo using tumor volume and released ccCK18 levels.

43 **Results:** In vitro, the correlation between cell count and released ccCK18 levels was present in all cell lines
44 (Spearman's rank correlation coefficient > 0.64). Tumor volume and ccCK18 longitudinal dynamics were
45 markedly different for controls and PTX-treated PA-1 xenografts with changes in ccCK18 release preceding
46 changes in tumor volume. For SK-OV-3lucIP1 xenografts, no differences were found between controls and
47 PTX-treated mice.

48 **Conclusions:** An association between PTX-induced ccCK18 release and cell count was demonstrated in vitro.
49 The in vivo study supported the presence of an early-apoptotic peak in ccCK18 levels compared to a later
50 observed effect on tumor volume in PTX-sensitive xenografts. Given the heterogeneous character of ovarian
51 cancer, application and implementation of ccCK18 in a clinical setting to optimize or personalize cancer
52 treatment needs further refinement.

53

54 **KEYWORDS:**

55 ovarian cancer, paclitaxel, caspase-cleaved cytokeratin 18, apoptosis, treatment optimization

56 II. Background

57 As a member of the intermediate filament protein family, cytokeratin 18 (CK18) belongs to the type 1 acidic
58 keratins and is expressed in a variety of single-layered and simple epithelia [1]. Besides its role in tumor cell
59 behavior and numerous cellular processes such as apoptosis and cell cycle progression, CK18 is also involved
60 in providing the intracellular scaffolding that structures the cytoplasm, supports normal mitochondrial
61 structures and resists stress applied from the outside of the cell [2, 3]. Furthermore, this keratin is also used
62 as an epithelial marker in tumor pathology [4].

63 In contrast to its filament partner cytokeratin 8 (CK8), CK18 is a substrate for caspase cleavage during
64 epithelial cell apoptosis [5]. This characteristic makes ccCK18 interesting as a tumor marker that provides
65 information on the extent of ongoing apoptotic tumor cell death. Caspases, a family of aspartate-specific
66 cysteine proteases, contribute frequently to the process of apoptosis and are the key effectors responsible
67 for the characteristic morphological aspects of apoptosis, i.e. rounding up of the cell, reduction of cellular
68 and nuclear volume, nuclear fragmentation, plasma membrane swelling etc. [6]. Caulin et al. observed that
69 CK18 is cleaved by caspase-6, -3 and -7, resulting in the appearance of a specific neo-epitope, which can be
70 measured using an enzyme-linked immunosorbent assay (ELISA) [5].

71 A number of studies already investigated the value of total and caspase-cleaved CK18 as tumor marker in
72 gastro-intestinal[7], breast[8] and testicular[9] cancer and showed that the measurement of ccCK18, as a
73 marker of apoptosis, and uncleaved CK18, released during both apoptosis and necrosis, allows to distinguish
74 between different cell death modes [10].

75 In this work, we studied the correlation between ccCK18 levels and PTX treatment efficacy in vitro and in
76 vivo. Pharmacodynamic modelling was used to characterize the longitudinal changes in cell count and
77 released ccCK18 levels from the in vitro experiments.

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81 III. Methods

82 1. IN VITRO STUDY

83 a. Cell lines and culture conditions

84 The human ovarian cancer cell lines PA-1, Caov-3 and SK-OV-3 were obtained from ATCC (LGC Standards
85 S.a.r.l., France). SK-OV-3LucIP1 cells were selected in vivo [11] and together with the NIH:OVCAR-3 cell line
86 provided by the LECR research group (Ghent University, Belgium). This cell panel was extended with the
87 patient-derived xenograft-derived low-grade serous ovarian cancer cell line PM-LGSOC-01[12]. SK-OV-3 cells
88 were maintained in ATCC-formulated McCoys 5A medium, SK-OV-3LucIP1 and Caov-3 cells in ATCC-
89 formulated Dulbecco's Modified Eagle's Medium (DMEM) and PA-1 together with PM-LGSOC-01 cells in
90 ATCC-formulated Eagle's Minimum Essential medium (EMEM). NIH:OVCAR-3 cells were maintained in RPMI-
91 1640 Medium (Life Technologies, Belgium). All growth media were completed by adding 10% fetal bovine
92 serum (FBS) and antibiotics (penicillin/streptomycin), and incubated at 37 °C with 5% CO₂ in air.

93 b. Drug treatments

94 Cells were suspended in culture medium and added to a 96-well plate in a volume of 200 µL with a
95 concentration of 6 000 cells. Cells were allowed to attach for 72 hours before treating them with paclitaxel
96 (PTX, Enzo Life Sciences BVBA, Belgium). A 1% EtOH-solution in complete growth medium was used as
97 control solution (0 nM) and vehicle for PTX-containing solutions. PTX concentrations in the clinically relevant
98 concentration range of 1 to 1 000 nM[13] were studied. After incubating the cells with 0, 1, 10, 100 or 1000
99 nM PTX for 24 hours, wells were washed with phosphate buffered saline and complete growth medium
100 before adding 200 µL of fresh complete growth medium. Every 24h, up to 120h after PTX treatment,
101 medium was collected, levels of released ccCK18 biomarker were measured and an MTS assay was
102 performed. For every concentration and time point, the experiment was performed in fourfold.

103 c. MTS assay

104 The MTS assay was performed according to the manufacturer's instructions, using the CellTiter 96[®] AQueous
105 One Solution cell proliferation assay (Promega, USA). Absorbance was measured at 490 nm using the

106 Infinite[®] M200 PRO NanoQuant plate reader (TECAN, Switzerland). Cell counts were assessed by performing
107 MTS assays in the presence of standard curves using increasing cell amounts.

108 d. Assessment of ccCK18 by ELISA

109 The cell culture medium aspirated from the wells was first centrifuged for 5 min at 1000 rpm and then
110 aliquoted and stored at –80 °C until analysis. In order to be certain that only cell-free supernatant was
111 collected, an examination under the AE2000 binocular microscope (Motic Instruments Inc., Canada) was
112 performed. Levels of ccCK18 were quantitatively determined, according to the manufacturer's instructions,
113 using the M30 Apoptosense[®] ELISA kit (PEVIVA, Sweden).

114 e. Cell lysates and Western blot analysis

115 Proteins were extracted from untreated cancer cells using the Laemmli lysis buffer (0.125 M Tris-HCl, 10%
116 glycerol, 2.3% sodium dodecyl sulfate (SDS), pH 6.8). Cell lysates were suspended in reducing sample buffer
117 (1 M Tris-HCl, 30% glycerol, 6% SDS, 3% β-mercaptoethanol, 0.005% bromophenol blue, pH 6.8) and boiled
118 for 5 minutes at 95°C. 25 µg proteins of each cell line were exposed to a 10% SDS-PAGE gel and transferred
119 to nitrocellulose membranes (Bio-Rad, Hercules, CA, USA). After blocking the membranes using 5% non-fat
120 milk in phosphate-buffered saline (PBS) with 0.5% Tween[®] 20 (Sigma-Aldrich, Belgium), the blot was
121 incubated overnight at 4°C with mouse monoclonal anti-human cytokeratin 18 (R&D Systems, United
122 Kingdom). After washing the membrane, incubation with HRP-conjugated anti-mouse IgG secondary
123 antibody was performed at room temperature for 1 hour. The WesternBright Quantum HRP substrate
124 (Advansta, Menlo Park, CA, USA) was added to the membranes to capture the luminescent signal using the
125 Proxima 2850 Imager (IsoGen Life Sciences, De Meern, The Netherlands). Equal loading of samples was
126 verified by primary antibodies mouse monoclonal anti-GAPDH (clone GAPDH-71.1, Sigma-Aldrich, Belgium).

127 f. Phase-nonspecific pharmacodynamic model for cell count

128 Cell count data were analyzed using a phase-nonspecific pharmacodynamic model with NONMEM[®] (version
129 7.3.0, ICON, Hanover, MD, USA) based on the work by Mouton et al. (1997)[14], Nielsen et al. (2007)[15] and
130 Rao et al. (2016) [16].

131
$$\frac{dN}{dt} = \left(k_{ng} - \frac{E_{max} \times Conc}{C_{50} + Conc} \right) \times N$$

132 where N refers to the cell number, t is time, k_{ng} is the net growth rate constant and E_{max} refers to the
133 maximum PTX-induced treatment effect. $Conc$ refers to the concentration of PTX to which cells were
134 exposed and C_{50} denotes the concentration at which half of the maximum effect is reached.

135 There was also a term *base_count* included in this model to take into account the estimated cell numbers
136 present before treatment with PTX. Population parameters and error variance were estimated using the
137 first-order (FO) estimation routine.

138 g. Phase-nonspecific pharmacodynamic model for released ccCK18 levels

139 The ccCK18 release data were also analyzed using a phase-nonspecific pharmacodynamic model with
140 NONMEM® (version 7.3.0, ICON, Hanover, MD, USA). The term k_{prod} was used here as the net production
141 rate constant of extracellular ccCK18. Parameters such as the maximum amount of released marker (A_{max})
142 and the Hill coefficient γ , to describe the steepness of the relationship between PTX concentration and
143 response, were added. A refers here to the extracellular amount of ccCK18.

144
$$\frac{dA}{dt} = \left\{ k_{prod} \times \left(1 - \frac{A}{A_{max}} \right) + \frac{E_{max} \times Conc^\gamma}{C_{50}^\gamma + Conc^\gamma} \right\} \times A$$

145 Also here the *base_amount* term was included in the model to estimate the initial amount of released
146 ccCK18 before exposure to PTX. Population parameters and error variance were estimated using the first-
147 order (FO) method.

148 2. IN VIVO STUDY

149 a. PA-1 and SK-OV-3LucIP1 xenograft models

150 The human ovarian cancer cell lines PA-1 and SK-OV-3LucIP1 cells were used to xenograft SCID/Beige mice
151 (mice were commercially obtained from Envigo, The Netherlands). SK-OV-3LucIP1 cells were cultured in
152 ATCC-formulated Dulbecco's Modified Eagle's Medium (DMEM) and PA-1 cells in ATCC-formulated Eagle's
153 Minimum Essential medium (EMEM). All growth media were completed by adding 10% fetal bovine serum

154 (FBS) and antibiotics (penicillin/streptomycin). Cells were incubated at 37 °C with 5% CO₂ in air. 7 to 9-week-
155 old female SCID/Beige mice were unilaterally subperitoneally injected with 1×10⁶ cancer cells (1:1 serum free
156 medium:Matrigel (Corning, The Netherlands). In total, 20 PA-1-xenografted mice and 30 SK-OV-3LucIP1-
157 xenografted mice were studied. The control groups were based on 10 PA-1- and 15 SK-OV-3LucIP1-
158 xenografted mice, respectively. The maximum caging density was 6 from the same experimental group. All
159 mice were maintained on a regular diurnal lighting cycle (12:12 light:dark) with ad libitum access to food and
160 water. Mice were monitored once every day.

161 b. Single dose study

162 Mice were randomly assigned to the placebo or treatment group after subperitoneal tumor cell injection.
163 PTX (Abraxane[®], Celgene, US) was intraperitoneally (ip, 50 mg/kg) administered to the treatment group 2.5
164 weeks after tumor cell injection whereas the control group received an intraperitoneal injection of 0.9%
165 NaCl (placebo) in an equal volume at the same time. Whole blood was collected via cardiac puncture in
166 K3EDTA-treated Sarstedt (B.V.B.A. Berchem, Belgium) Microvette[®] 200 tubes, prior to obtain plasma.
167 Samples were collected up to 2 weeks after PTX administration to measure ccCK18 levels. Blood collection
168 via cardiac puncture was considered a terminal procedure before mice were euthanized using an isoflurane
169 overdose prior to cervical dislocation. Every individual mouse was considered an experimental unit in this
170 study. The animals were excluded from the study if no tumor was present 2.5 weeks after tumor cell
171 injection, which was not the case here.

172 c. Measurement of tumor volume

173 Tumor volume in xenografted mice was assessed using ultrasound imaging. Transparent ultrasound
174 transmission Polaris II gel (Ondes & Rayons Medical, France) was applied to bare skin and a MicroScan™
175 MS550D (22–55 MHz, VisualSonics Inc., Canada) transducer with the Vevo[®] 2100 imaging system (VisualSonics
176 Inc., Canada) was used to analyze the tumor volume in Vevo LAB 1.7.1 (VisualSonics Inc., Canada). Tumor
177 volumes (in mm³) were measured before receiving the single dose of PTX and before the cardiac puncture at
178 the time of sacrifice.

179 d. Tissue processing and immunohistochemistry

180 Tumor tissues collected from mice were fixed overnight in neutral buffered 10% formalin solution (Sigma-
181 Aldrich, Belgium) and processed in the tissue core facility at Ghent University Hospital.

182 e. Assessment of caspase-cleaved CK18 by ELISA

183 Collected plasma samples were aliquoted and stored at $-80\text{ }^{\circ}\text{C}$ until analysis. Levels of ccCK18 were
184 quantitatively determined, according to the manufacturer's instructions, using the M30 Apoptosense[®] ELISA
185 kit (PEVIVA, Sweden).

186 IV. Results

187 1. IN VITRO STUDY

188 a. Effect of paclitaxel on ovarian cancer cell panel

189 Compared to the control solution, PTX concentrations of 1 to 1 000 nM were found to affect cell counts over
190 time for all tested cell lines. Table 1 shows the estimated parameters, their relative standard errors (RSE) and
191 95% confidence intervals (CIs) for the phase-nonspecific models on cell count.

192 All timepoints, up to 120h post treatment, were taken into account in this model for all cell lines, except for
193 SK-OV-3. As for the SK-OV-3 profiles over time only a clear difference between PTX concentrations was
194 noticed starting 96h post treatment, only the last two timepoints were included in the model.

195 From Table 1 we see that all parameters are estimated with good precision except for C_{50} in SK-OV-3 and SK-
196 OV-3lucIP1 cells as the large confidence intervals (CIs) are indicative for a high level of uncertainty. The
197 estimated C_{50} s for SK-OV-3lucIP1 and SK-OV-3 were 85.2 nM and 6 080 nM, respectively, suggesting that SK-
198 OV-3lucIP1 is less sensitive compared to the other studied cell lines but with an estimated C_{50} within the
199 tested concentration range whereas SK-OV-3 is rather insensitive to PTX with an estimated C_{50} outside the
200 tested concentration range. To avoid difficulties with the estimation fitted, a net growth rate parameter was
201 included for each cell line instead of separate rate constants for natural cell growth and cell death. The
202 estimated net growth rate constant was lowest in SK-OV-3 (0.0036 h^{-1}) and highest in SK-OV-3lucIP1 (0.0164

203 h^{-1}). For SK-OV-3, we were not able to separately estimate E_{max} and C_{50} due to the limitations of the data,
204 hence E_{max} was fixed to the mean E_{max} of all five other cell lines. The estimated E_{max} parameter was lowest in
205 PM-LGSOC-01 ($0.0151 h^{-1}$) compared to similar estimates of about $0.025 h^{-1}$ for the other cell types. We also
206 found that the estimated `base_count` parameter, representing the amount of cells that were present prior to
207 exposure to PTX, was different across cell lines. Based on these estimates, cell division and growth is
208 considered being slower in SK-OV-3lucIP1 in contrast to the other cell lines with PM-LGSOC-01 having the
209 highest amount of cells at the start of the therapy.

210 b. Western blotting

211 Figure 1 illustrates the differences in CK18 expression at PTX-free cell level. These results show that
212 expression of CK18 is lower in SK-OV-3LucIP1 compared to the other cell lines.

213 c. In vitro release of ccCK18

214 The estimated values for the fitted phase-nonspecific pharmacodynamic model on released ccCK18 levels
215 are presented in Table 2, accompanied by the percent relative standard error (RSE) and 95% confidence
216 intervals (CIs) for all parameters. This table illustrates a slower release rate for ccCK18 (K_{prod}) in PM-LGSOC-
217 01 ($0.0155 h^{-1}$) and PA-1 ($0.0177 h^{-1}$) cells compared to the other cell lines. The estimated E_{max} , the effect of
218 PTX on release of ccCK18 was highest in PM-LGSOC-01 ($0.0428 h^{-1}$) followed by PA-1 ($0.02 h^{-1}$), SK-OV-3
219 ($0.0128 h^{-1}$), NIH:OVCAR-3 ($0.0087 h^{-1}$), Caov-3 ($0.008 h^{-1}$) and SK-OV-3lucIP1 ($0.0076 h^{-1}$). An almost 11-fold
220 difference in C_{50} value was observed between NIH:OVCAR-3 (8.8 nM) and Caov-3 (0.796 nM) cells. A Hill
221 coefficient of 8.02 was estimated for PM-LGSOC-01 compared to 2.21 for SK-OV-3, indicating a steeper drug
222 concentration-effect relationship in PM-LGSOC-01 compared to SK-OV-3. Based on the `base_amount` and
223 A_{max} parameters, SK-OV-3lucIP1 and SK-OV-3 had the lowest initial extracellular ccCK18 levels and also the
224 lowest levels of maximum releasable amounts of ccCK18. For SK-OV-3lucIP1, the lower estimated A_{max} value
225 of 907 was also in line with the western blot result as expression of CK18 was demonstrated to be lower
226 compared to the other cell types.

227 d. Relationship between cell count data and released ccCK18 levels

228 Figure 2 illustrates the relationship between cell count and released ccCK18 levels. Here, the model
229 predictions for both cell count and released levels of ccCK18 are plotted over time. The calculated
230 Spearman's rank correlation coefficient was highest for SK-OV-3lucIP1 (0.89, 95% CI: 0.75-0.95), followed by
231 PA-1 (0.87, 95% CI: 0.71-0.94), NIH:OVCAR-3 (0.76, 95% CI: 0.51-0.89), PM-LGSOC-01 (0.73, 95% CI: 0.45-
232 0.87), SK-OV-3 (0.71) and Caov-3 (0.64, 95% CI: 0.32-0.83). Changes in cell count are associated with
233 proportionally larger increases in ccCK18 for PM-LGSOC-01 and SK-OV-3. This is seen from Figure 2 where
234 most data points lie above the identity line. In contrast, reductions in cell count, at higher PTX levels, are
235 accompanied with less than proportional increases in ccCK18 for NIH:OVCAR-3. As observed from Figure 2,
236 at later timepoints, ccCK18 levels start decreasing or reach a plateau.

237 2. IN VIVO STUDY

238 a. Relationship between tumor volume and released ccCK18 levels

239 Due to the observed differences in sensitivity to PTX and ccCK18 release in vitro, xenografts based on PA-1
240 and SK-OV-3lucIP1 cells were selected to be studied in vivo. Figure 3 illustrates the in vivo observed
241 relationship between tumor volume and released ccCK18 levels. Regarding tumor volume, it is interesting to
242 see that it takes about 1 week before a drop in tumor volume was observed in the PA-1 xenografts whereas
243 no clear drop in tumor volume was observed for the SK-OV-3lucIP1 xenografts. When looking at the released
244 ccCK18 levels, SK-OV-3lucIP1 tumors present with more similar released ccCK18 levels over time between
245 control and treatment group whereas the PTX-treated PA-1 tumors clearly show an initial peak of ccCK18
246 after PTX treatment before normalizing after about 1 week.

247 b. Immunohistochemistry

248 From both the control and 50 mg/kg intraperitoneally treated PA-1 and SK-OV-3lucIP1 groups, tissue
249 samples were stained for H&E, proliferation marker Ki67 and CK8-18 marker. The latter was only studied in
250 the control groups. In addition, it is important to note that both cancer cell lines do represent a very

251 different type of ovarian cancer (ovarian serous cystadenocarcinoma SK-OV-3lucIP1 versus ovarian mixed
252 germ cell tumor PA-1). In Figure 4, expression of Ki67 confirmed the ongoing tumor cell proliferation in both
253 cell line-derived xenografts post therapy. Expression of CK8-18 in the control group illustrated the basis for
254 later potential caspase cleavage caused by PTX.

255

256 V. Discussion

257 As many chemotherapeutic agents cause apoptosis, the potential of ccCK18 as a quantitative
258 pharmacodynamic biomarker and hence, a helpful decision tool in treatment optimization was evaluated
259 here. In this study, next to observed differences in sensitivity to PTX, the correlation between cell count and
260 apoptotic cell death marker ccCK18 was shown in ovarian cancer cell lines SK-OV-3lucIP1, PA-1, NIH:OVCAR-
261 3, PM-LGSOC-01, SK-OV-3 and Caov-3. Based on the in vitro results, SK-OV-3lucIP1 and PA-1 xenografts were
262 studied in vivo representing, respectively, a model less sensitive and sensitive to PTX. In vivo, a correlation
263 between released ccCK18 levels and tumor volume was observed in PA-1 xenografts whereas no clear effect
264 of PTX was found on tumor volume or ccCK18 levels over time in the SK-OV-3lucIP1 xenografted mice.

265 The C_{50} values observed, based on the in vitro model, are hard to compare with previously published work
266 due to differences in experimental conditions (such as drug concentration and exposure time). Compared to
267 our C_{50} of about 6 000 nM for the SK-OV-3 cells, Au et al. [17] found a C_{50} equal to 5 nM for SK-OV-3 exposing
268 the cells 24h to PTX and measuring the drug effect after 96h. Possible reasons for the variability in observed
269 C_{50} value for SK-OV-3 in both studies could be related to cell cycle, confluency and/or passage effects [18]. It
270 is also important to highlight that in this experiment C_{50} was estimated considering a 1 week period whereas
271 commonly only one single timepoint is used. In addition, the difference in C_{50} values reported between cell
272 types can also be explained by heterogeneous responses to anticancer treatments [19].

273 Brandt and colleagues (2010)[7] concluded that circulating levels of ccCK18 might be a useful biomarker to
274 monitor treatment response in patients with gastrointestinal cancers. A correlation between intact and

275 caspase-cleaved forms of CK18 levels and clinical response to therapy in breast cancer was observed by
276 Olofsson *et al.* (2007)[8]. In patients with disseminated testicular germ cell cancer, de Haas *et al.* (2008)
277 observed changes in total and caspase-cleaved CK18 during chemotherapy[9]. As a result, measuring soluble
278 keratin protein fragments in the clinic is believed to be of great value for the early detection of tumor
279 progression, metastasis formation and for a quick evaluation of the therapeutic response in epithelial
280 malignancies [20]. Outside the field of oncology, serum CK18 levels have also been studied as cell death
281 markers in (alcoholic) hepatitis[21, 22] and in patients with cirrhosis[23] or drug-induced liver injury[24] .
282 In our in vitro study, at later time points, deviating biomarker release patterns were observed which can be
283 explained by the loss of M30 reactivity as reported in apoptotic cells[25] or by the different ongoing
284 processes during cell death[26]. As PTX induces cell death processes, Lieu *et al.* [27] described the
285 apoptogenic mechanisms after PTX treatment to be mitotic arrest and microtubule damage, the first one
286 induced at PTX concentrations below 200 nM and the last one at higher PTX concentrations. As a result, high
287 concentrations of PTX are able to induce apoptosis independently of mitotic arrest at any phase of the cell
288 cycle.

289 Next to differences in CK18 expression at the cell level[28], also variation in population doubling time
290 between these different cell lines may explain our observed differences in ccCK18 release post therapy. As a
291 result, a higher number of cell divisions will be accompanied by a longer duration of drug present to a larger
292 number of cells. Next to an extended drug effect, the previously mentioned phenomenon might also cause
293 an increase in the specific cell fraction subjected to PTX toxicity [17]. In addition, the cell fraction passing
294 through the mitotic phase will also play a crucial role with regard to the release of ccCK18, since PTX-induced
295 cell cycle arrest and apoptosis are mainly occurring in the mitotic phase [29]. We did not discriminate
296 between the G1, S, G2 and M phase in this study in accordance with the clinical setting in which equally no
297 cellular analysis of the complete tumor is possible before treating the patient.

298 Based on our in vivo observations, it can be concluded that the release of ccCK18 into the blood circulation
299 happens early, before the effect of PTX on tumor volume was observed in PA-1 xenografts. This is also in

300 agreement with the early-stage apoptosis reflected by the M30 ELISA as M30 does not measure late
301 apoptosis stages including generation of secondary CK18 caspase cleavage products[30]. Induction of
302 apoptosis by chemotherapeutic agents is typically characterized by slow kinetics over 24 hours. Regarding
303 paclitaxel, as this compound is retained in tumors for over 5 days [31], CK18 is typically cleaved after more
304 than 12 hours of drug exposure [32]. Levels of ccCK18 are likely a better marker to inform on PTX-induced
305 tumor cell death for the PA-1 group compared to the SK-OV-3lucIP1 group. Nevertheless, the small number
306 of animals used did not allow to draw firm conclusions on the potential of ccCK18 to provide significant
307 information regarding chemotherapeutic responses.

308 Given the complexity of a disease like ovarian cancer and the variety of cell death processes, we believe
309 another potential explanation for our in vivo findings, i.e. to consider ccCK18 a better marker for effects of
310 PTX on PA-1 cells compared to SK-OV-3lucIP1 cells, might be related to the involvement of Akt[33]. The
311 serine-threonine kinase Akt is known to exert anti-apoptotic effects through several downstream targets. It
312 is also known that Akt is cleaved during mitochondrial-mediated apoptosis in a caspase-dependent manner.
313 The observation that cleavage of Akt occurs during apoptosis suggests that either a level of baseline Akt
314 signaling is vital for cell survival or that Akt activation occurs during apoptosis and acts as a 'brake' on this
315 process [34]. Since it is known that Akt inactivation sensitizes human ovarian cancer cells to paclitaxel, Kim
316 and colleagues (2007)[33] explored the difference in Akt phosphorylation levels between PTX-sensitive PA-1
317 cells and PTX-resistant SK-OV-3 cells. In their study, a higher level of phosphorylated Akt in SK-OV-3 cells
318 compared to PA-1 cells was shown. As a result, the link between Akt activity, PTX resistance and paclitaxel-
319 induced apoptosis was hypothesized[35]. Our in vivo findings are also in line with this as we concluded that
320 SK-OV-3lucIP1 is associated with less ccCK18 release as a result of less paclitaxel-induced caspase cleavage of
321 CK18 compared to PA-1. Taking into account the higher Akt activity in SK-OV-3 cells observed by Kim *et al.*
322 (2007)[33], mediation of survival signals by Akt might preserve SK-OV-3lucIP1 cells from apoptotic pathways.

323 VI. Conclusion

324 In conclusion, our in vitro study showed evidence of an association between PTX-induced cell toxicity and
325 released ccCK18 levels. Based on our in vivo study with PTX-sensitive xenografts, we found that response to
326 PTX was immediately shown as a peak in released ccCK18 whereas an observed decrease in tumor volume
327 was rather delayed. Overall, these experiments indicate the potential of ccCK18 to inform on drug-induced
328 cell death which can be informative to optimize cancer treatment.

329

330 VII. List of abbreviations

331 CK18 : cytokeratin 18

332 ccCK18: caspase-cleaved cytokeratin 18

333 PTX: paclitaxel

334 CK8: cytokeratin 8

335 ELISA: enzyme-linked immunosorbent assay

336 ATCC: American Type Culture Collection

337 DMEM: Dulbecco's Modified Eagle's Medium

338 EMEM: Eagle's Minimum Essential medium

339 FBS: fetal bovine serum

340 CI: confidence interval

341 RSE: relative standard error

342 Kng: net growth rate constant

343 Emax: maximum effect

344 C50: concentration at 50% effect

345 Kprod: net production rate constant

346 Amax: maximum amount of released marker

347

348 VIII. Declarations

349 a. Ethics approval and consent to participate

350 Animal experiments were conducted in accordance with the local ethics committee (ECD 16/11, Ghent
351 University Hospital). The reported study does not involve human participants, human data or human tissue.

352 b. Consent for publication

353 Not applicable as this manuscript does not contain data from any individual person.

354 c. Availability of data and material

355 The datasets used and/or analysed during the current study are available from the corresponding author on
356 reasonable request.

357 d. Competing interests

358 AV is an employee of Johnson & Johnson and holds stock/stock options in the company. All other authors
359 declare no conflict of interest.

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363 collected samples.

364 f. Authors' contributions

365 EDT acquired and analyzed in vitro and in vivo data, wrote the manuscript. KVdV and JVD performed
366 pathological studies. AV and PC participated in data analysis. JVB participated in coordination of the project.
367 Critical revision of the manuscript and approval of the final version: all authors.

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450

451 X. Table and Figure legends

452 **Table 1. Phase-nonspecific model parameters on cell count**

453 **Table 2. Phase-nonspecific model parameters on released ccCK18**

454 **Figure 1. Western blot of CK18 expression.** Western blot to evaluate expression of CK18 at cell level. GAPDH
 455 was used as loading control. The original blot is presented in Supplementary Figure S1.

456 **Figure 2. In vitro relationship between cell count and released ccCK18 levels.** Relationship between cell
 457 count and released ccCK18 levels. These graphs are based on the predicted data from the different phase-
 458 nonspecific pharmacodynamic models. On the x-axis cell count is represented as the following ratio:
 459 prediction for control (0 nM) over prediction for a specific PTX level, M30 on the y-axis represents ccCK18
 460 using the ratio calculated from prediction for a specific PTX level over prediction for control (0 nM). The grey

461 line in all graphs illustrates the line of identity. From left to right, the symbols are directly related to the
462 specified time points studied (0, 24, 48, 72, 96, 120 and 144h). This figure was made using GraphPad Prism 8
463 (GraphPad Software, Inc., USA).

464 **Figure 3. In vivo relationship between tumor volume and released ccCK18 levels.** Relationship between
465 tumor volume and released ccCK18 levels. The left panels represent data from PA-1 xenografts whereas the
466 right panels illustrate the SK-OV-3lucIP1 xenografts. These graphs are based on measured tumor volume
467 (mm^3 , upper panel) and measured ccCK18 levels (pM, lower panel) using the M30 Apoptosense® Elisa
468 (PEVIVA, Sweden). Grey triangles and lines represent the PTX-treated group of cell line-derived xenografts
469 whereas the black triangles and lines are illustrating the non-PTX-treated control groups.

470 **Figure 4. Immunostaining of tumor tissue.** Tumor tissue samples stained for H&E, proliferation marker Ki67
471 and CK8-18 marker (only control group). Scale bars represent 1mm, except for the PTX-treated SK-OV-
472 3lucIP1 group where the scale bar represents 200 μm .

473 **Supplementary Figure S1. Original western blot.** Original western blot of Figure 1 as Figure 1 is the result of
474 the overlay of the images presented in this Supplementary Figure.

475

476

XI. Tables

477

Table 1.

	PM-LGSOC-01	Caov-3	NIH:OVCAR-3	PA-1	SK-OV-3lucIP1	SK-OV-3
Kng (h ⁻¹)	0.0077	0.0038	0.0089	0.0055	0.0164	0.0036
(RSE%)	(8%)	(20%)	(7%)	(10%)	(7%)	(27%)
(95% CI)	(0.007-0.009)	(0.002-0.005)	(0.008-0.01)	(0.004-0.007)	(0.014-0.019)	(0.002-0.005)
Emax (h ⁻¹)	0.0151	0.0236	0.0299	0.0288	0.0253	0.0245 FIX
(RSE%)	(4%)	(6%)	(3%)	(3%)	(14%)	
(95% CI)	(0.014-0.016)	(0.021-0.026)	(0.028-0.031)	(0.027-0.031)	(0.018-0.032)	
C50 (nM)	4.02	4.85	7.24	22.3	85.2	6 080
(RSE%)	(14%)	(27%)	(15%)	(10%)	(56%)	(55%)
(95% CI)	(2.905-5.135)	(2.263-7.437)	(5.143-9.337)	(17.733-26.867)	(-7.9-178.3)	(-505.6-12665.6)
Base_count	89 322	10 615	15 522	36 316	3 905	44 356
(RSE%)	(0%)	(1%)	(0%)	(0%)	(1%)	(1%)
(95% CI)	(80 018-99 708)	(9 653-11 673)	(14 705-16 384)	(33 423-39 459)	(3 492-4 368)	(37 161-52 945)

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481

482 **Table 2.**

	PM-LGSOC-01	Caov-3	NIH:OVCAR-3	PA-1	SK-OV-3lucIP1	SK-OV-3
Kprod (h ⁻¹)	0.0155	0.0267	0.0333	0.0177	0.0228	0.0237
(RSE%)	(5%)	(3%)	(3%)	(4%)	(4%)	(8%)
(95% CI)	(0.014-0.017)	(0.025-0.028)	(0.031-0.035)	(0.016-0.019)	(0.021-0.025)	(0.02-0.027)
Emax (h ⁻¹)	0.0428	0.008	0.0087	0.02	0.0076	0.0128
(RSE%)	(3%)	(9%)	(9%)	(3%)	(5%)	(9%)
(95% CI)	(0.041-0.045)	(0.007-0.009)	(0.007-0.01)	(0.019-0.021)	(0.007-0.008)	(0.011-0.015)
C50 (nM)	8.13	0.796	8.8	1.49	7.37	4.53
(RSE%)	(2%)	(3%)	(6%)	(3%)	(5%)	(28%)
(95% CI)	(7.752-8.508)	(0.756-0.836)	(7.724-9.876)	(1.407-1.573)	(6.659-8.081)	(2.06-7)
Hill factor γ	8.02	5.46	6.71	5.31	6.58	2.21
(RSE%)	(8%)	(16%)	(24%)	(5%)	(3%)	(30%)
(95% CI)	(6.83-9.21)	(3.751-7.169)	(3.515-9.905)	(4.747-5.873)	(6.249-6.911)	(0.928-3.492)
Base_amount	137	203	102	150	20.5	22.2
(pM)	(2%)	(1%)	(1%)	(1%)	(2%)	(4%)
(RSE%)	(119-159)	(185-222)	(91.4-113)	(136-165)	(17.8-23.5)	(17.5-28.2)
(95% CI)						
Amax (pM)	2 345	3 395	2 644	4 024	907	838
(RSE%)	(1%)	(1%)	(1%)	(1%)	(2%)	(3%)
(95% CI)	(2 156-2 551)	(2 097- 3 722)	(2 390-2 925)	(3 419-4 737)	(733-1 122)	(590-1 190)

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