Java-Sumatra Niño/Niña and associated regional rainfall variability

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Abstract

A phenomenon referred to here as Java-Sumatra Niño/Niña (JSN or JS Niño/Niña) is characterized by the appearance of warm/cold sea surface temperature anomalies (SSTAs) in the coastal upwelling region off Java-Sumatra in the southeastern equatorial Indian Ocean. JSN develops in July-September and sometimes as a precursor to the Indian Ocean Dipole, but often without corresponding SSTAs in the western equatorial Indian Ocean. Although its spatiotemporal evolution varies considerably between individual events, JSN is essentially an intrinsic mode of variability driven by local atmosphere-ocean positive feedback, and thus does not rely on remote forcing from the Pacific for its emergence. JSN is an important driver of climate variability over the tropical Indian Ocean and the surrounding continents. Notably, JS Niña events developing in July-September project onto the South and Southeast Asian summer monsoons, increasing the probability of heavy rainfall and flooding across the most heavily populated regions of the world.

Introduction

In boreal fall (September-November), sea surface temperature anomalies (SSTAs) in the tropical Indian Ocean are often characterized by the Indian Ocean Dipole (IOD), a key driver of rainfall variability in Southeast Asia, southeastern Australia and eastern Africa¹⁻⁸. The anomalous SST gradient from the southeastern equatorial Indian Ocean (90°E-110°E and 10°S-0°N) to the western equatorial Indian Ocean (50°E-70°E and 10°S-10°N), which is referred to as the Dipole Mode Index¹, has been widely used to represent the occurrence and intensity of IOD events. However, SSTAs in the western and southeastern equatorial regions are poorly correlated^{9,10} on interannual time scales, with a minimum correlation of 0.1 in boreal summer (June-August) and a maximum of only -0.29 in boreal fall (September-November, SON) for the past 70 years (See Methods). This suggests that it may not be ideal to rely only on the IOD to understand and monitor atmosphere-ocean processes over the tropical Indian Ocean and their climate impacts on the surrounding continents. It is therefore important to investigate how the western and southeastern equatorial Indian Ocean individually contribute to the IOD.

The southeastern pole of the IOD has much greater variability than the western pole¹¹ and is often characterized by the appearance of warm/cold SSTAs along the south and southwest coasts of Java and Sumatra, a phenomenon largely linked to wind-driven coastal upwelling^{12,13} and thus referred to here as Java-Sumatra Niño/Niña (hereafter, JSN for the phenomenon in general and JS Niño/Niña for warm/cold events). Closely phase-locked to the seasonal cycle, JSN prevails largely in July-September (JAS), when the monsoonal winds are upwelling-favorable along the Java-Sumatra coast (i.e., southeasterly) and the coastal thermocline is relatively shallow¹² (Fig. 1a,d). At times JSN appears as a precursor to the IOD, which becomes mature in October¹. In this study, JSN events are defined based on SSTAs averaged in the Java and Sumatra coastal regions (9°S and 3°S, 95°E-105°E and 12°S and 6°S, 105°E-115°E). See Methods for more details.

Similar to the IOD, JSN events are linked to rainfall variability over the surrounding continents (Fig. 1c,f). For the IOD, these relationships are complex and often break down, partly due to the strong remote influence of El Niño-Southern Oscillation (ENSO) via changes in the Indo-Pacific Walker cells and extratropical stationary waves^{3,4,14-16}. Additionally, some IOD events are driven by ENSO¹⁷⁻²², while others are driven by atmosphere-ocean processes internal to the Indian Ocean^{20,22-27}. These may also be applicable to JSN events. For instance, the 1997 JS Niña event occurred during the developing phase of the 1997-1998 El Niño, and contributed to widespread Indonesian forest fires, which resulted in massive coral reef mortality events along the Java-Sumatra coast²⁸. In contrast, the 2019 JS Niña event, one of the strongest events ever recorded, developed rapidly in boreal summer under near-neutral ENSO conditions in the Pacific^{29,30}, produced heavy rainfall and widespread severe flooding and landslides across South Asia³¹, and caused extreme hot and dry conditions that contributed to severe forest fires over southeastern Australia^{32,33}.

As briefly discussed, a significant gap still exists in our understanding of atmosphere-ocean variability in the tropical Indian Ocean. In particular, interannual variability of SST in the southeastern equatorial Indian Ocean associated with JSN and its impact on regional rainfall variability need to be described and explained, which is the main objective of the present study. To do so, we first present the basic characteristics of JSN. Next, to address large inter-event variability of JSN, we present the spatiotemporal evolution patterns of the most frequently recurring JSN varieties and their links to ENSO and regional rainfall variability. We also present the potential onset mechanisms of the leading JSN varieties, followed by a discussion.

Results

Basic characteristics of JSN. As shown in Fig. 1a, JS Niña often develops as early as April-June (AMJ) along the south coast of Java, peaks in July-September (JAS) as the cold SSTAs slowly shift to the southwest coast of Sumatra, and dissipates in October-December (OND). Although weak, there is a secondary peak of JS Niña in the preceding January-March (JFM; hereafter, pre-season). This pre-season cooling pattern is closely tied to a basin-wide cooling of the tropical Indian Ocean that is largely modulated by the Indian Ocean Basin (IOB) mode, which tends to occur during the dissipation phase of La Niña in boreal winter and spring³⁵, as discussed later in this study. Interestingly, relatively weak warm SSTAs develop in the central tropical South Indian Ocean in JAS, and then propagate westward to produce a positive IOD-like pattern in OND.

During the most active months (JAS), JS Niña is typically characterized by cold SSTAs, a shallower thermocline, southeasterly surface wind anomalies along the Java-Sumatra coast, a deeper thermocline farther westward in the tropical South Indian Ocean, and easterly surface wind anomalies along the eastern equatorial Indian Ocean (Fig. 1b). These anomalous atmosphere-ocean patterns indicate that local Bjerknes feedback plays an important role in the development of JS Niña²³. More specifically, the cold SSTAs off the Java-Sumatra coast suppress atmospheric convection aloft. The associated heat sink

induces an anomalous anticyclone to its west (i.e., a descending Rossby wave response³⁴), producing southeasterly wind anomalies and anomalous upwelling off the Java-Sumatra coast, which in turn reinforce the initial cooling²³. The heat sink also drives equatorial easterly wind anomalies (i.e., an equatorial divergent wind response³⁴), thus producing anomalous upwelling in the eastern equatorial Indian Ocean and promoting an expansion of the cold SSTAs toward the equator along the Sumatra coast (Fig. 2).

JS Niño is characterized by nearly opposite patterns of anomalous SSTs, thermocline depth and surface winds compared to JS Niña (Fig. 1d,e). However, there are several noticeable differences that break the antisymmetry. JS Niño tends to develop and decay slightly earlier than JS Niña in boreal summer and fall, and the associated atmosphere-ocean anomalies are generally much weaker³⁶. In contrast, the pre-season peak in JFM, which is tied to a positive phase of the IOB mode and the dissipation phase of El Niño³⁵, has a much larger amplitude, being on par with the peak amplitude in June-August. Additionally, unlike JS Niña, JS Niño tends to remain a monopole throughout boreal summer and fall.

JS Niña events are linked to decreased rainfall over the Maritime Continent (i.e., maritime Southeast Asia) and Malay Peninsula, and vice versa for JS Niño events (Fig. 1c,f). Some JS Niña/Niño events are also linked to increased rainfall in South Asia and mainland Southeast Asia (hereafter, South-Southeast Asia). However, these and other basic characteristics of JSN derived from the composite mean fields (Fig. 1a-f) are not applicable to all events. Indeed, the spatiotemporal evolution patterns are quite different between individual events in terms of the timing, zonal pattern, and amplitude of their onset, peak, and dissipation (Supplementary Figs. 1 and 2).

Spatiotemporal diversity of JSN. As described in Methods, we carry out a spatiotemporal EOF analysis to identify the first two preferred modes of the observed JS Niño/Niña events. Each EOF mode for warm/cold events represents two contrasting JS Niño/Niña varieties or flavors (PC changing from -1 to 1) that correspond to adding and subtracting the EOF pattern to the composite mean, leading to four sets of the most frequently recurring JS Niño/Niña varieties. See Methods and Supplementary Fig. 3 for more details.

One of the leading JS Niño/Niña varieties describes warm/cold events that form in JAS and thus is referred to as *the on-season JS Niño/Niña variety* (Fig. 3f,h). The 1989 warm event and the 1994 cold event are good examples of *the on-season JS Niño/Niña variety* (Fig. 3i,j; see also Supplementary Figs. 1 and 2). Other JS Niño/Niña varieties describe warm/cold events that appear as early as JFM and then, after a short pause, reappear in JAS (hereafter, *the double-peak JS Niño/Niña variety*; Fig. 3e,g) or almost completely dissipate before boreal summer (hereafter, *the pre-season JS Niño/Niña variety*; Fig. 3a,c). The 2016 warm event is an example of the former, while the 1974 cold event and the 1983 warm event are examples of the latter (see also Supplementary Figs. 1 and 2).

Occasionally, strong cold events may develop in boreal summer, persist throughout SON and spawn robust warm SSTAs in the central tropical South Indian Ocean, which in turn propagate westward and

peak in OND. The spatiotemporal evolution of such strong and persistent cold events (e.g., the 2019 JS Niña) is well captured by *the persistent JS Niña variety* (Fig. 3b). Finally, although rare and very weak in amplitude, a pre-season warm event may switch to a cold event in the boreal summer (hereafter, *the transition JS Niño variety*; Fig. 3d). It is worth noting that *the persistent JS Niña variety* displays a positive IOD-like pattern in OND, whereas *the double-peak JS Niño variety* prevails largely as a monopole throughout boreal summer and fall. Both *the double-peak JS Niña and on-season JS Niño varieties* display an IOD-like pattern in boreal summer, while *the on-season JS Niña variety* has a more complex zonal tripole structure.

Interactions with ENSO. *The pre-season and double-peak JS Niño/Niña varieties* are strongly connected to the dissipating phase of El Niño/La Niña in boreal spring (Fig. 4a,c,e,g). Specifically, *the pre-season JS Niño/Niña variety* is favored if El Niño/La Niña dissipates into an ENSO-neutral phase in boreal summer, whereas *the double-peak JS Niño/Niña variety* is favored if El Niño/La Niña transitions to the opposite phase and then intensifies during boreal summer and fall. However, some *double-peak JS Niño/Niña events* occurred under a near-neutral ENSO condition in JAS (e.g., the 2016 JS Niño; Supplementary Figs. 4 and 5). In contrast, there is no apparent ENSO signal linked to *the on-season JS Niño/Niña variety* in boreal summer and fall. This suggests that *the on-season JS Niño/Niña variety* is predominantly driven by atmosphere-ocean processes internal to the Indian Ocean. Similarly, *the transition JS Niño variety* seems to have little connection to ENSO.

Perhaps the most interesting case is *the persistent JS Niña variety*, which is characterized by the greatest intensity of cold SSTAs off the Java-Sumatra coast. During these events, strong warm SSTAs develop in the southwestern equatorial Indian Ocean around OND, so this variety can also be described as strong positive IOD. *The persistent JS Niña variety* is association with the developing phase of El Niño, but the regressed ENSO signal is relatively weak, especially during the onset phase of *the persistent JS Niña variety* around May-July (Fig. 4b). Therefore, it is unlikely that ENSO is required for the onset of *the persistent JS Niña variety*. Instead it is likely driven by atmosphere-ocean processes internal to the Indian Ocean.

To further study the potential role of ENSO in driving the JSN varieties, longitude-time composite maps of SSTAs along the equatorial Pacific and tropical South Indian Oceans are constructed for observed El Niño and La Niña events during 1949–2019 (Fig. 4i,j). There is an overall weak connection between the developing phase of ENSO and SSTAs along the Java-Sumatra coast. An IOD-like pattern of SSTAs emerges around SON from the southeast equatorial Indian Ocean to the western equatorial Indian Ocean. However, during the onset phase of JSN (i.e., prior to July), equatorial Pacific SSTAs associated with the developing phase of ENSO are relatively weak; thus, it is unlikely that ENSO drives the onset of JSN. The remote influence of ENSO during the onset, peak and dissipation phases of JSN, and the associated regional rainfall patterns, are further investigated next.

Regional rainfall patterns linked to JSN varieties. Figure 5 shows the rainfall and 850 hPa wind anomalies associated with El Niño and the leading JS Niña varieties for the onset (AMJ), peak (JAS) and

dissipation (OND) phases of JS Niña. During the peak phase of JS Niña, the rainfall anomalies associated with El Niño show a predominantly zonal see-saw pattern with decreased rainfall over the Maritime Continent and increased rainfall in the western tropical Pacific (Fig. 5b). The anomalous low-level westerly winds along the western tropical Pacific clearly indicate a weakened Pacific Walker cell. The rainfall and low-level wind anomalies during the peak phase of *the double-peak JS Niña variety* are largely consistent with those of El Niño (Fig. 5e), suggesting that the remote influence of El Niño via changes in the Pacific Walker cell largely dictates the regional rainfall anomalies associated with *the double-peak JS Niña variety*.

In contrast, the rainfall anomalies during the peak phase of *the persistent JS Niña variety* show a meridional see-saw pattern between the Maritime Continent and South-Southeast Asia (Fig. 5h). The associated anomalous low-level winds suggest a Gill-type cross-hemispheric atmospheric response to diabatic cooling anomalies aloft in the southeastern equatorial Indian Ocean³⁴. The Gill-type atmospheric circulation carries extra moisture from the southeastern equatorial Indian Ocean region to South-Southeast Asia and can be interpreted as a strengthening of the South and Southeast Asian summer monsoons. *The on-season JS Niña variety* during its peak phase also shows a similar meridional see-saw pattern of rainfall anomalies and the Gill-type atmospheric response, though the rainfall and low-level wind anomalies are generally weaker than those of *the persistent JS Niña variety* (Fig. 5k). Additionally, the teleconnection to southeastern Australia, which is known to occur in boreal summer during some IOD events¹⁴, is observed only during the peak phase of *the persistent JS Niña variety* (Fig. 5h).

During the dissipation phase (OND) of JS Niña, the rainfall anomalies associated with El Niño and *the double-peak, persistent and on-season JS Niña varieties* all show a zonal tripole pattern with increased rainfall from eastern Africa to the western tropical Indian Ocean, decreased rainfall over and around the Maritime Continent, and increased rainfall over the western tropical Pacific Ocean (Fig. 5c,f,i,l). The zonal tripole pattern of rainfall anomalies that appears during the dissipation phase of *the persistent JS Niña variety* is particularly strong, with large rainfall anomalies over eastern Africa, northern Australia and the Maritime Continent, whereas the rainfall response to *the on-season JS Niña variety* is generally very weak in OND.

Figure 6 shows the rainfall and 850 hPa wind anomalies associated with La Niña and the leading JS Niño varieties for the onset, peak and dissipation phases of JS Niño. The rainfall and low-level wind anomalies associated with La Niña are almost mirror images of those associated with El Niño, indicating a zonal see-saw pattern of rainfall anomalies and a strengthened Pacific Walker cell during the peak phase of JS Niño (Fig. 6b). The rainfall and low-level wind anomalies associated with the peak phase of *the double-peak JS Niño variety* are largely consistent with those of La Niña (Fig. 6e). Interestingly, Gill-type atmospheric circulation anomalies still appear during the peak phase in response to diabatic heating anomalies over the southeastern equatorial Indian Ocean. However, these anomalies are not robust enough to produce a meridional see-saw pattern of rainfall anomalies between the Maritime Continent and South-Southeast Asia. Similarly, the anomalous low-level winds associated with the peak phase of *the on-season JS Niño variety* show a Gill-type atmospheric response to diabatic heating anomalies over

the southeastern equatorial Indian Ocean (Fig. 6e). The associated regional rainfall anomalies are somewhat indicative of a meridional see-saw pattern of regional rainfall anomalies. However, the rainfall response to *the on-season JS Niño variety* is generally weak.

During the dissipation phase (OND) of JS Niño, the rainfall anomalies associated with La Niña and *the double-peak and on-season JS Niño varieties* are characterized by a zonal tripole pattern with decreased rainfall from eastern Africa to the western tropical Indian Ocean, increased rainfall over the Maritime Continent and over northern and eastern Australia, and decreased rainfall over the western tropical Pacific Ocean (Fig. 6c,f,i). However, the rainfall response to *the on-season JS Niño variety* is very weak in OND.

Onset mechanisms of JSN varieties. As discussed earlier, *the pre-season and double-peak JS Niño/Niña, and persistent JS Niña varieties* are connected to ENSO, while *the on-season JS Niño/Niña variety* is largely independent of ENSO. Here, we explore in more detail the onset mechanisms of leading JSN varieties. First, we examine the anomalous SSTs, thermocline depth and surface winds associated with El Niño/La Niña and then compare them with those of the leading JS Niña/Niño varieties during the onset, peak and dissipation phases of JSN (Figs. 7 and 8).

SSTAs in the tropical Indian Ocean associated with El Niño are generally weak (Fig. 7a-c). Although weak cold SSTAs appear in a broad region of the southeastern tropical Indian Ocean during the peak phase (JAS) of JS Niña, the surface wind anomalies are too weak to trigger or sustain JS Niña. A positive IOD-like pattern of weak SSTAs develops during the dissipation phase (OND) of JS Niña, with corresponding surface wind anomalies (i.e., southeasterly) that are favorable for coastal upwelling along the Java-Sumatra coast and downwelling in the central tropical South Indian Ocean. However, since the southeasterly monsoonal wind weakens and can reverse its direction as the Australian summer monsoon develops³⁷, the seasonal mean thermocline along Java and Sumatra is too deep to sustain local Bjerknes feedback in OND (Supplementary Fig. 6).

For *the double-peak JS Niña variety*, cold SSTAs appear in the southeastern tropical Indian Ocean during its onset phase (AMJ), with almost no surface wind anomalies in the region (Fig. 7d). This suggests that the cold SSTAs are the residual of basin-wide cold SSTAs forced remotely during the dissipating phase of La Niña (Fig. 4e). Although still weak, the anomalous SSTs, thermocline depth and surface winds are generally larger than those of El Niño during the peak and dissipation phases of JS Niña (Fig. 7e,f), suggesting an involvement of local Bjerknes feedback in *the double-peak JS Niña variety*, possibly enhanced by the residual of cold SSTAs formed during the pre-season.

Unlike El Niño or *the double-peak JS Niña variety*, a robust pattern of upwelling favorable surface wind anomalies appears along the equatorial and southeastern equatorial Indian Ocean during the onset phase of *the persistent JS Niña variety* (Fig. 7g). Although weak, cold SSTAs appear along the south coast of Java, and the thermocline becomes shallower from the south coast of Java to the northeast equatorial region off Sumatra. During the peak phase, the anomalous surface winds strengthen greatly, and the cold SSTAs intensify and expand to the southwest coast of Sumatra (Fig. 7h). Additionally, the

thermocline becomes shallower along the Java-Sumatra coast and in the eastern equatorial region, and the anomaly expands to the west. In contrast, warm SSTAs and a deeper thermocline develop in the central tropical South Indian Ocean. These patterns of amplifying surface wind, SST and thermocline depth anomalies from the onset to peak phase strongly suggest an active role of local Bjerknes feedback.

During the dissipation phase of *the persistent JS Niña variety*, the cold SSTAs off the Java-Sumatra coast persist but weaken, while the anomalous patterns of surface winds and thermocline depth further intensify and propagate westward (Fig. 7i). These surface wind and thermocline depth anomalies are accompanied by a pronounced basin-wide see-saw pattern of SSTAs between the southeast and southwestern tropical Indian Ocean, thus producing a strong positive IOD. Although the surface wind anomalies are still strong and upwelling favorable, the cold SSTs along the Java-Sumatra coast start to weaken in OND as the southeasterly monsoonal wind weakens and the seasonal mean thermocline along the Java-Sumatra coast becomes too deep to influence the coastal SSTs. These also explain how the weakening and directional shifts in monsoonal winds in boreal winter prevent JSN from continuing beyond OND (Supplementary Fig. 6).

The spatial patterns and amplitudes of anomalous SSTs, thermocline depth and surface winds associated with *the on-season JS Niña variety* are very similar to those of *the persistent JS Niña variety* during the onset and peak phases (Fig. 7j,k). However, unlike *the persistent JS Niña variety*, the anomalous SSTs and surface winds diminish rapidly in OND (Fig. 7l). It is not entirely clear why some JS Niña events are persistent (i.e., *the persistent JS Niña variety*) and others dissipate more quickly (i.e., *the on-season JS Niña variety*). One possibility is that some JS Niña events are enhanced and prolonged by remote forcing from the Pacific. Another possibility is that an exceptionally strong JS Niña event may greatly weaken the Indo-Pacific Walker cells, producing warm SSTAs in the neighboring regions of the tropical Indian and Pacific Oceans. The warm SSTAs in the tropical Indian and Pacific Oceans may in turn further weaken the Indo-Pacific Walker cells to prolong the JS Niña event in OND. Consistent with this hypothesis, *the persistent JS Niña variety* is linked to warm SSTAs in the southwestern tropical Indian Ocean and to weak El Niño conditions (Fig. 4b), but there are almost no such warm SSTAs for *the on-season JS Niña variety* (Fig. 4f). This hypothesis is also in line with the idea that a strong IOD event may induce a weak (or pseudo) ENSO event^{38–41}.

Anomalous SSTs, surface winds and thermocline depth associated with La Niña are more or less mirror images of those associated with El Niño (Fig. 8a-c). In other words, the anomalies are too weak to trigger or sustain JS Niño during the onset (AMJ) and peak (JAS) phases of JS Niño. During the dissipation phase (OND) of JS Niño, a negative IOD-like pattern of weak SSTAs develops with corresponding surface wind anomalies (i.e., northwesterly) that are favorable for coastal downwelling along the Java-Sumatra coast. However, as discussed earlier, due to the weakening of the southeasterly monsoonal wind and the associated deepening of the coastal thermocline after JAS (supplementary Fig. 6), the anomalous northwesterly winds along the Java-Sumatra coast in OND do not produce a pronounced JS Niño event.

Unlike La Niña, a robust pattern of downwelling favorable surface wind anomalies emerges along the equatorial and southeastern equatorial Indian Ocean during the onset phase of *the double-peak JS Niño variety* (Fig. 8d). As a result, the thermocline is deeper from the south coast of Java to the northeast equatorial region off Sumatra and shallower immediately southwest of the Java-Sumatra coast. Also during this early period (AMJ), warm SSTAs already prevail widely across the tropical Indian Ocean including in the southeastern equatorial region. The early season warm SSTAs are the residual of pre-season warming, forced remotely during the dissipation phase of El Niño in boreal winter and spring (Fig. 4g). These surface wind and thermocline depth anomalies and the warm SSTAs along the Java-Sumatra coast intensify during the peak phase (Fig. 8e). This suggests that local Bjerknes feedback still plays an active role in *the double-peak JS Niño variety*, given that the remote influence of La Niña is generally weak during the onset and peak phases of JS Niño (Fig. 8a,b). Consistently, some *double-peak JS Niño* events occurred under a near-neutral ENSO condition in JAS. For instance, the 2016 JS Niño event, which is the strongest warm event during 1949–2019, occurred under a very weak and short-lived La Niña event and brought extremely high rainfall over Indonesia and Australia in June–September⁴¹ (Supplementary Figs. 2 and 5). During the dissipation phase of *the double-peak JS Niño variety*, warm SSTAs off the Java-Sumatra coast quickly diminish, while the anomalous patterns of surface winds and thermocline depth further intensify and propagate westward (Fig. 8f). Additionally, warm SSTAs develop in the central tropical South Indian Ocean south of 10°S. It is likely that these atmosphere-ocean anomalies in OND are, to a certain extent, enhanced by La Niña through a strengthened Walker cell in the tropical Indian Ocean and the associated anomalous wind stress curl and Ekman pumping.

Similar to *the double-peak JS Niño variety*, during the onset phase of *the on-season JS Niño variety*, downwelling favorable surface wind anomalies appear along the equatorial and southeastern equatorial Indian Ocean (Fig. 8g). Although weak, warm SSTAs also appear along the south coast of Java. During the peak phase of *the on-season JS Niño variety*, the anomalous surface winds intensify; thus, the thermocline is deeper along the Java-Sumatra coast and shallower in the central tropical South Indian Ocean (Fig. 8h). The anomalous SSTs and surface winds quickly diminish during the dissipation phase since the seasonal mean thermocline along the Java-Sumatra coast is too deep to sustain local Bjerknes feedback in OND (Supplementary Fig. 6).

Potential triggering mechanisms of local Bjerknes feedback. It is widely accepted that ENSO is an important forcing mechanism for the IOD^{17–22}, which tends to peak in October¹. However, as shown and discussed in this study, during the onset phase of JSN (AMJ), the remote influence of ENSO is generally not robust enough to directly force the development of JSN. Thus, for most of the leading JSN varieties (i.e., *the on-season JS Niña/Niño*, *double-peak JS Niño* and *persistent JS Niña varieties*), local Bjerknes feedback²³ is a key ingredient for their emergence. As suggested in Li et al.²³, it is likely that JSN is a weakly damped oscillation, which by definition requires a finite amplitude perturbation to trigger and sustain the local Bjerknes feedback.

Previous studies suggested that westward propagating oceanic Rossby waves across the equatorial South Indian Ocean could directly affect atmospheric convection in the western equatorial region to

produce the IOD^{29,42}. The oceanic Rossby waves may also reflect from the western boundary to produce eastward propagating equatorial Kelvin waves. These oceanic waves may further disturb the Wyrki jets, the eastward flowing ocean currents along the equatorial Indian Ocean appearing in boreal spring and fall, and thus potentially trigger the IOD^{41,43–52}. In line with these hypotheses, during the onset phase of *the double-peak JS Niño variety*, the thermocline across the Seychelles Dome in the southwestern tropical Indian Ocean is deeper (Fig. 8d). The deepened thermocline is remotely forced from the Pacific during the pre-season^{53–56} (Fig. 4g). It is possible that the anomaly later propagates to the west and reflects from the western boundary to produce eastward propagating equatorial Kelvin waves, initiating local Bjerknes feedback that kick-starts the second peak. It is also possible that the residual of warm SSTAs in the southeastern tropical Indian Ocean formed during the pre-season may persist and enhance the local Bjerknes feedback (Fig. 8d). However, in some cases the pre-season anomalies completely dissipate before boreal summer (i.e., *the pre-season JS Niño/Niña variety*). In addition, there are little-to-no pre-season anomalies for *the on-season JS Niña/Niña variety* or *the persistent JS Niña variety*. Therefore, further study is needed to understand the extent to which the pre-season anomalies of thermocline depth and SSTs can actually trigger the local Bjerknes feedback.

Other proposed onset mechanisms of the IOD involve subseasonal atmospheric processes, such as the Madden-Julian Oscillation and boreal summer intraseasonal oscillation^{25,57–59}, a delayed response to the Pacific ENSO through the Indonesian Throughflow^{12,60}, recharge oscillation⁶¹ and inter-hemispheric sea level pressure gradient⁶². Yet, some other studies point to atmosphere-ocean anomalies originating from the subtropical South Indian Ocean as a potential driver of the IOD^{24,27}. In agreement with this hypothesis, prior to the onset phase of *the persistent and on-season JS Niña varieties*, an anticyclonic sea level pressure anomaly appears over the subtropical South Indian Ocean in March (Supplementary Fig. 7a,d). This sea level pressure anomaly slowly shifts (or expands) toward the equator, producing anomalous southeasterly winds off the Java-Sumatra coast as early as April (Supplementary Fig. 7b,e). This may in turn serve as a trigger for local Bjerknes feedback. Similarly, prior to the onset phase of *the double-peak and on-season JS Niño varieties*, a cyclonic sea level pressure anomaly forms over the subtropical South Indian Ocean and then slowly migrates toward the equator (Supplementary Fig. 7g-l). Further study is needed to understand how these subtropical sea level pressure anomalies form and migrate equatorward.

Discussion

To better understand atmosphere-ocean variability in the tropical Indian Ocean, here we explore JSN and its spatiotemporal diversity, associated regional rainfall variability, and onset mechanisms. JSN is largely associated with anomalous upwelling/downwelling along the Java-Sumatra coast, and thus is closely phase-locked to the seasonal cycle, prevailing in JAS when the monsoonal winds are upwelling favorable along the Java-Sumatra coast. At times, JSN appears as a precursor to the IOD, which becomes mature in October¹, but it often occurs without corresponding SSTAs in the western equatorial Indian Ocean. JSN is essentially an intrinsic mode of variability driven by local Bjerknes feedback and thus does not rely on

remote forcing from the Pacific for its emergence. However, the timing, zonal pattern, and amplitude of onset, peak, and dissipation are quite different from event to event.

Some JSN events first appear in the pre-season (JFM) and then, after a short pause, reorganize in JAS (*the double-peak JS Niño/Niña variety*). Although these JSN events do not rely on ENSO for their onset, the associated regional rainfall pattern is greatly modulated by ENSO through changes in the Pacific Walker cell (Fig. 9a). In contrast, some JS Niña events appear predominantly in JAS (*the on-season and persistent JS Niña varieties*) and produce a meridional see-saw pattern of rainfall anomalies that projects onto the South and Southeast Asian summer monsoons, driven by a Gill-type cross-hemispheric atmospheric circulation in response to the cold SSTAs along the Java-Sumatra coast (Fig. 9b). Some of those JS Niña events are much stronger than others and display a strong positive IOD signature (*the persistent JS Niña variety*). These *persistent JS Niña* events (e.g., the 2019 JS Niña) potentially enhance - and are enhanced by - warm SSTAs in the neighboring regions of the tropical Indian and Pacific Oceans. They thus tend to persist longer and produce particularly strong rainfall anomalies over the surrounding continents.

As summarized in Table 1, the main results presented in this study indicate that JSN develops earlier than the IOD as either a monopole, dipole or even tripole. JSN does not rely on ENSO for its emergence, and it is also an important driver of climate variability over the tropical Indian Ocean and the surrounding continents. Given these new findings, further analysis and modeling studies of JSN are warranted to better understand and monitor the atmosphere-ocean processes involved in the onset, peak and dissipation of JSN. These advances will likely lead to an earlier warning of extreme rainfall and flooding events in the most heavily populated areas of the world.

Methods

Data used. In this study, we analyze observational and reanalysis data sets to describe and explain JSN, and associated regional rainfall variability. Monthly SSTAs are derived from the Centennial in situ Observation-Based Estimates of the variability of SST⁶³ for the 1949–2019 period. Monthly anomalies of surface winds (at 10 m) and 850 hPa winds are from the National Centers for Atmospheric Prediction-National Center for Atmospheric Research reanalysis⁶⁴ for the same period. Monthly precipitation anomalies are derived from the National Oceanic and Atmospheric Administration's precipitation reconstruction dataset⁶⁵ for the same period. Monthly anomalies of 20°C isotherm depth (D20), which are used here as proxies for thermocline depth anomalies, are obtained from European Centre for Medium-Range Weather Forecasts Ocean Reanalysis System 5⁶⁶ for the 1958–2018 period.

Identification of JSN events. SSTAs in the tropical Indian Ocean are influenced by strong warming trends and decadal variability^{15,67}. For instance, without de-trending, the correlation between the western and southeastern poles of the IOD in SON is 0.23 during 1949–2019, 0.0 during 1949–1980, and - 0.25 during 1981–2019. Since we are mainly interested in interannual variability, a separate 30-year averaged climatology is constructed every 5 years and used to derive SSTAs. For instance, to compute SSTAs for

the 1951–1955 period, a 30-year averaged climatology for 1936–1965 is used; to compute SSTAs for 1956–1960, a 30-year averaged climatology for 1941–1970 is used; and so forth. This method defines JSN events relative to their contemporary climatology. It is also currently being used at the National Oceanic and Atmospheric Administration's Climate Prediction Center to define El Niño and La Niña events. One way to define JSN Niño/Niña event is based on the threshold that the 3-month averaged SSTAs exceed $\pm 0.4^{\circ}\text{C}$ in the Java-Sumatra coastal region (9°S and 3°S , 95°E - 105°E and 12°S and 6°S , 105°E - 115°E) for at least three consecutive overlapping seasons. An alternative method is to apply the same threshold separately to the 3-month averaged SSTAs in the south coast of Java (12°S – 6°S and 105°E – 115°E) and the southwest coast of Sumatra (9°S – 3°S and 95°E – 105°E), and then identify JSN events when the threshold is met in either of the two regions. We identify 17 JS Niña and 14 JS Niño events based on the first method, and 21 JS Niña and 19 JS Niño events based on the second method (Supplementary Tables 1–4). The second method is used in this study because of the larger sample size. We also use 26 El Niño and 25 La Niña events identified based on a threshold that the 3-month averaged SSTAs in Niño 3.4 region (120°W – 170°W and 5°S – 5°N) is equal to or exceeds $\pm 0.5^{\circ}\text{C}$ for at least five consecutive months^{68,69} (Supplementary Tables 4).

Spatiotemporal EOF analysis. A longitude-time map of tropical South Indian SSTAs is derived for each of the 21 JS Niña and 19 JS Niño events (Supplementary Figs. 1 and 2). The time and longitude axes span from January to December and the entire Indian Ocean (40°E – 120°E), respectively. Note that tropical South Indian SSTAs are averaged between 9°S and 3°S west of 105°E , and between 12°S and 6°S east of 105°E in order to take into account the difference in latitude bands between the Java coast and Sumatra coast. In order to objectively identify the preferred spatiotemporal modes of the observed JS Niña events, we perform an empirical orthogonal function (EOF) analysis of the 21 longitude-time maps of tropical South Indian SSTAs, following the method used in Lee et al.⁶⁸. The resulting principal components (PCs) are associated with each individual JS Niña event, and the EOFs represent a linearly independent set of longitude-time maps. The first and second leading PCs explain 36% and 13% of the inter-event variance, respectively (Supplementary Fig. 3b,c). The same EOF analysis is performed to identify the preferred spatiotemporal modes of the 19 observed JS Niño events for which the first and second leading PCs explain 27% and 23% of the inter-event variance, respectively (Supplementary Fig. 3e,f). Note that the same method was previously used to identify the leading spatiotemporal modes of the observed El Niño events in the Pacific^{68,69} and Atlantic Niño events in the Atlantic⁷⁰.

Regression analysis. In order to describe the atmosphere-ocean processes associated with different JSN varieties, the corresponding spatiotemporal patterns of ocean and atmospheric variables are obtained by linearly regressing their time series onto these PCs. For instance, we first subsample 21 maps of precipitation anomalies averaged in any season (e.g., AMJ) for the 21 years during which JS Niña occurred. Then, they are regressed on the 21 values of PC2 for the JS Niña events. The regression coefficients are then added to the composite mean of the 21 maps of precipitation anomalies to obtain the anomalous rainfall patterns for *the double peak JS Niña variety* (Fig. 5d,e,f). Similarly, the regression

coefficients are subtracted from the composite mean to obtain the anomalous rainfall patterns for *the on-season JS Niña variety* (Fig. 5j,k,l).

Data Availability

Data used in this paper are available to download from <https://psl.noaa.gov/data/gridded/data.cobe.html>, <https://psl.noaa.gov/data/gridded/data.ncep.reanalysis.derived.html>, <https://psl.noaa.gov/data/gridded/data.prec.html>, and <https://icdc.cen.uni-hamburg.de/daten/reanalysis-ocean/easy-init-ocean/ecmwf-oras5.html>.

Code Availability

The NCL codes used to perform the spatiotemporal EOF analysis can be accessed upon request to S.-K.L.

Declarations

Competing interests

The authors declare no competing interests.

Author contributions

S-K.L. conceived the study and wrote the initial draft of the paper. H.L, G.R.F., D.K. S.M.L. E.-P.L. significantly contributed to the discussion and interpretation of results, and reviewed and edited the paper. K.P, D.L.V, S.C and F.A.G also contributed to the discussion and interpretation of the results, and reviewed and edited the paper.

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Table

Table 1. JSN versus IOD. This summary table compares JSN and IOD with respect to their mature seasons, zonal patterns of SSTAs, rainfall patterns, rainfall mechanisms and indices.

Terms	JSN	IOD
Mature season (month)	July-September	September-November (October) ¹
Zonal pattern of SSTAs	Monopole, dipole, or tripole	Always dipole ¹
Driving mechanism	Local Bjerknes feedback	ENSO-induced remote forcing ¹⁷⁻²² and local Bjerknes feedback ^{20,22-27}
Rainfall pattern	JAS: a meridional see-saw pattern of rainfall anomalies that projects on the South-Southeast Asian summer monsoons	SON: a zonal tripole pattern of rainfall anomalies between eastern Africa, the Maritime Continent and the western tropical Pacific ^{3,4,14-16}
Rainfall mechanism	Cross-hemispheric Gill-type circulation	Indo-Pacific walker cells ^{3,4,14-16}
Index	JSN index: SSTAs averaged in the Java and Sumatra coastal regions (9°S and 3°S, 95°E-105°E and 12°S and 6°S, 105°E-115°E)	DMI ¹ : gradient of SSTAs from the southeastern (90°E-110°E and 10°S-0°N) to the western equatorial Indian Ocean (50°E-70°E and 10°S-10°N)

Figures

Figure 1

Composite mean fields of Java-Sumatra Niña/Niño. (a,d) Time-longitude plot of composite mean tropical South Indian SSTAs, averaged between 9°S and 3°S along 40°E-105°E and between 12°S and 6°S along 105°E-120°E, from January to December derived from observed JS Niña/Niño events. (b,e) Composite mean tropical Indian Ocean SST (shades), 20°C isotherm depth (D20; contours), and 10 m wind (vectors) anomalies; and (c,f) precipitation anomalies during July–October derived from observed JS Niña/Niño

events. Positive and negative D20 values are indicated by green and cyan contour lines, respectively. The dashed gray lines in Figures 1a and 1d indicate 1 July and 30 September. The solid gray line in Figures 1a and 1d indicates 105°E that separates the Java coastal region from the Sumatra coastal region. The units for SST, D20, winds and precipitation are °C, m, m s⁻¹, and mm day⁻¹, respectively. Wind vectors are shown only where wind speed anomalies are greater than 0.4 m s⁻¹. The contour interval for D20 anomalies is 2.5 m.

Figure 2

Local Bjerknes feedback mechanism for JSN. The schematics illustrate local Bjerknes feedback mechanisms for (a) JS Niña and (b) JS Niño. (a) The cold SSTAs off the Java-Sumatra coast suppress atmospheric convection aloft. The associated heat sink induces an anomalous anticyclone to its west (i.e., a Rossby wave response³⁴), producing southeasterly wind anomalies and anomalous upwelling off the Java-Sumatra coast, which in turn reinforce the initial cooling²³. The equatorial easterly wind anomalies driven by the heat sink (i.e., an equatorial divergent wind response³⁴) produce anomalous upwelling in the eastern equatorial Indian Ocean, promoting an expansion of the cold SSTAs toward the equator along the Sumatra coast. (b) The local Bjerknes feedback mechanism for JS Niño can be explained by the opposite patterns of anomalies for JS Niña. These schematics are adopted and revised from Li et al.²³

Figure 3

Spatiotemporal diversity of JSN. (a–h) Time-longitude plots of the tropical South Indian SSTAs (averaged over 9°S–3°S for 40°E–105°E and over 12°S–6°S for 105°E–120°E; shades) illustrate (a,b,e,f) the four most frequently recurring JS Niña varieties, namely, (a) the pre-season, (b) persistent, (e) double-peak and on-season, and (c,d,g,h) the four most frequently recurring JS Niño varieties, namely, (c) the pre-season, (d) transition, (g) double-peak and (h) on-season during 1949–2019. (i,j) Normalized PC1 versus

PC2 values for the 21 JS Niña and 19 JS Niño events. The two-digit numbers in blue indicate the JS Niña years, while those in red indicate the JS Niño years. The percentage values shown in Fig. 2i and j indicate the interevent variances explained by the PCs. The dashed gray lines in Fig. 2a-h indicate 1 July and 30 September. The solid gray line in Fig. 2a-h indicates 105°E that separates the Java coastal region from the Sumatra coastal region. The thick gray lines in Fig. 2i-h are the boundaries (i.e., $PC1 = \pm 2 \times PC2$ and $PC2 = \pm 2 \times PC1$) that separate the four sets of varieties from the mixed varieties. The units are °C.

Figure 4

Pacific influences on JSN varieties. Time-longitude plots of SSTAs along the equatorial Pacific (averaged over 5°S–5°N) and tropical South Indian Oceans (averaged over 9°S–3°S along 40°E–105°E and over 12°S–6°S along 105°E–120°E) for (a,b,e,f) the four most frequently recurring JS Niña varieties and for (c,d,g,h) the four most frequently recurring JS Niño varieties, and for (i,j) ENSO, spanning from July (–1) to June (+1). The time-longitude plots for ENSO are based on composite mean SSTAs derived from observed El Niño and La Niña events. The horizontal dashed gray lines indicate 1 July (0) and 30 September (0), while the horizontal solid gray lines indicate 1 January (0) and 31 December (0). The vertical solid gray line indicates 120°E that separates the Indian Ocean from the Pacific Ocean. The units are °C. SSTAs in the tropical South Indian Ocean have been increased by a factor of two for better visual comparison with the tropical Pacific SSTAs.

PREC & 850hPa Wind anomalies
Associated with El Niño & JS Niña varieties

Figure 5

Climate impacts of El Niño versus JS Niña varieties. Precipitation (shaded) and 850 hPa wind anomalies (vectors) associated with El Niño, and the double-peak, persistent and on-season JS Niña varieties during the onset, peak and dissipation phases of JS Niña. For El Niño composite mean variables are shown, while for the JS Niña varieties regression variables are shown. See Methods for more details. Wind

vectors are shown only where wind speed anomalies are greater than 0.6 m s^{-1} . The units for precipitation and winds are mm day^{-1} and m sec^{-1} , respectively.

PREC & 850hPa Wind anomalies Associated with La Niña & JS Niño varieties

Figure 6

Climate impacts of La Niña versus JS Niño varieties. Precipitation (shaded) and 850hPa wind anomalies (vectors) associated with La Nina, and the double-peak and on-season JS Niño varieties during the onset, peak and dissipation phases of JS Niño. For La Niña composite mean variables are shown, while for the JS Niño varieties regression variables are shown. Wind vectors are shown only where wind speed anomalies are greater than 0.6 m s^{-1} . The units for precipitation and winds are mm day^{-1} and m sec^{-1} , respectively.

SST, D20 & 10m Wind anomalies
Associated with El Niño & JS Niña varieties

Figure 7

Onset mechanisms of JS Niña varieties and the impact of El Niño. SST (shaded), D20 (contours), and 10m wind (vectors) anomalies associated with El Niño, and the double-peak, persistent and on-season JS Niña varieties during the onset, peak and dissipation phases of JS Niña. Positive and negative D20 values are indicated by green and cyan contour lines, respectively. For El Niño composite mean variables are shown, while for the JS Niña varieties regression variables are shown. The units for SST, D20, and

winds are $^{\circ}\text{C}$, m, and m s^{-1} , respectively. Wind vectors are shown only when wind speed anomalies are greater than 0.6 m s^{-1} . The contour interval for D20 anomalies is 5 m .

SST, D20 & 10m Wind anomalies Associated with La Niña & JS Niño varieties

Figure 8

Onset mechanisms of JS Niño varieties and the impact of La Niña. SST (shaded), D20 (contours), and 10m wind (vectors) anomalies associated with La Niña, and the double-peak and on-season JS Niño varieties during the onset, peak and dissipation phases of JS Niño. Positive and negative D20 values are indicated by green and cyan contour lines, respectively. For La Niña composite mean variables are shown, while for the JS Niño varieties regression variables are shown. The units for SST, D20, and winds are $^{\circ}\text{C}$, m, and m s^{-1} , respectively. Wind vectors are shown only where wind speed anomalies are greater than 0.6 m s^{-1} . The contour interval for D20 anomalies is 5 m .

Regional rainfall patterns of El Niño vs JS Niña in JAS

Figure 9

Regional rainfall patterns of El Niño versus JS Niña. A summary schematic of the precipitation (shades) and low-level wind anomalies (arrows) associated with (upper panel) El Niño and (lower panel) JS Niña in JAS. The double-peak JS Niña/Niño variety is often linked to El Niño/La Niña and the associated zonal see-saw pattern of rainfall anomalies between the Maritime Continent and the western tropical Pacific, forced by ENSO-induced changes in the Pacific Walker cell. In contrast, the persistent and on-season JS

Niña varieties produce a meridional see-saw pattern of rainfall anomalies that projects onto the South and Southeast Asian summer monsoons, driven by a Gill-type cross-hemispheric atmospheric circulation in response to the cold SSTAs along the Java-Sumatra coast.

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