

Characteristics and Spatial Influencing Factors of Natural Regeneration within Aquaculture ponds in a Mangrove Forest

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**Characteristics and Spatial Influencing Factors of Natural
Regeneration within Aquaculture ponds in a Mangrove Forest**

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14 **ABSTRACT**

15 Regeneration is an important component of community succession and understanding regeneration
16 dynamics is essential for forest protection and recovery management. Mangroves are distributed
17 along coastlines and this unique habitat has resulted in very different regeneration process. This
18 study took Dongzhaigang mangrove forest in Hainan, China as the study area, considered the 10
19 years regeneration process in 8 abandoned aquaculture ponds, and the spatial factors that influence
20 the regeneration process are analyzed . The objectives were to: a) investigate the natural dynamics
21 of the mangrove regeneration process in abandoned ponds, b) determine the main spatial factors
22 affecting the natural regeneration process. The results showed that the number of species and
23 individuals showed a tendency to initially rise and then decline, with the maximum occurring at 6–
24 8 years. The results of a diversity index showed an initial rise, with stabilization then occurring
25 over a 8 year period. *Aegiceras corniculatum* and *Sonneratia apetala* were typical pioneer
26 mangrove species in the study area, while *Bruguiera sexangula* and *Kandelia obovata* were
27 representative species of late regeneration period. Spatial factors, including pond area and shape,
28 relative elevation, distance to a tidal creek and surrounding trees area played important roles in the
29 regeneration of mangrove in ponds. Finally, the study considered the current situation regarding
30 mangrove restoration in China and suggested that natural regeneration of mangroves is a good
31 management option.

32 **Keywords**

33 Mangrove forest

34 Aquaculture ponds

35 Natural regeneration

36 Spacial influencing factors

37 Community structure

38

39 **Declarations**

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43 Science Foundation of China.

44 **Conflict of Interest**

45 No conflict of interest exists in the submission of this manuscript.

46 **Availability of data and material**

47 All data are transparency.

48 **Author Contribution**

49 K. Xin,N.Sheng and BW. Liao contributed to the conception of the study;

50 N.Sheng and ZM.Jiang performed the fields investigation;

51 K.Xin and YM.Xiong contributed significantly to sample plots selection and manuscript
52 preparation;

53 N.Sheng and K.Xin performed the data analyses and wrote the manuscript;

54 YM.Xiong and ZM.Jiang helped perform the analysis with constructive discussions.

55 **Ethics approval**

56 Not applicable.

57 **Consent to Participate (Ethics)**

58 All participant consent to participate.

59 **Consent to Publish**

60 Manuscript is approved by all authors for publication.

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67 **1. Introduction**

68 With a distribution across estuary and coastal areas in the tropics and subtropics, mangrove forest is
69 critically important for the maintenance of biodiversity (AwuorOwuor et al., 2019). In recent decades,
70 mangrove forests have been seriously damaged worldwide (Menéndez et al., 2018) due to aquaculture
71 and urbanization, rising sea levels, changes in hydrologic processes, and so on. Among which
72 aquaculture ponds is considered to be the most serious threaten. Since 1980s, the prawn industry has
73 boomed in Southeast Asian countries, with more than 1.2 million hm² of mangroves has been
74 converted into fish ponds(Richards & Friess, 2016). 64% of mangrove destruction is due to pond
75 farming in Thailand(Matsui et al., 2010). The study based on remote sensing data showed that more
76 than 50% of the global decline in mangrove area is due to pond farming (Kuenzer et al., 2011). This
77 situation is even more prominent in China. During 1980-2000, the total occupied area of mangroves in
78 Guangdong province of China reached 7912.2 hm², of which 7767.5 hm² (98.2 %) were used for fish
79 ponds, and a total of 12,923.7 hm² of mangroves disappeared in China, of which 97.6% disappeared for
80 building fish ponds (Department of Forest Resources Management, State Forestry Administration,
81 2002). Returning ponds to mangrove is the most important path of mangrove protection and restoration
82 in China. Artificial planting is the main method of mangrove restoration at present, however, the
83 resulting problems such as species monogamy, habitat damage and alien plant diffusion have been
84 widely concerned (Bosire et al., 2008).

85 Natural regeneration is considered to be a better way for improving biodiversity in contrast to artificial
86 restoration(Levis et al., 2019), however less study has been conducted on the process of mangrove
87 natural restoration in abandoned ponds. Forestry studies showed that forest gaps are an important
88 feature of natural forests and are thought to contribute to species diversity by providing opportunities
89 for niche differentiation in modes of regeneration (Richards et al., 2011) . Theoretically, when a gap
90 formed, adjacent trees are able to quickly capture the vacant growing space and close the gap
91 (Yamamoto, 2000). The gap phase is considered to be the most important stage of the natural forest
92 regeneration process (Connell et al., 1985). The aquaculture ponds within the mangrove forest are
93 supposed to be the forest gap. When ponds were abandoned and tidal process returned to normal, the
94 surrounding mangrove plant propagators (including propagules and seeds) will drift into the abandoned
95 ponds along the tidal creek, and begin to settle, grow and develop into mangrove plant

96 communities(Stevenson et al., 1999). Mangroves show considerable regeneration ability in natural
97 disturbances (storms, hurricanes), suggest pioneer-phase characteristics(Alongi D M, 2008). The
98 factors of soil and water characters that influencing mangrove regeneration were discussed(Yanmei X.,
99 et al., 2021), however, very few studies have focused on the natural regeneration of mangroves in pond
100 rehabilitation and the spatial factors that influence this process remain unclear.

101 In this study, we surveyed natural regeneration process within 8 ponds 10 years after being abandoned
102 in Dongzhai Harbor of Hainan, and spatial factors that affected the community structures were
103 analyzed. We aimed to tell : (I) How long does a regeneration process take from abandoned ponds to
104 stable mangroves community; (II) How does mangrove composition change during the first 10-year
105 natural regeneration period in ponds of mangrove? (III) How do ponds spatial properties affect the
106 composition of the regeneration community?

107 **2. Materials and methods**

108 *2.1. Study area*

109 The Dongzhaigang mangrove wetlands reserve has the most continuous distribution of mangrove forest
110 in China (Kun Xin *et al.*, 2018) and is located in the northeastern part of the Hainan Islands (N 19° 51'–
111 20°01', E 110°30'–110° 37'). The mean annual air temperature is 23.5 °C, with a maximum of 28.4 °C
112 in July and a minimum of 17.1 °C in January. The mean annual rainfall is 1676 mm, with a rainy
113 season between May and October. The tides are irregularly semi-diurnal, with an average tidal range of
114 about 0.89 m. The reserve covers an area of 33.38 km² and contains 36 different mangrove species,
115 among *Rhizophora stylosa*, *Kandelia obovata*, *Aegiceras corniculatum* and *Bruguiera sexangula* are
116 common species. The rich biodiversity of the Dongzhaigang mangrove wetlands reserve makes it the
117 most important mangrove area in China.

118 Since 1980's, around 160 ha mangroves were transformed into aquaculture ponds within the reserve.
119 While in recent decades, protection and restoration of mangrove forest has getting more attentions,
120 aquaculture in mangroves is forbidden and ponds within reserve were abandoned. To accelerate
121 vegetation restoration, artificial planting was the common way, while many adverse impacts were
122 noticed, such as limited biodiversity, introduced fast growing species, existing habitat destroyed by

123 large machines. Natural regeneration were more and more recommended by scholars, while little of the
124 natural regeneration process in this area was known.

125 2.2. Sample plots

126 Eight natural regeneration ponds which were abandoned in the year 2009 were selected for study plot
127 (see Fig.1). Google Earth® (<http://earth.google.com>) image of eight ponds in the year of 2011, 2013,
128 2015,2017 and 2019 are listed in Figure 2. The Arcgis 10.0 software (Esri, Redlands, CA, USA) was
129 used to calculate ponds and mangrove covered area.

130 2.3.Plant composition investigation, Biomass and biodiversity calculation

131 The investigation sites were sampled in 2011. Three plots of 100 m² were set in each ponds for plant
132 investigation. In each plot, the ground trunk diameter and tree height of each mangrove plant with
133 ground trunk diameter > 1 cm and height > 0.3 m were measured. The species composition, height,
134 diameter at roots (3 cm above ground) and crown diameter (average of two vertical axis) were
135 measured and recorded. The plant investigation were conducted in the year 2011, 2013,2015, 2017 and
136 2019 respectively.

137 Then mean(W) of 2 biomass models(Jin et al., 2012) from *Bruguiera gymnorhiza* were used to
138 calculate mangrove biomass.

$$139 \quad W_1 = 0.915(D \times H)^{0.9762}$$

$$140 \quad W_2 = 0.908(D^2 \times C)^{0.4212}$$

$$141 \quad w = (w_1 + w_2) / 2$$

142 Ground diameter (D), height (H) and crown diameter (C) were measured in sample plots.

143 H' (Shannon-wiener Index), which indicates distance-independent plant composition diversity, the
144 higher the Shannon Index, the higher the community biodiversity, and E (Pielou index), which indicates
145 the spatial distribution uniformity of plants, the greater the Pielou Index is, the more uniform the
146 distribution of individual number is and the higher the biodiversity is. In this study, these two indices
147 were used to analyze the structure of communities(Lei & Tang, 2002).

$$148 \quad H' = -\sum_{i=1}^s P_i \ln P_i$$

149

$$E = H/H_{\max}$$

150 P_i is N_i/N , N_i is abundance of species i , N is total individual number; $H_{\max}=\ln S$, S is species
151 abundance.

152 *2.4. Important spatial factors measurement*

153 in ArcGIS platform, according to the image of the aquaculture pond in 2009, the area and perimeter of
154 each pond were measured, and area to perimeter ratio were calculated.

155 Elevation of each pond and the elevation of local mean sea level were measured with Real Time
156 Kinematic (RTK) global navigation satellite receivers (G970II Pro, UniStrong, Beijing China) in the
157 CGCS 2000 coordinate system. RTK is a highly precise technique, yielding data with an accuracy of
158 one inch. 13 points of each ponds were measured (points selecting methods see fig3), and average
159 elevation of 8 ponds were calculated.

160 Tidal process is very important for mangrove restoration and tidal creeks are the important channels of
161 tides. There are two natural tidal creeks in the east and west of ponds distribution area. In ArcGIS10.0
162 (Esri, Redlands, CA, USA) , the center mass coordinate of each aquaculture pond was defined, and the
163 average vertical distance from the center point to two tidal creek boundary was measured, namely, the
164 distance to tidal creek.

165 Aquaculture ponds dug within mangroves are surrounded by native mature mangroves. 100-meter
166 buffer zone were made from the boundary of each aquaculture pond, and the area of adult mangroves
167 in the buffer zone were calculated in ArcGIS.

168 *2.5. Data Analysis Methods*

169 The differences of community dominated by different mangrove species were determined by one-way
170 ANOVA. The relationships between mangroves composition and its potential influencing factors were
171 determined by Pearson correlations. Statistical analyses and hierarchal clustering analyses were
172 conducted using R statistical software at a significance level of 0.05 (R Core Team, 2014).

173

174

175 **3. Results**

176 *3.1 Natural regeneration rate*

177 The results showed that after 10 years natural regeneration, 74.88% of ponds returned to mangroves
178 forest on average, among which B pond regenerated 97.15% and F pond 37.25%, which was the
179 maximum and minimum. The rate of mangroves increasing within 8 ponds could be seen in figure 4.

180 By comparing areas in different years, it showed that the average increasing rate was 6.89% per year,
181 while except the pond E, which increased quickly in 2019, the most rapid increasing of mangroves
182 happened during the year of 2013 to 2015, the time about 4-6 years after ponds being abandoned (see
183 Fig. 4), .

184 Hierarchal Clustering Analyses (see Fig. 5) showed that the regeneration rate between A and H pond
185 has the greatest similarity, followed by C and E pond, while similarity between F pond and other ponds
186 is the least.

187 *3.2 Community composition and structure*

188 It was found that the number of species increased over the first several years, reaching the average of
189 5.58 species in the sixth year, and then slowly declined before stabilizing at 4 species in the 8 to 10
190 year. Except pond F and G, which the peak of species number appeared in 2017 and 2019, the peak
191 number of other 6 ponds appeared in the year 2015, and the maximum is 9 species in pond A(see figure
192 6).

193 The average density of plants of 8 ponds (including saplings over 30cm) increased from 24.8/100 m² in
194 2011 and reached peak at 37/100 m² in 2013, 4 years after being abandoned (see figure 6) , then
195 dropped slowly to 26.8/100 m² in 2015, 23.9/100 m² in 2017 and 21.6/100 m² in 2019. The peak
196 density of plant appeared in pond B, which is 50.5/100 m² in the year 2013.

197 The Shannon index of 8 ponds is 1.23 on average in the year 2011, and continually increased to 1.31 in
198 2013 and 1.39 in 2015, until they reached the peak and stabilized at 1.48 in the year 2017. Pond B and
199 pond H took the top two and pond F and pond G were the last two.

200 The Pielou index increased from 0.1633 in 2011 to 0.1951 in 2017, and stabilized at 0.1950. Same as
201 Shannon index, pond B and pond H took the top two and pond F and pond G were the last two (see
202 figure 6).

203 According to percentage of each species in different years(see figure 7), we can see that *Aegiceras*
204 *corniculatum* was present in 63.3% in the year of 2011 which decreased to 40% during 2013-2015, and
205 30% during 2017-2019, indicating that *Aegiceras corniculatum* is pioneer specie with a strong ability
206 to spread at the beginning of mangrove natural regeneration . In contrast, the abundance of *Rhizophora*
207 *stylosa* and *Kandelia obovata* increased respectively from 8.4% to 33.3% and 3.0% to 8.7% during the
208 same periods, meaning that with a change of environment, these species began to adapt to the changes.
209 The introduced species, *Sonneratia apetala*, experienced an increasing from 6.8% in 2011 to 14.4% in
210 2015, and followed by a decreasing to 9.5% in 2019, indicating that *Sonneratia apetala* is a pioneer
211 species in the mangrove regeneration processing, and with the stability of the community structure, its
212 importance in the community gradually decreased. Species such as *Lumnitzera racemosa* and *Acanthus*
213 *ilicifolius* appeared only in 2015, meaning that the seeds of these species can reach ponds area, but are
214 less competitive than the other species.

215 3.3 Influence of important spatial factors

216 Distance to tidal creeks (see Tab.1) showed extremely significant correlated ($p < 0.01$) to density of
217 plants ($R^2 = 0.915$), species number ($R^2 = 0.862$) and biomass ($R^2 = 0.915$), and significant correlated
218 ($p < 0.05$) to indices ($R^2 = 0.564$ and $R^2 = 0.485$). Relative elevation and adult tree area were significant
219 correlated ($p < 0.05$) to density of plants ($R^2 = 0.915$ and $R^2 = 0.915$), and significant correlated ($p < 0.05$) to
220 species number ($R^2 = 0.862$ and $R^2 = 0.983$) and biomass ($R^2 = 0.975$ and $R^2 = 0.909$), while no related to
221 indices. Ponds area and area/perimeter showed negative correlation ($p < 0.05$) to density of plants
222 ($R^2 = 0.342$ and $R^2 = 0.299$), and no relation to species number, biomass and indices.

223 4. Discussion

224 The regeneration rate after 10 years of natural restoration showed that more than 70% of the cultivation
225 ponds have been restored to mangroves(see Fig.4). That means mangrove community can be
226 regenerated by natural processing in the aquaculture ponds that located in mangrove forest. During the
227 10 years of natural regeneration of mangroves, a total of 10 species of mangrove plants appeared in the

228 cultivation ponds (see Fig.7). Among them, there were 6 common mangrove plants, including
229 *Aegiceras corniculatum*, *Rhizophora stylosa*, *Bruguiera gymnorrhiza*, *Bruguiera sexangula*, *Kenaelia*
230 *obovata* and *Avicennia marina*, which are consistent with the surrounding mangrove communities.
231 What is noteworthy is that the max percentage of *Sonneratia apetala* in the regeneration process was
232 15%, but there were no surrounding parent trees of *S. apetala*, meaning that it has strong diffusion
233 ability.

234 The mean of Shannon diversity and Pielou index of the 8 ponds were 1.5 and 1.9, and kept stable since
235 2017(see Fig.6). It mean that the stable and original community structure can be restored by natural
236 regeneration. Mangrove restoration projects are currently underway in China, there are about 18,800
237 hm² of mangrove forest will be restored in the period of 2021–2025 (Department of Ecological
238 Restoration, 2020). To achieve the aim on time, many managers prefer artificial planting, introduced
239 mangrove species, including *Sonneratia apetala* (from the Bay of Bengal) and *Laguncularia racemosa*
240 (from the Gulf of Mexico) (Liu et al., 2011), with fast growing and high survival rate were used. The
241 planting of these species over a large area has led to a decline of biodiversity (Han et al., 2010; Ren et
242 al., 2009). In this study, we found that natural regeneration occurred in all ponds, and the species
243 diversity was very high and community composition was very similar to that of primary forest. So,
244 within aquaculture ponds in mangrove area, natural regeneration is highly recommended in future
245 mangrove restoration projects.

246 The cluster analysis of the regeneration process within 8 ponds showed that the ponds with similar area
247 and shapes had the more similar regeneration process (see Fig.5), and smaller area and area/perimeter
248 were more conducive to natural restoration in ponds((see Tab.1), which indicated that the natural
249 regeneration process of the mangrove forests was affected by the area and shape of the ponds. The area
250 and shape of different ponds can affect seed germination and seedling settlement through different
251 amount of seed interception, water exchange frequency and soil flooding time(Mcnab et al., 2020;
252 Saraiva et al., 2020), so as to affect the natural regeneration process of aquaculture ponds.

253 Mangrove habitats are within coastal zones, and seed dispersal is largely due to transport by tidal
254 creeks. The results showed that the distance to tidal creeks were important for mangrove community
255 structure (see Tab.1). Seed dispersal is at the core of the Janzen Connell hypothesis that explains the
256 high diversity of tree species in tropical forests (Fox et al., 1976; Hyatt et al., 2003). Water are

257 considered to be important media for the transmission of seeds (Andresen et al., 2005; Rotundo et al.,
258 2005). Species such as *A. corniculatum*, with a huge floating seed capacity, have an absolute advantage
259 during first years (see Fig. 7). Its seeds are carried by tidal water and reach open spaces, becoming
260 retained in ponds, while those species with seeds that have less ability to float, such as *B. sexangula*, *K.*
261 *obovata*, and *Rhizophora stylosa*, would have fewer opportunities to occupy ponds at the very
262 beginning of the regeneration process (see Fig. 7).

263 The relative elevation and adult tree area have a significant effect on community structure during the
264 regeneration process (see Table 1). Within-gap heterogeneity should be taken into account when
265 considering the factors that affect natural regeneration (Gray et al., 1996). Heterogeneity may be
266 caused by many factors (Chen et al., 2005), such as micro-topography, soil physical-chemical
267 characteristics, and shelter by the surrounding forest. In a mangrove forest, the ponds with the greatest
268 difference in topographical elevation (height difference) will trap most mangrove seeds, and therefore
269 relative elevation had the greatest influence on community composition at the very beginning of the
270 regeneration process (see Fig.6). As the plants grow, the ground flattens out, and the effect of relative
271 elevation gradually decreases.

272 **5. Conclusion**

273 By 10 years monitoring, we concluded that it would take about 6-8 years to generate naturally from an
274 abandoned aquaculture pond to a stable mangrove plant community. Although compared to artificial
275 forest, the natural regeneration process requires longer time, less disturbance and richer biodiversity are
276 expected.

277 *Aegiceras corniculatum* is an important species in the whole regeneration processing. It is not only the
278 main constituent species, but also the most important pioneer species, played an important role in early
279 settlement and habitat modification. While mangrove species, such as *Rhizophora stylosa* and *Kandelia*
280 *obovata*, with less propagules, are highly competitive and therefore occupy an important proportion in
281 the final stable communities. The introduced species, *Sonneratia apetala*, is also a pioneer species, the
282 invasion risks should be taken into consideration in future pond rehabilitation.

283 There are many factors that influence the regeneration process, which should be taken into account.
284 Nevertheless, this study demonstrated the importance of tidal creeks around ponds. A perfect tidal
285 creek system is indispensable for successful natural regeneration within ponds.

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Figures

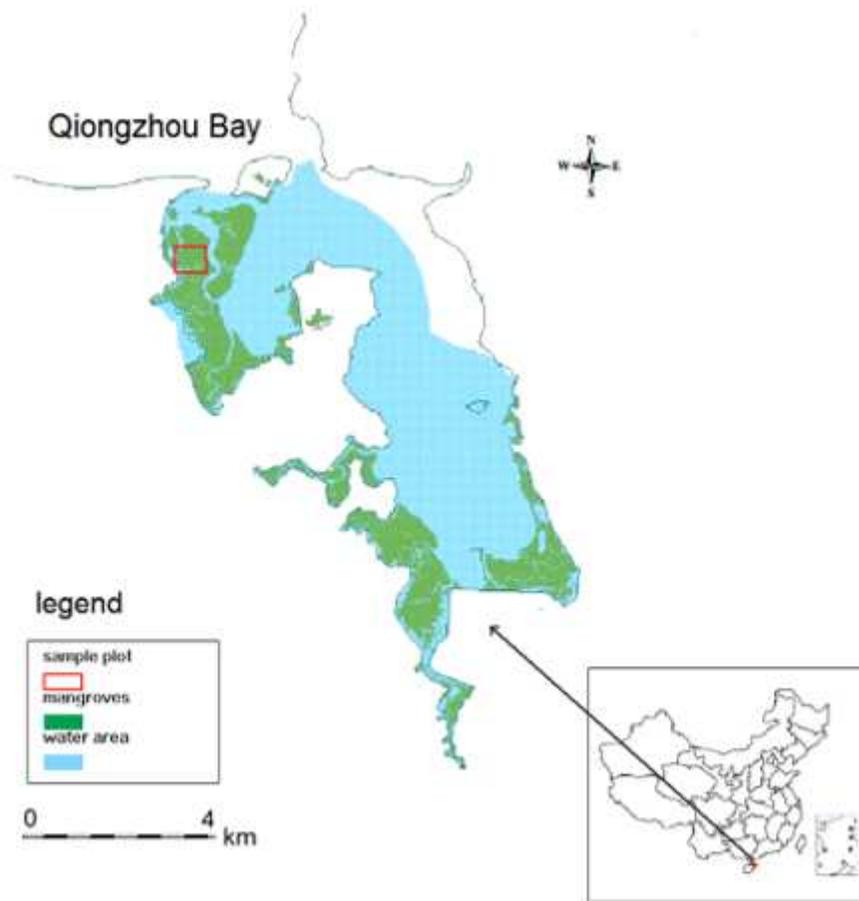


Figure 1

Map of study sample site of Dongzhaigang, Hainan, China

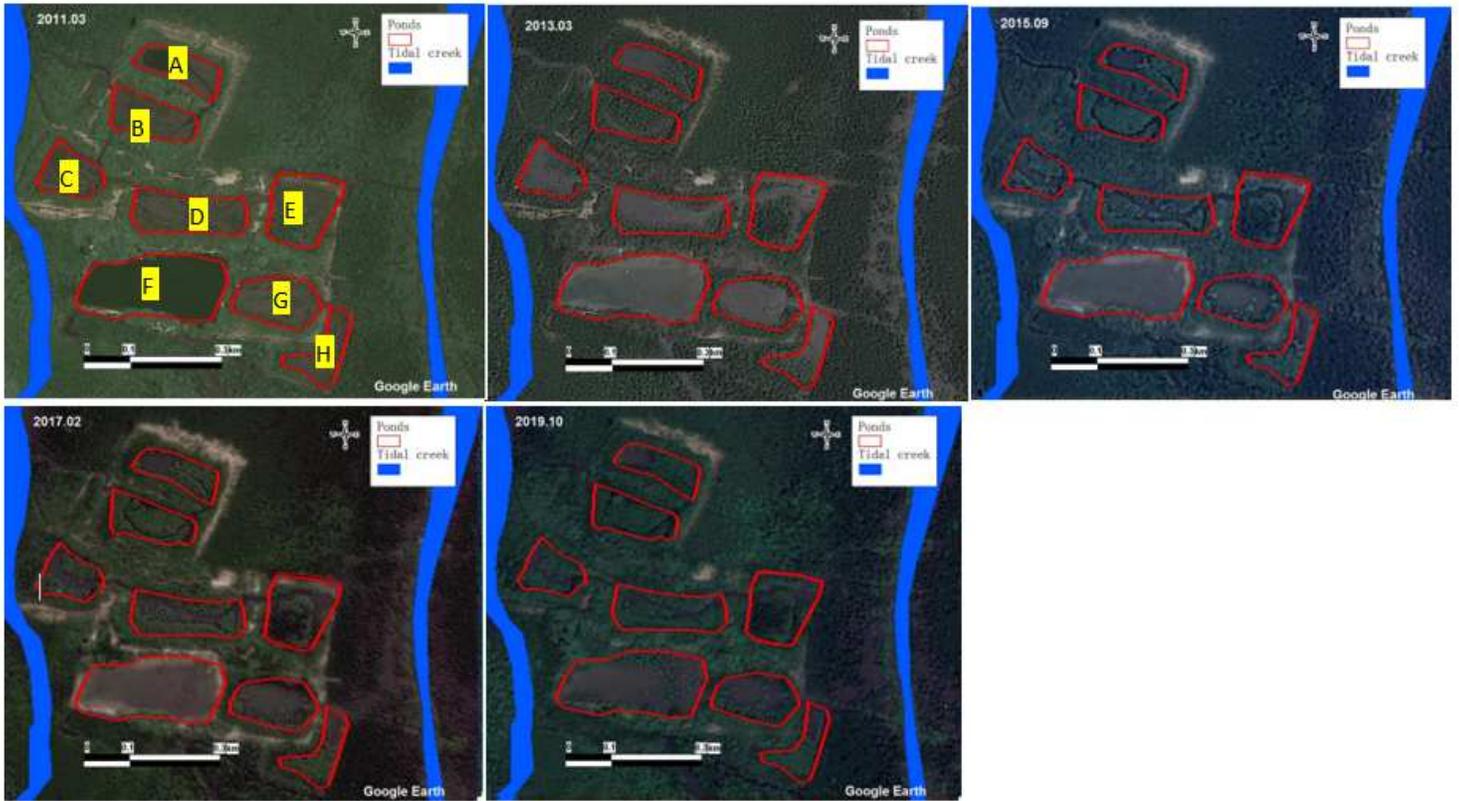


Figure 2

Change of mangroves in 8 ponds from 2011 to 2019. (the area/m² of 8 ponds is : A=1864, B =2038, C=1861, D=2018, E=1584, F=4695, G=1594 , H=1746)

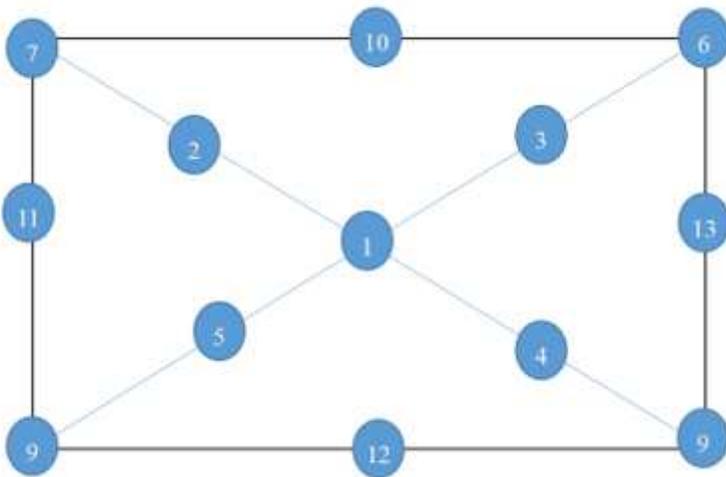


Figure 3

Elevation measure points within each pond

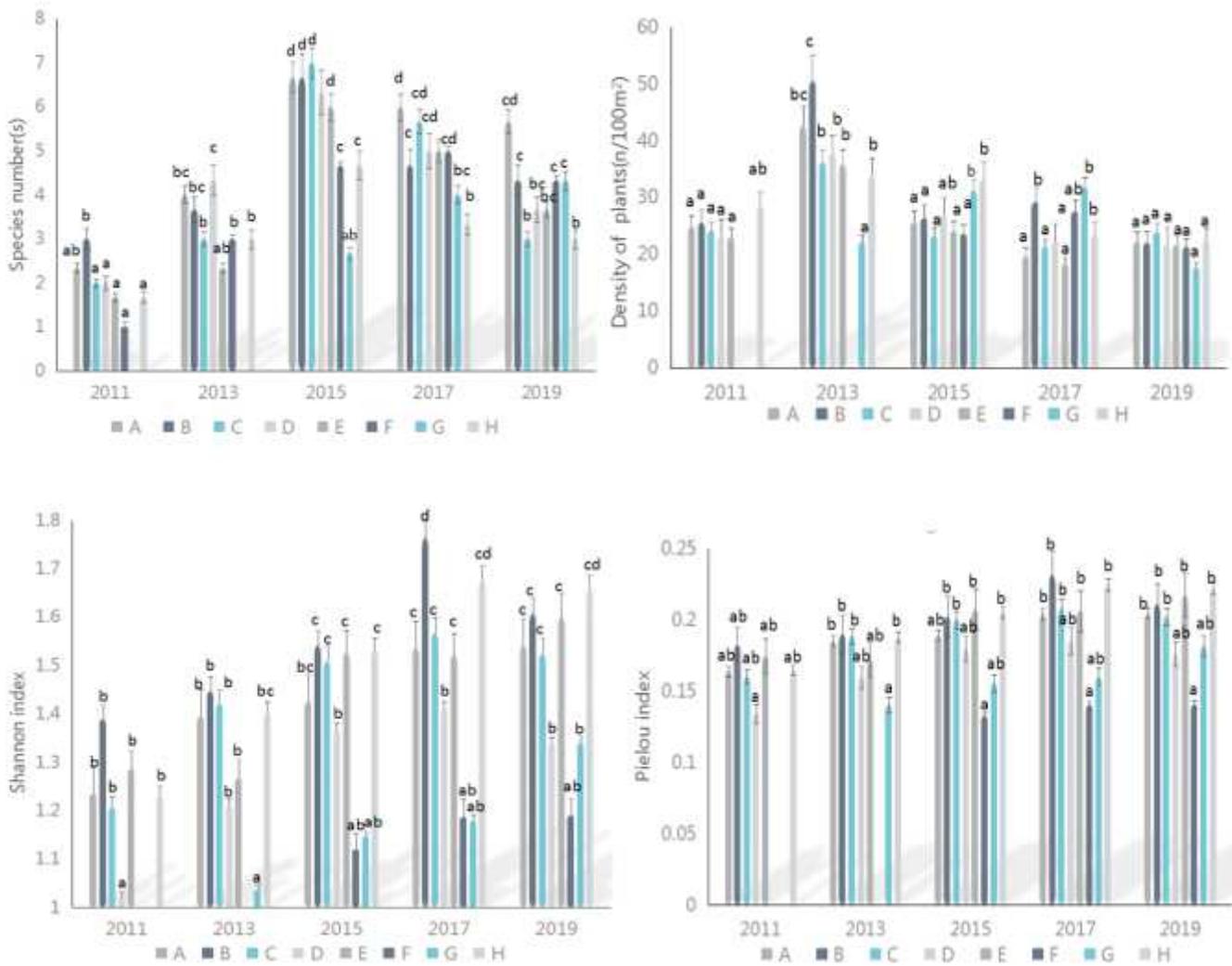


Figure 6

Community structure index within 8 ponds in different year. (different letters on the top of bars mean significant difference($P < 0.05$), and same letters mean no significant difference($p > 0.05$))

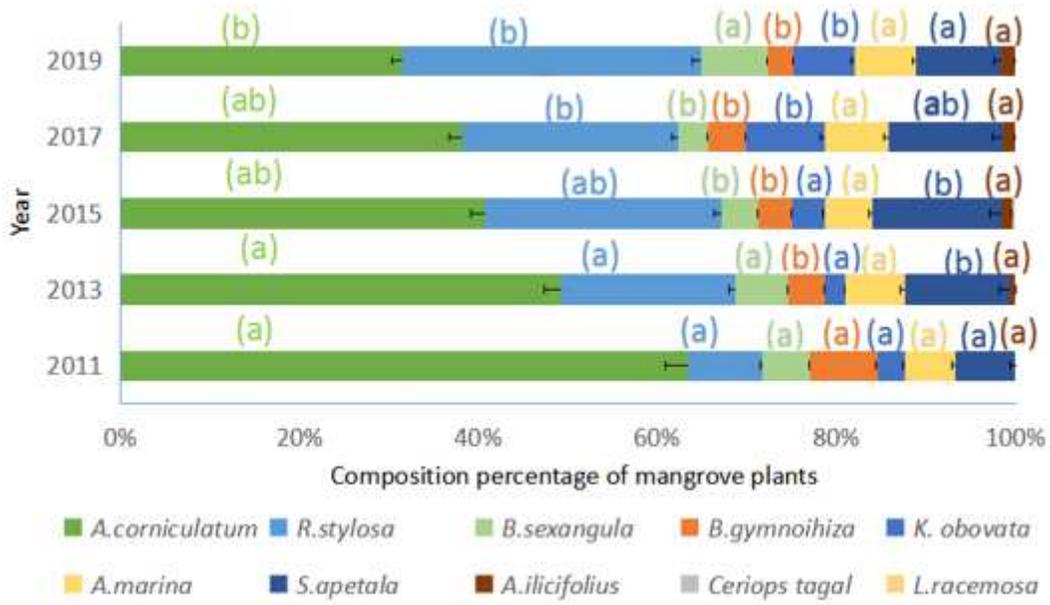


Figure 7

Percentage of mangrove species composition in different regeneration years