

1 **Title page:**

2 **Title: Development of Space Environment Customized Risk Estimation for**

3 **Satellites (SECURES)**

4 Author #1: Tsutomu NAGATSUMA, National Institute of Information and

5 Communications Technology, 2-1-3 Katahira Aoba-ku Sendai, 980-0812, Japan,

6 tnagatsu@nict.go.jp

7 Author #2: Aoi NAKAMIZO, National Institute of Information and Communications

8 Technology, 4-2-1 Nukui-kita, Koganei 184-8795, Japan, aoi.nakamizo@nict.go.jp

9 Author #3: Yasubumi KUBOTA, National Institute of Information and Communications

10 Technology, 4-2-1 Nukui-kita, Koganei 184-8795, Japan, ykubota@nict.go.jp

11 Author #4: Masao NAKAMURA, Osaka Prefecture University, 1-1 Gakuen-cho, Naka-

12 ku, Sakai 599-8531, Japan, nakamura@aero.osakafu-u.ac.jp

13 Author #5: Kiyokazu KOGA, Japan Aerospace Exploration Agency, Sengen 2-1-1,

14 Tsukuba, Ibaraki, 305-8505, Japan, koga.kiyokazu@jaxa.jp

15 Author #6: Yoshizumi MIYOSHI, Nagoya University, Nagoya 464-8601, Japan,

16 miyoshi@isee.nagoya-u.ac.jp

17 Author #7: Haruhisa MATSUMOTO, Japan Aerospace Exploration Agency, Sengen 2-

18 1-1, Tsukuba, Ibaraki, 305-8505, Japan, matsumoto.haruhisa@jaxa.jp

19 **Indicate the corresponding author**

20 *Tsutomu NAGATSUMA, National Institute of Information and Communications*

21 *Technology, 2-1-3 Katahira Aoba-ku Sendai, 980-0812, Japan, tnagatsu@nict.go.jp*

22 (go to new page)

23

24 **Abstract**

25 Plasma variations in geospace environment driven by the solar wind-magnetosphere
26 interaction is one of the major causes of satellite anomaly. To mitigate the effect of
27 satellite anomaly, risk of space weather disturbances needs to be known in advance
28 based on space weather forecast. However, risk of satellite anomaly due to space
29 weather disturbances is not the same as each satellite, because the risk depends not only
30 on the space environment itself but also on the design and materials of individual
31 satellite. For the viewpoint of satellite operator, it is not easy to apply general alert level
32 of space environment to the risk of individual satellite. To provide tailored space
33 weather information, we have developed SECURES (Space Environment Customized
34 Risk Estimation for Satellite) by combining models of space environment and those of
35 spacecraft charging. In SECURES, we are focusing on the risk of spacecraft charging
36 (surface/internal) for geosynchronous satellites. For risk estimation of surface charging,
37 we have combined the global magnetosphere MHD model with the satellite surface
38 charging models. For risk estimation of internal charging, we have combined the
39 radiation belt models with the satellite internal charging models. We have developed

40 prototype products for both types of charging/ESD. The development of SECURES and
41 our achievements are introduced in this paper.

42

43 **Keywords**

44 Space weather forecasting, Geospace, Satellite anomaly, Satellite charging, Customized
45 risk estimation

46

47 **Introduction**

48 The space environment around the Earth (geospace) have been used by many kinds of
49 satellites which have various purposes such as telecommunications, broadcasting, earth
50 observation, positioning, and so on. The plasma and electromagnetic environment in
51 geospace changes significantly due to disturbances in geospace, such as substorms and
52 storms caused by the solar-wind – magnetosphere interaction. It can also be affected by
53 high-energy particles, known as solar energetic particle events (SEPs). The disturbances
54 in geospace cause various satellite anomalies, such as charging/discharging and CPU
55 malfunctions. Therefore, satellites will be designed and developed with measures to

56 prevent anomalies due to geospace disturbances during operation period and in the
57 satellite's orbit.

58 Space weather forecast provide current status and future condition of space
59 environment in geospace based on observation and modeling. If there is a possibility of
60 spacecraft anomalies due to space environment, the following three utilizations of space
61 weather forecast are necessary to improve the stability and safety of satellite operation.

62 Firstly, when the satellite anomaly occurs, triage determination is the urgent action at
63 the occurrence of satellite anomaly. The satellite operator needs to identify the cause of
64 anomaly (misoperation, manufacturing problem, space environment) for their next
65 action to mitigate the effect of the anomaly. For this purpose, it is necessary to
66 understand the current condition (Nowcast) of the space environment around the
67 satellite in order to determine whether the malfunction/failure is caused by the space
68 environment or other factor (O'Brien et al., 2013).

69 Secondly, if there is a high possibility that the satellite anomaly is caused by the space
70 environment, post data analysis will be performed to clarify the relationship between
71 geospace disturbances and satellite anomaly. It is necessary to take future measures, and

72 to improve the satellite itself based on the outcome of post data analysis.

73 Thirdly, in the case of conducting critical operations, such as attitude control and/or

74 rewriting on-board programs, where mistakes and errors can seriously affect the

75 satellite, the satellite operator should refer to the space weather forecast, and to take

76 Go/NoGo decision for critical operation which can reduce the risk of satellite anomaly.

77 In addition, if the risk of satellite anomaly due to space weather disturbance is

78 predicted, stability and continuity of satellite operation can be improved by taking

79 measures such as increasing the number of staff in charge of operation and preparing

80 backup plans. It is possible to realize quick recovery if the satellite anomaly happens.

81 However, the specific utilization of space weather forecasts in the current satellite

82 operation is still in the developing stage for the following reasons. First, there are many

83 unsolved problems regarding the relationship between space weather disturbances and

84 satellite anomalies. Since the operating satellite is in space, the status of the satellite

85 cannot be directly confirmed, making it difficult to directly investigate the cause of the

86 failure. In addition, there is a situation in which it is difficult for satellite manufacturers

87 and satellite operators to disclose detailed information about satellite anomalies to the

88 public. In order to overcome this situation, the United Nations the Committee on the
89 Peaceful Uses of Outer Space (UN/COPUOS) calls for information sharing about
90 satellite design standards, space weather observations and models implemented in each
91 country, satellite anomalies, and efforts to mitigate the effects of satellite anomalies
92 (UN/COPUOS, 2019).

93 The other thing is accuracy and lead time of space weather forecast. The space
94 environment significantly changes in space and time depending on the solar-wind –
95 magnetosphere interactions. Therefore, the condition of the surrounding space
96 environment may be greatly different depending on the position of the satellite, and the
97 space weather forecast is required to reproduce the difference. In addition, since the
98 conditions of space environment in geospace is driven by the solar wind, forecasts with
99 enough lead times cannot be realized unless the state of the solar wind can be predicted
100 in advance. However, since there are a few observations between the sun – earth line,
101 the current status of forecasting lead time is about one hour ahead using data from the
102 solar wind observation located at the L1 point of the Sun-Earth system. Prediction of
103 longer lead times is much less accurate.

104 Finally, as will be described later, the risk of satellite anomaly due to the space
105 environment in geospace also varies depending on the materials and structure used for
106 the satellite itself. In other words, it is not easy for satellite operators to judge the risk of
107 individual satellites only with the space weather forecast. Therefore, in order to perform
108 safety and stability of satellite operation, it is necessary to understand not only the status
109 of geospace environment but also the risks of individual satellites.

110 Based on this background, we have been developing charging risk estimation scheme
111 for satellite operator as SECURES (Space Environment Customized Risk Estimation for
112 Satellites) under PSTEP (Project for Solar-Terrestrial Environment Prediction)
113 (Nagatsuma, 2017). In this paper, we will introduce outline of SECURES, surface
114 charging module, and internal charging module, and will summaries our achievements
115 with discussion.

116

117 **Outline of SECURES**

118 Electrostatic discharge (ESD) is one of the major satellite anomalies caused by space
119 weather disturbances (e.g. Ferguson et al., 2015). One fourth of the satellite anomalies

120 are caused by ESD (Mazur et al., 2011). There are two types of charging mechanism
121 which will cause ESD. One is surface charging and the other is internal charging.
122 Surface charging is a phenomenon that occurs on the surface of the satellite structure
123 caused by plasma of several tens to several hundred keV. An electric potential is formed
124 on the surface of the satellite by the inflow of ions and electrons from the plasma
125 environment around the satellite and the emission of photoelectrons because of sunlight.
126 Internal charging is a phenomenon that occurs inside the satellite structure. Electrons
127 with energies higher than several hundred keV penetrate the wall of the satellite
128 structure and penetrate the interior, which causes charging and discharging of cables
129 and equipment inside the satellite. Due to variations of plasma environment in
130 geospace, the electric potential on the surface and inside of the satellite may change,
131 resulting in electrical discharges leading to malfunctions and failures. Charging and
132 discharging conditions are different depending on the satellite shape and materials even
133 if the condition of space environment is the same. Therefore, it is not easy to identify
134 the operational risk of individual satellite by satellite operator using general information
135 of space weather nowcast/forecast itself. This problem will be reduced by estimating the

136 charging risk of individual satellite using satellite charging models with providing
137 current and future condition of the space environment at the position of an individual
138 satellite by models of space weather forecast.

139 *#Insertion of Figure 1*

140 Figure 1 shows the concept of SECURES. Specifically, for each of the surface and
141 internal charging, predicted physical parameters in the space environment (electron/ion
142 temperature, density, etc.) at the position of the individual satellite, and the structure and
143 material model of that, are used as input of the satellite charging model to estimate the
144 risk of charging.

145 The space environment that causes surface charging is simulated by Global
146 Magnetohydrodynamics (MHD) model. The information on the simulated space
147 environment is used to calculate the charging of individual satellite in a certain space
148 environment condition using a satellite charging model such as Spacecraft Plasma
149 Interaction System (SPIS) (Roussel et al., 2008) or Multi-Utility Spacecraft Charging
150 Analysis Tool (MUSCAT) (Hosoda et al., 2008; Munakata et al., 2008). High energy
151 particles which cause internal charging are predicted by radiation belt simulation. The

152 information on the predicted space environment is used to calculate the internal
153 charging by MUSCAT. Detailed information of surface charging part of SECURES and
154 internal charging part of that will be introduced in the following sections.

155

156 **Surface Charging Part of SECURES**

157 Toward the surface charging/ESD risk assessment, we combine surface charging
158 analysis models (SPIS and/or MUSCAT), which estimate spacecraft potentials based on
159 a pre-designed satellite model including information of shape and materials of the
160 satellite, and global magnetosphere MHD model, which simulates plasma environment
161 for the input of charging analysis models. We have developed a prototype surface
162 charging/ESD risk assessment system targeting on the GEO region. In this section, the
163 global magnetosphere MHD model, charging analysis model, and the overview of the
164 prototype surface charging/ESD risk assessment system are described.

165

166 **Plasma environment at GEO estimated by the real-time global magnetosphere**

167 **MHD model**

168 The global magnetosphere MHD model used in the surface charging part of SCURES,
169 was originally developed by Tanaka (1994) and Tanaka et al. (2010). The MPI (Message
170 Passing Interface) parallelized version of the model is called REPPU (REProduce
171 Plasma Universe) code (Tanaka 2015). The model is characterized by a triangular
172 unstructured grid system (Nakamizo et al. 2009), which enables us to simulate global
173 systems including fine structures in its center in sufficiently high spatial resolution and
174 numerical stability. It is shown that the code is robust enough to simulate
175 magnetospheric responses to extreme conditions, such as intense solar wind velocity
176 and density, and high intensity of interplanetary magnetic field (Kubota et al. 2017). The
177 relationship among the space environment data and surface charging data obtained from
178 Michibiki-1 satellite, and space environment data obtained from global magnetosphere
179 MHD simulation are examined as a first step (Nagatsuma et al., 2018).

180 For simplicity, the original code assumes that the earth's magnetic dipole axis and the
181 rotation axis coincide with each other. Therefore, it doesn't meet the requirements for
182 practical uses and operation as it is, because in the actual solar-terrestrial system the
183 earth's rotation axis inclined with respect to the ecliptic plane and the magnetic dipole

184 axis is tilted from the rotation axis, completing the precession.

185 In order to perform more realistic simulation, we have improved the model by
186 introducing the inclination and the precession of the magnetic dipole axis (Kubota et al.
187 2019a; Nakamizo 2019). With this improved model, a real-time global magnetosphere
188 simulation (Kubota et al. 2019b) on the High-Performance Computing system at
189 National Institute of Information and Communications Technology (NICT) are
190 developed. The real-time simulation is driven by the real-time solar wind data at the L1
191 point of the Sun-Earth system provided by National Oceanic and Atmospheric
192 Administration's Space Weather Prediction Center (NOAA/SWPC). Because the
193 average propagation time of the solar wind from the L1 point to the front of the Earth's
194 magnetosphere is about 1 hour, and the processing time of the real-time simulation
195 system is about 20 minutes, the total lead time of the real-time simulation is about 40
196 minutes on average. Figure 2 is a quick look of the real-time simulation result.
197 Currently the real-time product is used internally for the operational space weather
198 forecast in Japan.

199 *#Insertion of Figure 2*

200 The spacecraft charging analysis model which will be introduced in the next
201 subsection requires the densities and temperatures of ions and electrons (N_i , T_i , N_e , and
202 T_e) as the input parameters of the model. Since MHD models cannot simulate these
203 parameters in principle, the following empirical scheme is introduced for estimating
204 them from the MHD model.

205 Nakamura et al. (2012) statistically compared the plasma parameters in GEO
206 simulated by the old version of our global magnetosphere MHD model and those
207 obtained from Magnetospheric Plasma Analyzer (MPA) onboard Los Alamos National
208 Laboratory (LANL) satellites. They showed that the simulated MHD pressure, P_{sim} , in
209 the nightside GEO region is in a good correlation with the observed electron pressure.

210 We have applied the same approach of Nakamura et al. (2012) to the current version of
211 our MHD model (Kubota et al., 2018). Observed plasma moment data of LANL/MPA
212 from February to April in 2006 via Coordinated Data Analysis Web (CDAWeb) and the
213 same period of simulated plasma moment data from our MHD model are compared.

214 According to the comparison between observed electron pressure and P_{sim} , the same
215 tendency of previous study was confirmed. In addition, it was found that the simulated

216 N tends to be higher than the observed N_e and N_i , which are about $1/\text{cm}^3$, and the
217 simulated T tends to be lower than the observed T_e . This means that the current version
218 of our MHD model tends to overestimate/underestimate the density/temperature,
219 although the pressure, the multiplication of them, is reasonably simulated.

220 Thus, we derive T_e from P_{sim} assuming $N_e=N_i=1/\text{cm}^3$. As for T_i , we multiply the
221 derived T_e by the factor of 1.9. This factor is obtained by the average ratio of observed
222 T_i and T_e in our comparison. In summary,

$$223 \quad N_i = N_e = 1/\text{cm}^3$$

$$224 \quad T_e = P_{\text{sim}}/N_e k_B$$

$$225 \quad T_i = 1.9 T_e.$$

226 (k_B: Boltzmann constant)

227 Based on these empirical relationships, N_i , T_i , N_e , and T_e from real-time simulation
228 data for the nightside GEO region are estimated.

229

230 **Estimating real-time risk of surface charging using SPIS**

231 Surface charging of individual satellite is calculated using SPIS in space environment

232 conditions, the densities and temperatures of ions and electrons (N_i , T_i , N_e , and T_e).

233 In our prototype product, two examples of geometric models are introduced as a test

234 case of our risk estimation, One is Van-Allen Probes, a typical spin-stabilized scientific

235 satellite, all surface of which are conductive and electrically connected (Stratton et al.,

236 2013;). The other is Michibiki-1 satellite, a typical three-axis stabilized

237 geosynchronous satellite with dielectric materials (Inaba et al., 2009). Figure 3 shows

238 geometric models of the Van-Allen Probes and the Michibiki-1 satellite used in the

239 surface charging calculation. We calculate the absolute charging potential for the

240 geometric model of Van-Allen Probes. The absolute charging would have an influence

241 on some scientific observations. We calculate the floating potentials of the surface

242 materials and the local differential charging potentials for the geometric model of

243 Michibiki-1 satellite. The high differential charging potential results in ESD on the

244 satellite surface and would induce spacecraft anomalies. Therefore, the estimation of the

245 differential charging potentials is important for surface charging/ESD risk assessment.

246 *#Insertion of Figure 3*

247 However, it is impossible to obtain the surface potentials in near-real-time from real-

248 time global magnetosphere MHD simulation data because charging calculation of the
249 Michibiki-1 satellite model using SPIS takes hours or days for one space environment
250 condition. Therefore, we have developed a quick estimation method of the surface
251 potential using the pre-calculated results. The estimating the surface potential for the
252 environment parameters, N_i , T_i , N_e , and T_e , as four independent variables is very
253 complicated. However, as shown in the previous subsection, we can calculate the
254 surface charging potentials for the condition of $N_e=N_i=1/cc$ and $T_i=1.9* T_e$ with T_e as a
255 single variable. Figure 4 shows an example of the frame and maximum surface
256 potentials of the Michibiki-1 satellite model in daylight as a function of T_e . In the case,
257 several frame and maximum surface potentials are calculated for some values of T_e . A
258 polynomial function is fitted for the results of calculation, and we can obtain the
259 empirical function of the surface charging potential from the proper several pre-
260 calculated results. Using the functions, the frame and maximum surface potentials of the
261 Michibiki-1 satellite are quickly estimated from real-time simulation data. We also
262 developed the empirical function for surface potentials of the Van-Allen Probes, too.

263 *#Insertion of Figure 4*

264

265 **Surface charging/ESD risk assessment system for GEO satellites**

266 The outline of the prototype surface charging/ESD risk assessment system is as
267 follows. From the real-time simulation data per one minute, we extract the plasma
268 environment data on the sphere of the 6.6 Earth radius (R_E), which is identical with
269 GEO, in every five minutes. The extracted data are stored in the system. The results of
270 prototype surface charging/ESD risk estimation system can be browsed from a web
271 viewer. However, the web viewer is also for the internal use now.

272 Since explanation in the viewer is in Japanese, the content shown in the viewer is
273 described as a schematic snapshot and a table. Figure 5 is a schematic snapshot of the
274 web viewer of the system which is taken at 09:00 UT on July 05, 2020 as an example.
275 The left panel shows overview of the Earth's magnetosphere. The pressure and the bulk
276 flow velocity distributions on the equatorial plane in the Solar Magnetic (SM)
277 coordinate system are shown by color and arrows, respectively. The right panel shows
278 the pressure distribution on the 6.6 R_E sphere in the geographic coordinate system.
279 When we open the viewer, the latest condition is shown as default. At the top column,

280 we can select date and time according to our interest. After selecting specific time
281 period, two hours movie from the selected start time can be played by controlling the
282 bottom slider.

283 Because figure 5 is taken at 09:00 UT, the local times of noon and midnight are at
284 longitude 45° and 225° , respectively in the right panel. The high-pressure region around
285 the noon corresponds to the dayside cusps. On the other hand, another high-pressure
286 region is seen around the midnight. It seems that the pressure enhancement around the
287 midnight is caused by magnetospheric activity in the nightside associated with a
288 substorm. Real-time auroral electrojet indices show that the magnetosphere was in the
289 expansion to recovery phases of a moderate substorm. The AL index was about 500 nT
290 at this time.

291 Table 1 is a schematic example of some parameters and estimated spacecraft potentials
292 at the selected point shown as a pink cross mark in the right panel of Figure 5. This
293 table is also browsed within the web viewer. When users mouse over the pink cross
294 mark cursor on the right panel of Figure 5, the system returns the information of
295 geographic latitude and longitude, daylight/eclipse flag, simulated pressure, density, and

296 T_e , at the selected point. At the same time, the system calculates the spacecraft
297 potentials using the empirical functions with plasma parameters described in the
298 previous subsection. The results are instantaneously shown in the table inside the web
299 viewer. Using this function, the satellite operators can select the position of their own
300 satellite to understand the risk of surface charging/ESD.

301 *#Insertion of Figure 5*

302 *#Insertion of Table 1*

303 **Internal Charging Part of SECURES**

304 To assess the risk of internal charging/ESD, anomalies of Earth Sensor Assembly
305 (ESA) onboard Kodama (DRTS: Data Relay Test Satellite) are examined as a test case.
306 The quantitative assessment of internal charging of ESA have been done by using
307 MUSCAT with the ESA's structure and material model. The results of our assessment
308 suggest that detailed quantitative analysis is necessary to clarify an internal
309 charging/ESD. Thus, we have developed risk assessment system of internal
310 charging/ESD based on the simple analysis method. Our achievements in detail are
311 described in the following subsections.

312

313 **Satellite Anomalies occurred at ESA onboard Kodama (DRTS)**

314 Kodama (DRTS), which was launched on September 10, 2002, have started routine
315 operation since January 9, 2003. On March 23, 2003, a satellite anomaly occurred at the
316 ESA on board Kodama. The ESA shifted from the nominal system (ESA-A) to the
317 redundant system (ESA-B). Afterwards, the same kind of anomaly was occurred on
318 April 2, May 27, and June 6, 2003. It was found that the ESA's anomaly was occurred
319 during the period for the increase of the ESA's noise count. To identify the cause of the
320 anomaly, the relationship between ESA's noise count and the high energy electron flux
321 observed by Standard Dose Monitor (SDOM) onboard Kodama (Matsumoto et al.,
322 2001) was examined. It was found that there is a relationship between the ESA's noise
323 counts and the Ch.3 of differential electron flux (0.59-1.18 MeV) observed by
324 SDOM/Kodama (Figure 6). This relationship suggests that the possible cause of the
325 ESA's anomaly is internal charging/ESD. Based on the satellite anomaly occurred at
326 ESA onboard Kodama, Internal charging/ESD risk assessment system have been
327 developed.

328 *#insertion of Figure 6*

329

330 **High energy electron environment at GEO estimated by radiation belt model**

331 In order to evaluate energetic electron flux at the satellite location, we used the 1-
332 dimensional Fokker-Planck equation for describing the radial diffusion (Miyoshi et al.,
333 2004), where f is the phase space density and t is time.

334
$$\frac{\partial f}{\partial t} = L^2 \frac{\partial}{\partial L} \left(\frac{D_{LL}}{L^2} \frac{\partial f}{\partial L} \right) - \sum_i \frac{f}{\tau_i}$$

335 where L is the L-shell. We used the empirical radial diffusion coefficient (D_{LL}) which
336 was formulated by Brautigam and Albert (2000). We consider electromagnetic
337 coefficients parameterized. The time step for calculation is 1 hour. The loss terms are
338 described by the lifetimes due to Coulomb collisions (τ_c) and wave-particle interactions
339 (τ_{wp}) inside the plasmasphere. The lifetime τ_c is given by Wentworth et al., (1959).

340 Three different wave sources inside the plasmasphere are included: plasmaspheric hiss,
341 lightning whistler, and VLF transmitters. After the calculation about the phase space
342 density f , we have derived the differential flux $j(E, L, t)$ where E is the electron
343 energy. Considering the L-shell of Kodama that varies during a day, we calculate time

344 variation of j along the Kodama orbit. In this model, we have used the empirical
345 radiation belt model AE-8 (Vette, 1991) as an initial condition.

346

347 **Internal Charging Estimation using MUSCAT**

348 MUSCAT is the charging analysis tool for satellite design. The development of
349 MUSCAT has started in 2004, and was completed in 2007 (Hosoda et al., 2008;
350 Munakata et al., 2008). MUSCAT has the analysis feature of the surface charging, and
351 the internal charging simulation function is added in 2010. In the internal charging
352 analysis, the range of the high energy electron is calculated by the Monte Carlo method.

353 The structure and materials of ESA was modeled using MUSCAT Graphical User
354 Interface. External electron energy spectrum was estimated using radiation belt model
355 described in the previous subsection, and the electrostatic potential of the ESA was
356 calculated using MUSCAT. It was found that the variation of ESA's electrostatic
357 potential calculated by MUSCAT is very small compared with a threshold potential of
358 ESD. The structure around the ESA sensor has adequate thickness which reduce the
359 electron flux, so the charge accumulation is very small. This result suggests that the

360 possibility of internal charging/ESD with other devices or cables, which are located
361 under thin shield, needs to be considered. Some experimental study also suggests that
362 noise produced by ESD could propagate panels and cables (Kinoda et al., 2018). The
363 detailed quantitative analysis is necessary to clarify an internal charging/ESD of the
364 ESA onboard Kodama including the development of the detailed structure and material
365 model inside of the satellite. However, it is not easy to develop such a detailed model
366 because information of detailed structure and material are not disclosed from satellite
367 industry in usual.

368

369 **Risk assessment system of internal charging/ESD**

370 The result of previous subsection suggests that risk assessment of internal charging
371 based on MUSCAT with structure model of specific part which suggested to be weak
372 for the cause of internal charging. Therefore, a risk assessment system of internal
373 charging/ESD has been developed based on simple analysis method introduced in the
374 NASA-HDBK-4002A.

375 The procedure of our risk assessment system of internal charging/ESD is as follows.

376 The simple structure model is used for our system. In this model, the thicknesses of the
377 satellite shield (d_1) and the target material (d_2) are defined on request (Figure 7). To
378 estimate the range of incident electron energy into the target material (d_2), lower limit of
379 incident energy (E_1) and higher limit of incident energy (E_2) are converted from the
380 thickness of d_1 and d_1+d_2 . The accumulated current inside the target material are
381 calculated from the differential energy spectrum between E_1 and E_2 (Figure 7). The
382 differential energy spectrum is provided from our radiation belt model described in
383 previous subsection. Alert levels for the risk of internal charging/ESD are set to 0.1, 0.3
384 and 1.0 pA/cm², respectively based on the NASA-HDBK-4002A according to the ESD
385 Sensitivity Classification of the parts (MIL-STD-883G, 2006).

386 *#Insertion of Figure 7*

387 An example of stacked plots for the risk assessment of ESA/Kodama's internal
388 charging/ESD based on our system are shown in Figure 8. In this calculation, the
389 thickness of satellite shield (d_1) and that of target material (d_2) are 0.22 mm and 0.15
390 mm of aluminum, respectively. This means that the higher and lower limit of incident
391 electron energy into the target material are 300 keV and 250 keV, respectively.

392 *#insertion of Figure 8*

393 The basic functions of the risk assessment system of internal charging/ESD have been
394 established. Using this system, a customized alert for individual user will be issued
395 based on the user's selection of the target material which could be weak for internal
396 charging/ESD according to the design of operating satellite. If a user inputs the
397 thickness of the satellite shield and the devices (target material) that could be weak for
398 internal charging/ESD, risk of satellite anomaly will be estimated on routine base using
399 the prediction result of high energy electron spectra obtained from our radiation belt
400 model, the alert could be issued according to the excess of three threshold levels.

401

402 **Discussion and Summary**

403 We have been developing SECURES for risk assessment of surface charging/ESD and
404 internal charging/ESD in GEO combining space environment model with satellite
405 charging model. We have confirmed the basic functions of SECURES based on the
406 prototype products. In principle, this product could be used for the practical purpose
407 when we operate these products in near-real time. To provide customized risk

408 information for satellite operator, the detailed information of individual satellite
409 structure and materials are needed. However, as described in the previous subsection, it
410 is not easy to get such information because of non-disclosure from satellite industries.
411 We need to consider more communication with satellite industry to get detailed
412 information of satellite structure and materials. So, we will start demonstrating our
413 system based on the several sample models of satellites. Prototype products of
414 SECURES will be provided to the public in the near future. It will be useful for the
415 satellite operator and will improve the safety of the satellite operation. We will also
416 consider expanding the target region from GEO to Medium Earth orbit, and Low Earth
417 Orbit.

418

419 **Declarations**

420 **Ethics approval and consent to participate**

421 *Not applicable.*

422 **Consent for publication**

423 *Not applicable.*

424

List of abbreviations

425

SEPs: Solar Energetic Particle events, UN/COPUOUS: the United

426

Nations / the Committee on the Peaceful Uses of Outer Space, MHD:

427

Magnetohydrodynamics, SPIS: Spacecraft Plasma Interaction Software,

428

MUSCAT: Multi-Utility Spacecraft Charging Analysis Tool, MPI:

429

Message Passing Interface, REPPU: REProduce Plasma Universe,

430

NICT: National Institute of Information and Communications

431

Technology, NOAA/SWPC: National Oceanic and Atmospheric

432

Administration's Space Weather Prediction Center, MPA:

433

Magnetospheric Plasma Analyzer, LANL: Los Alamos National

434

Laboratory, CDAWeb: Coordinated Data Analysis Web, RE: Earth

435

radius, SM: Solar Magnetic, ESA: Earth Sensor Assembly, DRTS: Data

436

Relay Test Satellite

437

Availability of data and materials

438

The real-time solar wind data at the L1 point provided by NOAA/SWPC

439

are available at <https://www.swpc.noaa.gov/products/real-time-solar->

440 wind. LANL/MPA data provided by NASA/CDAWeb are available at
441 <https://cdaweb.gsfc.nasa.gov/index.html>. The data obtained from global
442 magnetosphere MHD simulation can be shared on request to A.
443 Nakamizo. SPIS is available at
444 <http://dev.spis.org/projects/spine/home/spis>. The geometric model of
445 Van-Allen Probes can be shared on request to M. Nakamura. The
446 geometric model of Michibiki-1 satellite cannot be shared because the
447 model includes non-disclosure information. The data obtained from
448 empirical models of estimating potential of surface charging can be
449 shared on request to M. Nakamura. The real-time auroral electrojet
450 indices provided by World Data Center for Geomagnetism, Kyoto are
451 available at http://wdc.kugi.kyoto-u.ac.jp/ae_realtime/index.html.
452 SDOM/Kodama data are available at
453 <http://sees.tksc.jaxa.jp/fw/dfw/SEES/index.html>. ESA/Kodama's
454 anomaly data cannot be shared because of its data policy of JAXA. The
455 data obtained from the radiation belt model can be shared on request to

456 Y. Miyoshi. MUSCAT Space Engineering Co., Ltd. (MUSE: [460 **Competing interests**](http://astro-
457 <u>muse.com/</u>) sells MUSCAT software and provides related support.
458 Currently, services are domestically provided. The data obtained from
459 simple internal charging model can be shared on request to K. Koga.</p></div><div data-bbox=)

461 The authors declare that they have no competing interests.

462 **Funding**

463 The part of this study is supported by JSPS KAKENHI 15H05813 and
464 15H05815, Project for Solar-Terrestrial Environment Prediction
465 (PSTEP), and by “Promotion of observation and analysis of radio wave
466 propagation”, commissioned research of the Ministry of Internal Affairs
467 and Communications, Japan.

468 **Authors' contributions**

469 TN led the development of SECURES and edited the paper. AN and
470 YK improved global magnetosphere MHD model and prototype surface
471 charging/ESD risk assessment system. MN developed empirical

472 functions of surface charging estimation by SPIS. KK examined internal
473 charging risk by MUSCAT and developed prototype internal
474 charging/ESD risk assessment system by simple analysis method. YM
475 developed radiation belt model. HM provided satellite anomaly data of
476 ESA/Kodama and supervised the project of SECURES. All authors read
477 and approved the final manuscript.

478 **Acknowledgements**

479 Real-time solar wind data at L1 point are provided by NOAA/SWPC.
480 Observed plasma moment data of LANL/MPA are downloaded from
481 NASA/CDAWeb. The real-time auroral electrojet indices are provided
482 by World Data Center for Geomagnetism, Kyoto.

483

484 **References**

485 Brautigam DH and Albert JM (2000) Radial diffusion analysis of outer radiation belt
486 electrons during the October 9, 1990, magnetic storm, *J. Geophys. Res.*, 105, 291- 309,
487 doi:10.1029/1999JA900344.

488 Ferguson DC, Worden SP, and Hastings DE (2015) The space weather threat to
489 situational awareness, communications, and positioning systems, in IEEE Trans. Plasma
490 Sci., vol. 43, no. 9, pp. 3086-3098, doi:10.1109/TPS.2015.2412775.

491 Hosoda S et al. (2008) Laboratory Experiments for Code Validation of Multiutility
492 Spacecraft Charging Analysis Tool (MUSCAT), in IEEE Transactions on Plasma
493 Science, vol. 36, no. 5, pp. 2350-2359, doi:10.1109/TPS.2008.2003973.

494 Inaba N, Matsumoto A, Hase H, Kogure S, Sawabe M, and Terada K (2009) Design
495 concept of Quasi Zenith Satellite System, Acta Astronautica, Vol. 65, pp 1,068-1,075,
496 doi:10.1016/j.actaastro.2009.03.068.

497 Kinoda H, Niki Y, Sasaki Y, Nakamoto F, Cho M, and Toyoda K (2018) Noise
498 propagation due to electrostatic charging/discharging and its effect, proceedings of the
499 15th space environment symposium, pp. 109-116 (in Japanese).

500 Kubota Y, Nagatsuma T, Den M, Tanaka T, and Fujita S (2017) Polar cap potential
501 saturation during the Bastille Day storm event using global MHD simulation, J.
502 Geophys. Res. Space Physics, 122, doi:10.1002/2016JA023851.

503 Kubota Y, Nakamizo A, Sakaguchi K, Den M, Kubo Y, Nagatsuma T, Higashio N,

504 Tanaka T (2018) Magnetospheric real-time simulator for assessment of satellite
505 charging, Proceedings of the 15th Space Environment Symposium, pp. 127-130 (in
506 Japanese)

507 Kubota Y, Nagatsuma T, Nakamizo A, Sakaguchi K, Den M, Matsumoto H, Higashio
508 N and Tanaka T (2019a) Comparison of Magnetospheric Magnetic Field Variations at
509 Quasi-Zenith Orbit Based on Michibiki Observation and REPPU Global MHD
510 Simulation, IEEE Transactions on Plasma Science, vol. 47, no. 8, pp. 3937-3941, Aug.
511 doi:10.1109/TPS.2019.2910301.

512 Kubota Y, Nakamizo A, Sakaguchi K, Den M, Kubo Y, Nagatsuma T, and Tanaka T
513 (2019b) Real-time magnetosphere simulator for space weather using REProduce Plasma
514 Universe code, Japan Geoscience Union Meeting 2019.

515 Matsumoto H et al. (2001) Compact, lightweight spectrometer for energetic particles,
516 IEEE Transactions on Nuclear Science, vol. 48, no. 6, pp. 2043-2049,
517 doi:10.1109/23.983170.

518 Mazur JE, Likar JJ, Fennell JF, Roeder JL, O'Brien TP, and Guild TB, (2011) The
519 Timescale of Surface-Charging Events, Presentation at Space Weather Workshop 2011,

520 Boulder Colorado, Apr. 26, 2011.

521 MIL-STD-883G (2006) Test Method Standard Microcircuits, Department of Defense.

522 Miyoshi YS, Jordanova VK, Morioka A, and Evans DS (2004) Solar cycle variations of
523 the electron radiation belts: Observations and radial diffusion simulation, *Space*
524 *Weather*, 2, S10S02, doi:10.1029/2004SW000070.

525 Muranaka T, Hosoda S, Kim JH, Hatta S, Ikeda K, Hamanaga T, Cho M, Usui H, Ueda
526 HO, Koga K, and Goka T (2008) Development of Multi-Utility Spacecraft Charging
527 Analysis Tool (MUSCAT), *IEEE Trans. on Plasma Science*, Vol. 36, No. 5, pp. 2336–
528 2349, doi:10.1109/TPS.2008.2003974.

529 Nagatsuma T (2017) Toward implementing practical space environment prediction for
530 safety and security of satellite operation, *Aeronaut. Space Sci. Jpn.*, 65, pp.96-99,
531 doi:10.14822/kjsass.65.4_96 (in Japanese).

532 Nagatsuma T, Matsumoto H, Kubota Y, Nakamizo A., Koga K (2018) Comparison
533 between surface charging event from MICHIBIKI (QZS) satellite and space
534 environment data from global MHD Simulation, *Trans. Jpn. Soc. Aeronaut. Space Sci.*
535 *Aerospace Tech. Jpn.*, 16, 2, pp. 157-160, doi:10.2322/tastj.16.157.

536 Nakamizo A, Tanaka T, Kubo Y, Kamei S, Shimazu H, and Shinagawa H (2009)
537 Development of the 3 - D MHD model of the solar corona - solar wind combining
538 system, J. Geophys. Res., 114, A07109, doi:10.1029/2008JA013844.

539 Nakamizo A (2019) Effects of Inclination/Rotation of Earth's Magnetic Axis on
540 Magnetosphere Simulated by Global MHD Model, Japan Geoscience Union Meeting
541 2019.

542 Nakamura M (2012) Forecast of the Plasma Environment in the Geostationary Orbit
543 using the Magnetospheric Simulation, J. Plasma Fusion Res., 88, pp. 83-86 (in
544 Japanese).

545 NASA-HDBK-4002A (2017) Mitigating In-Space Charging Effects-A Guideline,
546 NASA TECHNICAL HANDBOOK.

547 O'Brien TP, Mazur JE, and Fennell JF (2013) The Priority Mismatch between Space
548 Science and Satellite Operations, Space Weather, 11:49, doi: 10.1002/swe20028.

549 Roussel J et al. (2008) SPIS Open-Source Code: Methods, Capabilities, Achievements,
550 and Prospects, IEEE Transactions on Plasma Science, vol. 36, no. 5, pp. 2360-2368,
551 doi:10.1109/TPS.2008.2002327.

552 Stratton JM, Harvey RJ, and Heyler GA (2013) Mission Overview for the Radiation
553 Belt Storm Probes Mission. *Space Sci Rev* 179, 29–57, doi:10.1007/s11214-012-9933-
554 x.

555 Tanaka T (1994) Finite volume TVD scheme on an unstructured grid system for three-
556 dimensional MHD simulation of inhomogeneous systems including strong background
557 potential fields, *J. Comput. Phys.*, 111 (1994), pp. 381-389,
558 doi:10.1006/jcph.1994.1071.

559 Tanaka T, Nakamizo A, Yoshikawa A, Fujita S, Shinagawa H, Shimazu H, Kikuchi T,
560 and Hashimoto KK (2010) Substorm convection and current system deduced from the
561 global simulation, *J. Geophys. Res.*, 115, A05220, doi:10.1029/2009JA014676.

562 Tanaka T (2015) Substorm Auroral Dynamics Reproduced by Advanced Global
563 Magnetosphere-ionosphere (M-I) Coupling Simulation, *Auroral Dynamics and Space*

564 Vette JI (1991) The NASA/National Space Science Data Center Trapped Radiation
565 Environment Model Program (1964-1991), NSSDC/WDC-A-R&S 91-29.

566 Weather, Zhang, Y. and Larry J. Paxton, Y.L. (ed.), John Wiley & Sons, Inc, Hoboken,
567 NJ, doi:10.1002/9781118978719.ch13.

- 568 UN/COPUOS (2019) Guidelines for the Long-term Sustainability of Outer Space
- 569 Activities, A/AC. 105/C.1/L.366
- 570 Wentworth RC, MacDonald WM, and Singer SF (1959) Lifetimes of trapped radiation
- 571 belt particles determined by Coulomb scattering, Phys. Fluids, 2, 499-509.

572 **Figure Captions**

573 Figure 1 A conceptual picture of SECURES

574 Figure 2 A quick look of the real-time global magnetosphere MHD simulation result.

575 Figure 3 Geometric models of the Van Allen Probes (left) and the Michibiki-1 satellite
576 (right).

577 Figure 4 The frame and maximum surface potentials estimated by SPIS with the
578 geometric model of Michibiki-1 satellite under the daylight condition.

579 Figure 5 A schematic snapshot of the web viewer of the system which is taken at 09:00
580 UT on July 05, 2020. The viewer is presently only for Japanese. The left panel shows
581 the pressure and bulk flow velocity distributions on the equatorial plane in the SM
582 coordinate system. The right panel shows the pressure distribution on the 6.6 R_E sphere
583 in the geographic coordinate system. Specific date and time can be selected at the top
584 column. Two hours movie from the selected start time in the right panel can be played
585 by controlling the bottom slider. The pink cross mark cursor on the right panel of Figure
586 5 can be moused over to examine the parameters and estimated satellite potentials.

587 These information can be seen in the The result is instantaneously shown in the table

588 inside the viewer. Schematic table is is separately shown in Table 1.

589 Figure 6 Daily noise counts of ESA and the daily mean differential electron flux of
590 SDOM (Ch.3: 0.59-1.18 MeV) during Jan. 2003 to Jun. 2003 are plotted by blue and
591 red lines, respectively. The occurrence of ESA's satellite anomaly are shown by arrows.

592 Figure 7 (left) A simple structure model for internal charging. the depth of satellite
593 shield (d_1) and thickness of the target material (d_2) can be defined on request (right)

594 Charge(Q) of d_2 region calculation method from energy spectrum

595 Figure 8 An example of stacked plots for the risk assessment of the internal
596 charging/ESD. From top to bottom: the differential electron flux observed by
597 Ch.3(0.59-1.18 MeV) of SDOM/Kodama, the differential electron flux estimated by the
598 radiation belt model, current accumulated inside the target material (d_2), daily mean of
599 current accumulated inside the target material (d_2).

600

601 Table 1 A schematic example of some parameters and estimated spacecraft potentials at
602 the selected point shown as a pink cross mark in the right panel of Figure 5. This table
603 is shown in the web viewer of surface charging/ESD risk assessment system.

Lat. [deg.]	Long. [deg.]	Density [/cc]	Temperature [keV]	Pressure [nPa]
220.0	-18.0	1.00	1.62	11.1
Eclipse=1 Daylight=0	Scientific	Commercial Satellite		
	Satellite			
	Surface potential Φ sc[V]	Surface potential Φ MAX[V]	Frame potential Φ FRAME[V]	Differential potential [V]
1	-87,000	-56,000	-81,000	250,000