Self and Nonself Short Constituent Sequences of Amino Acids in The SARS-CoV-2 Proteome for Vaccine Development

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Abstract

Current SARS-CoV-2 vaccines take advantage of the viral spike protein required for infection in humans. However, spike proteins may contain both “self” and “nonself” sequences (sequences that exist in the human proteome and those that do not, respectively). Nonself sequences are likely to be better candidate epitopes than self sequences for vaccines to efficiently eliminate pathogenic proteins and to reduce the potential long-term risks of autoimmune diseases. Here, we comprehensively identified self and nonself short constituent sequences (SCSs) of 5 amino acid residues in the proteome of SARS-CoV-2. Self and nonself SCSs comprised 91.2% and 8.8% of the SARS-CoV-2 proteome, respectively. We identified potentially important nonself SCS clusters in the receptor binding domain of the spike protein that overlap with previously identified epitopes of neutralizing antibodies. These nonself SCS clusters may serve as functional epitopes for effective, safe, and long-term vaccines against SARS-CoV-2 infection to resolve the current COVID-19 pandemic.

Introduction

The current COVID-19 pandemic caused by a novel coronavirus, SARS-CoV-2, started in December 2019 in Wuhan, China¹⁻⁴. Understanding the interactions between human cells and SARS-CoV-2 is crucial for developing effective measures for medical intervention, such as vaccines and drugs. In general, the human immune system efficiently recognizes and eliminates foreign proteins but does not attack its own human proteins. This self-nonself discrimination is critical for immunological tolerance to avoid the development of autoimmune diseases, and it is antigen presentation by MHC (major histocompatibility complex) or HLA (human leukocyte antigen) class I and class II molecules that realizes this discrimination process⁵⁻⁷. Pathogenic proteins are processed in professional antigen-presenting cells such as dendritic cells (DCs) and are presented as peptides on their surfaces. MHC class I cross-presentation of peptides derived from pathogens by DCs is important for the activation of CD8⁺ cytotoxic T lymphocytes (CTLs)⁵⁻⁷. Activation of CTLs is important for eliminating virus-infected cells because antibodies cannot recognize viral proteins inside cells. Therefore, an integrated strategy to overcome COVID-19 is not only to promote both efficient production of neutralizing antibodies and activation of CTLs against SARS-CoV-2 proteins but also to repress the production of self-targeted antibodies and CTLs to avoid potential side effects. The potential risks of autoimmune diseases may be particularly relevant when an immunization must be administered repeatedly for years.

Peptides presented by MHC class II can be longer than 11-mer peptides, but those presented by MHC class I are constrained by the binding of their ends; 8-10-mer peptides are suitable for presentation^{8,9}. Presented peptides are further constrained by interactions between amino acid (aa) residues within the peptides themselves, often at their middle positions¹⁰. Because a presented peptide is held by an MHC class I molecule, only one side of the peptide is accessible from extracellular molecules. As a result, only a limited number of aa residues in the peptide may be recognized by T-cell receptors (TCRs). Molecular recognition by B-cell receptors (BCRs) and their corresponding antibodies is also mediated via short aa

sequences, and an antibody often recognizes a few different short aa sequences that may form a 3-dimensional (3D) structure. In fact, several short epitopes that are recognized by neutralizing antibodies against SARS-CoV-2 spike (S) protein have already been identified^{11–19}. In addition to the DCs involved in antigen presentation, a subset of CD4⁺ T cells, regulatory T (T_{reg}) cells, is responsible for the critical process of self-nonself discrimination^{20,21}.

For simplicity, we assume that the immune system preferentially (although not exclusively) uses short constituent sequences (SCSs) of 5 aa residues (5-aa SCSs, which may also be called pentats, pentapeptides, 5-mers, or other terms) as a recognition unit to perform these functions. This assumption may not be wholly accurate, because the presented peptides are longer than 5 aa, but we believe that 5 aa is an optimal SCS size because molecular recognition is often mediated via smaller SCSs. Thus, it is reasonable to start bioinformatics based on SCS distributions in a host-pathogen system. Self-nonself discrimination can be conceptually understood as a process for the immune system to scan all possible 5-aa SCSs in the human proteome to remember and tolerate these SCSs as “self” and then to recognize and eliminate 5-aa SCSs that are not remembered as “nonself”, as far as linear epitopes are concerned. This *in vivo* process can be performed *in silico* bioinformatically when all protein sequences of both host and pathogenic organisms are available. Under the above assumption, it is critical to note that a given foreign protein may contain both self and nonself 5-aa SCSs for the host. The importance of 5-aa SCSs coincides with the usefulness of 5-aa SCSs in bioinformatics, as discussed below.

In proteins, the frequencies of the 20 species of amino acids are not random; each amino acid has its own unique frequency^{22–25}. Furthermore, the frequencies of SCS species are not random in proteins; each SCS has its own unique frequency that deviates from the probabilistically expected frequency^{24–28}. Among *n*-aa SCSs, a set of 5-aa SCSs is practically most useful and structurally relevant, mainly for the following reasons. There are exactly 3.2 million (20⁵) species of 5-aa SCSs, and this number is comparable to the number of 5-aa SCSs as components in many proteomes, such as the human proteome^{24,25}. It is thus practically reasonable to characterize a collection of proteins or a proteome using 5-aa SCSs. Once the distribution patterns of 5-aa SCSs are obtained, longer sequences may be examined as adjacent clusters of 5-aa SCSs in proteins. Furthermore, 5-aa SCSs may be considered basic structural and functional units of protein sequences; 5-aa fragments may function as building blocks of protein structures^{29,30}. Thus, in the present study, we have focused on 5-aa SCSs, which are simply described as SCSs unless noted otherwise.

The SCS concept may be useful for the elucidation of host-parasite interactions in general. For any parasite (including pathogen) to avoid being recognized and eliminated by the host immune system, their protein sequences might have been selected for similarity to the host's repertoire of protein sequences. In this process, nonself sequences in a parasite proteome may evolve to resemble self sequences if such mutations are functionally allowed. In other words, nonself sequences that cannot be easily changed to self sequences (invariant nonself sequences) in a parasite proteome may be functionally important for that parasite. This sequence mimicry hypothesis in host-parasite interactions can be investigated by SCS

analysis. Remarkably, it has been shown that the usage diversity (“vocabularies”) of 5-aa SCSs in proteomes is lower in parasitic organisms than in nonparasitic organisms with a similar phylogenetic status, suggesting that parasites might have reduced their vocabularies during evolution to escape immunological recognition by the host³².

To understand the self-nonsel self relationship between humans and SARS-CoV-2 and to find better epitopes for future vaccines, we first characterized the human proteome from the perspective of SCS distributions and then analyzed the SARS-CoV-2 proteome based on the SCS distributions in the human proteome. Our SCS analyses revealed nonself sequences in the SARS-CoV-2 proteome. The use of such nonself sequences as vaccine targets may promote efficient production of neutralizing antibodies and activation of CTLs against SARS-CoV-2 but repress the production of self-targeted antibodies and CTL responses against noninfected cells. Thus, nonself sequences may serve as potential epitopes for highly efficient and safe vaccines that are suitable for long-term usage to resolve the current COVID-19 pandemic. Moreover, we analyzed point mutations in the SARS-CoV-2 proteome. Since the exact time point of the host shift of this coronavirus from bats (or other organisms) to humans is known, the current pandemic provides us with an opportunity to observe a process of real-time evolution of the virus and to test the sequence mimicry hypothesis.

Results

SCS distributions in the human proteome

We first characterized the human reference proteome in terms of SCS distributions. The number of 5-aa SCSs in the human reference proteome was 75,727,600 when U (selenocysteine) and X (unknown) residues were included. When nonstandard SCSs containing U or X were excluded, the human reference proteome contained 75,727,187 SCSs. This number is 24 times larger than the number of theoretically possible 5-aa SCS species, which is exactly $20^5 = 3,200,000$. In other words, if these SCS species are present at equal frequency in the human proteome, a given SCS species will be found approximately 24 times in the human proteome. This number suggests that 5-aa SCS usage is reasonable to analyze SCS distributions in the proteome. In contrast, if 6-aa SCSs are used, a given SCS is present only 1.2 times on average, making it more difficult to analyze and interpret SCS distributions rationally despite a need for higher computational power.

The actual human proteome does not satisfy the above assumption of equal frequency of SCS species. Each SCS species had its own unique frequency (maximum = 18,073, minimum = 0, mean = 14.7) in the human proteome; the number of SCS species found with a particular frequency decreased rapidly as the SCS frequency increased (Fig. 1a). The highest number of SCS species was found at zero; that is, these SCS species do not exist at all in the human proteome. Among the 3.2 million SCS species, 2,401,598 (75.05%) were present in the human proteome, whereas 798,402 (24.95%) were not present at all (Fig. 1b). The former SCSs are considered self SCSs, because the human self is defined as the collection of these existing SCSs. The latter SCSs are zero-count SCSs (ZCSs) or nonself SCSs.

A rank-frequency plot of SCS species (5-aa, 4-aa, and 3-aa SCSs) showed a nearly linear distribution over several orders of magnitude on the *x*-axis (SCS rank) and a few orders of magnitude on the *y*-axis (SCS frequency), although the linear distribution was disturbed sharply at the largest rank ranges (Fig. 1c). This result suggests that the SCS distribution in the human proteome has a scale-free nature that follows Zipf's law (a special case of power law), confirming the results of previous studies^{32,33}.

Self and nonself SCS mapping in the SARS-CoV-2 proteome

We next characterized the SARS-CoV-2 reference proteome based on self and nonself SCS information obtained from the human reference proteome above. The SARS-CoV-2 proteome contains 10 open reading frames that were defined by conceptual translation in the original file: ORF1ab, surface glycoprotein (spike, S), ORF3a, envelope protein (E), membrane glycoprotein (M), ORF6, ORF7a, ORF8, nucleocapsid phosphoprotein (N), and ORF10. We assigned self (0 for invisibility) or nonself (1 for visibility) identity to all SCSs in these proteins (Additional Data 1 at GitHub). There were 8,809 (91.18%) self SCSs and 852 (8.82%) nonself SCSs in the SARS-CoV-2 proteome (Fig. 1b). Thus, the majority of SCSs in the SARS-CoV-2 proteome were considered human self SCSs. The percentages of nonself SCSs in each protein varied from 5.13% (ORF7a) to 17.65% (ORF10) (Fig. 1d; Supplementary Table S1).

Considering that the likely minimum length of peptides presented by MHC class I molecules is 8 aa, consecutive or overlapping nonself SCSs may be able to function as epitopes more efficiently than a single SCS. Here, we define a nonself SCS cluster as two or more nonself SCSs located consecutively or overlapping without a gap. There were exactly 200 such clusters in the SARS-CoV-2 proteome (Fig. 1e; Supplementary Table S2; Additional Data 2 at GitHub). The majority of nonself clusters were of 6-aa, 7-aa, 8-aa, and 9-aa residues, which all occurred with comparable frequency. The largest cluster in the proteome was a 27 aa segment in ORF1ab.

Self and nonself SCS mapping of the spike protein

We next focused on the spike protein of SARS-CoV-2, which has a key role in establishing infection by binding to its receptor, angiotensin-converting enzyme 2 (ACE2)^{34–36} and has thus been a target of intensive studies for vaccine development^{37–39}. We assigned self (0) or nonself (1) identity to all SCSs in the linear sequence map of the spike protein (Fig. 2a; Additional Data 2 at GitHub). There were 97 nonself SCSs, which was 7.64% of all SCSs in this protein. There were 22 nonself SCS clusters, together with 23 single nonself SCSs, in this protein.

We then focused on the receptor binding domain (RBD) of the spike protein (Fig. 2b). Just upstream of the receptor binding motif (RBM) within the RBD, there were two nonself SCS clusters: 375-STFKCYGVGS-383 (9 aa) and 418-IADYNYKL-425 (8 aa). Between them, there was a single nonself SCS, 393-TNVYA-397 (5 aa). In the RBM, there were two nonself SCS clusters and two single nonself SCSs: 433-VIAWNSNN-440 (8 aa), 479-PCNGV-483 (5 aa), 485-GFNCYF-490 (6 aa), and 493-QSYGF-497 (5 aa). Three of them were close to one another, forming a 19-aa stretch (P479-F497), which may be considered a supercluster. Supporting this idea, the cysteine residue in the GFNCYF cluster (C488) forms a disulfide

bond with the cysteine residue in the single nonself SCS, PCNGV (C480). Similarly, the cysteine residue within the STFKCYGVS cluster (C379) forms a disulfide bond with the cysteine residue (C432) immediately before the VIAWNSNN cluster within RBM¹³, suggesting that these two clusters together may constitute another supercluster of 17 aa that may form a conformational epitope. Its C-terminal SNN is involved in direct binding to ACE2¹⁴.

Other clusters were found outside the RBM and the RBD. The largest cluster, 734-TSVDCTMYICGDSTEC-749 (16 aa), was found on the more C-terminal side, and the two second largest clusters were found on the more N-terminal and C-terminal sides: 143-VYYHKNNKSWMESEF-157 (15 aa) and 1098-NGTHWFVTQRNFYEP-1112 (15 aa). These clusters were nonetheless smaller than the two superclusters in the RBD discussed above, highlighting the potential importance of the latter.

Known epitopes of the spike protein recognized by neutralizing antibodies

Several functional epitopes of the spike protein that are recognized by neutralizing antibodies against SARS-CoV and SARS-CoV-2 have already been identified^{11–19}. These epitopes were examined to determine whether they correspond to the nonself SCS clusters identified above (Fig. 2b).

The nonself SCS cluster in the RBD, STFKCYGVS (a portion of the 17-aa supercluster), corresponds to a core portion of the epitope of the neutralizing antibody CR3022 against SARS-CoV^{11,12}, although it does not neutralize SARS-CoV-2. This epitope is exposed to CR3022 only when the spike is in the open conformation and is highly conserved between SARS-CoV and SARS-CoV-2.

Using 42 chemically synthesized peptides and antisera from COVID-19 patients, 4 important epitope sequences in the RBD of the spike protein have been identified¹². The first epitope is from S375 to N394, which includes the STFKCYGVS nonself cluster (a portion of the 17-aa supercluster) toward the TNVYA nonself SCS. The fourth epitope is from C480 to P499, which covers the 19-aa supercluster. It appears that human antisera from COVID-19 patients preferentially, although not exclusively, recognize these two superclusters of nonself sequences. Although not neutralizing against SARS-CoV-2, m396 recognizes a few residues in the STFKCYGVS nonself cluster (a portion of the 17-aa supercluster)¹³, and 80R recognizes many residues in the 19-aa supercluster from G482 to G496¹³.

In contrast, neutralizing antibodies that have binding sites in the core of the ACE-2-binding residues S14P5 and S21P2¹⁴ and S309¹⁵ all recognize self SCSs but not nonself SCSs, demonstrating that self-targeted antibodies are produced preferentially on some occasions. Another neutralizing antibody, CB6, has been thoroughly studied in terms of residues interacting with the SARS-CoV-2 RBD or with ACE2¹⁶. Most of these residues correspond to self SCSs, but two residues (D420 and Y421) in the RDB, which constitute epitopes of neutralizing antibody CB6¹⁶, are located in the nonself SCS cluster, IADYNYKL. CB6 also recognizes N487 and Y489 in the GFNCYF cluster (a middle region of the 19-aa supercluster)¹⁶.

In a different study¹⁷, epitopes were mapped for 14 neutralizing antibodies against SARS-CoV-2. The study confirmed that only CR3022 recognizes the STFKCYGVS nonself cluster (a portion of the 17-aa supercluster), except C144, which recognizes a single residue in that cluster¹⁷. The IADYNYKL nonself cluster is covered by 3 antibodies, C102, CC12.3, and B38¹⁷. A single nonself SCS, QSYGF (a C-terminal region of the 19-aa supercluster), has ACE2-binding residues, which are covered by 11 antibodies (C102, CC12.3, B38, C002, C104, C119, C121, P2B-2F6, BD23, C144, and COVA2-39)¹⁷ in addition to CB6¹⁶, suggesting that this nonself SCS may function as an efficient epitope. Neutralizing monoclonal antibodies COV2-2196 and COV2-2165 against SARS-CoV-2 recognize F486 and N487 in the GFNCYF nonself cluster (a middle region of the 19-aa supercluster)¹⁸. Another extensive large-scale epitope profiling study using a triple-alanine scanning mutagenesis library and a hidden Markov model identified 12 epitopes in RBD¹⁹. The IADYNYKL cluster discussed above is entirely covered by the epitopes of E2 and E3¹⁹. The C-terminal SNN of the VIAWNSNN cluster discussed above is covered by E5¹⁹, and the PCNGY nonself SCS and the GFNCYF cluster (portions of the 19-aa supercluster) are recognized by E8¹⁹.

3D locations of the nonself SCSs in the RBD of the spike protein

The potentially important nonself SCSs in the RBD discussed above (Fig. 2b) were mapped onto a 3D structure model of the spike protein (Fig. 3). The STFKCYGVS and VIAWNSNN clusters together form an antiparallel β -sheet, confirming that together, they form the 17-aa supercluster. They seem to be accessible in the open conformation. In contrast, a single nonself SCS, TNVYA, is a part of the β -strand embedded in surrounding residues, which does not seem to be accessible. The IADYNYKL cluster forms an α -helix, and only D420 and Y421 are located on the protein surface and may be accessible. Interestingly, the IADYNYKL cluster is closely located to the 19-aa supercluster. An N-terminal portion of the 19-aa supercluster (P479-Y489) was not structurally determined, likely because this is an intrinsically disordered region. A C-terminal portion of the 19-aa supercluster (F490-F497) forms a β -strand.

Self-nonself status changes in the SARS-CoV-2 proteome and in the spike protein

Frequencies of self-to-nonself (0-to-1) status changes and nonself-to-self (1-to-0) status changes as a result of mutations in the SARS-CoV-2 proteome were examined (Supplementary Results). We found that nonself-to-self changes (escaping mutations or mimicry mutations) were more frequent than self-to-nonself changes (exposing mutations). A similar analysis was also performed in the spike protein (Supplementary Results), which suggests that the ongoing spike protein evolution in humans may not be driven by mimicry to escape immunological recognition and elimination.

Discussion

Here, we identified self and nonself SCSs throughout the proteome of SARS-CoV-2. This study is based on the theoretical concept that nonself SCSs may be better suited than self SCSs as epitopes for the

immune system to boost both T-cell and B-cell responses and not cause autoimmune diseases in the long term. Self-nonself discrimination *in vivo* is achieved by the complex functions of DCs, T_{reg} cells, and other cell types^{5–7,20,21} but can be attained relatively simply *in silico* by SCS-based computation when both host and parasite proteomes are available. From an evolutionary perspective, this concept leads to the sequence mimicry hypothesis.

We examined the SCS distribution in the human proteome (Fig. 1a-c), which suggested a scale-free distribution in the rank-frequency plot, following Zipf's law (Fig. 1c). Since Zipf's law is applicable to natural languages, this result justifies the application of SCS-based frequency analysis to human protein "language", similar to linguistic frequency analyses^{32,33}. The breakdown of linearity in the plot at the largest ranks probably reflects the fact that there are many zero-count SCSs. The zero-count SCSs in the human proteome are nonself SCSs themselves, and they are outside the human proteome vocabulary. In other words, the human proteome is composed of a mathematically coordinated collection of words (i.e., SCS vocabulary), which may make the identification of nonself SCSs (and hence foreign proteins) practically attainable for the immune system.

To our knowledge, most SARS-CoV-2 vaccines available at present are based on the antigenicity of the spike protein^{37–39}. The current mRNA vaccines are highly effective, demonstrating that the use of spike protein for vaccines has probably been the correct choice. Further efforts to search for epitopes continue; studies using neutralizing antibodies and synthetic peptides have identified several epitope sequences in spike proteins^{11–19}. Numerous search efforts for epitopes for peptide vaccines based on bioinformatics have been performed^{44–47}. Potential CTL epitopes have been identified *in silico* and in mice^{48–51}. However, the concept of self-nonself discrimination has not been incorporated. The present study is a novel attempt to incorporate this concept.

We discovered that most parts of the SARS-CoV-2 proteome are occupied by self SCSs and that nonself SCSs occupied only 8.82% of the proteome and 7.64% of the spike protein. These results may not be surprising, considering that a single SCS in this study contains just 5 aa and that all proteins on Earth may have a common set of SCS distributions^{24,25}. However, this high "similarity" may be surprising considering that the SARS-CoV-2 proteome and its proteins are totally foreign for humans. Theoretically, these results suggest that the human immune system must search for nonself SCSs that are embedded within a sea of self SCSs to avoid the development of autoimmune diseases over the long term.

In reality, however, the immune system produces antibodies against self SCSs as well as against nonself SCSs. Based on a literature survey, we found that COVID-19 patients produced antisera against both self and nonself sequences^{11–19}. This is not surprising, because nonself SCS regions are relatively infrequent and because an antibody often recognizes a few different short sequences simultaneously in a 3D space, as demonstrated in the case of anti-spike antibodies^{11–19}. Furthermore, T_{reg} cells may change the level of the self-nonself discrimination threshold to allow the production of self-targeted antibodies under various conditions^{20,21}.

A similar discussion may be valid regarding the activation of CTLs via MHC class I molecules. Consider a self SCS cluster of 8 aa residues from SARS-CoV-2 that is composed of 4 consecutive self SCSs, which can be fully presented by MHC class I. This means that its N-terminal 5-aa SCS is identical to an SCS from a human protein and that its C-terminal 5-aa SCS is also identical to a different SCS from another human protein. Moreover, the two 5-aa SCSs in the middle are also identical to yet different SCSs from different human proteins. These 5-aa SCSs are all self SCSs, but their combination is novel to humans. In this way, a self SCS cluster can behave as a nonself cluster combinatorially. However, there is a possibility that a single self SCS may be able to function as an epitope.

In any case, various self and nonself epitopes are likely targeted simultaneously during acute infection, and we believe that linear self epitopes are mostly, although not completely, “benign” in terms of autoimmunity. A similar discussion may be valid in immunological memory. If self epitopes are not completely safe in terms of autoimmunity, once pathogenic antigens are eliminated, the immune system should not retain memories of self epitopes of acute pathogens. In contrast, immunological memory for nonself epitopes may safely be retained for life. This may be one of the reasons why it is difficult to establish immunological memory for relatively benign pathogens such as the common cold and influenza. In this sense, establishing a life-long immunological memory for SARS-CoV-2 using vaccines may not be straightforward. The potential risks of autoimmune reactions, although not substantial, should not be ignored in the context of worldwide immunization. Potentially safer and more effective vaccines, from the viewpoint of self-nonself immunological recognition of epitopes, are encouraged in the COVID-19 pandemic era.

Although we found many nonself SCSs and their clusters throughout the SARS-CoV-2 proteome (Fig. 1d, e), we focused on the RBD of the spike protein to narrow our focus to practically important epitopes (Fig. 2a). We indeed discovered nonself SCSs and their clusters in the RBD. All of them, except the single TNVYA nonself SCS, have already been demonstrated to be parts of epitopes of existing neutralizing antibodies in previous studies^{11–18} (Fig. 2b). Two superclusters were identified. The 17-aa supercluster is composed of the STFKCYGVS and VIAWNSNN clusters, and together they form an antiparallel β -sheet (Fig. 3). The self sequences between these two clusters should be eliminated when designing candidate epitopes for vaccine targets, but their elimination would disrupt the conformational relationship between these two clusters. In this sense, the use of this conformational epitope without the inclusion of self SCSs might not be practical. An additional drawback of the VIAWNSNN cluster is that it contains 4 point mutation sites, 3 of which cause a nonself-to-self status change. This cluster thus may be relatively prone to variation that allows it to become “invisible”.

In contrast, the 19-aa nonself supercluster, PCNGV-GFNCYF–QSYGF, may be more suitable as a vaccine target. This 19-aa sequence contains 4 point-mutation sites, but they are all at boundaries between nonself and self SCSs (two of them are located in the gap between two nonself SCSs). The structure of the PCNGV nonself SCS (the first part of the 19-aa supercluster) has not been determined, suggesting that it may be within an intrinsically disordered region (Fig. 3). Probably reflecting this fact, this region of the 19-aa supercluster is recognized by just a few neutralizing antibodies, whereas its C-terminal region is

recognized by many existing neutralizing antibodies (Fig. 2b). Indeed, this region is the most targeted epitope. Among them, CB6 and B38 recognize not only the C-terminal region of the 19-aa supercluster (forming a β -strand) but also the IADYNYKL cluster (forming an α -helix), indicating that this cluster may join the 19-aa supercluster to constitute a conformational epitope. However, only one side of the α -helix of the IADYNYKL cluster (i.e., D420 and Y421) is likely accessible, suggesting that the contribution of the IADYNYKL cluster to the antigenicity of this epitope is not large. Therefore, the 19-aa supercluster or its C-terminal region alone may be sufficient for vaccines. As an exception, one neutralizing antibody, C144, appears to recognize both superclusters¹⁷.

After infection, pathogenic genomes mutate under strong immunological pressure from the host. One consequence of accumulated mutations is CTL escape^{52,53}. Although the mechanisms of CTL escape are elusive and may be multifaceted, CTL escape may be triggered when pathogens continuously mutate to the point that they contain an insufficient number of nonself epitopes for the human immune system to recognize in comparison to the number of self epitopes. The present study suggests that upon a host change of SARS-CoV-2, probably from bats to humans, in December 2019, the proteome of SARS-CoV-2 may be evolving to contain fewer nonself and more self sequences to escape recognition and elimination by the immune system, including CTLs, according to the sequence mimicry hypothesis. The use of relatively invariant nonself SCSs, such as the 19-aa supercluster identified in the present study, as vaccine targets may alleviate this problem. Further perspectives on evolution of SARS-CoV-2 may be important in the global public health (Supplementary Discussion).

Materials And Methods

Genome and proteome sequences

The human reference genome sequence was obtained at https://www.ncbi.nlm.nih.gov/datasets/genomes/?acc=GCF_000001405.39 on 14 November 2020. Protein sequence information in RefSeq: GCF_000001405.39 (NCBI Release 109.20210226 (GRCh38.p13)) in the file “protein.faa” was then examined using our computer programs. All analyses in this study were performed using this human reference proteome.

Similarly, the SARS-CoV-2 reference genome sequence and other related sequences were obtained at https://www.ncbi.nlm.nih.gov/assembly/GCF_009858895.2/ and at <https://www.ncbi.nlm.nih.gov/assembly/organism/694009/latest/> on 15 November 2020, in which “protein faa” files were examined. The SARS-CoV-2 proteome reference sequence used here was ASM985889v3 from NCBI, and 93 variant proteomes excluding the reference proteome were downloaded for variant analysis. Among them, proteomes that were not aligned exactly with the reference proteome due to insertion or deletion were excluded for simplicity, resulting in 68 proteomes (including the single reference proteome) that were analyzed (Supplementary Methods).

Identified nonself SCSs were highlighted in a 3D structure model of spike protein (PDB ID: 6VYB) determined by cryo-EM at 3.20-Å resolution³⁶ (Supplementary Methods).

Frequency calculations in the human proteome

There are 3.2 million species of 5-aa SCSs theoretically possible because a single position accommodates a single amino acid of a collection of 20 species. To calculate the number of 5-aa SCSs that occur in the protein aa files, the following operations were executed. First, a sequence of “occurrences” with 3.2 million in length was prepared. A bijective function (one-to-one correspondence), `scscode:SCS` $\diamond$ `[0, 3199999]`, was also prepared. Here, `occurrence[i]` stores the frequency of a 5-aa SCS having `scscode i`. The initial value of all occurrences (`occurrence[0]` to `occurrence[3199999]`) was zero. Second, 5-aa SCSs were counted from protein sequences of the protein aa files by sliding a 5-aa window of one aa residue at a time from the N-terminus to the C-terminus. A 5-aa SCS was converted to `scscode`, and 1 was added to the occurrence of the corresponding element. This operation was repeated until all 5-aa SCSs were treated. For example, we set `scscode('AAAAA') = 0`, `scscode('YYYYY') = 3199999`, and `scscode('MKPAD') = 1668802`, and when these 5-aa SCSs were counted, their corresponding `occurrence[0]`, `occurrence[3199999]`, and `occurrence[1668802]` acquired + 1 values.

Based on the SCS frequency information obtained above, various basic statistical values were calculated. First, the total number of 5-aa SCSs was obtained by adding all values of `occurrence[0]` to `occurrence[3199999]`. Second, the number of 5-aa SCS species that occur in the human proteome was obtained by counting the number of frequency sequence “occurrences” that were not equal to 0. Third, the most frequent and least frequent 5-aa SCSs were obtained by sorting the occurrence values in ascending or descending order. These calculations were performed by Source Codes for Human SCS Analysis available online at <https://adslab-uryukyu.github.io/scs-sars-cov-2/>. Additional calculations for human proteome characterization were also performed (Supplementary Methods).

Self and nonself assignments for the SARS-CoV-2 proteome

Each 5-aa SCS in the SARS-CoV-2 proteome was assigned 0 or 1 at the first position of its amino acid in a protein sequence. To do so, numbers were assigned to proteins in the order of their appearance in the protein aa file. Within a protein, numbers were assigned to aa positions from the N-terminus to the C-terminus. Each aa position was specified in this way. For example, the third amino acid in the sixth protein was signified as 6 – 3. Using this positional number system, a consecutive 5 aa sequence was extracted; positional numbers were considered not for numbers of aa positions but for numbers of 5-aa SCS positions.

Then, SCS was converted to decimal numbers (n). When `occurrence[n] = 0`, the corresponding SCS does not exist in the human proteome. That is, this SCS is a zero-count SCS (ZCS). In that case, “1” was assigned at the first position of the 5-aa SCS together with its positional number in a csv file. When a 5-aa SCS in question was not a ZCS, “0” was assigned. For example, when SCS = ASDRG, it is a ZCS, and thus, the location number of A such as 2–16 and its identity of 1 were recorded as “2–16, 1” in a csv file.

Above, 5-aa SCSs were converted to decimal numbers in accordance with the letter-to-number correspondence as follows: A = 0, C = 1, D = 2, E = 3, F = 4, G = 5, H = 6, I = 7, K = 8, L = 9, M = 10, N = 11, P = 12, Q = 13, R = 14, S = 15, T = 16, V = 17, W = 18, Y = 19. Converted n -th letter's value was set as "SCS _{n} ". Then, SCS₁, SCS₂, SCS₃, and SCS₄ were multiplied by 20^4 , 20^3 , 20^2 , and 20, respectively. The decimally converted 5-aa SCS was thus expressed as SCS₁ + SCS₂ + SCS₃ + SCS₄ + SCS₅. For example, using M = 10, K = 8, P = 12, A = 0, and D = 2, MKPAD can be converted as follows: $10 \times 20^4 + 8 \times 20^3 + 12 \times 20^2 + 0 \times 20 + 2 = 1600000 + 64000 + 4800 + 0 + 2 = 1668802$. These calculations were performed by Source Codes for SARS-CoV-2 SCS Analysis available online at <https://adslab-uryukyu.github.io/scs-sars-cov-2/>.

Statistical analyses of self-nonsel status change frequencies

The numbers of mutations in the 68 SARS-CoV-2 proteomes in reference to the reference proteome (ASM985889v3) were counted manually based on the self (0) or nonself (1) assignments in Microsoft Excel. This is to exclude artifactual mutations due to sequencing or translational errors resulting in frameshifts and unknown amino acid residue X. To examine frequency differences between self-to-nonsel and nonself-to-self mutations, the χ^2 test was performed using JSTAT 16.1 (Yokohama, Japan).

Data and Code availability

All data that support the conclusions of the study are included in this paper; Supplementary Information (Supplementary Methods, Supplementary References, Supplementary Tables 1, 2, 3, and 4), and their related Additional Data 1, 2, 3, and 4 freely available at GitHub, <https://adslab-uryukyu.github.io/scs-sars-cov-2/>. Source Codes for Human SCS Analysis and for SARS-CoV-2 SCS Analysis are also freely available at <https://adslab-uryukyu.github.io/scs-sars-cov-2/>.

Declarations

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Author contributions

J.M.O. designed this study. W.N. and M.N. performed program development and computation. J.M.O. analyzed the data, interpreted the findings, and wrote and revised the paper.

Competing interests

The authors declare no competing interests.

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Figures

Figure 1

SCS-based characterization of the human proteome. (a) Frequency distribution of 5-aa SCS species. The number of SCS species rapidly decreases as the frequency in the human proteome increases. (b) Correspondence among the 5-aa SCS species repertoire (top), the human proteome (middle), and the SARS-CoV-2 proteome. The top bar indicates the 3.2 million 5-aa SCS species placed linearly from the first one to the last one. The human proteome displays 75% of the 5-aa SCS species repertoire. The bottom bar is on a different scale than the others. (c) Rank-frequency plots for 5-aa SCSs (top), 4-aa SCSs (middle), and 3-aa SCSs (bottom). (d) Percentage of nonself 5-aa SCSs in SARS-CoV-2 proteins. (e) Number of 5-aa SCS clusters in the SARS-CoV-2 proteome in relation to the length of nonself SCS clusters.

Figure 2

Self-nonsel mapping of SARS-CoV-2 spike protein. (a) Nonsel 5-aa SCSs and their clusters in the spike protein. Green shading indicates the first amino acid of the nonself 5-aa SCS. The nonself SCSs and their clusters are boxed in black lines. The receptor binding domain (RBD) (P330-P521)¹² is boxed in red lines. The receptor binding motif (RBM)^{13,34} is shaded in pink. Disulfide bonds¹³ are shown by dashed lines between cysteine residues. ACE2-binding residues¹¹ are shaded in magenta. Four potentially important

nonsself clusters and a single nonsself SCS in the RBD are underlined in red. Eight residues are shown in red letters, which are mutation sites that cause the nonsself-to-self status change (N234Q, D364Y, A435S, I434K, N439K, F490L, Q675H, and N1074Q). (b) Potentially important nonsself SCS clusters in the RBD. Epitope sequences for neutralizing antibodies are shown under nonsself sequences. Antibodies that recognize multiple sites are highlighted in yellow. Blue arrowheads indicate point-mutation sites. The STFKCYGVS and VIAWNSNN clusters together form a 17-aa supercluster (Fig. 3). A sky-blue line indicates a 19-aa supercluster from P479 to F497. The IADYNYKL cluster may also join this supercluster (Fig. 3).

Figure 3

Nonsel self SCSs in a 3D model of the SARS-CoV-2 spike protein. A 3D structure model of the spike trimer (PDB ID: 6VYB) is shown. Nonsel self SCSs identified in Fig. 2 are highlighted in light green. (a) Side view. The viral membrane is at the left side. The RBD is boxed (also in b). (b) Top-down view. (c) High magnification of nonself SCSs in the RBD.

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