

Impact of Different Modules of 21-Gene Assay in Early Breast Cancer Patients

Mengdi Chen

Shanghai Jiao Tong University School of Medicine

Deyue Liu

Shanghai Jiao Tong University School of Medicine

Weilin Chen

Shanghai Jiao Tong University School of Medicine

Weiguo Chen

Shanghai Jiao Tong University School of Medicine

Kunwei Shen

Shanghai Jiao Tong University School of Medicine

Jiayi Wu

Shanghai Jiao Tong University School of Medicine

Li Zhu (✉ zhuli8@yeah.net)

Shanghai Jiao Tong University School of Medicine <https://orcid.org/0000-0002-9294-5727>

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Abstract

Background: Young patients were under-evaluated in the construction and validation of the 21-gene Assay Recurrence Score (RS). Previous evidence suggested that RS performed differently according the ages of patients. Our study aimed to explore the molecular driving patterns in patients of different ages.

Methods: A total of 1,078 estrogen receptor (ER)-positive breast cancer patients between Jan 2009 and Mar 2017 from Shanghai Jiao Tong University Breast Cancer Data Base were divided into three subgroups: Group A, ≤ 40 y and premenopausal (n=97); Group B, >40 y and premenopausal (n=284); Group C, postmenopausal (n=697). The correlation of RS and its modules and the variance of RS modules was explored.

Results: Estrogen module had a stronger correlation with RS in patients >40 y ($\rho = -0.76$ in Group B and -0.79 in Group C) compared with patients ≤ 40 y ($\rho = -0.64$). Contrarily, the correlation between RS and invasion group was weaker in patients >40 y ($\rho = 0.29$ in Group B and 0.25 in Group C) than in patients ≤ 40 y ($\rho = 0.44$). The proliferation module contributed most to the variance in young patients (37.3%) while ER module contributed most in old patients (54.1% in Group B and 53.4% in Group C). For RS >25 , proliferation module was the leading driver in all three subgroups ($\rho = 0.38, 0.53$ and 0.52 in Group A, B and C) while estrogen module had a weaker association with RS. The negative impact of ER related features on RS was stronger in clinical low-risk patients while the positive effect of proliferation module was stronger in clinical high-risk patients.

Conclusions: RS was primarily driven by estrogen module in patients regardless of age, but the proliferation module had a stronger impact on RS in patients ≤ 40 y than in those >40 y. The impact of modules varied in patients with different genetic and clinical risk.

1. Background

Estrogen receptor (ER) is a significant biomarker for breast cancer and ER-positive subtype constitutes about 70% of invasive breast cancers¹. Endocrine therapy is essential for all ER + breast cancer patients, while chemotherapy can improve the prognosis of some². To identify ER + breast cancer patients who can benefit from chemotherapy, several multiparameter molecular profiling tests were developed. The 21-gene Recurrence Score (RS) is the most widely used profile worldwide, comprised of 16 cancer-related genes and 5 reference genes³. Calculated using fixed coefficients which are predefined according to the regression analysis of gene expression and recurrence in the three training studies, the 21-gene RS categorizes patients into low-, intermediate- or high-risk groups. The use of RS score makes clinicians informed of the prognosis of patients and allows them to make adjuvant treatment decisions objectively.

Young patients (≤ 40 years old) compose approximately 2–6% of patients in clinical trials about 21-gene^{4–6}. Previous data suggested that luminal tumors in young women have different clinicopathological pattern compared with those in old patients^{7–9}. They tend to be more aggressive and thus may benefit

from enhanced therapy such as chemotherapy¹⁰. Correspondingly, the RS score was found to show different values of predicting the benefit of adding chemotherapy in subsets of different ages. The Trial Assigning Individualized Options for Treatment (TAILORx) categorized RS ranges as low- (< 11), intermediate- (11–25) or high- (> 25) risk subgroups and discovered that for the majority of population with RS < 25, endocrine therapy alone was noninferior to combined chemo-endocrine therapy. Of note, the interaction between age and RS was obvious. For instance, for patients < 50 years, RS 11–25 might predict some benefit derived from chemotherapy, whereas in patients \geq 50 years with a RS 11–25, chemotherapy-derived benefit was absent¹¹.

Refined ranges of RS will inform clinicians of recurrence and survival outcomes more accurately than traditional clinicopathological features and allow to selecting patients avoid chemotherapy. Thus, it's important to understand the biological features as well as molecular drivers behind the RS. A previous study was performed to investigate the utility of RS in postmenopausal patients and discovered that, in contrast to the weight of coefficient in the formula for calculating RS, the molecular drivers of RS was mainly estrogen-related features instead of proliferation markers¹². However, experience in patients with different ages was scarce, especially in premenopausal young women. Given the different prognostic and predictive value of RS in people with different ages, it is necessary to explore the internal molecular mechanisms of RS, especially in younger patients.

In this study, we tried to explore the association of RS with its modules and identify the discordance of molecular drivers in patients of different ages.

2. Patients And Methods

2.1 Patients

Clinical data of a total of 1,078 unilateral ER-positive female breast cancer patients between Jan 2009 and Mar 2017 was derived from the prospectively-maintained Shanghai Jiao Tong University Breast Cancer Data Base (SJTU-BCDB). The use of data was approved by SJTU-BCDB for clinical research. Patients were collected if meeting the following criteria: (1) ER positivity with \geq 1% immunoreactive tumor cell nuclei determined by immunohistochemical (IHC) test¹³; (2) human epidermal growth factor receptor 2 (HER2) negativity defined as IHC score 0/1+ or 2+ combined with non-amplification of HER2 gene on fluorescence in situ hybridization (HER2/CEP17 ratio < 2.0 with average HER2 gene copy number <6.0 signals/cell, or average HER2 gene copy number <4.0 signals/cell regardless of the ratio)¹⁴; (3) intact information of a 21-gene test report. Menopause was determined if meeting any of the following: (1) prior bilateral oophorectomy; (2) age \geq 60 years old; (3) age <60 years old, amenorrheic for more than 12 or more months and the follicle-stimulating hormone and estradiol in the postmenopausal range.

2.2 The 21-gene RS Assay

The 21-gene tests were performed using formalin-fixed, paraffin-embedded tissue. Hematoxylin and eosin-stained slides were deparaffinized into two 10µm unstained sections using xylene followed by ethanol as we did before¹⁵. RNA was extracted using the RNeasy FFPE kit (QIAGEN, Hilden, Germany). Total RNA content was quantified after confirmed the absence of DNA contamination. Then, gene-specific reverse transcription was conducted followed by standardized quantitative reverse transcriptase-polymerase chain reactions (RT-PCR) in 96-well plates with Applied Biosystems (Foster City, CA) 7500 Real-Time PCR system. The PCR cycling went as follows: 95°C for 10 min for one cycle, 95°C for 20 s, and 60°C

2.3 Genetic and Clinical Risk Stratification

As defined in the TAILORx trial¹¹, we categorized patients into genetic high- and low-risk with a cut-off RS value of 25. In addition, patients with tumors of (1) ≤3cm and Grade 1; (2) ≤2cm and Grade 2; (3) ≤1cm and Grade 3 were classified as clinical low-risk while others were clinical high-risk^{4,11}.

2.4 Statistical Analysis

Spearman's rank correlation was performed to analyze the correlation of RS and RS modules. Variance of components of the RS was studied in different subgroups. All tests were performed using R Studio version 1.2.5019 based on R version 4.0.3.

3. Results

3.1 Baseline characteristics

According to the 4th International Consensus Conference for Breast Cancer in Young Women (BCY4) international consensus guidelines¹⁶ as well as patients' menopausal status, we divided patients into three subgroups: (1) Group A, ≤40y and premenopausal; (2) Group B, >40y and premenopausal; (3) Group C, postmenopausal. Of 1,078 cases, 9.0%, 26.3%, 64.7% were group A, B and C. The median ages were 37 (range 27-40), 47 (range 41-56) and 63 (range 45-93), respectively. A total of 31.5% of the tumors were luminal-A subtype and the majority (86.4%) was invasive ductal cancer. Approximately half of the patients had grade II tumors. For AJCC stage, 67.9% of tumors were pT1 and 93.4% were node-negative. A total of 638 (59.2%) patients had RS scores ≤25 and 440 (40.8%) had RS scores >25. We determined clinical risk as in the MINDACT (Microarray in Node Negative Disease May Avoid Chemotherapy) and TAILORx trial. The patients of high or low clinical risk had almost equal proportions (49.5% vs 50.5%). The distribution of clinicopathologic features in each subgroup was summarized in Table 1.

3.2 Correlation between RS score and individual modules

We analyzed the relevance of each separate modules and the total score (Figure 1). For HER2 module and proliferation module, the thresholds of 8 and 6.5 were applied. In terms of the estrogen module, RS scores had a stronger correlation with ER group in patients >40y ($\rho = -0.76$ in Group B and -0.79 in Group

C) compared with patients $\leq 40y$ ($\rho = -0.64$ in Group A). Contrarily, the correlation between RS score and invasion group was weaker in patients $>40y$ ($\rho = 0.29$ in Group B and 0.25 in Group C) than in patients $\leq 40y$ ($\rho = 0.44$ in Group A). The coefficients of HER2 modules also showed difference between patients $>40y$ ($\rho = 0.14$ in Group B and 0.15 in Group C) and patients $<40y$ ($\rho = 0.23$ in Group A). Interestingly, the correlation between proliferation group and RS score was similar in premenopausal population ($\rho = 0.54$ in Group A and 0.56 in Group B), while it was a little weaker in postmenopausal patients ($\rho = 0.39$ in Group C). Notably, a total of 15.1% patients in our study had the unthresholded proliferation module (19.6%, 12.3%, and 15.6% in Group A, B, and C).

3.3 Variance of RS score accounted for by individual modules

Analysis of variance tests were applied to evaluate the ratio of every module that explained the variance of RS score. The distribution of variance of Group B and C was similar and showed a different pattern compared with that of Group A (Figure 2). In young patients, the proliferation module contributed most to the variance (37.3% in Group A). Meanwhile, ER module was the group contributed most in old patients (54.1% in Group B and 53.4% in Group C). In all three groups, the invasion and HER2 group explained little part of the variance of RS score, shown in Table 2.

3.4 Correlation in genetic high- and low-risk subgroups

We explored the correlation of RS with its modules in genetic high- and low-risk subgroups ($RS > 25$ and $RS \leq 25$, Figure 3-5). Obvious difference was observed between patients with genetic high- and low-risk. For ER module, the negative impact was much stronger in low-risk patients compared with high-risk ones. Similarly with the results in total population, the impact of ER module in genetic low-risk subgroup also became stronger with age ($\rho = -0.68, -0.77$ and -0.84 in Group A, B and C). For proliferation module, the positive correlation presented in total population only occurred in genetic high-risk subgroups. Different with the tendency in total population ($\rho = 0.54, 0.56, 0.39$ in Group A, B and C), the correlations reversed between young and old subgroups ($\rho = 0.38, 0.53$ and 0.52 in Group A, B and C). In terms of invasion module, though it showed stronger impact on RS in patients $\leq 40y$ than $>40y$ when analyzing the total population, the coefficient was actually strongest in genetic low-risk $<40y$ patients ($\rho = 0.55$) while the difference was not obvious between the left five subgroups.

3.5 Correlation in clinical high- and low-risk subgroups

We further compared the correlations between patients with different clinical risks. The tendency of the correlations between RS and its individual modules was constant on the whole. Meanwhile, small difference was observed between clinical high- and low-risk subgroups. As for ER module, its negative impact on RS was stronger in patients with low clinical risk compared with those of the same age but with higher risk. In terms of proliferation module, the positive impact on RS was stronger in high-risk patients regardless of age. For invasion module, the coefficient was stronger in patients ≤ 40 years old. Detailed data was shown in Figure 6-8. The relationships between RS and its estrogen/proliferation module were summarized in Figure 9.

4. Discussion

21-gene RS was an important tool to help clinicians predict survival outcome and make treatment strategies. Clinical data showed that patients with the same 21-gene RS but different ages had different benefit from adjuvant chemotherapy¹¹, thus, it was necessary to understand the internal molecular drivers of RS. A recent study uncovered the discordance of the primary coefficient in the Cox model of RS and the unique molecular features of RS in postmenopausal patients¹², leading the attention to RS usage. However, data in premenopausal women was insufficient. Here, we discussed the difference of molecular drivers of 21-gene RS score between young and old patients and found that RS was primarily driven by estrogen module in patients regardless of the age, while the proliferation module had a stronger impact on RS in patients $\leq 40y$ than in those $> 40y$.

Despite the similar RS, patients with different ages might respond differently to the addition of chemotherapy. The result of TAILORx¹¹ and RxPONDER¹⁷ trial suggested that premenopausal patients with $RS \leq 25$ gained a survival improvement from the addition of chemotherapy while the postmenopausal counterparts didn't. Consistently, the MINDACT trial⁴ showed that for the population with clinical high risk and genetic low risk, a 5.4% reduction of distant metastasis from chemotherapy was observed in patients $\leq 50y$ but not in those $> 50y$. Based on these results, we divided the patients according to their menopausal status. To explore the mechanisms of RS in patients with different ages, we further categorized patients as young or aged with a cut-off of 40 years old according to BCY4 guidelines.

The results of our study were consistent with the recent study based on patients from the ATAC trial¹², in which RS was found to be mainly driven by estrogen-related features in postmenopausal women. Our study confirmed that this phenomenon also occurred in premenopausal patients > 40 year of age. However, in patients $\leq 40y$, the link of estrogen module and RS became weak and in contrast, the proliferation module had a strong impact on RS, as well as explained the most of RS's variance. Given the crescendo of estrogen module's impact of RS, a rational inference might be that in the majority of patients $> 40y$, estrogen module played a leading role in RS, resulting in the loss of prediction value of RS after 5 years due to the withdrawal of endocrine therapy¹⁸. Second, the estrogen module had a weak impact in patients less than 40y probably due to the relatively lower ER related gene expression. As for the proliferation module, its strong correlation with RS in young patients was in accordance with the previous retrospective studies that young patients were more likely to have tumors with higher grade⁹ and higher expression of proliferation related genes¹⁹. Consequently, a higher proportion of patients $\leq 40y$ (19.6%) had unthresholded proliferation module score than patients $> 40y$ did (12.3% and 15.6% in Group B and C). To some extent, the application of threshold distinctly narrowed the gap of proliferation modules' contribution to RS between patients $< 40y$ and $\geq 40y$.

In our exploratory analysis in subgroups with different genetic risk, the trend was similar that the association between the RS and its estrogen module was weaker among younger patients, especially in low genetic risk groups. In terms of proliferation related features, no statistically significant relationship

was found between RS and its proliferation in patients with RS < 25, suggesting that proliferation related features might affect very little in patients with low-to-immediate gene risk. Evidence from TAILORx showed that if the RS was 11–25, chemotherapy associated benefit only occurred in patients 41–50 years of age¹¹. In our study, the stronger correlation between RS and ER module existed in premenopausal patients > 40y, among whom no significant association between RS and proliferation module was observed. Therefore, a probable presumption was that in addition to cell cytotoxicity, the chemotherapy benefit for these patients was mainly derived from chemotherapy induced amenorrhea which was common in women 40 years of age or older²⁰. For these patients, ovarian function suppression incorporated into endocrine therapy might be an effective choice for omitting adjuvant chemotherapy as well as achieving the reduction of the rate of recurrence and death^{21,22}.

Clinicopathological features were considered as important prognostic factors²³ and we further investigated the molecular drivers in subgroups with different clinical risk determined by the standard of TAILORx¹¹. The negative impact of ER related features on RS was stronger in clinical low-risk patients than in high-risk ones. Meanwhile, the proliferation module had a stronger impact on RS in clinical high-risk patients. These results were in accordance with previous evidence and suggested that with the same RS, the internal molecular mechanisms might be quite different. For instance, for a 60y postmenopausal low clinical risk patient, a RS of 30 might be driven primarily by the strong expression of estrogen module along with the weak proliferation module. Meanwhile, for a patient with high clinical risk, a RS of 30 might be attributed to the positive impact of proliferation related genes and negative impact of estrogen module. Undoubtedly, our results supported the conclusion of the secondary analyses of TAILORx in which clinical-risk stratification²⁰ (tumor size and tumor grade used) was incorporated into RS to provide better prognostic information. Additionally, it also explained the better performance of RSclin tool²⁴ than that of RS alone.

Our study has several strengths. First, we explored the molecular drivers of RS in young patients and compared them with that in elder patients, which had never illuminated before. Second, the previous study based on samples from ATAC trial and the majority of patients were at clinical low risk and able to receive tamoxifen or anastrozole alone²⁵. Instead, patients studied in our study derived from real-world data thus might be more representative of clinical practice. Thirdly, we used a cut off age of 40y to substitute for 50y to divide customized risk groups. We found distinct patterns of molecular drivers between patients $\leq 40y$ and those $> 40y$. Thus, it might be necessary to further categorized the ranges of ages in addition to the cut-off of 50y used by TAILORx and recommended by the ASCO Clinical Practice Guideline²⁶ and NCCN²⁷ guideline.

5. Conclusions

In conclusion, RS was primarily driven by estrogen module in patients regardless of the age, while the proliferation module had a stronger impact on RS in patients $\leq 40y$ than in those $> 40y$. The chemo-related benefit in young patients might be largely derived from CIA because the proliferation module had

no obvious association with the RS when it was ≤ 25 . For RS > 25 , proliferation module was the leading driver while estrogen module had a weaker association with RS. The negative impact of ER related features on RS was stronger in clinical low-risk patients while the positive effect of proliferation module was stronger in clinical high-risk patients. Future analysis might pay more attention to the difference between patients $\leq 40y$ and $> 40y$ when using the RS score to determine the addition of chemotherapy to endocrine therapy.

Declarations

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Consent for publication: Written informed consent for publication was obtained from all participants.

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Author Contributions:

LZ, JY and MC made study design. DL, WC and KS participated in data acquisition. JW and MC conducted statistical analysis and manuscript preparation. KS and LZ helped to review the manuscript. All authors read and approved the final manuscript.

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Availability of supporting data: The data used to support the findings of this study are available from the corresponding author upon request.

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Tables

Abbreviations: HR, hormone receptor; HER2, human epidermal growth factor receptor 2; IDC, invasive ductal cancer; ILC, invasive lobular cancer; RS, recurrence score.

Table 2 Variance of RS Scores as Accounted for by Individual Modules

RS module	Group A		Group B		Group C	
	Sum of Squares	Variance Explained (%)	Sum of Squares	Variance Explained (%)	Sum of Squares	Variance Explained (%)
Proliferation (unthresholded)	3430	37.3	6125	19.5	16681	18.2
ER	1968	21.4	17025	54.1	48958	53.4
Invasion	541	5.9	614	2.0	2779	3.0
HER2 (unthresholded)	24	0.3	170	0.5	81	0
Residuals	3235	35.2	7541	23.9	23113	25.2

Abbreviations: RS, recurrence score; ER, hormone receptor; HER2, human epidermal growth factor receptor 2.

Figures

Table 1 Basic features of HR+/HER2- early breast cancer patients from SJTU-BCDC

Characteristics	Total(%)	Premenopause	Premenopause	Postmenopause
	n=1,078	≤40y n=97	>40y n=284	n=697
Median Age	58(24-93)	37(27-40)	47(41-56)	63(45-93)
Subtype				
Luminal-A	340(31.5)	28(28.8)	101(35.6)	211(30.3)
Luminal-B(HER2-)	738(68.5)	69(71.2)	183(64.4)	486(69.7)
Pathology				
IDC	932(86.4)	88(90.7)	243(85.6)	601(86.3)
ILC	46(4.3)	2(2.1)	13(4.6)	31(4.4)
Others	100(9.3)	7(7.2)	28(9.8)	65(9.3)
Histologic grade				
1	103(9.6)	8(8.2)	31(10.9)	64(9.2)
2	559(51.9)	51(52.6)	161(56.7)	398(57.1)
3	224(20.8)	28(28.9)	53(18.7)	143(20.5)
Undifferentiated	141(13.1)	10(10.3)	39(13.7)	92(13.2)
pT				
1	732(67.9)	64(66.0)	212(74.6)	456(65.4)
2	335(31.1)	29(29.9)	71(26.1)	235(33.7)
3	11(0.1)	4(4.1)	1(0.3)	6(0.8)
pN				
0	1,007(93.4)	94(96.9)	278(97.9)	635(91.1)
1	71(6.6)	3(3.1)	6(2.1)	62(8.9)
RS score				
≤25	638(59.2)	58(59.8)	179(63.0)	401(57.5)
>25	440(40.8)	39(40.2)	105(37.0)	296(42.5)
Clinical Risk				
Low	544(50.5)	42(43.3)	165(58.1)	350(50.2)
High	534(49.5)	55(56.7)	119(41.9)	347(49.8)

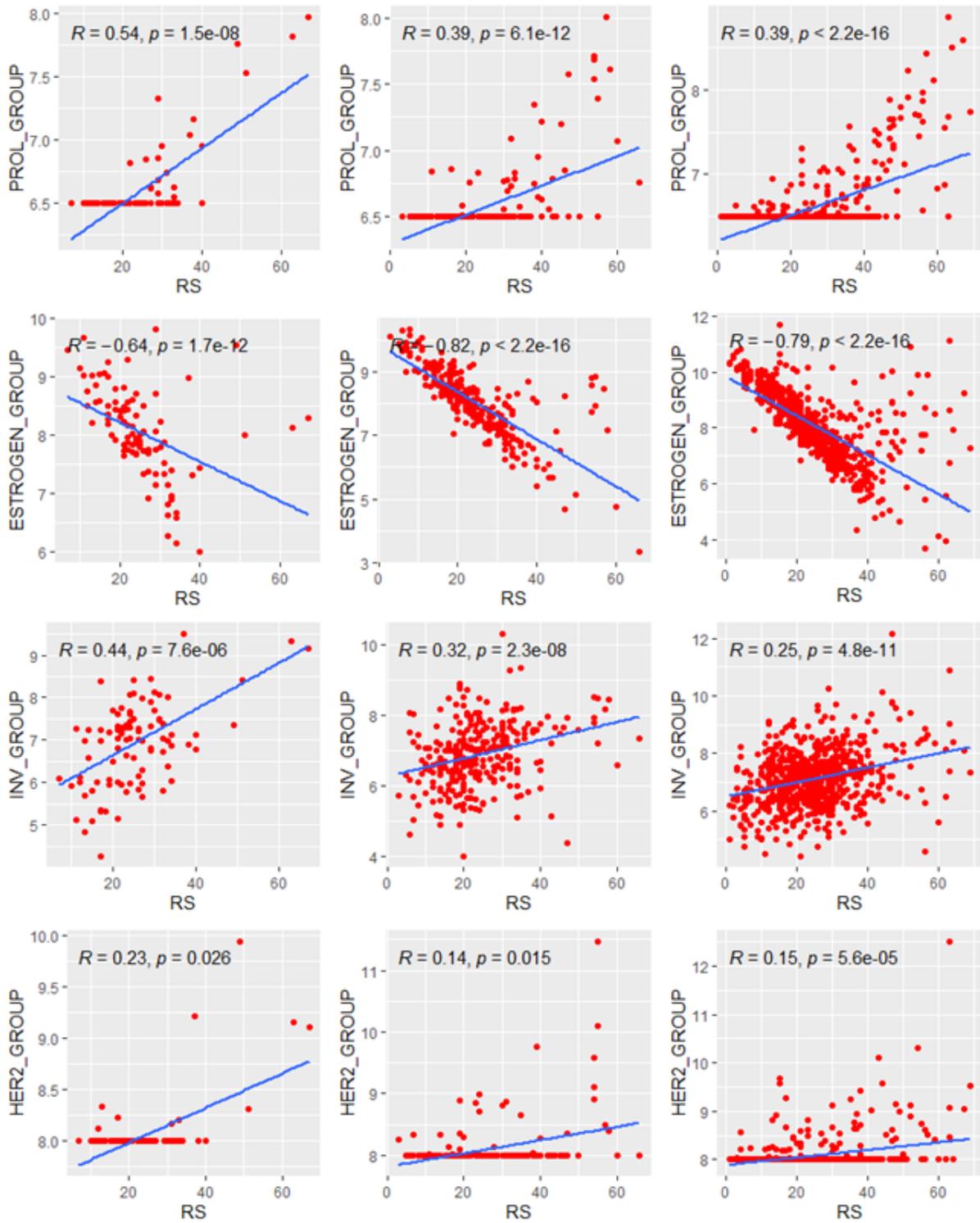


Figure 1

Relationships of the RS with its proliferation module and estrogen module. Group A, B, C were presented from left to right. Abbreviations: RS, recurrence score.

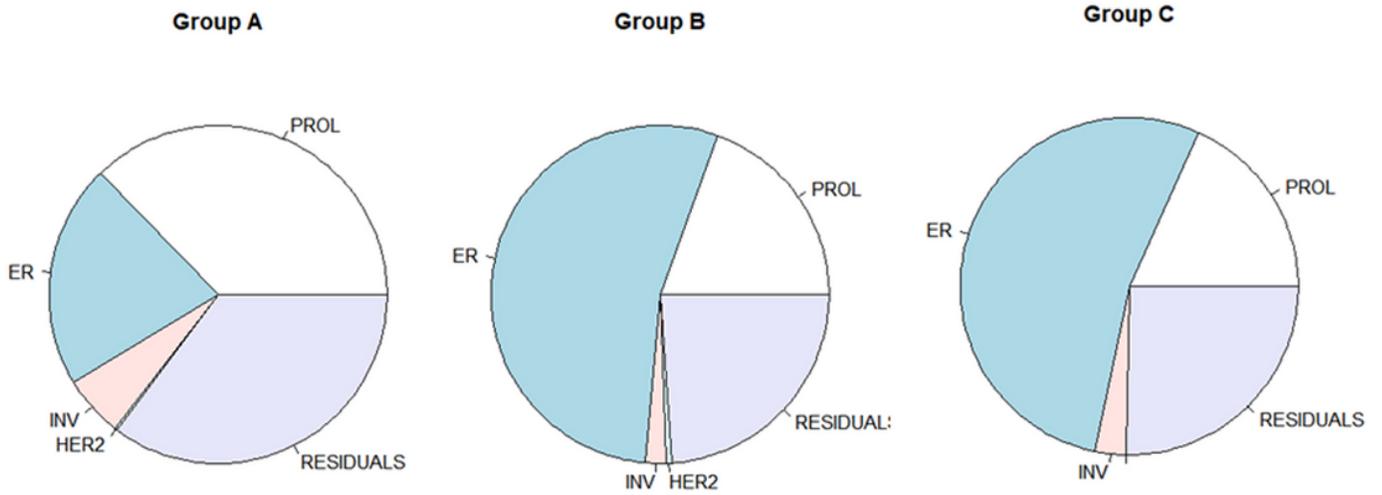


Figure 2

Variance of RS scores as accounted for by individual modules. Abbreviations: RS, recurrence score.

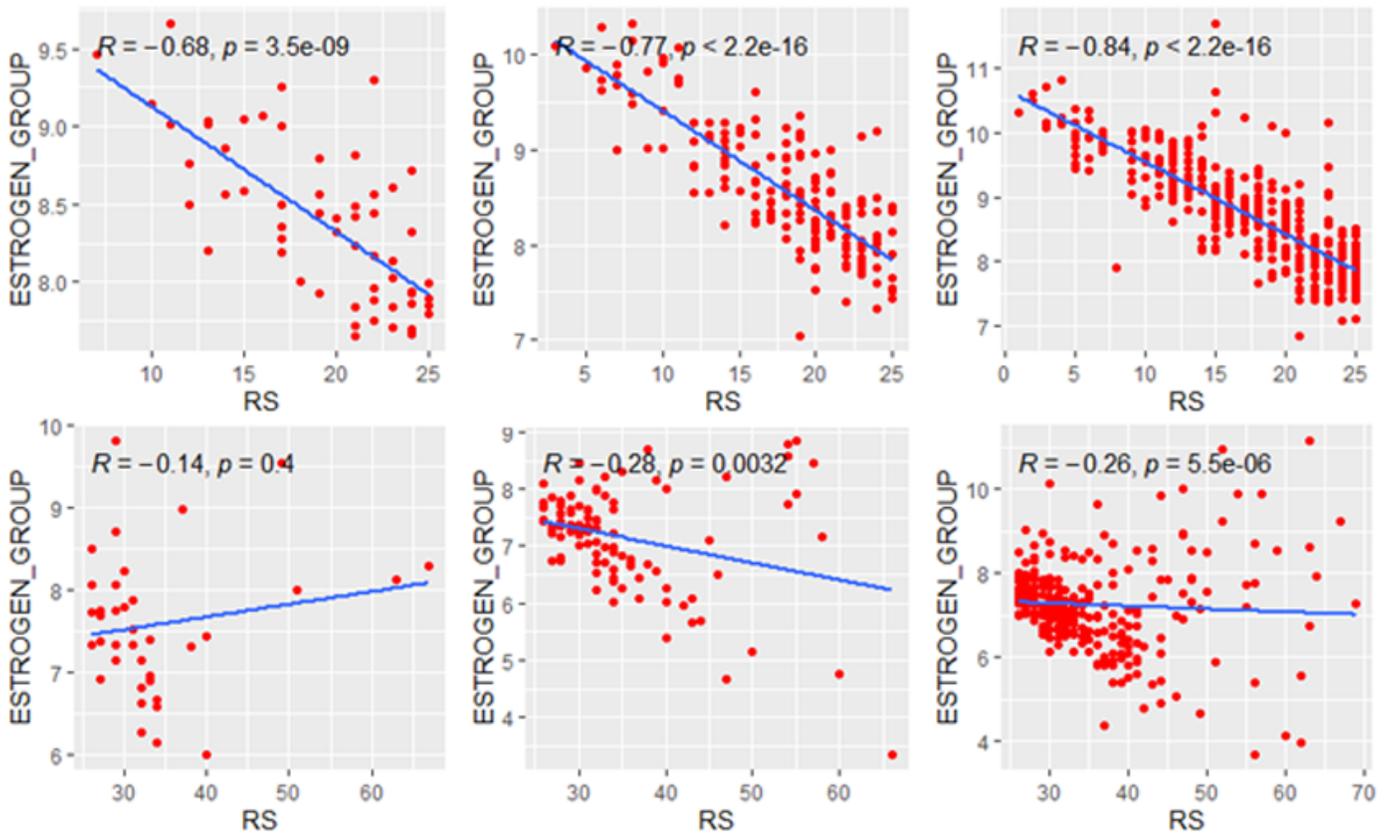


Figure 3

Relationships of the RS with its estrogen module. The upside and downside ranks showed the relationship in patients with $RS \leq 25$ and $RS > 25$ respectively. Group A, B, C were presented from left to

right. Abbreviations: RS, recurrence score.

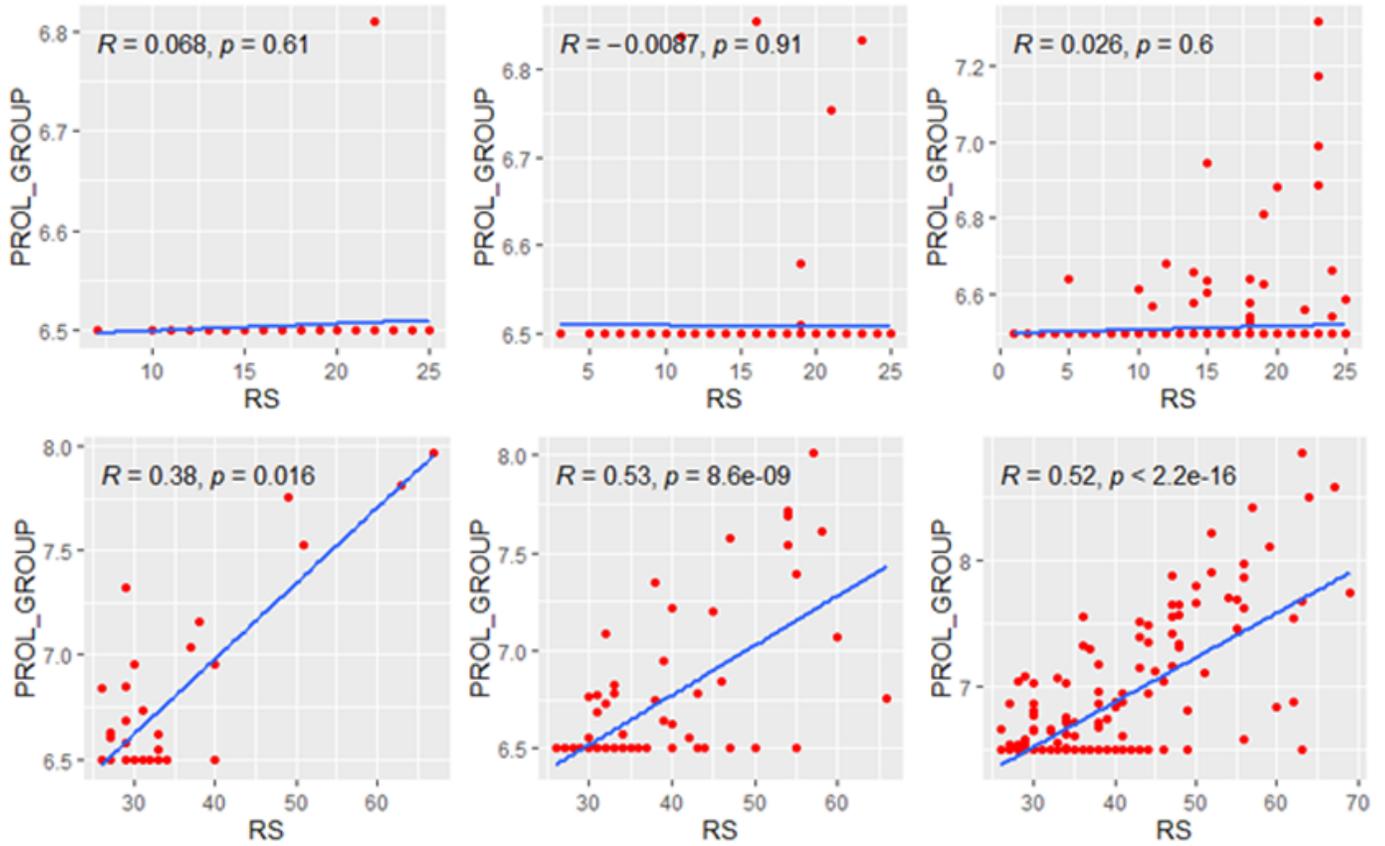


Figure 4

Relationships of the RS with its proliferation module. The upside and downside ranks showed the relationship in patients with $RS \leq 25$ and $RS > 25$ respectively. Group A, B, C were presented from left to right. Abbreviations: RS, recurrence score; PROL, proliferation.

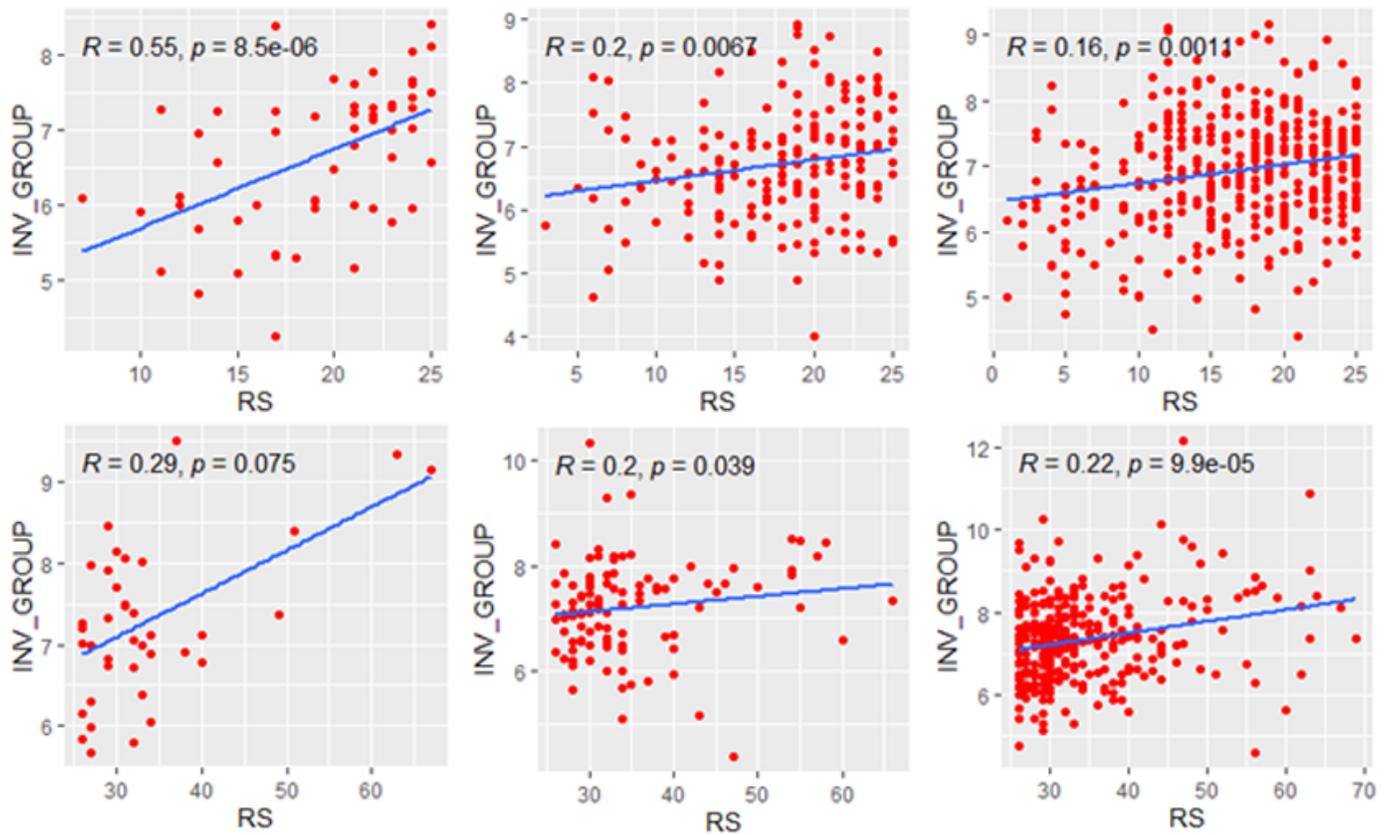


Figure 5

Relationships of the RS with its invasion module. The upside and downside ranks showed the relationship in patients with $RS \leq 25$ and $RS > 25$ respectively. Group A, B, C were presented from left to right. Abbreviations: RS, recurrence score; INV, invasion.

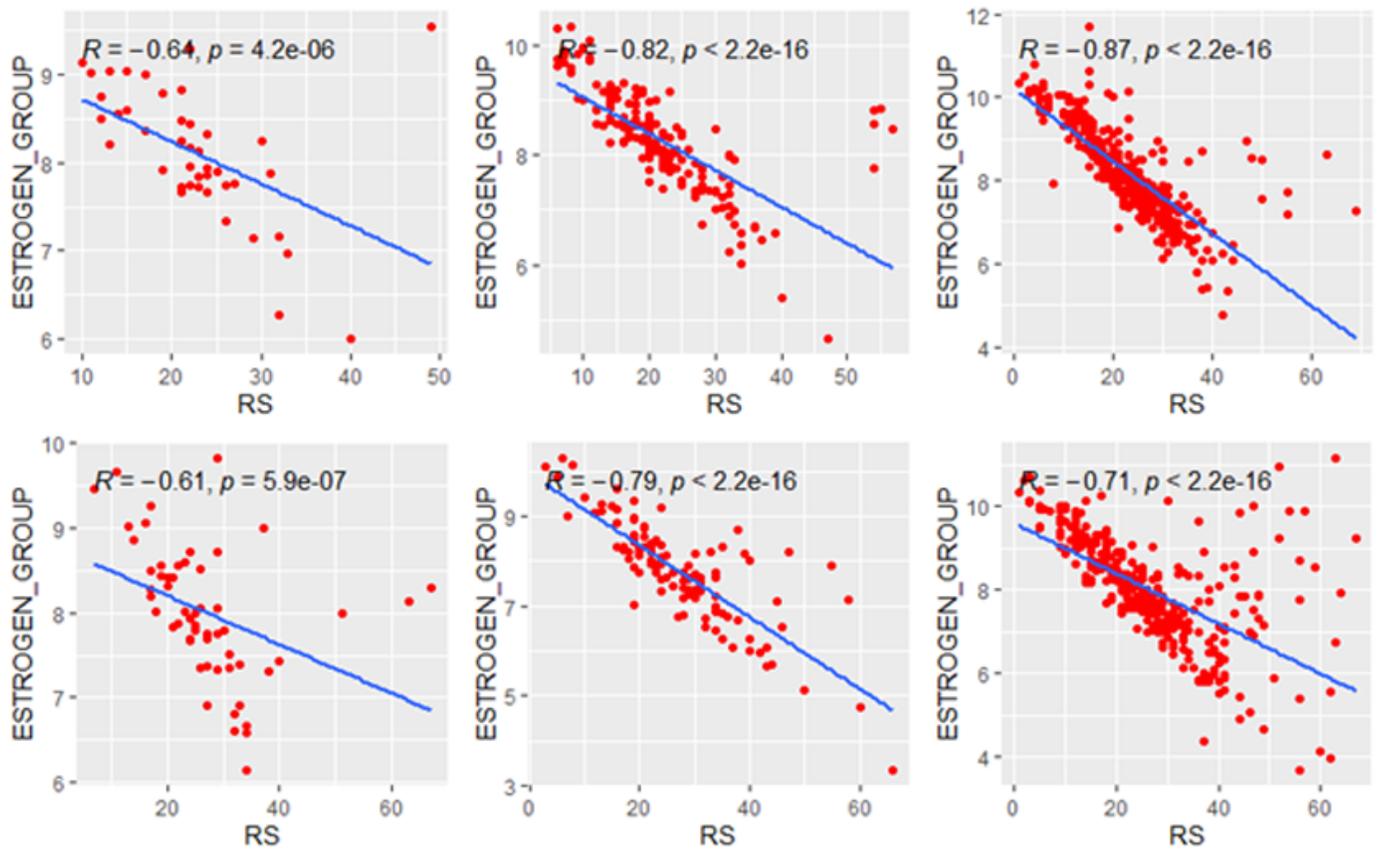


Figure 6

Relationships of the RS with its estrogen module. The upside and downside ranks showed the relationship in patients with low and high clinical risk respectively. Group A, B, C were presented from left to right. Abbreviations: RS, recurrence score.

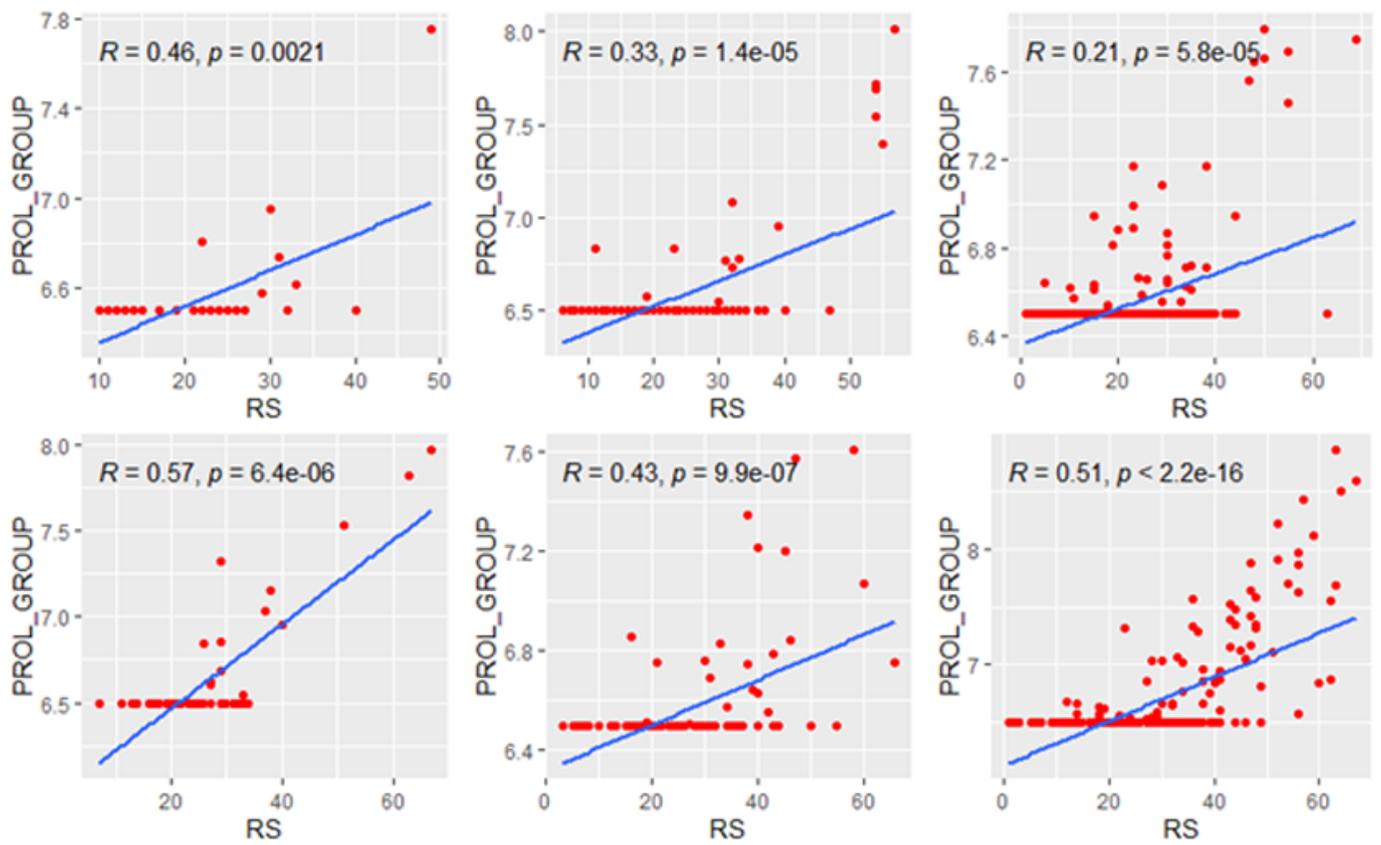


Figure 7

Relationships of the RS with its proliferation module. The upside and downside ranks showed the relationship in patients with low and high clinical risk respectively. Group A, B, C were presented from left to right. Abbreviations: RS, recurrence score; PROL, proliferation.

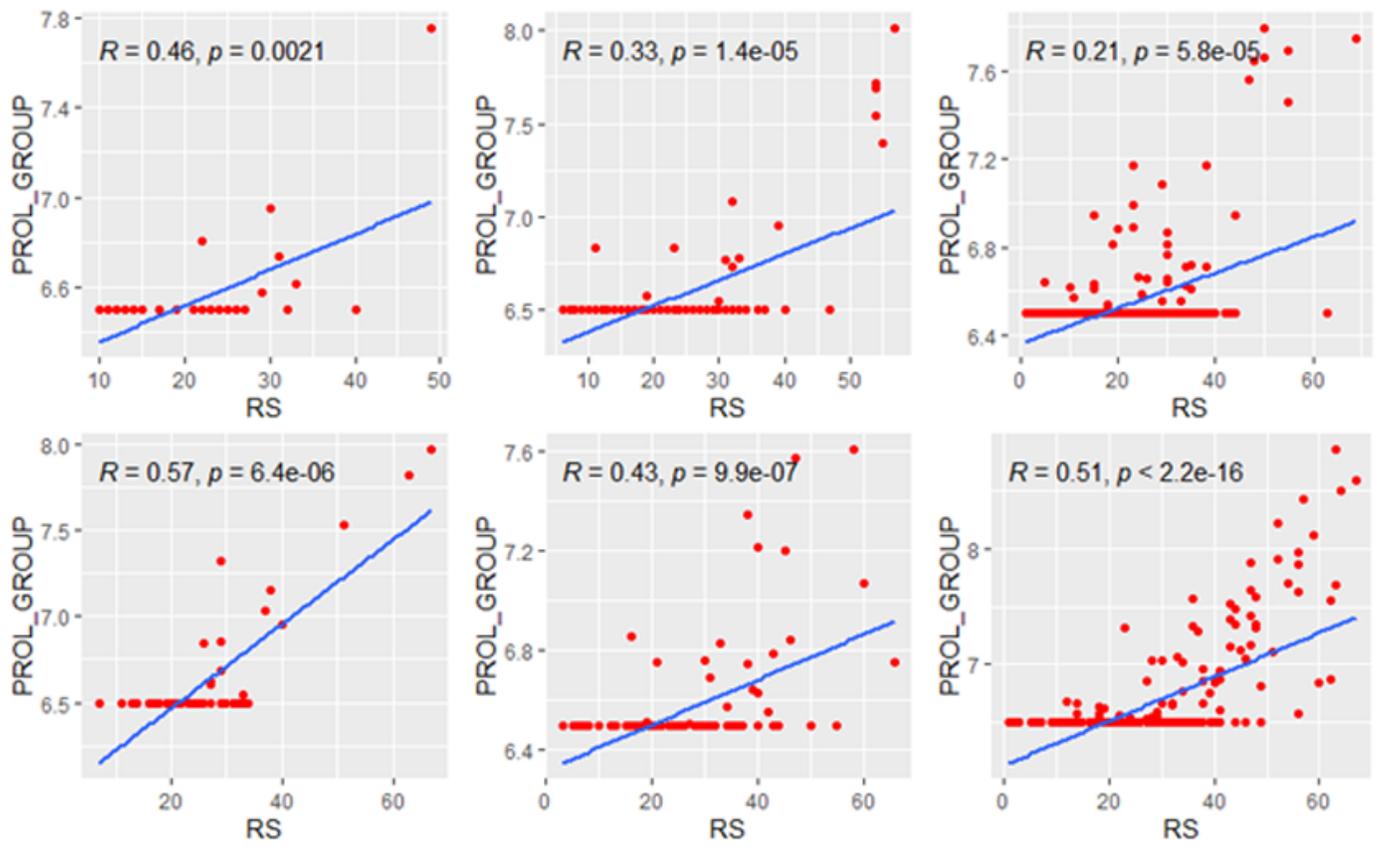


Figure 8

Relationships of the RS with its invasion module. The upside and downside ranks showed the relationship in patients with low and high clinical risk respectively. Group A, B, C were presented from left to right. Abbreviations: RS, recurrence score; INV, invasion.

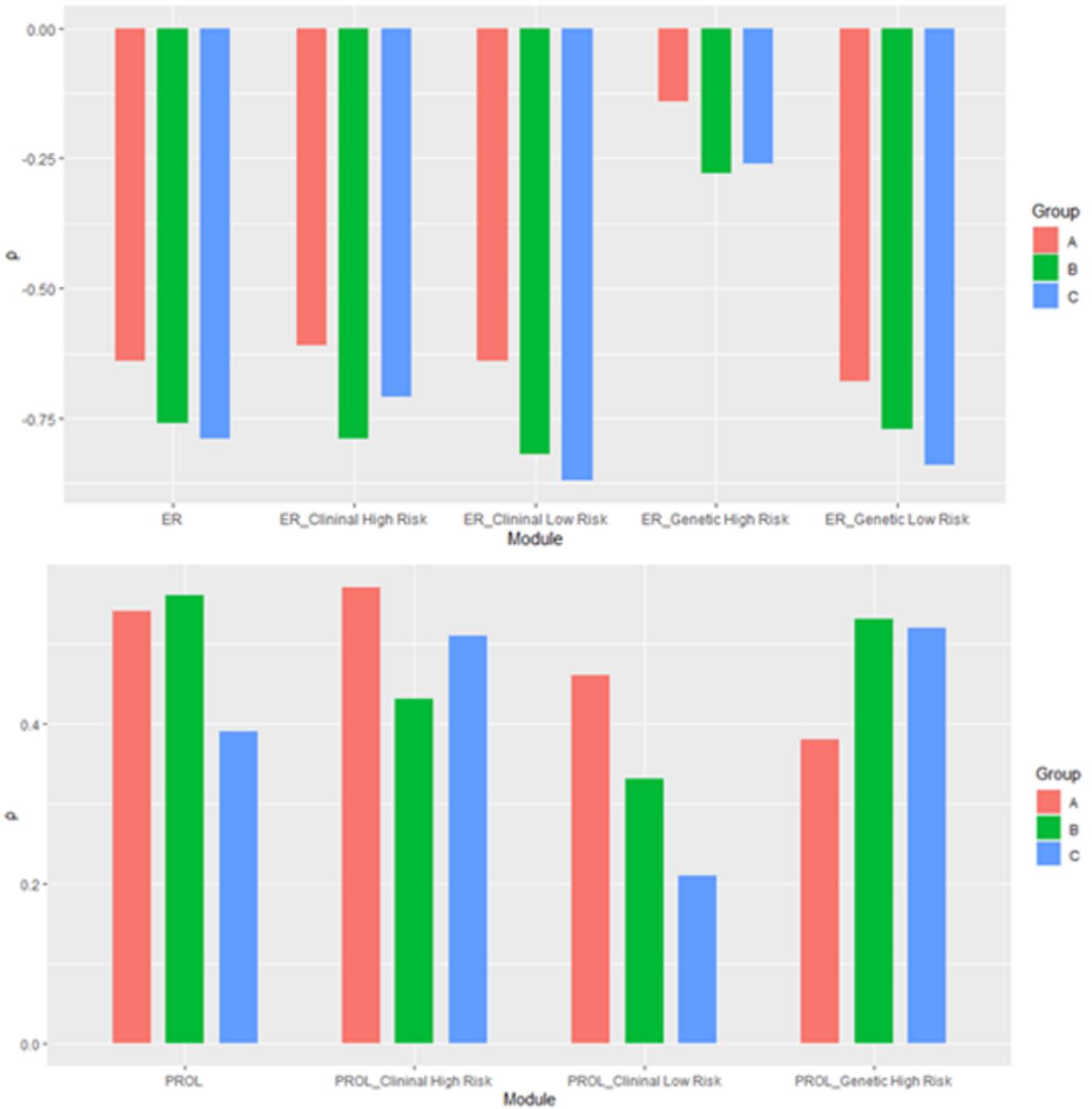


Figure 9

The histogram of relationships of the RS with its (A) estrogen and (B) proliferation module. The subgroup of proliferation module with genetic low risk was omitted due to non-significance. Abbreviations: ER, estrogen receptor; PROL, proliferation.