

Co-Delivery of Doxorubicin and Conferone by Novel pH-Responsive β -Cyclodextrin Grafted Micelles Triggers Apoptosis of Metastatic Human Breast Cancer Cells

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1 **Co-delivery of doxorubicin and conferone by novel pH-responsive β -cyclodextrin**
2 **grafted micelles triggers Apoptosis of metastatic human breast cancer cells**

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23
24
25 **Abstract**

26 Adjuvant-aided combination chemotherapy is one of the most effective ways of cancer
27 treatment by overcoming the multidrug resistance (MDR) and reducing the side-effects of
28 anticancer drugs. In this study Conferone (Conf) was used as adjuvant in combination with
29 Doxorubicin (Dox) for induction of apoptosis to MDA-MB-231 cells. Herein, the novel
30 biodegradable amphiphilic β -cyclodextrin grafted poly maleate-co-PLGA was synthesized by
31 thiol-ene addition and ring-opening process. Micelles obtained from novel copolymer showed
32 exceptional properties such as small size of around 34.5 nm, CMC of 0.1 μ g/mL, and cell
33 internalization of around 100 % at 30 min. These novel engineered micelles were used for
34 combination delivery of doxorubicin-conferone with high encapsulation efficiency of near 100
35 % for both drugs. Our results show that combination delivery of Dox and Conf to MDA-MB-
36 231 cells had synergistic effects ($CI < 1$). According to cell cycle and Annexin-V apoptosis
37 analysis, Dox-Conf loaded micelle significantly induce tumor cell apoptosis (more than 98 %

38 of cells population showed apoptosis at $IC_{50} = 0.259 \mu\text{g/mL}$). RT-PCR and western-blot tests
39 show that Dox-Conf loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelle induced apoptosis via intrinsic
40 pathway. Therefore, the unique design of multi-functional pH-sensitive micelles open a
41 new perspective for the development of nanomedicines for combination chemo-
42 adjuvant therapy against malignant cancer.

43 **Keywords:** Star block copolymer, CMC, β -cyclodextrin based micelles, pH-sensitive drug
44 carrier, Combination chemotherapy, Adjuvant

45
46 **Abbreviations:**

47 **$\beta\text{CD-g-PMA-co-PLGA}$** , β -Cyclodextrin grafted poly maleate-co-poly (lactide-co-glycolide);
48 **$\beta\text{CD-g-PMA-OH}$** , β -Cyclodextrin grafted poly maleate; **2D**, Free Doxorubicin-Conferone;
49 **B2D**, Co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles; **BC**, Conferone loaded $\beta\text{CD-g-PMA-}$
50 co-PLGA micelles; **BD**, Dox loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles; **Conf**, Free Conferone;
51 **Dox**, Free Doxorubicin; **MA**, Maleic anhydride; **ME**, 2-mercapto ethanol; **PB**, Blank $\beta\text{CD-g-}$
52 PMA-co-PLGA micelles; **PMA-OH**, Hydroxy terminated poly maleic anhydride; **RB**,
53 Rhodamine B.

54
55 **1. Introduction**

56 Combination therapy has been used to improve therapeutic outcome and deal with the
57 incidence of multi-drug resistance in cancer treatment. However, the high toxicity of multiple
58 anticancer drugs to healthy tissues considers as major concerns. As capable alternatives to
59 chemo agents, non-chemo drugs like natural driven adjuvants to conventional anti-tumor
60 therapeutics, proposing a harmless and economic approach for combination therapy.
61 Adjuvants are the compounds that reduce the side-effects of anticancer drugs due to
62 decrease of required therapeutic dose while keeping its desired therapeutic effects or even
63 increasing it [1]. Various adjuvants were utilized for Doxorubicin (Dox) combination therapy,
64 such as: orange peel and naringin extract [2], curcumin [3], quinacrine [4] and conferone
65 [5,6]. Conferone (Conf) is an extraction of various parts (such as root and fruit) of *Ferula*
66 class herbs. This adjuvant has noticeable anticancer and anti-angiogenic properties.
67 Furthermore, when used in combination with Dox, it is able to increase Dox-intracellular
68 uptake and accumulation in cells by stopping Dox efflux via P-glycoprotein (P-gp)
69 suppression. Also, previous studies showed that conferone had a synergic effect in
70 combination with Dox. Conferone application is limited because of its hydrophobic nature,
71 which leads to low bioavailability and cellular uptake [7]. The best approach to solve this
72 problem seems to be simultaneous delivery system of Dox and conferone to cancer cells.

73 The copolymeric micelles are suitable drug delivery systems that can solve bioavailability
74 problem related to hydrophobic drugs. They are also capable of simultaneous hydrophobic
75 and hydrophilic drug delivery. Also, the other principal advantages of copolymeric micelles
76 are: minor size, passive targeting via the enhanced permeability and retention effect (EPR),
77 extensive time of circulation in body, stability (as the thermodynamically and kinetically), and
78 opportunity of micelle surfaces manipulation [8,9]. The burst release of drugs from micelles
79 and the insensitivity to cancer cells are the failures of micellar systems in cancer drug
80 delivery [10]. Since micro-environment of cancer cells has acidic pH [11,12], pH-sensitive
81 nano-micelles can solve both these problems and be one of the favorite elections for
82 combination targeted chemotherapy.

83 Beta-cyclodextrin (β CD) is a cyclic oligomer of glucose with a cage-like structure, and
84 lipophilic inner cavities and hydrophilic outer surfaces [13]. β CD has fascinated researchers
85 in the field of drug delivery systems design, due to a collection of properties including:
86 biocompatibility, biodegradability, capability of inclusion-complex formation, large and
87 nonpolar cavity space that traps drugs, and improved drug water solubility [14–16].
88 Moreover, β CD has seven primary hydroxyl groups that could react with different polymers
89 to form a star copolymer. Star-shaped copolymers have lesser viscosity, smaller critical
90 micelle concentration (CMC), lower hydrodynamic radius, and higher stability which leads to
91 lower coefficients of diffusion [17–19]. Therefore, β CD was added in our copolymer structure
92 by grafting of β CD to the poly maleate section (as the pH-sensitive shell of copolymeric
93 micelles), in order to reach a lower CMC value and higher drug loading capacity (both of
94 hydrophobic and hydrophilic drugs). This β CD-grafted polymer or star-like shaped copolymer
95 is joined to PLGA as the core (hydrophobic part) of micelles, for increasing stability and
96 biodegradability of copolymer. **Hypothesis:** Consequently, it is hypothesized that the novel
97 pH-sensitive β CD-grafted PLGA-based copolymer will create micelles with very low CMC
98 and size, because of special multifunctional amphiphilic structure design. As a result of its
99 multifunctional structure, it is expected to see an enhancement of multi-drug loading
100 capacity, conferone solubility and bioavailability in aqueous environments. It is also
101 anticipated that this novel engineered micelle shows a pH-responsive sustained release of
102 drugs. Most importantly, we hypothesize that Dox-conferone in this new nano-combination
103 form will show synergic effect and induce apoptosis in cancer cell.

104

105 **2. Materials and Methods**

106 **2.1. Materials**

107 Beta cyclodextrin, maleic anhydride, glycolide, lactide, tin (II) octoate, azo-bis-isobutyronitrile
108 (AIBN), polyvinyl alcohol (89000-98000 Da), Tween®20 and propidium iodide, were

109 purchased from sigma-Aldrich (USA). 2-mercapto ethanol, Sodium hydride (60%,
110 suspension in paraffin) and all the solvents (Toluene, dimethyl sulfoxide, N,N-
111 dimethylformamide, acetone, diethyl ether), were purchased from Merck, Germany. MTT dye
112 [3-(4,5-dimethyl thiazol-2-yl)-2,5-diphenyl tetrazolium bromide] was obtained from Alfa
113 Aesar, Thermo fisher scientific, Heysham, UK. Penicillin-Streptomycin (Pen-Strep, 100x)
114 was bought from Serana Europe GmbH, Germany. MDA-MB-231 human breast cancer cell
115 line was purchased from Pasteur institute. Fetal bovine serum, Trypsin-EDTA 0.25% (1X)
116 and Roswell Park Memorial Institute 1640 growth medium (RPMI 1640) were provided from
117 Gibco, Life Technologies limited, UK. Doxorubicin hydrochloride (Ebedoxo®) was
118 purchased from EBEWE pharma, Austria. Conferone was provided by Iranshahi et al. [20]
119 that was extracted from the roots of *Ferula flabelliloba*. Ribonuclease A, was bought from
120 ThermoFisher Scientific, EU, Lithuania. The Apoptosis kit of ApoFlowEx® FITC Kit) was bought
121 from EXBIO Praha, a.s., Czech Republic. TRIzol® was purchased from Life Technologies,
122 USA. SYBR Green Master Mix, RealQ Plus, 2x Master Mix Green, was obtained from
123 Ampliqon, Denmark; and primers were obtained from Eurofin, Germany.

124 **2.2. Instruments**

125 To determine chemical structure of the synthetic copolymer, a Fourier transform infrared
126 spectrometer, FTIR, Bruker, Tensor 27, Germany, in the range of 400-4000 cm⁻¹ (the
127 copolymer as KBr tablets) and ¹HNMR and ¹³CNMR spectrometer by Bruker, spectra spin
128 400 MHz, Leipzig, Germany (DMSO-d₆ as solvent) were used. For elemental analysis (C, H,
129 N and S %) of copolymer, a combustion CHNS analyzer, HromLab Costech elemental
130 analyzer, ECS 4010, Germany, was used. A differential scanning calorimeter (DSC),
131 NETZSCH DSC 200 F3 Maia®, Germany, with pure nitrogen purging gas and closed pan
132 aluminum crucible, was used for DSC analysis and determination of glass transition
133 temperature (T_g) of the copolymer. In this test, Nine mg of copolymer was heated to above
134 its melting point, in order to remove its thermal history. Subsequently, the sample was
135 cooled to -90 °C using liquid nitrogen (rate: 10 °C/min). Then, the sample was heated until
136 250 °C, in the second run. For preparing of copolymeric micelles an ultrasonic probe,
137 SYCLON, SKL-500 II DN, Ningbo Haishu Sklon electronic instrument Co., Ltd. (China), was
138 used. An Amicon® centrifugal filter, Ultra-15, MWCO: 100 KDa, Millipore, Darmstadt,
139 Germany, was used for micelle solution centrifuging.

140 A field emission scanning electron microscope, MIRA3-XMU TESCAN FESEM (Czech) was
141 utilized for determining morphology and size of copolymeric micelles. The mean diameter of
142 about 150 micelles in SEM image, was calculated by image analysis software, Image-Pro
143 plus 4.5; Media Cybernetics, Silver Spring, MD. A dynamic light scattering (DLS) device,
144 DLS-Zetasizer Nano ZS90, Malvern Instruments, Malvern (UK), was used for determining

145 size and zeta potential of micelles. A spectrofluorometer system, Jasco FP-750 (Japan), was
146 used for critical micelle concentration study. Drug amounts in loading and release study,
147 were measured with UV-visible spectrophotometer, UV 160-Shimadzu, Japan. In order to
148 prepare image of cells in intracellular uptake test, a fluorescence microscope, Nikon
149 E1000M, Tokyo (Japan) armed with a Planapo apochromatic objectives, Nikon, Tokyo
150 (Japan) was used. For measuring intracellular uptake, cell cycle, and apoptosis tests, a
151 FACS caliber flow cytometer, Becton Dickinson Immuno-cytometry Systems, San Jose, CA
152 (USA) was utilized. For quantifying of total RNA, a NanoDrop system, ND-1000 (Australia),
153 was used. For cDNA synthesis, a PeQlab® (UK) device was employed; and for real-time
154 PCR process, a Roche, Light Cycler® 96 (USA) was used. A spectrophotometer, Bibby
155 Scientific Ltd, Beacon Rd (UK) was used for protein measurement in western blotting.
156 Finally, an Amersham® Imager 600 system, GE Healthcare Life Sciences, Eindhoven (the
157 Netherlands), was utilized for measurements of protein bands in western blot test.

158 **2.3. Block-Copolymer Synthesis**

159 **2.3.1. Hydroxy terminated poly maleic anhydride synthesis**

160 The synthesis method of poly maleic anhydride with hydroxy termination (*PMA-OH*), was
161 reported in our previously published paper [6]. Briefly, after dissolving of 3.93 mg maleic
162 anhydride (*MA*) in 60 mL toluene under refluxing and nitrogen purging, 3.5 mL 2-mercapto
163 ethanol (*ME*) was poured into the solution by a syringe. After temperature reached 110 °C,
164 0.147 g of Azobis isobutyronitrile (*AIBN*) in dry toluene, was added to the flask via injection.
165 Twenty hours was allowed for the completion of the reaction. The light-yellow product was
166 then purified and precipitated by solvent/antisolvent system (respectively acetone/toluene).
167 The prepared *PMA-OH* was then dried by freeze-dryer.

168 **2.3.2. Preparation of beta cyclodextrin grafted *PMA-OH***

169 In order to activate beta-cyclodextrin (β CD), 0.98 g (equivalent to 0.00086 mol) of β CD was
170 dissolved in 40 mL of dry dimethyl formamide (dry DMF) in a two-necked flask under a
171 nitrogen atmosphere and stirring. After the complete dissolution of β CD, 0.17 g (equivalent
172 to 0.007 mol) of NaH in the solid state, was added to the reaction solution. After completing
173 of β CD activation at room temperature (24 h), the reaction flask was placed in an oil bath
174 and the temperature was raised to 100 °C. Then, 0.58 g of *PMA-OH* (equivalent to
175 approximately 0.003 mol *MA*) solution in dry DMF was added to the contents of the flask,
176 dropwise, under the nitrogen purging and stirring. The reaction was continued for 24 h at 100
177 °C, under nitrogen atmosphere and stirring. After 24 h, the reaction mixture was poured into
178 150 mL of a mixture of acetone, acetic acid and water (100 mL acetone, 10 mL acetic acid
179 and 50 mL distilled water) and stirred for 30 min to inactivate and wash the excess or

180 unreacted NaH. Then, the product was precipitated again with pure acetone. The product of
181 the second stage (β CD grafted hydroxy terminated poly maleate = β CD-*g*-PMA-OH), which
182 was a creamy pale-yellow precipitate, was dried and stored.

183 **2.3.3. Preparation of β CD-*g*-PMA-co-PLGA**

184 β CD-*g*-PMA-OH (0.4 g, approximately equal to 0.0003 mole), lactide (1.5 g, 0.01 mole), and
185 glycolide (0.5 g, 0.0043 mole) were poured into a two-necked flask. After complete melting of
186 the material at 120 °C, under the nitrogen atmosphere and stirring, a certain amount of tin
187 (II) octoate, Sn (Oct)₂, (1-3 % w/w of the total monomers) as the catalyst, was added to the
188 contents of the flask. The mixture was stirred at 120 °C for 24 h. The prepared final
189 copolymer (β CD-*g*-PMA-co-PLGA) was then purified by solvent/antisolvent precipitation
190 (Dichloromethane/Diethyl ether) and dried by freeze-dryer.

191 FTIR, ¹HNMR, ¹³CNMR, CHNS and DSC analyses were used for investigating chemical
192 structure and physicochemical properties of copolymer.

193 **2.4. Degradation test of copolymer**

194 For investigating in-vitro biodegradability of the copolymer, it was examined at two different
195 pH environments. For each experiment, 5 mg of copolymer was dispensed in 2 mL of PBS at
196 pH values of 7.4 and 5.5 and incubated at 37 °C in a shaker-incubator. For each of the pH
197 values, and each specified time interval, two repetition were considered. In other words, after
198 each specified time intervals (7, 11, 16, 21 and 30 day), four samples were centrifuged
199 (12000 rpm, 30 min) and the supernatants were separated from the copolymer precipitants.
200 The supernatants pH, were measured, separately. After complete drying of copolymer
201 precipitants, they were weighed and then analyzed by FTIR. The supernatants pH variation
202 and weight loss percentage (**WL %**) of copolymer in different time intervals were calculated
203 using Equation 1 [21].

$$204 \quad WL (\%) = \frac{W_i - W_t}{W_i} \times 100 \quad \text{Equation (1)}$$

205 Where, **W_i** is the initial sample weight and **W_t** is the sample weight at time t.

206 **2.5. Determination of Critical Micelle Concentration (CMC)**

207 Spectrofluorometry method with pyrene probe was used to find critical micelle concentration
208 (CMC) of the copolymer. One μ L of pyrene solution (1 mg of pyrene in 10 mL of acetone)
209 was added into dark flasks. After evaporation of acetone, the copolymer solution in dimethyl
210 sulfoxide, DMSO, was poured into flasks. The final volume of flask was reached to 20 mL (5
211 mL copolymer solution and 15 mL deionized water) and copolymer final concentration was
212 adjusted at 0.05, 0.1, 0.5, 1, 2.5, 5, 10, 25, 50, 100, 250, 500, 1000 μ g/mL. The flasks were
213 micellized by ultrasound probe and then incubated in a shaker incubator at 37 °C for 18 h, in

214 order to balance pyrene partition between two phases. After cooling the samples to room
215 temperature, the emission spectra of pyrene in each of the samples was studied by a
216 spectrofluorometer. The excitation and emission wavelengths for pyrene spectra were 334
217 nm and 373 nm (I_1) and 393 nm (I_3), respectively.

218 **2.6. Preparation of blank and drug-loaded micelles**

219 Blank β CD-*g*-PMA-*co*-PLGA micelles were prepared by adding of copolymer solution (200
220 mg of copolymer in 6 mL of DMSO) dropwise into polyvinyl alcohol (PVA) solution (20 mL, 1
221 % w/v), under sonication in an ice bath. Then the blank β CD-*g*-PMA-*co*-PLGA micelle
222 solution was centrifuged (4500 rpm, 10 min) by Amicon® centrifugal filter and the micelle
223 precipitant was dried by freeze dryer.

224 In order to prepare Doxorubicin (**Dox**) loaded β CD-*g*-PMA-*co*-PLGA micelles, the copolymer
225 solution (200 mg copolymer in 6 mL DMSO) was added dropwise to PVA solution (20 mL, 1
226 % w/v) containing 20 mg **Dox**, and then was sonicated by ultrasound probe, while the pH of
227 micelle solution was adjusted at 7.4 by sodium hydroxide (NaOH) solution. After centrifuging
228 by Amicon® centrifugal filter (4500 rpm, 10 min), the **Dox** loaded β CD-*g*-PMA-*co*-PLGA
229 micelles were collected, dried and stored at -24 °C. After centrifuging, the supernatant was
230 utilized to determine **Dox** loading percentage.

231 For loading conferone (**Conf**) in β CD-*g*-PMA-*co*-PLGA micelles, first, 200 mg of copolymer
232 and 20 mg of conferone were dissolved in 6 mL of DMSO. Then, the prepared solution was
233 added to PVA solution similar to **Dox** loaded micelle preparation. After ultrasonication and
234 centrifuging micelles, the **Conf** loaded β CD-*g*-PMA-*co*-PLGA micelles were dried and stored
235 at -24 °C. The supernatant solution was used to quantify drug loading percentage.

236 The co-drug loaded β CD-*g*-PMA-*co*-PLGA micelles, was prepared by gradually adding of
237 copolymer and **Conf** (200 mg and 10 mg, respectively) solution in DMSO (6 mL), to PVA
238 solution containing **Dox** (10 mg of **Dox**/20 mL PVA), with sonication in dark and ice bath.
239 Acidity of solution was adjusted at 7.4. The following steps were done similar to **Dox** loading
240 process.

241 Drug loading and release amounts were determined by UV-Vis spectrophotometer, for which
242 λ_{\max} of **Dox** and **Conf** was 480 and 324 nm, respectively. Then, the drug encapsulation
243 efficiency (*DEE* %) was obtained using Equation 2 [22]:

$$244 \text{ DEE (\%)} = \frac{\text{Mass of drug in nanocarrier}}{\text{Initial mass of feed drug}} \times 100 \quad \text{Equation (2)}$$

245 **2.7. Characterization of copolymeric micelles**

246 The size, morphology and zeta potential of blank micelles were investigated by SEM and
247 DLS-Zeta analyses. Moreover, FTIR spectra and zeta potential of the blank and co-drug
248 loaded micelles were studied in order to confirm the drug loading into micelles.

249 **2.8. In-Vitro study of drug release**

250 First, 1 mg of single- and co-drug loaded β CD-*g*-PMA-*co*-PLGA micelles were suspended in
251 2 mL of sink solution. This sink solution contained 0.5 % DMSO, 0.5 % Tween®20 and 99 %
252 PBS, at pH of 5.5 and 7.4. Then, the samples were placed in a shaker-incubator at 37 °C.
253 The samples (duplicated for each pH value) were centrifuged (12000 rpm, 25 min) after
254 different time intervals (1, 2, 3, 7, 9, 11, 14 and 16 day). Unlike the degradation test, after
255 supernatant collection in each time interval, 2 mL of fresh sink solution was added to
256 precipitant and sample was incubated again. The drugs amount in supernatant were
257 detected by UV-Vis spectrophotometer and then release percentage of drugs were
258 measured using Equation 3 [23]:

$$259 \text{ Drug release (\%)} = \frac{\sum_0^t(\text{amount of drug in release medium at time } t)}{\text{amount of drug loaded in nanocarrier}} \times 100 \quad \text{Equation (3)}$$

260 **2.9. Nano-formulation cytotoxicity study by MTT method**

261 The cytotoxicity of all formulations, **Dox** loaded β CD-*g*-PMA-*co*-PLGA micelles (**BD**), **Conf**
262 loaded β CD-*g*-PMA-*co*-PLGA micelles (**BC**), **Dox** and **Conf** loaded or co-drug loaded β CD-
263 *g*-PMA-*co*-PLGA micelles (**B2D**), free Doxorubicin (**Dox**), free conferone (**Conf**), free
264 Doxorubicin and conferone combination (**2D**) and blank β CD-*g*-PMA-*co*-PLGA micelles
265 (**PB**), on MDA-MB-231 cells, were examined by MTT analysis. The cells were cultured in 96-
266 well plates (7000 cell per well), that each well contained 200 μ L of RPMI medium with 10 %
267 FBS. The cultured cells were incubated at 37 °C with 5 % CO₂. After 48 h, the cells were
268 treated with all the formulations with several concentrations and incubated again. The
269 treatment was done triplicate. A series of un-treated cells were selected as the control group.
270 After 48 h, the cells were washed with PBS. Then, 150 μ L complete RPMI medium with MTT
271 solution (50 μ L of 2 mg MTT in 1 mL of PBS) were added per well, in dark condition. The
272 plates were incubated again for 4 h. Subsequently, the medium of each wells was replaced
273 with 200 μ L of DMSO. After complete dissolving of formazan crystals in DMSO, the plates
274 were situated in a microplate ELISA reader to measure the absorbance of wells content, at
275 492/630 nm.

276 After calculation of cell viability (Microsoft *Excel*) the *IC*₅₀ dosage of all formulations were
277 estimated using *Graph pad prism* software. Then, the combination index (*CI*) of co-drug
278 forms, were obtained by *CompuSyn* V.1 software. In *CI* analysis, *CI* < 1 shows synergism,
279 *CI* = 1 and *CI* > 1 displays additive effect and antagonism, respectively.

280 **2.10. Study of nano-formulations intracellular uptake**

281 First, rhodamine-B-labeled blank β CD-*g-PMA-co-PLGA* micelles were prepared by dropwise
282 adding of copolymer and rhodamine-B (**RB**) solution in DMSO (10 mg and 0.1 mg
283 respectively in 1 mL), to 4 mL of PVA solution (1 % w/v), under sonication in an ice bath and
284 dark condition. After centrifuging (8000 rpm, 15 min) of micelle solution, the supernatant was
285 removed. The precipitated rhodamine B-labeled blank β CD-*g-PMA-co-PLGA* micelles were
286 washed by distilled water and centrifuged several times for complete removal of unloaded
287 rhodamine-B. The precipitated rhodamine B-labeled blank β CD-*g-PMA-co-PLGA* micelles
288 were dispersed in deionized water (1 mL) and were kept at -24 °C. For preparing of co-drug
289 loaded β CD-*g-PMA-co-PLGA* micelles labeled by rhodamine-B, 10 mg of copolymer, 0.5 mg
290 of **Conf** and 0.1 mg of **RB**, were dissolved in DMSO (1 mL) and then were added to PVA 1%
291 solution containing 0.5 mg of **Dox**, under sonication. The rest of the steps were done like
292 rhodamine B-labeled blank β CD-*g-PMA-co-PLGA* micelles preparation.

293 Subsequently, the MDA-MB-231 cells were cultured in the 6-well palates (2×10^5 cell per
294 well) in RPMI medium with 10 % FBS. After incubation for 48 h, the cells were treated for
295 0.5, 1.5 and 3 h, with rhodamine B-labeled blank and co-drug loaded β CD-*g-PMA-co-PLGA*
296 micelles. The un-treated cells were chosen as the control group. Next, the cells were
297 washed with PBS, trypsinized and were washed with PBS again. In order to quantify the
298 fluorescent intensity of internalized rhodamine-B-labeled blank and co-drug-loaded β CD-*g-*
299 *PMA-co-PLGA* micelles, cells were dispersed in PBS and analyzed with FACS Calibur flow
300 cytometer. For qualitative analysis, fluorescent imaging by a fluorescence microscope was
301 also utilized. The images of treated cells (with rhodamine B-labeled co-drug-loaded β CD-*g-*
302 *PMA-co-PLGA* micelles), were prepared similar to our previously published paper [6].

303 **2.11. Study of nano-formulations effect on cell cycle**

304 The MDA-MB-231 cells (3×10^5 cell per well) were seeded in 6-well plates and incubated
305 (48 h). Then, all formulations with IC_{50} dosage, were applied for treatment of the cells. The
306 un-treated cells were selected as the control group. Then, the plates were incubated for
307 another 48 h. After moving the medium of each treated cells into separate tubes, the cells
308 were washed with PBS, trypsinized and transferred back to corresponding tubes. As soon as
309 centrifuging of tubes and removing of their supernatant were completed, the cells were
310 dispersed in PBS (700 μ L) and centrifuged again. Then, the supernatants were discarded
311 and the cells were dispersed in 300 μ L cold PBS. For fixing of cells, 700 μ L of cold ethanol
312 70 %, was poured to each of the tubes and mixed. The tubes were located at 4 °C, in dark
313 condition for 3 days. Then, the samples were centrifuged and after removing of
314 supernatants, the cells were dispersed in 300 μ L of PBS. Next, after adding 10 μ L of

315 Ribonuclease-A and incubating (45 min), 10 μ L propidium iodide was added to each of the
316 samples and vortexed. After 10 min incubation at the room temperature and dark condition,
317 the cells were examined by FACS Calibur flow cytometer for estimation of cell cycle phases.

318 **2.12. Apoptosis study induced by nano-formulations**

319 The effect of formulations on MDA-MB-231 cells were studied by Exbio apoptosis kit of
320 Annexin V-FITC/PI. As soon as reaching 60 % confluency, the cells were cultured (1×10^5
321 cell per well) in 6-well plates and were incubated (48 h). The nano-formulations (**PB**, **B2D**,
322 **BD** and **BC**) with IC_{50} doses were used for treatment of the cells. After 48 h, the medium of
323 wells were transferred to separate tubes. Then, the cells were washed with PBS, collected,
324 and transferred back into the corresponding tubes. The tubes were centrifuged and the cells
325 were washed with PBS two times, after removing of supernatants. After washing by annexin
326 binding buffer (BB), the cells were dispersed in of binding buffer (100 μ L). Then, Annexin V-
327 FITC (5 μ L) and of propidium iodide (PI, 5 μ L), were added to cell dispersions and vortexed
328 gently. After incubation at room temperature in dark condition (15 min), the samples were
329 centrifuged and the supernatants were discarded. Finally, the cells were suspended in
330 binding buffer (100 μ L) and were evaluated by a FACS Calibur flow cytometer. The un-
331 treated unstained cells were selected as the auto-fluorescence control group.

332 **2.13. Real-Time PCR analyses**

333 The MDA-MB-231 cells were seeded and treated with all formulations, like the protocol of
334 the previous section. After 48 h, the cells were washed with PBS twice and then trypsinized
335 and centrifuged. The supernatants were removed and the cells were dispersed in PBS (250
336 μ L). According to TRIzol® method for RNA isolation, RiboEx (750 μ L) and then chloroform
337 (200 μ L) were poured into samples to lysis of cells and extract RNA. Following a short
338 incubation (2 min, at room temperature), the samples were centrifuged (12000 g, 20 min, 4
339 $^{\circ}$ C) and the upper aqueous layer (RNA phase), were separated. Then, isopropanol (500 μ L)
340 was added to separated RNA solution and the samples were centrifuged (12000 g, 20 min, 4
341 $^{\circ}$ C) for precipitation of RNA. The precipitant was washed with ethanol (75 %). After
342 dissolving of the precipitant in DEPC-treated water, the RNA content of solution was
343 determined by NanoDrop. In the next step, synthesis of cDNA (complementary DNA) was
344 performed using Revert Aid Reverse Transcriptase Kit.

345 Finally, in order to perform quantitative PCR (qPCR) and investigate apoptotic pathway of
346 treated cells, the samples were prepared as a mixture of SYBR Green Master Mix (5 μ L, 2x),
347 cDNA (2 μ L), primer pair mix (5 pmol/ μ L) and deionized water (3 μ L). This mixture was
348 prepared for each of the formulations separately. In the PCR program, initial denaturation of
349 samples was done for 15 min at 95 $^{\circ}$ C. Then, the run was proceeded at 95 $^{\circ}$ C for 15 sec,

350 which was repeated for 45 cycles. The annealing/extension stage was completed for 50 sec
351 at 60 °C. The sequences of used primers are presented in Table S1. The GAPDH was
352 considered as the references gene. Lastly, the fold changes of genes expression were
353 calculated by $-\Delta\Delta C_t$ method.

354 **2.14. Study of protein expression by western blot method**

355 Like the previous section, the cells were cultured in 6-well plates and treated by co-drug
356 loaded $\beta CD-g-PMA-co-PLGA$ micelles (**B2D**, with IC_{50} dosage) and un-treated cells were
357 considered as the control group. After 48 h incubation, the cells were washed with PBS and
358 harvested. Then, radioimmunoprecipitation assay buffer (RIPA buffer) at 4 °C, was used for
359 cell lysing. The RIPA buffer was composed of protease inhibitor cocktail (1 tablet), Tris-HCL
360 (pH = 8, 500 μ L), NaCl (0.08 g), EDTA (0.003 g), Sodium deoxycholate (0.025 g), Triton
361 NP40 (10 μ l, 1 %) and SDS (0.01 g). Subsequently, the samples were centrifuged (12000
362 rpm, 10 min, 4 °C) and the protein content of supernatant, was determined by a
363 spectrophotometer, according to protocols of Bradford assay (Bio-Rad Laboratories, USA).
364 The target fragments of proteins that were separated from the SDS-PAGE gel
365 electrophoresis, were moved to the PVDF membrane (polyvinylidene difluoride membrane)
366 and were blocked with TBS-T buffer (5 % w/v of skim milk and 0.1 % v/v of Tween®20 in tris
367 buffered saline) for masking of unspecific bands. Specific primary antibodies were added to
368 the blocked PVDF membranes that contained the target proteins and were incubated
369 (overnight at 4 °C). After washing with TBS-T, the membranes were incubated with
370 secondary antibodies, for 1 h at room temperature. The bands related to the target proteins
371 were visualized using enhanced chemiluminescence detection kit (Thermo Fisher Scientific,
372 the Netherlands) and were measured with Amersham® Imager. Lastly, after normalizing of
373 the outcomes of western blot using GAPDH expression as the control, the blots were
374 calculated using Image J software, version 1.52n. The used primary and secondary
375 antibodies were presented in our previously published paper [6].

376 **2.15. Statistical analyses**

377 The duplicate or triplicated outcomes of analyses, were presented as \pm standard deviation (\pm
378 SD) using Graph pad prism software, version-8 or Microsoft Excel (2019). The student's t-
379 test and ANOVA were used as statistical analyses for two-way and multiples comparisons,
380 respectively. The statistically significant results had the P value lesser or equal to 0.05.

381

382 **3. Results and discussion**

383 The general procedure is briefly presented as a schematic illustration in Figure 1.

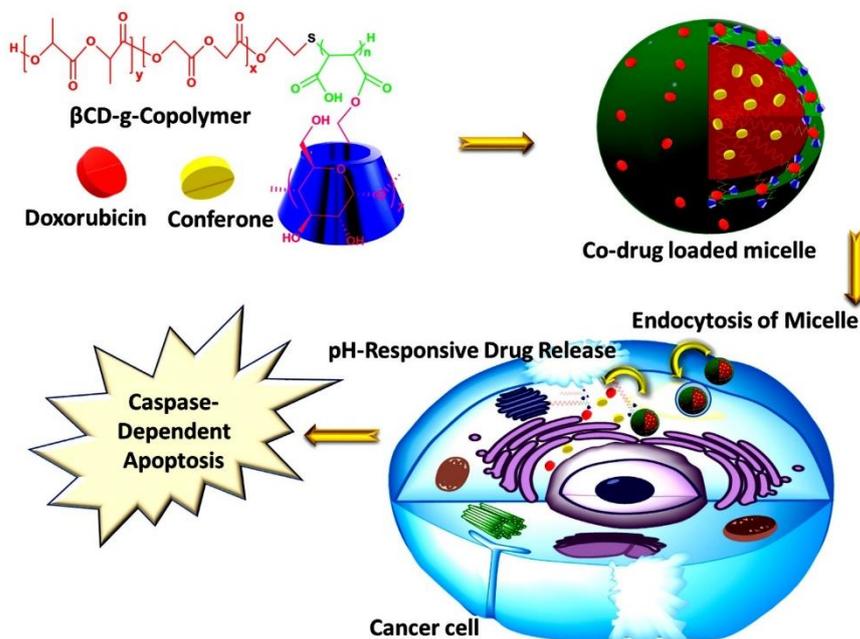


Figure 1: The graphical schematic of the general procedure

384

385 3.1. Designing and synthesizing of copolymer

386 As demonstrated in Figure 2, the synthesis of pH-responsive β CD-g-poly maleate-co-PLGA
387 was done in three stages. In the first stage, 2-mercaptoethanol (ME) and Maleic anhydride
388 (MA) were polymerized with radical thiol-ene addition in the presence of AIBN as the
389 initiator. The product of this stage is the hydroxy terminated poly maleic anhydride (PMA-
390 OH). As a result of forming of ME radicals with initiator, the $C=C$ band of MA was reacted
391 radically with $\cdot S$ end of thiol radical and then polymerized without any ring opening. The end
392 $-OH$ group of PMA was required for the last stage of synthesis.

393 In the second stage, the rings of PMA were opened with activated β CD (which was
394 transferred to epoxy form by NaH) and then were esterificated. Esterification may be
395 accomplished by one or more locations of hydroxyl groups of β CD and anhydride rings of
396 PMA. Therefore, the β CD-g-PMA-OH was formed with one or more branches of PMA per
397 one molecule of β CD. This β CD grafted polymer has a carboxylic acid in every unit of
398 polymer that was required for pH-sensitivity of delivery system, formation of hydrophilic
399 section of copolymer as the shell of micelles, and enhancing of water solubility of copolymer.
400 About 1 g of product was obtained from this step (efficiency 64.1 %).

401 In the final stage, the $-OH$ end group of β CD-g-PMA-OH, with the catalyzing effect of
402 $Sn(Oct)_2$, caused ring openings of lactide and glycolide and their esterification to PLGA form

403 (as the tail of copolymer and core of micelles). About 1.4 g of β CD-*g*-PMA-co-PLGA was
 404 obtained from this step (efficiency 58.3 %).

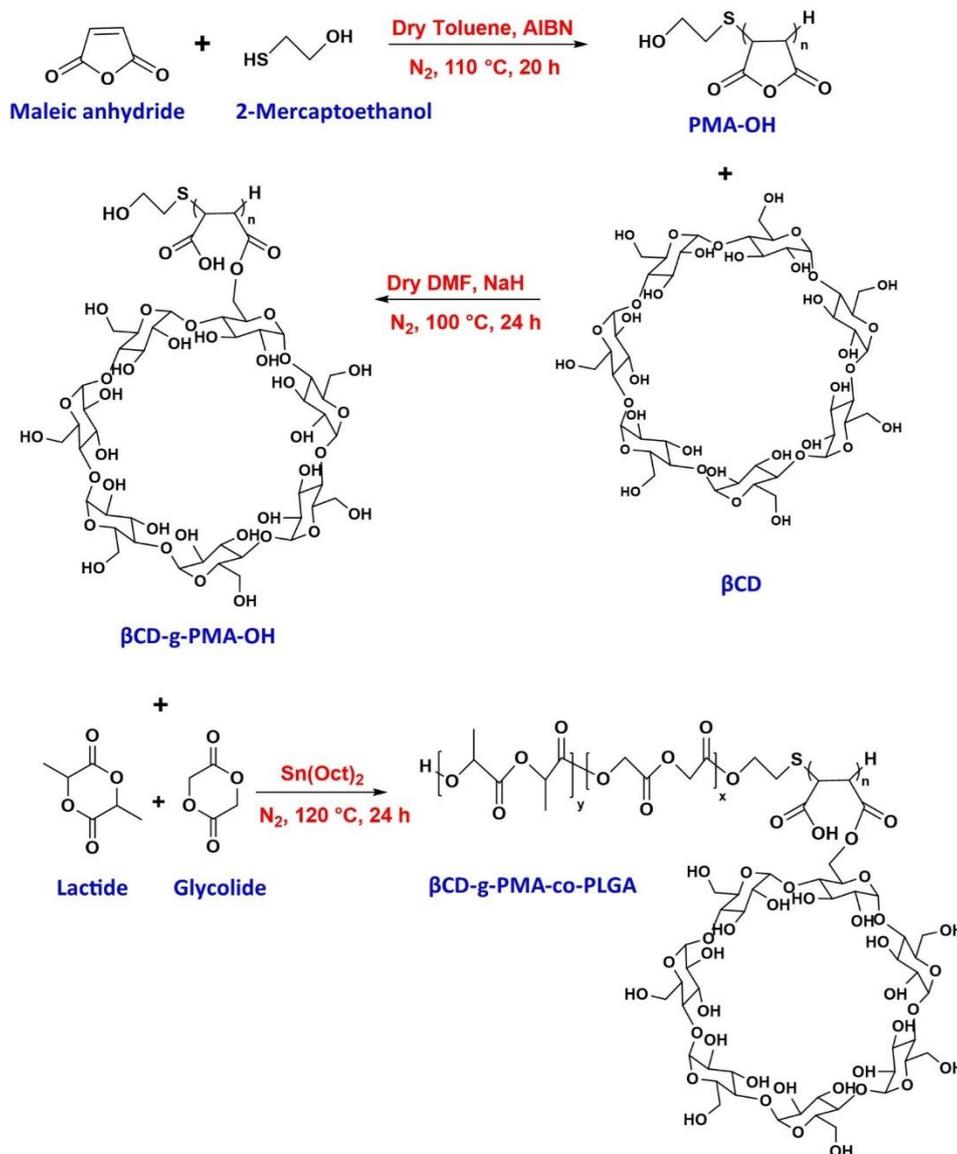


Figure 2: The synthesis pathway of copolymer in three stages: (top row) PMA-OH synthesis with radical thiol-ene addition and maleic anhydride (MA) polymerization, (middle row) β CD-*g*-PMA-OH synthesis by β CD-grafting with MA ring opening, and (bottom row) β CD-*g*-PMA-co-PLGA preparation with ring opening of lactide and glycolide.

405 3.2. Characterization of copolymer

406 3.2.1. FTIR results

407 The FTIR spectra of β CD and all stages of synthesis are presented in Figure S1 in the
 408 supplementary file and is enlarged for better visualization of details in Figure S2.

409 The detailed explanation of FTIR spectra of PMA-OH, β CD-*g*-PMA-OH and β CD-*g*-PMA-co-
 410 PLGA were presented in supplementary file.

411 **3.2.2. NMR results**

412 Results and detailed discussion of ¹HNMR and ¹³CNMR spectra of *PMA-OH*, were
 413 presented in our previously published paper in detail [6]. The ¹HNMR and ¹³CNMR spectra of
 414 *βCD-g-PMA-OH*, are shown in Figure S3-A and S3-B, and the ¹HNMR and ¹³CNMR spectra
 415 of *βCD-g-PMA-co-PLGA*, are presented in Figure 3-A and 3-B, respectively. The detailed
 416 explanation of ¹HNMR and ¹³CNMR spectra of *βCD-g-PMA-OH* and *βCD-g-PMA-co-PLGA*
 417 were presented in supplementary file.

418 The molecular weight of *βCD-g-PMA-co-PLGA* could not be investigated with gel permeation
 419 chromatography (GPC), due to the insufficient solubility of the copolymer in DMF solvent.
 420 Furthermore, because of the vigorous interaction of hydroxyls of the *βCD* with the GPC
 421 column, other researchers have also reported problems with calculating the molecular
 422 weight of their polymer by GPC [13,24]. Therefore, Equations 4 and 5 were used for
 423 determining molar mass (*M_n*) of *βCD-g-PMA-co-PLGA*, with the aid of integrating of the
 424 peaks in ¹HNMR spectrum [25].

$$425 \quad n_{polymer} = \frac{\sum_{i=1}^m \frac{I_i}{p_i}}{m} \quad \text{Equation (4)}$$

$$426 \quad M_n = n \cdot (\text{monomers molecular mass}) \quad \text{Equation (5)}$$

427 In the Equation 4, "*m*" is the number of used signs of copolymer, and "*p_i*" and "*I_i*" are the
 428 number and integration of protons that pertained to *ith* peak of copolymer. The calculation of
 429 molar mass of copolymer are presented in supplementary file. The results of related
 430 calculations are reported in Table 1.

431
 432 *Table 1: M_n value for copolymer calculated using ¹HNMR, with theoretical and spectrum-based calculated (by*
 433 *¹HNMR) molar ratio of βCD-g-PMA-co-PLGA copolymer sections.*

<i>M_n</i> (g/mol)	<i>LA: GL (mole %)</i>		<i>LA: GL: βCD-g-PMA (mole %)</i>	
	<i>Theoretical</i>	<i>Calculated (by ¹HNMR)</i>	<i>Theoretical</i>	<i>Calculated (by ¹HNMR)</i>
4420.23	69.93: 30.07	68.18: 31.82	68.49: 29.45: 2.06	57.69:26.92: 15.39

434

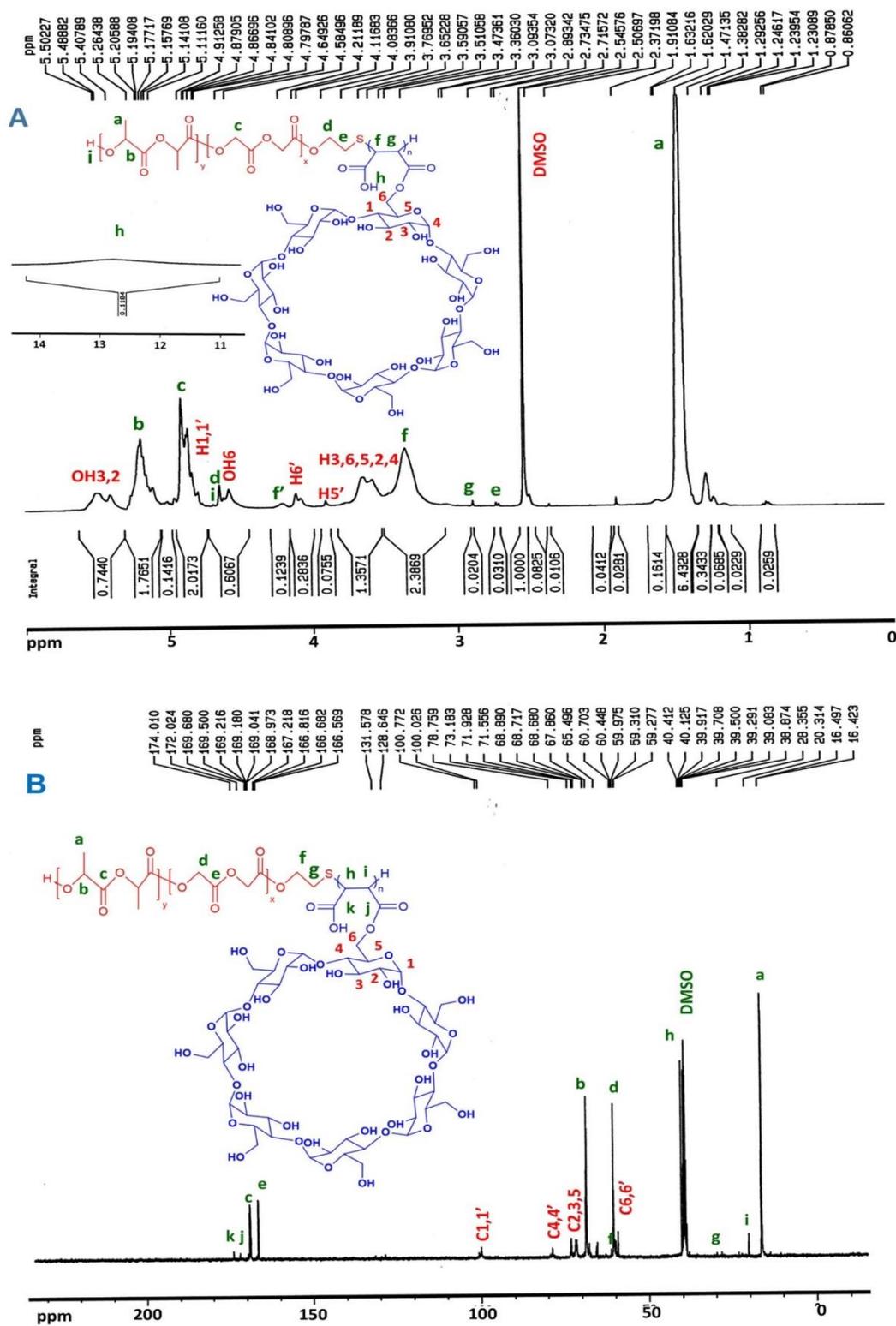


Figure 3: NMR Spectra of β CD-g-PMA-co-PLGA copolymer in DMSO- d_6 , A) ^1H NMR and B) ^{13}C NMR spectrum.

436 **3.2.3. Results of CHNS elemental analysis**

437 For elemental analysis of copolymer by CHNS analyzer, 4.568 mg of copolymer was used.
438 Results of test are presented in Table S2. According to data, the presence of sulfur (4.44 %
439 w), confirmed the existence of **-S-** linkage in copolymer structure. In the same way, the
440 negligible amount of "N" (0.85 % w) is probably related to impurities such as solvents
441 residues (such as DMF). The CHNS time versus voltage plot are shown in Figure S4.

442 **3.2.4. Results of DSC study**

443 Figure S5, presents the DSC or temperature against heat flow plot of copolymer. The T_g or
444 glass transition temperature of copolymer was determined with an endothermic peak in DSC
445 plot, about 38.69 °C. Absence of T_m or melting point of copolymer in the plot was a sign of
446 amorphous structure without any crystallinity. This result is confirmed with DSC results of
447 other studies related to PLGA-based polymers and copolymers. According to these reports,
448 T_m was not observed because of amorphous structure of PLGA, and also T_g was reported to
449 be between 35-65 °C, related to LA: GL ratio in PLGA structure (50 to 10 % of LA in PLGA)
450 that showed the T_g was decreased with increasing of GL content of PLGA [26].

451 **3.2.5. Results of in-vitro degradation test of copolymer**

452 Biodegradable copolymers encounter gradual degradation in contact of aqueous solution. In
453 most polyesters, such as PLGA-based copolymers, hydrolysis of esteric-band and cleavage
454 of copolymer is the main reason of degradation [27]. The produced soluble cleaved-
455 copolymers and monomers such as lactic and glycolic acid are produced, that decreases pH
456 of the solution. Therefore, timewise investigation of structure and weight of residual
457 copolymer and pH of solution (that was in contact with copolymer during degradation test)
458 are the suitable ways to determine degradation time and process. Results of degradation
459 test are shown in Figure S6-A, S6-B, Figure S7 and S8. Fragmentation of the copolymer by
460 degradation cause a gradual decrease in copolymer weight. Diagram of weight loss (WL %)
461 of copolymer versus time is shown in Figure S6-A. As shown in Figure S6-A, copolymer
462 initial weight decreased with time gradually. However, percentage of weight loss at pH = 7.4
463 was more than at pH = 5.5, that is probably due to the more hydrolysis of ester and
464 carboxylic acid groups and subsequent more dissolution in PBS (with pH = 7.4). After 30
465 days, the WL % was reached to 19 and 20 %, at pH = 7.4 and 5.5, respectively. As could be
466 seen at day 30, the WL percentage at pH 5.5 excelled over the pH = 7.4, due to the initiation
467 of major degradation process of copolymer (due to cleavage) rather than slight degradation
468 (due to dissolution).

469 Variation of the pH of degradation medium are plotted versus time in Figure S6-B. Hydrolysis
470 of carboxylic acid groups related to maleate block, caused the initial sharp decrease in pH.

471 After 7 days, pH-decrease slowed down, as a result of slight copolymer degradation. Finally,
472 after 30 days, pH value reached to 6.2 and 3.8 for initial pH = 7.4 and 5.5, respectively.
473 These pH values are similar to other reports about PLGA or PLGA-based copolymers that
474 were about pH \approx 5.48-7.4 with initial neutral pH [28,29]. However, compared to PLGA-based
475 copolymers degradation results of our previously published article (pH 3.1 in 16 days) [6],
476 the upper pH value in the similar time interval is because of the lesser carboxylic acid groups
477 in new copolymer maleate block.

478 FTIR analysis was used for investigation of the variation in structure of copolymer during the
479 degradation process (refer to Figures S7 and S8 and detailed explanation in the
480 supplementary file). The degradation results showed that until 30 days, the degradation of
481 copolymer was not evident, but after that the copolymer started the main process of
482 degradation, due to the higher LA / GL ratio in PLGA section. This result is in agreement with
483 other reports about PLGA based copolymers [27,30].

484 **3.3. CMC results, characterization, encapsulation and loading efficiency of** 485 **micelles**

486 Critical micelle concentration (CMC) of copolymer was determined using a plot of
487 concentration of pyrene loaded micellar solution versus the ratio of I_1/I_3 (Figure 4-A). With
488 increasing of micelle formation, the pyrene loading in core of micelles increased and as a
489 result the pyrene intensity decreased. After formation of micelles, the final value of a sharp
490 decrease in the ratio of intensities is considered as CMC. As could be seen in Figure 4-A,
491 the plot is " μ -shaped" with two minimum points that are selected as CMC₁ and CMC₂ for
492 copolymer micellar solution. According to Figure 4-A, the first CMC point is located at 0.1
493 $\mu\text{g/mL}$ and the second CMC point is observed at 2.5 $\mu\text{g/mL}$. Such type of CMC diagrams (μ -
494 *shaped*) appears in copolymers micellization and is related to self-assembly process and
495 polydispersity of copolymers (due to variation in chain length of polymeric blocks) [31]. It is
496 also mentioned that with increasing of copolymer concentration, cylindrical-shaped micelles
497 are formed as a result of aggregation of spherical-shaped micelles, which causes the second
498 CMC [32]. The low value of CMC is an important and favorite property for dynamic stability
499 of micelles, particularly at very low concentration in physiological environments such as
500 blood circulation [33]. Our prepared $\beta\text{CD-g-PMA-co-PLGA}$ micelles had a very low CMC
501 (about 0.1 and 2.5 $\mu\text{g/mL}$) compared to what reported in the similar studies, and hence were
502 in acceptable range for dynamic stability. For example, Qiu et al. reported that their
503 β -cyclodextrin-centered star-shaped amphiphilic polymers, had CMC about 2.3-38 $\mu\text{g/mL}$
504 and 0.92-38 $\mu\text{g/mL}$ [34,35]. Similarly, Lv et al. determined CMC values of about 0.98 and
505 52.4 $\mu\text{g/mL}$, for 6-armed and 3-armed βCD -based star copolymeric micelles [36]; Liu et al.
506 obtained a CMC value of about 15 $\mu\text{g/mL}$, for their βCD -based star copolymeric micelles

507 [37]. A study by Li et al. on mixed micelles (β CD-PLA-mPEG / TA-PLA-mPEG) showed a
 508 CMC value between 8.2-25.4 μ g/mL [38]. Likewise, CMC of β CD-PELA micelles were
 509 determined by Ji et al. to be equal to 1.4 μ g/mL [39]. Therefore, our newly developed
 510 micelles (β CD-*g*-PMA-co-PLGA) showed a CMC value of 9 to 520-fold smaller compared to
 511 CMC values for β CD-based micelles in the previously published reports.

512 Doxorubicin (**Dox**), Conferone (**Conf**) and combination of them (**2D**) were loaded to micelles
 513 as single and co-drug loaded β CD-*g*-PMA-co-PLGA micelles (**BD**, **BC** and **B2D**,
 514 respectively), with copolymer/drug ratio of 10:1. For confirming of **Dox** and **Conf** loading into
 515 micelles, FTIR spectrum of co-drug loaded β CD-*g*-PMA-co-PLGA micelles (**B2D**) was
 516 evaluated. According to Figure S1-**B2D**, the presence of strong and broad peak at 1400-
 517 1500 cm^{-1} and 1650 cm^{-1} shows the stretching of **C=C** of Dox and Conf aromatic and alkene
 518 rings, respectively. Also the presence of **=C-H** in Dox and Conf proved by appearance of
 519 peak at 3100 cm^{-1} . Peaks are observed at: 700 cm^{-1} (out of plane bending of **C-H** of
 520 aromatic ring) and at 1423 cm^{-1} (stretching of **C-C** band of aromatic ring) was indicators for
 521 presence of Dox-Conf in nano-formulation.

522 Drug loading results of nano-formulations are presented in Table 2 as drug encapsulation
 523 efficiency (*DEE* %). The high values of *DEE* % (up to 98 %) in Table 2, show that the
 524 copolymeric micelles have very great loading efficiency, due to presence of various drug
 525 trapping positions (binding electrostatically to **-COO⁻** groups of PMA section, β CD cavity
 526 and core of micelle). Our obtained *DEE* % shows a very higher efficiency compared to
 527 similar studies on β CD-based star micelles with a range of 21.44 to 86.4 % [34–36,40].

528

529 *Table 2: Results of drug encapsulation efficiency (DEE %) for nano-formulations (Abbreviations: B2D: co-drug*
 530 *loaded β CD-*g*-PMA-co-PLGA micelles, BD: Dox loaded β CD-*g*-PMA-co-PLGA micelles, BC: Conf loaded β CD-*g*-*
 531 *PMA-co-PLGA micelles)*

Nano-formulations	B2D	BD	BC
Dox (<i>DEE</i> %)	99.50	98.65	-
Conf (<i>DEE</i> %)	99.99	-	99.93

532

533 The blank and co-drug loaded β CD-*g*-PMA-co-PLGA micelles were analyzed with DLS-zeta
 534 test and results are presented in Figure S9-A, S9-B and Figures S10. According to Figure
 535 S9, zeta-potential of blank and co-drug loaded β CD-*g*-PMA-co-PLGA micelles are equal to –
 536 19.7 and –2.39 mV, respectively. This difference between zeta-potential of blank and co-
 537 drug loaded β CD-*g*-PMA-co-PLGA micelles is due to the electrostatic interactions between
 538 carboxylic acid groups of micelle surfaces ($\text{pK}_a = 6.6$) and **Dox** amine groups ($\text{pK}_a = 8.3$) at
 539 $\text{pH} = 7.4$. Decreasing of zeta-potential (from –19.7 to –2.39 mV) after drug loading, confirms
 540 loading of **Dox** on surfaces of micelles. However, **Dox** could be loaded into core of micelles,

541 too. In the case of **Conf**, due to the high hydrophobicity, loading happens into the core of
542 micelles completely. According to the published researches, the optimum range of zeta-
543 potential for electrostatically stability and extended circulation time for nano-particles in
544 blood, is ± 20 mV [41]. Therefore, the obtained zeta-potential values for blank and co-drug
545 loaded β CD-*g*-PMA-*co*-PLGA micelles are located in the suitable range that complies with
546 other related reports [42].

547 Also, based on DLS results in Figure S10, the blank β CD-*g*-PMA-*co*-PLGA micelles had an
548 average hydrodynamic diameter of about 96.51 nm (with polydispersity index, PDI = 1). The
549 obtained PDI value shows lower homogeneity of nano micelles [43,44], that may be due to
550 variation in amount of grafted- β CD, length of PLGA or PMA chains in copolymer. The size
551 and morphology of blank β CD-*g*-PMA-*co*-PLGA micelles are analyzed with SEM and the
552 prepared image is shown in Figure S11. According to SEM results, the blank micelles had an
553 average diameter of about 34.5 nm and a spherical-like shape. The DLS reported size is
554 higher compared to what SEM reported, that is probably due to the swelling of micelles by
555 water in DLS test versus the dry condition in SEM analysis [45].

556 Altogether, based on the obtained desirable diameter and zeta-potential of the micelles, it
557 can be claimed that the prepared micelles are capable of penetrating into cancer tissues and
558 cells through passive targeting. On top of that, the prepared micelles had a smaller size in
559 comparison with other β CD-based micelles in the published works up to now which improves
560 the efficiency of diffusion into cells [34,35,46].

561 **3.4. Investigation of in-vitro release test**

562 Plots of drug release from single- and co-drug loaded β CD-*g*-PMA-*co*-PLGA micelles, are
563 shown in Figure 4-B. This shows pH-sensitivity of all nano-formulation except for conferone
564 loaded β CD-*g*-PMA-*co*-PLGA micelles. As can be seen, co-drug loaded and Dox loaded
565 β CD-*g*-PMA-*co*-PLGA micelles showed pH-responsive release with more dominant release
566 at pH = 5.5 compared to pH = 7.4. The mechanism of pH-responsive Dox release from **Dox**-
567 loaded nano-formulations, can be described with electrostatic interaction between amine
568 group of **Dox** (with positive charge) and carboxylic acids of copolymer (with negative
569 charge). Unlike in physiological pH (7.4), in acidic pH (≤ 6.6 , pK_a of micelles), the carboxylic
570 acids of copolymer are protonated and transferred to **-COOH** group without any charge.
571 Therefore, the electrostatically bonded **Dox** was released into the environment. More
572 importantly, **Dox** release from co-drug- and Dox loaded β CD-*g*-PMA-*co*-PLGA micelles has
573 two steps (from day-1 to day-7, and from day-7 to day-16), that is probably due to the
574 presence of more than one loading mechanisms. Since **Dox** could be loaded either in core
575 of micelle or interact with carboxylate groups on the surface of micelles and finally interact

576 with β CD as inclusion-complex, the release profile could be different depending on the
577 loading mechanism.

578 In the case of **Conf**, it could just be loaded in the core of micelles or trapped in β CD cavity,
579 due to the high hydrophobicity. Therefore, its release depends on the micelle's deformation
580 (with no pH-sensitivity) which increases with copolymer degradation or micelles swelling.
581 The dual drug release in our study is clearly sustained compared with Dox release from β CD
582 based micelles published previously [34,35,47,48]. For example, in a study by Xu et al.,
583 β CD-PLA-POEGMA/DOX micelles had shown a sustained Dox release of about 20 % and
584 50 %, at pH = 7.4 and 5.0, respectively after 24 h [40]. In the same time, in our study, Dox
585 and Conf release from drug loaded β CD-*g*-PMA-co-PLGA micelles was below 10 % and
586 below 30 %, at pH = 7.4 and 5.0, respectively. Sustained release of Dox in our work, may be
587 due to the stability and rigidity of micelle structure and dominant loading of drugs in the core
588 of micelles that cause a resistance against dilution and drug release.

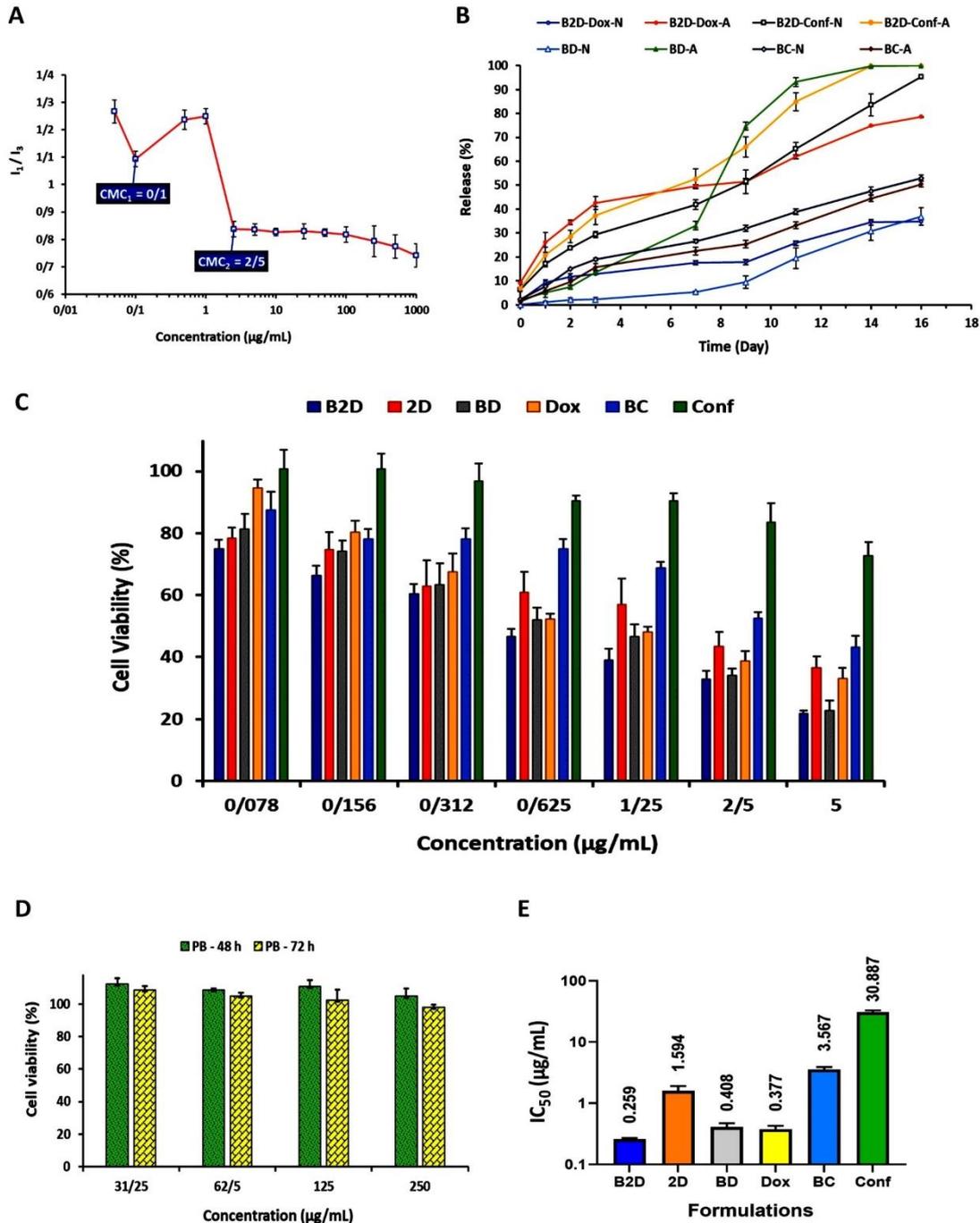


Figure 4: A) Diagram of I_1/I_3 ($I_{373\text{ nm}}/I_{393\text{ nm}}$) versus $\beta\text{CD-g-PMA-co-PLGA}$ copolymer concentration for determining of CMC values of copolymer, by spectrofluorometric method (copolymer concentration of: 0.05, 0.1, 0.5, 1, 2.5, 5, 10, 25, 50, 100, 250, 500, 1000 $\mu\text{g/mL}$, and final concentration of Pyrene: 0.005 $\mu\text{g/mL}$, $n=2$); B) Plot of release (%) of drugs from drug loaded nano formulations (B2D, BD, BC) versus time (day) (1 mg of nano formulations were dispersed in 2 mL of sink solution with pH 5.5 and 7.4 for various time intervals: 1, 2, 3, 7, 9, 11, 14 and 16 days), $n=2$; C) Enhanced anticancer efficacy by co-delivery of Dox and Conf in nano-formulation. The MDA-MB-231 cells viability (%) in the existence of formulations (B2D, 2D, BD, Dox, BC and Conf) with different concentrations: 0.078, 0.156, 0.312, 0.625, 1.25, 2.5 and 5 $\mu\text{g/mL}$, after 48 h, obtained from MTT method; D) The MDA-MB-231 cells viability (%) in the presence of the blank $\beta\text{CD-g-PMA-co-PLGA}$ micelles (PB) with various concentrations: 31.25, 62.5, 125, 250 $\mu\text{g/mL}$, after 48 and 72 h, by MTT method; E) Diagram of IC_{50} dosage ($\mu\text{g/mL}$) of formulations (B2D, 2D, BD, Dox, BC and Conf) calculated by GraphPad Prism software using MTT results of MDA-MB-231 cells after 48 h. The MTT results were analyzed statistically by GraphPad Prism software ($n=3$, $p < 0.05$). (Abbreviations: PB: blank $\beta\text{CD-g-PMA-co-PLGA}$ micelles, B2D: co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, BD: Dox loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, BC: Conferone loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, Dox: Free Doxorubicin, Conf: Free Conferone, 2D: Free Doxorubicin-Conferone).

590 **3.5. Cell internalization ability of micelles**

591 Internalization of rhodamine B-labeled blank β CD-g-PMA-co-PLGA micelles and rhodamine
592 B-labeled co-drug loaded β CD-g-PMA-co-PLGA micelles into MDA-MB-231 cell line were
593 investigated with flowcytometry and fluorescent microscope and the obtained results are
594 presented in Figures 5-A and 5-B. As shown in Figure 5-A, both of rhodamine B-labeled
595 blank β CD-g-PMA-co-PLGA micelles and rhodamine B-labeled co-drug loaded β CD-g-PMA-
596 co-PLGA micelles were up-taken into cells completely (100 %), in all time intervals (0.5, 1.5
597 and 3 h).

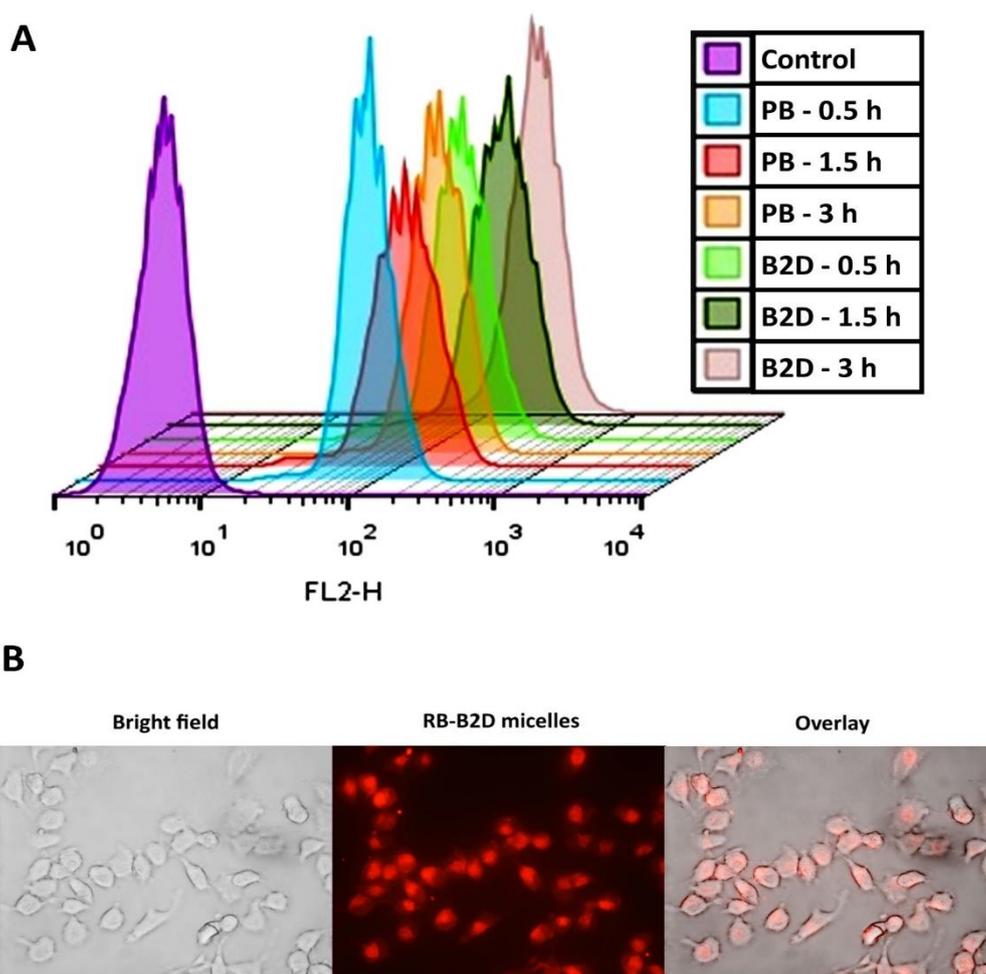


Figure 5: A) Results of intracellular uptake of rhodamine B-labeled blank β CD-g-PMA-co-PLGA micelles (**RB-B**: 20 μ g/mL) and rhodamine B-labeled co-drug loaded β CD-g-PMA-co-PLGA micelles (**RB-B2D**: 2 μ g/mL) by MDA-MB-231 cells in different time intervals: 0.5, 1.5 and 3 h, using flowcytometry; B) Fluorescence microscopic images of rhodamine B-labeled co-drug loaded β CD-g-PMA-co-PLGA micelles (**RB-B2D**: 2 μ g/mL) internalization to MDA-MB-231 cells only at 1 h, prepared by research fluorescence microscope. (Abbreviations: **RB-B**: Rhodamine B-labeled blank β CD-g-PMA-co-PLGA micelles, **RB-B2D**: Rhodamine B-labeled co-drug loaded β CD-g-PMA-co-PLGA micelles).

598
599 Based on the rapid and great uptake percentage of our novel developed β CD-g-PMA-co-
600 PLGA micelles (100 % at 0.5 h), we can claim that the prepared β CD-g-PMA-co-PLGA

601 micelles had favorable structure, charge, and size for cell internalization. Superiority of this
602 formulation is clear when comparing its cell internalization with reports of other researchers.
603 For example, our previous work showed a lower internalization of functionalized PLGA-
604 based blank micelles into MDA-MB-231 cells (33%, 60 and 81 %, at 0.5, 1.5 and 3 h) which
605 is very slower [6]. This phenomenon is because of lesser negative charge of blank β CD-*g*-
606 *PMA-co-PLGA* micelles in the present study (-19.7 mV) compared to the blank micelle
607 charge in previous study (-29.7 mV). This leads to inferior electrostatic repulsion forces
608 between negative charges of blank β CD-*g*-*PMA-co-PLGA* micelles and cell membrane and
609 consequently higher internalization into cells [49]. Recent research reports show that blank
610 and **Dox-Conf** loaded β CD-*g*-*PMA-co-PLGA* micelles have faster cell internalization
611 compared to other β CD-based nano particles published previously. For example,
612 Pooresmaeil et al. reported that their blank and Dox loaded β CD-functionalized PAMAM
613 dendrimers internalized near 100 % into MDA-MB-231 cells after 3 h [50]. Niu et al. reported
614 that their Dox/Melatonin loaded β CD containing functionalized graphene-dendrimeric system
615 could be internalized up to 73.99 and 94.28 % into Saos-2 cells after 2 and 4 h, respectively
616 [51].

617 Figure 5-B shows the fluorescent microscopic images of MDA-MB-231 cells that uptook the
618 rhodamine B-labeled co-drug loaded β CD-*g*-*PMA-co-PLGA* micelles.

619 **3.6. Cytotoxicity of drug loaded micelles**

620 The MDA-MB-231 cells viability in the presence of all formulations (**PB**, **B2D**, **2D**, **BD**, **Dox**,
621 **BC**, **Conf**) with different concentrations were investigated by MTT assay and the obtained
622 results were presented in Figures 4-C and 4-D. According to Figure 4-D, the blank β CD-*g*-
623 *PMA-co-PLGA* micelles did not show any noticeable cytotoxicity on MDA-MB-231 cells in the
624 studied concentration range (31.25, 62.5, 125, 250 μ g/mL) after 48 and 72 h. Figure 4-C
625 shows that the single- and co-drug loaded β CD-*g*-*PMA-co-PLGA* micelles caused a higher
626 level of cytotoxicity in comparison with the corresponding free drugs (**Dox**, **Conf** and **Dox-**
627 **Conf**). This difference between result of nano-formulation and free drugs, was due to the
628 higher intracellular uptake that overcome drug resistance as well as increasing of **Conf**
629 solubility in micelle forms. GraphPad prism software (V. 8.0.1) was used to calculate IC_{50}
630 dosages of all formulations; and the results are presented in Figure 4-E and Table S3. The
631 results of cell viability assay showed that the cells treated with dual drug loaded (Dox-Conf
632 loaded β CD-*g*-*PMA-co-PLGA*) micelles caused in significantly lower viability than those
633 treated with either single drug loaded micelles, indicating that combination of Dox and Conf
634 demonstrated superior anticancer activity. This result suggesting that efficient delivery of
635 Dox and Conf by β CD-*g*-*PMA-co-PLGA* micelles contributes substantially to enhance
636 combinational antitumor Effects (Figure 4-C,E). According to IC_{50} results, the lowest IC_{50}

637 (0.259 $\mu\text{g/mL}$) belongs to co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles. The effective
638 dosage of **Dox** in co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles (0.1295 $\mu\text{g/mL}$) was lower
639 compared to free **Dox** and Dox loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles (refer to Table S3)
640 because conferone in combination with Dox, can overcome the P-gp-mediated drug
641 resistance and lead to Dox accumulation in cells [52]. This caused a decrease in the
642 required **Dox** therapeutic dosage and therefore a decrease in its side effects. Based on our
643 literature review our novel developed co-drug loaded micelle showed superior anticancer
644 efficacy compared to previously published articles. The IC_{50} values of co-drug- and Dox
645 loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles (0.259 and 0.408 $\mu\text{g/mL}$, respectively), are lower in
646 comparison with the previous reports on Dox-loaded micelles. For example, Xu et al
647 reported an IC_{50} of about 10 $\mu\text{g/mL}$ for Dox on HeLa cells, and Qiu et al. reported an IC_{50} of
648 about 2 and 15 $\mu\text{g/mL}$ of Dox, for MCF-7 and MCF-7/ADR cells, respectively [34,40].
649 The CompuSyn software (V. 1) was used for calculation of combination index (CI), and
650 results are shown in Figure S12 and Table S4. The combination of free **Dox-Conf** and **Dox-**
651 **Conf** in co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles showed synergistic effects in IC_{50}
652 dosage ($CI < 1$). The CI value of co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles (0.5) is lower
653 than free **Dox-Conf** (0.8), that shows a more synergistic effect of nano-formulated
654 combination form (co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles). As can be seen in Figure
655 4-C, among the nano-formulations, the co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles
656 showed higher cytotoxicity in comparison with single-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$
657 micelles that could be explained by lower IC_{50} dose and synergistic effect. Drug efflux due to
658 increasing in P-glycoprotein (P-gp) expression, is an important problem in progressive
659 cancers which causes a decrease in drug accumulation in cells and hence a decrease in
660 drug efficiency. Conferone in combination with **Dox**, can overcome the P-gp-mediated drug
661 resistance and lead to **Dox** accumulation in cells [52]. As a result, co-drug loaded $\beta\text{CD-g-}$
662 PMA-co-PLGA micelles acted as the most efficient nano-formulation because of higher
663 accumulation level of **Dox**, increasing of **Conf** solubility, and synergistic effect. The statistical
664 analysis showed that the results of comparison among groups was significant.

665 3.7. Evaluation of cell cycle arrest induced by drug loaded micelles

666 The cell cycle analysis investigates the various stages of cell cycle and DNA duplication,
667 containing: G1, S, G2 and M [53]. The obtained results are presented in Figure 6 and Table
668 S5.

669

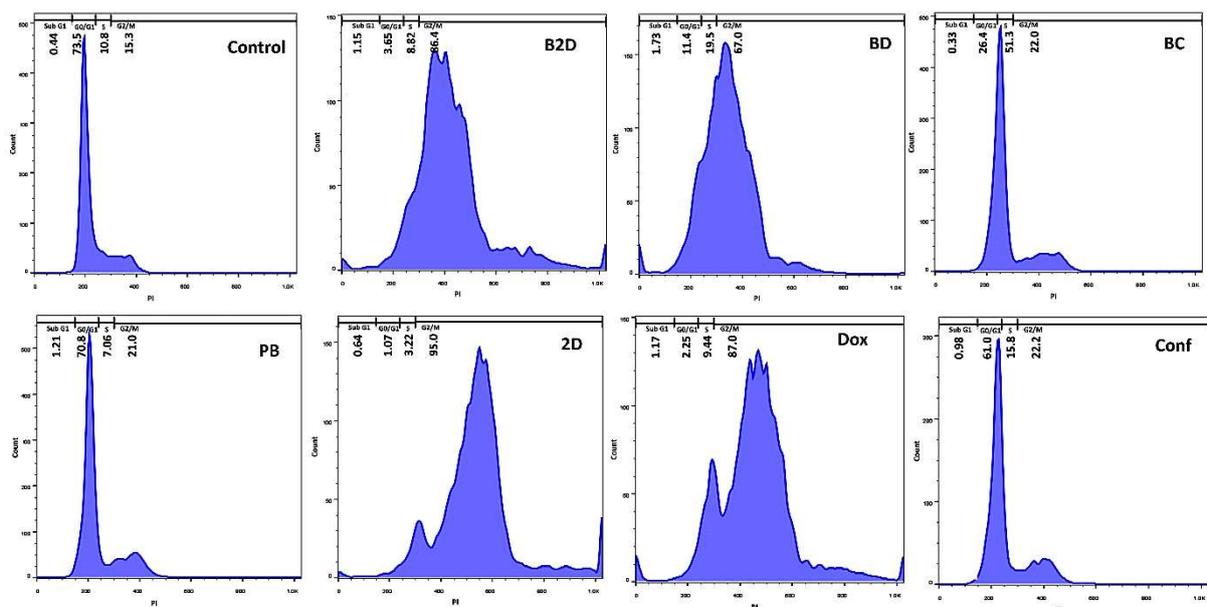


Figure 6: Results of cell cycle test of MDA-MB-231 cells treated with all formulations (0.259 $\mu\text{g}/\text{mL}$ of **B2D**, **2D**, **BD**, **Dox**, **BC** and **Conf** and 2.59 $\mu\text{g}/\text{mL}$ of **PB**) by flowcytometry. (Abbreviations: **PB**: blank $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **B2D**: co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **BD**: Dox loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **BC**: Conferone loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **Dox**: Free Doxorubicin, **Conf**: Free Conferone, **2D**: Free Doxorubicin-Conferone).

670

671 According to Figure 6, the blank $\beta\text{CD-g-PMA-co-PLGA}$ micelles did not show noticeable
 672 changes in cell cycle pattern in comparison with the control group, which shows almost no
 673 toxicity to MDA-MB-231 cells. The Dox-Conf loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, free
 674 Dox-Conf combination, Dox loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles and free Dox caused
 675 G2/M arrests in treated cells (0.259 $\mu\text{g}/\text{mL}$). While the Conf loaded $\beta\text{CD-g-PMA-co-PLGA}$
 676 micelles lead to S arrest. Sub-G1, S and G2/M arrests are the signs of strong inhibition to
 677 DNA duplication and can be seen in apoptosis. Pooresmaeil et al. showed that Dox loaded
 678 βCD -functionalized PAMAM dendrimers caused sub G1 (60 %) arrest in MDA-MB-231 cells
 679 [50]. There are many reports that show Dox-Adjuvant combination therapy leads to sub G1,
 680 S and G2/M arrest in different cell lines. For example, Sabzichi et al. reported that Dox in
 681 combination with Quinacrine (QC) caused G2/M arrest (39 % in 2.5 μM + 1.2 μM dosage of
 682 QC + DOX) in MDA-MB-231 cells [4]. Our co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles
 683 caused a higher level of G2/M arrest (86.4 %) in lower IC_{50} dosage of combination form
 684 (0.22 μM **Dox** + 0.34 μM **Conf**). Therefore, the combination of **Conf** with **Dox** had a higher
 685 synergistic effect on MDA-MB-231 cells, in comparison with combination of QC-Dox. In our
 686 previous study, Dox-Conf loaded micelles showed sub G1, S and G2/M arrest [6]. Sabzi et
 687 al. showed that Dox and curcumin loaded micelles cause a sub G1 arrest in MDA-MB-231
 688 cells [3]. In another study, Ahmadi et al showed that Dox and hydroxytyrosol loaded micelles
 689 lead to sub G1 and S arrest in HT29 cells [54]. Rahimi et al. reported that combination of
 690 Dox and methotrexate on chitosan-based dendrimers lead to G2/M arrest in MCF-7 cells

691 [55]. In this study, the conferone loaded β CD-g-PMA-co-PLGA micelles lead to S arrest.
 692 Cheraghi et al. reported that conferone cause sub G1 and S arrest in HT-29 cell line with a
 693 time dependent manner [7] which is in agreement with our results. The higher levels of S
 694 arrest in conferone loaded β CD-g-PMA-co-PLGA micelles, compared to the free **Conf**, is
 695 due to the increasing of solubility and intracellular uptake of **Conf** in conferone loaded β CD-
 696 g-PMA-co-PLGA micelles. The high levels of cell arrests for nano formulation-treated cells,
 697 show higher apoptosis of cells due to high cell internalization [56,57].
 698

699 3.8. Evaluation of Apoptosis induction

700 Annexin-V is a fluorescent agent that stained the apoptotic cells and propidium iodide (PI)
 701 was used for staining nucleus of late apoptotic and necrotic cells [58]. To demonstrate that
 702 Dox-Conf loaded β CD-g-PMA-co-PLGA micelles produces greater level of cancer cell

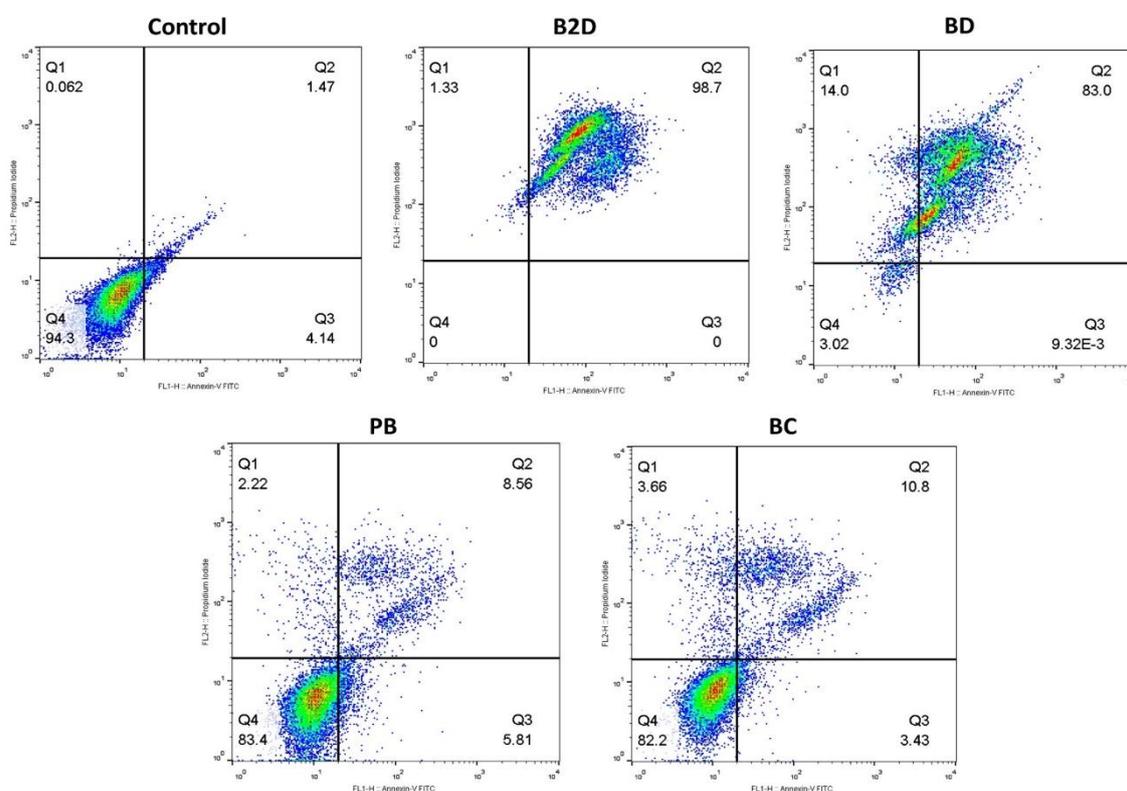


Figure 7: Enhanced antitumor efficacy by increased apoptotic cell death induced by co-delivery of Dox and Conf nano-formulation. Results of apoptosis test of MDA-MB-231 cells treated by nano formulations (0.259 μ g/mL of **B2D**, **BD**, **BC** and 2.59 μ g/mL of **PB**) obtained by flowcytometry method. (Abbreviations: **PB**: blank β CD-g-PMA-co-PLGA micelles, **B2D**: co-drug loaded β CD-g-PMA-co-PLGA micelles, **BD**: Dox loaded β CD-g-PMA-co-PLGA micelles, **BC**: Conferone loaded β CD-g-PMA-co-PLGA micelles).

703 apoptosis compared to single drug loaded formulations and free drugs, MDA-MB-231 cells
 704 after treatment were analyzed by Annexin-V/PI double staining flowcytometry. The effect of
 705 free drugs (**Dox**, **Conf** and **Dox-Conf**) on apoptosis of MDA-MB-231 cells were presented in
 706 our previously published paper [6]. Figure 7 and Table S6, show the outcomes of apoptosis

707 analysis. As could be seen in Figure 7, the blank β CD-*g-PMA-co-PLGA* micelles did not
708 show noticeable toxic effect (83.4 % cell viability) to MDA-MB-231 cells. According to the
709 results the co-drug loaded β CD-*g-PMA-co-PLGA* micelles showed synergistic effect with
710 highest apoptosis (98.7 %) and lowest necrosis (1.33 %) compared to single-drug (**Dox** or
711 **Conf**) loaded β CD-*g-PMA-co-PLGA* micelles. These results confirm that Dox-Conf loaded
712 micelle acts as an effective intracellular co-delivery system that enhances combinational
713 apoptosis-inducing effect. As expected from the results of cell cycle and MTT tests, the
714 highest anticancer effect was observed in co-drug loaded β CD-*g-PMA-co-PLGA* micelles.
715 This is the consequence of synergistic effect of drugs in co-drug loaded β CD-*g-PMA-co-*
716 *PLGA* micelles as well as promotive effect of Conf on Dox intracellular accumulation.
717 Superiority of Dox-Conf loaded β CD-*g-PMA-co-PLGA* micelles is clear when comparing its
718 ability in induction of apoptosis (98.7 %) with reports of other researchers. Li et al. concluded
719 that their targeted delivery of Dox and Bcl-2 siRNA by β CD and folic acid containing
720 nanocomplexes (FA-HP- β CD-PEI/DOX/siRNA) caused about 70 % apoptosis of MCF-
721 7/ADR cells [59]. Niu et al. quantitatively assessed apoptosis in cancer cells by Dox and
722 Melatonin loaded β CD-containing nanoparticles (Dox/MLT-NPs) and witnessed 53.52 % and
723 41.81 % apoptosis of MG-63 and Saos-2 cells, respectively [51]. Ji et al reported that their
724 Dox-loaded β CD-based micelles (PELA54-CD-Dox) induced about 84.8 % apoptosis in
725 HL60/ADR cells [39]. Sabzichi et al. reported 40% apoptosis in MDA-MB-231 cells treated
726 with combination of Dox-Quinacrine [4]. Li et al showed that their combination therapy by
727 Dox-Oridonin caused 64.46 % apoptotic and 18 % necrotic death of MDA-MB-231 cells [60].
728 In study by Sabzi et al., combination therapy of MDA-MB-231 cell line with Dox-curcumin
729 loaded micelles showed 96 % apoptosis [3]. Rahmani et al. reported that Dox-Conferone
730 loaded micelles led to 95 % apoptosis in MDA-MB-231 cells [6]. According to Fan et al. Dox-
731 Gamabufotalin loaded NPs induced about 79-89.2 % apoptosis to MDA-MB-231 cells [61]. In
732 comparison to the recent literature, our developed Dox-Conf loaded β CD-*g-PMA-co-PLGA*
733 micelles showed superior performance in induction of apoptosis in MDA-MB-231 cells.

734

735 **3.9. Investigation of apoptosis pathway by real-time PCR**

736 With the aim of precise investigation of apoptosis pathway, the real-time PCR test was
737 performed. The Bax and Bcl-2 proteins have pro-apoptotic and anti-apoptotic functions,
738 respectively; and cytochrome-c production by mitochondria is controlled by these proteins.
739 Simultaneous upregulation of Bax and downregulation of Bcl-2 expression leads to
740 cytochrome-c release which consequently causes apoptosome formation. The apoptosome
741 creation activates the caspase-9 and subsequently upregulation of caspase-9 which cause
742 cleavage of effector caspase [62]. Basically, the cysteine protease enzymes (the caspases)

743 are the essential factors for apoptosis and are divided into initiator (Caspase-8 and -9) and
744 effector caspases (caspase-3 and -7) [56]. The caspase-8 and 12 upregulation are the signs
745 of extrinsic pathway of apoptosis, but the caspase-9, caspase-3 and -7 upregulation show
746 the intrinsic or mitochondria mediated pathway of cell apoptosis. Therefore, all the
747 mentioned factors regulation changes after treating of cells with each formulation, which
748 were investigated in real-time PCR analysis. The results of real-time PCR test were
749 presented, as the heat map, of change in gene expressions related to the control group
750 (gene expression = 1, Figure 8-A). In the heat map the light-yellow represented the lack of
751 gene expression and red presented higher expression of genes. According to Figure 8-A,
752 gene expression and regulation in blank β CD-g-PMA-co-PLGA micelles, compared to
753 control group, did not show significant changes that confirmed its non-toxicity on MDA-MB-
754 231 cells. Except for blank β CD-g-PMA-co-PLGA micelles, the rest of formulations showed
755 concurrent Bax upregulation and Bcl-2 downregulation with the following order: co-drug
756 loaded β CD-g-PMA-co-PLGA micelles > **Dox**-loaded β CD-g-PMA-co-PLGA micelles > free
757 **Dox** \approx **Conf**-loaded β CD-g-PMA-co-PLGA micelles \approx free **Dox-Conf** > free **Conf**. The
758 mentioned concurrent regulations cause upregulation of caspase-9 expression with the
759 same order. As a result of caspase-9 upregulation, the caspase-3 and -7 were activated and
760 caused cell apoptosis. Therefore, the Bax, caspase-9, caspase-3, and caspase-7 were
761 upregulated dominantly while the Bcl-2, was downregulated significantly in our nano
762 formulations (Co-drug loaded, **Dox**-loaded and **Conf**-loaded β CD-g-PMA-co-PLGA
763 micelles). Based on the results, it can be concluded that the nano-formulations specially co-
764 drug loaded β CD-g-PMA-co-PLGA micelles caused the higher level of cell apoptosis via
765 caspase-dependent and intrinsic pathway of apoptosis. This superiority conforms with
766 results of previous research about the effect of **Dox-Conf** loaded micelles on apoptosis
767 pathway in MDA-MB-231 cell line [6]. Sabzi et al. showed that their Dox-Curcumin loaded
768 micelles induced apoptosis to MDA-MB-231 cells via Bcl-2/Bax, caspase-9, caspase-7 and
769 caspase-3 intrinsic pathway [3]. Moreover, Shafa et al. showed that apoptosis of DU145
770 prostate cancer cells in presence of combination of Dox with metformin was done via p21
771 and caspase-3 root [63]. Similarly, Khaki-khatibi et al. reported that according to RT-PCR
772 results, their Dox-Statocin combination therapy caused Bcl-2 downregulation and Bax
773 upregulation in ZR-75-1 breast cancer cells [64].
774

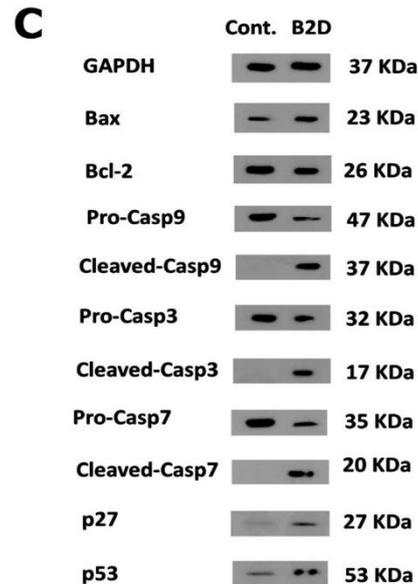
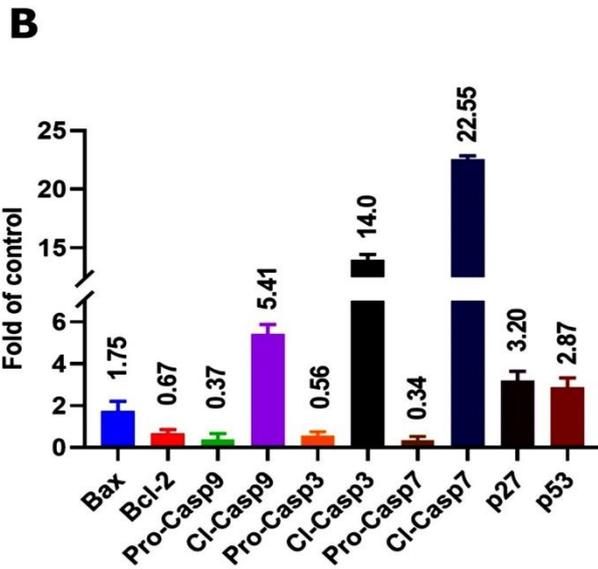
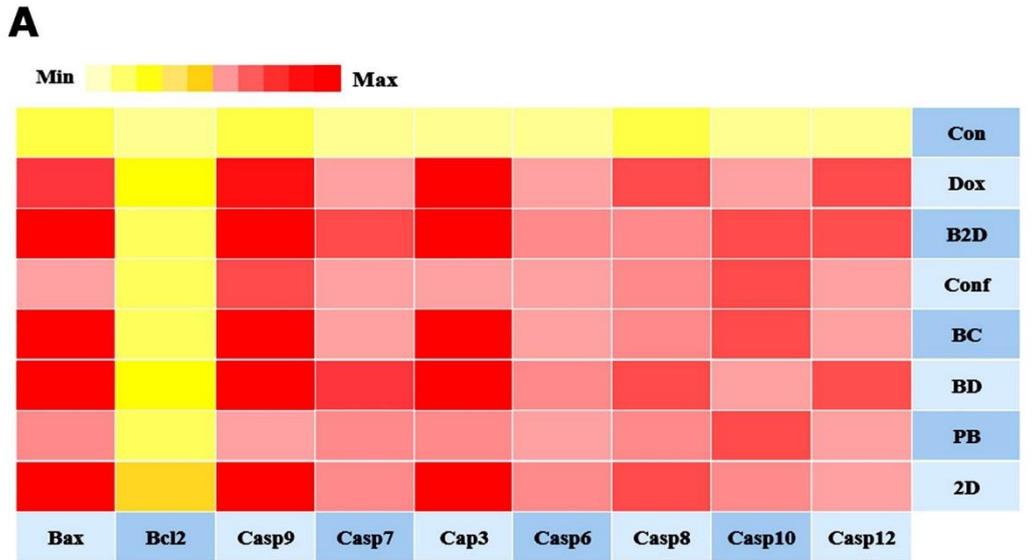


Figure 8: A) Results of real-time PCR analysis of MDA-MB-231 cells treated with all formulations (0.259 $\mu\text{g}/\text{mL}$ of **B2D**, **2D**, **BD**, **Dox**, **BC** and **Conf** and 2.59 $\mu\text{g}/\text{mL}$ of **PB**) as the heat map of expression level of genes related to un-treated control group (gene expression = 1). The yellow and light-yellow colors are the signs of lack of gene expression or downregulation of it and red color shows upregulation of gene expression related to the control (genes: Bcl-2, Bax, Caspase-3, Caspase-6, Caspase-7, Caspase-8, Caspase-9, Caspase-10, Caspase-12 and GAPDH as the internal control gene); B) Diagram of proteins expression changes related to the control group (protein expression = 1) obtained from western blotting (the MDA-MB-231 cells were treated by **B2D** with concentration of 0.259 $\mu\text{g}/\text{mL}$). Proteins: Bcl-2, Bax, pro-Caspase-9, Cleaved-Caspase-9, pro-Caspase-3, Cleaved-Caspase-3, pro-Caspase-7, Cleaved-Caspase-7, p27 and p53, and GAPDH as internal control, n=2; C) Images of western blotting of the MDA-MB-231 cells treated with **B2D** (0.259 $\mu\text{g}/\text{mL}$). Un-treated cells were considered as the control group. (Abbreviations: **PB**: blank $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **B2D**: co-drug loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **BD**: Dox loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **BC**: Conferone loaded $\beta\text{CD-g-PMA-co-PLGA}$ micelles, **Dox**: Free Doxorubicin, **Conf**: Free Conferone, **2D**: Free Doxorubicin-Conferone).

775

776 **3.10. Investigation of apoptosis pathway by western blotting**

777 Since real-time PCR results showed that the highest level of caspase-dependent intrinsic
778 pathway of apoptosis (at gene level) was induced by co-drug loaded β CD-*g-PMA-co-PLGA*
779 micelles, the effect of co-drug loaded β CD-*g-PMA-co-PLGA* micelles on Bax, Bcl-2, pro-
780 caspase-9, cleaved-caspase-9, pro-caspase-3, cleaved-caspase-3, pro-caspase-7, cleaved-
781 caspase-7, p27 and p53 were evaluated using western blotting (at protein level). Cyclin
782 dependent kinase inhibitor or KIP1 (p27) and the other tumor-suppressor proteins such as
783 p53, are the cell cycle inhibitors. Upregulation of p27 and p53 cause Bax upregulation and
784 Bcl-2 downregulation which lead to cell apoptotic death [65–67]. In the case of malignant
785 tumors, the downregulated p53 prevents from apoptotic death [68]. Figures 8-B, 8-C and
786 table S7, present the western blotting results and fold-changes of protein expression in
787 MDA-MB-231 cells treated by co-drug loaded β CD-*g-PMA-co-PLGA* micelles. These results
788 show noticeable increase in expression of Bax (1.75-fold), cleaved-caspase-9 (5.41-fold),
789 cleaved-caspase-3 (14-fold), cleaved-caspase-7 (22.55-fold), p27 (3.2-fold), p53 (2.87-fold),
790 and decrease in expression of Bcl-2 (0.67-fold), pro-caspase-9 (0.37-fold), pro-caspase-3
791 (0.56-fold) and pro-caspase-7 (0.34-fold), with respect to the control group. The upregulated
792 p27 and p53, induced upregulation of Bax and reduction of Bcl-2 which caused a severe
793 disturbance to cell cycle, and subsequently cell apoptosis. Increasing in Bax and decrease in
794 Bcl-2 expression led to cytochrome-c release from mitochondria, and hence creation of
795 apoptosome which led to pro-caspase-9 expression. Upregulation of pro-caspase-9, caused
796 cleavage of caspase-9 in parallel with pro-caspase-9 downregulation. Cleaved-caspase-9
797 upregulation caused pro-caspase-3 and pro-caspase-7 upregulation and their cleavage
798 (upregulation of cleaved-caspase-3 and cleaved-caspase-7). Finally, cleavage of death
799 substrate increased and hence fragmentation of DNA was occurred as a result of
800 upregulation of cleaved-caspase-3 and cleaved-caspase-7. Because of marked increase in
801 expression of cleaved-caspase-9, -3 and -7, it was proved that the co-drug loaded β CD-*g-*
802 *PMA-co-PLGA* micelles induced apoptosis to MDA-MB-231 cells via intrinsic mitochondrial
803 pathway (p27, p53, Bcl-2/Bax, cleaved-caspase-9, cleaved-caspase-7 and cleaved-
804 caspase-3 axis) which confirmed the real-time PCR outcomes. Similarly, Wei et al., reported
805 that Dox in combination with Magnoflorine led to apoptosis of MDA-MB-231 cells via
806 Bax/Bcl-2; cleaved-caspase-9 and cleaved-caspase-3 pathway [69]. Li et al. , using western
807 blotting, reported that Dox-Oridonin combination induced apoptosis to MDA-MB-231 cells via
808 Bcl-2/Bax, cleaved-caspase-3 and cleaved-PARP pathway [60]. Fan et al. showed that
809 combination of Dox with Gamabufotalin induced apoptosis to MDA-MB-231 cells via p53,
810 Bcl-2/Bax and cleaved-caspase-3 root [61]. Sabzi et al. reported that Dox-curcumin loaded
811 micelles induced apoptosis to MDA-MB-231 cells via Bcl-2/Bax, cleaved-caspase-9,
812 cleaved-caspase-7, cleaved-caspase-3 and p27 [3]. In the same way, Rahmani et al.
813 showed that Dox-conferone loaded micelles induced apoptosis via intrinsic Bcl-2/Bax,

814 cleaved-caspase-9, cleaved-caspase-7, cleaved-caspase-3 and p27 pathway [6]. Therefore,
815 it can be stated that Dox combination therapy on MDA-MB-231 cells induces apoptosis via
816 activation of the intrinsic pathway. Our novel developed co-drug loaded β CD-g-PMA-co-
817 PLGA micelles acted with similar intrinsic apoptosis pathway.

818 **4. Conclusion**

819 The new pH-sensitive and biodegradable β CD-grafted poly maleate-block-PLGA micelles
820 was developed for codelivery of Doxorubicin (**Dox**) and Conferone (**Conf**) into MDA-MB-231
821 cell line. Micelles with very low CMC (0.1 μ g/mL), small size (34.5 nm) and negative
822 zetapotential were obtained. The co-drug loaded β CD-g-PMA-co-PLGA micelles and **Dox**
823 loaded β CD-g-PMA-co-PLGA micelles had a pH-sensitive and sustained drug release. The
824 blank β CD-g-PMA-co-PLGA micelles and co-drug loaded β CD-g-PMA-co-PLGA micelles
825 were internalized quickly (0.5 h) and completely (100 %) into MDA-MB-231, because of their
826 favorable size and zetapotential. The lowest IC_{50} (0.259 μ g/mL) was obtained in B2D nano-
827 formulation because of: synergistic effect of **Conf** on **Dox** ($CI = 0.529$), inhibition of P-gp
828 expression and **Dox** efflux by **Conf** in MDA-MB-231 cells. Furthermore, co-drug loaded
829 β CD-g-PMA-co-PLGA micelles with G2/M arrest, caused a severe disturbance to cell cycle
830 and therefore induced exceptional apoptosis (up to 98 %, according to cell cycle and
831 apoptosis tests). The induced apoptosis of MDA-MB-231 cells by co-drug loaded β CD-g-
832 PMA-co-PLGA micelles was confirmed with real-time PCR (at gene level) and western
833 blotting (at protein level) that proved the p27, p53, Bax/Bcl-2; caspase-9; caspase-7 and
834 caspase-3, intrinsic mitochondrial apoptosis pathway. The new **Dox-Conf** loaded β CD-g-
835 PMA-co-PLGA micelles improved **Dox** therapeutic function by minimizing **Dox** therapeutic
836 dosage. Thus, based on the excellent capabilities for apoptosis induction, β CD-g-PMA-co-
837 PLGA micelles loaded with Dox in combination with Conf as adjuvant are suggested for in-
838 vivo application in the future animal studies. We also aim to draw the attention of the
839 scientific community to more consider the mechanisms involved in the synergism effect of
840 combination therapy of anticancer drug and adjuvants with reduced side effects, and
841 conduct clinical studies, for the development of alternative therapeutic way to benefit cancer
842 patients worldwide.

843

844 **Declarations**

845 **Ethics approval and consent to participate**

846 Not applicable

847 **Consent for publication**

848 Not applicable

849

850 **Availability of data and materials**

851 Not applicable

852 **Competing interests**

853 The authors declare that they have no competing interests.

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857 **Authors' contribution**

858 Akram Rahmani: Investigation, Visualization, Software, Writing-Original draft preparation.
859 Fariborz Rahimi: Writing-Reviewing and Editing. Mehrdad Iranshahi: Resources. Houman
860 Kahroba: Methodology. Amir Zarebkohan: Methodology. Mehdi Talebi: Methodology. Roya
861 Salehi: Supervision, Proposal design and ideas, Conceptualization, Methodology, Software,
862 Validation, Funding acquisition, Writing-Reviewing and Editing. Hassan Zavvar Mousavi:
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867

868 **Appendix 1.** Supplementary file

869

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