

Detection and quantitation of cellulose II by Raman spectroscopy

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1 Detection and quantitation of cellulose II by Raman spectroscopy

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7 ABSTRACT

8 In cellulose materials, the cellulose II polymorph is often present either exclusively or in
9 conjunction with cellulose I, the natural cellulose. Moreover, in regenerated and mercerized fibers
10 (e.g., viscose and lyocell), natural cellulose adopts to the crystal structure cellulose II Therefore,
11 its detection and quantitation are important for a complete assessment of such materials
12 investigations. In the Raman spectra of such materials, a band at 577 cm^{-1} is typically observed
13 indicating the presence of this polymorph. In the present study, to quantify the content of cellulose
14 II, a calibration method was developed based on the intensity of the 577 cm^{-1} peak relative to the
15 1096 cm^{-1} band of cellulose. For this purpose, in addition to pure cellulose I and cellulose II
16 samples (respectively, Avicel PH-101 and mercerized Avicel PH-101; hence referred to as Avicel
17 I and Avicel II), a set of five samples were produced by mixing them in known quantities of Avicel
18 I and Avicel II. The crystalline cellulose II contents of the samples were calculated based on the
19 X-ray crystallinity of mercerized Avicel I. These seven samples were included in the calibration
20 set and their Raman spectra were obtained. Subsequently, Raman intensity ratios I_{577}/I_{1096} were
21 calculated by taking ratios of peak intensities at 577 and 1096 cm^{-1} . These ratios were plotted
22 against the % of crystalline cellulose II present in the calibration set samples and the two were

23 found to be linearly correlated ($R^2 = 0.9944$). The set-samples were also analyzed using XRD
24 which were then compared with the Raman method developed here. Compared to XRD, the Raman
25 method was found to be more sensitive at detecting and quantifying cellulose II. Additionally,
26 several cellulose II containing materials were analyzed by the new Raman method.

27 INTRODUCTION

28
29 Raman spectroscopy is being increasingly used to investigate celluloses and cellulose based
30 materials (Agarwal, 2019; Agarwal et al., 2021a; Agarwal et al., 2021b; Gierlinger et al., 2018;
31 Kanbayashi et al., 2021; Kesari et al., 2020; Morgan et al., 2019). One of the areas of interest
32 where development is urgently needed is the area of cellulose supramolecular structure
33 characterization which is of importance in many areas of bioeconomy R & D, e.g., biofuels
34 (Callegari et al., 2020) and nanocellulose based materials (Foster et al., 2018). The characterization
35 is needed to understand how different process conditions affect the supramolecular structure of
36 cellulose. Towards that objective, Raman spectroscopy has already made several significant
37 contributions. For instance, methods based on three different Raman peaks – 1481, 380, and 93
38 cm^{-1} , have been developed to estimate cellulose crystallinity (respectively, Schenzel et al., 2005;
39 Agarwal et al., 2010; Agarwal et al., 2018). Similarly, Raman methods to measure other
40 characteristics of the structure, such as accessibility to water (Agarwal et al., 2016; Foster et al.,
41 2018), degree of lateral order (DOLO) (Agarwal et al., 2016), exocyclic C6 CH_2OH conformation
42 (Agarwal et al., 2018; Agarwal et al., 2021b), and chain conformational disorder (CCONDIS)
43 (Agarwal et al., 2021b) have been established so that cellulose materials can be better characterized
44 and understood. Considering that there is a lack of existing methods to define the supramolecular
45 structure of cellulose, the contribution of Raman spectroscopy is a welcome development.

46 Cellulose can exist in various crystalline forms and therefore, is a polymorphic material.
47 There are four distinct known forms - cellulose I, cellulose II, cellulose III, and cellulose IV,
48 although the existence of cellulose IV is still debated (Wada et al., 2004; Wada et al., 2006). For
49 instance, cellulose IV_I and cellulose IV_{II} are, respectively, considered disordered forms of
50 cellulose I and cellulose II, or in the case of cellulose IV_{II}, as a mixture of cellulose I and
51 cellulose II (Wada et al., 2004). Moreover, for cellulose I, two distinct crystalline forms have
52 been proposed – I α and I β (Atalla & VanderHart, 1984). Cellulose II (also referred to as
53 regenerated and mercerized cellulose) is the other most often used polymorph. The regenerated
54 form is produced by dissolution - e.g., from ionic liquids (Sixta et al., 2015) and the mercerized
55 form is produced from NaOH-swelled cellulose (Porro et al., 2007). The latter is used in viscose
56 rayon manufacture in the textile and fiber industries (Kumar and Christopher, 2017). Cellulose
57 II is also formed during many laboratory and industrial processes; e.g., cellulose nanocrystal
58 production during sulfuric acid hydrolysis of wood-pulps (Xing et al., 2018), in the
59 manufacturing of dissolving pulps (Chen et al., 2016), and in the production of regenerated
60 cellulose for use in textile fibers (Sixta et al., 2015). Mercerization to produce cellulose II is
61 used in the textile industry to help natural fibers gain shine, dyeability, and strength. Moreover,
62 the same process is used as an activation step for many industrial products such as cellulose
63 derivatives, regenerated fibers, and cellulose-based sponges. From a structural point of view,
64 cellulose I and cellulose II polymorphs differ not only in that their H-bonds are different, but
65 also conformations of the chains and the local exocyclic C₆ hydroxyl groups are different (Atalla,
66 1976, 1983, 1989). Additionally, contrary to cellulose I where the chains have parallel
67 orientation, in cellulose II, the chains are stacked with opposite polarity, also called an
68 antiparallel structure (Langan et al., 2001).

69 Because the properties of the cellulose II polymorph are different from those of cellulose I
70 (Sixta et al., 2015), the characterization of the former is essential. For that purpose, some of the
71 often-used methods consist of X-ray, NMR and Raman spectroscopy (Nam et al., 2016; Nishiyama
72 et al., 2000; NMR : Atalla et al., 1980; Haslinger et al., 2019; Atalla, 1976; Schenzel and Fischer
73 2001). Amongst these techniques, XRD has been used the most to detect and obtain information
74 on cellulose II (Zugenmaier, 2010). However, in materials where both cellulose I and cellulose II
75 polymorphs are simultaneously present, typically, in most methods, such analyses are based on the
76 separation of cellulose II contributions from the contributions of cellulose I. Therefore, the
77 analyses remain somewhat subjective, especially when there is significant overlap between the
78 contributions.

79 Traditional Raman spectroscopy has been applied for a long time in the analysis of
80 cellulose materials, but due to sample-fluorescence contributions to the spectra, it was not until
81 FT-Raman spectroscopy became available that high signal-to-noise spectra could be obtained
82 (Agarwal 1997, 1999; Schenzel and Fischer, 2001). The first FT-Raman study of the
83 transformation of cellulose I to cellulose II polymorph was reported in 2001 (Schenzel and Fischer
84 2001). Subsequently, in 2009, a multivariate calibration model based on FT Raman spectroscopy
85 was developed to quantify cellulose I to cellulose II polymorphic transformation (Schenzel et al.,
86 2009). However, this chemometric method is somewhat involved and not very user-friendly. Our
87 intent was to develop a simple and more convenient Raman method. Previously, Agarwal
88 (Agarwal, 2014) had compared the FT-Raman spectra of the three cellulose polymorphs (cellulose
89 I, cellulose II, and cellulose III) and noted spectral differences between them in the conformation
90 sensitive region ($< 1500 \text{ cm}^{-1}$). Based on that information, cellulose I and cellulose II can be

91 distinguished easily. In particular, the existence of a band at 577 cm^{-1} in the spectrum of cellulose
92 II can be seen which is not detected in the spectra of cellulose I and amorphous cellulose.

93 In many materials amorphous cellulose is also present along with the cellulose I and
94 cellulose II polymorphs. It is critical that spectral features that are unique to cellulose II which do
95 not overlap with the other Raman peaks in the spectrum of amorphous cellulose be used in its
96 quantitation. Therefore, in this study, detection and quantitation of cellulose II was carried out
97 using this 577 cm^{-1} band.

98

99 MATERIALS AND METHODS

100

101 **Chemicals and materials.** Avicel PH-101 (cellulose I) and Avicel-C (cellulose II) were obtained
102 from American Viscose Division and Chemical Division of FMC Corporation, Newark, Delaware.
103 Amorphous cellulose was generated by grinding, for 120 min, Avicel PH-101 in a vibratory mill
104 using steel balls (Agarwal et al., 2010) and the milling was conducted in a cold room ($5\text{ }^{\circ}\text{C}$). All
105 other chemicals and reagents, unless stated otherwise, were purchased from Sigma-Aldrich (St.
106 Louis, MO). Softwood bleached kraft pulp was from NewPage Corporation, Miamisburg, Ohio.
107 Rayon-grade dissolving wood pulp used in the production of cellulose nanocrystals (CNCs) was
108 obtained from Rayonier Mill in Jesup, GA.

109 **Cellulose II preparation.** Cellulose II was produced by mercerization following the method of
110 Hirota (Hirota et al., 2010) with slight modification. The method consisted of soaking the Avicel
111 sample (10 g) in 20% NaOH solution for 24 hrs while stirring. The material developed a pale-
112 yellow color. After 24 hours, the mixture was filtered through a sintered glass funnel. The NaOH

113 solution filtered very slowly. A dilute HCl solution of ethanol/water (40%) was used for one rinse
 114 to bring the pH under 7. This was followed by additional washing with ethanol/water. The material
 115 was vacuum-dried at 50 °C before further use.

116 **Calibration set samples.** Cellulose mixtures with cellulose II compositions in the range of 5 –
 117 80% were produced using different mass fractions of Avicel PH-101 (cellulose I called Avicel I)
 118 and mercerized Avicel-PH-101 (cellulose II called Avicel II). In each case, a total mixture mass
 119 of 500 mg was produced (Table 1). This group of five samples (mixtures 1–5, Table 1) along with
 120 the Avicel I and Avicel II samples were classified as a calibration set for the cellulose II
 121 quantitation analysis. The crystallinity of the Avicel II sample was determined using XRD and the
 122 method of Nam (Nam et al., 2016) to be 78.8%. The X-ray peaks at 21.7° and 16° 2θ, respectively,
 123 were used for the crystalline and amorphous contributions.

124

Table 1: Compositions of calibration set samples

Sample	Mass ratio, mg Avicel I:Avicel II	Avicel II, %	Calculated crystalline cellulose II, %*	Model-based cellulose II, %	% Error**
Avicel I	500:0	0	0	3.3	NA***
Mixture 1	475:25	5	3.94	5.2	32.0
Mixture 2	450:50	10	7.88	5.6	28.9
Mixture 3	400:100	20	15.8	14.5	8.2
Mixture 4	300:200	40	31.5	27.7	12.1
Mixture 5	100:400	80	63.0	62.7	0.5
Avicel II	0:500	100	78.8	78.6	0.3

*Based on XRD crystallinity of Avicel II (78.8%); **%Error calculated from the difference between model-based cellulose II and theoretical crystalline cellulose II amounts; ***NA, not applicable

125

126 **Cellulose nanocrystals preparation.** A procedure published earlier (Reiner and Rudie, 2013) was
 127 used to produce CNCs. Briefly, dissolving pulp was treated with sulfuric acid (64 wt%) and heated

128 to 45 °C for 90 minutes. The reaction was then quenched by transferring the suspension into a
129 reactor containing a large amount of water. The suspension was further diluted. The CNC
130 suspension is then neutralized by the slow addition of 5 – 8 wt% NaOH. The CNC solution is
131 allowed to settle and is decanted. Upon further dilution, as the sodium sulfate concentration drops
132 to about 1 wt%, the CNC particles begin to disperse in the solution. This aqueous suspension is
133 then transferred to the ultrafiltration system for further purification. The CNCs are circulated
134 through a tubular ultrafiltration system (Membrane Specialists, A19 modules), where the dilute
135 salt/sugar solution passes through the membrane while CNCs are retained. Reverse osmosis (RO)
136 water is added as needed to maintain the CNC concentrate at 1 wt%. Dialysis filtration is continued
137 until the residual salt concentration is reduced to about 8 μM , (measured as 40–50 $\mu\text{S}/\text{cm}^2$). This
138 step requires about 24 hours of dilution and filtration. The colloidal CNC suspension is filtered
139 using a 20 μm polypropylene, cartridge-style filter to remove dirt and concentrated to at least 5
140 wt% solids using the tubular ultrafiltration system. The overall yield is about 50 wt%.

141 **Alkali treatment of pulp.** In a Berghof reactor (Berghof Inc., Eningen, Germany), air dried
142 softwood bleached kraft pulp (SWBKP) (300 mg) was added to 40 mL of 5 or 10% NaOH solution
143 and treated at 170 °C for 90 min. Post-treatment, the reactor was cooled by placing the vessel in a
144 cold-water bath. The pulps were filtered through sintered glass funnels and were copiously washed
145 with RO water until the filtered water was of neutral pH. Subsequently, the pulps were air dried
146 at 25 °C.

147 **Analysis by Raman spectroscopy.** The calibration set and other samples were analyzed with a
148 Bruker MultiRam FT-Raman spectrometer (Bruker Instruments Inc., Billerica, Massachusetts).
149 This Raman system is equipped with a 1,064-nm 1,000-mW continuous wave (CW) diode-pumped
150 Nd:YAG laser. Approximately 100 mg of each sample was pressed into a pellet with the help of a

151 hydraulic press. To make a pellet, a compressive pressure of $276 \times 10^6 \text{ dyn/cm}^2$ was applied.
152 Spectra were obtained in triplicate. The laser power used for sample excitation was 600 mW, and
153 1,024 scans were accumulated. In all cases, Bruker OPUS 7.2 software was used to process the
154 spectral data, these operations involved normalization, selection of a spectral region, background
155 correction, and band integration. Background correction was performed using a 64 points OPUS
156 “rubberband option”. For plotting purposes, the spectra were converted to ASCII format and
157 exported to Excel.

158 Using FT-Raman spectroscopy, a cellulose II quantitation method was developed based
159 upon the ratios of peak intensities at 577 (cellulose II band) and 1096 cm^{-1} (band due to both
160 cellulose I and cellulose II). The ratios were plotted against the calculated % cellulose II, shown
161 in Table 1. For calculating the peak heights, the following procedures were used. For both the
162 bands, 577 and 1096 cm^{-1} , horizontal baselines were drawn from, respectively, 590 and 944 cm^{-1}
163 and the peak heights were measured. For the ratios I_{577}/I_{1096} , the standard deviations (SDs) were <
164 2.5% except for mixtures 2 and 3 where the SDs were 6 and 10%, respectively. .

165 **Analysis by X-ray.** Wide-angle X-ray diffraction (XRD) profiles were recorded at the Materials
166 Research Science and Engineering Center, University of Wisconsin, Madison using a Bruker
167 (Billerica, MA) D8 Discover diffractometer in reflection mode with 0.5 mm beam aperture and
168 equipped with monochromatic $\text{CuK}\alpha$ ($k = 1.5418 \text{ \AA}$) point source and a $\text{V}\text{\AA}$ NTEC-500
169 detector. The X-ray diffractometer beam aperture of 0.5 mm was used. In all cases, diffractograms
170 were obtained on the same samples (in pellet form) that were previously analyzed by FT-Raman
171 spectroscopy.

172 . The crystallinity of the cellulose II sample (Avicel II) was determined using the XRD
173 method of Nam (Nam et al., 2016). The X-ray peaks at 21.7° and $16^\circ 2\theta$ representing, respectively,
174 crystalline and amorphous contributions were used. For each of the calibration set samples (Table
175 1), using the Avicel II crystallinity data (78.8%, Table 1), the following Eq. was used to calculate
176 the “calculated crystalline cellulose II” content. This value represented the actual amount of
177 crystalline cellulose II present in the samples.

$$178 \quad \% \text{ Calculated crystalline cellulose II} = \text{Crystallinity of Avicel II} * \\ 179 \quad (\% \text{ Avicel II}) \quad (1)$$

180 Where “% Avicel II” is the mass of Avicel II present in each of the samples (Table 1).

181

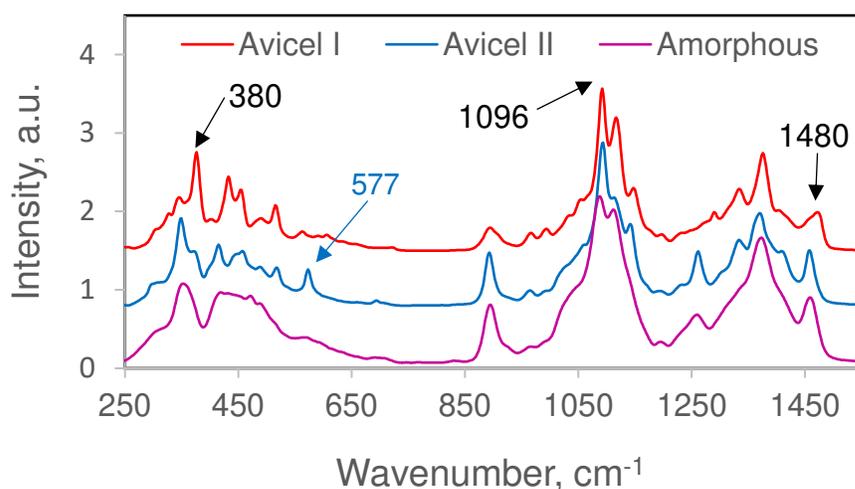
182 RESULTS AND DISCUSSION

183

184 The compositions of various calibration set samples are shown in Table 1. In total, there are seven
185 samples including two samples of the “pure” cellulose polymorphs (Avicel I and Avicel II),
186 because the two polymorphs are not 100% crystalline, they do contain some amorphous/disordered
187 cellulose. For Avicel I and Avicel II, the Segal crystallinities (Segal et al., 1959) were, respectively,
188 87.5 (Agarwal et al., 2016) and 78.8% (Table 1).

189 The Raman spectra, in the region $250 - 1550 \text{ cm}^{-1}$, of Avicel I, Avicel II, and amorphous
190 Avicel are shown in Fig. 1 and the spectral band positions are compared in Table 2. The
191 assignments of the bands to various internal coordinates are from literature (Wiley and Atalla,
192 1987; Edwards et al., 1997) but it should be noted that most of the cellulose vibrational modes are

193 highly coupled (Wiley and Atalla, 1987) and therefore, the assignments are approximate. From the
194 spectra and the Table, it can be noted that the mercerization treatment caused formation of cellulose
195 II which leads to the appearance of several new spectral features (e.g., peaks at 353, 577, and 1463
196 cm^{-1}) and significant changes in the intensities or profiles of many Raman bands (e.g., 900 and
197 1263 cm^{-1}) all implying that molecular conformation in cellulose II is different from that of
198 cellulose I (Atalla 1976, 1983, 1989; Schenzel and Fischer 2001; Wiley and Atalla, 1987). Of the
199 many bands characterizing this polymorph (Table 2), the 577 cm^{-1} band is unique because it does
200 not occur in the Raman spectra of either cellulose I or amorphous cellulose (Table 2, Fig. 1).
201 Therefore, this cellulose II Raman band was selected to develop the calibration method reported
202 here.



203
204 Figure 1: Raman spectra, in the region $250 - 1550 \text{ cm}^{-1}$, of Avicel I, Avicel II, and amorphous
205 cellulose. Except for the 577 cm^{-1} band, all other annotated bands are in the spectrum of Avicel I.

206
207
208

Table 2: Raman frequencies (250 – 1550 cm⁻¹) of cellulose I, cellulose II, and amorphous cellulose

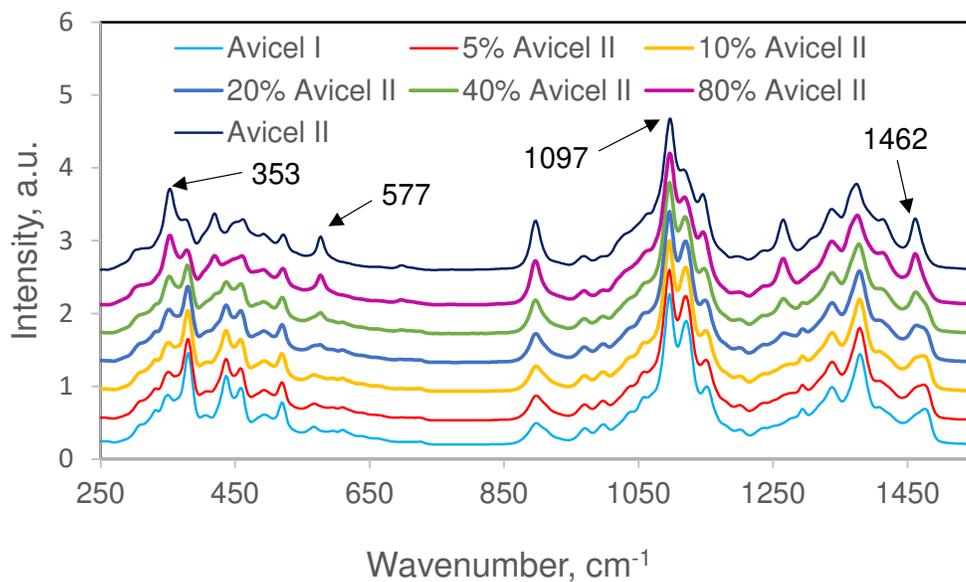
Avicel I	Avicel II	Avicel Amorphous	Assignments* (Wiley and Atalla, 1987; Edwards et al., 1997)
—**	308(sh)	308(sh)	$\tau(\text{COH})$
331(sh)***	—	—	Heavy atom bending; ring twisting
348(w)	353(m)	356(m)	some heavy atom stretching;
381(m)	376(sh)	—	some heavy atom stretching; $\delta(\text{CCC})$ ring
406 (vw)	—	—	—
—	419(m)	423(w)	—
437(m)	450(sh)	436(sh)	some heavy atom stretching; $\nu(\text{CCO})$ ring
459(m)	461(m)	—	some heavy atom stretching; $\nu(\text{CCO})$ ring
—	—	475(w)	some heavy atom stretching; $\nu(\text{CCO})$ ring
492(w)	492(w)	492(sh)	some heavy atom stretching; $\nu(\text{CCO})$ glycosidic
520(m)	522(m)	568(sh)	some heavy atom stretching; $\nu(\text{CCO})$ ring glycosidic
567(w)	—	—	some heavy atom stretching
—	577(m)	—	some heavy atom stretching
595(vw)	—	—	$\delta(\text{CCH})$ twisting
610(vw)	—	—	$\delta(\text{CCH})$ twisting
725 (vw)	—	—	—
898(m)	—	—	$\nu(\text{COC})$ in plane symmetric
912(sh)	—	—	HCC and HCO bending at C-6; $\nu(\text{COC})$ in plane sym.
971(w)	969(w)	970(vw)	heavy atom (CC and CO); $\rho(\text{CH}_2)$
997(w)	1000(sh)	—	Stretching, C-C and C-O; $\rho(\text{CH}_2)$
—	1028(sh)	—	Stretching, C-C and C-O; $\nu(\text{CO})$
1037(sh)	—	—	Stretching, C-C and C-O; $\nu(\text{CO})$ primary OH
—	—	1048(sh)	Stretching, C-C and C-O; $\nu(\text{CO})$
1059(sh)	1061(sh)	—	Stretching, C-C and C-O; $\nu(\text{CO})$ secondary OH
1096(s),	1097(s)	1092(s)	Stretching, C-C and C-O; $\nu(\text{COC})$ glycosidic asym.
1121(s)	1117(sh)	1116(s)	Stretching, C-C and C-O; $\nu(\text{COC})$ in plane sym.
1152(m)	1146(m)	—	heavy atom stretching plus, HCC and HCO bending; $\nu(\text{CC})$ ring breathing asym.
1201(vw)	1197(vw)	1199(vw)	$\delta(\text{COH})$; $\delta(\text{CCH})$
1237(vw)	1237(vw)	—	$\delta(\text{COH})$ out of plane
—	1265(m)	1263(m)	HCC and HCO bending; $\delta(\text{CH}_2)$; $\delta(\text{CH}_2)$ twisting
1294(m)	—	—	HCC and HCO bending; $\delta(\text{CH}_2)$ twisting
1339(m)	1338(m)	—	HCC, HCO, and HOC bending; $\delta(\text{CH}_2)$
1380(m)	1374(m)	1377(s)	HCC, HCO, and HOC bending; $\delta(\text{CH}_2)$
1409(sh)	1413(sh)	—	HCC, HCO, and HOC bending; $\delta(\text{CH}_2)$
1463(sh)	1462(m)	1463(m)	HCH and HOC bending; $\delta(\text{CH}_2)$ scissors
1476(m)	—	—	HCH and HOC bending; $\delta(\text{CH}_2)$ scissors

* ν = stretching, δ = in plane scissoring, ρ = in plane rocking, τ = out of plane twisting

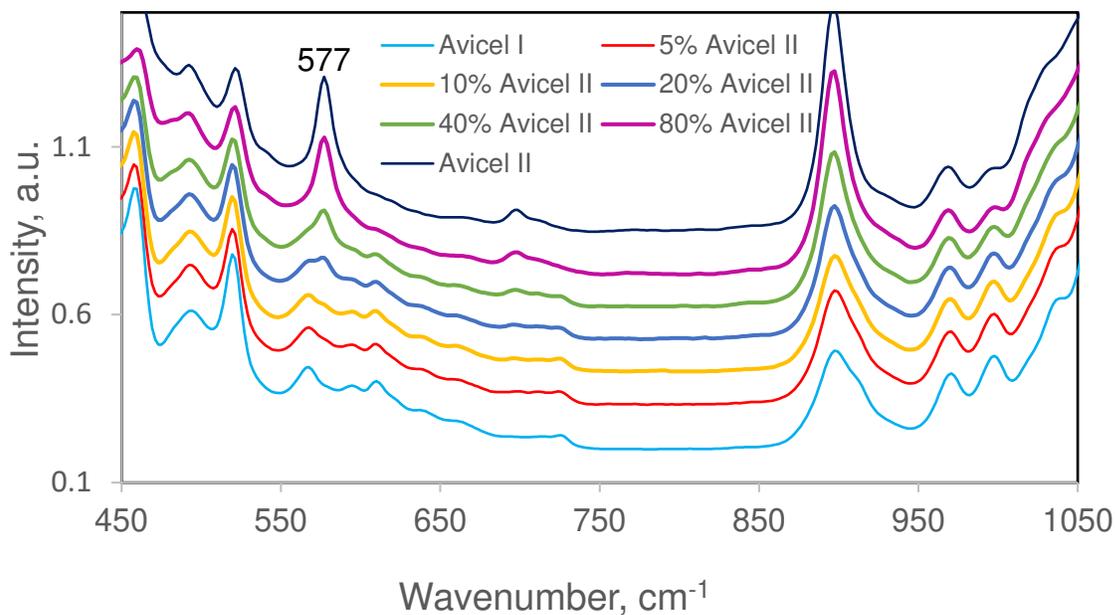
**“—” No band present near the wavenumber position(s) of the band(s) in other columns.

***Relative band intensities in a spectrum are indicated by s = strong, m = medium, w = weak, vw = very weak, and sh = shoulder.

209
210
211 Raman spectra of the two polymorphs and the five mixtures (Table 1) are shown in Figs.
212 2 and 3. The plotted spectra were normalized on the cellulose band at 1096 cm^{-1} . In Fig. 2,
213 spectra are shown in the spectral region $250 - 1550\text{ cm}^{-1}$, whereas in Fig. 3, the expanded spectra
214 in the 577 cm^{-1} region are shown so that this band can be better visualized. In the spectra of the
215 calibration set samples, band profiles and intensities changed in the entire $250 - 1550\text{ cm}^{-1}$
216 region. For instance, band intensities increased at $353, 577, 900, 1265,$ and 1462 cm^{-1} , these
217 being spectral positions where cellulose II contributes in Raman spectroscopy (Agarwal, 2014;
218 Schenzel and Fisher, 2001). As Avicel II content increased in the samples so did the band
219 intensity at 577 cm^{-1} (Figs. 2 and 3). The Raman intensity ratios I_{577}/I_{1096} were calculated and the
220 ratios were plotted against the % crystalline cellulose II present in the seven calibration set
221 samples (Figure 4). The ratios were found to be linearly correlated with the amounts of cellulose
222 II (Fig. 2, $R^2 = 0.9944$).

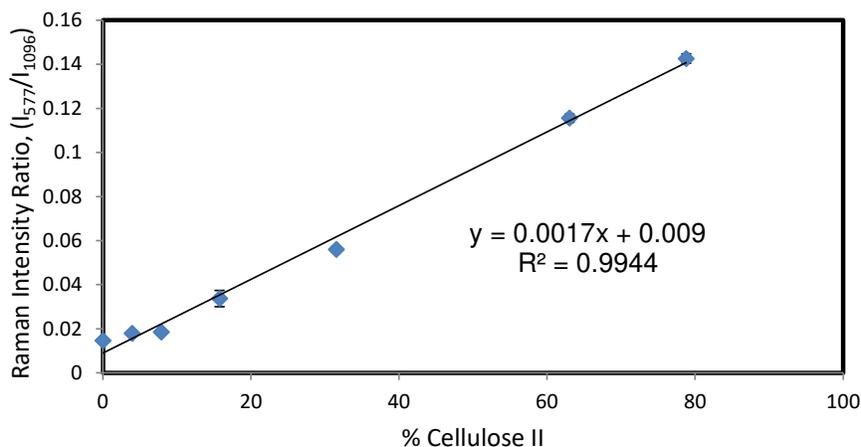


223
 224 Figure 2: 250 – 1550 cm^{-1} region Raman spectra of Avicel I, Avicel II, and mixtures of Avicel I
 225 and Avicel II. The annotated band positions are of Avicel II.



226
 227 Figure 3: Expanded Raman spectra showing contributions at 577 cm^{-1} of the seven calibration
 228 set samples.

229



230

231 Figure 4: Correlation between Raman intensity ratio I_{577}/I_{1096} and crystalline cellulose II content
232 of the calibration set samples. In most cases, the SD was < 2.5%.

233

234 Therefore, from the Raman spectrum of a sample that contains cellulose II polymorph, the
235 following Eq. can be used to estimate the amount of crystalline cellulose II.

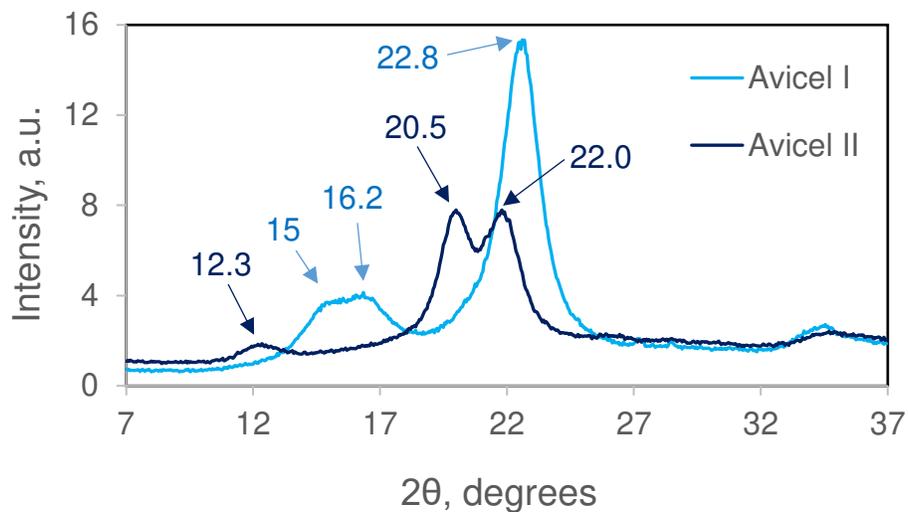
236
$$\% \text{ Cellulose II} = [(I_{577}/I_{1096}) - 0.009]/0.0017 \quad (2)$$

237 The model-calculated values of all the samples are shown in Table 1 and it can be noted
238 that compared to higher cellulose II concentrations, at low concentrations the error increases
239 (Table 1). This larger error is because not only the 577 cm^{-1} peak of cellulose II becomes weaker
240 but also because there is an increased cellulose I spectral contribution present at 577 cm^{-1} from the
241 decaying wing of the nearby cellulose I band at 567 cm^{-1} (Fig. 3, Table 2). The effect of this overlap
242 is evident in Table 1 where, in the absence of cellulose II, pure cellulose I sample still gives a value
243 of 3.3% cellulose II. As the content of cellulose I relative to cellulose II declines, the 577 cm^{-1}
244 contribution due to the former also declines and consequently, a better match between the
245 theoretical and the model data is obtained (Table 1).

246 Comparison with XRD

247 To determine the usefulness of the Raman cellulose II quantitation method, it was
248 compared with the XRD. In the latter technique, cellulose II is detected using the diffraction
249 peaks present at 12.3, 20.5, and 22.0° 2θ (Fig. 5). Fig. 5 also shows the diffraction peaks of Avicel
250 I and from the two XRDs, it can be noted that the cellulose II and cellulose I peaks, respectively,
251 at 22 and 22.8° 2θ overlap significantly. Moreover, the lower-2θ side decaying wing of the 22.8°
252 cellulose I peak has some contribution in the region 20.5° 2θ where the maximum of cellulose II
253 peak is located (Fig. 5).

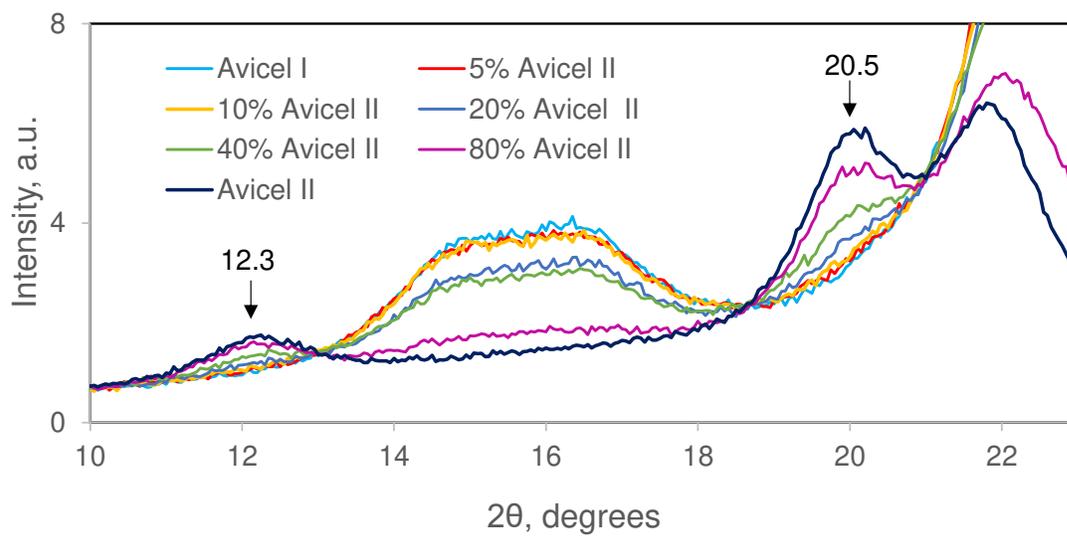
254 Shown in Fig. 6 are the XRDs of the calibration set samples and it can be seen that although
255 at high Avicel II concentrations its diffraction peaks can be seen, none of the cellulose II peaks are
256 detectable below 20 wt% concentration (Fig. 6). Compared to the 12.3° 2θ contribution, the peak
257 at 20.5° is more easily detected although at 20 wt% composition the latter is still detected only as
258 a weak shoulder. This is because the higher-2θ diffraction peak is more intense compared to the
259 peak at 12.3° (Fig. 5). Nevertheless, as the concentration of Avicel II declines and the
260 concentration of Avicel I increases, the contribution of the latter at 20.5° 2θ increases and the
261 contribution of the former becomes unnoticeable. This observation is supported by a study that
262 reported results of X-ray analysis of the blends of control and mercerized cotton fibers with
263 various compositions (Nam et al., 2016). Likewise, in that study, at 20 wt% concentration of
264 mercerized cotton fibers (cellulose II), the two diffraction peaks of the cellulose II polymorph
265 could hardly be detected. When this detection limitation of 20 wt% in XRD is compared with
266 Raman spectroscopy, the latter was found to be more sensitive because even at 5 wt% Avicel II
267 composition, the spectral contribution at 577 cm⁻¹ could be detected and measured (Figs. 3 and 4).



268

269 Figure 5: XRDs of Avicel I and Avicel II samples.

270



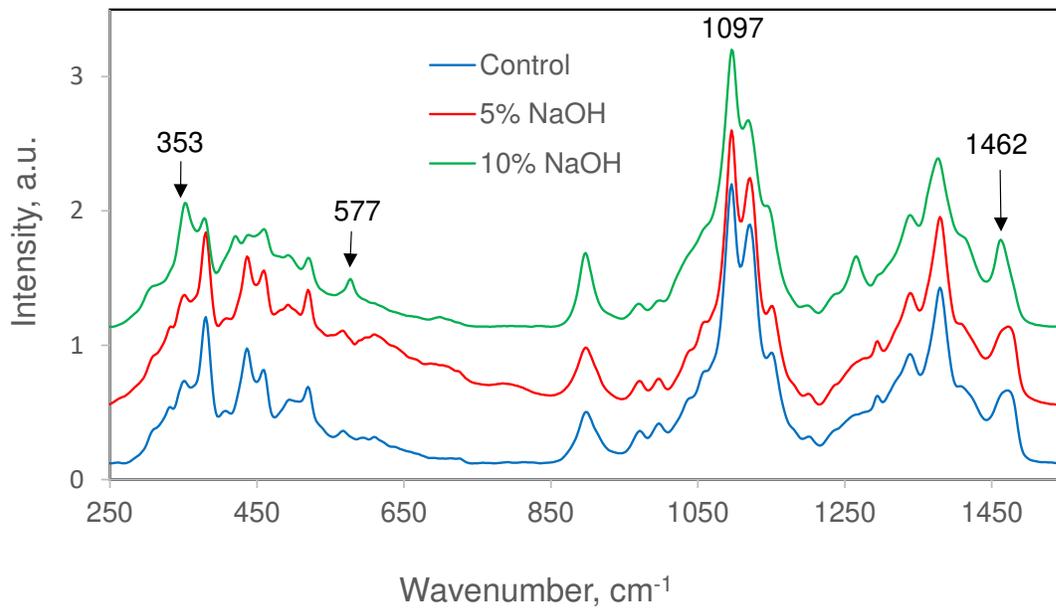
271

272 Fig. 6: XRDs of the calibration set samples

273

274 Applications

275 Next, we will examine some situations where in the materials either only cellulose II is
276 present or both cellulose I and cellulose II coexist. Additionally, amorphous cellulose is usually
277 present in various materials unless special treatment has been carried out (e.g., acid hydrolysis).
278 The first of the four materials discussed here (Table 2), is bleached softwood kraft pulp
279 (SWBKP) which, to remove hemicelluloses, was treated at 170 °C, at two NaOH concentrations
280 – 5% and 10%. While the lower alkali concentration did not impact the crystal lattice of the pulp
281 fibers, treatment by the 10% alkali partially converted the pulp fibers to cellulose II type (Fig. 7).
282 In Fig. 7, Raman spectra, in the region 250 – 1550 cm⁻¹, of control and alkali-treated pulps are
283 shown, and it can be noted that only the pulp treated with 10% alkali showed bands at 353 and
284 577 cm⁻¹ implying that cellulose II had formed. The amount present was calculated using Eq. 2
285 and was found to be 35.5% as reported in Table 2. Moreover, the presence of a 380 cm⁻¹ peak in
286 the spectrum of 10% NaOH treated pulp (Fig. 7) indicated that a significant amount of cellulose I
287 survived the transformation to cellulose II.



288

289 Fig. 7: Raman spectra of untreated (control) and alkali-treated (5% and 10% NaOH) softwood
 290 bleached kraft pulps.

291 Similarly, dissolving pulp and the CNCs produced from it using 64% H₂SO₄ were
 292 analyzed using Raman spectroscopy (Fig. 8). Both these materials were found to contain
 293 cellulose II (Table 2), although their concentration of cellulose II varied significantly. Compared
 294 to the CNCs, the dissolving pulp contained ~ 2.5 times cellulose II (Table 2). This difference
 295 indicated that the acid hydrolysis was partly successful in removing cellulose II from the
 296 substrate.

297

298

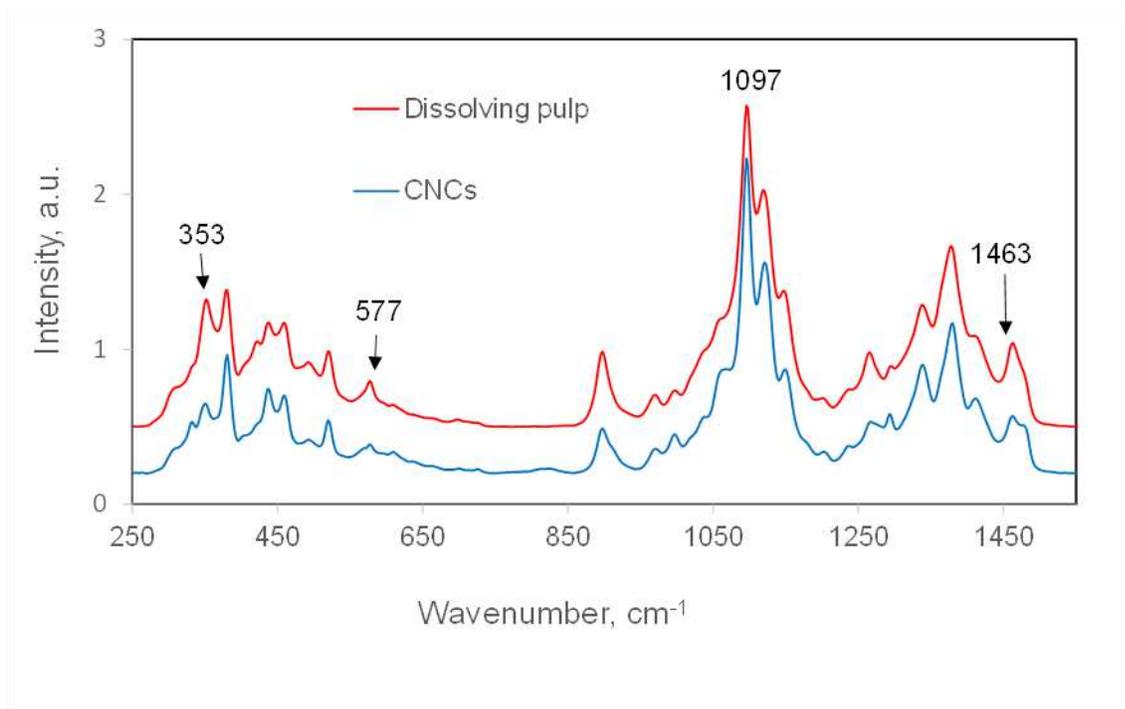
299

Table 2: Estimated amount of cellulose II

Sample	Cellulose II, %
10% NaOH-treated Kraft pulp	35.5
Dissolving pulp (DP)	35.2
DP CNCs	13.6
FMC Avicel C	90.0

300

301



302

303 Fig. 8: Comparison of Raman spectra of dissolving pulp and CNCs.

304

305 Lastly, a commercial product, Avicel C, was analyzed and was found to consist of 90%

306 cellulose II (Table 2). Although its spectrum is not shown here, it contained all of the spectral

307 features of cellulose II (Table 1) including the peaks due to the H-bonded OH groups at 3445 and
308 3490 cm^{-1} (Šturcová et al., 2003).

309 In summary, the calibration method developed here appears to be a reliable method for
310 quantifying the amount of cellulose II present in the materials. The Raman method presented in
311 this study is more sensitive than the XRD which only detects the crystallinity of cellulose II.

312 CONCLUSIONS

313
314 FT Raman spectra and X-ray diffraction of cellulose II-containing materials were obtained. Compared to
315 the XRD data, the 577 cm^{-1} Raman peak method was found to be more sensitive for detecting cellulose II.
316 Additionally, this band was used to develop a Raman quantitation method using a set of samples that
317 varied in their cellulose II content. When the ratios of this peak intensity to that of the 1096 cm^{-1} band
318 I_{577}/I_{1096} were correlated with the crystalline cellulose II present in the samples, an excellent correlation
319 was obtained ($R^2 = 0.9944$). Additionally, this Raman correlation was used to obtain the amount of
320 cellulose II present. It is proposed that the Raman method has the potential to estimate the amount of
321 cellulose II polymorph in various cellulose products.

322 Ethics Declarations

323

324 **Funding:** Not applicable

325 **Conflicts of interest/Competing interests:** There are no conflicts of interest to declare.

326 **Availability of data and material:** Data and materials are available

327 **Code availability:** Not applicable

328 **Authors' contributions:** All the authors contributed to this manuscript. UPA conceived and designed the
329 study, carried out many of the Raman experiments, analyzed data and wrote the manuscript. SAR carried
330 out numerous experiments and prepared cellulose II and amorphous cellulose; CB obtained the XRDs and
331 performed data analysis; RSR prepared the cellulose nanocrystals from dissolving pulp.

332 **Ethics approval:** The article does not contain any experiments with human participants or animals
333 performed by any of the authors.

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