

# Concerned or not? Investigating determinants of climate change concerns among Saudi Arabian farmers and their adaptation behavior

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## Research Article

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1 **Concerned or not? Investigating determinants of climate change**  
2 **concerns among Saudi farmers and their adaptation behavior**

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## 42 **Abstract**

43 Concern for a particular stimulus constitutes a major driver to mitigate its negative  
44 effects. This study was undertaken to know the level of concern for climate change among Saudi  
45 farmers in Jazan province and to evaluate the role of various socioeconomic indicators in relation  
46 to their concern level. Moreover, an account of farmers' beliefs about climate change and  
47 capacity building initiatives needed to address this issue at the community level is also presented.  
48 Field level data collected through a face-to-face survey using a structured questionnaire were  
49 utilized to draw insights. The ordered logit model was used to find out the determinants for the  
50 level of concern for climate change among respondent farmers regarding three top concern  
51 categories. Farmers ranked insect infestation, higher incidence of crop diseases, and drought as  
52 the first, second and third, respectively, as top climate change concerns in the study area. Results  
53 of the ordered logit model showed that higher farmer income significantly reduces their level of  
54 concern for the first two categories of concern while it has a positive influence on concern for  
55 drought. Access to credit and information has a mixed impact on the farmers' concern level.  
56 Farmer's age, education, perceived source of climate change, and perceived changes in  
57 temperature and rainfall have a mixed impact on the top three climate change-related concerns.  
58 The findings support the provision of timely warning, capacity building of the farmers and  
59 personnel, credit provision, improvement of rural infrastructure, and creating awareness among  
60 farmers to address particular climate change-related concerns.

61 **Keywords:** climate change, concern, indicator, Saudi Arabia; adaptation.

## 62 **1. Introduction**

63 Climate change is a serious global issue with implications for every domain of human life  
64 (IPCC 2018, UNESCO 2020, WMO 2019). The evidence suggests that global warming and a  
65 change in precipitation patterns will be experienced as a result of ongoing change in the climate.  
66 It is expected that the global average temperatures may rise by 1.4–5.8 °C by the end of 2100  
67 (DeNicola et al. 2015). Shifts in seasonal water availability throughout the year are likely to be  
68 induced across different regions (IPCC 2014). It is also predicted that the frequency and intensity  
69 of extreme weather events like drought and flooding will also increase (Asadieh & Krakauer 2017,  
70 Hirabayashi et al. 2013).

71 Such predicted impacts due to climate change threaten global agricultural systems and food  
72 security on a fundamental level (Sillmann & Roeckner 2008, UNESCO 2020, Zhang et al. 2017).  
73 The extent and productivity of both rain-fed and irrigated agriculture will be affected. A greater  
74 proportion of the population will experience the potential negative impacts of the climate change,  
75 and in many regions, there will be a decrease in crop productivity (Du et al. 2015, Gosling & Arnell  
76 2016, Mancosu et al. 2015). Projections suggest that at a temperature increase of 2°C, around 540–  
77 590 million people will be undernourished (WHO 2018). Some regions of the world could lose up

78 to 6% of their national Gross Domestic Product (GDP) due to climate-induced water scarcity  
79 (FAO 2018). The regions that are already vulnerable to food insecurity and rural poverty will be  
80 the most adversely affected (Vermeulen et al. 2012).

81 Saudi Arabia is one of the largest countries with an arid climate (Al Zawad & Aksakal  
82 2010, DeNicola et al. 2015). In some areas, temperatures can rise above 50 °C (DeNicola et al.  
83 2015). A study estimated that there was a 1.9 °C increase in average temperature over the last 50  
84 years in the Kingdom (Haque & Khan 2020). The rate of increase was higher (0.72 °C per decade)  
85 in the dry season as compared to the wet season (0.51 °C per decade) (Almazroui et al. 2012).  
86 Several studies predict that the average temperature in the Kingdom can further elevate 2 to 4 °C  
87 by the end of 2100 as a result of climate change (Chowdhury & Al-Zahrani 2013, Gosling et al.  
88 2011, Williams et al. 2012).

89 Rainfall in Saudi Arabia is extremely limited. Across the country, the long-term average  
90 precipitation is about 100 mm per annum. In the south of the country, it falls below 100 mm while  
91 in the north, it varies between 100 to 200 mm per annum. In the western part, however, rainfall  
92 can even rise up to 500 mm annually (DeNicola et al. 2015). A significant change in rainfall has  
93 not been observed over the last 50 years (Haque & Khan 2020). However, future rainfall  
94 projections suggest a decrease in rainfall in many parts of the Kingdom (Gosling et al. 2011,  
95 Tarawneh & Chowdhury 2018). Intense and frequent precipitation events in Saudi Arabia are  
96 rare (Almazroui et al. 2017). Kingdom lacks recurrent rivers and permanent water bodies. The  
97 United Nations have classified countries of the Gulf Cooperation Council (GCC) as water-scarce  
98 nations (Samad & Bruno 2013). According to Water Resources Institute, 14 out of 33 countries  
99 that are most likely to be water-stressed nations in 2040 would be in the Middle East, and Saudi  
100 Arabia is ranked at 9<sup>th</sup> position (Luo et al. 2015).

101 Due to its arid climate, the Kingdom is highly vulnerable to the negative impacts of  
102 climate change. A 3 to 5 °C increase in temperature would have dire consequences for the  
103 agriculture and other sectors of the economy (Al Zawad & Aksakal 2010, Allbed et al. 2017). Due  
104 to climate change, significant impacts on agriculture have been reported by a number of studies  
105 (Alam et al. 2011, Alkolibi 2002, Allbed et al. 2017). It has been estimated that crop irrigation water  
106 requirements would increase by 602 and 3,122 million cubic meters at increases of 1 and 5 °C,  
107 respectively (Zatari 2011). To maintain the current levels of crop production, global warming  
108 could increase agricultural water demand by about 5 to 15% (Chowdhury & Al-Zahrani 2013).  
109 Lack of water may result in significant yield losses as about 90% of agriculture in the Kingdom is  
110 irrigated (MEWA 2017). The agriculture sector has the largest share of annual water use that is  
111 about 70% (Haque & Khan 2020). A study showed that climate suitability for date palm  
112 production in the Kingdom will be significantly reduced (Allbed et al. 2017). Another study  
113 reported that many farmers observed unusually early date palm blooming in 2010 (Darfaoui  
114 & Assiri 2009). Moreover, global warming will particularly affect the diurnal desert animals by  
115 reshaping their population and distribution in the desert (Williams et al. 2012).

116 Climate change severely affects crop production owing to its sensitivity to variations in  
117 precipitation and temperature. Plant diseases and water shortage resulted in a decline in the total  
118 annual income of date palm growers in the Middle East from 1990 to 2000 (Zaid & Arias-Jimenez  
119 2002). A reduction in food production would increase food prices at the domestic level with  
120 implications for food imports (Nelson et al. 2009). Water scarcity further increases the  
121 vulnerability of the region to the impacts of climate change (Sowers et al. 2011). A recent study  
122 indicates that reduction in crop yields ranges between 5 and 25% with a one-degree Celsius  
123 increase in temperature. The Jazan region has been already experiencing climate change  
124 manifestations in the form of land degradation in coastal areas, rising temperatures, droughts,  
125 soil erosion, altered rainfall patterns, floods, and changes in weed species and distribution (Abd  
126 El-Hamid et al. 2019). In a previous study, Jazan farmers indicated that they are very concerned  
127 about increased drought, floods, and appearance of weeds.

128 Saudi Arabia is one of the countries that are addressing climate change in a serious  
129 manner and putting suitable measures in place (Haque & Khan 2020). One key aspect of various  
130 climate change adaptation and mitigation approaches is that farmers and growers at the  
131 grassroots level are well aware of this global issue and are using sustainable agricultural practices  
132 to effectively address this issue. However, farmers with different socioeconomic characteristics  
133 and life experiences may conceptualize climate change issues in different ways that in turn would  
134 affect their ability to implement appropriate adaptation practices for building resilience against  
135 undesirable climatic impacts.

136 The present study was undertaken with the objective of identifying the major concerns of  
137 the farming community regarding climate change and exploring various determinants that  
138 affect their understanding of climate change. Additionally, an account of farmers' beliefs about  
139 climate change along with the perceived capacity-building measures/initiatives is also  
140 presented. The study findings offer insights into the adaptation behavior of the farmers in  
141 relation to different climatic concerns. The findings may also assist in the design of appropriate  
142 extension interventions to help farmers implement relevant adaptation and mitigation practices  
143 for combating climate change in Saudi Arabia.

144

## 145 **2. Methodology**

### 146 *2.1 Description of the Study Area*

147 Jazan Region is located in the southwest of Saudi Arabia. The area of the region is  
148 approximately around 11,671 sq.km and covers 300 km of the Southern Red Sea coast.  
149 Administratively, Jazan region consists of 16 governorates: Al-Darb, Al-Reath, Beash, Haroob,  
150 Al-Daer, Savya, Al-Idabi, Faifa, Damad, Al-Aridah, Abu Arish, Jazan, Al-Harth, Ahad- Al-  
151 Musrarihah, Samttah, and Al-Twal. The region is characterized by fertile loamy soil. Despite the

152 region representing only 0.7% of the total area of Saudi Arabia, it is one of the richest agricultural  
153 regions and contains approximately 8% of Saudi Arabia's farms (Alotaibi et al. 2020). The majority  
154 of farmers in the region are characterized as smallholder farmers with farms averaging between  
155 1–3 ha in size. It is considered the capital of Saudi Arabia's mango production, with annual  
156 production of around 35,000 mangos from approximately 750,000 trees. The region also produces  
157 sesame, millet, maize, okra, and tomatoes. Annually, rainfall varies from year to year, with an  
158 average of 55–150 mm; the majority of the rainfall has been observed between January and  
159 October. The temperature ranges from 31 to 35 °C in the summer, whereas in the winter range is  
160 from 25 to 28 °C (PME, 2019).

## 161 2.2 Research Design

162 The survey was designed to collect data from the farmers. The survey was developed and  
163 validated by a group of experts including extension agents. The data were collected using face-to  
164 face interviews, as well as by meeting the farmers at extension centers. A total of 200 farmers were  
165 invited to participate in the study; 164 completed and provided full information, resulting in an  
166 82% response rate. Prior to data collection, the purpose of the research project was explained to  
167 all the farmers, and they were assured that the information gathered would only be used for  
168 academic purposes. Moreover, they were informed that it was not compulsory to answer all  
169 questions in the survey.

## 170 2.3. Instrument

171 The questionnaire covered a wide range of issues that related to climate change, including  
172 farmers' background information related to socio-economic status, beliefs, concerns, capacity  
173 building, perceived changes in rainfall patterns, and perceived changes in temperature patterns.  
174 Beliefs and concern items were adopted and modified based on the approach by Arbuckle et al.  
175 2013, and the instrument for perceived changes in rainfall and temperature were adopted from  
176 (Habtemariam et al. 2013).

## 177 2.4 Data Analysis

### 178 Cumulative Frequency

179 To identify the relative importance of sources of concerns, the following formula has been  
180 employed. The cumulative score for each source of concern has been calculated and the sources  
181 have been ranked based on the cumulative score.

$$182 \quad CS = C_1 \times f_1 + C_2 \times f_2 + C_3 \times f_3 + C_4 \times f_4 + C_5 \times f_5 \quad (1)$$

183 CS = Cumulative Score

184 C<sub>1-5</sub> = Categories

185  $f_{1-5}$  = Frequency in respective category

186 Three dominant sources of concerns have been selected from the listed based on the cumulative  
187 score calculated (Eq. 1) and were treated as dependent variables in the study.

188

189

### 190 **Ordered Logit Model**

191 Ordered logit model, also known as the proportional odds model, is an estimation technique  
192 where there is an observed ordinal variable,  $Y$ . There is also an unmeasured latent variable  $y^*$   
193 with various cut points. The general form of the ordered logit model is provided as follow:

$$194 Y = \beta_0 + \beta_i X_i + e_i \quad (2)$$

195 where

196  $Y$  = Concerns related to climate change (not concerned, slightly concerned, concerned, very  
197 concerned)

198  $\beta_0$  = Constant

199  $\beta_i$  = Parameters to be estimated

200  $X_i$  = Observed variables (Socioeconomic factors, perceived causes of climate change, perceived  
201 impacts of climate change and access to credit)

202  $e_i$  = Error Term

203 There are four categories of responses used for the observed ordinal variables in our study:  
204 1 = not concerned, 2 = slightly concerned, 3 = concerned, and 4 = very concerned with three cut  
205 points in each of the equation. The categorical variable  $Y$  has various threshold points; the value  
206 for the observed variable  $Y$  depends on whether one has crossed a threshold. In the present study,  
207 since there are four categories of responses (not concerned, slightly concerned, concerned and,  
208 very concerned), this yields three cut points. The probability of an individual to fall into one of  
209 the four categories is subjected to the following conditions:

210  $Y_i = 1$  (Not Concerned) if  $Y^*_i \leq K_1$

211  $Y_i = 2$  (Slightly Concerned) if  $K_1 \leq Y^*_i \leq K_2$

212  $Y_i = 3$  (Concerned) if  $K_2 \leq Y^*_i \leq K_3$

213  $Y_i = 4$  (Very Concerned) if  $Y^* \leq K_3$

214 Where:

215  $K_1$  = Cut point 1

216  $K_2$  = Cut point 2

217  $K_3$  = Cut point 3

218

219 The probability of each respondent for the four categories has been calculated using the following  
 220 equations:

$$221 \quad P(Y=1) = \frac{1}{1+\exp(Zi-K1)} \quad (3)$$

$$222 \quad P(Y=2) = \frac{1}{1+\exp(Zi-K2)} - \frac{1}{1+\exp(Zi-K1)} \quad (4)$$

$$223 \quad P(Y=3) = \frac{1}{1+\exp(Zi-K3)} - \frac{1}{1+\exp(Zi-K2)} \quad (5)$$

$$224 \quad P(Y=4) = 1 - \frac{1}{1+\exp(Zi-K3)} \quad (6)$$

225  
 226 The ordered logit model was estimated separately for the three dominant sources of concerns  
 227 faced by the agricultural producers in the study area, which were increased insect and pest  
 228 infestation, increased frequency of diseases, and increase in frequency and severity of droughts  
 229 as shown in the following table on the ranking of concerns (Table 1).

230 **Table 1. Ranking of farmers' concerns about climate change impacts**

Concerns	Cumulative Score	Ranking
<i>Increased drought</i>	467	<i>III</i>
Increased flooding	461	<b>VI</b>
Increased appearance of weeds	464	<b>IV</b>
<i>Increased insect pressure</i>	489	<i>I</i>
<i>Higher incidence of crop diseases</i>	473	<i>II</i>
Increased soil erosion	443	<b>VIII</b>
Increased heat stress on crops	463	<b>V</b>
Increased saturated soils and ponded water	452	<b>VII</b>

231  
 232 **4. Results and Discussion**  
 233 This section provides the findings in light of the main objectives of the study as indicated  
 234 in the introduction. The discussion revolves around the farmers' beliefs and concerns about  
 235 climate along with the prioritization of perceived mitigation strategies against such stimuli  
 236 among sampled respondents for countering climate change impacts. The potential role of various  
 237 factors in shaping the concerns of the individuals is further highlighted through the use of the  
 238 ordered logit model in order to reveal their potential impact in shaping such perceptions. The  
 239 first sub-section provides the summary statistics of variables used in the study along with ranking  
 240 of beliefs and perceived/implemented strategies, while the second sub-section highlights the role  
 241 of these factors in shaping the concerns of the sampled respondents.

242 Table 2 indicates that the majority of the sampled respondents (44.5%) were concerned  
 243 regarding increased insect infestation due to climate change, followed by 29.3 percent who were  
 244 very concerned regarding increased insect infestations. For increased frequency of diseases, the  
 245 majority (53%) of the sampled respondents were concerned, while 29.9 percent were very  
 246 concerned. Similarly, 71 sampled respondents reported concern over droughts while 54 sampled  
 247 respondents were slightly concerned regarding droughts due to climate change. A general  
 248 observation of the table shows that most of the respondents were either concerned or very  
 249 concerned about the three mentioned sources of climate change impacts.

250 Half of the sampled farmers were found to have less than a high school education (50  
 251 percent) followed by farmers with a high school diploma (17.7 percent) indicating that the  
 252 sampled farmers have lower educational attainments in the study area. During the surveys,  
 253 farmers were asked to report the potential impacts of climate change. The majority of the farmers  
 254 reported that changes in rainfall patterns and changes in temperature are the major impacts of  
 255 climate change observed by them. The majority of the respondents during the surveys either  
 256 agree (47.9 percent) or strongly agree (42.3 percent) with the statement that human activities are  
 257 the main cause of climate change. Similarly, most of the sampled farmers (54 percent) agreed with  
 258 the statement that nature itself is a cause of climate change followed by 39.3 percent respondents  
 259 who strongly agreed with the same statement. This reflects that the sampled farmers consider  
 260 both human activities and nature to be the potential causes of climate change. Among the  
 261 sampled farmers surveyed for the study, only 23 sampled farmers have access to credit facilities  
 262 while 141 farmers reported to have no access to credit facilities. The frequencies of the  
 263 categorical/discrete variables are provided in the Table 2 along with their percentages.

264

265 **Table 2:** Frequency distribution of discrete variables of the model

Variables	Categories	Frequency	percentage
Increased Insects infestation	Not concerned	4	2.4
	Slightly Concerned	39	23.8
	Concerned	73	44.5
	Very concerned	48	29.3
Increased Frequency of Diseases	Not concerned	5	3.0
	Slightly Concerned	23	14.0
	Concerned	87	53.0
	Very concerned	49	29.9
Droughts	Not concerned	2	1.2
	Slightly Concerned	54	32.9
	Concerned	71	43.3
	Very concerned	37	22.6
Education	Less than high school	82	50.0
	High school	29	17.7
	Bachelor	25	15.2

	Graduate School	28	17.1
Perceived Changes in Rainfall Patterns	Yes	128	78
	No	36	22
Perceived Changes in Temperature	Yes	140	85.4
	No	24	14.6
Human as a cause of climate change	Strongly Disagree	6	3.7
	Disagree	1	0.6
	Neutral	10	6.1
	Agree	78	47.9
	Strongly Agree	69	42.3
Nature as a cause of climate change	Strongly Disagree	5	3.1
	Disagree	2	1.2
	Neutral	5	3.1
	Agree	88	54
	Strongly Agree	64	39.3
Access to Credit	Access	23	14.1
	No access	141	85.9

266

267 Table 3 presents the averages and standard deviations of the variables included in the  
 268 ordered logit models. As evident from the Table 3, the average age reported in the study area was  
 269 47 years with a standard deviation of 9.47, while the average monthly/yearly income was found  
 270 to be SAR 7894.71 with a standard deviation of 9064.89. The high standard deviation in the income  
 271 of the sampled respondents reflects a high degree of variation in incomes of the farmers. The  
 272 average number of contacts by the farmers with extension workers for information related to  
 273 climate change was found to be 4.43 with a standard deviation of 1.79.

274

275 **Table 3:** Descriptive statistics of variables

Variable (unit)	Mean	Standard Deviation
Age (years)	47.10	9.47
Income (SAR <sup>1</sup> /year) from farming	7894.71	9064.89
Access to information (contacts with extension workers)	4.43	1.79

276

277 **Ranking of beliefs about climate change and capacity-building initiatives for effective**  
 278 **climate change adaptation:** Similar to the ranking of concerns about climate change, ranking of  
 279 responses was conducted regarding the farmers' beliefs about climate change as well as  
 280 requisite strategies for capacity building in order to avoid/mitigate harmful effects of climate  
 281 change within the region. These rankings, estimated by using equation 1, are presented in Table

<sup>1</sup> SAR is abbreviation for Saudi Riyal, 1 SAR = 0.27 USD (Approx.)

282 4 and Table 5. This is necessary for placing policy guidelines in perspective and to align them  
 283 with farmers' perceptions about possible impacts as well as the potential adaptation options.  
 284 Many researchers have noted the need for aligning policy interventions such that people are  
 285 mentally-prepared and think the interventions are effective within a given scenario (Abbas et al.  
 286 2016, Kellens et al. 2011, Lindell &Hwang 2008, Seifert et al. 2013, Thieken et al. 2007). These  
 287 studies point towards the introduction of initiatives that people perceive to be effective in terms  
 288 of their efficacy and control. When done so within the proper context, the uptake of these  
 289 strategies becomes relatively faster as ultimate beneficiaries are assured of the effectiveness and  
 290 applicability.

291 As shown in Table 4, many farmers in the study area believe that climate change is a form  
 292 of natural change. This is evident from the rankings of statements about climate change beliefs  
 293 by the respondent farmers. At the first and second place are, respectively, natural changes and  
 294 anthropogenic activities that cause climate changes. At the third place, the cause of climate change  
 295 is reported to be the combined effect of human and natural causes. The 4<sup>th</sup> and 5<sup>th</sup> rankings show  
 296 a sort of skepticism among respondents who believe that either they do not have sufficient  
 297 evidence to comment on the nature of climate change or there is no climate change, with  
 298 cumulative scores of 454 and 320, respectively using Equation 1.

299 **Table 4:** Ranking of Beliefs towards climate change among survey respondents of the  
 300 study area.

Beliefs	Cumulative Score	Ranking
Anthropogenic activities are causing climate change.	690	II
Nature is causing climate change.	693	I
Lack of concrete evidence that climate change is happening.	454	IV
Both nature and anthropogenic activities are behind climate change.	635	III
There is no climate change.	320	V

301

302 In the similar fashion and calculated with the same equation, capacity building initiatives  
 303 deemed useful or necessary for effective climate change adaptation are listed with their respective  
 304 scores in Table 5. The top three strategies obtained are namely: use of information and  
 305 communication technologies (ICTs) in disseminating information and awareness creation about  
 306 climate change impacts and adaptation measures; capacity building and theme-specific training  
 307 of the extension staff related to climate change for promoting adaptation interventions; and  
 308 linking smallholder farmers with agricultural research for on-farm adaptive mechanisms for  
 309 climate change under various types of farming systems. The other least-popular or least-effective

310 strategies perceived and reported by the respondents are the use of farmers' fields schools for  
 311 training farmers (10<sup>th</sup> rank), conducting awareness meetings with farmers (9<sup>th</sup> rank), and  
 312 conducting demonstrations for providing innovations on climate change adaptation (8<sup>th</sup> rank).  
 313 These findings do imply that the traditional methods of agricultural extension are not perceived  
 314 as effective thus necessitating a paradigm shift in agricultural information transmission  
 315 mechanism.

316 In a nutshell, the farmers perceived the most effective and desired strategies to be institution-  
 317 led awareness campaigns through ICTs, and personnel training and on-farm adaptive training  
 318 related to climate change. Farmers do not perceive traditional ways of awareness creation at the  
 319 micro level to be effective or give them low preference, such as awareness meetings,  
 320 demonstration events, or farmers' field schools.

321

322 **Table 5:** Ranking of capacity building initiatives necessary for effective climate change  
 323 adaptation in the region

Strategy/Initiative	Cumulative Score	Ranking
Awareness meetings with the farming community.	647	IX
Field days for showing technology related to climate change adaptation.	668	V
On-farm demonstrations for enhancing farmers' skills.	661	VIII
Farmer-to-farmer extension approach.	677	IV
Farmers' training on post-harvest food management.	665	VI
Weather forecast alerts.	664	VII
Use of farmer field school extension approaches for developing farmers' problem-solving skills.	639	X
Building a link between smallholder farmers and agricultural research bodies.	687	III
Capacity building of the extension personnel.	692	II
Employment of ICT tools for improving the delivery of extension services and products.	694	I

324

### 325 **Factors Affecting Concerns of the Farmers**

326 The findings of the empirically estimated ordered logit model are provided in Table 6. The  
 327 findings highlight the importance of various factors affecting the top concerns (insect infestation,  
 328 disease prevalence, and droughts) of farmers regarding climate change. Evaluating awareness  
 329 about the consequences of a particular change provides evidence of pro-environmental and  
 330 altruistic attitudes along with some degree of perceived personal responsibility, which could lead

331 to effective adoption or at least willingness to adopt avoidance mechanisms and strategies  
332 (Cooper et al. 2004, Farizo et al. 2016). This study reveals some interesting points related to the  
333 covariates of pro-environmental behavior (concerns about climate change) taking into account  
334 socioeconomic, anthropogenic, and environmental aspects either stated or observed.

### 335 **Socioeconomic Attributes:**

336 Among the socioeconomic attributes of the farmers, age positively affects the concerns of  
337 farmers regarding droughts. The relationship is positive and significant at the 5 percent  
338 probability level and the odds-ratio indicates that a one unit (one year) increase in the age raises  
339 concerns of farmers for droughts by 1.042 times (4.2 percent). The nature of the impact of this  
340 variable as well as the positive sign are in line with our a priori expectations. Such an observation  
341 is justified by many researchers who posit that increase in the age of a person would lead to  
342 enhanced awareness and concern about environmental dynamics because of his/her life  
343 experiences over time (Casaló &Escario 2018, Grothmann &Reusswig 2006, Melo et al. 2018,  
344 Peacock et al. 2005, Rungie et al. 2014). Nevertheless, literature also exists showing an contrasting  
345 nature for this variable (i.e., age) as having a negative impact on environment-related  
346 awareness/concerns (Botzen et al. 2009, Grønhøj &Thøgersen 2009, Miceli et al. 2008). Thus, our  
347 results and previous empirical findings do provide insights into the role of age of a particular  
348 individual in realizing a pertinent issue associated with unwanted consequences, particularly  
349 drought. However, age is negatively but non-significantly related with concern for insect  
350 infestations and the increased frequency of diseases in the study area.

351 Findings on education vis-à-vis the perceived probability of increased concern for insect  
352 infestation are somewhat surprising yet justifiable. Education has an inverse and significant  
353 relationship with concern for increased insect infestation. The odds-ratio suggest that an increase  
354 in education of farmer from lower category of education to higher category will decrease the  
355 concerns of farmers for increased insect infestation due to climate change by 0.730 times (27  
356 percent). This finding may imply that with increased levels of education, farmers will be more  
357 prepared and confident to monitor, to pest-scout, and to curb insect outbreaks, thus leading to  
358 effective control. In other words, farmers are not highly concerned about insects when they have  
359 more knowledge – via higher educational attainment – about how to monitor and counter insect  
360 infestation. This argument is justified on two grounds: more education helps farmers to locate  
361 any insect population in a timely manner and then taking viable and pest-specific control  
362 measures based on their information inventory either in the form of field-level knowledge,  
363 technical know-how, effective use of ICTs in agriculture, or access to field staff from pest control  
364 departments and organizations. Such outcomes for education have been evidenced by Aryal et  
365 al. 2020, Li et al. 2020, and Mahmood et al. 2020. Another aspect of this finding points towards  
366 the increased ability of educated farmers to diagnose insect attacks and subsequently and

367 speedily plan its remedy instead of panicking or developing concerns and thereby failing to  
 368 devise mitigation strategy.

369 In a similar vein, farmers' income plays a critical role in shaping their concerns regarding  
 370 climate change impacts. The findings show that higher income of the farmers significantly reduce  
 371 their concerns about increased insect infestation and increased frequency of diseases, while it  
 372 significantly increases concerns of droughts. These findings are intuitive too with varying  
 373 implications. With higher incomes, farmers can use various tools for ex ante mitigation and ex  
 374 post coping with the insect infestation and diseases. However, their incomes cannot, in general,  
 375 help in easing their concerns of droughts, the effect of which are generally beyond their control.  
 376 Such a relationship between farmers' income and pro-environmental behavior is reported by  
 377 Lindell & Hwang 2008, Hirsh 2010, and Hasan et al. 2017.

378 As a matter of fact, increased income of households may make them well-prepared to control  
 379 any insect or disease outbreaks as they can use their income to achieve timely application of  
 380 pesticides and chemicals instead of developing concerns when faced with income constraint.  
 381 However, as drought is beyond human control to a large extent, farmers with relatively higher  
 382 incomes will be more concerned about drought compared with those having lower incomes. This  
 383 is understandable because the high-income farmers may have relatively large cropped areas at  
 384 risk of destruction due to drought leading to a larger quantity of loss expected. Such a perception  
 385 of higher farm losses in the wake of incessant drought may increase their concerns. The ultimate  
 386 mitigation options towards drought might include some limited options adopted at the farm level  
 387 such as sowing of drought-resistant varieties, water conservation through the construction of  
 388 mini dams, among other techniques (Alauddin & Sarker 2014, Ali & Erenstein 2017, Daramola et  
 389 al. 2016).

390

391 **Table 6: Parameter estimates of the Ordered Logit Model**

Variables	Increased Insects Infestation		Increased Frequency of Diseases		Droughts	
	Co-efficient	Odds- ratio	Co-efficient	Odds- ratio	Co-efficient	Odds- ratio
<b>Socioeconomic Attributes</b>						
Age	-0.011 (0.019)	0.988	-0.020 (0.019)	0.979	0.041** (0.019)	1.042
Education	-0.313** ((0.154)	0.730	0.181 (0.159)	1.199	0.235 (0.156)	1.265
Income	-0.00005** (0.00002)	0.999	-0.00003* (0.00002)	0.999	0.00004** (0.00001)	0.999
<b>Perceived Changes in Climatic Parameters</b>						

Changes in Rainfall Patterns	1.725*** (0.473)	1.778	0.904** (0.454)	1.405	0.021 (0.449)	1.019
Changes in Temperature	0.732 (0.688)	2.080	1.306* (0.735)	3.691	1.675** (0.682)	5.339
<b>Perceived Causes of Climate Change</b>						
Human	0.537** (0.247)	1.711	0.679*** (0.260)	1.974	0.249 (0.234)	1.283
Nature	0.145 (0.252)	1.156	0.303 (0.260)	1.354	-0.136 (0.240)	0.872
<b>Access to Credit and Information</b>						
Credit Access	1.534*** (0.561)	4.638	1.570*** (0.558)	4.808	2.395*** (0.573)	10.968
Access to information	-0.022* (0.013)	0.878	-0.038** (0.018)	0.862	-0.119 (0.172)	0.943
Cut 1	-4.223 (1.530)		0.058 (1.583)		-1.896 (1.653)	
Cut 2	-1.392 (1.471)		2.470 (1.576)		2.370 (1.475)	
Cut 3	1.001 (1.463)		5.449 (1.619)		4.697 (1.517)	
Log likelihood	-163.321		-149.189		-159.155	
LR chi2 (12)	42.95*** (0.000)		47.95*** (0.000)		40.89*** (0.000)	
Pseudo R <sup>2</sup>	0.116		0.138		0.114	

Note: figures in parenthesis are standard errors. \*, \*\*, and \*\*\* represent significance at 10%, 5% and 1% probability levels, respectively.

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### 395 **Changes of Climatic Parameters**

396           The perceived changes in the climatic parameters particularly changes in rainfall patterns  
397 have a significant impact on the concerns of farmers particularly related to insect infestation and  
398 disease frequency. In particular, perceived changes in rainfall patterns significantly affect the  
399 concerns of farmers regarding increased insect infestation and increased frequency of diseases.  
400 Farmers with higher perceived changes in rainfall patterns have more concerns over incidence of  
401 insect infestation (77.8 percent higher concern as compared to farmers with lower perceived  
402 changes in rainfall patterns) and diseases (40.5 percent higher concerns over farmers with lower  
403 perceived changes in rainfall patterns). For perceived changes in temperature, the concerns for  
404 increased frequency of diseases and droughts are significantly higher. Farmers with higher levels  
405 of perceived changes in temperature tend to have higher concerns for increased frequency of  
406 diseases (3.69 times higher concerns compared to farmers with lower levels of perceived changes  
407 in temperature) and droughts (5.339 times higher concerns compared to farmers with lower levels  
408 of perceived changes in temperature). In addition, higher levels of perceived changes in rainfall  
409 do not show a significant impact on the level of concern linked with drought. Similarly, higher

410 levels of perceived changes in temperature show a non-significant but positive association with  
411 increased insect infestation.

412         However, there is a convincing evidence of the impact of increased rainfall in perceiving  
413 higher level of concern for insect infestation as well as disease attack among farmers of the study  
414 area. This is because increased moisture content and humidity is thought to have a positive  
415 linkage with increased level of insect infestation as well as incidence and severity of diseases (add  
416 a citation here) leading to increased concern level among farmers. Likewise, decline in rainfall  
417 will reduce the number of insects as well as disease severity by reducing moisture content in the  
418 air, thus putting a downward pressure on farmers' concerns about insects related to climate  
419 change. Regarding perceived changes in temperature, an upward shift in temperature exerts a  
420 significantly positive effect on farmers' concerns related to climate change in the form of increased  
421 concern about disease severity and droughts. Increasing temperatures will evidently increase  
422 evaporation of water sources along with scarce rainfall, and therefore could lead to higher  
423 incidence and severity of droughts (Dai 2013, Ding et al. 2011, Spinoni et al. 2014). However,  
424 increased temperatures having positive influence on disease severity can be subjected to further  
425 research.

426

#### 427 **Perceived Causes of Climate Change**

428         The findings reflect that among the perceived causes of climate change, human causes are  
429 reported to be significant in increasing farmers' concerns for higher rates of insect infestation and  
430 plant diseases. Farmers perceiving humans as the cause of climate change are more concerned for  
431 increased insect infestation (1.71 times more than farmers perceiving humans as not being the  
432 cause of climate change). Similarly, farmers who perceive humans as a cause of climate change  
433 have 1.97 times higher concerns over increased frequency of diseases compared to farmers  
434 perceiving otherwise. The perception of farmers about nature as primarily causing climate change  
435 is found to be less prominent and non-significant as well as the cause of concerns related to the  
436 top three concern categories.

#### 437 **Access to Farm Credit and Information**

438         For the variable of access to farm credit, the results are surprising although having  
439 theoretical validity. The credit beneficiaries were expected *a priori* to be more secure and thus less-  
440 concerned because of the availability of financial support for installing climate mitigation  
441 facilities, tools, and infrastructure. However, our findings suggest that farmers with access to  
442 credit facilities have more concerns for all three types of selected (major) concern sources. This  
443 result implies that increased use of and/or access to farm credit by the farmers would increase  
444 their concerns for insect infestation, disease severity and drought amidst climate change. The  
445 finding is intuitive, however, and should be subject to academic and empirical falsification by

446 future research. Nevertheless, we posit it as one of the policy factors indirectly influencing  
447 farmers' attitude towards risk. There is economic reasoning that could explain this outcome as  
448 well because firms (here farmers) relying on external funds would be more cautious and vigilant  
449 towards any risk of external shock(s) threatening the sustainability of their enterprise as well as  
450 their repaying capacity. Thus, farmers relying on or using farm finance would be much more  
451 concerned if they perceive any abrupt change(s) in climate-related phenomena. Therefore, one  
452 can argue for the mediating role of credit availability and utilization in the farm business in  
453 promoting climate vigilance through increased concern levels (Daramola et al. 2016). One of the  
454 research implications of this finding is to test the role of farm credit in promoting pro-  
455 environmental behavior and/or perceived impacts of climate change among credit beneficiaries.  
456 On the other hand, policy implication of this finding lies in the linking of farm-credit with climate  
457 change adaptation packages. The findings of the estimated ordered logit model revealed that  
458 farmers with access to credit facilities have 4.638, 4.808 and 10.968 times higher concerns for  
459 increased insect infestation, increased frequency of crop diseases and droughts, respectively,  
460 compared to farmers with no access to credit facilities.

461 Access to information has greatly affected the concerns of farmers about climate change  
462 globally (Adesina et al. 2000, Adger et al. 2003, Gaillard & Mercer 2013, Mercer et al. 2010, Pour  
463 et al. 2018) and in the study area. As evident from the results, an increased frequency of contact  
464 with extension workers for climate related information significantly reduces farmers' concerns  
465 for increased insects infestation and increased frequency of diseases. Based on the odds-ratios, an  
466 additional contact with extension workers for information on climate change reduces the  
467 concerns of the farmers for increased insects infestation 0.878 times (12.2 percent), while it reduces  
468 the concerns of farmers for increased frequency of diseases 0.862 times (13.8 percent). However,  
469 the parameter on access to information in case of droughts is expected a priori to have a negative  
470 sign though being non-significant. This finding implies that farmers finding themselves less  
471 effective to mitigate the onset would not be much bothered if they already had knowledge of  
472 incidence of drought. However, when they get information about the former two categories of  
473 concern (i.e., insect infestation and disease attack), their concern level decreases significantly. This  
474 outcome is expected as providing such information would lead them towards proper and timely  
475 action to curb insect infestation and disease severity while in case of drought, such information  
476 would not be much effective as the onset and impact of drought can hardly be avoided at farm  
477 level.

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## 483 **Concluding Remarks**

484 The present study attempted to identify different concerns of the farming community  
485 regarding climate change impacts and explored various factors that influence these concerns. The  
486 findings revealed that a vast majority of the farmers believed that climate change is happening  
487 and major factors behind this global change are both anthropogenic activities as well as natural  
488 processes. The majority of the farmers expressed their concerns for increased insect infestations  
489 and increased frequency of crop diseases as a consequence of climate change. Although farmers  
490 were also concerned about drought, but they were relatively less concerned about it as compared  
491 to insect infestations and crop diseases. The results of ordered logit models showed a significant  
492 relationship among various variables. Age and income level had a significant positive  
493 relationship with farmers' concern regarding drought. Farmers' education and income level had  
494 a significant negative relationship with their concern regarding insect infestations. Income level  
495 also showed a significant negative relationship with farmer's concerns regarding increased  
496 frequency of diseases. Farmers who had access to credit facilities expressed high concern  
497 regarding all three climate change impacts. Similarly, farmers who perceived that climate change  
498 is happening due to anthropogenic activities were more concerned about increased insect  
499 infestations and increased frequency of diseases. On the other hand, farmers' access to  
500 information related to climate change significantly reduced their concerns for increased insect  
501 infestations and increased frequency of diseases. Higher perceived changes in rainfall were  
502 shown to significantly increase farmers' concerns regarding insect infestations and increased  
503 frequency of diseases whereas higher perceived changes in temperature significantly increased  
504 farmers' concerns regarding increased frequency of diseases and drought.

505 Three major capacity-building initiatives that were considered effective for developing  
506 and enhancing climate change adaptation were: use of ICT tools for creating awareness among  
507 farmers regarding climate change issues and relevant adaptation practices; capacity development  
508 of the extension personnel to enhance their knowledge; and linking of the smallholder farmers to  
509 agricultural research bodies for developing on-farm climate adaptation solutions. Findings  
510 suggest that the government should design and implement extension programs, particularly for  
511 the smallholder farmers with low educational background for developing climate change  
512 awareness and adaptability. We also suggest that credit opportunities for such farmers should  
513 also be ensured. Moreover, the government should also emphasize capacity building of the  
514 extension staff in terms of their ability to understand and offer appropriate solutions related to  
515 sustainable climate change adaptation and mitigation practices. The findings also provided  
516 important insights to inform policy discourse and enrich academic discussion on climate change  
517 concerns and their associated perceived risks.

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533

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