

Is the Deep-Learning Technique a Completely Alternative for the Hydrological Model?: A Case Study on Hyeongsan River Basin, Korea

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1 **Is the deep-learning technique a completely alternative for the hydrological**
2 **model?: A case study on Hyeongsan River Basin, Korea**

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Abstract

It is no doubt that the reliable runoff simulation for proper water resources management is essential. In the past, the runoff was generally modeled from hydrologic models that analyze the rainfall-runoff relationship of the basin. However, since techniques have developed rapidly, it has been attempted to apply especially deep-learning technique for hydrological studies as an alternative to the hydrologic model. The objective of the study is to examine whether the deep-learning technique can completely replace the hydrologic model and show how to improve the performance of runoff simulation using deep-learning technique. The runoff in the Hyeongsan River basin, South Korea from 2013 to 2020 were simulated using two models, 1) Long Short-Term Memory model that is a deep learning technique widely used in the hydrological study and 2) TANK model, and then we compared the runoff modeling results from both models. The results suggested that it is hard to completely replace the hydrological model with the deep-learning technique due to its simulating behavior and discussed how to improve the reliability of runoff simulation results. Also, a method to improve the efficiency of runoff simulation through a hybrid model which is a combination of two approaches, deep-learning technique and hydrologic model was presented.

Keywords: Deep-learning; LSTM model; Runoff simulation; TANK model

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29

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33

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36 appeared to influence the work reported in this paper.

37

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39 Not applicable

40

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42 Not applicable

43

44 **Authors' contributions (optional: please review the submission guidelines from the journal whether
45 statements are mandatory)**

46 All authors contributed to the study conception and design. Conceptualization: [Jaewon Kwak], [Heechan Han],
47 [Hung Soo Kim]; Methodology: [Jaewon Kwak], [Heechan Han]; Data collection: [Jaewon Kwak], [Soojun Kim],
48 Formal analysis and investigation: [Heechan Han], [Soojun Kim]; Writing - original draft preparation: [Jaewon
49 Kwak], [Heechan Han], [Soojun Kim]; Writing - review and editing: [Jaewon Kwak], [Hung Soo Kim]; Supervision:
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51

52 **1. Introduction**

53 One of the biggest issues in engineering field in the late 2010s is deep learning based data driven model. In the
54 past, the use of water-related information is the key to securing the sustainability and resilience of water resources
55 and has been recognized as an opportunity to innovate water governance in the future (Grossman et al., 2015). In
56 addition, recently, numerous studies have attempted to apply data-driven models such as machine leaning or deep
57 learning techniques for various purposes from water information analysis to hydrological, hydraulic analysis
58 based on vast amount of water-related data (Sit et al., 2020). General hydrologic models conceptualize the
59 physical characteristics of rainfall-runoff relationship by using mathematical equations (Singh, 1998). However,
60 since a system conceptualized by human cannot clearly represent the complexity of natural systems (Marçais and
61 Dreuzy, 2017), it cannot be guaranteed that the hydrologic model always simulates the rainfall-runoff
62 relationships well. Also, a statistical model for simulating rainfall-runoff based on hydrological data has been
63 used, but it also has limitations that indicate uncertainty and excessive computational power (Ardabili et al., 2019).
64 Therefore, many hydrologic models have been used in consideration of different purpose and characteristics of
65 the watershed (Lyubchich et al., 2019). However, in the late 2010s, the technology related to deep learning, a
66 branch of machine learning, has been rapidly developed and it is showing a different trend than ever.

67 Deep learning technique is a type of black-box technique that can derive information desired by users from
68 multidimensional datasets (Sengupta et al., 2020), and is known to be suitable for simulating complex rainfall-
69 runoff relationship in hydrological study (Zhang et al., 2018). Accordingly, the number of cases of applying
70 machine learning and deep learning techniques to the hydrology field increased more than 2.5 times from about
71 1,700 in 2010 to about 4,400 in 2018 (Ardabili et al., 2019). The types of applied techniques are gradually
72 diversifying. For instance, Sit et al. (2020) introduced numerous deep learning technique applied to the
73 hydrological studies such as Convolutional neural networks (CNN; LeCun, 1989), Generative adversarial
74 networks (GAN; Goodfellow et al., 2014), Recurrent neural networks (RNN; Pollack, 1990), Long short-term
75 memory (LSTM; Hochreiter and Schmidhuber, 1997), Gated recurrent unit networks (GRU; Cho et al., 2014),
76 Nonlinear autoregressive models (NAR; Lin et al., 1996), Elman Network (Elman, 1990), Autoencoders
77 (Rumelhart et al., 1985), Restricted boltzmann machines (RBM; Hinton, 2002) and deep belief networks (DBN;
78 Hinton, 2009), Extreme learning machines (ELM; Huang et al., 2006), Deep Q networks (DQN; Mnih et al.,
79 2013). Here, noteworthy is that there are various research results showing that the deep learning technique applied

80 to the rainfall-runoff simulation shows better results than the traditional approach. For example, in the case of
81 LSTM model used in this study, Kratzert et al (2018) simulated daily runoff in the US watersheds and analyzed
82 that LSTM showed better performance than the Sacramento Soil Moisture Accounting (SAC-SMA) model. Also,
83 Damavandi et al.,(2019) found that the LSTM model was better than CaMa-Flood model for daily runoff
84 simulation. In addition, the LSTM model shows better simulation accuracy than the Auto-regression model,
85 which has been widely used to predict the hydrological time series (Qin et al., 2019), and it also showed better
86 results than the Soil and Water Assessment Tool (SWAT) model which is widely used in the water resource field
87 (Fan et al., 2020). Moreover, other previous studies showed that the LSTM model provides better performance of
88 runoff simulation compared to the SIMHYD model, GR4J model (Bai et al., 2021), M5 Cubist model (Shortridge
89 et al. 2016), Xinanjiang model (Yin et al., 2019). However, in the hydrological field, even though many deep
90 learning related studies have been conducted, a few studies showed that there is still limitation in deep learning
91 models, so deep learning technique has been evaluated as an early stage (Ardabili et al., 2019). Also, other studies
92 suggested that the deep learning model can provide higher reliability through ensemble approach. Recently, a
93 hybrid model combining two or more deep learning techniques is also introduced to reduce the uncertainty in
94 modeling process. For example, the inflow of the reservoir was predicted through the combined hybrid model of
95 DBN and LSTM techniques (Luo et al., 2020), and a study was also conducted to build a hybrid model and
96 improve the prediction efficiency by using the runoff data simulated by the VIC-CaMa-Flood model as the input
97 data of the LSTM model (Yang et al., 2019). Based on these results provided from the previous studies, it seems
98 that it is possible to replace the hydrologic model through the deep learning technique as suggested in numerous
99 studies. However, since this possibility is an analysis through simple simulation efficiency, it is time to consider
100 the actual hydrology simulation.

101 Therefore, in this study, the possibility of hydrological simulation through the deep learning technique is
102 considered, and its strengths and limitations for simulating hydrological process are discussed. Also, a method to
103 improve the simulation performance using deep learning technique was studied. For this, the runoff from 2013 to
104 2020 was simulated for the Hyeongsan River basin using LSTM, a deep learning technique widely used for
105 hydrological simulation, and the TANK model. Through comparative analysis of observed runoff and simulated
106 runoff from both models, the features of deep learning and hydrologic models were analyzed, and whether deep
107 learning technique is able to completely replace hydrologic model was examined. Moreover, a method for

108 improving the runoff simulation through a hybrid model combining deep learning and hydrologic models was
109 considered.

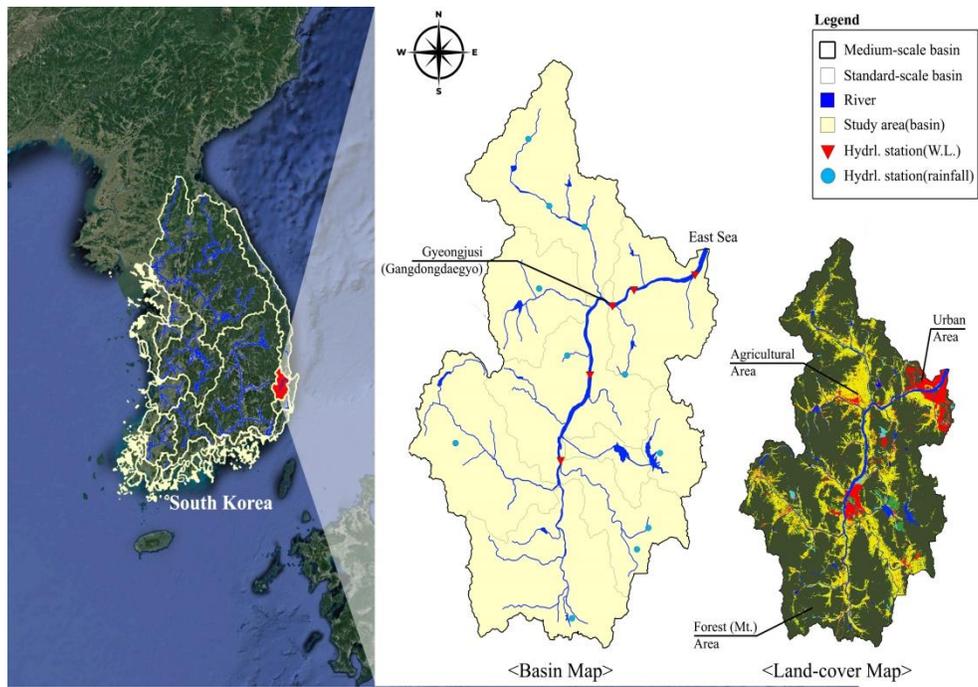
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111 **2. Method and Data Description**

112 **2.1 Study Area**

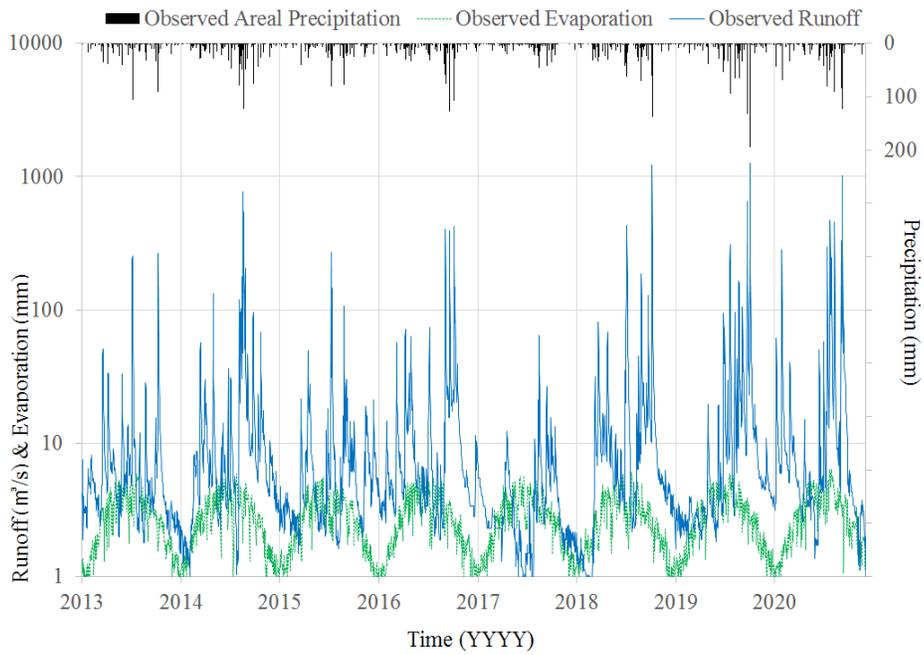
113 Large scale basin is generally to be preferred for hydrological or another modeling due to the chance to prove
114 their applicability. But, it also has limitation that there are many water related infrastructures such as dams that
115 have a significant influence on the trend of outflow on basins. In this case, medium or small-scale basin is to be
116 rather appropriate to clearly evaluate hydrological model and deep-learning technique for the simulation
117 efficiency of rainfall-runoff process. Therefore, in this study, the Hyeongsan River basin was selected as the study
118 area, where there are no large infrastructures and there are reliable observation data for a long period of time. The
119 Hyeongsan River is located in the southeast of the Korean Peninsula, and has a basin area of 1,139.9 km², a
120 boundary of 226.2 km, and a length of 57.4 km. The upstream area of the basin is mountainous and forested, and
121 the main stream of the river forms an alluvial plain, which is mostly used as agricultural land, and a part of the
122 urban area is located downstream. In terms of land use, forested areas accounted for 65.5%, agricultural land
123 accounted for 29.1%, and urban areas accounted for 4.1% (Ministry of Land, Infrastructure and Transport, 2013).
124 The hydro-meteorological data used in this study was collected from the observatories of the Ministry of
125 Environment, and the precipitation data were converted into areal average values by Thiessen method. The runoff
126 data was collected from the Hydrological Survey Annual Report (Ministry of Environment, 2019) and Water
127 Resources Management Information System (Han River Flood Control Office, 2021). In the case of evaporation
128 data, it was collected from the Korea Meteorological Administration. Figures 1 and 2 represent the information of
129 study area and data used in this study.

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 132 **Fig. 1** Hyeongsan River basin area in South Korea. Blue and red points represent the observatories for
 133 hydrological data.

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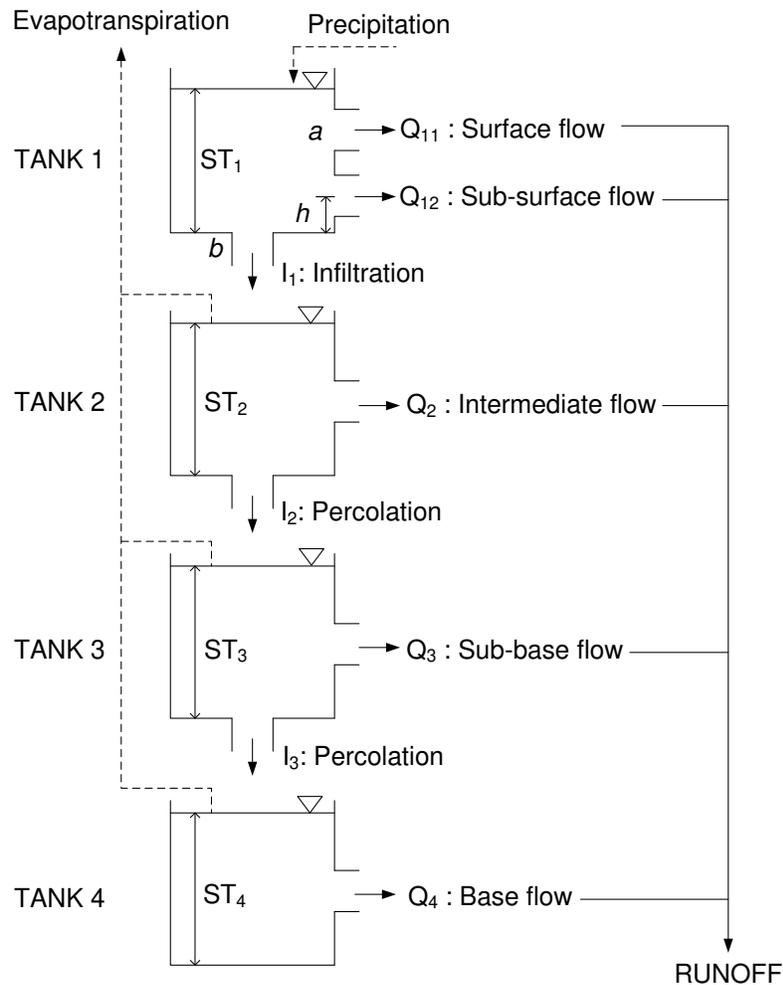
136
 137 **Fig. 2** Time series of obtained hydro-meteorological data including precipitation, evaporation, and runoff.

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139 **2.2 Models for Runoff Simulation**

140 **2.2.1 Hydrologic Model: TANK model**

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Fig. 3 Conceptual diagram of TANK model.

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145 In order to simulate and understand the hydrological process based on rainfall-runoff relationship, it can be
146 expressed as a vertical water flow. The model developed based on this process is the TANK model. The TANK
147 model can simulate the runoff by considering the amount of water storage with a series of water storages (i.e.
148 TANK). The TANK model is known to have simple algorithm and high accuracy compared to other hydrologic
149 models for simulating rainfall-runoff process of watershed (Yokoo et al., 2001). In general, the TANK model is

150 based on a process of simulating evapotranspiration, infiltration, and outflow from each tank after precipitation
 151 enter to first tank. The upper two tanks simulate surface flow and intermediate flow generated from precipitation,
 152 and the lower two tanks are mainly used to simulate sub-base flow and base flow (Figure 3). The total runoff
 153 (Q_{total}) of the watershed simulated by the tank model is the sum of the runoff of each tank, and it is formulated as
 154 follows.

$$155 \quad Q_{total} = Q_{11} + Q_{12} + Q_2 + Q_3 + Q_4 \quad (1)$$

158 The model contains three types of main parameters related to the runoff (a), height of the tank (h), and
 159 infiltration (b). The runoff and infiltration from each tank can be calculated using equations (2) and (3) as follows.

$$160 \quad Q_n = a \times (ST_n - h) \quad (2)$$

$$161 \quad I_n = b \times ST_n \quad (3)$$

162 Here, Q_n , ST_n denote the runoff and height of water in the n^{th} tank. I_n indicates the amount of infiltration from
 163 the upper tank to the lower tank. Also, the height of water for the next time step (i.e. $t+1$) is calculated as follows
 164 (Song et al., 2017).

$$165 \quad ST_{n,t+1} = ST_{n,t} + P_{t+1} - ET_{n,t+1} - I_{n,t} - Q_{n,t} \quad \text{for } n = 1 \quad (4)$$

$$166 \quad ST_{n,t+1} = ST_{n,t} + I_{n-1,t} - ET_{n,t+1} - I_{n,t} - Q_{n,t} \quad \text{for } n = 2,3 \quad (5)$$

$$167 \quad ST_{n,t+1} = ST_{n,t} + I_{n-1,t} - ET_{n,t+1} - Q_{n,t} \quad \text{for } n = 4 \quad (6)$$

172 Where, $ET_{n,t}$ is the evapotranspiration in the n^{th} tank at time step t and is calculated by subtracting the sum of
 173 the evapotranspiration in the upper tank from the actual evapotranspiration ($ET_{a,t}$) and it is calculated as follows.

$$174 \quad ET_{n,t} = ET_{a,t} - \sum_{n=1}^{i-1} ET_{n,t} \quad \text{for } ET_{n,t} < ST_{n,t} \quad (7)$$

176
$$ET_{n,t} = ST_{n,t} \text{ for } ET_{n,t} \geq ST_{n,t} \quad (8)$$

177

178 The actual evapotranspiration ($ET_{a,t}$) is calculated as combination of crop coefficient, soil water stress
179 coefficient, and potential evapotranspiration. More detailed information about the process of TANK model is
180 described in Song et al. (2017).

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182 **2.2.2 Deep Learning Model: LSTM model**

183 The LSTM model, introduced by Hochreiter and Schmidhuber (1997), is one of the popular deep learning models
184 and is based on a RNN model. Generally, the RNN model was used to examine the time sequence issues and
185 predict the target variable considering past information and patterns. However, the RNN model showed some
186 problems such as gradient vanishing and gradient exploding of the error slope when considering long-term dataset.
187 In order to solve these limitations, the LSTM model was proposed and it was used for learning continuously
188 composed data, mainly for purposes such as language translation and speech pattern recognition. In the
189 hydrological studies, the LSTM model was applied for prediction of various hydrological variables such as runoff
190 (Hu et al., 2018; Fan et al., 2020), water level (Zhang et al., 2020), soil properties (Adeyemi et al., 2018), and
191 precipitation (Akbari Asanjan et al., 2018). These previous studies using LSTM model provided desirable
192 predictive performances for hydrological forecasting in various regions.

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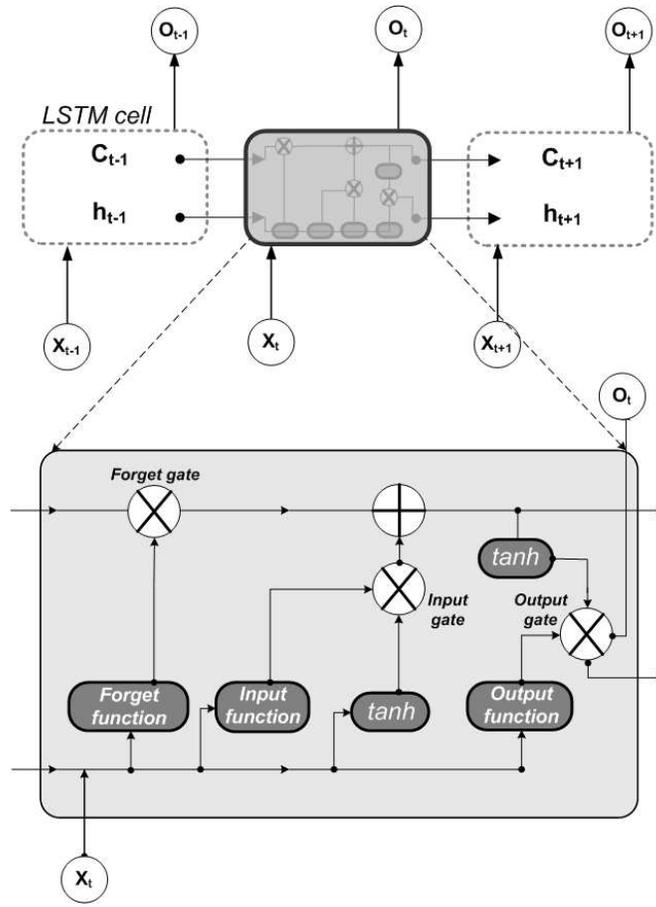


Fig. 4 Conceptual diagram of LSTM model.

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The LSTM model contains multiple cells that can maintain information comes from previous state with time and nonlinear gates that can control the data flow. As shown in Figure. 4, the LSTM model contains three main gates such as forget gate, input gate, and output gate. These gates can determine how much of the information can be stored, removed, and give to the next cell. The LSTM algorithm is operated from an input sequence data (x_t) to final outcome (O_t) by looping through equations (9) – (14).

$$f_t = \sigma(W_f \cdot [h_{t-1}, x_t] + b_f) \quad (9)$$

$$i_t = \sigma(W_i \cdot [h_{t-1}, x_t] + b_i) \quad (10)$$

$$o_t = \sigma(W_o \cdot [h_{t-1}, x_t] + b_o) \quad (11)$$

$$\tilde{c}_t = \tanh(W_c \cdot [h_{t-1}, x_t] + b_c) \quad (12)$$

207
$$C_t = f_t \times C_{t-1} + i_t \times \tilde{C}_t \quad (13)$$

208
$$h_t = O_t \times \tanh(C_t) \quad (14)$$

209

210 Here, σ denotes the non-linear activation function. W_f , W_i , W_o and W_c are weight values of forget gate,
211 input gate, output gate and memory cells, h_{t-1} is an output data from previous cell, x_t is current input data and b_f , b_i
212 and b_o are bias vectors of each gate, respectively. Moreover, \tilde{C}_t is the state of any cell generated from the
213 activation function. In this study, Rectified Linear Unit (ReLU) is used as activation function.

214

215 3. Results

216 3.1 Performance of Runoff Simulation Using Two Models

217 This study simulated daily runoff using LSTM and TANK models and compared simulation performance of both
218 models. The collected data for 8 years from 2013 to 2020 were applied for runoff simulation, and the data for
219 period from 2013 to 2019 was used for the calibration, and data for 2020 was considered as validation of the
220 models. In particular, in 2020, the observed precipitation during the rainy season in the Korean Peninsula was
221 about 858 mm, which is about 2.5 times higher than average amount of precipitation, so it is suitable for verifying
222 the modeling performance for runoff simulation.

223 In the case of the TANK model, additional parameter optimization was performed using a genetic algorithm
224 (GA; Whitley, 1994) based on the model parameters presented in the Hyeongsan River Basic Plan Report
225 (Ministry of Land, Infrastructure and Transport, 2013). For LSTM model, since it is necessary to determine
226 proper hyperparameters such as number of layers and epoch size, this study referred to related studies (Kratzert et
227 al., 2018; Han et al., 2021). In both model, precipitation and evaporation were used as input variables, and
228 parameters were corrected based on the observed runoff data from the outlet point of the study area. Figure 5
229 represents the runoff resulted from TANK and LSTM models and observations in calibration period from 2013 to
230 2019.

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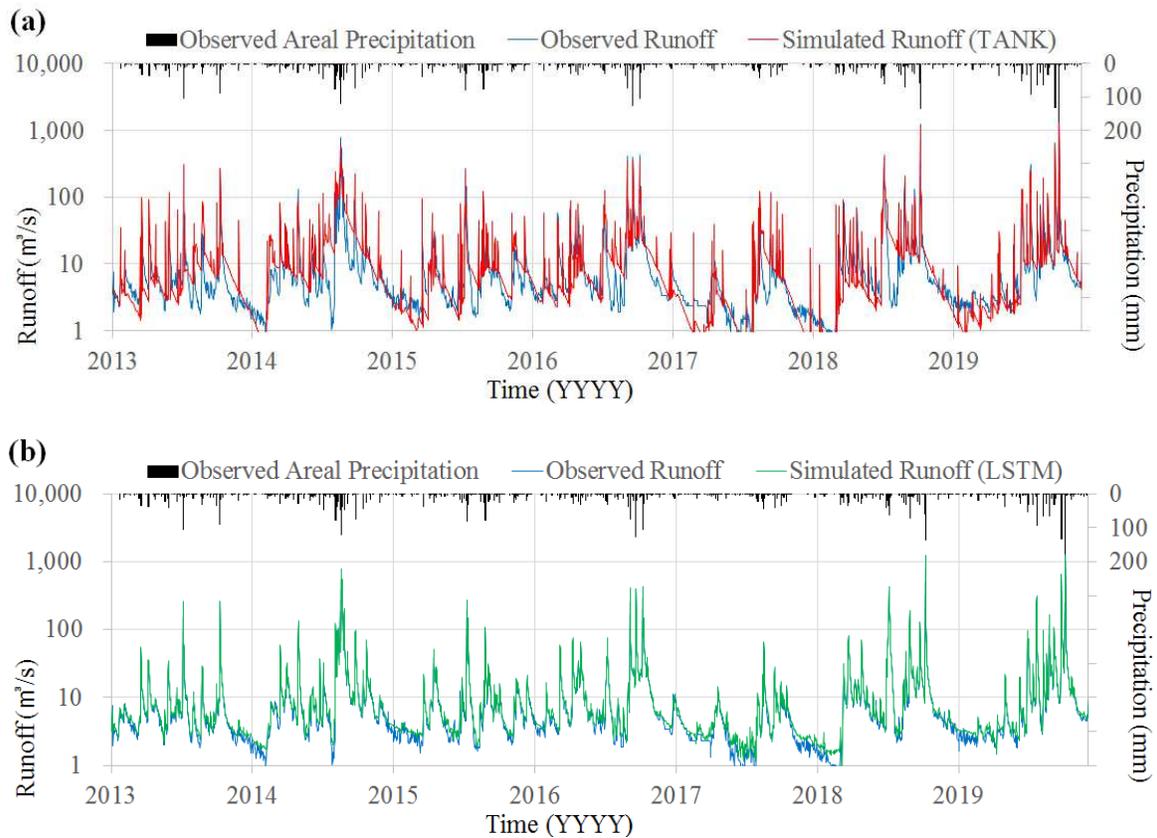


Fig. 5 Simulated runoffs in calibration period with both models: (a) TANK, (b) LSTM models.

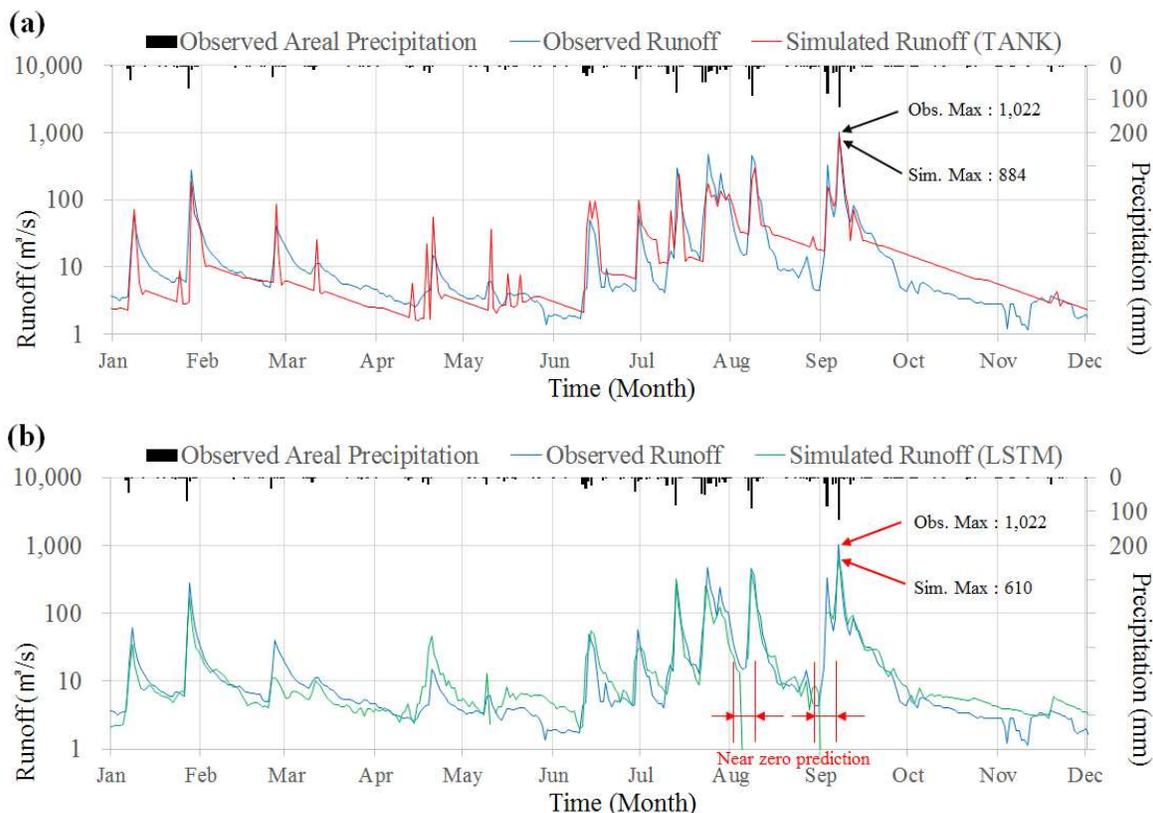
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From the results presented from both models, the LSTM model shows better simulation results than the TANK model. In addition, for quantitative comparison of models, R^2 and RMSE, which are widely used for the efficiency of hydrology simulation, were used as evaluation functions (Hyndman and Koehler, 2006). As a result of evaluation metrics, the TANK model showed $R^2 = 0.83$ and $RMSE = 19.9m^3/s$, whereas the LSTM showed very good results with $R^2 = 0.98$ and $RMSE = 0.7m^3/s$. In particular, the LSTM model simulated at a very similar level to the observed runoff time series, showing the simulation efficiency that is difficult to reach with the traditional hydrologic model. Therefore, although problems such as overfitting are pointed out (Horenko, 2020), the LSTM model seems to have strength over the hydrologic model in terms of simple simulation efficiency. To test how reasonably the LSTM and TANK models simulate the rainfall-runoff process in the watershed, the two models that have been calibrated were applied to the runoff simulation for 2020 (Figure 6). For validation (i.e. test) period, the TANK model showed $R^2 = 0.65$ and $RMSE = 41.3m^3/s$, LSTM model showed $R^2 = 0.73$ and $RMSE =$

246 39.3m³/s, indicating the LSTM model provided better simulation performance. Therefore, for both periods for
 247 calibration and verification, the deep learning technique showed better efficiency than the hydrologic model.

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249 **3.2 Uncertainty in Runoff Simulation Using Deep Learning Technique**

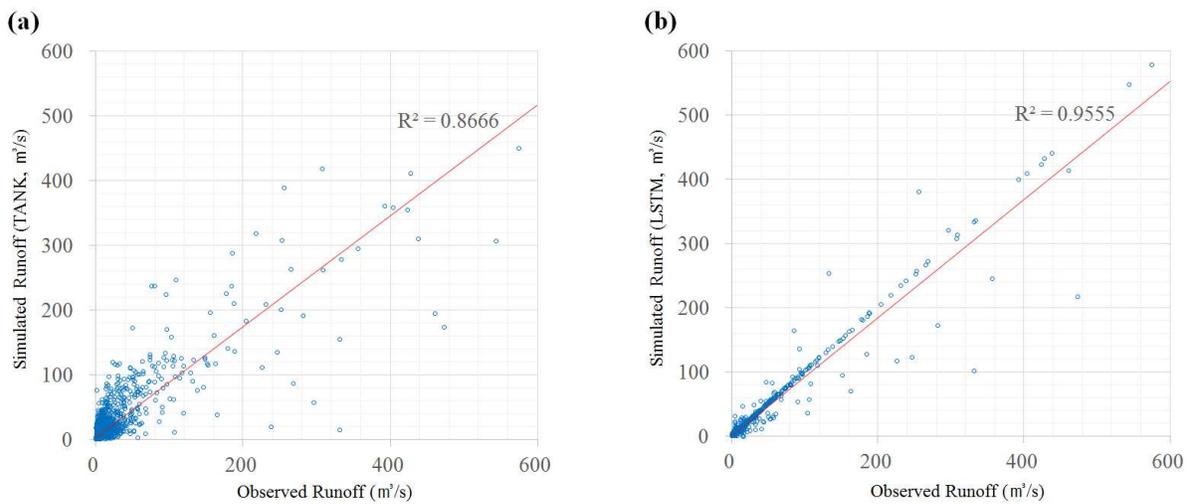


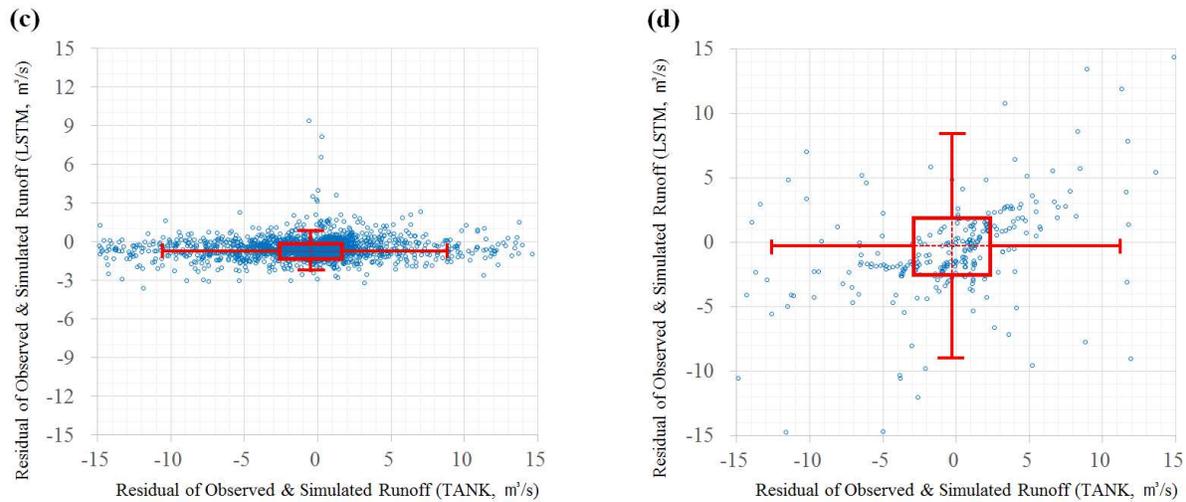
250 **Fig. 6** Simulated runoff in validation period with each model: (a) TANK, (b) LSTM models.

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252 In the previous section, runoff from 2013 to 2020 was simulated through TANK and LSTM models, and the
 253 overall performance of the deep learning technique (i.e. LSTM model) showed better than the hydrologic model
 254 (i.e. TANK model). Unlike the LSTM model that provided very good results in the calibration period (2013 to
 255 2019), the results for validation period showed relatively lower efficiency. Nevertheless, it shows better
 256 simulation efficiency compared to the TANK model, so it is considered that the rainfall-runoff simulation of the
 257 deep learning technique has sufficient applicability. However, simulation results of the LSTM for validation
 258 period, there were two limitations that cannot be seen in the simulation results of the TANK model. First, the
 259 overall LSTM showed an efficient simulation trend, but for the peak runoff simulation, the accuracy was lower

260 than that of the TANK model (Figure 6). The second limitation is that in some periods, the runoff was simulated
261 to decrease to zero even through the actual runoff increases rapidly (Figure 6). This is a characteristic that cannot
262 occur in the conceptual model of the rainfall-runoff process. The first cause of these limitations is the overfitting
263 problem. In order to avoid the overfitting problem, a dropout ratio of 0.2 was set by referring to existing studies
264 (Shah et al., 2018). Nevertheless, compared to the high simulation performance of the calibration period,
265 overfitting may have caused to lower accuracy for validation period. Another cause may be that the rainfall
266 pattern in 2020 is different from the rainfall pattern in the calibration periods (2013-2019), so it may be a result of
267 an unlearned event. In fact, during the rainy season from August to September 2020, unusual precipitation was
268 observed, and it was exceeding the past maximum precipitation in the past 30 years (Kim et al., 2020). However,
269 since it is difficult to understand the learning process of deep learning technique (Sengupta et al., 2020), it is hard
270 to determine which cause is the dominant influence in uncertainty.
271





272 **Fig. 7** Scatter plots of observed runoff against simulated runoff for whole period (2013~2020), and crossed box-
 273 plot of residual between observed and simulated runoff with TANK and LSTM; (a) Scatter plot of runoff with
 274 TANK model; (b) Scatter plot of runoff with LSTM; (c) Crossed box-plot of residual in calibration period
 275 (2013~2019); (d) Crossed box-plot of residual in validation period (2020).

276

277 Deep learning is called as a black-box technique that allows users to extract or derive information they
 278 want from multi-dimensional complex datasets through autonomous learning without specifying them (Sengupta et
 279 al., 2020). In other words, it means that it is impossible to know what patterns and structures the deep learning
 280 technique has learned about the rainfall-runoff relationship during calibration period. In contrast, in the case of the
 281 TANK model, runoff can be simulated through the conceptual rainfall-runoff process with clear equations and
 282 mathematical theories. As shown in Figure 7, the observed and simulated flow scatter plots and crossed boxplots
 283 show the characteristics of the simulated runoff more clearly. As shown in the Figure 7(a) and (b), it was found that
 284 the LSTM model shows better simulation performance than the TANK model. Therefore, in terms of overall
 285 simulation efficiency, it is obvious that LSTM is better than TANK model. However, the crossed boxplots for the
 286 errors of the two models shown in Figure 7(c) and (d) show a problem in another aspect. The TANK model shows
 287 an error distribution (range of boxes) within ± 12.0 for both the calibration and verification period. As described in
 288 the section 2.2.1, the TANK model simulates the runoff generation considering the runoff height and runoff
 289 coefficient in the four connected storage tanks, and it has a structure that conceptualizes the rainfall-runoff
 290 relationship of the entire watershed. Therefore, although the overall performance of the rainfall simulation may

291 decrease, a constant runoff pattern is shown within the conceptualized structure. In the case of the LSTM model, it
292 was found that the residual range was between -2.0 and 1.0 during the calibration period, but the distribution range
293 reached ± 9.0 during the verification period. This irregular distribution range suggests that the rainfall-runoff
294 structure learned by the LSTM method through the calibration period (2013-2019) is not partially suitable for the
295 verification event in 2020. However, since users cannot conceptually understand the learning structure of deep
296 learning, it is difficult to find the main cause of the problem about the suitability and uncertainty in deep learning
297 model process. In fact, many studies have suggested that “Deep learning shows higher simulation efficiency than
298 hydrologic models”, but did not suggest clearly “Reasons why deep learning shows higher simulation efficiency
299 than hydrologic models”.

300

301 **4. Discussions**

302 **4.1 Is the deep-learning technique a completely alternative for the hydrological model?**

303 Based on features of deep learning technique presented in previous sections, when flood or drought forecasting is
304 performed through a deep learning, “Critical malfunction” that performs an incorrect prediction even though a
305 dangerous level of flood or drought will occur due to unlearned hydrological events” can occurred. For example,
306 as shown in Figure 6 (b), it is the result of simulating a different trend of runoff decrease from actual trend or a
307 lower peak runoff value compared to the actual peak runoff. In fact, these problems have already been pointed out
308 in previous studies. For instance, Sit et al. (2020) commented that “ethics in disaster management and public
309 planning may arise due to the automation of hydrologic modeling with deep learning” through the title “Ethics in
310 deep learning applications” in the research.

311 In addition, it was suggested that the impact on individuals, the environment, and society should be
312 prioritized according to decision making in the water management field (Ewing and Demir, 2020). Moreover,
313 Nearing et al. (2020) also claimed that “Most hydrologists would agree that the calibrated conceptual model
314 would provide better performance than the data-based model for simulations under changing conditions”. It
315 becomes clearer when these research cases are applied to the field of water management. For example, suppose
316 that a flood or drought forecast is performed using deep learning, and the forecasted result is incorrect due to
317 unusual weather conditions such as extreme precipitation. Incorrect flood forecasting may result in damage to
318 human life or property, or personal water use may be unreasonably restricted due to incorrect prediction results

319 (Ewing and Demir, 2020).

320 Also, if the user cannot capture the structure learned by deep learning, it would be hard to understand or
321 evaluate the results of the deep learning technique or its uncertainty for runoff simulation. Therefore, if it is not
322 possible to predict and examine the suitability of simulated runoff by deep learning, the responsibilities will also
323 be unclear (Campolo and Crawford, 2020; Orr and Davis, 2020). Jobin et al. (2019) suggested that five ethics
324 containing transparency, justice and fairness, non-maliciousness, responsibility, and privacy are necessary when
325 applying artificial intelligence technology such as deep learning. If a “Critical malfunction” occurs due to
326 incorrect prediction as shown in Figure 6 (b), it is also necessary to consider how to deal with ethical issues based
327 on transparency and responsibility for error occurrence in simulation results.

328 Therefore, in the field of hydrological study, it will be difficult for deep learning to completely replace the
329 hydrologic model, and solutions to the logical and ethical problems considered above or social consensus must be
330 prioritized. In conclusion, this study proposes the following three points in order to replace the hydrologic model
331 or to perform hydrologic modeling using deep learning so far. 1) Application to simple research purposes or risk
332 analysis, etc., not to fields that directly affect people's lives, such as flood and drought forecasting or water
333 resource management, 2) Building an effective decision-making system that allows users to review or evaluate the
334 simulation results of deep learning, and 3) Prioritizing social consensus on who is responsible for ethical review
335 of simulated results.

336

337 **4.2 Alternatives to Deep Learning for Hydrological Study: Hybrid Model Combining Deep Learning and** 338 **Hydrologic Models**

339 In this study, we discussed that the deep learning technique cannot completely replace the hydrologic model, even
340 though it shows superior simulation efficiency compared to the hydrologic model. However, the superior
341 efficiency of deep learning compared to the hydrological model is clearly an offer we cannot refuse. Therefore,
342 this study proposes a hybrid model and methodology to utilize and combine both the conceptualized rainfall-
343 runoff process of the hydrologic model (i.e. TANK model) and the accuracy and effectiveness of deep learning
344 technique (i.e. LSTM). For this, it is necessary to discuss the uncertainty of the hydrologic model first. One of the
345 main reasons for the uncertainty in the hydrologic model is that the rainfall-runoff process is not fixed in time and
346 space (Montanari and Grossi, 2008). Therefore, it is difficult to eliminate entire uncertainty in the simulation

347 results of the hydrologic model, and it is important to quantify it as much as possible (Krzysztofowicz, 2001).

348 In the past, quantification or analysis of uncertainty was mainly performed through statistical methods, but
349 we already have “deep learning technique” in our hands, which is a powerful analysis tool for complex and high-
350 dimensional data. If the uncertainty generated from the hydrologic model is caused by temporal and spatial
351 changes in the rainfall-runoff process according to the weather or watershed characteristics, it would be a very
352 suitable material for deep learning technique pursuing the “black-box method that derives user-desired
353 information from complex multidimensional data (Sengupta et al., 2020)”. Therefore, assuming that the
354 hydrologic model simulates the rainfall-runoff process of the watershed, the residuals occurred in the model can
355 be caused by temporal and spatial characteristics in the physical response of the watershed, and it will be possible
356 to supplement using deep learning technique. It is formulated as follows.

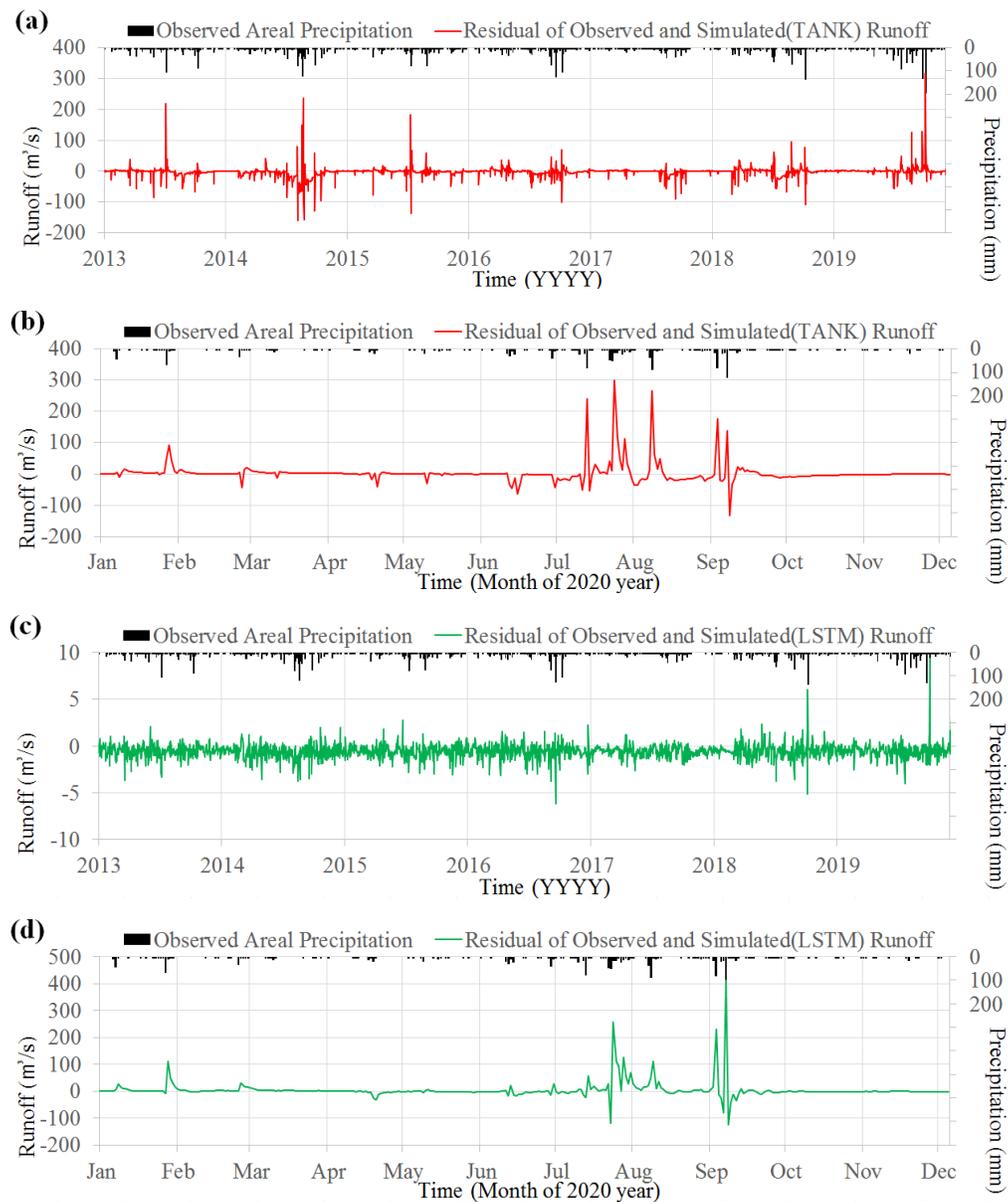
357

$$358 \quad \text{runoff}_{basin} = \text{runoff}_{model} + \text{runoff}_{uncertainty} \quad (15)$$

359

360 Here, runoff_{basin} is simulated runoff of the watershed, runoff_{model} denotes runoff simulated from the
361 hydrologic model considering fixed rainfall-runoff relationship in the watershed, and $\text{runoff}_{uncertainty}$ is the
362 residual between observation and results from the model estimated from the deep learning technique that takes
363 account of patterns of residual in the modeling results. Therefore, the runoff generation in the watershed can be
364 simulated by mixing the simulation result of the rainfall-runoff process through the hydrologic model and the
365 estimation of the spatiotemporal uncertainty of the modeling process using the deep learning technique. These
366 two methods can be used as a complementary hybrid model. This study applied this hybrid model for the
367 Hyeongsan River basin and evaluated its applicability. In order to examine the uncertainty of each model, the time
368 series of residual, which is difference between the simulated and observed runoff, was analyzed for calibration
369 and validation periods (Figure 8).

370



372 **Fig. 8** Residual time series between observed and simulated runoff; (a) TANK model in calibration period; (b)
 373 TANK model in validation period; (c) LSTM model in calibration period; and (d) LSTM model in validation
 374 period.

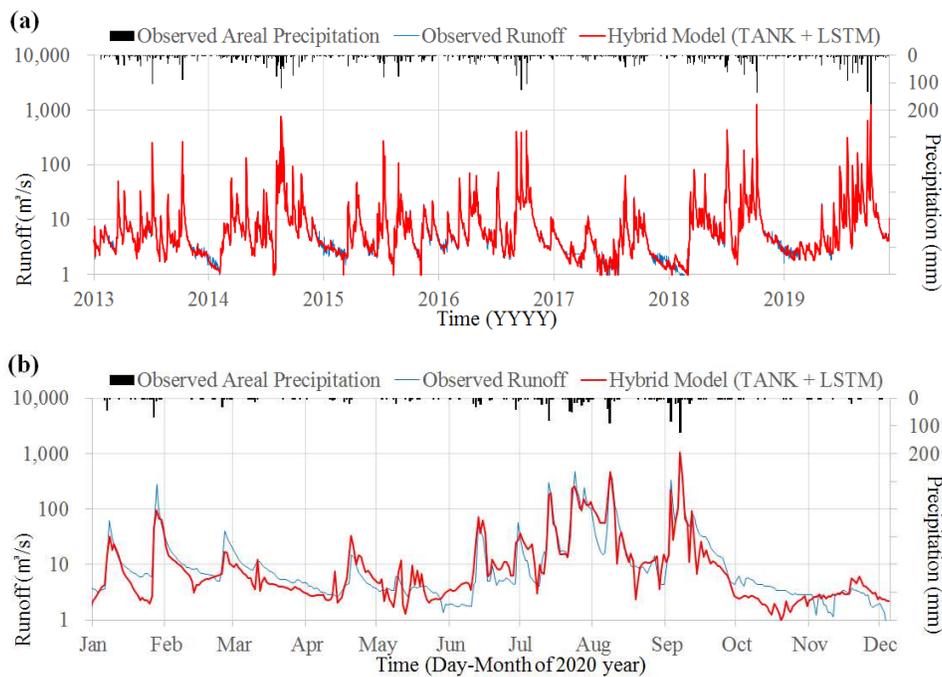
375

376 As shown in Figure 8 (a) and (b), it was found that the residuals of the TANK model show a similar trend

377 as described in Figure 7. On the other hand, the residual time series of the LSTM model represented in Figure 8 (c)

378 and (d) showed a different trend between the calibration and verification periods. The residuals for calibration

379 period showed high accuracy within $\pm 5 \text{ m}^3/\text{s}$, but for the verification period, it provided a large residual, showing
 380 a similar trend to the TANK model. Therefore, the residual of the TANK model cannot be conceptualized due to
 381 its non-linearity and complexity, but it allows us to grasp certain patterns and trends, and these residuals can be
 382 estimated using the deep learning technique (i.e. LSTM model). In this study, for the residual time series of the
 383 TANK model during the calibration period (2013-2019), the LSTM model under the same conditions was trained,
 384 and the verification was also performed in 2020 (Figure 9).
 385



386 **Fig. 9** Simulated runoff result with hybrid (TANK and LSTM) model; (a) calibration period (2013~2019); (b)
 387 validation period (2020).

388
 389 Figure 9 indicated that the hybrid model combining simulated runoff from the TANK model and
 390 estimated residuals from the LSTM model provided desirable performance for both of calibration and verification
 391 periods. The evaluation metrics also showed good performance with $R^2 = 0.98$, $RMSE = 0.6 \text{ m}^3/\text{s}$ for calibration
 392 period, and $R^2 = 0.90$, $RMSE = 25.1 \text{ m}^3/\text{s}$ for verification period compared to the results when two models are
 393 used independently ($R^2 = 0.65$, $RMSE = 41.3 \text{ m}^3/\text{s}$ for TANK model and $R^2 = 0.73$, $RMSE = 39.3 \text{ m}^3/\text{s}$ for LSTM
 394 model). For the calibration period, the simulation efficiency was almost the same as the result when using only

395 the LSTM model, but for the verification period, it showed better simulation efficiency compared to two models.
396 This proves that the hybrid model proposed in this study can be applied to the Hyeongsan River basin for runoff
397 simulation.

398 The limitation of the proposed hybrid model is that the uncertainty still exists in runoff simulation results.
399 The hybrid model tried to reduce the uncertainty in the runoff simulation by combining the runoff simulated from
400 the TANK model and the residuals estimated from the LSTM model. However, uncertainty in the runoff
401 generation still exists even though the magnitude of error has been reduced. In addition, the “Critical malfunction”
402 of the deep learning technique can occurred when using LSTM model as presented previous section. Therefore,
403 additional processes are needed to minimize the overall uncertainty in residual estimation from deep learning
404 when using the hybrid model.

405 For example, if the uncertainty estimated from the deep learning exceeds the range of the runoff
406 simulation result from the hydrologic model, incorrect errors can be ignored. In addition, there are essential
407 alternatives to solve all the problems considered in this paper. If deep learning can conceptually understand and
408 learn rainfall-runoff process, it can provide higher simulation efficiency and show a similar structure to the
409 hydrologic model, and this will be the ultimate answer.

410

411 **5. Conclusions**

412 This study conducted the runoff simulation using a deep learning technique (i.e. LSTM model) that has recently
413 been spotlighted, examined whether it could replace the hydrological model that used in numerous studies, and
414 suggested a novel approach how to improve the performance of runoff simulation with minimum errors. For this,
415 we simulated and compared the runoff generation from 2013 to 2020 in the Hyeongsan River basin in Korea
416 peninsula using LSTM model and the TANK model and it was considered that it is impossible to completely
417 replace the hydrologic model by deep learning technique. Also, a method for improving the overall performance
418 of runoff simulation through a hybrid model of deep learning and hydrologic model was proposed. The results
419 obtained in this study are briefly summarized as follows.

420 1. For the Hyeongsan River basin, runoff simulation was performed using hydrological data from 2013
421 to 2020, LSTM and TANK models. The result of the simulation showed that overall performance of
422 runoff modeling through the deep learning (i.e. LSTM model) is better than the hydrologic model (i.e.

- 423 TANK model).
- 424 2. Despite the high simulation result, unlike the hydrologic model that conceptually simulate the
425 rainfall-runoff process of the watershed, the deep learning technique is built through process that
426 users cannot understand, so prediction errors may occur due to input data with a different features.
427 This is one of the limitations the deep learning contains. Therefore, it would be difficult to completely
428 replace the hydrologic model in the field of hydrological modeling using deep learning technique.
- 429 3. This study proposes the following three points in order to replace the hydrologic model or to perform
430 hydrologic modeling using deep learning so far. 1) Application to simple research purposes or risk
431 analysis, etc., not to fields that directly affect people's lives, such as flood and drought forecasting or
432 water resource management, 2) Building an effective decision-making system that allows users to
433 review or evaluate the simulation results of deep learning, and 3) Prioritizing social consensus on who
434 is responsible for ethical review of simulated results.
- 435 4. This study also proposed a hybrid model to utilize both the conceptualized rainfall-runoff simulation
436 of the hydrologic model and the high accuracy of the deep learning. The proposed model showed
437 desirable performance of runoff simulation than LSTM and TANK models.

438 In the discussion section of the IAHS “Unsolved Problems in Hydrology” (Blöschl et al., 2019), the
439 question “Will deep learning play a real role in hydrology modeling in the future?” was proposed (Nearing et al.,
440 2021). As conclusion, this study would like to answer this as follows. “Deep learning will be an effective solution
441 that hydrologist cannot refuse. However, ‘at least for now’ it is hard to be a complete alternative for hydrological
442 model”.

443

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447

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