

Spatial Variability of Soil Moisture in Mining Subsidence Area of Northwest China

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Research

Keywords: classical statistics and multi-dimensional geo-statistics, mining subsidence area, preferential flow, spatial distribution of soil moisture, spatial variability

Posted Date: July 8th, 2021

DOI: <https://doi.org/10.21203/rs.3.rs-678820/v1>

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1 **Spatial variability of soil moisture in mining subsidence area**
2 **of northwest China**

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16 **#Remark:** Lu Bai and Yajing Wang should be considered joint first authors of the
17 article.

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43 **Highlights**

- 44 **a.** The authors took Nalin River No.2 mine as the research object, and discussed the
45 impact of coal mining subsidence on soil water in the aeration zone from the
46 perspective of spatial variability rather than that of absolute soil water changes.
- 47 **b.** This study applied the combined method of classical statistics and
48 multi-dimensional geo-statistics to analyze the changes of soil moisture of time
49 and space from 0-10m in the mining face of Nalin River No.2 Mine in Northwest
50 China from the perspective of spatial variability.
- 51 **c.** The spatial variability of soil moisture in the 1-year subsidence area and the
52 2-year subsidence area increased, and the variability showed a trend of increasing
53 continuously with the increase of depth.
- 54 **d.** During the principal component analysis, it was found that the change of soil
55 texture caused by coal mining subsidence, the change of microstructure of soil
56 pores caused by geotechnical deformation, as well as the preferential flow caused
57 by changes in groundwater level were the main reasons for the increasing spatial
58 variability of soil moisture.

59 **Abstract**

60 The current research only investigate the impact of coal mining on deep soil moisture
61 from the perspective of the absolute value of soil moisture. This study applied the
62 combined method of classical statistics and multi-dimensional geo-statistics to
63 analyze the changes of soil moisture of time and space from 0-10m in the mining face
64 of Nalin River No.2 Mine in Northwest China from the perspective of spatial
65 variability. The results of the study showed that in time distribution, on the whole, the
66 soil moisture in the partial areas of the 1-year and the 2-year subsidence area was
67 lower than that in the control area, and the variability increased, but as the subsidence
68 entered a stable period, the degree of variability decreased; vertically observed, in
69 space distribution, the 0-10m soil moisture in the control area had obvious distribution
70 rules with low spatial variability. However, the spatial variability of soil moisture in
71 the 1-year subsidence area and the 2-year subsidence area increased, and the
72 variability showed a trend of increasing continuously with the increase of depth.
73 During the principal component analysis, it was found that the change of soil texture
74 caused by coal mining subsidence, the change of microstructure of soil pores caused
75 by geotechnical deformation, as well as the preferential flow caused by changes in
76 groundwater level were the main reasons for the increasing spatial variability of soil
77 moisture. This study revealed the principals of spatial variability of soil moisture in
78 coal mining subsidence areas in Northwest China, which can provide a scientific basis
79 for the restoration of mining areas.

80 **Keywords:** classical statistics and multi-dimensional geo-statistics; mining
81 subsidence area; preferential flow; spatial distribution of soil moisture; spatial
82 variability

83 **1. Introduction**

84 In China's energy structure, coal will still play an irreplaceable role for quite a
85 long time. According to the statistics, by 2030, China's coal consumption will account

86 for about 55% of primary energy consumption. In the short term, China's energy
87 strategy of "coal-based, diversified development" will not be changed (Wang, 2015).
88 The Shen Dong Coalfield is rich in resource reserves that account for about 1/4-1/3 of
89 China's total coal reserves (Wang, 2017). Therefore, the Shen Dong Coalfield serves
90 as the ballast stone of the coal industry and a stepping stone for China's energy safety
91 and security. The region of Shen Dong is located in the transition zone between the
92 Loess Plateau and the Mu Us Desert, with poor natural conditions and a fragile
93 ecological environment (Fan, Xiang, & Peng, 2016; Peng & Bi, 2020); it is also
94 located in the Yellow River Basin, with the low ecological threshold and poor anti
95 disturbance ability. At present, due to coal mining, the underground water level of the
96 Yellow River Basin is declining and the ecological environment is further
97 deteriorating. In 2019, general secretary Xi Jinping inspected the ecological
98 environment and economic development of the Yellow River Basin and delivered
99 important speeches (Xi, 2019), which brought more and more attention to the Shen
100 Dong coalfield located in the Yellow River basin. Therefore, the coal exploitation
101 and ecological environment protection in Shen Dong coalfield have always been the
102 focus of research.

103 In the semi-arid northwestern area with wind and sand, soil moisture is a key
104 factor in restricting the growth of vegetation, and limiting the restoration of the
105 ecological environment in subsided areas caused by coal mining (Bi et al., 2014;
106 Dougill, Heathwaite, & David, 1998). High-intensity mining causes the movement
107 and deformation of rock formations to form subsidence areas and produce cracks,
108 which affects hydrogeology, soil, and vegetation from the bottom to top (Bi et al.,
109 2014; Wang, 2017). The first result is the change in soil moisture caused by the
110 formation of subsidence areas and cracks (Zhao, 2006). The transports and changes of
111 soil nutrients are closely related to the changes of soil moisture, so changes of soil
112 moisture in subsidence areas will inevitably lead to the transports and changes of
113 nutrients such as nitrogen, phosphorus, potassium and organic matters (Li, Zhou, & Li,
114 2001; Wang, Zhang, Song, & Zhang, 2002; Zhao, Jia, & Wang, 1991). The changes of
115 soil moisture also varies the distribution and circulation of the original water and
116 material in the ecosystem, thus affecting the ecological environment. Therefore, it is
117 of great significance to study the change law and influence mechanism of soil water
118 under the condition of coal mining subsidence for vegetation restoration and
119 ecological reconstruction in coal mining subsidence areas. However, currently there is
120 no unified understanding of the changes in soil water caused by coal mining.

121 On the one hand, some scholars believe that ground fractures caused by coal
122 mining are important factors in affecting the changes of soil moisture in subsidence
123 areas (Guo, Ma, & Su, 2019; Liu, Wu, & Yu, 2016; Wang, Kang, & Hu, 2011; Wei,
124 He, & Hu, 2006). In addition, the depth, density, width as well as distance from the
125 fractures all influence the changes in soil moisture (Ma & Yang, 2019; Wu, Feng, &
126 Hu, 2020; Wu, Tian, & Tang, 2019; Zhang, Bi, & Chen, 2015; Zou, Bi, & Zhu, 2014).
127 Zhang, Bi, and Chen (2015) tracked and monitored the fractures in a fixed position in
128 Bu Lian ta mine in Shen fu-Dongsheng Coalfield, and then found that the soil water
129 content in the fractured and non-fractured areas within the subsidence area was less

130 than that in the virgin area. Moreover, the soil water content around the ground
131 fractures decreased significantly, with a significant downward trend in the depth of 0
132 -90 cm, ranging from 9.27% to 15.47%; Wu, Tian, and Tang, (2019) took the Fuxin
133 subsidence area as the example to extend their research, finding that with the increase
134 of the distance from the crack, the soil moisture content increased, but the influence
135 was not obvious after 2m; Ma and Yang (2019) took the soil in the development area
136 of ground fractures mined by Ephedra in northern Shanxi as the research object,
137 finding that the moisture content of the soil near stepped ground fissures, except the
138 surface, is higher than that in the non-cracked area at all depths. The above scholars
139 believe that the ground fissures caused by coal mining are important factors in
140 affecting the changes of soil moisture in the subsidence area, but a consensus has not
141 been formed about the degree and scope of the influence.

142 On the other hand, some scholars believe that coal mining subsidence has no or
143 unobvious effect on soil moisture. For example, Wang, Gao, and Wei (2006) studied
144 the soil bulk density, porosity, saturated hydraulic conductivity, and nutrients in the
145 subsided and non-subsided areas of the Bu Lian ta Coal Mine. The study discovered
146 that the soil bulk density and porosity of the top and middle of the collapsed dune did
147 not have significant changes while the soil bulk density at the bottom of the dunes and
148 the lowlands between the dunes was significantly reduced with the significantly
149 increased porosity. Additionally, the saturated hydraulic conductivity within 0-60cm
150 did not change significantly when the total nitrogen, total phosphorus and total
151 potassium content within 0-100cm did not change significantly. However, the research
152 results of "ecological restoration technology experiment and demonstration research
153 in Shen Dong mining subsidence area" completed by Inner Mongolia Agricultural
154 University and China University of Mining and Technology in 2007 show that
155 basically, coal mining subsidence does not affect soil nutrients and moisture (Li, He,
156 & Gao, 2012). The scholars who hold the above views believe that the soil water
157 supply comes from atmospheric precipitation, but not from groundwater. Mining
158 disturbance affects groundwater, but has a limited impact on soil water.

159 To sum up, the authors believe that the main reason for the differences in
160 previous studies is that the influence of coal mining on soil moisture was only studied
161 from the absolute value of soil moisture, while ignoring the characteristics of the soil
162 itself as a heterogeneous continuum. Even in the area with the same soil texture,
163 various soil physical parameters are obviously different in kinds of spatial positions at
164 the same time, which is the spatial variability of soil properties. As one of the
165 important parameters of soil physical properties, soil moisture has high spatial
166 heterogeneity. From a macro point of view, surface cracking and collapse affect the
167 conditions of soil water infiltration and evaporation, thus resulting in the change of
168 soil water; from a micro point of view, the factors affecting the change of soil water
169 also include the change of soil texture, bulk density and pore structure (Zhao, Zhang,
170 & Song, 2010). At present, scholars in China and other countries have carried out
171 some works on the spatial variability of soil moisture in the surface layer (0-1m), but
172 few reports on the spatial variability of soil moisture in the unsaturated zone of coal
173 mining subsidence areas.

174 Therefore, the authors took Nalin River No.2 mine, whose reserves are at 6 years,
175 in Yu Shen fu coal mine area in the east of Mu Us Desert as the research object,
176 constructed classic statistics and multi-dimensional geo-statistics methods. Moreover,
177 the study analyzed the variability of soil moisture in the unsaturated zone in the
178 subsidence area from the perspectives of time and space, and discussed the impact of
179 coal mining subsidence on soil water in the aeration zone from the perspective of
180 spatial variability rather than that of absolute soil water changes, so as to provide the
181 scientific basis for ecological restoration in mining areas.

182 **2. Materials and methods**

183 2.1 Overview of the Study Area

184 In the east of Mu Us Desert (108 ° 51 ' 30 "E - 109 ° 00 ' 00 " E, 37 ° 58 ' 00 "N -
185 38 ° 05 ' 30 " N), Nalin River No.2 mine is located in the ecologically fragile
186 northwest region. The geographical location is shown in Figure 1. The region has an
187 arid and semi-arid continental climate, dry and rainless, windy and sandy. The average
188 annual precipitation is 350mm and the average annual evaporation is 2500mm here.
189 At the same time, the region is located in the semi-desert area of plateau desert
190 landform, with sparse and scattered vegetation and crescent or wavy dunes on the
191 surface. The soil types are mainly fixed and semi-fixed aeolian sandy soil, and the
192 vegetation types are mainly xerophytic and semi-xerophytic sandy. There are two
193 seasonal rivers in the area, the depth of the phreatic water is 19.15-25.65m.
194 Furthermore, the average buried depth of the main coal seam is about 602m, and the
195 average thickness is about 4.65m. The mining method is longwall combined mining.

196 2.2 Collection and Determination of Soil Samples

197 According to the time of the mining completion, the study area was divided into
198 control area (CK), 1-year subsidence area (S1) and 2-year subsidence area (S2) with
199 partition sampling. According to the underground coal mining process, the
200 checkerboard distribution method was adopted. The grid design was 75m * 100m, and
201 each sampling point was in the center of the grid. The focus was on the subsidence
202 basin areas and edge fracture development areas such as the edge and center line of
203 the working face. A total of 60 soil sample collection points were set up in three areas,
204 among them 12 were set in the control area, and 24 were respectively arranged in
205 one-year subsidence area and two-year settlement area, as shown in Figure 2. Using
206 soil drill, 0-10m soil samples were collected at each sampling point, with an interval
207 of 1m. Three composite samples were randomly collected from each layer of soil
208 depth, so totally 1,800 soil samples were collected from 60 sampling points. After
209 natural drying, the samples were sieved with 2 mm mesh for the measure of soil
210 moisture (SM). SM was measured by gravimetry, in which each fresh soil sample was
211 dried at 105 ° C for 8 hours so that SM was generated by the difference between the
212 fresh weight and dry weight of soil (Zou, Bi, & Zhu, 2014).

213 2.3 Data Analysis

214 2.3.1 Classical Statistical Methods

215 SPSS20.0 data analysis tool was used to conduct normal distribution K-S test on
216 all data ($p=0.05$), and the mean, median value, standard deviation (SD), coefficient of
217 variation (CV), maximum value and minimum value were calculated. Mean and

218 median values reflect the centralized trend of samples, while the positional
 219 relationship between mean and median values reflects the relationship between data
 220 distribution and outliers. The SD and CV reflect the variability characteristics of data
 221 so as to measure the degree of data dispersion (Ma, 2013). It is generally recognized
 222 that $CV > 40\%$ is high variation, $10\% < CV < 40\%$ is moderate variation, and $CV \leq$
 223 10% is low variation (Mo, Zhou, & Yang, 2015).

224 2.3.2 Geostatistical Methods

225 Geo-statistics can be adopted to study the spatial distribution of soil heavy metals,
 226 soil nutrients and soil moisture (Chartres, 1986; Jing, Wang, & Zhu, 2018; Ma, 2013;
 227 Marcin & Marcin, 2014), where semi-variance function can be used to determine the
 228 spatial autocorrelation of variables, and Kriging interpolation method can also be used
 229 to simulate and estimate the regional content around the sampling point.

230 Semi-variance function, also known as variogram, is used to quantify the
 231 randomness and spatial structure of variables, below is the calculation formula:

$$232 \quad \gamma(h) = \frac{1}{2N(h)} \sum_{i=1}^{N(h)} [Z(x_i) - Z(x_i + h)]^2 \quad (1)$$

233 In this formula, $\gamma(h)$ is the variogram; h is the spatial distance between two
 234 variables; $N(h)$ is the number of sample point pairs; $Z(x_i)$ is the observation value of
 235 the spatial position point x_i ; $Z(x_i + h)$ is the observation value of the point h away
 236 from x_i [$i = 1, 2, N(h)$]. The commonly used semi-variogram models include spherical
 237 model, Gaussian model and index model:

$$238 \quad \gamma(h) = C_0 + C \left[1 - e^{-\frac{h^2}{\alpha^2}} \right] \quad (2)$$

$$239 \quad \gamma(h) = \begin{cases} C_0 + C \left[\frac{2}{3} \left(\frac{h}{\alpha} \right) - \frac{1}{2} \left(\frac{h}{\alpha} \right)^2 \right] \\ C_0 + C \end{cases} \quad (3)$$

$$240 \quad \gamma(h) = C_0 + C \left[1 - e^{-\frac{h}{\alpha}} \right] \quad (4)$$

241 In these formulas, α is the range; C_0 is the nugget constant, which represents the
 242 variance caused by random error; C is the space structure value caused by systematic
 243 factors; $C_0 + C$ is the abutment value, representing the total variance of variables; $C /$
 244 $(C_0 + C)$ is the spatial structure ratio. If $C_0/(C_0+C) < 25\%$, it can be shown that the
 245 data has strong spatial correlation; when $25\% < C_0/(C_0+C) < 75\%$, it can be shown
 246 that the data has medium spatial correlation; when $C_0/(C_0+C) > 75\%$, that the data has
 247 weak spatial correlation can be shown (Bogunovic, Pereira, & Brevik, 2017).

248 The standard for selecting the semi-variance function model is that the closer the
 249 mean absolute error(MAE) and the root mean square error (RMSE)of the cross-check
 250 result is to 0, the closer the regression fitting coefficient of determination R^2 is to 1,
 251 the higher the accuracy of the model's simulation is.

252 Kriging interpolation method is based on the spatial autocorrelation, using the
 253 original data of the regionalized variables and the structure of the variogram, with the
 254 adoption of linear, unbiased, and optimal interpolation methods for the unknown
 255 sampling points of the regionalized variables. The formula is as the following:

$$Z(x_0) = \sum_{i=1}^n \lambda_i Z(x_i) \quad (5)$$

In this formula, $Z(x_0)$ is the value of the point to be estimated; n is the number of sampling points; $Z(x_i)$ is the value of the i sampling point; λ_i is a group of weight coefficients; $\sum \lambda_i = 1$; the selection of λ_i ensures that the estimation of $Z(x_0)$ is unbiased and the estimation variance is minimum.

2.3.3 Principal Component Analysis Method

Principal component analysis (PCA) is a multivariate statistical analysis method that uses linear transformation to select a few important variables. After Kaiser Meyer Olkin (KMO) and sphericity test by Bartlett, the correlation analysis of vertical soil moisture in the study area was further adopted, and eventually the influencing factors of vertical distribution of soil moisture were determined (Zhang, Yang, & Bai, 2017).

KMO test statistics are mainly used in factor analysis of multivariate statistics to check the correlation and partial correlation between variables with a value between 0-1. The closer the statistical magnitude of KMO is to 1, the stronger the correlation between variables is and the weaker the partial correlation, the better the effect of factor analysis. Bartlett's sphere test is mainly used to test the distribution of data and the independence of various variables. In SPSS, if the test result shows Sig.<0.05, the data will show a spherical distribution. Common factor variance means that every variable can be represented by a common factor. The larger the extracted value is, the better the variable can be expressed by the common factor.

3. Results

3.1 Statistical Characteristics of Soil Moisture

It can be found from Figure 3 that: (a) no matter the mean or the median, the soil moisture of CK is higher than that of S1 and S2 on the whole. Moreover, the mean of soil moisture at each depth is basically close to the median value, indicating that the centralized distribution of data is not dominated by outliers; (b) the variation trend of SM in the vertical direction in different regions is basically the same. SM shows a downward trend above 2m, and reaches the lowest value in the range of 1-2m soil layer (4.49%, 3.07%, 3.02%, respectively). SM, however, increases from top to bottom with the increase of soil depth below 2m, and reaches the maximum value in the range of 9-10m soil layer (10.19%, 8.49%, 8.63%, respectively).

At the same time, descriptive statistics of 0-10m soil moisture in CK, S1 and S2 regions were carried out (Table1). According to table 2, $P > 0.05$ of 0-10m soil moisture in the three regions, and there was no significant difference in SM among different depth of soil layers, indicating that the value of soil moisture in each depth passed the K-S normal distribution test. Overall comparison of the three regions showed that the CVs from large to small were S1, S2 and CK, with an average CV of 43.60%, 29.31% and 25.68% respectively, ranging from 24.7% to 65.53%, 20.32% to 39.04% and 13.37% to 36.78%, indicating that the spatial variability of soil moisture in 1-year subsidence area was the highest. The above data show that there is no significant difference between the subsidence area and the control area, but there are still varying degrees of variability between different areas.

298 Compared with the CV of the same soil depth in different regions, the spatial
299 variability of CK increased at the depth of 0-3m and 4-10m. The variability of S1
300 gradually increased in the range of 0-5m and 7-9m, with the highest variability in
301 3-4m and 8-9m. S2 showed an increasing trend as a whole, but the variability was in
302 the middle of CK and S1, indicating that coal mining and other factors had a certain
303 impact on the soil moisture in the two-year subsidence area. But as the subsidence
304 stabilizes, the area itself may complete certain self-repairs (Li, He, & Gao, 2012) so
305 the degree of variation is relatively reduced. The above data show that the variation
306 trend of subsidence area and control area is discrepant in different soil depths due to
307 mining activities.

308 To sum up, the variation trend of soil moisture in the vertical direction in each
309 area is basically similar. However, due to the different effects of coal mining activities
310 and other factors on the soil at different depths, there are some differences in the
311 variation degree. For example, the variation degrees of soil moisture in 0-1m and
312 5-10m in the control area were significantly lower than that in the subsidence area,
313 while there was no consistent rule in the 2-5m soil moisture variation, indicating that
314 the surface subsidence increased the variation degree of soil moisture; with the
315 subsidence entering the stable stage, the variation degree was relatively decreased, but
316 in 0-1m soil surface layer and 5-10m soil deep layer the variation degree was still
317 greater than that in the control area.

318 3.2 Change of Soil Moisture Spatial Structure

319 Table2 shows the best-fitting models of CK, S1 and S2 in 0-10m soil layers. C_0 is
320 the variation caused by experimental errors and the microdomain structure smaller
321 than the actual sampling scale, reflecting the size of random variation C (Cambardella
322 et al., 1994). It can be found from table 3 that the vertical comparison of C_0 value in
323 the subsidence area shows that the random variation of deep soil was larger than that
324 of surface soil, which may be caused by the difficulty of deep soil sampling.

325 According to C_0+C , all soil layers in the control area were lower than those in
326 the subsidence area, which indicates that the variation of soil moisture in the control
327 area was small within the range of variation. However, considering the variation trend
328 of C_0 in the three areas, it is found that the C value (structural variance, representing
329 the variation of non-random causes in the subsidence area) at 0-1m and 5-10m was
330 relatively larger than that in the CK, which indicated that the structural factors
331 including climate, coal mining subsidence, and soil texture have a greater impact on
332 the subsidence area.

333 The spatial structure ratio $C_0/(C_0+C)$ represents the proportion of system
334 variation in the total variation. According to the standard by Cambardella
335 (Cambardella et al., 1994), CK exhibited a strong spatial autocorrelation between
336 0-3m and 5-10m ($C_0/(C_0+C)<25\%$), with moderate spatial autocorrelation in 3-5m
337 ($25\%<C_0/(C_0+C)<75\%$); S1 had strong spatial correlation only in 1-4m while
338 moderate or weak spatial correlation in other depths; S2 had a strong spatial
339 correlation only at 1-5m while moderate or weak spatial correlation in other depths;
340 especially at a depth of 5-10m, the $C_0/(C_0+C)$ of 1-year and 2-year subsidence areas
341 were larger than 50%, and the degree of spatial variation was high. In conclusion, the

342 soil moisture in the one-year subsidence area and 2-year subsidence area shows strong
343 or moderate spatial variability on the surface of soil (0-1m) and deep soil (5-10m),
344 which is consistent with the variation trend in the classical statistical results.
345 Meanwhile, it is shown that the contribution of random factors to the spatial
346 distribution of soil surface and deep layer is relatively small, and the spatial variation
347 is mainly caused by structural factors.

348 Based on the above results, it is found that the overall variation degree of
349 subsidence area is higher than that of the control area, and the variation caused by
350 structural factors such as climate, mining subsidence and soil texture has a greater
351 impact on the subsidence area, especially the spatial variability of surface and deep
352 soil.

353 Use cross-check to verify the accuracy of the interpolation results in the three
354 regions, and calculate the MAE, RMSE, and R^2 between the predicted value and the
355 true value (Figure 4 and Table 3). The interpolation accuracy of the control area is
356 generally higher than that of the subsidence area, and the interpolation accuracy of the
357 subsidence area is the lowest in 1 years. It can be concluded that as the spatial
358 variability of soil moisture increases, the accuracy of Kriging interpolation decreases.

359 3.3 Spatial Distribution of Soil Moisture

360 From the above analysis, it can be seen in Figure 5 that soil moisture has great
361 spatial variability in 0-1m and 5-10m. Therefore, Kriging interpolation method was
362 used to draw the spatial distribution map of soil moisture in CK, S1 and S2 layers
363 (0-1m, 5-6m and 9-10m).

364 Comparing the interpolation results of CK, S1 and S2 in 0-1m, 5-6m and 9-10m,
365 it was found that there were no significant spatial variability in 0-1m, and there were
366 one low value area and two high value areas of SM. The low value area was located in
367 the one-year subsidence area, while the high value area was located in the control area
368 and the two-year subsidence area. This is mainly because the control area was not
369 affected by the ground fissures caused by coal mining, while the two-year subsidence
370 area was gradually stable so that the soil moisture was restored. At 5-6m, the high
371 value area was mainly located in the control area and 1-year subsidence area, but the
372 soil moisture distribution in the subsidence area had poor spatial continuity so as to
373 indicate that the subsidence caused by coal mining had a certain impact on the soil
374 moisture distribution. In 9-10m, the distribution of high value and low value areas of
375 soil moisture were similar to that of 0-1m soil layer. The high value area was mainly
376 located in the northwest of the control area and the 2-year subsidence area. However,
377 the low value area and the high value area in the 2-year subsidence area had poor
378 spatial continuity, which referred to high spatial variability. On the whole, the
379 distribution of soil moisture is that the high value area was located in the control area,
380 followed by the 2-year subsidence area and the 1-year subsidence area, which proves
381 that the surface subsidence has a greater impact on the soil moisture; the areas with
382 obvious spatial variability of soil moisture were mainly in the subsidence area,
383 especially in the deep soil, whose reason is the control area has not been mined. The
384 surface subsidence and ground fissures caused by the disturbance of coal mining
385 changed the soil structure and the water transport channel, so the soil moisture

386 decreased and the spatial variability increased.

387 The results of soil water interpolation of CK, S1 and S2 in 0-1m, 5-6m and
388 9-10m were compared vertically, and then it was found that the soil moisture in the
389 three regions decreased initially and then increased, which was consistent with the
390 changing trend of water in the results of classic statistical analysis. At the same time,
391 it can be seen that in CK area, there was weak spatial variability in each depth, and
392 the vertical distribution of soil water had obvious regularity, showing a decreasing
393 trend from northwest to Southeast; in S1 and S2 areas, the soil moisture in each depth
394 layer was less than that in CK area, and there was no obvious regularity in the vertical
395 distribution, and the variation degree of soil moisture in each depth layer was also
396 obvious. The reason is that the coal mining collapse caused the soil layer in the
397 subsidence area to be vertically inverted and reorganized, so that it caused changes in
398 soil texture, bulk density, porosity, and other physical properties of the soil, resulting
399 in a significant decrease in the subsidence area in soil moisture compared to that in
400 the control area. Moreover, it was also shown a strong variability existed in the spatial
401 distribution.

402 By comparing the soil water distribution in three regions vertically and
403 horizontally, it is found that the results of Kriging interpolation are basically
404 consistent with the results of classical statistics and model fitting. The water content
405 in the control area is higher than that in the subsidence area, while the variation
406 degree of subsidence area is higher than that of the control area. The main reason may
407 be that the surface subsidence and ground fracture caused by mining disturbance
408 changed the soil structure and soil physical properties, Then, it affected the water
409 migration channel, which led to the decrease of soil water and the increase of spatial
410 variability.

411 **4. Discussion**

412 4.1 Analysis of Influence Factors

413 It can be seen from 2.1 and 2.2 that the spatial variability of soil moisture in
414 1-year subsidence area was relatively high. Therefore, the principal component
415 analysis of 0-10m soil moisture in 1-year subsidence area was used to study the main
416 influencing factors. The results are shown in table 4 and table 5. In this study, three
417 principal component factors Y1, Y2 and Y3 were obtained, and their corresponding
418 variance contribution rates were 41.879%, 19.387% and 14.838% respectively. The
419 total cumulative variance rate was 76.104%.

420 From the matrix after rotation, the main influencing factors of the vertical
421 distribution of soil moisture in 1-year subsidence area can be found that the vertical
422 distribution of 0-1m soil moisture is mainly affected by factor Y3. The factor load is
423 -0.797, which may be surface factors such as vertical cracks and subsidence (Niu &
424 Yu, 2005), evaporation (Cambardella et al., 1994) and vegetation cover caused by coal
425 mining subsidence. The vertical distribution of soil moisture at 5-10m was mainly
426 affected by the factor Y1, possibly due to underground factors such as changes in the
427 microstructure of soil pores caused by rock and soil deformation, to changes in
428 groundwater level caused by disturbances in coal mining (Zhao, Shao, & Jia, 2014),
429 and their factor loads are respectively 0.694, 0.817, 0.611, 0.804, 0.820. The factors

430 Y1 and Y3 had little effect on the vertical distribution of soil water in the range of
431 1-5m, and were mainly affected by factor Y2, which might be the water holding
432 capacity and infiltration capacity of the soil itself, and their factor loads were 0.920,
433 0.905, 0.919 and 0.755, respectively. Considering the above factors, it can be found
434 that no matter ground fractures, subsidence, deformation of rock and soil layer, or
435 groundwater change, it is ultimately because the coal mining activities change the soil
436 porosity and texture. Those activities form the priority channel of water migration,
437 which affects the distribution of water and its circulation migration channel.

438 4.2 Effect of Coal Mining on Soil Moisture

439 The schematic diagram of the effect of coal mining on soil moisture is shown in
440 figure 6. The underground coal mining makes the underground goaf and surface
441 collapse. The tensile action in the process of collapse develops a large number of
442 surface fissures (fissures) resulting in changes of the original texture and structure of
443 the soil and the presence of preferential flow. Therefore, the hydraulic conductivity of
444 the soil as well as the evaporation area and intensity of soil water are increased. At
445 the same time, the field water holding capacity, the water holding capacity of the
446 whole aerated zone, and the soil moisture content is reduced. As a common form of
447 soil water transport and a sign of soil water movement from homogeneous to
448 heterogeneous, preferential flow is the rapid and non-equilibrium seepage flow of
449 water in the soil. Preferential flow can reduce the effectiveness of water and nutrients,
450 threaten groundwater, and cause natural disasters such as avalanche, landslide and
451 mudslides (Lei, 2010; Lu, Lu, & Li, 2015). As a common phenomenon in soil,
452 fissures have complex effects on the generation and process of preferential flow.
453 When the cracks are small, the rainfall first needs to supplement the moisture loss of
454 the upper soil, and then infiltrates downward; when the cracks are large, the rainfall
455 infiltration mode also changes from "piston" infiltration in the non-collapse area to the
456 "shortcut" one. The surface water infiltrates directly along the large cracks, and
457 directly connects with the groundwater and mine water, changing the original soil
458 moisture circulation path, which is the generation of preferential flow. At the same
459 time, the fissures increase the surface area of water infiltration, which leads to an
460 increase in the transport speed of water and solutes (Li, 2007), resulting in changes in
461 soil moisture. Therefore, the soil fissures caused by coal mining will lead to the
462 production of preferential flow. The study on the characteristics of soil priority flow in
463 Shen Dong subsidence area shows that the soil preferential flow in coal mining
464 subsidence area mainly includes the soil macropore flow. The soil water infiltration
465 process in the studied area has the characteristics of non-equilibrium. The steady rate
466 of infiltration and outflow of the soil layer with preferential flow is greater than that
467 of the soil layer with uniform flow. Therefore, it can be found that the subsidence
468 caused by coal mining collapse as well as the soil priority flow caused by cracks are
469 reasons for the change of soil surface water.

470 In addition, soil moisture is also affected by its own water holding capacity,
471 infiltration capacity and other factors, which are closely related to its bulk density,
472 porosity, texture and other soil properties. The disturbing effect of coal mining on the
473 soil is not only reflected in the surface or a certain level, but from top to bottom, it

474 will be directly or indirectly disturbed by coal mining. In this regard, due to the
475 indirect influence of surface subsidence or ground fissures, the soil properties of the
476 middle soil have changed to a certain extent, such as the increase of porosity and the
477 decrease of bulk density (Clothier, Green, & Deurer, 2008; Jarvis, 2007; Zhu, 2017).
478 Those changes will inevitably affect the water holding capacity and infiltration
479 capacity of the soil, thus affecting the movement of soil water. The preferential flow
480 mentioned above initially refers to the phenomenon of water flowing through
481 macropores and bypassing the soil matrix to move down rapidly. Therefore, the
482 change of soil moisture in the middle is caused by the macropores resulted from the
483 disturbance of coal mining, that is preferential flow, which changes the channel of soil
484 water movement. In Suning's research, it is pointed out that as the depth of the soil
485 increases, the spatial differentiation and variation of the preferential flow of the soil in
486 the coal mining subsidence area continues to increase, and the spatial morphology of
487 the flow in the area where preferential flow occurs becomes more complex (Clothier,
488 Green, & Deurer, 2008).

489 At the same time, after the underground coal seam is mined out or the ore body
490 aquifer is discharged, the stress equilibrium of the rock mass around the goaf changes.
491 The changes will inevitably cause the deformation, breakage and movement of the
492 rock layer, and then change the microstructure of soil pores (i.e., produce cracks), so
493 as to affect the movement of soil water. In addition, due to coal mining, the
494 groundwater level in the underground part of mining and the surrounding area drops,
495 resulting in a large amount of water loss of the surface soil and soil volume shrinkage.
496 Finally the fissure preferential flow is formed (Li, Zhang, & Wang, 2015) and affects
497 the movement and distribution of soil water. The depth of this fissure is generally
498 above the phreatic water level, or in the entire soil aerated zone. Its scale and
499 distribution are often closely related to the scale of underground engineering, mining
500 technology and mining speed, the physical and mechanical properties of the land and
501 hydraulic power, as well as to the soil moisture content of the soil in the tensile
502 deformation stage (Cheng, 2016). To sum up, the changes of soil pore microstructure
503 and groundwater level caused by the deformation of rock and soil caused by coal
504 mining will lead to the formation of preferential flow of soil fissure and change the
505 soil water transport channel. Moreover, the mining scale and intensity are different in
506 various areas, and the spatial variation of soil water will be different.

507 Considering the above conclusions, it can be inferred (Figure 5): the collapse and
508 cracks caused by underground coal mining have changed the surface soil texture to a
509 certain extent and caused preferential flow, which in turn affected the evaporation,
510 infiltration and migration of soil moisture. However, due to the limited influence
511 range of collapse and cracks, although the average value of soil water content in the
512 subsidence area has decreased, there is no consistent change law in the overall spatial
513 distribution, and an increase in spatial variability is shown. In addition, the changes in
514 soil hydraulic properties caused by the indirect influence of coal mining disturbances,
515 the changes in the microstructure of soil pores caused by the deformation of rock and
516 soil caused by coal mining as well as the fluctuations of groundwater level also have
517 significant effects on the moisture in the deep unsaturated zone of the soil, so that the

518 disturbance spatial variability is enhanced.

519 **5. Conclusions**

520 In this study, the classical statistics and multi-dimensional geo-statistics method
521 were used to analyze the spatial and temporal distribution of 0-10m soil moisture in
522 the 1-year subsidence area, 2-year subsidence area and control area of Nalin River
523 No.2 mine. Through the above research, it is found that:

524 (a) The vertical variation of soil moisture in both mining subsidence area and
525 control area firstly decreases and then increases with the increase of soil depth,
526 while the horizontal variation of soil moisture in the control area is higher than
527 that in the mining subsidence area.

528 (b) Classical statistical analysis showed that the variation degree of soil moisture
529 in different layers of 0-10m in the control area was not significant on the whole;
530 the variation degree of soil moisture in each layer of 1-year subsidence area and
531 2-year subsidence area was quite different, but with the subsidence entering the
532 stable period, the variation degree decreased and still higher than that in the
533 control area. Geostatistical analysis showed that the spatial variability of soil
534 moisture in the control area was weak at all depth layers, while the spatial
535 variability of soil moisture in the subsidence area was significantly enhanced at
536 5-6 and 9-10m.

537 (c) Through analysis, it is found that the change of soil texture and preferential
538 flow caused by coal mining subsidence may be the main reason for the increase
539 of variation degree of soil moisture in the surface layer (0-1m); the change of soil
540 hydraulic property caused by the indirect influence of coal mining disturbance,
541 the change of soil pore microstructure caused by the deformation of rock and soil
542 caused by coal mining and the fluctuation of groundwater level may be the main
543 reasons for the increase of variation degree of soil moisture in the deep layer
544 (5-10m).

545 (d) In coal mining, it is suggested to reduce the disturbance of soil water by
546 timely landfill treatment of surface collapse and cracks, and to reduce the impact
547 of mining on the groundwater level by using water conservation mining
548 technology, and then to reduce the impact on surface vegetation.

549

550 **Acknowledgements**

551 This work was funded by the National Key Research and Development Program of
552 China (2018YFC0406404), Yue Qi Young Scholar Project, China University of
553 Mining & Technology, Beijing (2019QN08), and the Research on Ecological
554 Restoration and Protection of Coal Base in Arid Eco-fragile Region
555 (GJNY2030XDXM-19-03.2).

556

557 **Conflict of interest** The authors declare no competing interests.

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672 **Tables**

673 **Table 1.** Descriptive statistical results of SM

Deep Soil (m)	CK			S1			S2		
	SD	CV	P	SD	CV	P	SD	CV	P
0-1	0.73	13.37	0.2	1.12	24.7	0.2	1.14	23.6	0.09
1-2	0.95	21.21	0.2	1.5	48.71	0.2	0.68	22.47	0.2
2-3	2.09	31.99	0.2	1.86	49.2	0.2	0.84	20.32	0.2
3-4	0.87	18.17	0.13	2.57	59.05	0.12	1.05	26.93	0.06
4-5	1.4	36.78	0.2	2.37	47.75	0.2	1.03	25.02	0.13
5-6	2.05	34.83	0.2	2.05	37.6	0.2	1.62	36.39	0.2
6-7	1.63	33.1	0.11	1.36	29.55	0.14	2.46	32.5	0.05

7-8	2.13	24.43	0.2	2.45	36.15	0.2	2.9	35.14	0.2
8-9	1.9	17.65	0.17	5.06	65.53	0.2	2.26	31.68	0.06
9-10	2.57	25.22	0.2	3.21	37.8	0.2	3.6	39.04	0.16

674 **Table 2.** Best-fitted semi-variogram models and structure parameters of SM

Region	Deep Soil(m)	Model	C ₀	C ₀ +C	Range (m)	C/(C ₀ +C)
CK	0-1	Gaussian	0.005	0.160	253.468	3.13
	1-2	Gaussian	0.005	0.327	238.971	1.53
	2-3	Gaussian	0.004	4.411	263.565	0.09
	3-4	Gaussian	0.061	0.138	450.000	44.20
	4-5	Index	0.552	1.114	213.438	49.55
	5-6	Index	0.450	0.261	450.000	0.00
	6-7	Gaussian	0.121	0.423	298.380	0.00
	7-8	Gaussian	0.231	0.297	450.000	10.44
	8-9	Gaussian	0.340	0.808	288.841	0.00
	9-10	Spherical	0.421	0.857	300.000	0.00
S1	0-1	Gaussian	0.344	0.682	266.497	50.44
	1-2	Gaussian	0.107	1.582	208.319	6.76
	2-3	Gaussian	0.003	2.882	200.261	0.10
	3-4	Index	0.436	4.029	294.072	10.82
	4-5	Gaussian	1.340	4.978	475.805	26.92
	5-6	Gaussian	1.683	2.389	252.140	70.45
	6-7	Index	0.895	0.895	797.141	100.00
	7-8	Gaussian	2.315	3.100	421.271	74.68
	8-9	Gaussian	8.232	15.336	797.141	53.68
	9-10	Index	4.079	4.08	797.14	100.00
S2	0-1	Gaussian	0.273	0.896	452.761	30.47
	1-2	Gaussian	0.049	0.337	252.140	14.54
	2-3	Gaussian	0.000	0.694	299.757	0.00
	3-4	Index	0.000	0.500	195.877	0.00
	4-5	Gaussian	0.005	0.515	173.878	0.97
	5-6	Index	1.000	1.208	152.896	82.78
	6-7	Gaussian	2.247	3.882	679.738	57.88
	7-8	Gaussian	3.104	3.503	722.239	88.61
	8-9	Gaussian	1.210	1.722	183.909	70.27
	9-10	Gaussian	3.874	4.506	252.14	85.97

675 **Table 3** The validation of SM

Region	MAE	RMSE	R ²
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CK	0.7092	1.1483	0.7597
S1	0.8707	1.3736	0.5910
S2	0.7212	1.2246	0.7072

676 **Table 4** The principal component analysis of SM

Component	Initial Eigenvalue			Extract the Load Sum of Squares			Sum of the Square of the Rotating Load		
	total	variance percentage	accumulate %	total	variance percentage	accumulate %	total	variance percentage	accumulate %
0-1m(x1)	4.188	41.879	41.879	4.188	41.879	41.879	3.112	31.116	31.116
1-2m(x2)	1.939	19.387	61.266	1.939	19.387	61.266	2.960	29.595	60.711
2-3m(x3)	1.484	14.838	76.104	1.484	14.838	76.104	1.539	15.392	76.104
3-4m(x4)	0.960	9.605	85.709						
4-5m(x5)	0.583	5.830	91.539						
5-6m(x6)	0.376	3.756	95.295						
6-7m(x7)	0.267	2.674	97.969						
7-8m(x8)	0.164	1.641	99.610						
8-9m(x9)	0.037	0.365	99.975						
9-10m(x10)	0.003	0.025	100.000						

Table 5 The rotated matrix principal component analysis of SM

Deep Soil(m)	Factor Load After Rotation		
	Y1	Y2	Y3
0-1m(x1)	0.354	0.205	-0.797
1-2m(x2)	0.278	0.920	-0.200
2-3m(x3)	0.340	0.905	-0.072
3-4m(x4)	-0.052	0.919	0.063
4-5m(x5)	0.246	0.755	0.000
5-6m(x6)	0.694	0.197	0.142
6-7m(x7)	0.817	-0.079	0.112
7-8m(x8)	0.611	0.421	0.411
8-9m(x9)	0.804	-0.026	-0.161
9-10m(x10)	0.820	0.276	-0.238

677 **Figure Captions**

678 **Figure 1.** Schematic diagram of geographic location for study region.

679 **Figure 2.** Layout of sample points in different areas (a.S1 and S2; b. CK).

680 **Figure 3.** Box chart of 0-10m water content in different areas.

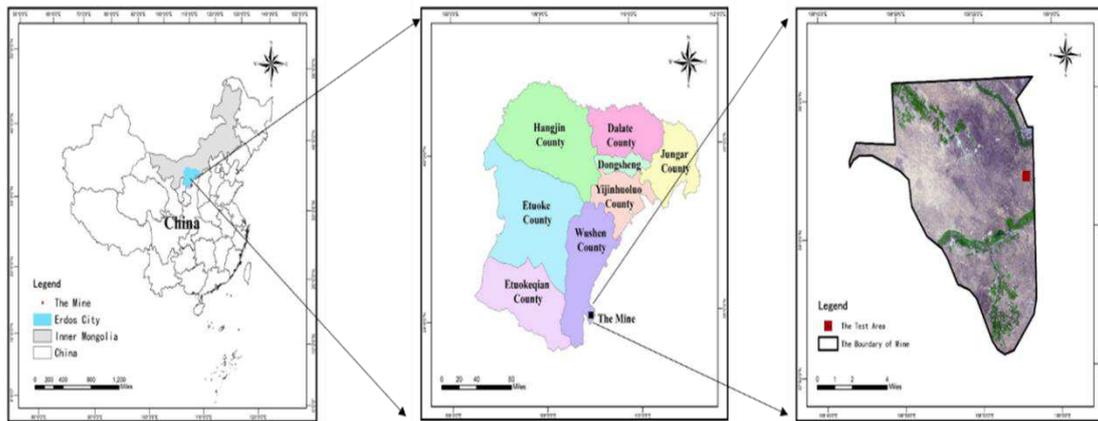
681 **Figure 4.** Crosscheck charts: a.CK; b.S1; c.S2

682 **Figure 5.** Spatial variability of SM.

683 **Figure 6.** Spatial variation of soil moisture in mining subsidence area

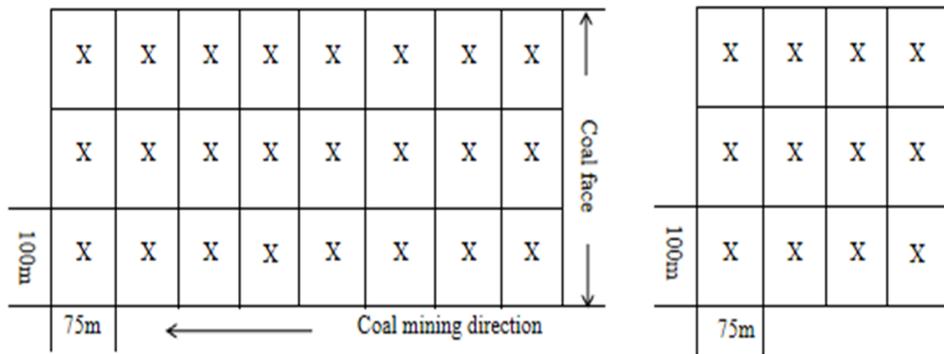
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687 **Figure 1**



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689 **Figure 2**

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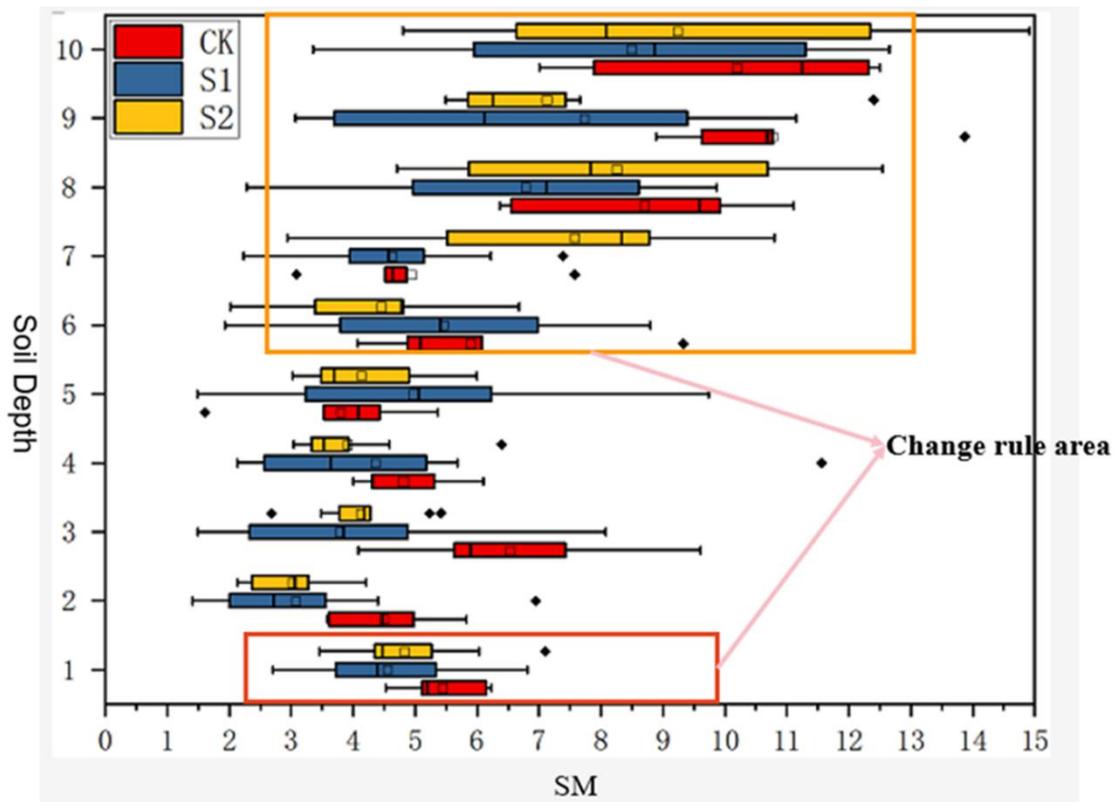
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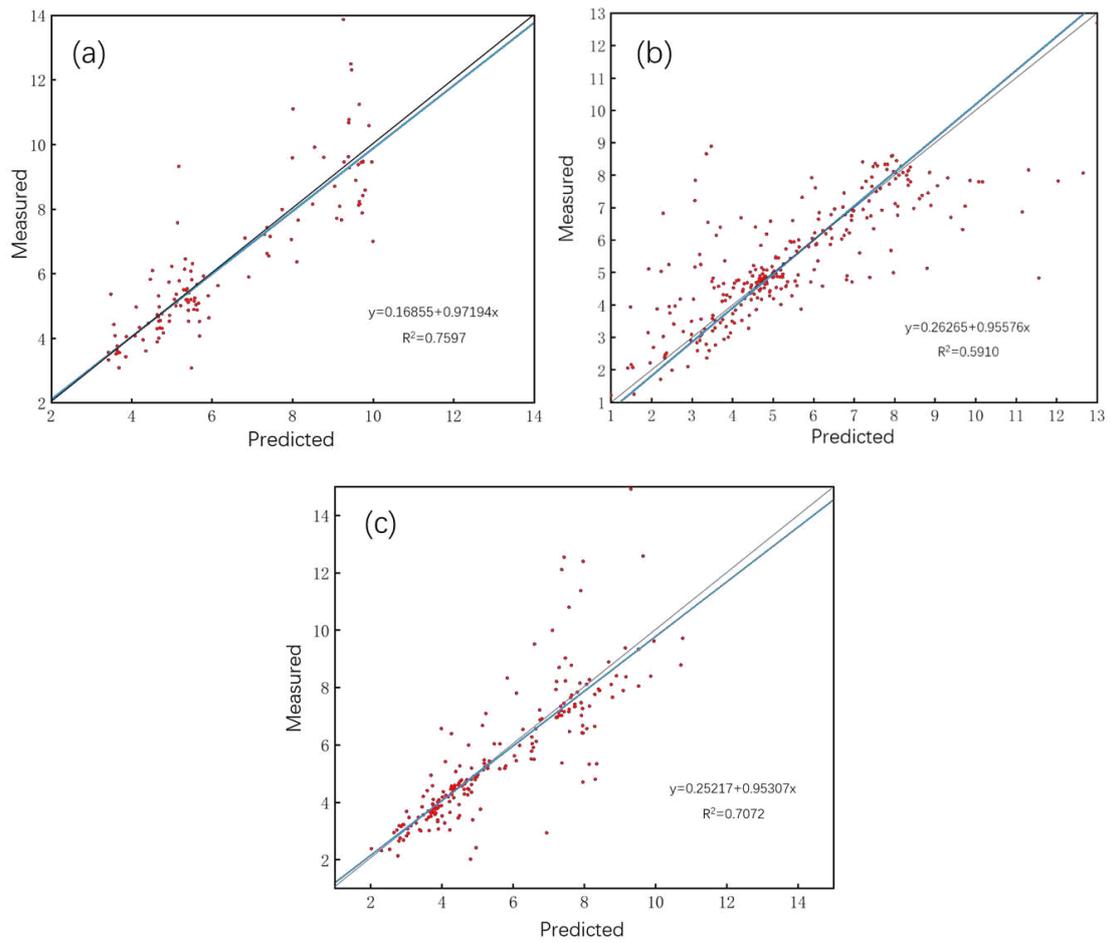
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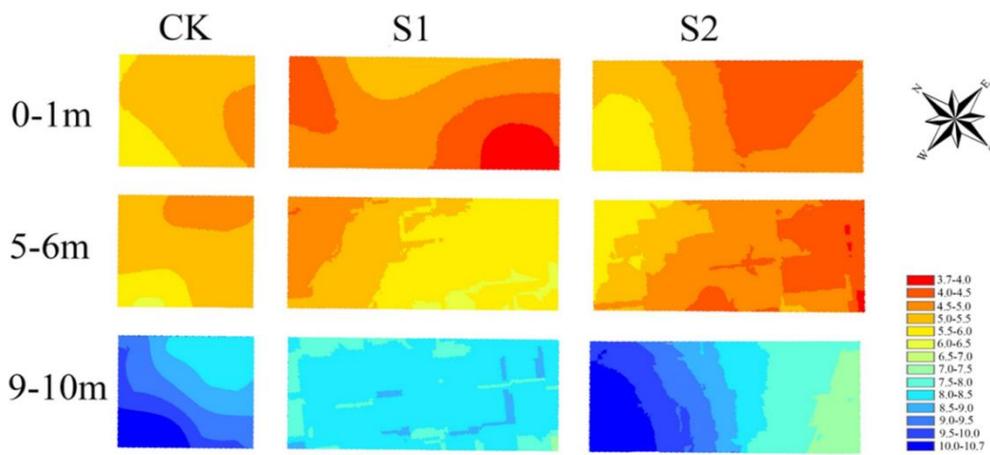
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700 **Figure 3**



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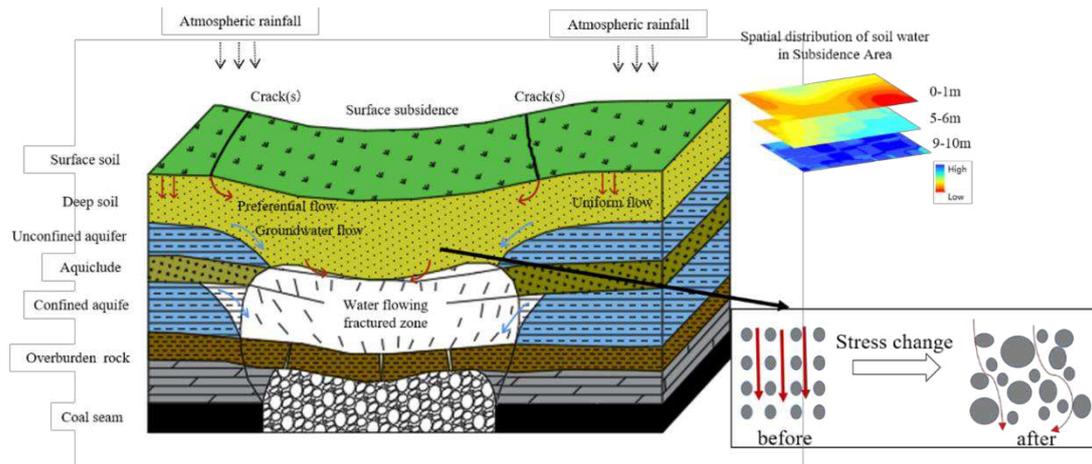
702 **Figure 4**



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704 **Figure 5**

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707 **Figure 6**

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