

# Dynamic Update Method of Working Face Geological Model Driven by Multi-Source Data

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## Research

**Keywords:** comprehensive prospecting technology, data fusion, geological modeling, dynamic update, cutting path planning

**Posted Date:** July 9th, 2021

**DOI:** <https://doi.org/10.21203/rs.3.rs-678828/v1>

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## 2 **Driven by Multi-Source Data**

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11 **Abstract** In order to build a high-precision dynamic geological model to serve the intelligent mining,  
12 working face is explored step by step through the comprehensive prospecting technology. A multi-source  
13 data fusion method was applied to realize mutual verification, supplement, fusion and interpretation of  
14 non-uniform heterogeneous geological data to obtain a high-precision geological data volume. Also, the  
15 dynamic update model method was proposed to update 3D geological model of working face quickly so  
16 that the accuracy of the geological model can be improved effectively. Furthermore, cutting path  
17 planning technology was developed based on the dynamic geological model. The field test showed that  
18 the cutting path planning based on the high-precision dynamic geological model can improve the coal  
19 mining efficiency and improve the fusion efficiency between geology and coal mining systems. Dynamic  
20 update of multi-attribute geological information should be studied and developed to improve the  
21 automatic level of mining driven by geological data.

22

23 **Keywords** comprehensive prospecting technology, data fusion, geological modeling, dynamic update,  
24 cutting path planning

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## 26 **1 Introduction**

27 Intelligent mining is the key of achieving the goal of safe, efficient and green coal mining, and the  
28 direction of development of coal industry (Ralston et al. 2017; Dunn et al. 2015). China's coal industry  
29 experiences the iconic stage of manual coal mining, semi-mechanized coal mining, mechanized coal  
30 mining, integrated mechanized coal mining, and automated coal mining in past decades. Currently, it is  
31 gradually moving from the automated mining to the intelligent mining. Especially during China's twelfth  
32 Five-Year Plan (12th FYP) period (2011-2015), China's coal intelligent mine technology has made  
33 significant technical progress in coal mining machinery automation, working face alignment,  
34 autonomous follow-up of hydraulic support, remote real-time monitoring and mining techniques (Wu et  
35 al. 2008; Xie et al. 2020; Li et al. 2020b). Meanwhile, intelligent coal mining mode, which is featured  
36 with autonomous follow-up of hydraulic support, coal machinery memory cut, video monitoring and  
37 remote intervention control has been applied in the mining working face with simple geological  
38 conditions successfully (Li et al. 2021). In 2014, Shaanxi Huangling Mining Co., Ltd. cooperated with

39 China Coal Technology Engineering Group and other units, broke through the technology of ground  
40 control and shearer memory cutting to stabling operation in No.1 coal mine for the first time. Mining  
41 techniques such as the memorized cutting circle for autonomous cutting, autonomous navigation, were  
42 developed to realize the workerless intelligent mining in the working face (Mao et al. 2021). However,  
43 memorized cutting adopted by most of the intelligent mines failed in the complex and variable geological  
44 conditions(Hu 2013; Dong 2021; Li 2019).

45 Several mining experts have proposed targeted improvement in response to the shortcomings of  
46 memorized cutting (Wang et al. 2020; Shi et al. 2016). Yuan et al. (2019) put forward the definition of  
47 precise coal mining. Also, they pointed out that the transparent geological conditions based on  
48 geophysical prospecting and multi-physical field coupling is an inevitable requirement for precise mining.  
49 Wang (2019) framed eight systems for intelligent coal mine and pointed out that the 4D-GIS transparent  
50 geological model and dynamic information system are the key technologies to realize intelligent coal  
51 mine.

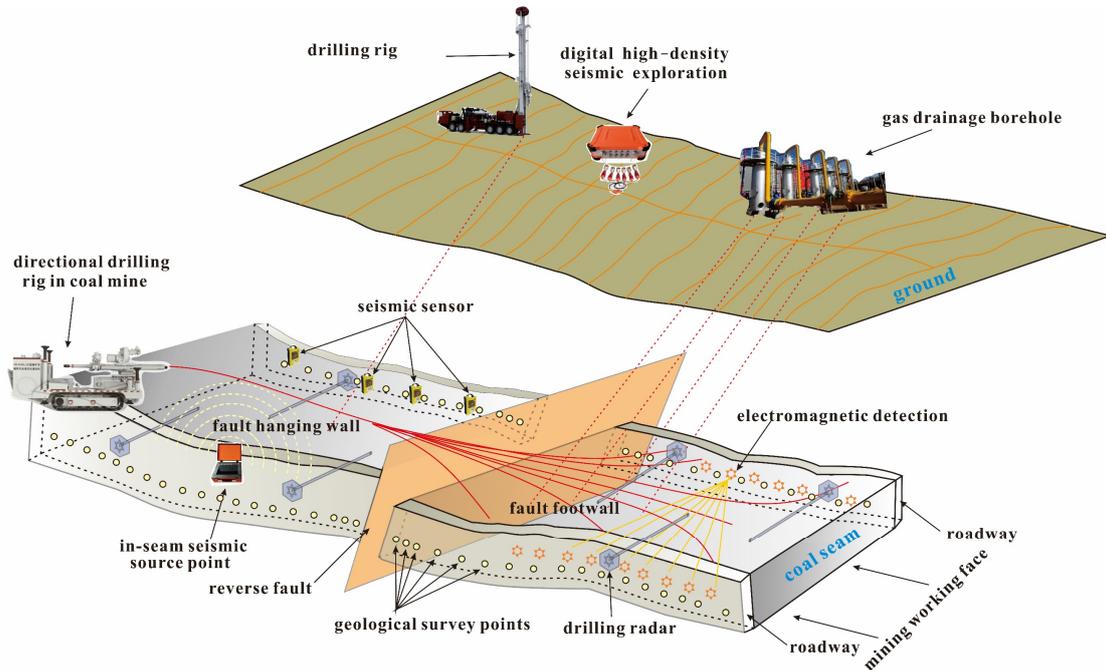
52 Still, there are many unsolved challenges in building the geological model of working faces. For  
53 example, 3D geological modeling used for exploring oil reservoirs and geological numerical simulation  
54 reveals the changes of sedimentary facies in a large area, which is established by drilling data and  
55 geostatistics. This geological model can reach meter level accuracy or even lower. However, considering  
56 the coal mining process requirements, accuracy requirement of the research object should be no less than  
57 the decimeter level. In addition, key factors affecting the accuracy of the geological model include the  
58 quantity of data types and volume besides interpolation modeling methods. It is not easy to enhance the  
59 model accuracy to centimeter-level due to the sparse ground drilling data. There are several traditional  
60 ways to improve the modeling accuracy, such as adding drilling holes or using new algorithms to improve  
61 the interpretation accuracy of seismic surveys (Zhu et al. 2019), while these methods are time-consuming  
62 or difficult to make a breakthrough in a short period.

63 A constructing method for a high-precision dynamic geological model used in intelligent mining is  
64 proposed, considering the drawbacks of memorized cutting and the existing prospecting and mining  
65 technology. Key technologies involve: 1) comprehensive prospecting, achieving high-precision  
66 prospecting of the coal seam conditions at the working face; 2) multi-source heterogeneous data fusion  
67 constructing high-precision 3D geological model of the working face; 3) dynamic exploration data-  
68 driven dynamic updating 3D geological model. The cutting algorithm is used to obtain the cutting curves  
69 based on the geological model. Finally, the cutting curves are sent to the coal mining machine to realize  
70 intelligent planning mining.

## 71 **2 Multi-source geological exploration data of working face**

72 The complex geological conditions have become a challenging factor limiting intelligent coal mining.  
73 Cheng et al. (2019) proposed the idea of constructing a multi-level, progressive, high-precision  
74 geological model based on different prospecting techniques. In order to provide accurate geological  
75 navigation to coal machinery, a high-precision 3D geological model of the working face should be  
76 constructed. The geological model collects comprehensive geological data, including the high-precision  
77 data body obtained from geological exploration before and during the mining period. Comprehensive

78 prospecting system is applied to fully explore the primary geological conditions based on occurrence  
79 feature in coal strata, as shown in Fig.1.



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**Fig.1** Comprehensive exploration technology system for working face

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Before the excavation period, ground prospecting such as 3D seismic surveys, electromagnetic prospecting, and ground drilling is implemented. Before mining period, further prospecting of inside and near the working-face such as in-seam seismic survey, drilling logging, electromagnetic prospecting etc. is implemented. During mining period, detailed prospecting in the mining area such as seismic surveys, resistivity monitoring, geological cataloguing, dynamic image identification, etc. is implemented.

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### 3 High-precision geological modeling method for working face

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#### 3.1 Multi-source data fusion

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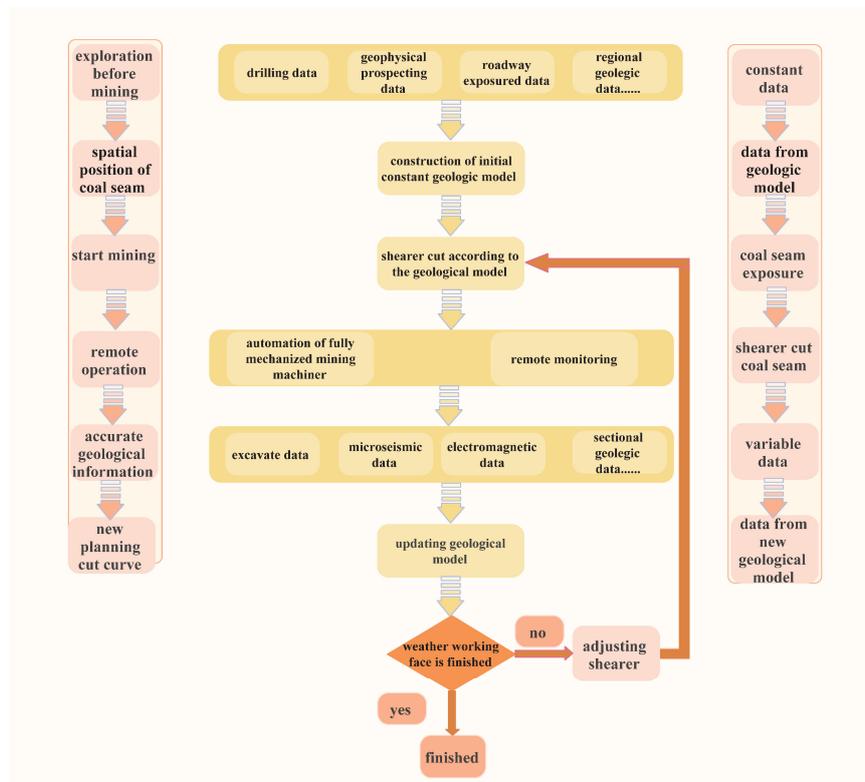
The multi-source heterogeneous data is featured with different sources and structures. In order to construct a high-precision geological model, the multi-source heterogeneous data must be effectively fused and unified into a same spatial coordinate system. Since the single geological exploring data source is insufficient in prospecting accuracy and interpretation reliability, cross-validation method of geological exploration data based on the spatial fusion of multi-source heterogeneous geophysical data is proposed to improve exploration's overall accuracy and reliability. Liu et al. (2020) proposed the spatial location of coal seams and the internal geological structure can be predicted by mutual cross-validation method of geophysical survey data, drilling data and mining exposing data. When seismic data is available, the multi-source data fusion based on seismic dynamic interpretation can be applied. The dynamic interpretation of geological and seismic data is used to achieve the goal of predicting the geology structures and the coal seam surface of the working face. In static interpretation stage, layers are labeled. Also, coal seam floor and structures are interpreted according to high-quality seismic superposition or migration data set. Meanwhile, coal seam thickness and lithology of roof and floor are predicted, according to wave impedance data volume, Quasi-natural gamma body, etc. The geological

103 information revealed by excavation roadway, including roof and floor, coal seam thickness and structures,  
 104 is collected using measurement technology. Then, the spatial form and structure of the coal seam floor  
 105 are updated with the constraints of the new geological information. The primary task of dynamic  
 106 interpretation is to determine the basic geological condition of the working face, build the basic  
 107 geological framework of transparent working face, detect the main geological anomalies, and provide a  
 108 high-precision data volume for the geological modeling of the working face.

### 109 3.2 High-accuracy dynamic geological modeling

#### 110 3.2.1 Dynamic model updates

111 A high accuracy coal seam model which meets the requirements of autonomous coal mining is the key  
 112 of cutting path planning. The accuracy of the static coal seam model constructed with static geological  
 113 data is not sufficient to provide geological navigation for cutting path planning. Therefore, a working  
 114 process is proposed to update the prediction of coal seam spatial form and dynamic coal seam model  
 115 using multi-level, progressive, and high-precision coal seam geological exploration technology. The  
 116 dynamic geological model of coal mining working face is a high-precision geological model constructed  
 117 by fusing the static geological data before mining and the dynamic geological data during the mining  
 118 process. In conclusion, the dynamic update of the model is a process of collecting the roof/floor revealing  
 119 data and estimating coal seam surface with interpolation methods. The model updating process is shown  
 120 in Fig.2.



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122 **Fig.2** Flow chart of dynamic update process of geological model

#### 123 3.2.2 Model interpolation algorithm

124 Constrained interpolation is applied with non-uniform discrete geological data points to construct a  
 125 high-precision geological model(Le et al. 2014; MacCormack et al. 2018). The discrete smooth

126 interpolation (DSI) algorithm creates a grid of interconnected nodes by constructing a discretized natural  
 127 body model. If the nodes in the grid satisfy some constraints (known node data, geological structure and  
 128 etc.), the values of the location grid points can be obtained by solving linear equations (Ming et al. 2010;  
 129 Song et al. 2019).

130 Build discrete model of mining working face:  $M^n(\Omega, N(a), \varphi(a), C)$ , where  $\Omega$  is the set of all points  
 131 in the working face, including unknown and known points;  $\varphi(a)$  is n-dimensional vector function on  
 132 set  $\Omega$ ;  $C$  is the constraints for interpolation of the working face;  $N(a)$  is neighborhood of point a.

133 For constraints for interpolation of the working face  $C$ , consider the roughness as main constraints,  
 134 neglecting the soft constraints. According to the definition of smooth discrete interpolation, the global  
 135 roughness is calculated as follows:

$$136 \quad R(\varphi) = \sum_{a \in \Omega_c} \mu(a) \cdot R(\varphi|a) \quad (1)$$

137 In Equation(1),  $\mu(a)$  is Non-negative weighting function which could indicate the magnitude of the  
 138 contribution for different points within the working surface to the global roughness,  $R(\varphi|a)$  is the local  
 139 roughness that is calculated as follows:

$$140 \quad R(\varphi|a) = \left\{ \sum_{\beta \in N(a)} v^v(\alpha, \beta) \cdot \varphi^v(\beta) \right\}^2 \quad (2)$$

141 where  $v^v(\alpha, \beta)$  is the weight on node a,  $v$  is different dimension,  $\varphi^v(\beta)$  is vector function of the  
 142 known points.

143 After obtaining the global and local roughness, the implicit function in the working face can be built.  
 144 While neglecting soft constraints, the whole constrains function shows as follows:

$$145 \quad R^*(\varphi) = R(\varphi) + \rho(\varphi) = R(\varphi) = \sum_{a \in \Omega} \mu(a) R(\varphi|a) \quad (3)$$

$$146 \quad R(\varphi|a) = \left\{ \sum_{\beta \in N(a)} v^v(\alpha, \beta) \cdot \varphi^v(\beta) \right\}^2 = \varphi^T v(\alpha, \beta) v(\alpha, \beta)^T \varphi \quad (4)$$

147 where  $\rho(a)$  is the soft constrains.

148 Then find the first-order partial derivative of the constraint equation with respect to  $\varphi(a)$  and let the  
 149 derivation function equals to 0.  $\varphi(a)$  is calculated as follows:

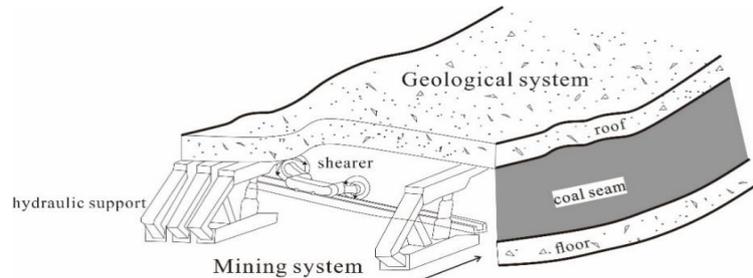
$$150 \quad \varphi(a) = -\frac{1}{M(a)} \left\{ \sum_{a \in N(a)} \left[ v(\alpha, \beta) \sum_{\substack{\beta \in N(a) \\ \beta \neq \alpha}} v_{\beta}(k) \varphi(\beta) \right] \right\} \quad (5)$$

$$151 \quad M(a) = \sum_{a \in N(a)} \varphi(a) v(\alpha, \beta)^2 \quad (6)$$

152 Finally, the objective function is solved by equation (5), getting  $\varphi(a)$ .

### 153 3.3 Geological model correction based on mining data

154 Intelligent cutting technology based on a geological model is the processing of constructing a dynamic  
155 geological model of working face and cutting path planning by comprehensive prospecting, mining status,  
156 and measurement data (Li et al. 2020a; Li et al. 2014). In order to apply model cutting, the coordinate is  
157 transformed based on an absolute reference point so that the geological model and the mining system  
158 achieve real-time joint under the unified real coordinates.



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160 **Fig.3** Transparent working face mining model construction based on geological model

161 Model CT cutting algorithm is developed and applied on 3D geological body of the working face. The  
162 algorithm can divide into several steps:

163 (1) Setting the grid steps in both directions of width and length of coal mining face, and meshing the  
164 digital model of the coal seam in both directions, after which the grid is projected to the 2D horizontal  
165 plane;

166 (2) Transforming the cutting path planning of the coal mining machinery into the plane projection of  
167 the grid and then, approximately dividing the planning cutting path into a finite number of straight-line  
168 segments;

169 (3) Transforming the coal seam roof and floor surfaces into the two-dimensional horizontal plane.  
170 Firstly, calculate the line equation for each straight line segment between the start point and endpoint of  
171 the cutting path sequence. Secondly, calculate the plane coordinate of the intersection point between the  
172 straight line segment and elevation height of that point. Then, connect the control points on coal seam  
173 roof and floor surfaces according to the direction of the straight line segment and finally get the roof and  
174 floor surfaces curve.

175 The optimal planning cutting curve of the mining working face in a specific range is calculated based  
176 on coal mining requirements. Intelligent cutting is implemented based on the unified data integration  
177 platform, using the data integration process between the central control system and the cutting curves  
178 model formed by multiple surface curves. Shearer cuts coal seam automatically on the basis of high-  
179 precision 3D geological model and the information of shearer location and machinery operating  
180 conditions. The model updates dynamically by comparing the actual cutting information and planning  
181 cutting path in the next cutting circle.

## 182 **4 Field test**

### 183 **4.1 Working face overview**

184 In order to verify the application effect of intelligent coal mining cutting path algorithm based on the  
185 high-precision dynamic geological model, a field test was carried out at a mining working face. This  
186 working face is located in the west wing of No.8 Minefield Area, with a strike length of 950 m and a face

187 width of 261 m. The coal seam thickness varies from 1.3 to 3.0 m, with an average of 2.72 m. Two folds  
188 are located at the working face, and the coal seam rises gradually from the stopping line towards the  
189 open-off cut. The first fold is located 600m~650m from the stopping line; the second one is near the  
190 open-off cut, at the range of 20m-100m in the direction from the intake roadway to return roadway.

#### 191 4.2 Comprehensive geological exploration data

192 Comprehensive geological exploration was carried out in the working face in order to find out the  
193 occurrence feature and structure distribution of coal and rock strata in the working face.

##### 194 (1) High-precision geological cataloguing

195 The scope of the geological cataloguing is the intake roadway, return roadway and open-off cut of the  
196 working face. The roadway spatial information including roadway marker points, roadway slope, bottom  
197 elevation height, top elevation height, coal seam thickness, coal seam production, and the drilling hole's  
198 coordinate are measured using high-precision electronic total station. The geological cataloguing reached  
199 the fine measurement requirements of centimeter level.

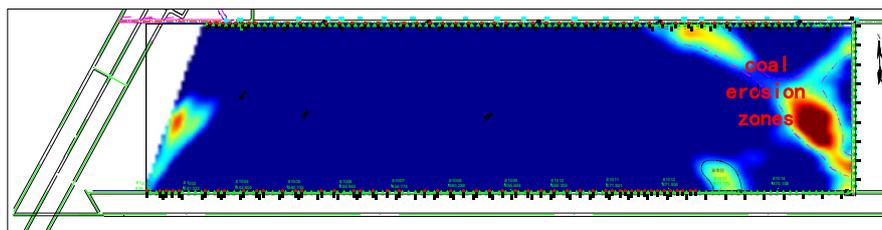
##### 200 (2) In-seam borehole measuring and logging

201 There are 31 drilling sites (319 gas drilling holes) distributed in the intake and return roadways.  
202 According to the distribution of holes, In-seam borehole measuring and logging were implemented in 54  
203 effective drilling holes, of which 41 holes with completion of trajectory measurement, in-hole video, and  
204 natural gamma logging and 13 holes with completion of trajectory measurement and in-hole video.

##### 205 (3) In-seam seismic surveys

206 Based on the geological condition, transmission and reflection in-seam seismic surveys were carried  
207 out at the working face. An abnormal structural belt was detected based on the interpretation result of  
208 transmission and reflection in-seam seismic surveys, as shown in Fig.4.

209



210

211 **Fig.4** In-seam seismic surveys imaging map of the working face

##### 212 (4) Comprehensive geological analysis

213 According to the interpretation results and geological conditions, the conclusion is that there was a  
214 large coal erosion zones in the working face near the open-off cut. The extension range of the coal erosion  
215 zones in the working face has been accurately defined, which provided geological guidance for the  
216 rational planning of working face.

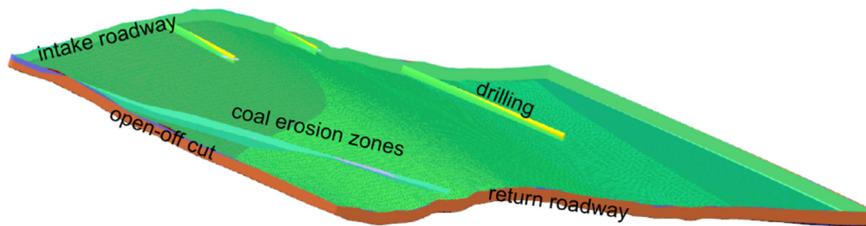
#### 217 4.3 Multi-source geological data fusion analysis

218 Multi-source heterogeneous data were fused and unified into a same spatial coordinate system due to  
219 the different sources and structures of data. For the prospecting data in time domain, time-depth  
220 conversion is essential in spatial fusion. For the targeted intelligent working face, the geological anomaly

221 was predicted to be a coal erosion zone by cross-verification between sandstone zone revealed by  
222 roadway and in-seam seismic survey. The coal erosion zone was verified by in-seam borehole logging.  
223 In addition, the cross points between in-seam boreholes and coal seam surface were determined  
224 comprehensively according to roof and floor lithology revealed by roadways, surface drilling, in-seam  
225 seismic survey, and logging.

#### 226 4.4 High-precision dynamic geological modeling

227 The multi-source heterogeneous geological data were processed using space-time fusion technology.  
228 Then, discrete smooth interpolation (DSI) algorithm was applied to construct high-precision geological  
229 model of the mining working face. The geological data collected before mining, including surface drilling  
230 data, roadway refinement measurement, in-seam boreholes logging, were used to build static model.  
231 With the measured cutting profiles, the model was refined using a dynamic update algorithm. The  
232 updating duration is less than 100ms. In order to verify the accuracy of working face model, the model  
233 was updated dynamically with mining data when the working face was mined at 540 m. The statistic  
234 shows that the prediction error of coal seam thickness in the areas of 8 m and 15m in front of working  
235 face are lower than 0.15m and 0.3m respectively.



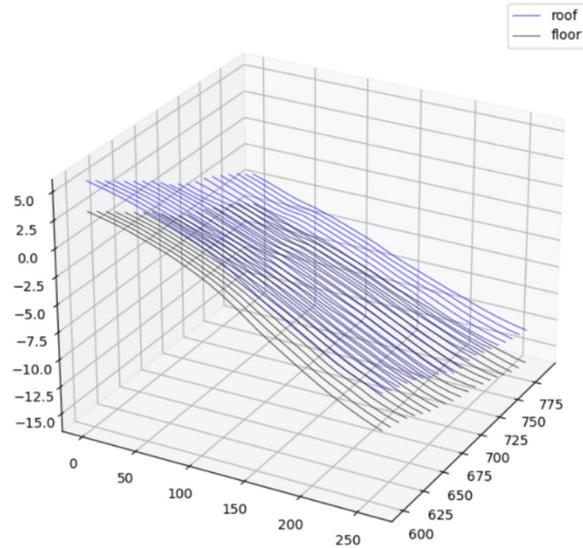
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237

Fig.5 Geological model construction of the working face

#### 238 4.5 Cutting path planning based on the geological model

239 The roof and floor cutting profile is generated by cutting high-precision geological model vertically in  
240 the direction of mining, shown in Figure 6. Then, the cutting path planning of the geological model is  
241 implemented to attain the spatial curve of the coal seam roof and floor at the current cutting circle, which  
242 refers as planning cutting curve. Finally, following the step above, the multiple planning cutting curves  
243 of the whole working face can be subsequently obtained. The drum height and shearer path can be set  
244 according to this spatial coordinate curves. Meanwhile, the correction amount of current position can be  
245 calculated based on the sensing data information such as posture positioning. Using the correction data,  
246 intelligent planning cutting driven by geological model navigation could be applied integrated with  
247 automatic mining machinery.



**Fig.6** Planning truncation based on geological model

Shearer accomplishes multiple cutting circles automatically on the basis of planning cutting curves. Field test shows that the actual cutting curve of a cutting cycle matches the planned cutting curve in a relative high level. Almost all the cutting circles are completely accomplished under the unmanned automatic mining mode, expect for cutting triangle coal stage at the start and the end of the working face involved remote manual intervention. As a result, the intelligent mining mode based on a high-precision dynamic geological model successfully implemented in the field test.

## 5 Conclusions

(1) Before the mining period, the high-precision geological prospecting method could be applied to obtain the geological conditions accurately. Also, according to alignment, cross-validation, and fusion analysis of the geological data using multi-source data fusion method, the high-precision geological model of the working face could be constructed.

(2) During the mining period, the high-precision dynamic geological model for intelligent mining could be refined using dynamic update method on the basis of geological data revealed in the working face.

(3) The field test shows that the cutting curve planned by high-precision dynamic geological model in the mining period can be sent to the shearer through the central control center for automatic mining.

At present, the intelligent and automatic coal mining can be applied based on the high-precision geological model. In future research, dynamic update of multi-attribute geological information, mining disturbance effect analysis and automatic prospecting should be studied and developed to improve the automatic level of mining driven by geological data.

## Declarations

There are no available data and materials. The authors declared that they have no conflicts of interest to this work. We declare that we do not have any commercial or associative interest that represents a conflict of interest in connection with the work submitted. This work was supported by the Science &

274 Technology Innovation Key Fund of Tiandi Co., Ltd of China Coal Technology & Engineering Group  
275 (NO. 2019-TD-ZD003, NO. 2020-TD-ZD002). Zaibin Liu conceived the research and provided some  
276 suggestions for the research method. Mingxing Li performed the data analyses and wrote the manuscript.  
277 Lin An, Kai Shen, Hui Yue, Baohui Chen, Mengbo Zhu and Yingying Gong performed the experiment.  
278 The authors would like to thank the editors and reviewers for comments and suggestions.

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