

Optimized EEG–fNIRS Based Mental Workload Detection Method for Practical Applications

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Optimized EEG–fNIRS based mental workload detection method for practical applications

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Abstract

Background: Mental workload is a critical consideration in complex man–machine systems design. Among various mental workload detection techniques, multimodal detection techniques integrating EEG and fNIRS signals have attracted considerable attention. However, existing EEG–fNIRS-based mental workload detection methods have certain defects, such as complex signal acquisition channels and low detection accuracy, which restrict their practical application.

Method: The signal acquisition configuration was optimized and a more accurate and convenient EEG–fNIRS-based mental workload detection method was constructed. A classical MATB task was conducted with 20 participating volunteers. Subjective scale data, 64-channel EEG data, and two-channel fNIRS data were collected.

Results: A higher number of EEG channels correspond to higher detection accuracy. However, there is no obvious improvement in accuracy once the number of EEG channels reaches 26, with a four-level mental workload detection accuracy of $78.25 \pm 4.71\%$. Partial results of physiological analysis verify the results of previous studies, such as that the θ power of EEG and concentration of O₂Hb in the prefrontal region increase while the concentration of HHb decreases with task difficulty. It was further observed, for the first time, that the energy of each band of EEG signals was significantly different in the occipital lobe region, and the power of β_1 and β_2 bands in the occipital region increased significantly with task difficulty. The changing range and the mean amplitude of O₂Hb in high-difficulty tasks were significantly higher compared with those in low-difficulty tasks.

30 **Conclusions:** The channel configuration of EEG–fNIRS-based mental workload detection was optimized to 26 EEG channels and
31 two frontal fNIRS channels. A four-level mental workload detection accuracy of $78.25\pm 4.71\%$ was obtained, which is higher than
32 previously reported results. The proposed configuration can promote the application of mental workload detection technology in
33 military, driving, and other complex human–computer interaction systems.

34 **Keywords:** EEG, fNIRS, Mental Workload, Man–Machine Systems

35 1. Introduction

36 Mental workload has long been a factor of immense interest in designing and applying complex human–machine systems [1],
37 and is increasingly recognized as a serious, worldwide public health concern. Only when the mental workload is in the appropriate
38 range can high performance and operational reliability be maintained. An irregular mental workload state will impair a person’s
39 work performance, leading to task failure [2, 3], and might endanger people’s health or safety in severe cases. In human–machine
40 systems with high safety requirements, such as in the military, aviation, aerospace, driving, and other domains, human errors such
41 as information acquisition and judgment decision error caused by excessive mental workload are often responsible for accidents [4,
42 5]. For example, soldiers in a battlefield must participate in warfare for a long time without interruption and must stay alert to
43 respond to various systems. The harsh environment poses extraordinary physical and psychological challenges to them [6, 7].
44 Goodman et al. [8] reported that of 1094 USAF Unmanned Aerial Vehicle(UAV) operators stationed in the US, approximately
45 20% reported high fatigue, 11% reported high cynicism, and 3% reported reduced performance. This is because the long-term
46 unsuitable mental workload state seriously endangers the operator’s mental and physiological health. Therefore, it is crucial to
47 measure the mental workload of the operator accurately.

48 In the past several decades, neurophysiological signal have played an important role in mental workload detection because of its
49 objectivity and stability[9]. One of the major topics to be investigated in this field is Electroencephalogram (EEG) based mental
50 workload detection method. For instance, Georgios et al. [10] carried out a study of EEG based mental workload detection, and the
51 experiment was implemented with N-back and mental arithmetic (the most commonly used single cognitive resource tasks for
52 studies of mental workload [11-13]). The binary classification accuracies of mental workload are 88% and 86% in the N-back task
53 and mental arithmetic task respectively. Besides, there are still some researches on EEG for mental workload detection in complex
54 tasks. Hongquan Qu et al. [14] carried out a three-level Multi-Task Attribute Battery (MATB)[15] task with 32-channel
55 electroencephalogram (EEG) acquisition. Power spectrum density (PSD) was analyzed with independent components analysis
56 (ICA) algorithm, and the average recognition accuracy reached 79.8%. 64-channel EEG data was recorded in a simulated flight
57 experiment, and PSD , Phase Lag Index (PLI) connection features were analyzed and extracted, giving a recognition accuracy 82%
58 of three-level mental workload [16]. For application of mental workload detection, the significant advantage of EEG is that it
59 contains abundant information, but it also has the disadvantages of low spatial resolution and complex operation.

60 As a new neurophysiological signal acquisition technology, recently, functional near infrared spectroscopy (fNIRS) has become
61 a research hotspot in this field with the advantages of high spatial resolution and portability[17]. Reported studies proposed that
62 fNIRS performs well in mental workload detection of both single task and complex task. For example, Asgher et al.[18] observed
63 the brain activities of the prefrontal cortex (PFC) region with fNIRS technology in a four-level mental arithmetic task, and obtained
64 a recognition accuracy 89.31% with classification algorithm of long short-term memory (LSTM) . Siddiquee et al.[19] explored the
65 response difference of brain activity measured by fNIRS in different areas of the PFC. N-back task was conducted in the
66 experiment, and the results show that the blood oxygen of middle prefrontal position can significantly improve the recognition
67 precision, with a highest binary classification accuracy 90%. In a study of actual driving environment[20], four-channel fNIRS
68 system was adopted to monitor the real-time change of blood oxygen in PFC region. The recognition accuracy of three-levels
69 driving tasks reached 82.71%. Besides, in a study of air traffic control instructions tasks in flight simulators, Gateau et al.[21]
70 collect fNIRS signals to detect the mental load state of pilots in two different group, and the accuracy reached 80% by SVM.

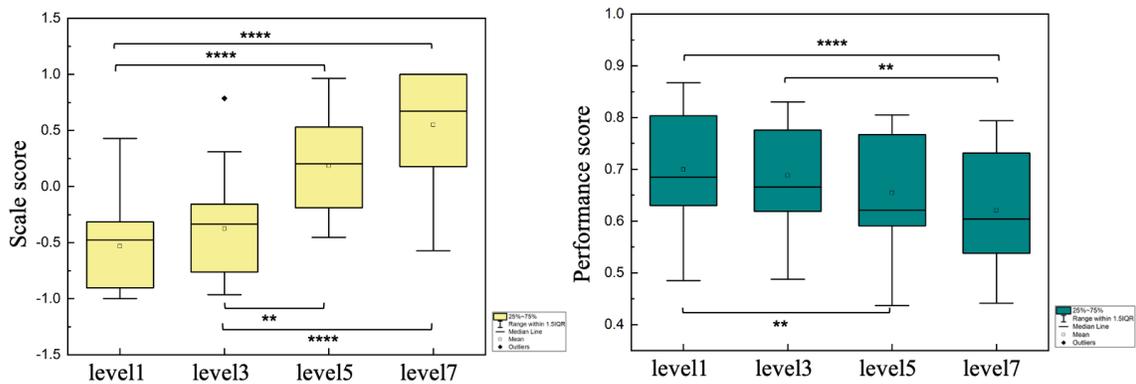
71 Aforementioned studies of mental workload detection are based on single physiological signal (either EEG, or fNIRS). Either
72 the number of grades or the recognition accuracy of mental workload detection was not ideal, probably due to the limited
73 information of single physiological signal. Therefore, researchers began to focus on multi-physiological signal fusion detection
74 methods. Liu, Y. et al. [22] carried out a study on mental workload detection of simple cognitive resource tasks by fusing
75 28-channel EEG and 16-channel fNIRS. 3-level workload was induced by N-back task in the experiment, and the result indicates
76 recognition accuracy based on fusion of EEG and fNIRS was significantly greater compared with single signal of EEG or fNIRS.
77 Similar result was also observed in mental workload detection of complex tasks, Sangtae et al. [23] proposed a multi-physiological
78 signal based mental workload detection method, which collected 64-channel EEG signals, and eight-channel fNIRS signals of
79 drivers simultaneously. The result indicates that the recognition accuracy based on multi-physiological signal was significantly
80 greater than that of single physiological signal. What's more, reported literatures [11, 24] support the above viewpoint as well. In
81 conclusion, existing studies suggest that the combination of multiple physiological signals can obtain better performance in mental
82 workload detection compared with signal physiological signal. Nevertheless, there are still some limitation of the reported studies
83 in the following aspects: the multiple physiological signals acquisition configuration was relatively complex[11, 23] , only three or
84 even less different levels of mental workload was considered[25-27], and the recognition accuracy was not ideal enough.

85 For practical application, the following issues must be paid attention to in real-time monitoring of mental workload in complex
86 man-machine system. First, monitoring equipment should be portable and easy to operate, with a simple channel configuration of
87 signal acquisition as far as possible. In addition, the response speed of the monitoring model should be as high as possible;
88 consequently, algorithms with a large amount of computation are not suitable for this task. Finally, the gradation of the mental
89 workload should be more detailed and the detection accuracy should be higher. This study focus on these issues, and adopted

90 complex simulation tasks to mimic the actual task scenarios. First, optimized data acquisition system integrates a few channels of
 91 EEG acquisition devices and a portable near-infrared devices was adopted. In addition, this study considers the modelling
 92 performance of Only-EEG feature sets, Only-fNIRS feature sets, both EEG and fNIRS feature sets under various classifiers to
 93 obtain a optimization modeling method. Last but not least, the difficulty of experiment task was divided into four levels, both of
 94 EEG and fNIRS features were took into account in the detection model of mental workload for a better modeling performance. The
 95 results of this study can promote the application of mental workload detection technology in military, driving, and other complex
 96 human-computer interaction systems.

97 2. Results

98 2.1 Behavioral Data Analysis Results



99

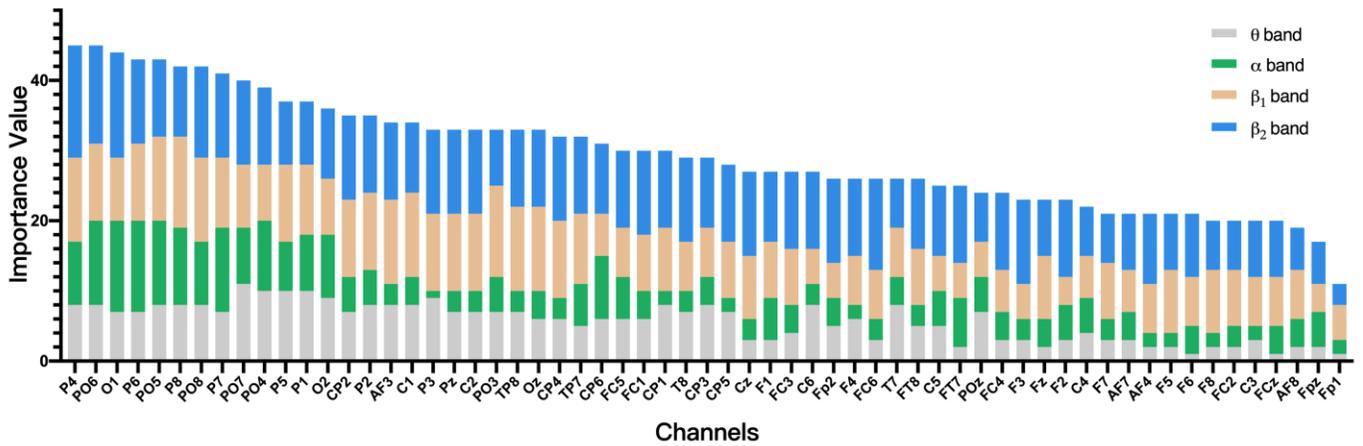
100 **Fig 1. Subjective scale result and performance score result.** The normalized subjective scale scores for each task difficulty are shown on the left, and the
 101 normalized performance scores for each difficulty are shown on the right. Note that the ** means $p < 0.05$, **** means $p < 0.01$.

102 Shown in Figure 1, The influence of the task's difficulty level on the comprehensive scale and comprehensive performance of 20
 103 subjects was analyzed. Figure 1 indicates that subjective scale scores increase while the task performance decrease with the
 104 increase of task difficulty. After the outliers were removed, one-way ANOVA analysis showed that the overall score of the scale
 105 had a major effect on the mental workload level ($F(3, 76) = 31.633, P < 0.001$). Post-hoc analysis showed significant differences
 106 between load levels 1 and 5 ($P < 0.01$), 1 and 7 ($P < 0.01$), 3 and 5 ($P < 0.05$), and 3 and 7 ($P < 0.01$) ($P < 0.05$). One-way ANOVA
 107 analysis of performance scores showed that the main effect of combined performance scores was also observed on the mental
 108 workload level, $F(3, 76) = 17.16, P < 0.001$. Post-hoc analysis showed that there were significant differences in the overall
 109 performance between difficulty 1 and 5 ($p = 0.001$), 1 and 7 ($p < 0.001$), and 3 and 7 ($p = 0.001$) ($p < 0.05$).

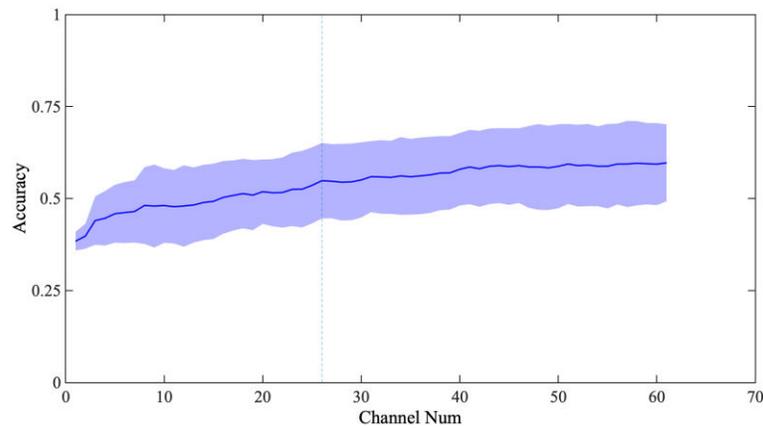
110 2.2 Features Change Analysis Results

111 2.2.1 EEG Channels Select Result

112 In order to obtain the optimal EEG channel configuration for measuring mental workload, the importance of each channel was
 113 calculated by the algorithm mentioned in 2.5.2 above, and the results were sorted from high to low, as shown in Fig. 3.



114
 115 **Fig 2. Channels importance ordering.** The horizontal axis is channel, and the vertical axis is importance value of each channel, and the results were sorted from
 116 high to low. Different colors represent different frequency bands of EEG, and the height of the color block represents the features importance for a given channel.
 117 It can be seen in Fig. 2 that almost all channels have good performance in β_1 and β_2 , which indicates that power of β_1 and β_2 are
 118 sensitive physiological characteristics of mental workload. For θ and α bands, only channel PO5 and P4 were observed better
 119 performance. What's more, Fig. 3 reveals that the sensitive channels on mental workload of EEG are mainly comprised of occipital
 120 lobe (P4, PO6, O1, P6, PO5, P8, and OZ), the frontal (AF3) and sports area (C1, C2).



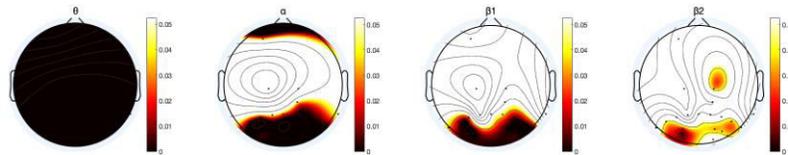
121
 122 **Fig 3. The accuracy varies with the number of channels.** The figure shows the change curve of accuracy based on SVM under different number of channels. The
 123 horizontal axis is the number of channels and the vertical axis is the accuracy. The selection of channels is based on the sorting shown in Figure 2.

124 Furthermore, classification model based on SVM classifier was performed to explore the influence of channel number on
 125 classification accuracy. The channel was added to the model one by one based on the ranking order in Fig.3, and the modelling
 126 performance varying with the number of channels are shown in Fig. 3. It can be seen that the classification accuracy of the model
 127 increases with the increase of the number of channels, but the rising trend slows down when the number of channels reaches 26.

128 Therefore, we selected 26 channels (P4, PO6, O1, P6, PO5, P8, PO8, P7, PO7, PO4, P5, P1, O2, CP2, P2, AF3, C1, P3, Pz, C2,
129 PO3, TP8, Oz, CP4, TP7, CP6) for subsequent research.

130 2.2.2 EEG Features Change Analysis Results

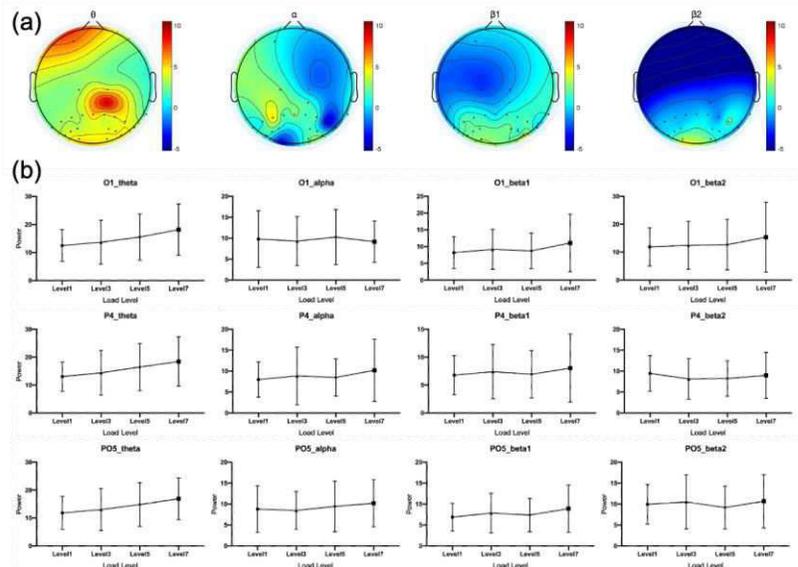
131 ANOVA was performed to analysis the difference of power response in θ , α , β_1 and β_2 band under various mental workload
132 levels. The statistical analysis results of 62 scalp electrodes was demonstrated as scalp maps in Fig4, and the result was corrected
133 by FDR, since hundreds of comparisons were implemented simultaneously. After removing the outliers, ANOVA results showed
134 that the differences PSD response were mainly concentrated in all regions of θ band, prefrontal and occipital regions of α band, and
135 occipital regions of β_1 and β_2 band.



136

137 **Fig 4. ANOVA of each channel comparing four difficulty level.** The figure shows ANOVA results in four frequency bands. All data are processed by Z-score
138 first and outliers are removed. the result was corrected by FDR. White represents $p>0.05$, deep brown indicates $p<0.01$, and light brown indicates $0.01<p<0.05$.

139 Further, in order to find out the change trend of PSD with task difficulty, the difference of power in θ , α , β_1 and β_2 band between
140 highest load (Level 7) and lowest load (Level 1) were calculated. The average results among 20 subjects of 62 scalp electrodes was
141 demonstrated as scalp maps in Fig 6 (a). Fig 6(b) indicates that power of θ band in prefrontal increased with the increase of task
142 load, while power of α band in the right hemisphere and occipital region decreased with the increase of task difficulty. In addition,
143 the power of β_1 and β_2 bands in the occipital region increased with the increase of task difficulty.



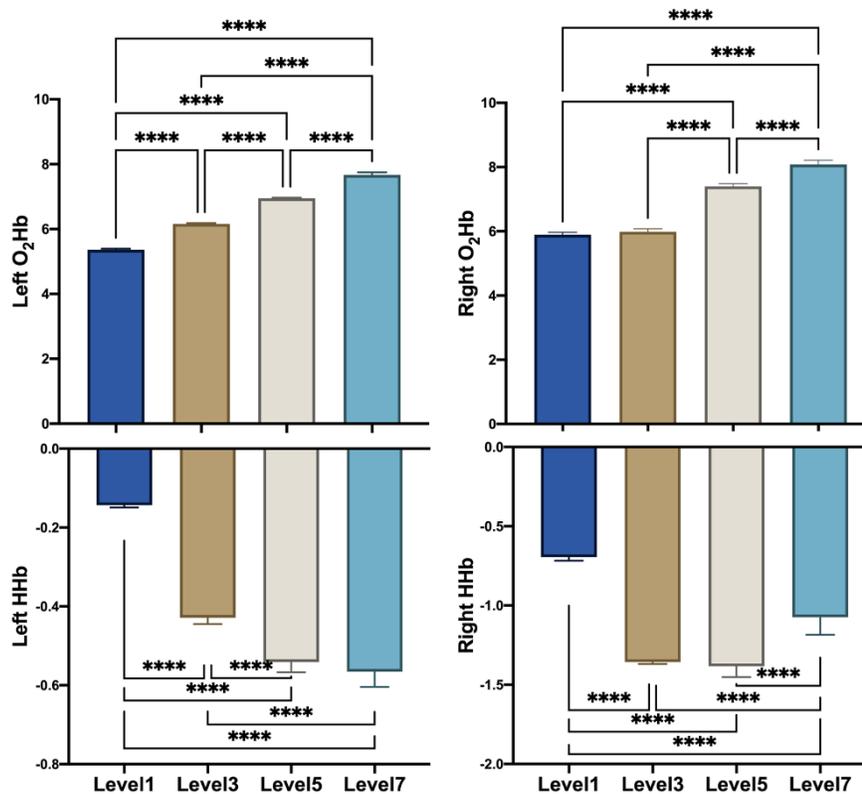
144

145 **Fig 5. Power of different EEG band.** Fig 5 (a) shows the difference of power in θ , α , β_1 and β_2 band between highest load (Level 7) and lowest load (Level 1), and
 146 the result of 62 electrodes was averaged among 20 subjects in the scale map. Fig 6 (b) shows the change trend of PSD in θ , α , β_1 and β_2 band of specific channel
 147 (O1, P4 and PO5) with task difficulty. The x-axis represents task difficulty level and the y-axis represents the power amplitude.

148 Specifically, the change trend of PSD in θ , α , β_1 and β_2 band of three typical channels (O1, P4 and PO5) were analyzed, as
 149 shown in Fig 5 (a). According to the data shown in Fig 5(b), three major conclusions can be obtained: Power of θ band in O1, P4
 150 and PO5, showed a good correlation with task difficulty and increased with the increase of load level, which was consistent with
 151 the previous research [28]; Power of β -band in O1 and PO5 also increased with the increase of task load; The α -band energy of O1
 152 channel is negatively correlated with the load, which is consistent with the study [12].

153 2.2.3 fNIRS Features Change Analysis Results

154 Next, the changes of O_2Hb and HHb during the change of mental workload were analyzed. The average of 20 subjects under the
 155 same load level obtained the results as shown in Figure 6, which shows the variation trend of O_2Hb and HHb amplitude in the left
 156 and right prefrontal regions with a time window of 3s. After the outliers were removed, one-way ANOVA analysis showed that the
 157 main effect of O_2Hb on the left and right side was observed at the load level, $F(3, 596) = 24339.950$, $P < 0.001$, $F(3, 596) =$
 158 5499.275 , $P < 0.001$. The main effect of HHb on the left and right side was also observed on the load level, $F(3, 596) = 4455.428$,
 159 $P < 0.001$, $F(3, 596) = 2370.904$, $P < 0.001$. Post-hoc results showed significant differences among all grades ($P < 0.01$).



160
 161 **Fig 6. Changes of blood oxygen under different load levels.** The left and right images represent the left and right sides of the forehead, with O_2Hb changes on
 162 the upper side and HHb changes on the lower side. Note that the ** means $p < 0.05$, **** means $p < 0.01$.

163 After the brain enters the working state, local neuronal activations increase metabolic rate, leading to increased blood flow and
 164 volume [29]. At the beginning of the task, PFC oxygenation locally increases, and the higher the load of the task, the more active
 165 the brain becomes, which also leads to the increase of O₂Hb in the PFC region [30]. As can be seen from the pattern shown in the
 166 figure, during the period of Level 1 to Level 7 tasks, the concentration of O₂Hb increases with the difficulty of tasks, and the
 167 content of O₂Hb at Level 7 is significantly higher than that of other tasks with load levels. We also note that the change of O₂Hb is
 168 more dramatic under high workload levels. Especially during a Level 7 task, the variation range and average amplitude of O₂Hb are
 169 significantly improved compared with Level 1. The change of HHb and O₂Hb showed an opposite trend. The content of HHb
 170 decreased with the difficulty of the task, and the change range of HHb was significantly lower than that of O₂Hb.

171 2.3 Classification Results

172 In order to study the classification effect of EEG, fNIRS, and EEG-fNIRS feature sets, three classifiers, namely, SVM, RF, and
 173 DT, were performed to establish the recognition model. Table 1 presents the minimum, maximum, average and mean square
 174 deviation of classification accuracies of different feature sets among 20 subjects. For Only-EEG feature set, the mean of
 175 classification accuracies of SVM, RF and DT are 52.43%, 55.47% and 46.05% respectively, with a highest recognition accuracy
 176 55.47% in RF classifiers. For Only-fNIRS feature set, the mean of classification accuracies of SVM, RF, and DT are 67.71%,
 177 69.20% and 62.70% respectively, with a highest recognition accuracy 69.20% in RF classifiers. For EEG-fNIRS feature set, the
 178 mean of classification accuracies of SVM, RF, and DT are 73.85%, 78.26% and 67.38% respectively, with a highest recognition
 179 accuracy 78.26% in RF classifiers.

180 **Table 1.** Classification results of three feature sets

Feature Set	Classifier	Results			
		Min	Mean	Max	Std.
Only-EEG	SVM	29.19	52.433	79.81	11.75
	RF	32.54	55.473	77.40	11.99
	DT	25.93	46.051	72.12	12.22
Only-fNIRS	SVM	54.21	67.712	83.33	8.20
	RF	52.88	69.204	80.88	7.87
	DT	50.48	62.703	76.92	7.15
EEG-fNIRS	SVM	62.02	73.853	85.71	6.14
	RF	70.67	78.255	88.21	4.71
	DT	54.81	67.384	81.00	7.79

181 After removing outliers, a two-factor method was used to analyze the effects of various classifiers and datasets on the accuracy.
 182 The results showed that the main effect of accuracy was observed on the feature set and the classifier, $F(2,171) = 92.539$, $P < 0.01$,

183 $F(2,171) = 15.253, P < 0.001$. No interaction effect $F(4, 171) = 0.317, P > 0.05$ was observed. To sum up, RF classifier performed
184 better in three feature sets compared with SVM and DT, and EEG-fNIRS feature set provided better performance than both
185 only-EEG feature set and only-fNIRS feature set, with a highest four-level recognition accuracy $78.26 \pm 4.71\%$.

186 What's more, according to table 1, we also observed that the standard deviations of recognition accuracy in EEG-fNIRS feature
187 set was smaller than that of both only-EEG feature set and only-fNIRS feature set. In conclusion, EEG-fNIRS feature sets not only
188 significantly improve the classification accuracy, but also make the model more stable and more robust, which is particularly
189 important in practical applications.

190 **3. Discussion**

191 In order to promote the practical application of the mental workload status detection technology, this study conducted MATB to
192 simulate the cognitive needs of operators in their daily work and used portable EEG acquisition equipment and fNIRS acquisition
193 equipment to collect the physiological signals of subjects during the task. In this study, First, EEG signals from 64 channels were
194 simplified to 26 channels, which significantly improved the convenience of operating the equipment. Second, variation of both
195 EEG and fNIRS features with the task difficulty were analyzed, in order to provide physiological explanation for the variation of
196 mental workload. Finally, the modeling performance of Only-EEG feature sets, Only-fNIRS feature sets, and EEG-fNIRS feature
197 sets in four levels of mental workload monitoring was explored and compared.

198 In the analysis of operator behavioral data, we observed that job performance significantly decreased and subjective scale
199 score increase with the increase of mental workload. This indicates that excessive mental workload will lead to insufficient
200 cognitive resources for operators to maintain good job performance. We selected 26 channels with the highest degree of correlation
201 with load for analysis from the results of channel screening. It can be seen that these 26 channels are mainly concentrated in the
202 occipital lobe and parietal lobe, while a few channels are located in the frontal region. The occipital lobe region is generally
203 considered as a visual region [31], which is highly correlated with basic cognitive functions such as visual search and visual
204 attention [32]. The performance of the operation is highly correlated with the parietal lobe [33]. The prefrontal region is involved in
205 various higher cognitive abilities, such as executive function and memory [34]. This indicates that the mental workload of
206 operators during the task is mainly caused by vision and operation, especially as the operator needs to take into account all
207 subsystems, so it evokes a significant response in the visual region.

208 Further analysis of the changes in features found that the θ band power in the frontal region increased significantly with the
209 increase of task load, and all brain regions showed significant differences. It can be considered that θ band power is a sensitive
210 physiological index of mental load. When the mental load was increased, α band power in the occipital lobe and other hemispheric
211 regions decreased, which was consistent with the results in reported studies [11, 35, 36]. In general, the power of β_1 and β_2 bands
212 increased obviously with the increase of the load in the occipital region. However, by analyzing the power spectrum energy

213 changes in specific channels, it was found that the power of β_2 band in O1 channel increased with the increase of task difficulty,
214 while the power of β_2 band in P4 channel showed a downward trend. This shows that channel location has a substantial effect on
215 features.

216 From the perspective of modeling performance, three different machine learning models were used to analyze the classification
217 results under different feature sets. The results point out that the multimodal dataset is better than the unimodal dataset, which
218 validates the results of [37-39]; moreover, the detection method established in this study is better in terms of classification accuracy
219 than studies such as [11, 40] in the multimodal study. The comparison of the number of categories from load classification is higher
220 than [18, 26, 41] and the robustness of the model is better. The reasons for the better results in this study are as follows: 1. the task
221 selection, which is more relevant to the actual scenario, and the task difficulty setting is more reasonable, which effectively induces
222 different levels of brain load; 2. the channel screening was conducted before modeling, and some redundant EEG channels were
223 eliminated before fusion with fNIRS features. This feature combination overcomes the defects of low EEG spatial resolution and
224 low fNIRS temporal resolution on the one hand, and may generate other key interaction information to help improve the accuracy
225 of EEG load recognition on the other hand. The feature data can be further mined later and relevant experiments can be designed to
226 verify the present conjecture.

227 Finally, it should be noted that there are still some limitations in our study. For one thing, data acquisition configuration of
228 EEG contains 26 electrodes, which means there is still an optimized space. For another, only an offline experiment was
229 implemented in this study, and the experimental task is not a real application task scenario. For further exploration, we hope to
230 reduce the number of EEG channels further and improve our measurement method by monitoring the mental workload status of
231 pilots in real-time during flight missions.

232 **4. Conclusions**

233 In conclusion, this study was to constructed a more accurate and convenient EEG–fNIRS-based mental workload detection
234 method by optimizing the signal acquisition configuration. The result suggested 26 EEG channels and two frontal fNIRS channels
235 is enough for a four-level mental workload detection accuracy of $78.25\pm 4.71\%$, which is higher than previously reported studies.
236 The results of this study can promote the application of mental workload detection technology in military, driving, and other
237 complex human–computer interaction systems.

238 **5. Method**

239 **5.1 Participants**

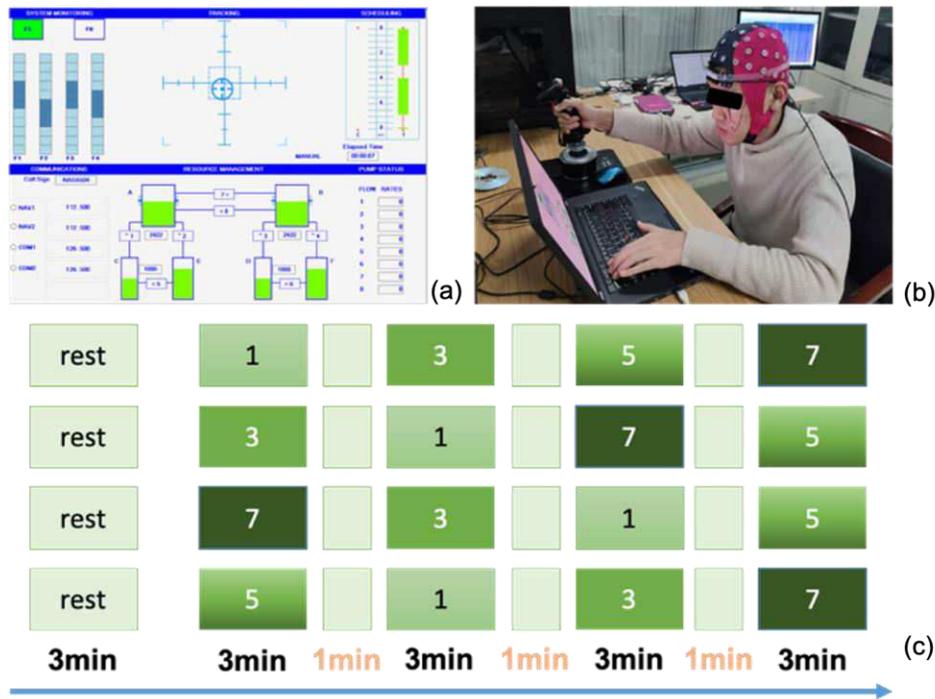
240 Twenty volunteers participated in our experiment, all from China Astronaut Research and Training Center, with an average age
241 of 25.6 ± 2.24 , normal or corrected to normal vision, without any neurological disease or history of neurological disease, and in a

242 stable mental state before the experiment. In order to avoid the influence of gender differences and hand dominance on the results,
 243 all the subjects were male and right-handed. After familiarizing themselves with all the experimental procedures and requirements,
 244 the subjects signed the informed consent form.

245 During the experiment, the subjects were asked to sit in front of a 23-inch LCD monitor with their eyes about 70–90cm away
 246 from the screen. The volunteers were asked to carefully read the instructions given on the screen before each sub-task and complete
 247 the experimental tasks as required. They were also asked to focus on the process of the experiment task execution as far as possible
 248 to get the best results, to ensure that the experimental data were real and effective, and to cooperate with data collection work.

249 5.2 Experimental Design

250 This experiment uses the MATB task to trigger the mental workload of subjects. This task is composed of three sub-tasks,
 251 namely, system monitoring, tracking, and resource management. It is a complex task in-volving three cognitive resources:
 252 attention, operation, and reasoning. The cognitive resources involved in the actual task are the same as those involved in the
 253 fundamental tasks performed by the operator, which can well simulate the real-world task environment of the subjects.



254
 255 **Fig 7. Stimuli and experimental procedure.** Fig 7 (a) show the stimuli of the experiment paradigms. Fig 7 (b) shows a real scenario of the experiment. Fig 7 (c)
 256 demonstrate the experimental procedure. Note that the four different random stimuli sequence consisted of blocks repeated 4 times.

257 During the task, three sub-tasks appear on the screen simultaneously. As shown in Figure 7 (a), the upper left is the system
 258 monitoring task. When an abnormal state occurs, the subject will press the “F1–F6” button to respond, and the subject is required to
 259 press the corresponding button in the shortest possible time. On the upper right is the tracking task, in which subjects track a
 260 circular target by controlling a joystick and are asked to aim the crosshairs at the target as accurately as possible. The lower part is

261 the oil management task. The subjects control the opening and closing of the oil circuit by pressing “1–8”, and they are required to
262 ensure the oil volume of tank A/B remains within a specific range.

263 Experimental procedure shown in Figure 7 (c). The subjects completed a total of four blocks, each of which was randomly
264 assigned four difficulty levels. Each block takes three minutes, and the four three-minute tasks are rated on a scale of difficulty
265 from low to high: 1, 3, 5, and 7. There was a 1-minute rest period between two tasks and a 3-minute rest period before the task
266 began. The actual experiment scene is shown in the Figure 7 (b). At the end of each task, the subjects were required to fill out the
267 NASA-TLX scale. The four sequential tasks were performed in a random order among all subjects.

268 **5.3 Data acquisition**

269 The EGGO device of Ant Neuro was used to record the EEG signals of the subjects at 64 electrode positions as per international
270 standards 10–20. The reference was set at CPZ and the eye movement signals were recorded from below the left eye. The
271 impedance of all electrodes is kept below 5 K Ω and the sampling rate is 500 Hz.

272 The PORTALITE device of Artinis was used to record the changes in blood oxygen in the brain on both sides of the forehead.
273 The sampling rate is set to 50 Hz.

274 **5.4 Preprocessing and Feature extracting**

275 After the collected EEG data were obtained, the average values of the two mastoid channels (M1, M2) were used to re-reference
276 the signals. Then, a 0.5–45-Hz Butterworth band-pass filter and a 50-Hz notch filter were conducted to remove the interference of
277 the DC component, high-frequency component, and power frequency. The sampling rate was reduced to 200 Hz to reduce the data
278 memory size. Independent Component Analysis (ICA) was adopted to remove eye movement, motion artifacts, channel noise, and
279 other interference. Finally, the data were segmented every 3 s with the beginning of stimulus as the mark. The above processing
280 uses the MATLAB open-source toolkit EEGLAB.

281 For each epoch, Welch’s method was used to extract the Power Spectral Density (PSD), and the power of θ (3–8 Hz), α (8–13
282 Hz), β_1 (13–20 Hz), and β_2 (20–30 Hz) were obtained. Then, seven-channel pairs (P8–P7, O2–O1, C2–C1, P4–P3, PZ–O1, PZ–O2,
283 and O1–AF3) were selected from the left and right brain as well as the front and rear brain, and the energy difference of each
284 channel pair in the θ , α , β_1 , and β_2 bands was calculated as the new feature. A total of 276 (62 channels \times 4 bands + 7 channels \times 4
285 bands) EEG features were extracted.

286 The collected fNIRS signal contains considerable noise, including motion artifacts, physiological interference, and instrument
287 noise. Preprocessing was performed using the Homer2 open-source toolkit to remove the motion artifacts. A 0.5-Hz low-pass filter
288 was also applied to reduce instrumental and physiological noise. The processing steps are consistent with Foy et al. [42, 43].
289 Finally, the data were segmented every 3 s, also marked by the time the stimulus started.

290 For each epoch, 11 statistical features were extracted, i.e., mean value, standard deviation, mean square error, skewness, root
291 mean square, peak value, peak factor, kurtosis, waveform factor, pulse factor, and margin factor. A neurovascular coupling feature
292 of the frontal EEG and frontal oxygen signals was added to calculate the zero-lagged correlation between the amplitude of HHb or
293 O₂Hb and the EEG frequency band power (in the four independent bands above). These HHb- or O₂Hb-based NVO features are
294 represented as oxidative neurovascular coupling and deoxy-neurovascular coupling, respectively. A total of 48 (2 channels × 2 × 11
295 + 2 channels × 2) fNIRS features were extracted.

296 **5.5 Data Analysis**

297 **5.5.1 Behavioral Data Analysis Methods**

298 Behavioral data collected in the course of this experiment mainly includes the subjective scale score data filled out by the
299 subjects and the task performance data generated during the task execution of the experimental platform. The subjects were asked
300 to use the NASA-TLX scale to describe their subjective feelings of task load from six aspects: mental demand, physical demand,
301 time demand, subjective evaluation of mission performance, effort, and frustration. To facilitate the analysis, the six dimensions of
302 the subjective scale were normalized based on the maximum and minimum values and then averaged to obtain a comprehensive
303 scale score. The MATB task used in this experiment consists of three sub-tasks: monitoring task, tracking task, and oil management
304 task. Therefore, there are six performance indicators: the response time and response accuracy of the monitoring task; X- and
305 Y-axis deviation of the tracking task; and fuel deviations of tank A and tank B for the oil management task. The performance data
306 is normalized based on the maximum and minimum values and then averaged to obtain the comprehensive performance.

307 In order to analyze the influence of load level on tasks, we calculated Bonferroni corrected ANOVA for overall performance as
308 well as for comprehensive scale scoring, taking mental load level as a factor, and conducted post-hoc comparison among various
309 load levels. False Discovery Rate (FDR) correction was performed for multiple comparisons. The significance value was set at $P <$
310 0.05. By analyzing task behavior data, we can preliminarily summarize the law of the influence of task difficulty on mental load.

311 **5.5.2 EEG Channels Selection Methods**

312 Given that not all areas of the human brain are directly related to mental workload, the 64-channel whole-brain EEG is
313 unnecessary, and the fewer the channels, the easier it is to use in practice. The goal of this study was to use as few EEG channels as
314 possible without losing accuracy. In order to find the brain regions that have high correlation with mental workload, the Recursive
315 Feature Elimination (RFE) algorithm based on SVM was used to filter the channels. RFE is a feature selection method with good
316 performance and strong generalization ability[44]. The main idea is to select the best features by repeatedly building models (such
317 as SVM), eliminating the selected features, and then repeating the above process on the remaining features until all the features are
318 trawled. Considered to be physiological features closely related to mental load in reported studies [12, 28], PSD features of four
319 frequency band including θ (3–8 Hz), α (8–13 Hz), β_1 (13–20 Hz), and β_2 (20–30 Hz) of 64-channel EEG signals were calculated

320 for screening. The top 100 features with the largest RFE results were selected of each subject for further analysis. Feature
 321 importance weight was defined as the number of people with this feature in the above-mentioned top 100 feature sets among 20
 322 volunteers. Channel importance weight was define as the sum of feature weights of 4 frequency bands of the this channel.

323 **5.5.3 Classification Methods**

324 In this study, three classification models, namely, Support Vector Machine (SVM), Decision Tree (DT), and Random Forest
 325 (RF), were adopted to model the extracted features. The grid search method was selected for different classifiers to obtain the
 326 optimal model parameters. Radial Basis Function (RBF) was applied as the kernel Function in SVM, with two important
 327 parameters of C (punish coefficient) and error tolerance, with the search space as [0.0001, 0.001, 0.01, 0.1, 1, 10, 20, 30]. Parameter
 328 Gamma represent the number of support vector, with a search space of [0.1, 0.2, 0.25, 0.4, 0.8, 1.6, 3.2, 6.4]. The DT algorithm has
 329 three parameters that need to be adjusted: the partition standard, the maximum depth, and the minimum sample number required to
 330 segment the internal nodes. ‘Entropy’ and ‘Gini’, are tried as the search space of the parameter ‘partition standard. The search
 331 space of parameter ‘maximum depth’ and parameter ‘Minimum sample number required to segment internal nodes is [10, 30, 60,
 332 100] and [2, 5, 10, 15] respectively. RF is an ensemble classification model with good generalization. Reported studies[27, 45]
 333 have shown that random forest performs well in mental workload classification. The classifier mainly searches for the parameter
 334 “the number of Random Forest spanning trees”, with a adjusting space [100, 200, 500]. In addition, in order to ensure the reliability
 335 of the classification results, all the classification algorithms are validated by five-fold cross-validation.

336 **Abbreviations**

337 EEG: electroencephalogram; ICA: independent component analysis; SVM: support vector machine; MATB : Multi-Task Attribute
 338 Battery; PSD: Power Spectral Density; PLI: Phase Lag Index; ERP: Event-related Potential; DT : Decision Tree; RF : Random
 339 Forest; FDR: False Discovery Rate.

340 **Declarations**

341 **Ethics approval and consent to participate**

342 Our research was approved by Ethnics committee of China Astronaut Research and Training Center

343 **Consent for publication**

344 Not applicable.

345 **Data availability statement**

346 The datasets used and analyzed during the current study are available from the corresponding author on reasonable request.

347 **Competing interests**

348 The authors declare that they have no competing interests.

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352 **Authors' contributions**

353 Hongzuo Chu and Yong Cao carried out the design of the experiment. Jiehong Yang and Mengyin Huang implemented the
354 experiment. Hongzuo Chu and Jin Jiang accomplished the data processing. Hongzuo Chu and Yong Cao wrote the manuscript.
355 Qijie Li, Changhua Jiang, and Xuejun Jiao checked and modified the paper. All authors read and approved the final manuscript.

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