Rebuilding Hippocampus Neural Circuit with hADSC-derived Neuron Cells for Treating Ischemic Stroke

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Research

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Abstract

Background

Human adipose-derived stem cells (hADSCs) have been proven to be a promising autologous stem cell source for treating various neuronal diseases. Our study indicated that hADSCs could be induced into neuron-like cells in a stepwise manner that are characterized by the positive expression of MAP2, SYNAPSIN 1/2, NF-200, and vGLUT and electrophysiological activity. We first primed hADSCs into neuron-like cells (hADSC-NCs) and then intracerebrally transplanted them into MCAO reperfusion mice to further explore their *in vivo* survival, migration, integration, fate commitment and involvement in neural circuit rebuilding.

Results

The hADSC-NCs survived well and transformed into MAP2-positive, Iba1- or GFAP-negative cells *in vivo* while maintaining some proliferative ability, indicated by positive Ki67 staining after 4 weeks. hADSC-NCs could migrate to almost every brain region, including the cortex, hippocampus, striatum, and hypothalamus, and further differentiate into mature neurons, as confirmed by action potential elicitation and postsynaptic currents. With the aid of a cell suicide system, hADSC-NCs were proven to have functionally integrated into the hippocampal memory circuit, where they contributed to spatial learning and memory rescue, as indicated by LTP improvement and subsequent GCV-induced relapse. In addition to infarction size shrinking and movement improvement, MCAO-reperfused mice showed positive immune modulation, including inhibition of the local proinflammatory factors IL-1 α , IL-1 β , IL-2, and MIP-1 β and enhancement of the anti-inflammatory factors IL-15, IP-10, and MCP-1.

Conclusion

Overall, hADSC-NCs used as an intermediate autologous cell source for treating stroke can play multiple roles through cell replacement to rebuild neuronal circuits.

Introduction

Stroke ranks as the most devastating disease with high morbidity and mortality. Most stroke survivors suffer severe irreversible deficits, including motor, sensory and cognitive dysfunctions. Brain damage due to the disruption of the blood supply results from a series of complex and cascading events, including glial cell overreaction, blood-brain barrier (BBB) rupture, mitochondrial dysfunction, chronic inflammation, overproduction of free radicals, excitation toxicities, neuronal axonal and synaptic loss, demyelination, neural circuitry disruption and eventual behavioral deficits, disabilities or death. Currently, few treatments other than i.v. rtPA thrombolysis and antihypertensive therapy have been shown to be effective in clinical practice, and these treatments have with a narrow therapeutic time window. (Jahan et al., 2019; Zhang et al., 2019a) Although other candidate drugs targeting neuroprotection, reduction of thrombosis and inflammation, such as erythropoietin (EPO), (Ehrenreich et al., 2009) NMDA receptor antagonists, (Morris et

al., 1999) GABA receptor agonists,(Lyden et al., 2002) thrombin inhibitors,(Albers et al., 2003) and intracellular adhesion molecular 1 (ICAM-1) inhibitors,(Sherman et al., 2001) have demonstrated some therapeutic potential in preclinical studies, their clinical value is yet to be proven. Clinical cell therapies have shown some neurorestorative effects for patients with stroke, such as, olfactory ensheathing cell(Guo et al., 2019; Wang et al., 2020) and more other kind of cells.(Huang et al., 2020)

Human adipose-derived stem cells (hADSCs) possess many advantages, such as the feasibility of autologous transplantation, easy and minimally invasive collection methods, a long life span in patients, enrichment in adipose tissue, good survival and secretion abilities, multidifferentiation potential and immune modulation capacities.(Kuhbier et al., 2010; Yeh et al., 2015; Zuk, 2010) Recent studies have proven that hADSCs are a promising stem cell source for treating traumatic neural diseases such as stroke(Gomez-de Frutos et al., 2019; Zhou et al., 2015) and various neural degenerative diseases such as Alzheimer's disease (AD),(Kim et al., 2012; Yan et al., 2014) Parkinson's disease (PD)(Chi et al., 2018; Danielyan et al., 2011) and amyotrophic lateral sclerosis (ALS).(Ciervo et al., 2017; Kuzma-Kozakiewicz et al., 2018) One of the most attractive features of hADSCs as a candidate cell source for treating neuronal diseases may be their capability for neuronal lineage differentiation, which makes *in vivo* cell replacement possible.(Gao et al., 2014; Urrutia et al., 2019; Zhang et al., 2012) A previous publication reported the feasibility of transdifferentiating hADSCs into neuronal lineage cells *in vitro*.(Abdanipour et al., 2011; Gao et al., 2019; Qin et al., 2015; Radhakrishnan et al., 2019; Zavan et al., 2010) Priming hADSCs to differentiate into neuronal lineage cells before transplantation results in a much greater chance of cell replacement *in vivo*.(Cheng et al., 2014; Chi et al., 2018) However, how these procedures affect the fate of hADSCs *in vivo* in interacting with the microenvironment has yet to be further explored.

Recent preclinical data obtained when using hADSCs to treat stroke animal models demonstrated some therapeutic effects but were inadequate in explaining the underlying mechanism.(Tobin et al., 2014) Some data were collected from the intravenous injection of hADSCs, which showed promise for behavioral amelioration, but the transplanted hADSCs could not be traced to further reveal the real mechanism. Chen *et al.*(Chen et al., 2011) transplanted allogeneic ADSCs into the lateral ventricle of the rat brain after MCAO and observed that the ADSCs could survive in the brain parenchyma and express characteristic markers of neurons and glial cells [microtubule-associated protein 2 (MAP2) and glial fibrillary acidic protein (GFAP), respectively]. In addition, Wang *et al.*(Wang et al., 2008) reported that transplanted ADSCs expressed endothelial markers [von Willebrand factor (vWF) and endothelial barrier antigen] but not neuronal or glial markers. Meanwhile, other research groups reported that a low number of donor cells could be found in the lesion area or within the whole brain, suggesting that ADSCs are subject to apoptotic death or autophagia *in vivo* following their administration.(Jeong et al., 2013; Luo et al., 2012) However, preclinical data have shed light on the clinical translation of hADSCs in clinical trial studies.(Dulamea, 2015) Previous clinical publications have also demonstrated the safety and promising therapeutic effects of intravenous, intracerebral and intrathecal delivery of ADSCs.(Diez-Tejedor et al., 2014; Duma et al., 2019)

Evidence from recent animal studies hinted that the beneficial effects of stem cell therapy are partially due to other mechanisms, such as paracrine,(Chen et al., 2016; Jeong et al., 2014; Lee et al., 2016; Lee et al., 2018) immune modulation, anti-apoptosis and neurotrophic support mechanisms.(De Miguel et al., 2012; Feng et al., 2019; Zhang et al., 2019b; Zhang et al., 2018) ADSCs have been shown to reduce the levels of IL-18, Toll-like receptor (TLR)-4, and plasminogen activator inhibitor-1 (PAI-1) but to increase Bcl-2 and IL8 levels in a rat model of acute ischemic stroke.(Leu et al., 2010) The *in vivo* data are consistent with the results of *in vitro* inflammation mimicking studies, revealing that ADSCs interfere with the immune system by suppressing the proliferation of peripheral blood mononuclear cells and inhibiting the differentiation of monocyte-derived immature dendritic cells.(Peng et al., 2012; Russell et al., 2016; Xie et al., 2017) Moreover, high levels of immune modulatory cytokines, such as IL-6 and transforming growth factor-1 (TGF-1), could be detected in *in vitro* cultures.(Imamura et al., 2018; Kim et al., 2016) These observations are highly relevant to functional recovery and should be analyzed in detail in future research. However, little is known about the exact immune-modulatory effects of ADSC administration *in vivo* after stroke.(Kim et al., 2007) ADSCs also have the capacity to secrete a wide spectrum of trophic factors, including brain-derived neurotrophic factor (BDNF), insulin-like growth factor-1 (IGF-1), vascular endothelial growth factor (VEGF), and hepatocyte growth factor (HGF), which may contribute to stroke symptom improvement in animal models by preventing neuronal apoptosis, enhancing the natural repair response and modulating inflammation.(Gon et al., 2019; Luo et al., 2012; Reid et al., 2011; Zhang et al., 2018)

Cell replacement *in vivo* is always highly anticipated and appreciated during regeneration in neuron degenerative diseases. The best way to utilize hADSCs *in vitro* to properly introduce them into the damaged stroke brain target and allow them to functionally integrate into the broken neural circuit is a challenge. In this study, we developed a strategy to expose hADSCs to a neuronal differentiation cocktail for a short time *in vitro* and to transplant these primed hADSCs stereotactically into the injury site in the brain of a mouse subjected to MCAO reperfusion ischemic stroke; we hypothesized that manipulation of these cells in advance can turn on their neuronal switch *in vivo* while still maintaining their original immune-modulatory and neurotrophic capacities. Thus, we might develop a better therapeutic solution for stroke.

Results

hADSC characterization

hADSCs were analyzed to determine their CD surface antigen expression pattern by FlowJo software after FACS, as shown in Figure Suppl. 1A. More than 82.6% of the hADSCs expressed the typical mesenchymal stem cell CD markers CD44, CD73 and CD105, while less than 0.71% of the hADSCs expressed the hematopoietic stem cell markers CD34, CD45, and CD133. the statistical analysis is shown in Figure Suppl. 1C. Isolated hADSCs showed spindle-like morphology at passages 3 to 5. After passaging, the hADSCs maintained their multilineage differentiation potential, and more than 75.1% of

the hADSCs could be efficiently differentiated into adipocytes, osteoblasts and chondrocytes, as shown in Figure Suppl. 1B; the statistical analysis is shown in Figure Suppl. D.

hADSCs could be induced to differentiate into neuron-like cells with electrophysiological activities *in vitro*

Previously, a protocol to induce hADSCs into NCs was developed in our lab to analyze their developmental and electrophysiological activity variation.(Gao et al., 2014) Our studies showed that hADSCs could be gradually induced to differentiate into NCs, which showed the appropriate morphology as well as increased MAP2 and decreased GFAP expression within 48 hr (Fig. 1A). On day 3, the NCs began to express the synaptic markers synapsin 1/2 and vGLUT (Fig. 1B). On day 6, the NCs became more mature with complex neurites and high expression of vGLUT and Synapsin 1/2 (Fig. 1C). The cell morphology and markers were determined and are summarized in Fig. 1D. On day 6, whole-cell patch clamp recordings were performed on these hADSC-NCs, and action potentials were detectable, suggesting that the NCs began to exhibit electrophysiological activities *in vitro* in addition to their expression of neuronal markers.

hADSC-NC transplantation leads to dramatic behavioral improvement in MCAO reperfusion mice

The MCAO reperfusion ischemic stroke mouse model was generated according to a previously described method(Gomez-de Frutos et al., 2019). Seven days after surgery, EGFP-labeled hADSC-NCs were stereotactically injected into the infarcted brain region of the MCAO mice, which were subject to observation and evaluation for four weeks. In the PBS-treated control group, TTC staining revealed significant ischemic injury of the brain (grey areas in Fig. 2A), which was consistent with the abnormal behavior performance, as shown in Fig. 2C. Statistical analysis of the infarction volume is shown in Fig. 2B. The movement behaviors were observed in a double-blinded manner, and the NIHSS neurological score results are summarized in Fig. 2C. hADSC-NC transplantation led to a significant decrease in the infarcted areas (Fig. 2A and B, $P < 0.01$) as well as the NIHSS scores (Fig. 2C) at 28 days after treatment. To evaluate the learning and memory ability of the MCAO mice subjected to various treatments, the Morris maze test was applied, and the movement tracks of the mice were monitored for six consecutive days (one program consisted of five days for training plus one day for testing). Representative tracks are shown for further analysis (Fig. 2D). hADSC-NC treatment significantly decreased the test duration (MCAO-hADSC-NCs: 35.03 ± 25.41 versus MCAO-PBS: 53.43 ± 11.37) and increased the path efficiency (MCAO-hADSC-NCs: 0.23 ± 0.24 versus MCAO-PBS: 0.08 ± 0.05) for the MCAO mice in the Morris maze test at day 6 compared with the values for the PBS control mice (Fig. 2E and F, $P < 0.05$), although some differences still existed between the hADSC-NC-treated and sham groups. Our results indicate that hADSC-NC transplantation can partially facilitate the functional rescue of learning and memory in MCAO mice.

Transplanted hADSC-NCs can migrate into various brain sites and survive for a long time after injection into the infarcted region in MCAO mice

The behavioral improvement resulting from hADSC-NC transplantation in the MCAO reperfusion mouse model leads us to postulate that these NCs might be able to escape immune rejection and survive injury *in vivo*. To track the committed fates of these transplanted cells, we labeled the hADSC-NCs with a lenti-EGFP-expressing virus in advance *in vitro* and immunostained the neuronal-specific marker MAP2 in different brain regions (Fig. 3A). To our surprise, the EGFP-labeled hADSC-NCs could be detected not only in the main infarcted areas, such as the cortex and hippocampus, but also widely in other unaffected areas, including the striatum and hypothalamus (Fig. 3B-F). Most of the EGFP-labeled cells showed colocalization of EGFP with MAP2, implying their neuronal fate. Interestingly, even after two months, the transplanted human cells not only survived but also demonstrated more complex structures with extended dendrites and an increased number of branches (Fig. 3B and C, inserted panels), which suggested that given more time, the local microenvironment might have supported further transdifferentiation and maturation of the transplanted cells.

hADSC-NCs directly contributed to spatial learning and memory recovery by improving LTP

Whether the transplanted hADSC-NCs could directly contribute to improved brain function has always been debated in the field of stem cell therapies, and the hypothesis of cell replacement or paracrine mechanisms may be favored. To clarify this issue, an *in vivo* cell suicide system was applied by infecting the transplanted hADSC-NCs with a thymidine kinase (TK) overexpression system and administering GCV intraperitoneally afterward to induce cell suicide *in vivo*. (Gao et al., 2019) Consistently, as shown in Fig. 4, the groups of mice transplanted with TK-NCs and GFP-NCs demonstrated significant improvements in spatial learning and memory compared with the PBS control group four weeks after establishing the MCAO models. In addition, the MCAO-TK-NC and MCAO-GFP-NC groups of mice showed similar spatial learning and memory abilities compared with the MCAO-Sham group of mice. Their representative swimming tracks are shown in Fig. 4A-a, and the test duration and path efficiency results for the Morris test are shown in Fig. 4A-b and Fig. 4A-c. After the first round of the Morris maze test, i.p. GCV administration was performed every day on every mouse in every group for one week. At the end of GCV treatment, all groups of mice were subjected to the second round of the Morris maze test, and the data is shown in Fig. 4B. The swimming tracks are shown in Fig. 4B-a, and the test duration and path efficiency are shown in Fig. 4B-b and Fig. 4B-c, respectively. The MCAO-TK-NC group of mice, in which the transplanted hADSC-NCs were induced to commit suicide by GCV treatment, showed significant relapses in terms of spatial learning and memory. The MCAO-GFP-NC group, as a parallel control with transplanted hADSC-NCs that survived without GCV treatment, showed similar spatial learning and memory capabilities as the MCAO-Sham mice, which greatly decreased the test duration and increased the path efficiency compared with the results of the first Morris maze test. The Morris maze test results were consistent with the Rogers scoring data, as shown in Fig. 4C-a. After GCV treatment on day 35, movement functioning relapsed gradually in the MCAO-TK-NC group. To further elucidate the electrophysiological basis for the improvement of spatial memory, hippocampal synaptic transmission was examined in the CA1 region of hippocampal slices from the MCAO-Sham, MCAO-PBS, MCAO-TK-NC and MCAO-TK-NC-

GCV groups of mice. Field excitatory postsynaptic potentials (fEPSPs) in the CA1 region of the hippocampal slices were recorded in response to stimulation of the Schaffer collateral (SC) pathway. Long-term potentiation (LTP) of synaptic transmission, which is the major cellular mechanism that underlies learning and memory, was induced by tetanic stimulation. A schematic illustration of the experiment is shown in Fig. 4C-b. Hippocampal LTP was impaired in the MCAO-PBS group but rescued by transplantation of TK-NCs (MCAO-Sham: $223\% \pm 25\%$ of the baseline; MCAO-PBS: $116 \pm 4\%$ of the baseline; MCAO-TK-NC: $178 \pm 13\%$ of the baseline; $P < 0.001$ for MCAO-Sham versus MCAO-PBS, $P > 0.05$ for MCAO-Sham versus MCAO-TK-NC, Fig. 4C-c and -d). However, the restoration of LTP in the MCAO-TK-NC group was abolished by i.p. GCV administration (MCAO-TK-NC-GCV: $122 \pm 6\%$ of the baseline, $P < 0.001$ for MCAO-TK-NC-GCV versus MCAO-TK-NC, Fig. 4C-c and -d), which implied that the TK-NCs directly participated in the reconstitution of neural circuits in the hippocampus.

Transplanted hADSC-NCs grew into electrophysiologically active and healthy neuron cells *in vivo*

To determine the fate of the transplanted cells, immunohistochemical staining was used to trace the migration, transdifferentiation and integration of the introduced hADSC-NCs in brain tissue. The staining results showed that most of the hADSC-NCs expressed the neuronal marker MAP2, as shown in Fig. 3, which is consistent with the *in vitro* cell staining shown in Fig. 1. GFP-positive neuron-like cells derived from hADSCs could always be observed in the cortex, hippocampus, striatum, hypothalamus, and other areas with different cell morphologies, as shown in Figs. 3 and 5. The GFP-positive hADSC-NCs survived well and expressed minor amounts of Caspase 3, as shown in Figs. 5 & Suppl 2, which indicated their health and excellent supportive functioning for endogenous neurons with high biological compatibility. Colocalization of human nuclear antigen (HuNA) and GFP could be observed, indicating that the GFP-positive cells were indeed the introduced human ADSC-NCs, as shown in Figure Suppl 2. HuNA & GFP double-positive, Caspase 3-negative hADSC-NCs were widely distributed within the CA1, CA2 and CA3 areas of the hippocampus, which predicted good spatial learning and memory recovery, as shown in Figure Suppl 2. Few GFP-positive hADSC-NCs expressed the microglial marker Iba1 and the blood vessel endothelial cell marker CD31, indicating that they did not transform into microglia or endothelial cells, as shown in Fig. 6A-D. The injected hADSC-NCs could transform into fully mature neuron cells with action potentials similar to those of endogenous neurons, as shown in Fig. 6E. The representative GFP-positive cell image obtained by whole-cell recording is shown in Fig. 6E-a, with action potentials that were elicited by injection of current (Fig. 6E-b) and spontaneous postsynaptic currents that were blocked by bicuculline ($50 \mu\text{M}$) (Fig. 6E-c), reflecting the inhibitory (GABA) neurotransmission inputs in these neurons. These results also verified the hypothesis that the *in vivo* microenvironment may promote the differentiation of preconditioned immature hADSC-NCs (Fig. 1D-c) into fully mature neurons. These studies further proved that priming hADSCs to differentiate into neuron-like cells (hADSC-NCs) before transplantation is indeed an effective strategy because a high proportion of the hADSC-NCs survived and transdifferentiated into functional mature neurons rather than astrocytes, microglia or endothelial cells. The colocalization of Ki67 and GFP was also randomly observed, indicating that some of the transplanted hADSC-NCs still maintained proliferative ability *in vivo*, as shown in Figure Suppl 3.

hADSC-NCs exerted anti-inflammatory effects by suppressing glial cell overreaction and augmenting the expression of anti-inflammatory factors

Since natural ADSCs can exert positive immune modulation, it is of great interest to test whether the transplanted hADSC-NCs still maintain this capacity. qRT-PCR and western blotting data indicated that hADSC-NC administration significantly suppressed the expression of the microglial marker Iba1 ($P < 0.01$) of the ipsilateral (Right) brain (Fig. 7A) and astrocyte marker GFAP ($P < 0.05$) of total brain (Fig. 7C&D) compared with that in the PBS control. This indicated that hADSC-NCs could decrease brain inflammation by suppressing the activation of microglia and astrocytes induced by brain ischemic reperfusion injury. On the other hand, hADSC-NCs significantly ($P < 0.05$) enhanced the expression of neuronal genes, such as NeuN and Synapsin 1/2, in the host brain (Fig. 7A) compared with that in the PBS-injected control group mice. This implied that the introduced hADSC-NCs may protect endogenous neurons from death. However, the expression of the oligodendrocyte marker MBP was not significantly ($P > 0.05$) increased by hADSC-NCs compared with its expression in the PBS control group. In addition, no apparent differences in the expression of neurotrophic factors, including BDNF, GDNF, NGF, IGF and NT3, were observed between the ipsilateral brain spheres of the PBS and hADSC-NC groups, which demonstrated that the paracrine function of ADSC-NCs in boosting neurotrophic factors might not play a vital role in stroke rescue. Western blotting experiments further confirmed that GFAP expression could be suppressed after hADSC-NC transplantation, and the human-specific marker HuNA could be detected, as shown in Fig. 7C.

How the transplanted hADSC-NCs affected the profiles of local and systemic chemokines and cytokines was further explored by using a protein microarray panel. The data demonstrated that hADSC-NCs exhibited a favorable local immune regulation ability by suppressing the pro-inflammatory factors IL-1 α , IL-1 β , IL-2, and MIP-1 β and enhancing the activity of the anti-inflammatory factors IP-10, IL-15, and MCP-1 in the collected brain tissue, as shown in Figure suppl.4A and Figure suppl.4B. Meanwhile, only two proinflammatory factors, IL-1 α and KC, were downregulated in the blood serum samples, as shown in Figure suppl.4C and Figure suppl.4D. These results indicated that hADSC-NCs could still exert anti-inflammatory modulation in the local injured sites of the brain while inducing only a minor systemic immune response.

Discussion

Mesenchymal stem cell (MSC) therapy is becoming a promising new therapeutic option for stroke. Of all types of MSCs, ADSCs are of special interest based on recent data from both animal and clinical studies. (Burrow et al., 2017; Diez-Tejedor et al., 2014; Gomez-de Frutos et al., 2019; Romanov et al., 2005) Previous reports demonstrated the feasibility of the transdifferentiation of hADSCs into neuron-like cells. (Gao et al., 2014; Gao et al., 2019; Radhakrishnan et al., 2019; Zavan et al., 2010) Our data indicated that hADSCs could be efficiently transdifferentiated into immature neuron-like cells with synaptic activities, which is consistent with the results of the most recent reports. (Blecker et al., 2017; Han et al., 2014; Radhakrishnan et al., 2019) hADSCs could be gradually induced to differentiate into GFAP-negative

and MAP2-, SYNAPSIN 1/2-, NeuN- and vGLUT-positive neuron-like cells (hADSC-NCs), which can be stained at different time points. To partially maintain the proliferative ability of hADSC-NCs and improve their survival after transplantation into the brain, we pretreated hADSCs according to our neuronal induction protocol for 24 hr before transplantation. Transplantation of these preconditioned cell populations could significantly enhance the spatial learning and memory of MCAO reperfusion mice in the subacute phase, which may achieve better therapeutic effects compared with intravenous transplantation.(Cheng et al., 2014; Gomez-de Frutos et al., 2019) As expected, a decrease in the test duration and an increase in path efficiency were found in the hADSC-NC group compared with the PBS group of MCAO mice, indicating neurological improvement due to hADSC-NC transplantation. The infarction volume was also decreased in the hADSC-NC group compared with the PBS group. These data demonstrated that intracerebral transplantation of hADSC-NCs could dramatically rescue the neurological function of MCAO mice.

Although current data on the efficacy of stroke treatment with hADSCs show obvious discrepancies due to the use of different animal models, various cell delivery routes and time windows of cell administration, therapeutic effects could be consistently observed in most animal experiments when using hADSCs.(Gomez-de Frutos et al., 2019; Gon et al., 2019; Li et al., 2016; Zhou et al., 2015) However, how transplanted hADSCs achieve the expected long-term therapeutic effects has rarely been explored in depth until now. Therefore, verifying the survival, differentiation, and integration of hADSCs *in vivo* as well as their interaction with host resident cells has become necessary and urgent. This study demonstrated that the introduced hADSC-NCs could survive well for up to 6 months and fully integrate into endogenous tissue, which led to the repair of infarcted brain regions. The colocalization of EGFP and MAP2 was found at various brain sites, including the cortex, hippocampus, striatum and hypothalamus. Minor percentages (<10.0%) of cells with EGFP and Iba1 colocalization were found. The EGFP-labeled hADSC-NCs migrated to almost all areas of the brain with typical neuronal morphology. Action potentials and synaptic activities were recorded by whole-cell patch-clamp experiments in acute brain slices with EGFP-labeled hADSC-NCs, and these could be blocked by bicuculline, reflecting the presence of inhibitory (GABA) neurotransmission inputs in these neurons. This may be the prerequisite for their functional involvement in the endogenous neural circuit. These data indicated that *in vitro* primed hADSC-NCs could be further transdifferentiated into fully mature neuron cells with electrophysiological activities within the brain microenvironment, which strongly proved the feasibility of hADSC transdifferentiation into mature neuron cells and the possibility of their use for cell replacement *in vivo* for the treatment of traumatic or degenerative neural diseases. Compared with the PBS group, the hADSC-NC group showed significant improvement of LTP to a similar level as that in the Sham group. After hADSC-NCs committed suicide, the ability to induce LTP was largely abolished, which was consistent with the results of the Morris maze test and Rogers scoring and the NIHSS data. In combination, these data strongly suggest that hADSC-NCs may directly participate in neural circuit rebuilding. To the best of our knowledge, this is the first report showing that hADSCs can differentiate into electrophysiologically active neurons *in vivo* after transplantation and participate in the reconstitution of neural circuits for stroke treatment.

The immune modulatory capacity of hADSCs has been broadly reported and has a profound impact on their therapeutic effect.(De Miguel et al., 2012; Leu et al., 2010; Zhang et al., 2018) Whether the primed intermediate hADSC-NCs still show these characteristics has not been thoroughly explored, though it was implied by previously published data.(Du et al., 2016) This study demonstrated that hADSC-NCs exerted significant brain-specific local immune modulatory effects by upregulating the anti-inflammatory factors IP-10, IL-15, and MCP-1 and downregulating the pro-inflammatory factors IL-1 α , IL-1 β , IL-2 and MIP-1 β . At the same time, no significant differences in the systemic levels in serum were detected, except for the minor downregulation of the pro-inflammatory factors of IL-1 α and KC in serum, which indicates that *in situ* transplantation of hADSC-NCs scarcely induced systematic immune responses. Our data suggest that hADSC-NCs still maintain their positive immune modulation effects locally while showing low systemic immunogenicity. It has been widely accepted that hADSCs can promote host cell survival and inhibit neuronal apoptosis.(Kim et al., 2007; Luo et al., 2012; Reid et al., 2011) It is of interest to know whether hADSC-NCs still possess these capabilities when used to treat traumatic neural diseases. Our data indicated that hADSC-NCs could protect the survival of endogenous neurons from hypoperfusion damage presumably by suppressing the activation of GFAP- and Iba1-positive glial cells without increasing neurotrophic factor expression.

In summary, this study demonstrates that the brief priming of hADSCs under neuronal differentiation conditions *in vitro* will significantly enhance their neuronal fate *in vivo* in an MCAO reperfusion mouse model, augment their viability and facilitate their migration, tissue integration and functional maturation. Given time, these transplanted hADSC-NCs will become more mature in terms of their electrophysiological activity and become capable of firing action potentials and exhibiting synaptic activities. More importantly, they will directly participate in rebuilding neural circuits and play a pivotal role in long-term synaptic plasticity, which will eventually lead to the improvement of spatial learning and memory in MCAO reperfusion mice. Although the detailed mechanism of how the endogenous environment affects the fate of these preconditioned hADSCs is yet to be revealed, our study provides a new perspective for the development of a novel therapeutic strategy to combat stroke, a devastating disease that affects millions of people worldwide.

Experimental Procedures

All animal experiments were performed according to protocols approved by the Ethical Committee of the Experimental Animal Center affiliated with the School of Medicine of Tongji University. The approval no. is TJLAC-014-012. hADSCs were isolated from human adipose liposuction liquid, and the processing and handling protocols were approved by the Ethical Committee of East Hospital Affiliated with Tongji University. The committee director is Fu, Meng. The approval no. is 2015-045. The human adipose liposuction liquid was obtained from the cosmetic plastic surgery hospital with the consent of patients.

hADSC isolation, expansion and characterization

hADSCs were isolated from fresh human adipose liposuction tissue according to previously published methods.(Gao et al., 2014) Fluorescence-activated cell sorting (FACS, Beckman Coulter) was carried out

to identify the CD surface antigens of the isolated hADSCs from passages 3 to 5. Antibodies conjugated with tricolor fluorophores (fluorescein isothiocyanate [FITC], phycoerythrin [PE], and allophycocyanin [APC]) were purchased, including CD44-APC (eBioscience, Cat#47-0441-80), CD105-PE (eBioscience, Cat#12-1057-41), CD73-FITC (eBioscience, Cat#11-0739-42), CD34-FITC (eBioscience, Cat#11-0349-41), CD45-FITC (eBioscience, Cat#11-9459-41), and CD133 (eBioscience, Cat#12-1338-42). Isotype controls were performed to exclude the nonspecific interaction of antigen and antibody.

Isolation of electrophysiologically active hADSC-NCs and characterization

hADSCs cultured on Matrigel-coated coverslips in 24-well plates were induced to differentiate into neural-like cells with neural induction cocktail medium according to our previous publication([Gao et al., 2014](#)) with minor modifications. The stepwise induction protocol is described in Figure 1D-a. Cytoimmunostaining was performed to characterize the hADSC-NCs.([Gao et al., 2014](#)) Whole-cell patch clamping was used to determine the electrophysiological properties.

Middle Cerebral Artery Occlusion (MCAO) mouse model

The MCAO mouse was generated through surgery on C57/BL6 mice according to the procedure described by Zhou et al.([Zhou et al., 2015](#)) Briefly, after inducing anesthesia, a small incision was made above the rhinal fissure to expose and isolate the right common cerebral artery (CCA) and the branch of the cerebral artery. The CCA branch was permanently ligated just before its bifurcation into the frontal and parietal branches with a 9-0 suture. The external common carotid artery was then permanently ligated at two sites and cut in the middle of the two sites. The internal common carotid artery was temporarily ligated. A breach was made between the bifurcation and the ligation site in the middle cerebral artery to allow the embolus (Cat#2634-A4, Beijing Sunbio Biotech Co., Ltd) to be infixed into the internal common carotid artery, reaching a depth of approximately 18 mm. One hour of occlusion followed by reperfusion was achieved by carefully removing the embolus to avoid bleeding. Then, stitching and necessary sterilization were performed. Mice that received surgery were evaluated for their neurological function according to the Rogers scale score system or the NIHSS.([Diez-Tejedor et al., 2014](#); [Liberale et al., 2020](#); [Wang et al., 2017](#)) Successful MCAO mice with scores between 2 and 3 were randomly assigned to the PBS control group (MCAO-Ctrl-PBS) or the hADSC-NC injection group (MCAO-hADSC-NC). To confirm the safety of the surgery, a sham group (MCAO-Sham), which was subjected to blood vessel exposure without occlusion, was also included as the normal control.

hADSC-NC labeling, preparation and stereotactic injection into the MCAO brain injury area

To obtain the transplanted hADSC-NCs, hADSCs were first labeled with an EGFP-expressing lentivirus or mCherry-TK-overexpressing lentivirus. Forty-eight hours after infection, hADSCs were observed under a fluorescence microscope at wavelengths of 488 nm and 568 nm. A percentage of hADSCs labeled with green fluorescence of 80-90% indicated successful infection. Then, the labeled hADSCs were incubated with the aforementioned modified cocktail,([Gao et al., 2014](#); [Wu et al., 2019](#)) and induced to differentiate into neuron-like cells for 24 hr. hADSC-NCs were collected and resuspended at a final concentration of

1×10⁹ cells/ml in PBS. Five microliters of this cell suspension were injected into the MCAO mouse brain injury lesion through a glass micropipette and stereotaxic injector (KDS310, Muromachi-Kikai). The detailed procedure was similar to our previously published procedure.([Zhou et al., 2015](#))

Neurological function evaluation according to the Rogers scaling system and the NIHSS

Each mouse was neurologically evaluated according to the Rogers scaling system by two researchers who were blinded to the experimental group assignments. The scale categories used were previously reported.([Zhou et al., 2015](#)) The NIHSS system was used as described in the reference.([Diez-Tejedor et al., 2014](#); [Wu et al., 2019](#)) Scoring was performed every week, and the statistical analysis was carried out with GraphPad Prism 5. Each group had at least 7 mice.

Mouse brain infarction volume determined by TTC staining

TTC staining was performed as previously described.([Zhou et al., 2015](#)) After the mice were sacrificed, the cerebrum was immediately removed and placed in a -4°C refrigerator for 20 min. Then, it was sliced into six uniform coronal sections after the olfactory bulb was removed. The sections were placed in 2% (W/V in PBS) 2,3,5-triphenyltetrazolium chloride (TTC, Cat#T8877, Sigma) at 37°C in a water bath and then fixed with 4% paraformaldehyde. The normal brain tissue was dyed pink, while the infarction area was gray. The data processing was performed according to previously published methods.([Zhou et al., 2015](#))

Mouse spatial learning and memory evaluation with the Morris test

To evaluate the effect of hADSC-NCs on mouse spatial learning and memory, a Morris water maze equipped with a digital camera was used to determine the physiological indexes for the poststroke mice. The general protocols were similar to those previously published with minor modifications([Diederich et al., 2014](#); [Schmidt et al., 2015](#)). The Morris test program consisted of 5 days of training plus 1 final test day. The data were recorded and processed with Any-maze software (EthoVision XT7.0, Noldus Information Technology b.v., Netherlands).

Immunohistochemical staining to trace hADSC-NC fate

The EGFP-hADSC-NCs were tracked and identified by immunohistochemical staining with the neuronal markers MAP2 (Synaptic Systems, Cat#188011), GFAP (Synaptic Systems, Cat#173011), and Human Nuclear Antigen (HuNA, Merck Millipore, Cat# MAB1281), the proliferative marker Ki67 (R&D Systems, Cat#AF7649), and the cell apoptosis marker Caspase 3 (Cat# Cell Signaling, 9579S) by following previously published methods.([Zhou et al., 2015](#)) Briefly, after the Morris water maze test, mice from each group were sacrificed, and intracardial perfusion was performed. Then, the brains were removed, fixed with 4% paraformaldehyde and dehydrated with a sucrose gradient. Brain sections 20µm in thickness were obtained with a cryostat (Leica, CM1850), followed by immunohistochemical staining. The distribution of the EGFP-labeled hADSC-NCs in specific brain sites was observed through confocal

microscopy (Leica, SP8). Additionally, colocalization was carefully observed to determine whether any transdifferentiation of hADSC-NCs into neuronal cells had occurred.

HSV-TK-mCherry-GCV cell suicide system construction and application

The HSV-TK-mCherry packaging system was provided by our colleague Prof. Zhang Hongsheng. The in vitro infection of hADSCs was first performed to determine the appropriate GCV amount for in vivo i.p. injection. Five weeks after HSV-TK-mCherry-hADSC-NC transplantation, all groups received a 7-day i.p. injection of ganciclovir (GCV, Sigma-Aldrich, Cat# G2536) at 100 ng/kg body weight per day to induce the introduced cells to commit suicide by following previously published method.([Gao et al., 2019](#); [Wu et al., 2009](#)) The Rogers scores, Morris test results and field potentials of the acute brain slices were all determined before and after GCV injection in each group of mice according to the method in a previous publication.([Falnikar et al., 2015](#))

Determination of brain neuronal markers and neurotrophic factor variation by qRT-PCR and western blotting

Total mRNA was extracted and purified by using a TRIzol Reagent Kit (Invitrogen, Cat#15596-018) and then subjected to reverse transcription into cDNA as a template for qRT-PCR (Invitrogen, Cat#11731-015). To further explore whether the introduced hADSC-NCs efficiently inhibited astrocyte reactions, western blotting was used to detect the astrocyte marker GFAP in different groups by following standard protocols.([Gao et al., 2019](#)) Furthermore, the human nuclear-specific marker HuNA was also detected to confirm whether hADSC-NCs specifically migrated to the brain. The protocols were previously published. ([Gao et al., 2019](#))

Electrophysiological activity determination for cultured hADSC-NCs and live brain slices

Coronal hippocampal slices (400 μ m) were prepared and maintained in Artificial Cerebrospinal Fluid(ACSF) containing (in mM) NaCl (119), NaHCO₃ (26.2), NaH₂PO₄ (1), KCl (2.5), CaCl₂ (2.5), MgSO₄ (2.5) and D-glucose (11) at 25°C for at least 1 hr before use according to published protocols with modifications([Hao et al., 2017](#); [Huang et al., 2012](#); [Huang et al., 2016](#)). In brief, for the LTP experiments, the field Excitatory Postsynaptic Potential (fEPSPs) were recorded using 1.5–3.5 M Ω glass pipettes filled with ACSF that were placed in the stratum radiatum of the CA1 region. fEPSPs were evoked by stimulation of the Schaffer collateral pathway once every 30 s with a bipolar platinum electrode. LTP was induced by 16 bursts of stimulation (each burst consisting of 4 pulses at 100 Hz) delivered at 5 Hz (theta-burst stimulation, TBS). Baseline responses were recorded for at least 20 min. Responses were subsequently recorded for an additional 60 min after TBS. The magnitude of LTP was quantified as the normalized average slope of the fEPSP obtained from the last 15 min of recording.

For the whole-cell recordings in brain slices, the pipette resistance was 3–5 M Ω , and the internal solution contained (in mM) 135 KCl, 10 HEPES, 1 EGTA, 4 Mg-ATP, and 0.4 Na-GTP (pH adjusted to 7.4 with KOH). Action potentials were elicited by 500 ms of depolarizing current pulses ranging from 100 to 1000 pA in

100-pA increments. For the in vitro cultured hADSC-NCs, whole-cell recordings were obtained by following similar protocols.(Gao et al., 2019; Xu et al., 2007; Xu and Sastry, 2005)

Immune factor profiling

To verify whether the introduced hADSC-NCs played any immune modulatory roles or had any kind of immune modulatory functions, 32 inflammation- or immune-related factors were placed in one 96-well plate to generate the mouse cytokine/chemokine magnetic bead panel. The protocols strictly followed the instructions obtained from the kit (MCYTOMAG-70K-PX32, Lot#2618731). The experiments were performed as a service provided by Merck Millipore.

Statistical Analyses

All data are expressed as the mean $\pm$ SD or SEM. The number of mice in each group was at least 7, and all the results were obtained from at least 5 replicates. The statistical significance of differences between groups was determined using one-way or two-way ANOVA with Bonferroni posttests with GraphPad Prism version 5.00 for Windows (GraphPad Software, San Diego California USA, www.graphpad.com). $P < 0.05$ was considered statistically significant.

Abbreviations

APC, Allophycocyanin;

BDNF, Brain-derived neurotrophic factor;

BMSCs, Bone Marrow Stem Cells;

EGFP, Enhanced Green Fluorescence Protein;

FACS, Fluorescent Activated Cell Sorting;

fEPSPs, Excitatory Postsynaptic Potential;

FITC, Fluorescein Isothiocyanate;

GDNF, Glial cell line-derived neurotrophic factor;

GFAP, Glial Fibrillary Acidic Protein;

hADSCs, Human adipose-derived stem cells;

hADSC-NCs, hADSC-derived neuron-like cells;

IGF-1, Insulin-like Growth Factor-1;

LTP, Long term potentiation;

MAP2, Microtubule-Associated Protein 2;

MCAO, Middle Cerebral Artery Occlusion;

MSCs, Mesenchymal Stem Cells;

NGF, Nerve growth factor;

NT3, Neurotrophin 3;

PE, Phycoerythrin;

VEGF, Vascular Endothelial Growth Factor

Declarations

Data availability statement

The raw/processed data required to reproduce these findings cannot be shared at this time due to technical or time limitations. De-identified data are available from the corresponding author upon reasonable request after article published.

Author Contributions

S.G., Y.H., and J.X. conceived and designed the experiments and coordinated the work presented. S.G., F. Z, J.W., G.M.W., and F.J.G. isolated and characterized the hADSCs. S.G., F. Z, X.X.G., and K.N. developed the protocols used to carry out the Morris maze test. S.G., F. Z. performed the MCAO surgery. S.G., and X.X.G were responsible for evaluating and characterizing the MCAO model. S.G., X.J., C.X., K.N., and B.L. evaluated and treated the MCAO mouse model with hADSCs. S.G. W.J. and G.M.W. carried out the IHC studies for the characterization of transplanted hADSCs *in vivo*. R.H., J.W. and Y.H. performed the electrophysiological recording. S.G., X.X.G., F. Z, J.X. and X.C. analyzed the data and wrote the manuscript. Here, we confirm that all of the coauthors have read and approved the final version of the manuscript.

Competing Interests

The authors declare no competing interests.

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Consent of publication

Not applicable

Ethics approval

All aspects of the animal experimental procedures were approved by the animal committee of Tongji University.

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Figures

Figure 1

hADSCs are gradually induced to differentiate into neuron-like cells characterized by neuronal marker staining and action potentials. A shows the variance in morphology and neuronal marker expression during stepwise induction from 0 hr to 48 hr. B shows that some functional neuronal markers could be detected and were distributed within the cell soma on day 3 (D3). C shows that hADSCs could be induced to differentiate into relatively mature neurons with complex neurites and neurotransmitters on Day 6 (D6). D shows the cell morphological variance diagram (D-a), the change in the positive expression ratio over time for various neuronal markers (D-b) and the action potentials measured by whole-cell patch clamping on D6. n=5, Scale bar=50 μ m in A-B, Scale bar=25 μ m in C.

Figure 2

hADSC-NCs can decrease the MCAO mouse infarction volume and improve neurological scores, spatial learning and memory. A shows TTC data to demonstrate the infarction volume variance among the groups. B shows the statistical analysis of the infarction volume. C shows NIHSS data indicating the improvement in neurological behavior between the MCAO-PBS group and the MCAO-hADSC-NC group. D compares the track plots from different groups at different time points. E shows the statistics for the test duration. F shows the path efficiency variance. n=7. * indicates $P<0.05$, ** indicates $P<0.001$. *** indicates $P<0.0005$.

Figure 3

Tracking and identification of hADSC-NCs in MCAO mouse brains 4 weeks after transplantation. A shows the working flowchart. Immunohistochemical staining data show that GFP and MAP2 double-positive hADSC-NC cells can be found in different areas of the mouse brain, including the cortex (B), hippocampus (C), striatum (D) and hypothalamus (E). Scale bar=25 μ m.

Figure 4

hADSC-NC suicide leads to the relapse of spatial learning and memory. A show that hADSC-NCs rescued the brain function of MCAO mice according to the Morris test, B shows hADSC-NC suicide can lead to spatial learning and memory relapse after i.p. injection of ganciclovir (GCV), (a) shows the track plots of representative mice from each group, (b) shows the test duration in each group, (c) shows the path efficiency of each group. C shows that hADSC-NC suicide increases the Rogers scores and decreases long-term potentiation (LTP). C-a shows the neurological evaluation in each group, C-b shows the schematic of LTP recording, C-c shows the TBS-induced CA3-CA1 LTP in each group, C-d shows a summary of the data on the magnitude of LTP observed in C-c. n=7. * indicates $P<0.05$, ** indicates $P<0.001$, *** indicates $P<0.0005$.

Figure 5

Characterization of hADSC-NCs in various MCAO mouse brain regions. A shows the cell apoptosis marker cleaved Caspase 3. B shows the negative expression of cleaved Caspase 3 in GFP-positive hADSC-NCs in the cortex. C shows that the transplanted hADSC-NCs express cleaved Caspase 3, integrate well into the hippocampal tissue, and exhibit a healthy and complex neuron morphology. D and E show similar cell survival, integration and neuron differentiation in the mouse striatum and hypothalamus. F shows the statistical analysis of the hADSC-NC distribution, Caspase 3 positive percentage and GFP/Caspase 3 double-positive percentage. n=5, Scale bar=50 μ m in B-D, scale bar=25 μ m in E.

Figure 6

hADSC-NC terminal differentiation and brain vasculature rebuilding in the MCAO mouse hippocampus. The minus hADSC-NCs express the microglial cell marker Iba1 and the blood vessel marker CD31 in 3 areas of the hippocampus: A for CA1, B for CA2 and C for CA3. D shows the statistical analysis of the hADSC-NC distribution, the Iba1-positive percentage and the CD31-positive percentage in CA1, CA2 and CA3. E shows the electrophysiological properties of GFP-positive hADSC-NCs in live hippocampal slices.

a) Representative image of GFP-positive hADSC-NC-derived neurons during patch-clamp recording. b) Action potentials could be elicited by a current injection. c) Spontaneous IPSCs were recorded in patched neurons and blocked by 50 μ M bicuculine. n=7/8, Scale bar =25 μ m.

Figure 7

Molecular analysis to demonstrate the interaction between hADSC-NCs and brain host cells as well as the effects on neurotrophic factor secretion. A show that hADSC-NCs affected the mRNA expression of the neuronal markers NeuN, synapsin 1/2, microglia marker Iba1 and astrocyte or neuronal stem cell marker GFAP. B shows that hADSC-NCs scarcely changed the expression of neurotrophic factors at the mRNA level. C shows that GFAP expression was inhibited by hADSC-NCs; HuNA could be detected in the mouse brain in the MCAO-hADSC-NC group, but HuNA could not be detected in the MCAO-Sham or the MCAO-PBS group by western blotting.

Supplementary Files

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