

# Modeling Soil Organic Carbon Using Remotely-Sensed Predictors: A Case Study from Fuzhou City, China

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## Research

**Keywords:** Soil organic Carbon, Remotely-sensed predictors, Land-use, Landform, Lithology

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1 **Modeling soil organic carbon using remotely-sensed predictors: a case study from Fuzhou city,**  
2 **China**

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10 **Abstract**

11 **Background:** Assessing the spatial dynamics of soil organic carbon (SOC) is essential for carbon monitoring.  
12 Since, variability of SOC is mainly attributed to biophysical land surface variables, integrating a compressive set of  
13 such indices may support the pursuit for optimum set of predictor variables. Therefore, this study was aimed at pre-  
14 dicting the spatial distribution of SOC in relation to remotely-sensed variables and other covariates. Hence, the land  
15 surface variables were combined from remote sensing, topographic, and soil spectral sources. Moreover, the most  
16 influential variables for prediction were selected using the RF and Classification and Regression Tree (CART).

17 **Results:** The results indicated that the RF model has good prediction performance with corresponding  $R^2$  and  
18 RMSE values of 0.96, and 0.91 mg/g, respectively. The distribution of SOC content showed variability across land-  
19 forms (CV=78.67%), land-use (CV=93%), and lithology (CV=64.67%). Forestland had the highest SOC (13.60  
20 mg/g) followed by agriculture (10.43 mg/g), urban (9.74 mg/g), and water body (4.55 mg/g) land-uses. Furthermore,  
21 bauxite and laterite lithology had the highest SOC content (14.69 mg/g) followed by fluvial (14.52 mg/g) and shale  
22 (13.57 mg/g), whereas the lowest was predicted in sandstone (5.53mg/g). The mean SOC concentration was 11.70  
23 mg/g, where the majority of area was classified as humous and organo-humus, distributing in the mountainous re-  
24 gions.

25 The biophysical land surface indices, brightness removed vegetation indices, topographic indices (, and soil spectral  
26 bands, respectively were the most influential predictors of SOC.

27 **Conclusion:** The spatial variability of SOC may be influenced by landform, land-use, and lithology of the study  
28 area. Remotely-sensed predictors including land moisture, land surface temperature and built-up indices added valu-

29 able information for prediction of SOC. Hence, the land surface indices may provide new insights into SOC model-  
30 ing in complex landscapes of warm sub-tropical urban regions.

31 **Keywords:** Soil organic Carbon, Remotely-sensed predictors, Land-use, Landform, Lithology

## 32 **1. Background**

33 Soil organic carbon (SOC) is essential for the normal functioning of the ecosystems [1]. It also plays a significant  
34 role in global C dynamics and climate change study as it stores the largest total carbon pool of terrestrial ecosystems  
35 [2]. In the urban context, the existence of an optimum SOC content is a critical factor for greening projects and is  
36 also a good indicator of the state of urban ecosystems and soil quality [3–5]. However, its spatial distribution is in-  
37 fluenced by landscape, lithologic and land use factors. Particularly, land use change due to intensive human activi-  
38 ties including urbanization and industrialization processes hugely impacts its spatial distribution [6, 7].

39 In line to this, estimation of the spatial variability of SOC in urban areas has attracted the interests of many research-  
40 ers. For instance, Chen et al. (2015) studied the SOC densities in urban built-up areas of 35 Chinese cities. The study  
41 reported the carbon storage of 35 cities in china including the Fuzhou city (i.e., the current study area)[8]. Similarly,  
42 Wang et al. (2017) determined the spatial variation of SOC on a hilly coastal landscape of Wafangdian, Liaoning  
43 Province and reported higher contents towards the mountainous areas. Additionally, they confirmed strong influence  
44 of land-use on the spatial variation of SOC[9]. Raciti et al. (2011) also made a comprehensive assessment of SOC  
45 contents of residential areas and stated that soils in residential areas with past agricultural use had a higher capability  
46 to sequester carbon [3, 10]. Likewise, Xia et al. (2017) studied the spatial variations of SOC in relation to land-use  
47 change in eastern regions of China and confirmed that land-use change from and into a paddy field had a high im-  
48 pact on SOC variability[11].

49 Even though, many previous researches estimated SOC in urban areas globally and nationally, the current study area  
50 has limited similar studies. Moreover, there is a need to find the optimal set of suitable environmental predictors that  
51 may influence prediction of SOC distribution. As the spatial distribution of SOC is highly influenced by various  
52 environmental variables, assessing suitability of remotely-sensed predictors and other environmental covariates for  
53 mapping of the spatial variability of topsoil SOC in complex urban environment is imperative. To that end, McBrat-  
54 ney et al. (2003) also highly recommended the need of new researches so as to select suitable environmental covari-  
55 ates for digital soil mapping [12]. Furthermore, even though the previous researches used multisource datasets,  
56 they ignored essential biophysical land surface variables that may add information to SOC distribution. For instance,

57 soil moisture, land surface temperature and built-up index were omitted. Since, SOC is highly influenced by soil  
58 temperature and moisture than any other factors, integrating them may provide new insight for SOC mapping [13,  
59 14]. The previous SOC prediction studies overlooked soil moisture and temperature indices perhaps due to a short-  
60 age of high-resolution soil moisture and temperature data. Especially, the traditional ground-based soil-moisture  
61 observation networks produce sparse soil-moisture data for smaller regions. Meanwhile, some studies used atmos-  
62 pheric temperature and precipitation as a proxy to measure soil temperature and moisture [15, 16]. However, they  
63 also have very coarse resolution for smaller geographic scales. In the meantime, the remote sensing products of soil  
64 moisture such as Advanced Scatterometer (ASCAT) and Soil Moisture Ocean Salinity (SMOS) have coarse resolu-  
65 tions (i.e., in tens of kilometers) [17]. But, optical/thermal infrared (TIR) sensor products have higher spatial resolu-  
66 tions (meters to kilometers) and could be good solution for regional and local applications[18]. Variables derived  
67 from optical sensor products including vegetation temperature condition index (VTCI) and land surface temperature  
68 (LST) can be better choice to obtain the soil moisture and temperature information.

69 Therefore, the main aim of this study was to identify the role of remotely-sensed variables for SOC prediction and to  
70 understand the contribution of the landform, land-use, and lithology on the spatial variation of SOC in the coastal  
71 city of Fuzhou, China. To that end, land surface variables such as vegetation temperature condition index (VTCI),  
72 land surface temperature (LST), and normalized difference built-up index (NDBI) were integrated with other envi-  
73 ronmental covariates obtained from multiple sources including remote sensing, topography, and proximal sensing.  
74 Meantime, the most important environmental variables were selected and used to estimate the spatial distribution of  
75 SOC using a random forest and CART model.

## 76 **2. Materials and Methods**

### 77 **2.1. Description of the Study Area and Sampling Locations**

78 Fuzhou district is located in the southeastern coastal area of China in the estuary of the Minjiang River. It is the  
79 capital city of the Fujian province (Figure 1) and serves as a central city for the Western Taiwan Straits Economic  
80 Zone with a total area of 11,462.41 km<sup>2</sup>. It is geographically located at 118°08'E to 120°31' East Longitude and 25°  
81 15'N to 26° 29'N North Latitude in the southeast of China. It neighbors with Ningde and Nanping to the north,  
82 Quanzhou, and Putian to the south, and Sanming to the west. The city has 13 administrative regions comprising of 6  
83 districts, one county-level city, and six counties. The current study site includes all regions except Pingtan County.

84 The climatic condition of the area belongs to the humid subtropical maritime climate, with an annual average tem-  
85 perature of 16-20°C and the annual average precipitation of 900-1200 millimeters.

86 It is also covered by acidic volcanic rocks and Cretaceous sandstones from Jurassic Period [19, 20]. The soil of the  
87 upper part is dominated by red soil [21, 22], whereas mountainous regions have mainly red and laterite soil.

88 Additionally, the area is characterized by complex topographic features. The northern, western, and southern parts of  
89 the study area are dominated by mountains, whereas the eastern part is mainly plain landform [23].

## 90 **2.2. Soil Sampling and Laboratory Analysis**

91 Topsoil samples (0-20 cm depth) were collected from all administrative counties of Fuzhou City except Pingtan Is-  
92 land during the February and March months. The soil samples were collected using purposively distributed sample  
93 points that represent the dominant land cover, soil types, and landform of the study area. To that end, the land use  
94 map, the topographic map and lithology map were superimposed using ArcGIS 10.3 to identify sample points. A  
95 total of 244 sample sites were selected as predetermined sampling points. However, due to complex topography,  
96 land-use, lack of budget, and accessibility problems 121 samples were collected. Hand-held Global Position System  
97 (GPS) receiver was used to identify sample points and to capture the location information. Then, the samples were  
98 transported to the laboratory, air-dried, and sieved. The samples were separated for spectroscopic measurements and  
99 chemical analysis. The SOC was determined using a dry combustion method using a CNS elemental analyzer (Flash  
100 EA 1112 NC-Soil, Thermo Fisher Scientific, Pittsburgh, PA). Spectral soil data was measured using an indoor spec-  
101 tral measurement in the wavelength ranges of 350nm to 2500nm using the FieldSpec-3 spectroradiometer. The sam-  
102 pling intervals were set to be 1nm, and as a result, a total of 2151 bands were produced.

## 103 **2.3. Description and Pre-processing of Landsat-8 Images, and Soil Spectral Data Transformations**

104 Landsat images are commonly used for SOC predictions due to their high spectral resolution[24]. This study also  
105 used Landsat-8 data of Operational Land Imager (OLI) Level 1, Surface Reflectance Level 2, and Thermal Infrared  
106 Sensor (TIRS). The image acquired in December 2013 having less cloud cover (i.e., <10%) with path and raw num-  
107 bers of 119 and 42 were downloaded from United States Geological Survey archives  
108 (<https://earthexplorer.usgs.gov/>). The radiometric calibration was performed using ENVI. Radiometric calibration  
109 was done by converting the images into the top of the atmosphere (TOA) reflectance using radiometric rescaling  
110 coefficients provided in the product metadata (MTL) file[25].

111 Moreover, bandwidth of the spectral soil data was ranging from 350nm to 2500nm. Different spectral transfor-  
112 mations such as multiplicative scatter correction (MSC), first derivative, second derivative, normalization, and con-  
113 tinuum removal were applied to remove background noise [26]. Moreover, smoothing approaches of the Savitzky-  
114 Golay filtering algorithm (SG) with a second-order polynomial and averaging was performed across a 10-band win-  
115 dow to remove the complexity of bandwidths by eliminating redundancy between adjacent bands and compressing  
116 band data without losing information [27].

#### 117 **2.4. Data Sources, Software, and Extraction of Environmental Covariates**

118 The study integrated covariates obtained from multisource including remote sensing, Digital Elevation Models  
119 (DEM), soil maps, soil spectral data, and other sources (e.g. OSM layers). The environmental covariates were ex-  
120 tracted using the equations provided in Table 2. To that end, R, ArcGIS 10.3, ENVI, QGIS, social sciences (SPSS)  
121 version 25.0, and SAGA GIS software were used.

122 The LST of the study area was calculated using Landsat 8 TIR bands, while the NDBI was used to extract built-up  
123 areas [28]. A threshold value of 0.038 was used to extract the built-up area. Additionally, the spectral bands of the  
124 Landsat-8 image were used along with soil spectral data. Additionally, the DEM data with a resolution of 10m was  
125 obtained from aerial imageries and used to extract landform, hydrological, and spatial indices. The topography was  
126 classified using the approaches described in FAO guidelines for soil description [62]. Additional landform character-  
127 istics of the study area was described using different landscape metrics including slope, relief, curvature, TWI, TPI,  
128 and others. Furthermore, proximity to essential features such as industries, landfill sites, water-points, and port  
129 points was calculated as the Euclidean distance from sampling points [29].

130 All environmental covariate maps and point datasets were converted into similar formats and stacked using ArcGIS  
131 10.3 software. All datasets were resampled into the 30m pixel using ‘bilinear resampling’ for continuous data and  
132 ‘nearest’ for categorical data and converted into the same coordinate and projection systems in the R  
133 environment[30].

134 The land-use /cover of the study area was classified using a supervised image classification technique. Representa-  
135 tive ground control points (i.e., 50 points for each class) were captured to compare the class signatures. Out of 50  
136 ground control points, 40 were used for classification calibration, whereas the remaining ten were used for valida-  
137 tion. The land-use was classified into four predominant (i.e., urban, vegetation (forest), agriculture, and water bod-

138 ies) covers, as can be seen in Figure 8. Finally, classification results and accuracy of classification were generated  
139 (Table 3).

## 140 **2.5. Statistical Analysis, Spatial Modeling and Validation**

141 The summary statistics were calculated using standard descriptive statistics for the variates. The coefficient of varia-  
142 tion (CV) and the Pearson correlation coefficients were used to measure the spread of the mean and the linear de-  
143 pendence between SOC values and environmental covariates, respectively. Similarly, Wilding (1985) and Nielsen  
144 and Bouma (1985) used CV values to characterize the variability into classes of low (0-15), moderate (16-35%) and  
145 high (> 36%) SOC variability [31, 32].

146 A combination of random forest and CART were used for prediction, performance evaluation, and selection of the  
147 essential variables. Random forest avoids over-fitting and provides reliable error estimates of out-of-bag (OOB),  
148 avoiding the need for an independent validation dataset [33].

149 The 75 % of soil samples were used for the training of a model, and identifying essential variables for prediction,  
150 and then the model was applied to the validation set. Additionally, CART, was implemented using the R package  
151 “rpart” to improve interpretability[34]. The generated regression forest with a minimum split factor of 4, is seen in  
152 figure 12. Similarly, Wiesmeier et al. (2011) used a combination of CART and RF for SOM determination using  
153 limited samples in semi-arid steppes in Northern China [35].

154 The model parameters (i.e., ntree, mtry, and nodesize) were optimized using both tuning and manual adjustment,  
155 where tune random forest and manual adjustments were done iteratively on training datasets in R [36]. Liaw and  
156 Wiener (2002) confirmed that applying the tuning function can improve the results of the model [37]. Hence, based  
157 on the previous literature, the tuning function was applied to training data and used to select the number of mtry and  
158 number of the trees grown. Finally, the mtry and the total number of trees selected by tune function to grow forest  
159 was crosschecked with manual mtry entries. The trained and developed model was first applied to the training da-  
160 taset and used to identify essential variables for the prediction. The variable importance curve was implemented  
161 recursively for 15 times, and the highly influential variables that frequently appeared on the top rank were selected  
162 accordingly [38, 39].

163 A tree was built from a bootstrap sample of the original dataset, which allows for robust error estimation with the  
164 remaining test of Out-Of-Bag (OOB) samples. The OOB samples were predicted from the bootstrap samples, and

165 the mean square error ( $MSE_{OOB}$ ) that depends on the samples that are omitted from the bootstrapped samples of  
 166 OOB was computed as stated in equation 4.

167 
$$MSE_{OOB} = n^{-1} \sum_{i=1}^n (z_i - \hat{z}_i^{OOB})^2 \dots\dots\dots(4)$$

168 Where n-is number of observations,  $z_i$ -is average prediction of the  $i^{th}$  observation,  $z_i^{OOB}$ - is the average prediction for  
 169 the  $i^{th}$  observation from all trees for which the observation was OOB.

170 Additionally, the percentage of explained variance ( $Var_{ex}$ ) was calculated as:

171 
$$Var_{ex} = 1 - \frac{MSE_{OOB}}{Var_z} \dots\dots\dots (5)$$

172 Where,  $Var_z$ -is the total variance of the response variable.

173 The ntree parameter (the number of trees in the forest) was adjusted using the mean squared error (MSE values) as a  
 174 measure of the prediction accuracy of the RF model (figure 13). Similar to this study, the MSE error estimate was  
 175 used in the validation procedure [40]. Wiesmeier et al. (2011) also used  $MSE_{oob}$  for validation of the RF model in  
 176 the prediction of soil organic matter [35]. OOB estimate was used to evaluate prediction performance as it solves the  
 177 problem of collecting an independent validation dataset [41]. Many previous studies that used RF for SOC predic-  
 178 tion have rarely used cross-validation since RF internally estimates errors during the running of the model. For in-  
 179 stance, Minasny et al. (2013a) reviewed studies that focused on SOC prediction and reported that more than half of  
 180 the studies do not show validation [42].

181 Ntree and mtry were selected to be 500 and 52, respectively, as a large number of trees is recommended for datasets  
 182 with sophisticated features and when the emphasis is given for identifying essential variables [40] (Figure 15).

183 The statistical indices of root mean square error (RMSE) and mean error (ME) were used, as stated in equations 7  
 184 and 8 to evaluate the performances of the model.

185 
$$ME = \frac{1}{n} \sum_{i=1}^n (\hat{x}_i - y_i) \dots\dots\dots(7)$$

186 
$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n ((\hat{x}_i - y_i)^2) \dots\dots\dots(8)$$

187 Where n: number of data points,  $x_i$  measured values, and  $y_i$ : predicted values,  $\bar{x}_i$  mean measured value,  $\bar{y}_i$ ,  
 188 mean predicted values

### 189 3. Results

#### 190 3.1. Spatial prediction of SOC and Model Validation

191 The result of prediction was evaluated on the training and test datasets. The statistics of validation were provided in  
192 Table 8. The ME of training set varied from 0.05 mg/g to 0.06 mg/g with the mean value of 0.04 mg/g, while the  
193 RMSE values ranged from 1.35 mg/g to 1.38 mg/g with the mean value of 1.37 mg/g. The ME of the test set varied  
194 from 0.3 mg/g to 0.43 mg/g with the mean value of 0.4 mg/g, while the RMSE values ranged from 0.94 mg/g to 0.97  
195 mg/g with the mean value of 0.96 mg/g. In addition, the tune random forest model applied to select optimum num-  
196 ber of mtry and number of trees was verified. Accordingly, the Out-of-bag (OOB) errors was 0.44, 0.22, 0.11 and  
197 0.09 for mtry values of 9, 17, 34, and 52, respectively, implying using more number of predictor variables had lower  
198 OOB error (figure 14) and better accuracy for prediction of SOC in the study area. The results indicate that the RF  
199 had an excellent performance for SOC prediction. The SOC variability map predicted by RF model using selected  
200 important predictors was shown in Figure 16.

201 The mean concentration of SOC was 11.70 mg/g, with a minimum value of 0.7 mg/g and the maximum value of  
202 45.80 mg/g. It was highly variable with the coefficient of variation (CV) of 81% and a standard deviation of 8.92.  
203 The SOC concentration was grouped into six levels (i.e., low, moderate, high, humus, and Organo-humus classes.)  
204 as mentioned in Zhang et al. (2011) [43]. As can be portrayed from the reclassification map (Figure 17) of SOC lev-  
205 els distribution, a shallow level of SOC content was concentrated in the middle of the study area where the highly  
206 urbanized downtown with high NDBI values is located comprising about 2.01 % of the total area. Moderate SOC  
207 level was predicted in the surroundings of the urbanized area, whereas the high SOC level was predicted in forested  
208 (vegetated) areas. Based on the reclassification results, 0.01 % of the study area had organic SOC levels. The re-  
209 maining area was covered by moderate, high, humus, and Organo-humus SOC levels, with a proportion of 5.11%,  
210 2.17%, 47.51%, and 43.19%, respectively.

211 Humous SOC level was covering about half of the study area (47.51 %), spreading towards the east to west through  
212 the middle of the study area. Organo-humus SOC level also took almost the remaining half of the study area  
213 (43.19%), mainly concentrating in mountainous areas of the southern and northern parts, whereas moderate SOC  
214 level was located in the central parts.

215 **3.2. Importance of Environmental Variables**

216 Based on the IncNodePurity index model, the top fifteen ranking important variables for prediction of SOC distribu-  
217 tion in the study area were VTCI, ASTAVI, EVI, Lithology, NDBI, TPI, Slope, X2224, LST, Band4, X424., RVI,  
218 TWI, Band7, and NDVI (Figure 18).

219 Based on the result, the VTCI index was the most crucial variable for the prediction of SOC from all 52 predictors,  
220 followed by VIs, lithology, and NDBI. The remaining variables, such as TPI, Slope, X2224, LST, Band4, X424,  
221 RVI, TWI, Band7, and NDVI, occupied the remaining top fifteen positions as influential variables. NDBI and LST  
222 were among the influential variables with rankings of fifth and ninth places.

223 Similarly, topographic variables of TPI and Slope had superior impact ranking in sixth and seventh positions, re-  
224 spectively, while TWI was thirteenth.

225 **3.3. Influence of Topographic and Vegetation Indices**

226 The topographic and hydrological factors such as slope, curvature, aspect, TPI, MBI, and TWI of the study area had  
227 high variability with increased CV (see Table 4). Slope, aspect, and MBI were more variable with > 50 % CV than  
228 curvature, TPI, and TWI, which had <50 % CV values. Additionally, the Pearson correlation values of the slope,  
229 curvature, aspect, TPI, MBI, and TWI were variable. Slope, curvature, and MBI were negatively correlated to SOC,  
230 while aspect, TPI, and TWI were positively correlated (Table 4). However, only MBI and Curvature were signifi-  
231 cantly correlated with SOC at ( $p < 0.05$ ). Moreover, the LS factor was highly variable, with a CV of 68 %. On the  
232 other hand, all VIs had a very low CV except for RVI and BI indices that had slight variability. Additionally, the  
233 Pearson correlation values showed that NDVI, ASTAVI, GI, EVI, SAVI, CTVI, TVI, NRVI, RVI, and TSAVI\_91  
234 had a significant positive correlation with SOC concentration at  $p < 0.05$  (see Table 4).

235 **3.4. SOC Distribution Across Landform, Land-Use, and Lithology**

236 The distribution of SOC content across landforms, land-use, and lithology was examined. The result shows that its  
237 distribution was highly variable across landforms with a mean CV of 78.67 %. The highest SOC variation was rec-  
238 orded within medium-gradient Mountains(SM) with a CV of 122.08% (Table 5), followed by high-gradient moun-  
239 tains (TM) (12.64 mg/g). Considerably high SOC contents were predicted in plain, medium gradient hills (SH) and  
240 medium-gradient mountains (SM) with mean values of 11.94 mg/g, 11.88 mg/g, and 10.90 mg/g, respectively. On  
241 the contrary, a lower proportion of SOC was predicted in high-gradient hills (TH) and shoreline (WR) with mean  
242 values of 7.47 mg/g and 1.67 mg/g, respectively.

243 Land-use wise, forestland had the highest mean SOC contents (13.60 mg/g) than other land uses followed by agri-  
244 cultural (10.43 mg/g), urban (9.74 mg/g), and water body (4.55 mg/g) (Table 6).

245 Furthermore, the highest amount of SOC was recorded in weathered residuum (dominated by bauxite and laterite)  
246 with a mean SOC content of 14.69 mg/g. Similarly, an increased amount of SOC was predicted on fluvial (14.52  
247 mg/g) and shale lithology (13.57 mg/g). However, unconsolidated marine rock, granite, gneiss (migmatite), and py-  
248 roclastic (ignimbrite) lithology had lower contents of SOC with proportions of 10.99mg/g, 11.61mg/g, 11.91mg/g,  
249 and 12.04mg/g, respectively (Table 7). The lowest SOC content was predicted in sandstone (greywacke) or arkose  
250 lithology (5.53mg/g), inland water (lakes) (7.47 mg/g), and siltstone, mudstone, clay stone (7.92 mg/g).

## 251 **4. Discussions**

### 252 **4.1. Spatial variability of SOC**

253 The results of this study indicated that the RF model has good prediction performance with corresponding  $R^2$  and  
254 RMSE values of 0.96, and 0.91 mg/g, respectively. The result is consistent with previous studies, which reported  
255 RF as an accurate model for prediction of SOC [44, 45]. Moreover, the spatial distribution map of SOC had a simi-  
256 lar trend with distribution of selected environmental factors including soil moisture, soil temperature, and the extent  
257 of impervious surface (see Figures 9, 10, and 11) suggesting their strong relationships. The built-up area had the  
258 lowest SOC content but the forested and mountainous regions had the highest SOC content suggesting the high in-  
259 fluence of impervious surface for such disparities.

### 260 **4.2. Importance of Environmental Variables**

261 VTCI was the most essential variable for the prediction of SOC from 52 environmental predictors. The main reason  
262 for the superior influence of VTCI on the spatial prediction of SOC distribution may be attributed to its precision to  
263 measure the crop water status and the subsequent impacts of soil moisture on the aboveground biomass. Moreover,  
264 since VTCI is derived based on the relationship between land surface temperature (LST) and vegetation index  
265 (NDVI), it could provide more information for the spatial prediction of SOC. Alvarez and Lavado (1998) also re-  
266 ported that the SOC contents of the topsoil was highly correlated to moisture to temperature ratios[46]. However, the  
267 Wetness Index (WI), derived as tasseled cap transformation (TCT) provided little information for prediction of SOC  
268 distribution in the study area. This result delivered understanding about the soil moisture derived in combination  
269 with vegetation and temperature ratio (VTCI) may be a better predictor for SOC mapping in a similar environment.

270 The reason for high importance of VTCI for SOC mapping can be due to its control on the extent of vegetation cov-  
271 er (i.e., quantity and quality of OM enters into the soil), the rate of mineralization, and litter decomposition [47, 48].  
272 Moreover, since soil moisture is an essential element for microbial growth, it may facilitate the degradation of plant  
273 and animal residues that improves SOC contents [13, 49].

274 ASTAVI and EVI were the second and third influential variables for the prediction of SOC distribution. The reason  
275 for their influence may be due to their ability to provide proxies for measuring aboveground biomass that may influ-  
276 ence SOC contents stored to the soil as a litter [50, 51]. Additionally, the characteristics of ASTAVI (i.e., low sensi-  
277 tivity to soil backgrounds) may contribute its share to derive more information related to SOC contents than other  
278 vegetation indexes [52]. Similarly, enhanced vegetation index (EVI) has low soil, and atmospheric effects than other  
279 VIs used in this study. The exclusively stronger influences of the ASTAVI and EVI than other vegetation indices  
280 suggest that VIs with minimized brightness-related soil effects (i.e., ASTAVI, and EVI) may perform better than  
281 RVI, NDVI, and others for SOC prediction in the complex landscape such as Fuzhou city. However, the previous  
282 studies have not separately used VIs based on their strength of reducing background effects in predictions of SOC  
283 rather they used a mixture of both. For instance, Peng et al. (2015) also confirmed that EVI was one of the top pre-  
284 dictors [53]. Compared to remote sensing raw-bands, vegetation indices performed better perhaps due to their ability  
285 to accurately inferring crop/vegetation/bare soil characteristics. This result suggested that VIs were good predictors,  
286 but VIs that significantly remove soil color effects were better predictors for SOC contents.

287 NDBI was one of the top predictors for SOC distribution since impervious surface may impact the spatial distribu-  
288 tion of SOC. This result shows that NDBI index can be used for SOC prediction at the city scale in a complex urban  
289 landscape where the land-use change into built-up areas is prominent. Similarly, previous studies reported that land-  
290 use change associated to urbanization processes was profoundly influencing total carbon fluxes. For instance, Raciti  
291 et al. (2011) compared the carbon (C) pools in residential areas with similar soil type to forested reference sites and  
292 reported substantial variability[3]. Hence, the selection of the NDBI variable among the top predictors suggests that  
293 land surface variables maybe among highly influential predictors for soil properties modeling in complex urban  
294 landscape. This result also suggests that residential and non-residential areas may have a diverse pattern of SOC  
295 distribution.

296 Additionally, a significant positive correlation between the NDBI and SOC ( $r = 0.26$ ,  $p < 0.05$ ) also explained  
297 strong relationships. The high extent of impervious surface may impact SOC contents since increased human dis-

298 turbances and a mix with artificial materials from buildings causing variability. Similarly, Yan et al. (2015) con-  
299 firmed the importance of impervious surface on SOC quantification and stated that SOC from impervious surface  
300 accounted for over half of the city's SOC stock in their study[54].

301 Likewise, the LST was correspondingly among the influential variables where SOC shows decreasing trends when  
302 LST increased. The result is in agreement with the previous research that confirmed temperature was an important  
303 variable for SOC contents[55].

304 The soil spectral data with 2224nm and 424nm wavelength along with LANDSAT-8 row spectral data (red and  
305 shortwave infrared 2) were among influential predictors. Similarly, other studies confirmed hyperspectral remote  
306 sensing data as key predictors for SOC [56, 57]. Peng et al. (2015) also reported that Landsat bands combined with  
307 VIS-NIR were efficient for the prediction of SOC in the topsoil [58].

308 Generally, biophysical land surface indices of VTCI, LST, and NDBI, brightness removed vegetation indices (i.e.,  
309 ASTAVI and EVI), topographic indices (i.e., TPI and Slope), soil spectral bands (i.e., 424nm and 2224 nm), respec-  
310 tively were the most influential variables for SOC prediction in the study area suggesting their potential importance  
311 in similar complex urban landscape.

#### 312 **4.3. Influence of Topographic and Vegetation Indices**

313 MBI ( $r=-0.18$ ,  $p < 0.05$ ) and curvature ( $r=-0.19$ ,  $p<0.05$ ) were significantly negatively correlated with SOC contents  
314 emphasizing the influence of the prominent variability of the topographic and hydrological factors of the study area.  
315 Other studies also confirmed the high influence of landscape and hydrological variables on the SOC patchiness [59,  
316 60]. Furthermore, there was a negative correlation between slope and SOC that can be explained by erosion and  
317 deposition processes [61]. Similarly, Stevens (2014) reported a positive correlations between SOC contents and as-  
318 pect, TPI, and TWI [62]. The positive relationship between aspect and SOC contents is explained by the direction of  
319 slopes to the sun that may cause variation in temperature that leads to decomposition. The slope directing to shady  
320 slopes may have the lower decomposition due to low soil temperature. Low soil wetness results in a decrease in  
321 SOC since it affects microbial activity [62]. Variability of the LS factor may also have impacted the amount of dep-  
322 ositions [63, 64].

323 Compared with topographic variables, vegetation indices were better correlated with SOC contents.

#### 4.4. SOC Distribution Across the Landform, Land-Use, and Lithology

Prediction of increased SOC contents in high-gradient mountains (TM), medium-gradient hills (SH), and medium-gradient Mountains (SM) may be due to the availability of large share of vegetation covers in these landforms. Additionally, landform-related lithological, moisture, and temperature variations may have influenced the SOC distribution along the altitudinal gradient [65, 66]. The reason for decent SOC contents in plain landform may be associated to the abundance of fluvial materials. Additionally, the dominant soil types were paddy-soil, with a small proportion of red soil and plaster fields. The paddy field of the area was undergone through intensive agricultural practices where application of organic fertilizers may have influenced the SOC content of the landform[11].

Low SOC contents in the high-gradient hills (TH) landform may be attributed to the impact of complex land-use in the area and disturbance posed by intensive human activities. Kamen port, transportation hubs, and large development projects in proximity may have contributed to the decrease of SOC contents[67]. Additionally, the Luoyuan Bay located in this area may also have contributed for low SOC distribution in this landform. Wu et al. (2013) reported the soil sediments in Luoyuan Bay had a high level of eutrophication [68], suggesting the SOC contents of this area may be washed into the surrounding water body. Another reason could be increased use of nitrogen fertilizers and fossil fuels in the surrounding areas may have stimulated the loss of organic carbon from terrestrial soils into the surrounding water bodies through erosion [68].

In general, the landform variations may contribute to changes in soil properties (clay content), human activities (i.e., land-use), and vegetation quality and quantity, alteration of climatic variables (temperature and precipitations) that may affect the SOC distribution. Similarly, previous studies reported that landform elements play a significant role in the variability of SOC[69, 70]. Even though the variability of SOC depends on geologic and climatic, topographic, vegetation[32], and land-use variables [71], landform plays a crucial role in modifying all these factors [72].

Additionally, the SOC distribution was highly variable across the land-use. The study area was characterized by highly urbanized downtown, which was mainly covered by impervious surfaces to a hilly and mountainous area which is dominated by plantation forests. Therefore, these land-use/cover dynamics might contribute to SOC variations in the area. Similarly, Chuai et al. (2012) reported a higher SOC density in towns, woodland, paddy land, and shallow water areas due to industrial and human influence [73].

Additionally, weathered residuum had the highest content of SOC. It may be due to possession of aluminosilicate red soil (acid red soil), Fe, and permeates paddy soil. The Fe leaching, coupled with the high activities of microor-

352 ganisms, may lead to the increased content of SOC in the weathered residuum, bauxite, and laterite lithology. The  
353 previous study reported increased SOC in bauxite lithology due to increased pyrite owing to the redox reactions[74].  
354 Moreover, a considerable amount of the area dominated by laterite lithology was used for agriculture, where there  
355 was the cultivation of paddy rice and long-term application of feedlot manure to cropland. Therefore, the farm man-  
356 agement systems may have affected the SOC contents. Similar results were reported by Lui et al. (2016) that stated  
357 long-term fertilization practices profoundly influenced the SOC content of red soil of southern China [75]. However,  
358 the lowest content of SOC in the sandstone dominated area may be attributed to the least weathering of the sand-  
359 stone[76, 77]. Similarly, a high concentration of SOC in siliceous red soil, red clay, and yellow-red soils can be re-  
360 lated to the high binding capability to organic matter. Additionally, the high content of silicon material may have  
361 influenced the chemical and physical properties since the soils of this area were originated from the arenaceous rock.  
362 The fluvial lithology was mainly located in the eastern parts of the Mingjian river banks of the study area, where the  
363 landform was dominated by plain (LP) surface. The reason for lower concentration of SOC contents in acid red soil,  
364 silicon aluminum red soil, and red sand soils may be related to the higher content of sand and low decomposition  
365 rate. The result is consistent with Zhang et al. (2010) that reported similar results in the hilly red soil region of South  
366 China[78].  
367 Similarly, previous studies reported consistent results about the contribution of the landform, land-use, and lithology  
368 on the spatial variability of SOC [79–81].

## 369 **5. Conclusion**

370 This study was aimed at predicting the spatial distribution of SOC in relation to environmental covariates, including  
371 land temperature, soil moisture, and extent of urbanizations using the RF and CART models in the coastal city of  
372 Fuzhou city, China. To that end, a compressive set of biophysical land surface variables such as LST, VTCI, and  
373 NDBI were combined with other environmental covariates. The environmental covariates extracted from remote  
374 sensing, topography, and soil spectral sources were used to predict the SOC distribution and to select the most influ-  
375 ential variables for the spatial prediction.

376 The results indicated that the RF had an excellent performance for SOC prediction. The SOC content of the study  
377 area was highly variable owing to the heterogeneity of the landform, land use, lithology, surface temperature, soil  
378 moisture contents, and the rate of built-up. Biophysical variables including soil moisture status index (VTCI), ad-  
379 justed transformed soil-adjusted vegetation index (ASTAVI), enhanced vegetation index (EVI), lithology, and built-

380 up index (NDBI) were the five most influential predictors by hugely contributing for the prediction of SOC in the  
381 study area. The results suggested that biophysical land variables of VTCI, LST, and NDBI were good predictors.  
382 Additionally, the selection of NDBI as one of the essential predictors may provide an insight to predict SOC in resi-  
383 dential areas.

384 The current study has derived biophysical land variables such as soil moisture, land surface temperature, and human  
385 influence using substantially improved indices, which were often ignored in the prediction of SOC in previous stud-  
386 ies. However, the indices were among the most influential variables for the prediction of the spatial distribution of  
387 SOC in a complex coastal urban environment of Fuzhou city. Even though, the variables were derived from high-  
388 resolution Landsat-8 images, the result might be further improved in the future studies by using better resolution  
389 images such as Sentinel products.

390 This result shows that similar approaches and biophysical land variables can be used in other regional and local level  
391 SOC prediction studies in similar sub-tropical coastal environments.

#### 392 **Declaration**

#### 393 **Data Availability Statement**

394 The data used for this study is part of an interdisciplinary project on Land Degradation (IUCLAND). Data support-  
395 ing the conclusions of this manuscript will be made available by the corresponding author. All supporting data will  
396 be publicly available.

#### 397 **Competing Interests**

398 The authors declare no competing interests.

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#### 404 **Contributions**

405 THS, XL, JSha, JS, and ZB conceived the study and collected field soil samples, performed analysis, and validation.  
406 THS wrote the draft paper. JS edited the paper. XL and JSha procured funding and supervised. All authors read and  
407 approved the final manuscript.

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412 **6. Reference**

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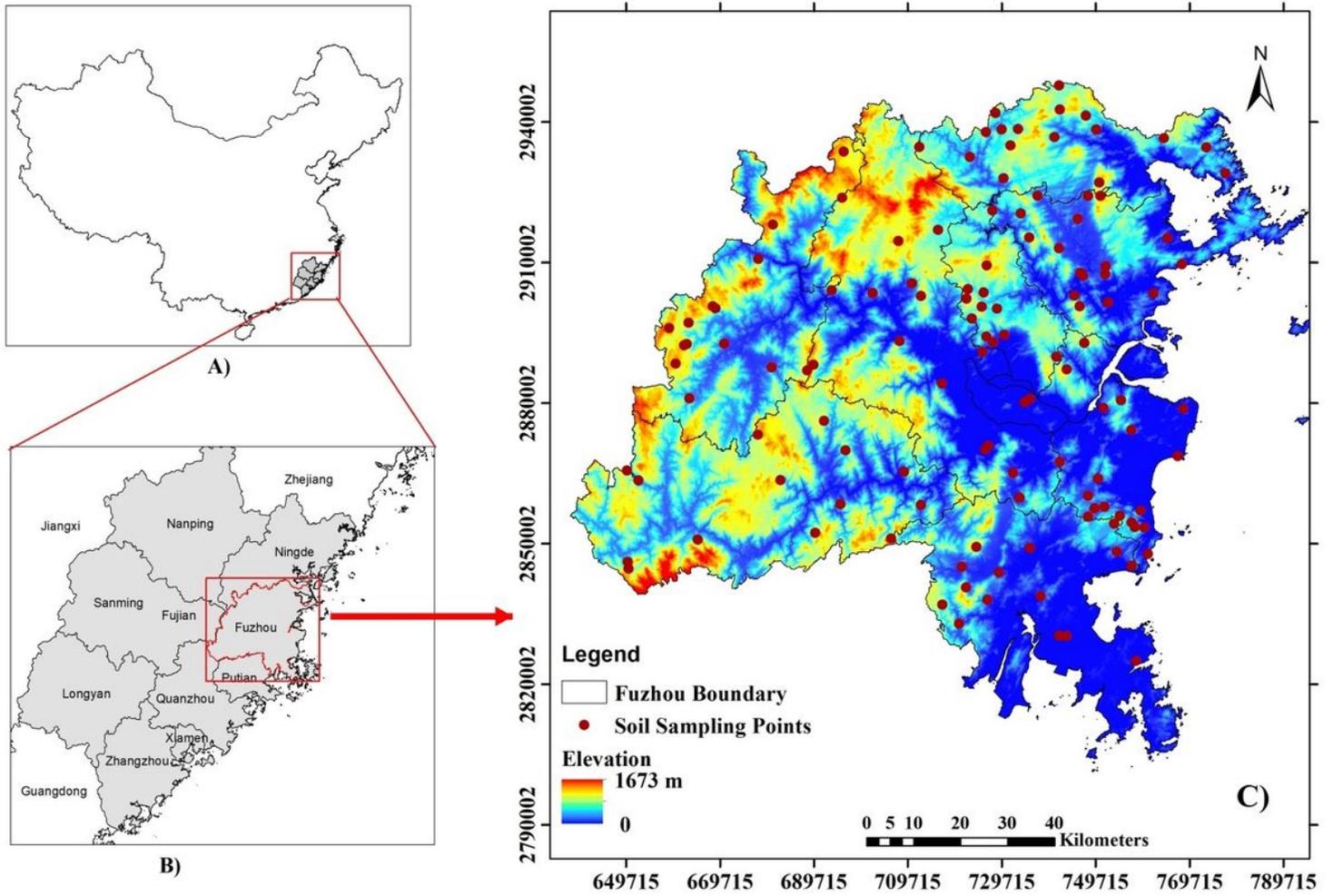
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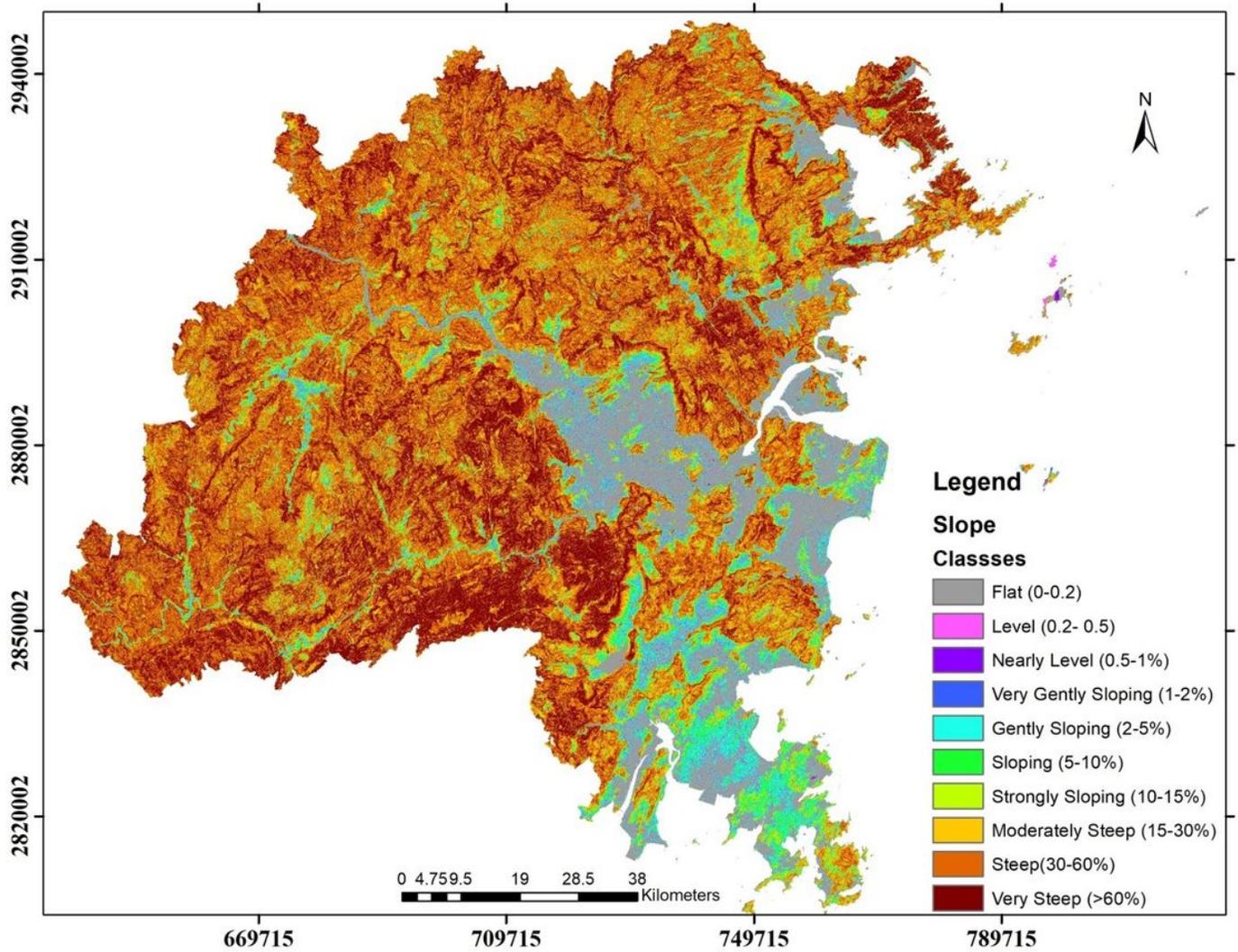
662 119. Ben Dor E SOIL SPECTRAL IMAGING: MOVING FROM PROXIMAL SENSING TO SPATIAL  
663 QUANTITATIVE DOMAIN.

# Figures



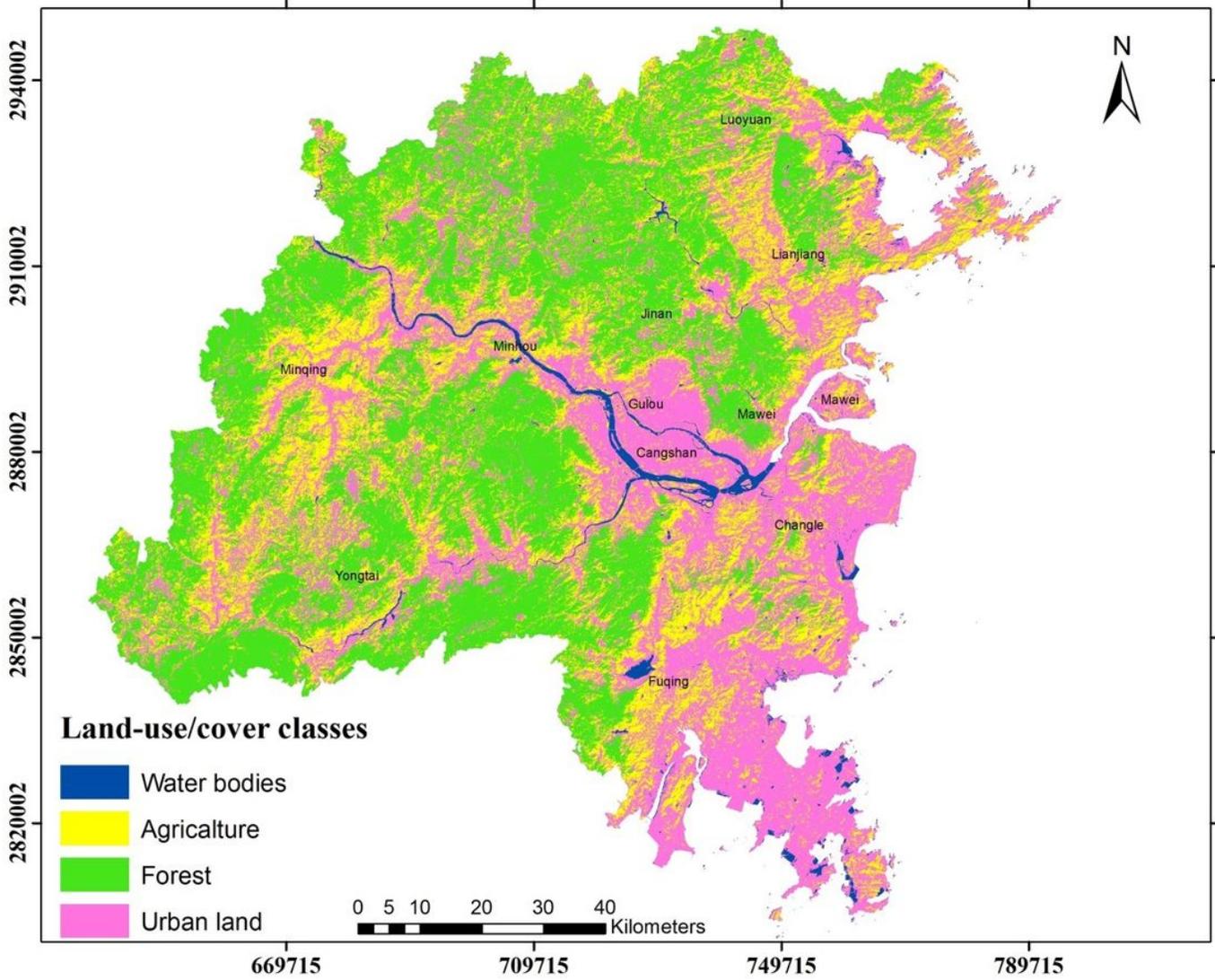
**Figure 1**

The Study Area Map: A) Location of Fujian Province, China B) Location of Fuzhou C) The study area (sample points) Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



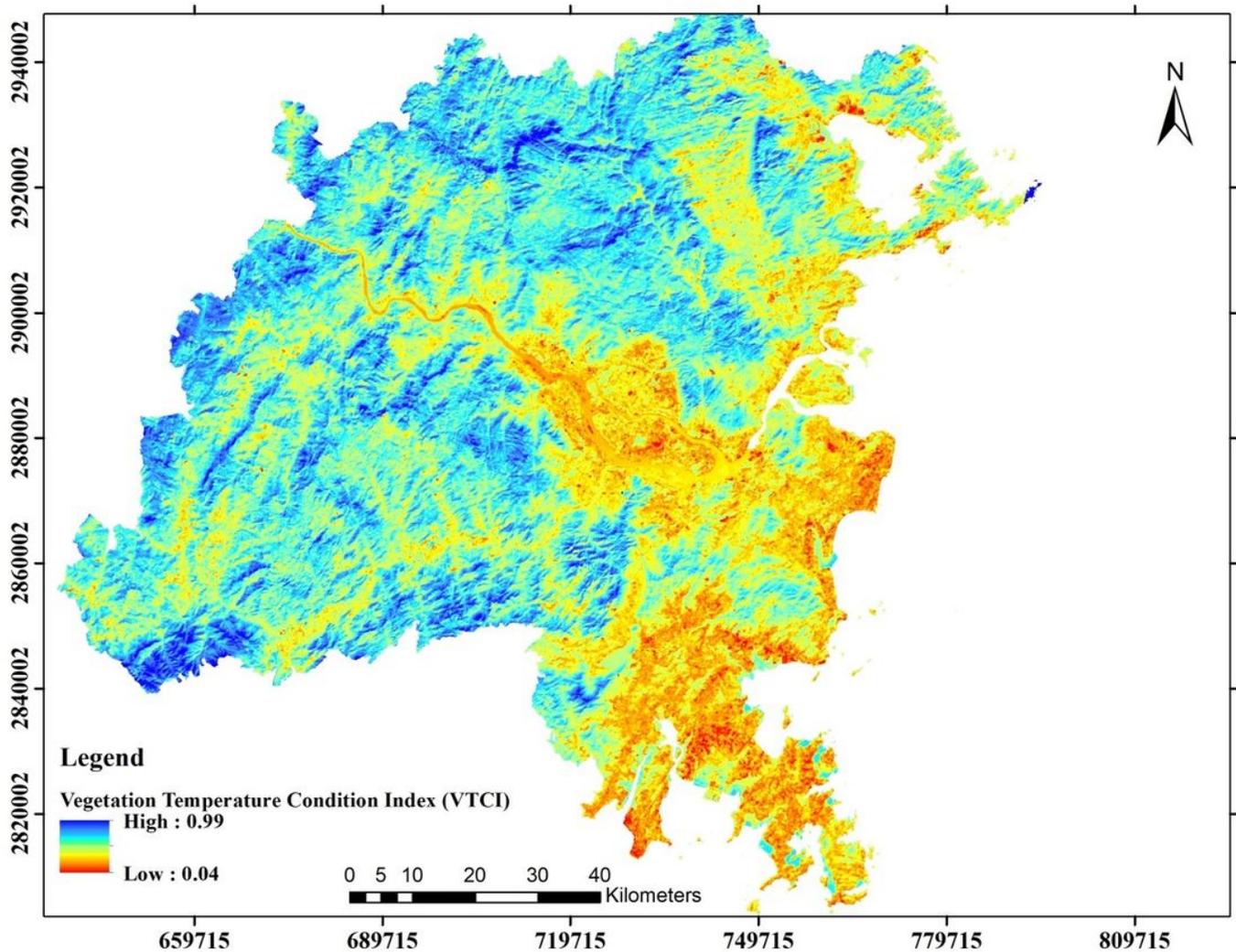
**Figure 2**

The topographic description map of the study area. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



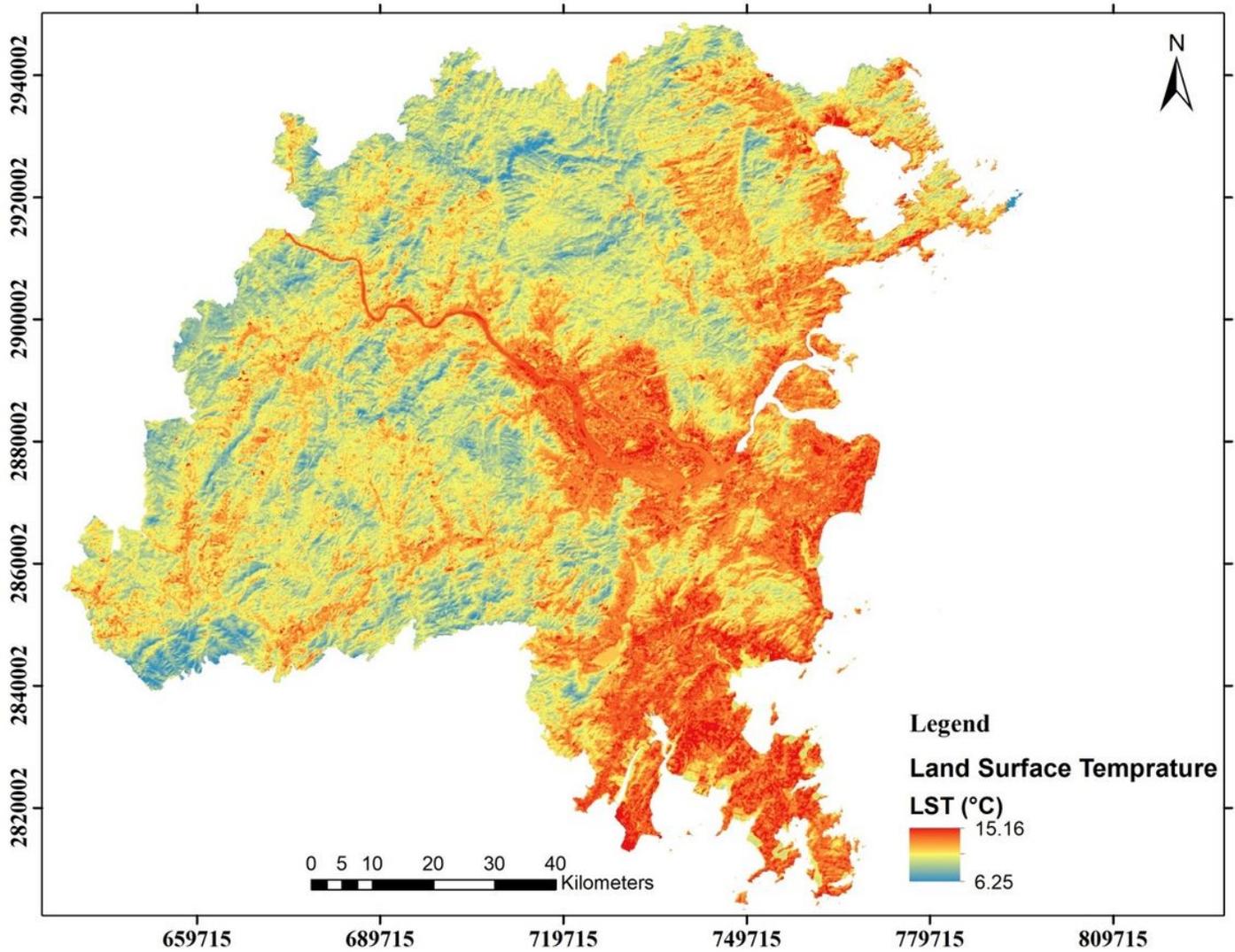
**Figure 3**

The Land Use/Cover map of the study area. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



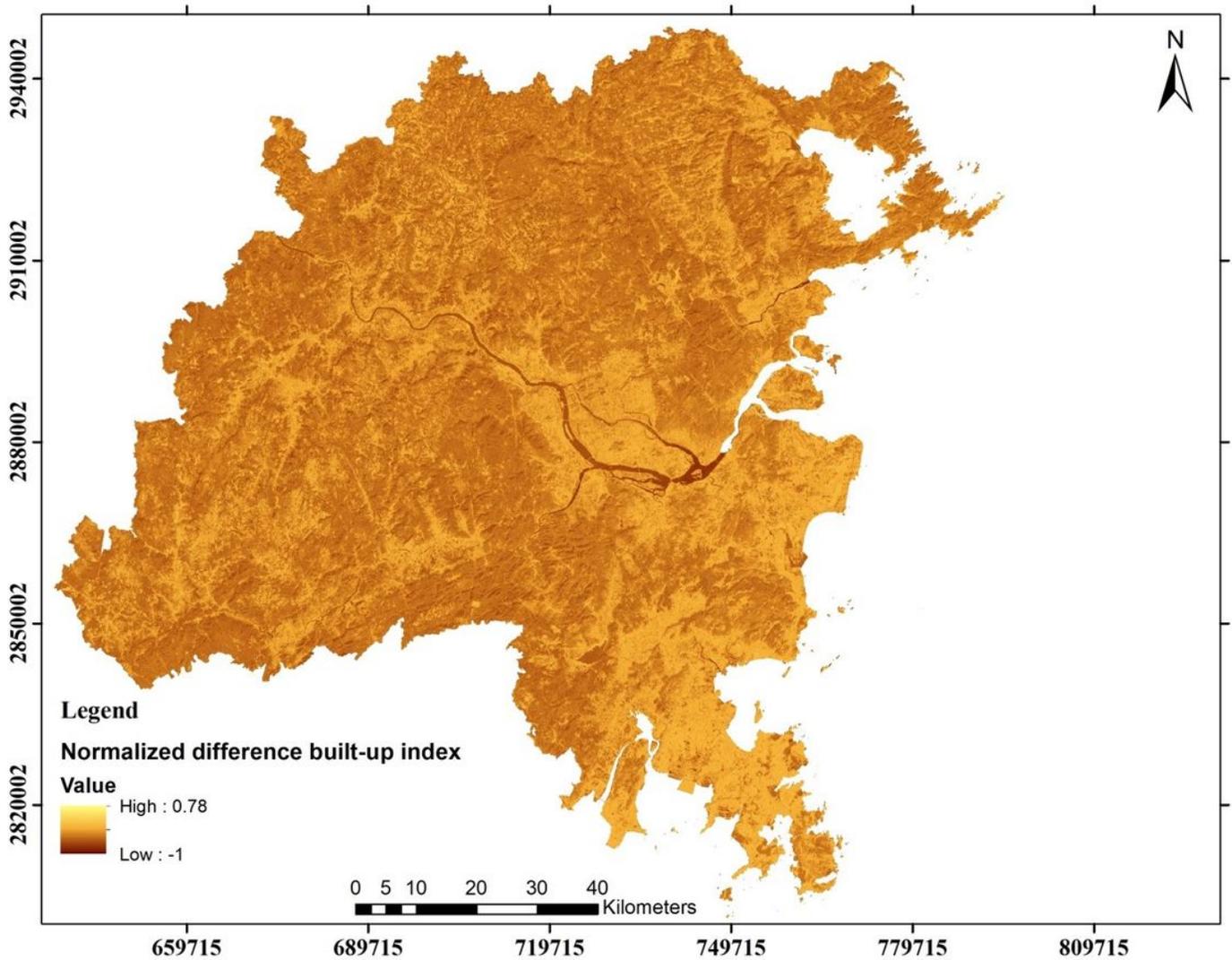
**Figure 4**

Vegetation Temperature Condition Index (VTCI) map of the study area. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 5**

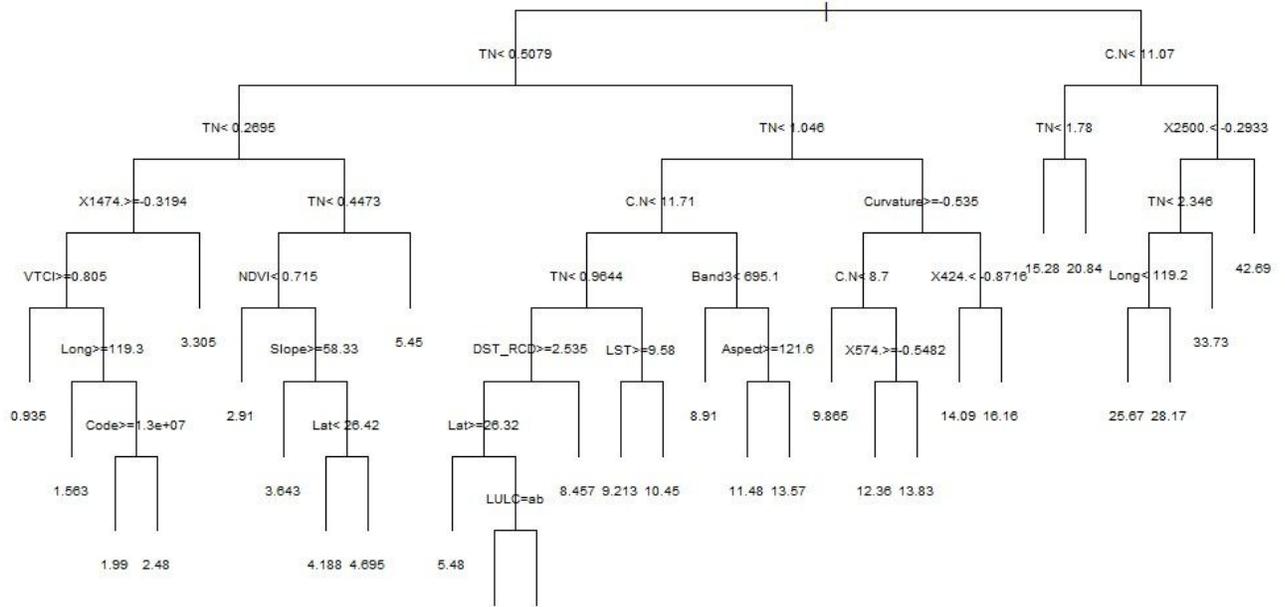
LST map of the study area. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 6**

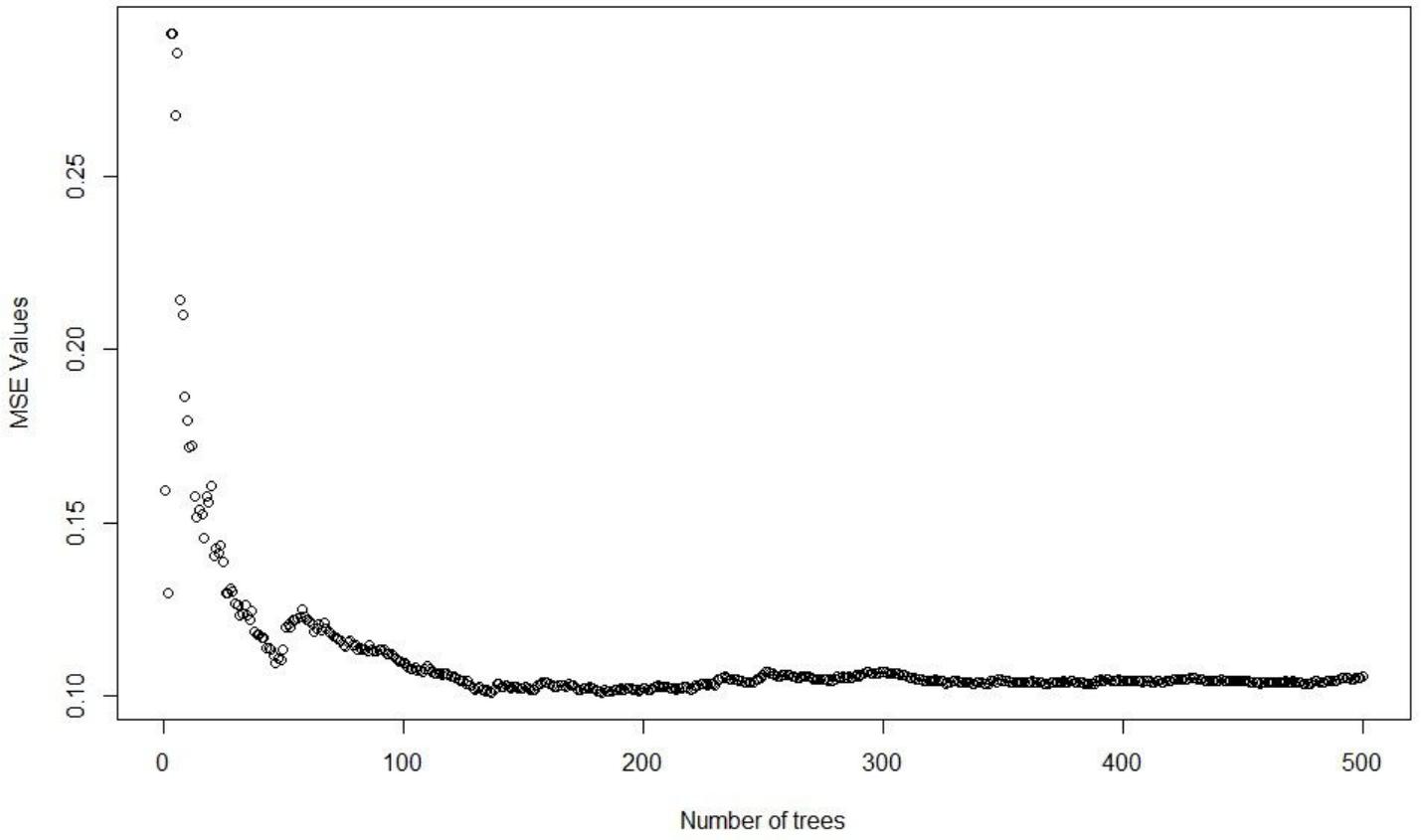
The built-up index (NDBI) map of the study area. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

### Regression Tree for Prediction of SOC



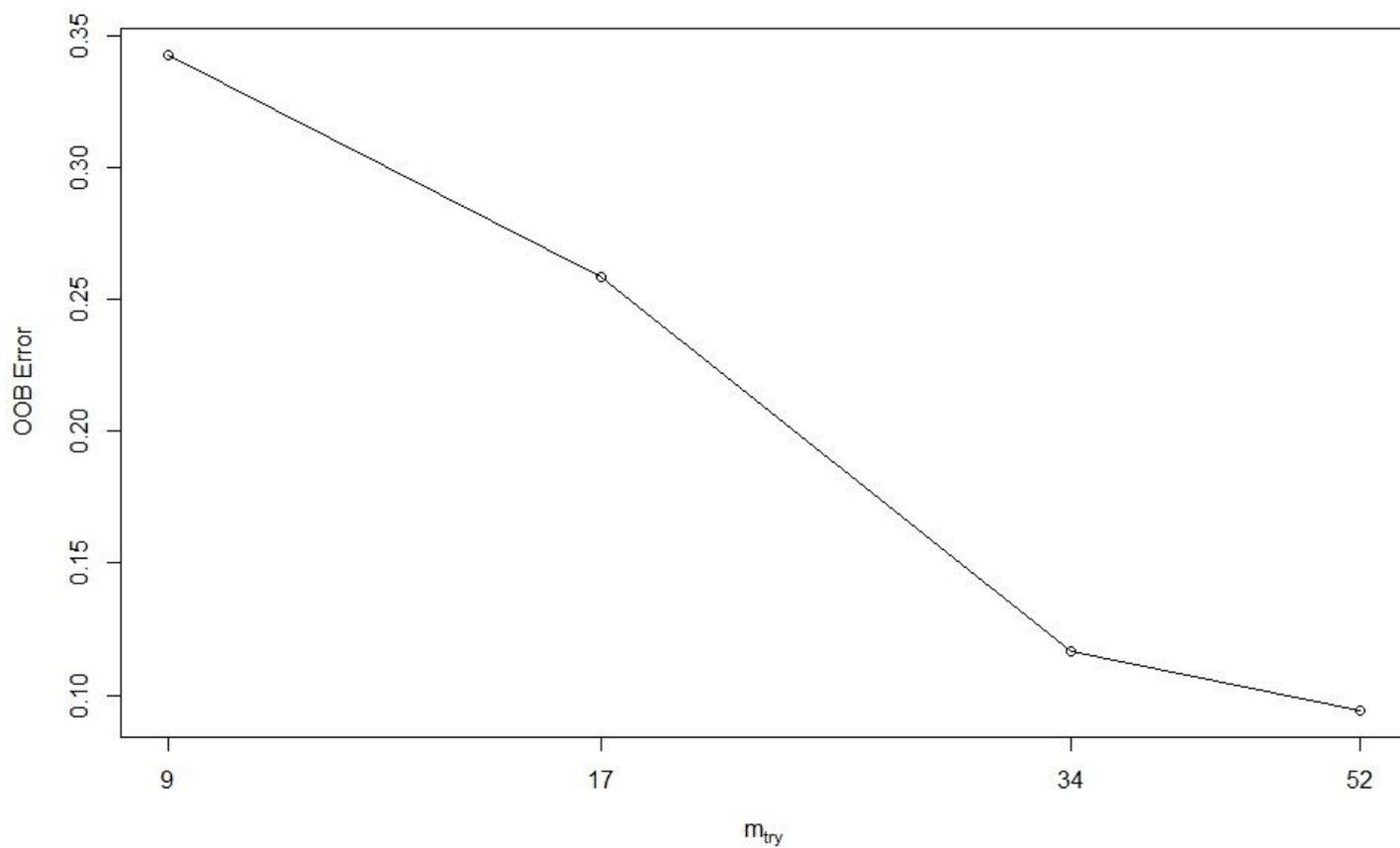
**Figure 7**

CART tree of bootstrapped samples of data variables used for the SOC predictions



**Figure 8**

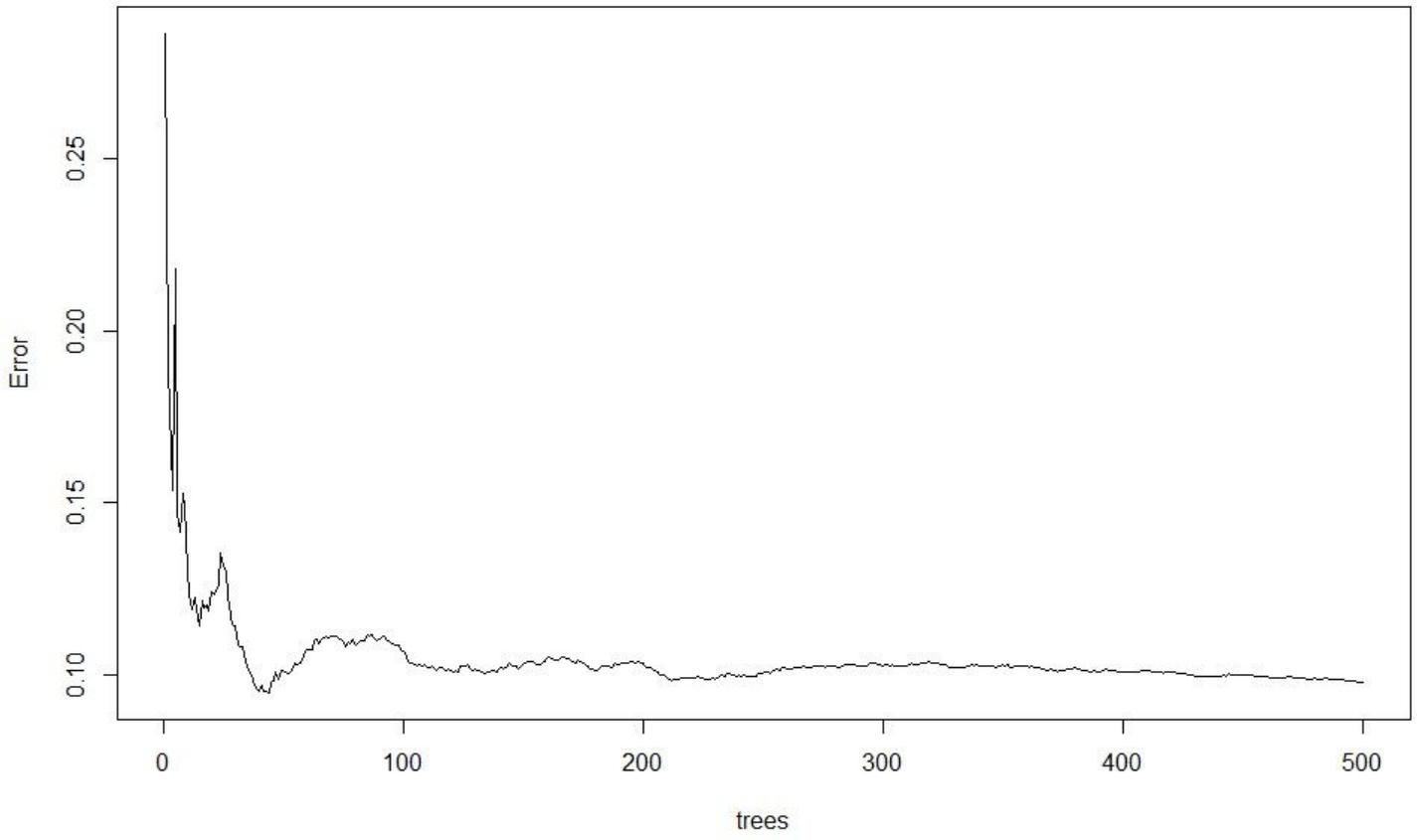
MSE error vs number of trees



**Figure 9**

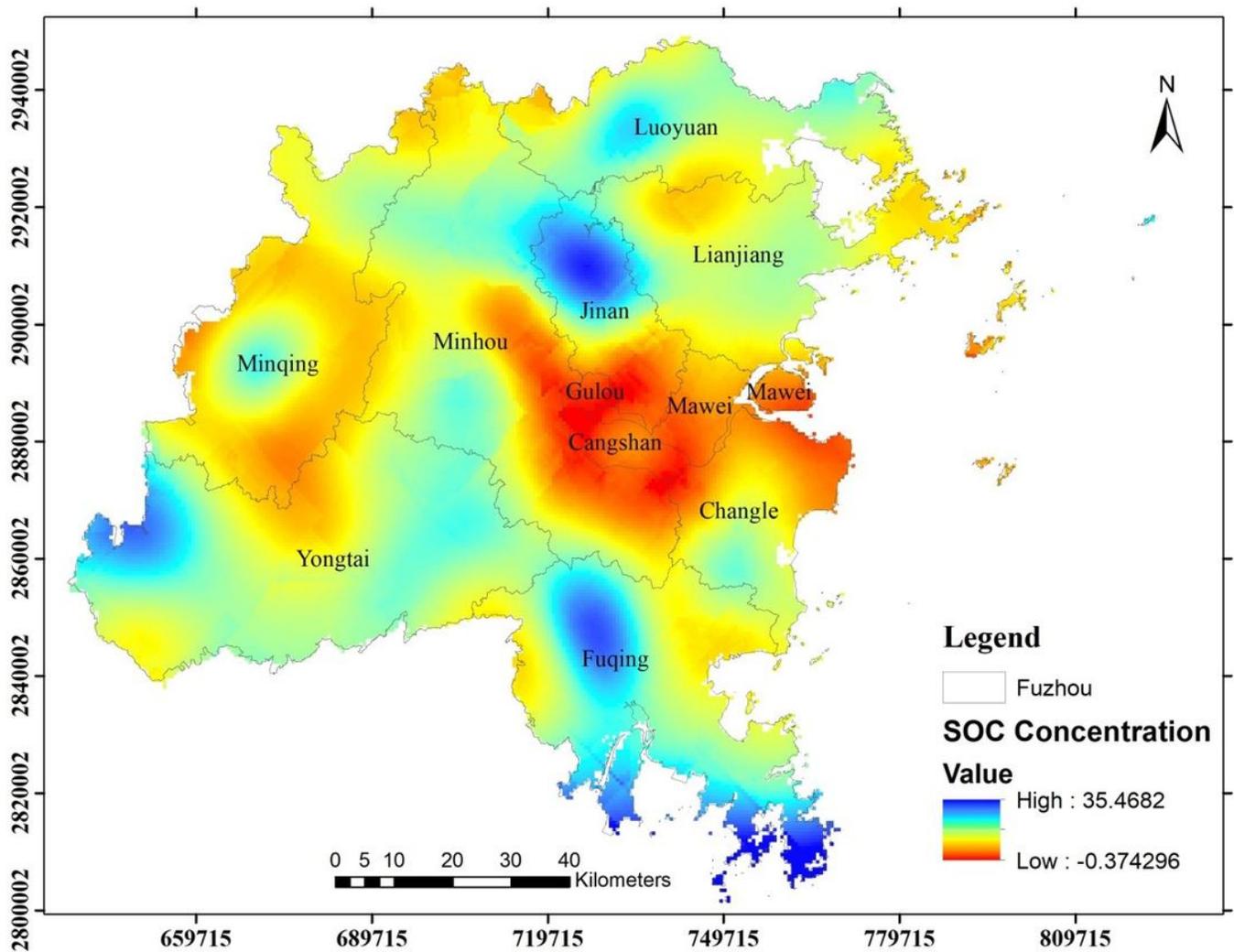
Tuning function as applied on the model for adjusting the  $m_{try}$  parameter

**MSE of the predictions of the out-of-bag observations**



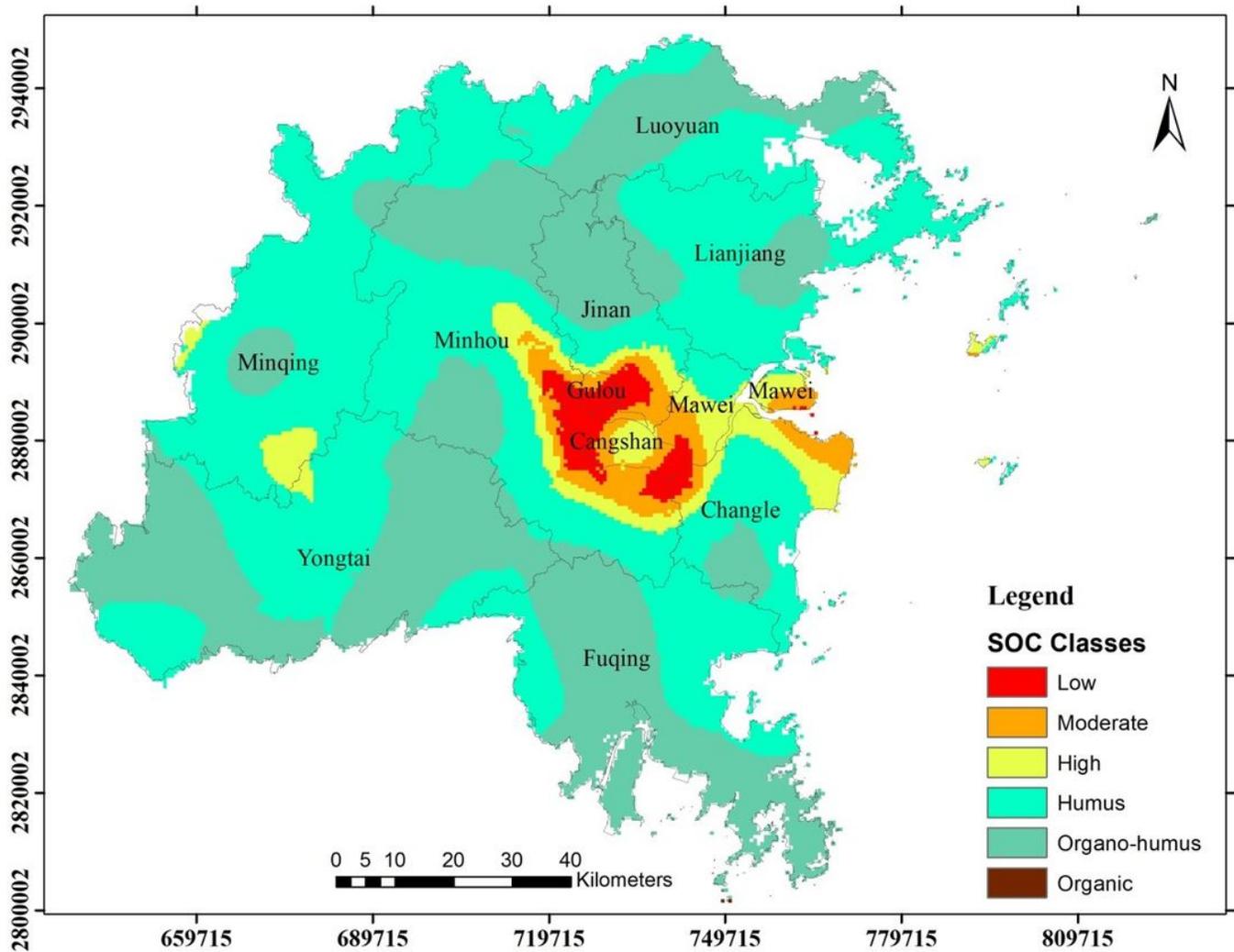
**Figure 10**

Number of trees adjusted using tuning parameters



**Figure 11**

SOC variability map predicted using RF. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.



**Figure 12**

The classes or levels of SOC distribution in the study area. Note: The designations employed and the presentation of the material on this map do not imply the expression of any opinion whatsoever on the part of Research Square concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries. This map has been provided by the authors.

## Variable Importance Plot for SOC Prediction

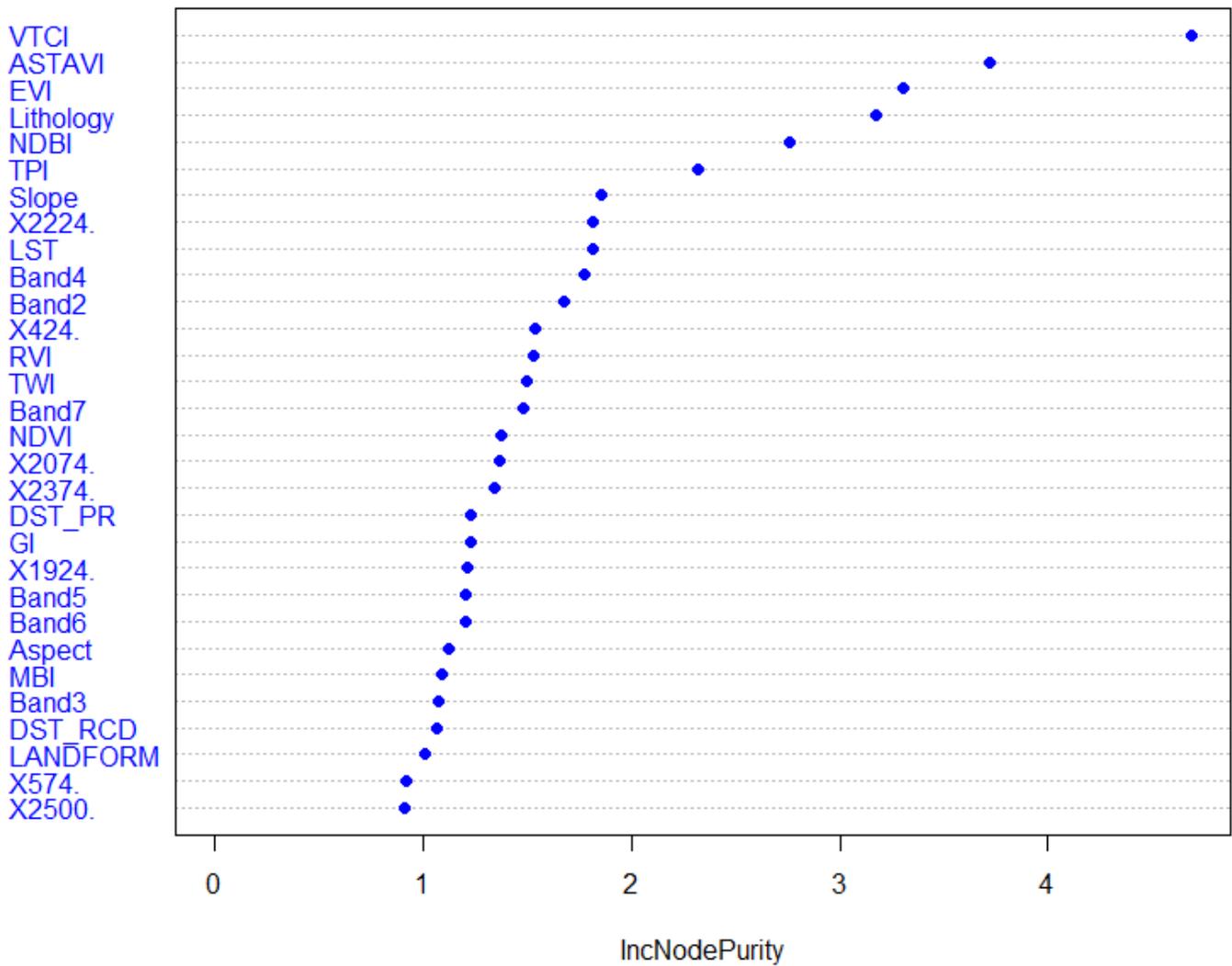


Figure 13

Variable Importance measured as IncNodePurity index

## Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [Table1.pdf](#)