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Electronic Nature of Charge Density Wave and Electron-Phonon Coupling in Kagome Superconductor KV3Sb5

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Article

Keywords: Kagome superconductors, charge density wave, KV3Sb5

Posted Date: August 3rd, 2021

DOI: https://doi.org/10.21203/rs.3.rs-690777/v1

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Version of Record: A version of this preprint was published at Nature Communications on January 12th, 2022. See the published version at https://doi.org/10.1038/s41467-021-27946-6.

¹ Electronic Nature of Charge Density Wave and Electron-Phonon Coupling in Kagome Superconductor KV_3Sb_5 2 Hailan Luo^{1,2‡}, Qiang Gao^{1‡}, Hongxiong Liu^{1,2‡}, Yuhao Gu^{1‡}, Dingsong 3 Wu^{1,2}, Changjiang Yi¹, Junjie Jia^{1,2}, Shilong Wu¹, Xiangyu Luo^{1,2}, Yu Xu¹, 4 Lin Zhao¹, Qingyan Wang¹, Hanqing Mao¹, Guodong Liu^{1,2}, Zhihai Zhu¹, 5 Youguo Shi^{1*}, Kun Jiang^{1*}, Jiangping Hu^{1,2}, Zuyan Xu³ and X. J. Zhou^{1,2,4,5*} 6 ¹Beijing National Laboratory for Condensed Matter Physics, 7 Institute of Physics, Chinese Academy of Sciences, Beijing 100190, China 8 ²University of Chinese Academy of Sciences, Beijing 100049, China 9 ³Technical Institute of Physics and Chemistry, 10 Chinese Academy of Sciences, Beijing 100190, China 11 ⁴Songshan Lake Materials Laboratory, Dongguan 523808, China 12 ⁵Beijing Academy of Quantum Information Sciences, Beijing 100193, China 13 [#]These people contributed equally to the present work. 14 *Corresponding authors: xjzhou@iphy.ac.cn, 15 yqshi@iphy.ac.cn and jianqkun@iphy.ac.cn 16 (Dated: July 15, 2021) 17

The Kagome superconductors AV_3Sb_5 (A=K, Rb, Cs) have received enor-18 ¹⁹ mous attention due to their nontrivial topological electronic structure, anoma-²⁰ lous physical properties and superconductivity. Unconventional charge density $_{21}$ wave (CDW) has been detected in AV₃Sb₅ that is found to be intimately inter-²² twined with the anomalous Hall effect and superconductivity. High-precision ²³ electronic structure determination is essential to understand the origin of the ²⁴ CDW transition and its interplay with electron correlation, topology and su-²⁵ perconductivity, yet, little evidence has been found about the impact of the $_{26}$ CDW state on the electronic structure in AV₃Sb₅. Here we unveil electronic ²⁷ nature of the CDW phase in our high-resolution angle-resolved photoemission $_{28}$ (ARPES) measurements on KV_3Sb_5 . We have observed CDW-induced Fermi ²⁹ surface reconstruction and the associated band structure folding. The CDW-³⁰ induced band splitting and the associated gap opening have been revealed at the ³¹ boundary of the pristine and reconstructed Brillouin zone. The Fermi surface-32 and momentum-dependent CDW gap is measured for the first time and the ³³ strongly anisotropic CDW gap is observed for all the V-derived Fermi surface ³⁴ sheets. In particular, we have observed signatures of the electron-phonon cou-³⁵ pling for all the V-derived bands. These results provide key insights in under-³⁶ standing the nature of the CDW state and its interplay with superconductivity $_{37}$ in AV $_3$ Sb $_5$ superconductors.

The newly discovered Kagome superconductors AV_3Sb_5 (A=K, Rb, Cs) have attracted ³⁹ much attention because they provide an ideal platform to investigate the interplay of topol-⁴⁰ ogy, electron correlation effects and superconductivity[1, 2]. In the crystal structure of ⁴¹ AV_3Sb_5 (Fig. 1a), the vanadium atoms form a Kagome lattice that is a two-dimensional ⁴² network of corner-sharing triangles. The metallic Kagome lattice presents unique electronic ⁴³ structure characterized by a Dirac cone at the Brillouin zone corner, von Hove singularities ⁴⁴ (VHS) at the zone boundary and a flat band throughout the entire Brillouin zone[3, 4]. Such ⁴⁵ a Kagome lattice is expected to harbour topological states[3, 5], fractional charges[4, 6], den-⁴⁶ sity wave orders[3, 7, 8] and unconventional superconductivity[8–11]. For example, AV_3Sb_5 ⁴⁷ family exhibit anomolous Hall effect[12, 13], although there is neither local-moment nor ⁴⁸ long-range magnetic ordering present in them[1, 12, 14]; unconventional charge density wave ⁴⁹ (CDW) has been revealed in $AV_3Sb_5[15-17]$. At present, the pairing symmetry of the AV_3Sb_5 ⁵⁰ superconductors has been extensively studied and it is still being debated whether the su-⁵¹ perconductivity is unconventional[18–22].

The family of Kagome compounds AV_3Sb_5 (A=K, Rb, Cs) exhibit a CDW transition at 78~103 K observed by transport measurements[1, 2, 15–17, 23, 24]. Such a CDW transition corresponds to a three-dimensional 2×2×2 lattice reconstruction[15, 17, 19] and promotes a structural distortion with three different V-V bond lengths named as Tri-Hexagonal (TrH) structure (Fig. 1b)[15, 25]. The CDW state shows an unusual magnetic response[15] that is intimately related to the anomalous Hall effect[13] and competes with superconductivity under pressure[26–31]. Undestanding the electronic structure of the CDW state is essential to reveal its nature and relation to the topological state and superconductivity[25, 32–36]. However, little is known about the impact of the CDW state on the electronic structure in $AV_3Sb_5[37–39]$.

In this paper, we carried out high-resolution angle-resolved photoemission (ARPES) measurements to investigate the nature of the CDW instability in KV₃Sb₅. Clear evidence of electronic structure reconstruction induced by the 2×2 CDW transition is revealed by the observation of the band and Fermi surface foldings. The band splitting and CDW gap of opening on multiple bands are observed at the boundaries of both the original and 2×2 reconstructed Brillouin zones. We have clearly resolved all the Fermi surface that enables us to map out the Fermi surface- and momentum-dependent CDW gap. The signature of electron-phonon coupling has been found on the V-derived bands. These results provide key ro insight in understanding the origin of the CDW and its role on the exotic physical properties r1 and superconductivity in Kagome superconductors.

⁷² High-quality KV₃Sb₅ single crystals are prepared by a two-steps self-flux method[1] and ⁷³ characterized by X-ray diffraction (see Methods and Fig. S1a in Supplementary Materials). ⁷⁴ The transport and magnetic measurements show that our samples exhibit a CDW phase ⁷⁵ transition at $T_{CDW} \sim 80$ K, consistent with the previous reports[1, 23]. In the normal state ⁷⁶ above T_{CDW} , KV₃Sb₅ crystallizes in a hexagonal structure with the P6/mmm space group, ⁷⁷ hosting a typical Kagome structure composed of vanadium Kagome net (Fig. 1a). In the ⁷⁸ CDW phase, a distortion of the V-Kagome lattice engenders the 2×2 reconstruction and ⁷⁹ forms a tri-hexagonal (TrH) structure on the V-Kagome plane (Fig. 1b)[15, 25]. Such a ⁸⁰ lattice distortion leads to Brillouin zone reconstruction in the reciprocal space which can be ⁸¹ described by three wavevectors (Fig. 1d).

Figure 1e shows the Fermi surface mapping of KV_3Sb_5 measured at 20 K in the CDW 82 ⁸³ state. Extended momentum space that includes both the first and second Brillouin zones ⁸⁴ is covered in our measurements. This is important to obtain complete Fermi surface since ³⁵ the band structures of KV₃Sb₅ exhibit significant photoemission matrix element effects in ⁸⁶ different momentum space (Fig. 1e, Fig. S2 and S3). The Fermi surface mapping in Fig. ⁸⁷ 1e, combined with the analysis of the related constant energy contours (Fig. S2) and band ⁸⁸ structures (Fig. S3), gives rise to a Fermi surface topology that is mainly composed of a ³⁹ circular electron-like pocket around $\overline{\Gamma}(\alpha)$, a large hexagon-shaped hole-like sheet centered ⁹⁰ around $\overline{\Gamma}(\beta)$, a triangular hole-like pocket around $\overline{K}(\gamma)$ and a triangular electron-like ⁹¹ pocket around $\bar{K}(\delta)$ as marked in Fig. 1e. The γ pocket is clearly visualized around \bar{K}_{21} ⁹² but is weak around \bar{K}_{12} ; its size increases with increasing binding energy in the measured ⁹³ constant energy contours (Fig. S2). On the other hand, the δ pocket is clearly observed ⁹⁴ around \bar{K}_{12} but is weak around \bar{K}_{21} ; its size decreases with increasing binding energy in the ⁹⁵ constant energy contours (Fig. S2). The quantitatively extracted Fermi surface is shown $_{96}$ in Fig. 1f, which agree well with the calculated Fermi surface of KV₃Sb₅ at $k_z=0.5$ in its ⁹⁷ pristine structure in Fig. 1g.

The CDW-related 2×2 lattice reconstruction is expected to generate electronic structure 98 ⁹⁹ reconstruction, as illustrated in Fig. 1d. However, no signature of such electronic reconstruc-¹⁰⁰ tion has been detected in the previous ARPES measurements [2, 37–44]. We have observed $_{101}$ clear evidence of electronic structure reconstruction induced by the 2×2 CDW transition in $_{102}$ KV₃Sb₅ both in the measured Fermi surface and the band structure. Fig. 2a replots the ¹⁰³ Fermi surface mapping of KV₃Sb₅ shown in Fig. 1e, focusing on the first Brillouin zone. In addition to the main Fermi surface, some additional features are clearly observed, as marked 104 by the arrows in Fig. 2a. Fig. 2b shows the effect of the 2×2 lattice reconstruction on the 105 Fermi surface as induced by one of the three wavevectors, Q_1 . The reconstructed Fermi 106 surface sheets (dashed lines in Fig. 2b) are produced from shifting the original α , β , γ and δ 107 main Fermi surface (solid lines in Fig. 2b) by the wavevector of $\pm Q_1$. As shown in Fig. 2a, 108 the extra features can be attributed to the reconstructed Fermi surface because the observed 109 features (1, 2), (3, 4) and 5 agree well with the reconstructed δ , β , and α Fermi surface, 110 ¹¹¹ respectively. The electronic reconstruction is also directly evidenced in the measured band ¹¹² structure in Fig. 2c, in which the band measured along the $\overline{\Gamma}$ - \overline{M} direction coincides with ¹¹³ the direction of Q_1 wavevector. As shown in Fig. 2c, in addition to the main β bands, some 114 extra bands are clearly observed around $\bar{\Gamma}$ (β'_L and β'_R). The extra feature around $\bar{\Gamma}$ resem-115 bles the strong β band at \bar{M} . Quantitative analysis of the momentum distribution curve 116 (MDC) at the Fermi level in Fig. 2d indicates that the two extra features at $\bar{\Gamma}$ (β'_L and β'_R) 117 are separated from the β band at \bar{M} (β_L and β_R) by exactly a wavevector of Q_1 . Further 118 analysis of the photoemission spectra (energy distribution curves, EDCs) at \bar{M} and $\bar{\Gamma}$ in Fig. 119 2e indicates that they have similar lineshape near the Fermi level within an energy range of 120 ~0.3 eV. These results strongly demonstrate that the extra features at $\bar{\Gamma}$ are replicas of the 121 β band at \bar{M} caused by the 2×2 CDW modulation.

Besides the electronic structure reconstruction, the manifestations of the CDW transition 122 ¹²³ involve the opening of the CDW gap, both at the Fermi level and away from the Fermi ¹²⁴ level. We have clearly observed the CDW gap openings for both cases. Fig. 3 shows band ¹²⁵ structures of KV₃Sb₅ measured along high-symmetry directions $\overline{\Gamma}$ - \overline{M} (Fig. 3a and 3d), \overline{K} - \overline{M} - \overline{K} (Fig. 3b and 3e) and $\overline{\Gamma}$ - \overline{K} (Fig. 3c and 3f) at 20 K. For comparison, we also present ¹²⁷ the calculated band structures for both pristine (Fig. 3g) and reconstructed (Fig. 3h) crystal structures. In the calculated band structure for the pristine lattice structure (Fig. 3g), the 128 bands around the Fermi level originate mainly from the 5p orbitals of Sb (α band from the 129 130 in-plane Sb while γ_2 band from the out-of-plane Sb) and the 3d orbitals of V (β , γ_1 and δ bands). The δ band originates from the V-Kagome lattice with the prototypical Dirac point 131 at \overline{K} and von Hove singularities at \overline{M} . The β band also comes from V-Kagome lattice with 132 different orbital character. The 2×2 lattice reconstruction causes significant modifications 133 of the band structures, manifested mainly by the band splitting and the associated CDW 134 135 gap opening at \overline{M} in the original Brillouin zone and $\overline{M'}$ in the reconstructed Brillouin zone. ¹³⁶ As shown in the calculated band structure for the reconstructed lattice in Fig. 3h, within ¹³⁷ the energy of interest, three CDW gaps open at \overline{M} : \overline{M} G1 from δ_1 band, \overline{M} G2 from ζ band 138 and $\bar{M}G3$ from δ_2 band. In the meantime, four CDW gaps open at \bar{M}' point: $\bar{M}PG1$ from ¹³⁹ δ_2 band, \overline{M} PG2 from γ_1 band, \overline{M} PG3 from β_2 band and \overline{M} PG4 from δ_1 band. In addition, ¹⁴⁰ the spin-orbit coupling (SOC) is expected to open a gap at the Dirac point formed from the δ bands at K, as marked by DG in Fig. 3g and 3h. 141

The expected band splittings and CDW gap openings at \overline{M} and $\overline{M'}$ below the Fermi 143 level are clearly observed in the measured band structures of KV₃Sb₅. Fig. 3d and 3e show 144 the CDW gap openings at the \overline{M} point where the ζ band opens a gap labeled as $\overline{M}G2$ 145 and the δ_2 band opens a gap $\overline{M}G3$. In the corresponding EDCs at \overline{M} , signatures of these ¹⁴⁶ two gap openings can also be clearly visualized with the gap size of $\sim 150 \,\mathrm{meV}$ for MG2 147 and $\sim 125 \,\mathrm{meV}$ for $\bar{M}G3$. Fig. 3f shows the CDW gap openings at the \bar{M}' point where ¹⁴⁸ the β_2 band opens a gap labeled as MPG3 and the δ_1 band opens a gap MPG4. In the corresponding EDC at $\overline{M'}$ in Fig. 3j, the \overline{M} PG4 gap can be clearly determined with a gap size of $\sim 150 \text{ meV}$. The $\overline{M}PG3$ gap is present as seen from the dip in EDC near the binding 150 energy of 300 meV that corresponds to the spectral weight suppression in the region pointed 151 out by the arrow in Fig. 3c. However, the related band is weak; its gap size is hard to be 152 determined precisely but estimated to be $\sim 150 \text{ meV}$. The SOC gap opening of the Dirac 153 point at K can be seen from the EDCs in Fig. 3k; the measured gap size is $\sim 80 \text{ meV}$. 154 The measured band splittings and gap openings agree well with those from band structure 155 156 calculations.

Now we come to the CDW gap on the Fermi surface. To this end, we took high energy-157 resolution ($\sim 4 \text{ meV}$) ARPES measurements on KV₃Sb₅ at 5 K, covering the momentum 158 159 space around \overline{M}_{21} as shown in Fig. 4l. In this region, in addition to the well-resolved α 160 and β Fermi surface sheets, the γ and δ sheets are also well separated because the former ¹⁶¹ is strong around \bar{K}_{21} while the latter is strong around \bar{K}_{12} . The clearly distinguished four ¹⁶² Fermi surface sheets facilitate the extraction of the Fermi surface-dependent and momentumdependent CDW gaps. Fig. 4a-e show the symmetrized EDCs along the four Fermi surface; 163 the data are taken on the two β sheets on the two sides of M_{21} for confirming the data 164 reliability. In the symmetrized EDCs, the gap opening causes a spectral weight suppression 165 ¹⁶⁶ near the Fermi level that gives rise to a dip at the Fermi level; the gap size can be determined ¹⁶⁷ by the peak position near the Fermi level. The extracted CDW gaps along the four Fermi 168 surface sheets are plotted in Fig. 4f-j. No CDW gap opening is observed around the α Fermi surface as shown in Fig. 4a and 4f. For the β Fermi surface, both measurements 169 in Fig. 4b and 4c give a consistent result on the CDW gap in Fig. 4g and 4h. The 170 CDW gap on the β Fermi surface is anisotropic; it shows a minimum close to zero along 171 the $\overline{\Gamma}$ - \overline{M} and $\overline{\Gamma}$ - \overline{K} directions but exhibits a maximum in the middle between these two 172 directions. The CDW gaps along the γ and δ Fermi surface sheets show similar behaviors, 173 as seen in Fig. 4(d, e) and Fig. 4(i, j). They are both anisotropic, showing a minimum ¹⁷⁵ along the $\overline{\Gamma}$ - \overline{K} direction and a maximum along the \overline{K} - \overline{M} direction. The EDCs along the γ $_{176}$ and δ Fermi surface also show multiple features (Fig. 4d and 4e); besides the low energy 177 peak, there is another peak at a higher binding energy around 70 meV. As we will show ¹⁷⁸ below, such a peak-dip-hump structure can be attributed to the electron-phonon coupling.
¹⁷⁹ Fig. 4k shows a three-dimensional picture summarizing the Fermi surface-dependent and
¹⁸⁰ momentum-dependent CDW gaps we have observed in KV₃Sb₅.

The CDW transition usually involves electronic structure reconstruction and lattice dis-181 ¹⁸² tortion in which the electron-phonon coupling plays an important role[45]. We have obtained $_{183}$ clear evidence of electron-phonon coupling in KV_3Sb_5 . Fig. 5a-5c zoom in on the band structures of KV_3Sb_5 near the Fermi level measured along $\overline{\Gamma} \cdot \overline{K}$, $\overline{K} \cdot \overline{M} \cdot \overline{K}$ and $\overline{\Gamma} \cdot \overline{M} \cdot \overline{\Gamma}$ directions 184 at 20 K in the CDW state. The corresponding EDCs are shown in Fig. 5d-5f. For the δ 185 band in Fig. 5a, γ and δ bands in Fig. 5b and β band in Fig. 5c, the peak-dip-hump 186 structure is clearly observed near their respective Fermi momenta as the peaks are marked 187 by triangles and the humps are marked by bars in Fig. 5d-5f. Fig. 5g shows the expanded 188 view of the δ band in Fig. 5a. A kink in the dispersion can be observed as marked by 189 arrow in Fig. 5g. The quantitative dispersion is obtained by fitting momentum distribution 190 curves (MDCs) at different binding energies and plotted on top of the observed band in Fig. 191 5g. Taking a linear line as an empirical bare band, the effective real part of the electron 192 self-energy is shown in Fig. 5h. It shows a clear peak at $\sim 36 \text{ meV}$. The observed kink in the 193 energy dispersion and the peak-dip-hump structure in EDCs are reminiscent of those from 194 the electron-boson coupling in simple metal[46] and high-temperature superconductors[47]. 195 The phonon frequency of the vanadium vibrations in AV_3Sb_5 can reach up to $\sim 36 \text{ meV}[32]$ 196 that is consistent with the mode energy we have observed. Therefore, we have observed 197 signatures of the electron-phonon coupling in KV_3Sb_5 and such electron-phonon coupling is 198 ¹⁹⁹ present for all the β , γ and δ bands.

The CDW state is first proposed for a one-dimensional chain of atoms with an equal spac-200 $_{201}$ ing a which is argued to be inherently unstable against the dimerized ground state 48. This would open a CDW gap at the Fermi point $k_F = \pm \pi/2a$ and produce a lattice reconstruction 202 with a wavevector of π/a . Such a Fermi surface nesting picture is extended to real materials 203 with higher dimensions where the CDW state is realized because segments of the Fermi 204 surface are connected by a wavevector $Q_{CDW}[45]$. This would give rise to a partial CDW 205 gap opening on the Fermi surface and reconstructions of both the electronic structure and 206 $_{207}$ the lattice with a wavevector of Q_{CDW} . Besides the Fermi surface nesting, the CDW phase $_{208}$ can also be driven by the concerted action of electronic and ionic subsystems where a q-²⁰⁹ dependent electron-phonon coupling plays an indispensable part [49, 50]. In AV_3Sb_5 system, ²¹⁰ the driving force for the CDW formation remains under debate [15, 17, 25, 32, 33, 37, 38]. ²¹¹ Based on our observations, we found that the electron-phonon coupling plays a major role $_{212}$ in generating the CDW phase in KV_3Sb_5 . Firstly, the measured Fermi surface (Fig. 1f) and band structures (Fig. 3a-3c) of KV_3Sb_5 show a high agreement with the band structure 213 calculations that do not incorporate the electron-electron interactions, which indicates the 214 electron correlation effect is weak in KV₃Sb₅. Secondly, besides the gap opening around the 215 Fermi sueface, we have also observed clear CDW gap opening at \overline{M} and $\overline{M'}$ with a gap size 216 up to $\sim 150 \text{ meV}$ (Fig. 3) highly away from the Fermi level. Thirdly, the electron-phonon 217 couplings on the β , γ and δ bands are directly observed (Fig. 5). All these results indicate 218 that the CDW phase in KV₃Sb₅ is mainly driven by the electron-phonon coupling induced 219 structural phase transition. 220

In summary, through our high-resolution ARPES measurements and the density func-221 $_{222}$ tional theory (DFT) calculations on KV₃Sb₅, clear evidence of the 2×2 CDW-induced electronic structure reconstruction has been uncovered. These include the Fermi surface re-223 construction, the associated band structure foldings, and the CDW gap openings at the 224 boundary of the pristine and reconstructed Brillouin zone. The Fermi surface-dependent 225 and momentum-dependent CDW gap is measured for the first time and strong anisotropy of 226 the CDW gap is observed for all the V-derived Fermi surface sheets. The electron-phonon 227 couplings have been observed for all the V-derived bands. These results indicate that the 228 electron correlation effect in KV₃Sb₅ is weak and the electron-phonon coupling plays a dom-229 inant role in driving the CDW transition. They provide key information in understanding 230 $_{231}$ the origin of the CDW state and its interplay with superconductivity in AV₃Sb₅ supercon-232 ductors.

233 Methods

Growth and characterization of single crystals. High quality single crystals of KV_3Sb_5 were grown from a two-steps flux method[1]. First, KSb_2 alloy was sintered at 236573 K for 20 hours in an alumina crucible coated with aluminum foil. Second, high-purity 237 K, V, Sb and KSb_2 precursor were mixed in a molar ratio of 1:3:14:10 and then sealed in a Ta tube. The tube was sealed in an evacuated quartz ampoule, heated up to 1273 K, soaked for 20 hours and then cooled down to 773 K at a rate of 2 K/hour. Shiny lamellar crystals were equated from the flux by centrifuging with a regular hexagon shape and a size up to 4×4 241 mm² (inset of Fig. S1a in Supplementary Materials). The crystals were characterized by

²⁴² X-ray diffraction (Fig. S1a) and their magnetic susceptibility and resistance were measured ²⁴³ (Fig. S1b and S1c). The CDW transition temperature, T_{CDW} , is ~80K from the magnetic ²⁴⁴ measurement in Fig. S1b.

High resolution ARPES measurements High-resolution angle-resolved photoemis-245 sion measurements were carried out on our lab system equipped with a Scienta R4000 elec-246 tron energy analyzer[51]. We use helium discharge lamp as the light source that can provide 247 a photon energy of $h\nu = 21.218 \text{ eV}$ (helium I). The energy resolution was set at $\sim 20 \text{ meV}$ 248 for the Fermi-surface mapping (Fig. 1) and band-structure (Fig. 2, 3 and 5) measurements 249 and at 4 meV for the CDW gap measurements (Fig. 4). The angular resolution is ~ 0.3 °. 250 The Fermi level is referenced by measuring on a clean polycrystalline gold that is electrically 251 connected to the sample. The sample was cleaved in situ and measured in vacuum with a 252 base pressure better than 1.2×10^{-10} Torr. 253

Calculations. First-principles calculations are performed by using the Projected Aug-254 ²⁵⁵ mented Wave Method (PAW) within the spin-polarized density functional theory (DFT), as implemented in the Vienna Ab Initio Simulation Package (VASP)[52–54]. We construct 256 $2 \times 2 \times 1$ supercell to describe the TrH CDW phase of KV₃Sb₅. The crystal structures are 257 relaxed by using the Perdew-Burke-Ernzerhof (PBE) functional [55] and zero damping DFT-258 D3 van der Walls correction[56] until the forces are less than 0.001 eV/Å. The cutoff energy 259 of plane wave basis is set as 600 eV and the energy convergence criterion is set as 10^{-7} eV. 260 The corresponding Brillouin zones are sampled by using a $16 \times 16 \times 10$ (for primitive cell) and 261 $_{262}$ a 8×8×10 (for supercell) Gamma centered **k**-grid. The effective band structure is calculated ²⁶³ by the band-unfolding method [57, 58] proposed by Zunger et al. with BandUP code [59, 60].

264

265 Acknowledgement

This work is supported by the National Natural Science Foundation of China (Grant Nos. 11888101, 11922414, 11974404, 12074411 and U2032204), the National Key Research Program of China (Grant Nos. 2016YFA0300300, 2016YFA0300602, 2017YFA0302900, 2017YFA0303100, 2018YFA0305602 and 2018YFA0704200), the Strategic Priority Research Program (B) of the Chinese Academy of Sciences (Grant No. XDB25000000, XDB28000000 and XDB33000000), the Youth Innovation Promotion Association of CAS (Grant No. 2017013), the Research Program of Beijing Academy of Quantum Information ²⁷³ Sciences (Grant No. Y18G06) and the K. C. Wong Education Foundation (GJTD-2018-01).

274 Author Contributions

²⁷⁵ X.J.Z. and H.L.L. conceived this project. H.L.L. and Q.G. performed ARPES experiments.
²⁷⁶ H.L.L. and Q.G. analyze the ARPES data. H.X.L., C.J.Y. and Y.G.S. contributed to crystal
²⁷⁷ growth. Y.H.G., K.J. and J.P.H. contributed to DFT calculations. D.S.W., J.J.J., S.L.W.,
²⁷⁸ X.Y.L., Y.X., L.Z., Q.Y.W., H.Q.M., G.D.L., Z.H.Z., Z.Y.X. and X.J.Z. contributed to the
²⁷⁹ development and maintenance of the ARPES systems and related software development.
²⁸⁰ H.L.L., K.J. and X.J.Z. wrote this paper. All authors participated in discussion and comment
²⁸¹ on the paper.

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FIG. 1. Crystal structure and Fermi surface of $\mathbf{KV_3Sb_5}$. a, Pristine crystal struture of $\mathbf{KV_3Sb_5}$ with a V-kagome net from top view. b, The Tri-Hexagonal (TrH) lattice distortion caused by the 2×2 CDW transition[15, 25]. The K, V, Sb atoms are presented as grey, purple and blue balls, respectively. c, Schematic of the three-dimensional Brillouin zone and the two-dimentional Brillouin zone projected on the (001) surface in the pristine phase in (a). High-symmetry points and high-symmetry momentum lines are marked. d, The original (black lines) and 2×2 reconstructed (blue lines) Brillouin zones. The $\overline{\Gamma}$, \overline{K} and \overline{M} ($\overline{\Gamma'}$, $\overline{K'}$ and $\overline{M'}$) are the high-symmetry points of the pristine (2×2 reconstructed) Brillouin zones. The arrows indicate three wavevectors (marked as Q_1 , Q_2 and Q_3) of electronic structure reconstruction. e, Fermi surface mapping of KV₃Sb₅ measured at T=20 K. Four Fermi surface sheets are observed marked as α (orange lines), β (red lines), γ (blue lines) and δ (green lines). For convenience, high-symmetry points are labeled with different indexes such as $\overline{\Gamma}_1$, $\overline{\Gamma}_{21}$, \overline{M}_{11} , \overline{M}_{21} , \overline{K}_{11} , \overline{K}_{12} and \overline{K}_{21} . f, Symmetrized Fermi surface mapping of KV₃Sb₅. It is obtained from taking the second derivative with respect to momentum on the second Brillouin zone data in (e). g, The calculated Fermi surface at \mathbf{k}_z =0.5 corresponding to pristine crystal structure in (a).



FIG. 2. Evidence of electronic structure reconstruction in $\mathbf{KV}_3\mathbf{Sb}_5$. **a**, Fermi surface mapping of $\mathbf{KV}_3\mathbf{Sb}_5$ at 20 K in the CDW phase. In addition to the original Fermi surface, some extra weak features can be observed as marked by arrows and guided by the dashed lines that are reconstructed Fermi surface due to the CDW wavevector Q_1 . **b**, Schematic of the reconstructed Fermi surface of $\mathbf{KV}_3\mathbf{Sb}_5$ due to CDW wavevector Q_1 . The solid lines represent the original Fermi surface sheets. The reconstructed Fermi surface sheets (dashed lines) are obtained by shifting the original Fermi surface with a wavevector $\pm Q_1$. **c**, Band structure measured along the $\bar{\Gamma}-\bar{M}$ high-symmetry direction at 20 K. The location of the momentum cut is marked as a solid pink line in (a). Around $\bar{\Gamma}$ just below the Fermi level, some extra bands can be observed. **d**, The momentum distribution curve (MDC) at the Fermi level from the band structure in (c). Two MDC peaks (β_L and β_R) can be observed around \bar{M} , and another two MDC peaks (β'_L and β'_R) can be observed around $\bar{\Gamma}$. The separation between β_L and β'_L (β_R and β'_R) corresponds to the reconstruction wavevector Q_1 . **e**, The photoemission spectra (energy distribution curves, EDCs) measured at $\bar{\Gamma}$ and \bar{M} points in (c). They show similar EDC lineshape in the low binding energy region ($E_B < 0.3 \, \mathrm{eV}$).



FIG. 3. CDW-induced band splitting and gap opening in the measured band structures of KV_3Sb_5 at 20 K and their comparison with band structure calculations. a-c, Band structures measured along the $\overline{\Gamma}$ - \overline{M} (a), \overline{K} - \overline{M} - \overline{K} (b) and \overline{K} - $\overline{\Gamma}$ (c) high-symmetry directions, respectively. The locations of the momentum cuts, 1, 2 and 3 for (a), (b) and (c), respectively, are shown in the inset of (c). **d-f**, Detailed band structures around \overline{M} and \overline{K} points measured along $\overline{\Gamma}$ - \overline{M} (d), \overline{K} - \overline{M} - \overline{K} (e) and \overline{K} - $\overline{\Gamma}$ (f) directions, respectively. These are second derivative images obtained from the band structures in **a-c**_.. The measured band structures are indicated by guide lines and the associated CDW gaps and SOC gap are also marked. g, Calculated band strcture of KV_3Sb_5 with pristine crystal structure in Fig. 1a at $k_z=0.5$ with SOC. h, The calculated band structures of KV_3Sb_5 with reconstructed TrH crystal structure in Fig. 1b at $k_z=0.5$ with SOC. In addition to the original high-symmetry points $\overline{\Gamma}$, \overline{M} and \overline{K} , new high-symmetry points from the reconstructed Brillouin zone (Fig. 1c), $\overline{M'}$ and $\overline{K'}$, are marked. Three CDW gaps open at \overline{M} : \overline{M} G1, \overline{M} G2 and \overline{M} G3 and four CDW gaps open at $\overline{M'}$: \overline{M} PG1, \overline{M} PG2, \overline{M} PG3 and \overline{M} PG4. The SOC gap opening at the Dirac point at \bar{K} is marked by DG. i, EDCs at \bar{M} from band structures in (a) and (b). **j**, EDC at \overline{M}' from band structure in (c). The CDW gap size is measured by the separation between related EDC peaks. **k**, EDCs at \overline{K} from band structures in (b) and (c).



FIG. 4. Fermi surface- and momentum-dependent CDW gaps of KV_3Sb_5 measured at 5 K. a-e, Symmetrized EDCs along the Fermi surface sheets α (a), β (b and c), γ (d) and δ (e). The corresponding Fermi momentum positions are marked in (l) by numbers on each Fermi surface sheet. **f-j**, CDW gap size as a function of momentum on the Fermi surface α (f), β (g and h), γ (i) and δ (j). The gap size is obtained by picking the peak positions in the symmetrized EDCs in (a-e). When multiple peaks are observed in (i) and (j), the position of the higher binding energy peak is also extracted. **k**, Three-dimensional plot of the Fermi surface-dependent and momentum-dependent CDW gaps in KV_3Sb_5 . **1**, High-resolution Fermi surface mapping of KV_3Sb_5 at 5K. The observed four Fermi surface sheets α , β , γ and δ are marked.



FIG. 5. Electron-phonon coupling in $\mathbf{KV}_3\mathbf{Sb}_5$. a-c, Detailed band structures along \bar{K} - $\bar{\Gamma}$ (a), \bar{M} - \bar{K} (b) and $\bar{\Gamma}$ - \bar{M} - $\bar{\Gamma}$ (c) directions, respectively, measured at 20 K. The analysis of these band structures is shown in Fig. S4 in Supplementary Materials. The locations of the momentum cuts, 1, 2 and 3 for (a), (b) and (c), respectively, are shown in (i). The Fermi momenta of the β , γ and δ bands are marked by arrows and labeled by $k_F 1 \sim k_F 6$. d-f, The corresponding EDCs for the band structures in (a)-(c), respectively. Peak-dip-hump structure can be observed near $k_F 1$, $k_F 2$, $k_F 4$, $k_F 5$ and $k_F 6$. The EDC peaks are marked by triangles while the humps are marked by bars. g, Expanded view of the δ band inside the dashed box in (a). The MDC fitted dispersion is shown by green line and the dashed black line represents an empirical bare band. h, Effective real part of the electron self-energy (Σ) extracted from (g). It shows a peak at ~36 meV. i, Schematic of the Fermi surface and the locations of the momentum cuts 1, 2 and 3 for the band structures in (a), (b) and (c), respectively.

Supplementary Files

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