



22 approaches with those from the numerical Duhamel integral method.

23 **Keywords:** fractional oscillators, pole, residue, transient response, irregular loadings

## 24 INTRODUCTION

25 A second-order linear system with a fractional derivative damping term, which can capture  
26 the frequency-dependence of damping properties in viscoelastic materials, is called a fractional  
27 oscillator (Di Paola et al. 2012). On computing the responses of fractional oscillators, existing  
28 analytical solutions are limited to cases with specific fractional order  $q$ , such as  $q = 1/2$  and  
29  $q = 1/3$ , subjected to simple loadings, including impulse and step loading. In contrast, this  
30 paper develops efficient frequency/Laplace domain methods to compute the response of fractional  
31 oscillators with arbitrary fractional order to complicated irregular loadings.

32 Roughly, four distinct strategies in the literature have been pursued to compute the response  
33 of a fractional oscillator. As a common way to obtain the transient response of a linear system  
34 to any loading is through the Duhamel integral, which employs the impulse response function  
35 (IRF) of the system (Suarez and Shokooh 1995), a popular strategy has been attempting to obtain  
36 the fractional oscillator's IRF first (Gaul et al. 1989; Suarez and Shokooh 1995; Agrawal 1999;  
37 Agrawal 2001; Achar et al. 2002; Ye et al. 2003; Achar et al. 2004; Huang et al. 2010; Naber 2010;  
38 Pinnola 2016). While considering an oscillator with fractional order  $q = 1/2$ , Gaul *et al.* (Gaul  
39 et al. 1989) computed the system's IRF by taking the inverse Fourier transform analytically from  
40 the system's frequency response function (FRF). Proceeding in the Laplace domain, Suarez and  
41 Shokooh (Suarez and Shokooh 1995) obtained the IRF of a fractional oscillator with  $q = 1/2$  or  
42  $q = 1/3$ , which involved solving a 4<sup>th</sup>-order polynomial for finding the poles of an oscillator with  
43  $q = 1/2$ , and a 6<sup>th</sup>-order with  $q = 1/3$ . Using the generalized Mittag-Leffler functions, Achar  
44 *et al.* (Achar et al. 2002; Achar et al. 2004) expressed the IRF as a summation of infinity terms.  
45 Another common strategy for computing the fractional oscillator's response has been transforming  
46 the equation of motion into an alternative form so that it allowed to be solved by ordinary calculus  
47 (Bagley and Torvik 1983; Bagley and Calico 1985; Suarez and Shokooh 1997; Koh and Kelly  
48 1990; Shokooh and Suárez 1999; Chang and Singh 2002; Yuan and Agrawal 2002; Cortés and

49 Elejabarrieta 2007; Sheng et al. 2011; Mendiguren et al. 2012; Di Paola et al. 2012; Pinnola 2016;  
50 Zarraga et al. 2019) . When  $q$  is limited to a simple rational number, several papers (Bagley  
51 and Torvik 1983; Suarez and Shokooh 1997; Pinnola 2016) transformed the fractional differential  
52 equation into a set of equations in the so-called state variable domain where a set of decoupled  
53 fractional differential equations with the same fractional order was derived. The solution procedure  
54 has been operated in the Laplace domain, and each modal response must be obtained through an  
55 inverse Laplace transform. As this approach was often confronted with the difficulty of the inverse  
56 Laplace transform, the explicit response solutions of fractional oscillators to complicated loadings  
57 were difficult to obtain. Because of the difficulties of calculating inversion of Laplace transforms,  
58 Sheng *et al.* (Sheng et al. 2011) applied the numerical inverse Laplace transform algorithm in  
59 fractional calculus. This state variable analysis method not only requires  $q$  be a rational number  
60 but also rapidly increases the number of state variables when the denominator of  $q$  becomes large.  
61 The third strategy has been entirely numerical, which approximates the fractional derivative term  
62 at discrete times using central difference method (Koh and Kelly 1990; Shokooh and Suárez 1999)  
63 or Grünwald-Letnikov definition (Cortés and Elejabarrieta 2007; Mendiguren et al. 2012; Zarraga  
64 et al. 2019). The fourth strategy proposed in a few articles (Yuan and Agrawal 2002; Di Paola et al.  
65 2012) involved the change of variable and integral approximation schemes to convert the fractional  
66 oscillator's equation of motion into a set of coupled linear equations with ordinary derivatives so  
67 that they could be computed by any time-domain numerical integration scheme.

68 This paper proposes novel frequency/Laplace domain methods based on pole-residue opera-  
69 tions for computing the transient responses of fractional oscillators with arbitrary  $q$  between 0 and  
70 1 to complicated loadings. The key of the proposed method is to obtain the poles and residues of  
71 the system transfer function (TF) for fractional oscillators, then those of the response. Knowing the  
72 poles and residues of the response allows it to be expressed immediately in the time domain (Hu  
73 et al. 2016; Hu and Gao 2018). The proposed method includes the following steps: (1) obtaining  
74 the poles and residues of the TF for the fractional oscillator from the analytical FRF; (2) computing  
75 the poles and residues of the response from those of the TF and the excitation by simple pole-

76 residue operations; and (3) expressing the response time history from the poles and residues of the  
77 response.

78 Two fractional oscillators with rational and irrational derivatives, respectively, subjected to  
79 sinusoidal and complicated earthquake loading are provided to illustrate the proposed method. In  
80 both examples, the response calculation will be carried out in both the frequency domain and the  
81 Laplace domain. The accuracy of the proposed method will be verified through a time domain  
82 approach that employs the numerical Duhamel integral.

## 83 **PRELIMINARIES**

### 84 **System functions**

85 The most essential background material to this study is the system functions, which are  
86 employed to characterize the relationship between the response (output) and the excitation (input)  
87 of a linear time-invariant system, including the IRF in the time domain, the FRF in the frequency  
88 domain, and the TF in the Laplace domain. Denoting the input and output signals associated with  
89 a linear system as  $u(t)$  and  $y(t)$ , respectively, they are related in the time domain as

$$90 \quad y(t) = \int_{-\infty}^{\infty} h(t - \tau)u(\tau)d\tau \quad (1)$$

91 where  $h(t)$  is the system's IRF. In the frequency domain, one computes the steady-state response

$$92 \quad Y(\omega) = \mathcal{H}(\omega)U(\omega) \quad (2)$$

93 where  $\mathcal{H}(\omega)$  is system's FRF, which is the Fourier transform of  $h(t)$

$$94 \quad \mathcal{H}(\omega) \equiv \mathcal{F}[h(t)] = \int_{-\infty}^{\infty} h(t)e^{-i\omega t}dt \quad (3)$$

95 where  $\mathcal{F}(\cdot)$  denotes the Fourier transform operator and  $i = \sqrt{-1}$  is the imaginary number.

96 Conversely,  $h(t)$  is the inverse Fourier transform of  $\mathcal{H}(\omega)$ , namely

$$97 \quad h(t) \equiv \mathcal{F}^{-1}[\mathcal{H}(\omega)] = \frac{1}{2\pi} \int_{-\infty}^{\infty} \mathcal{H}(\omega)e^{i\omega t}d\omega \quad (4)$$

When the system is causal, *i.e.*,  $h(t) = 0$  for  $t \leq 0$ , one writes the input-output relationship in the Laplace domain as

$$\tilde{y}(s) = \tilde{h}(s)\tilde{u}(s) \quad (5)$$

where  $\tilde{h}(s)$  is system's TF, which is the Laplace transform of  $h(t)$

$$\tilde{h}(s) \equiv \mathcal{L}[h(t)] = \int_0^{\infty} h(t)e^{-st} dt \quad (6)$$

where  $\mathcal{L}(\cdot)$  denotes the Laplace transform operator. Furthermore,  $\mathcal{H}(\omega)$  can be also obtained directly from  $\tilde{h}(s)$  by substituting  $s$  with  $i\omega$ , that is

$$\mathcal{H}(\omega) = \tilde{h}(i\omega) \quad (7)$$

### Transfer functions of fractional oscillators

For a fractional oscillator, the equation of motion is written as:

$$m\ddot{x}(t) + cD_{0+}^q x(t) + kx(t) = f(t) \quad (8)$$

where  $m$ ,  $c$  and  $k$  are the mass, damping and stiffness of the oscillator;  $x(t)$ ,  $\dot{x}(t)$  and  $\ddot{x}(t)$  are the displacement, velocity and acceleration of the structure response, respectively;  $f(t)$  is the external loading; and  $D_{0+}^q x(t)$  for  $0 < q < 1$  follows the definition of Caputo's fractional derivative (Caputo 1967; Matlob and Jamali 2019; Bagley and Torvik 1983)

$$D_{0+}^q x(t) = \frac{1}{\Gamma(1-q)} \int_0^t (t-\tau)^{-q} \dot{x}(\tau) d\tau \quad (9)$$

in which  $\Gamma(\cdot)$  is the gamma function. Since the Laplace transform of Eq. 9 is (Bagley and Torvik 1983)

$$\mathcal{L}[D_{0+}^q x(t)] = s^q \tilde{x}(s) \quad (10)$$

taking the Laplace transform of Eq. 8 yields  $\tilde{x}(s) = \tilde{h}(s)\tilde{f}(s)$  with the corresponding TF

$$\tilde{h}(s) = \frac{1}{ms^2 + cs^q + k} \quad (11)$$

Substituting  $s$  by  $i\omega$  into Eq. 11 yields

$$\mathcal{H}(\omega) = \tilde{h}(i\omega) = \frac{1}{-m\omega^2 + c(i\omega)^q + k} \quad (12)$$

## 121 RESPONSE CALCULATION IN THE FREQUENCY DOMAIN

122 The concept of the pole-residue method developed in Ref. (Hu et al. 2016) will be employed  
 123 to compute  $x(t)$ . When the response computation is carried out in the frequency domain, many  
 124 imaginary poles  $i\hat{\omega}_n$  are assigned, where  $\hat{\omega}_n = n\Delta\hat{\omega}$  and  $n = \text{integer}$ . Referring to Eq. 12, and  
 125 denoting  $\mathcal{H}_n = \mathcal{H}(\hat{\omega}_n)$ , one writes

$$126 \quad \mathcal{H}_n = \frac{1}{-m\hat{\omega}_n^2 + c(i\hat{\omega}_n)^q + k} \quad (13)$$

127 From Eq. 4, the system's IRF can be approximated as

$$128 \quad h(t) \approx \frac{1}{2\pi} \sum_{n=-N_n/2}^{N_n/2} \mathcal{H}_n e^{i\hat{\omega}_n t} \Delta\hat{\omega} \quad (14)$$

129 where  $N_n$  must be sufficiently large so that  $\mathcal{H}_{N_n/2} \rightarrow 0$ . Denote

$$130 \quad A_n = \mathcal{H}_n \Delta\hat{\omega} / (2\pi) \quad (15)$$

131 thus

$$132 \quad h(t) \approx \sum_{n=-N_n/2}^{N_n/2} A_n e^{i\hat{\omega}_n t} \quad (16)$$

133 Noting that  $A_n e^{i\hat{\omega}_n t}$  and  $\frac{A_n}{s - i\hat{\omega}_n}$  form a Laplace transform pair (Kreyszig 2010) and performing the  
 134 Laplace transform of Eq. 16 yields

$$135 \quad \tilde{h}(s) \approx \sum_{n=-N_n/2}^{N_n/2} \frac{A_n}{s - i\hat{\omega}_n} \quad (17)$$

136 Now,  $\tilde{h}(s)$  is in a pole-residue form with poles  $i\hat{\omega}_n$  and corresponding residues  $A_n$ . Obviously, the  
 137 inverse Laplace transform of Eq. 17 will go back to Eq. 16. An important fact drawn from Eqs. 16  
 138 and 17 is that once  $i\hat{\omega}_n$  and  $A_n$  are given, one can readily obtain  $h(t)$  and  $\tilde{h}(s)$ .

139 For a periodic excitation  $f(t)$  with period  $T$ , it can always be expressed as a complex Fourier  
 140 series

$$141 \quad f(t) = \sum_{m=-N_m/2}^{N_m/2} C_m \exp(i\omega_m t) \quad (18)$$

142 where  $\omega_m = m\Delta\omega$  and  $\Delta\omega = 2\pi/T$ , and the corresponding complex Fourier coefficient

$$143 \quad C_m = \frac{1}{T} \int_0^T f(t) e^{-i\omega_m t} dt \quad (19)$$

144 Because  $f(t)$  is a real-valued signal,  $C_{-m}$ , the coefficient at  $-\omega_m$ , is equal to the complex conjugate  
 145 of  $C_m$ . Theoretically, a Fourier series representation of  $f(t)$  contains an infinite number of terms;  
 146 however, in practice  $f(t)$  can generally be approximated with sufficient accuracy by finite terms.  
 147 For a digital signal sampled with a constant  $\Delta t$ , the fast Fourier transform (FFT) algorithm has  
 148 been often invoked to compute  $C_m$ . From Eq. (18), the corresponding  $\tilde{f}(s)$  is

$$149 \quad \tilde{f}(s) = \sum_{m=-N_m/2}^{N_m/2} \frac{C_m}{s - i\omega_m} \quad (20)$$

150 This is a pole-residue form for a periodic function with poles  $i\omega_m$  and residues  $C_m$ .

151 According to the operation in the Laplace domain  $\tilde{x}(s) = \tilde{h}(s)\tilde{f}(s)$ , using Eqs. 17 and 20, one  
 152 writes

$$153 \quad \tilde{x}(s) = \left( \sum_{n=-N_n/2}^{N_n/2} \frac{A_n}{s - i\hat{\omega}_n} \right) \left( \sum_{m=-N_m/2}^{N_m/2} \frac{C_m}{s - i\omega_m} \right) \quad (21)$$

154 First, assuming the chosen  $\hat{\omega}_n$  and  $\omega_m$  do not overlap, as the common denominator in Eq. 21 is  
 155  $(s - i\hat{\omega}_n)(s - i\omega_m)$ , the pole-residue form (partial-fraction form) of  $\tilde{x}(s)$  is (Craig and Kurdila  
 156 2006)

$$157 \quad \tilde{x}(s) = \sum_{n=-N_n/2}^{N_n/2} \frac{Q_n}{s - i\hat{\omega}_n} + \sum_{m=-N_m/2}^{N_m/2} \frac{P_m}{s - i\omega_m} \quad (22)$$

158 where

$$159 \quad Q_n = \sum_{m=-N_m/2}^{N_m/2} \frac{A_n C_m}{i(\hat{\omega}_n - \omega_m)} \quad (23)$$

160 and

$$161 \quad P_m = \sum_{n=-N_n/2}^{N_n/2} \frac{A_n C_m}{i(\omega_m - \hat{\omega}_n)} \quad (24)$$

162 Then, carrying out the inverse Laplace transform yields

$$163 \quad x(t) = \sum_{n=-N_n/2}^{N_n/2} Q_n \exp(i\hat{\omega}_n t) + \sum_{m=-N_m/2}^{N_m/2} P_m \exp(i\omega_m t) \quad (25)$$

164 In Eq. 25, while the first summation term is the transient response related to the system poles  $i\hat{\omega}_n$ ,  
 165 the second summation term is the steady-state response related to the excitation frequencies  $\omega_m$ ,

166 Second, if same poles are shared by  $\tilde{h}(s)$  and  $\tilde{f}(s)$ , then second-order poles exist in Eq. 21.

167 When  $\Delta\omega = \Delta\hat{\omega}$ , and  $N_m = N_n$ , Eq. 21 becomes

$$168 \quad \tilde{x}(s) = \sum_{n=-N_n/2}^{N_n/2} \frac{A_n C_n}{(s - i\omega_n)^2} + \sum_{n=-N_n/2}^{N_n/2} \sum_{m=-N_n/2, m \neq n}^{N_n/2} \frac{A_n C_m}{(s - i\omega_n)(s - i\omega_m)} \quad (26)$$

169 Thus, the pole-residue form of Eq. 26 is

$$170 \quad \tilde{x}(s) = \sum_{n=-N_n/2}^{N_n/2} \frac{A_n C_n}{(s - i\omega_n)^2} + \sum_{n=-N_n/2}^{N_n/2} \frac{P_n}{s - i\omega_n} \quad (27)$$

171 where

$$172 \quad P_n = \sum_{m=-N_n/2, m \neq n}^{N_n/2} \frac{A_n C_m + A_m C_n}{i(\omega_n - \omega_m)} \quad (28)$$

173 Taking the inverse Laplace transform of Eq. 27 gives

$$174 \quad x(t) = \sum_{n=-N_n/2}^{N_n/2} A_n C_n t \exp(i\omega_n t) + \sum_{n=-N_n/2}^{N_n/2} P_n \exp(i\omega_n t) \quad (29)$$

175 Note that since the system and excitation share the same poles, one cannot separate the total  
 176 response into the natural response and the forced response.

## 177 **RESPONSE CALCULATION IN THE LAPLACE DOMAIN**

178 As the pole-residue form of  $\tilde{h}(s)$  in Eq. 17 has been based on designated pure imaginary  
 179 poles, the system becomes a “periodic” oscillator with its IRF as a periodic function. This is  
 180 certainly against the true physics, but the response calculation might be still valid for a period. To  
 181 get a physically meaningful  $\tilde{h}(s)$ , one can search for the “true” poles  $\mu_n$  of the system and the  
 182 corresponding residues  $\beta_n$ . Consequently, the pole-residue form of  $\tilde{h}(s)$  is expressed as

$$183 \quad \tilde{h}(s) = \sum_{n=1}^{N_n} \frac{\beta_n}{s - \mu_n} \quad (30)$$

184 For extracting the true poles and residues of the system, a procedure shown schematically in Fig. 1  
 185 is proposed. First, taking the inverse Fourier transform of  $\mathcal{H}(\omega)$  in Eq. 12 yields a real valued IRF,

186  $h(t)$ , for  $t \geq 0$ . While deriving  $h(t)$  analytically is challenging, if not impossible, a straightforward  
 187 way to compute a discrete IRF,  $h(t_k)$ , can be achieved by taking the inverse discrete Fourier  
 188 transform (IDFT)—which can be efficiently carried out by using inverse fast Fourier transform  
 189 (IFFT)—of a discretized FRF,  $\mathcal{H}(\omega_n)$ . After  $h(t_k)$  has been computed, one applies the Prony-SS  
 190 method (Hu et al. 2013) to approximate it as

$$191 \quad h(t) \approx \sum_{n=1}^{N_n} \beta_n \exp(\mu_n t) \quad (31)$$

192 where  $N_n$ , the number of component, is usually a small integer, and  $\beta_n$  and  $\mu_n$  are constant  
 193 coefficients. A summary of the Prony-SS method is given at Appendix I; its first step is to  
 194 determine  $N_n$ . This is fulfilled by imposing a truncated singular value decomposition of a Hankel  
 195 matrix built from the dealing signal  $h(t_k)$ , which has the similar concept to that of a principal  
 196 component method. For a smooth signal, just a small number of  $N_n$  is needed to achieve a good  
 197 approximation. The method's second step is to compute  $\mu_n$  obtained by the eigenvalue analysis of  
 198 a realized matrix, and its last step is to compute  $\beta_n$  based on solving a set of linear simultaneous  
 199 equations. Because  $h(t)$  is a real-valued function,  $\mu_n$  must be either real numbers or in complex  
 200 conjugate pairs. In turn, the coefficients  $\beta_n$  must be also real or appear in complex conjugate pairs.

201 When  $x(t)$  is computed based on Eq. 30, it is referred to as the Laplace domain approach in  
 202 this paper, no matter how the input  $f(t)$  has been decomposed. For the decomposition of  $f(t)$ ,  
 203 either a Prony series or a Fourier series can be used.

### 204 **Input decomposition: Prony series**

205 For an irregular excitation signal  $f(t)$  of a finite duration of  $T$ , it can always be approximated  
 206 by using the Prony-SS method (Hu et al. 2013):

$$207 \quad f(t) = \sum_{\ell=1}^{N_\ell} \alpha_\ell \exp(\lambda_\ell t) \quad 0 \leq t < T \quad (32)$$

208 where  $N_\ell$  is the number of components;  $\alpha_\ell$  and  $\lambda_\ell$  are constant coefficients. Taking the Laplace  
 209 transform of Eq. (32) yields

$$210 \quad \tilde{f}(s) = \sum_{\ell=1}^{N_\ell} \frac{\alpha_\ell}{s - \lambda_\ell} \quad (33)$$

211 From  $\tilde{x}(s) = \tilde{h}(s)\tilde{f}(s)$ , using Eqs. 30 and 33, one writes

$$212 \quad \tilde{x}(s) = \left( \sum_{n=1}^{N_n} \frac{\beta_n}{s - \mu_n} \right) \left( \sum_{\ell=1}^{N_\ell} \frac{\alpha_\ell}{s - \lambda_\ell} \right) \quad (34)$$

213 Expressing Eq. 34 in a pole-residue form yields

$$214 \quad \tilde{x}(s) = \sum_{n=1}^{N_n} \frac{\gamma_n}{s - \mu_n} + \sum_{\ell=1}^{N_\ell} \frac{\kappa_\ell}{s - \lambda_\ell} \quad (35)$$

215 where

$$216 \quad \gamma_n = \lim_{s \rightarrow \mu_n} (s - \mu_n)\tilde{x}(s) = \beta_n \tilde{f}(\mu_n) = \beta_n \left( \sum_{\ell=1}^{N_\ell} \frac{\alpha_\ell}{\mu_n - \lambda_\ell} \right) \quad (36)$$

217 and

$$218 \quad \kappa_\ell = \lim_{s \rightarrow \lambda_\ell} (s - \lambda_\ell)\tilde{x}(s) = \alpha_\ell \tilde{h}(\lambda_\ell) = \frac{\alpha_\ell}{m\lambda_\ell^2 + c(\lambda_\ell)^q + k} \quad (37)$$

219 Performing the inverse Laplace transform to Eq. 35 then yields

$$220 \quad x(t) = \sum_{n=1}^{N_n} \gamma_n \exp(\mu_n t) + \sum_{\ell=1}^{N_\ell} \kappa_\ell \exp(\lambda_\ell t) \quad (38)$$

221 In Eq. 38, the first sum term is the natural response governed by the system poles,  $\mu_n$ ; and the  
222 second term is the forced response related to the excitation poles,  $\lambda_\ell$ .

### 223 **Input decomposition: Fourier series**

224 Similar to the formulation of Eq. 34, using Fourier series for  $\tilde{f}(s)$ , one writes

$$225 \quad \tilde{x}(s) = \left( \sum_{n=1}^{N_n} \frac{\beta_n}{s - \mu_n} \right) \left( \sum_{m=-N_m/2}^{N_m/2} \frac{C_m}{s - i\omega_m} \right) \quad (39)$$

226 In its pole-residue form, one has:

$$227 \quad \tilde{x}(s) = \sum_{n=1}^{N_n} \frac{\delta_n}{s - \mu_n} + \sum_{m=-N_m/2}^{N_m/2} \frac{U_m}{s - i\omega_m} \quad (40)$$

228 where

$$229 \quad \delta_n = \beta_n \tilde{f}(\mu_n) = \beta_n \left( \sum_{m=-N_m/2}^{N_m/2} \frac{C_m}{\mu_n - i\omega_m} \right) \quad (41)$$

230 and

$$231 \quad U_m = C_m \tilde{h}(i\omega_m) = \frac{C_m}{-m\omega_m^2 + c(i\omega_m)^q + k} \quad (42)$$

232 So, taking the inverse Laplace transform of Eq. 40 gives

$$233 \quad x(t) = \sum_{n=1}^{N_n} \delta_n \exp(\mu_n t) + \sum_{m=-N_m/2}^{N_m/2} \mathcal{H}(\omega_m) C_m \exp(i\omega_m t) \quad (43)$$

234 Note that although the natural responses of Eq. 38 and Eq. 43 share the same poles, they have  
235 different residues; consequently, Eqs. 38 and 43 have distinct natural responses. Clearly, the forced  
236 response in Eq. 43 is the steady-state response to the periodic loading. Theoretically, the total  
237 responses within  $T$  for Eqs. 38 and 43 should be identical.

## 238 NUMERICAL STUDIES

239 A simple fractional oscillator with  $q = 1/2$  investigated in Ref. (Koh and Kelly 1990) is chosen  
240 in the numerical studies so that independent numerical verification for the computed response is  
241 possible; both simple sinusoidal and complicated earthquake loadings are considered. In addition,  
242 a fractional oscillator containing two irrational derivative terms excited by realistic earthquake  
243 motion will also be investigated to demonstrate a broader application of the proposed method.

### 244 **Example 1: Fractional oscillator with $q = 1/2$**

245 The fractional oscillator considered first has  $q = 1/2$ , with other values being mass  $m = 1$ ,  
246 stiffness  $k = 1$ , and damping coefficient  $c = 0.1$ , noting that throughout the numerical studies  
247 the unit system is the MKS (meter-kilogram-second) system. According to Eq. 12 and the chosen  
248 numerical quantities, the oscillator's FRF is:

$$249 \quad \mathcal{H}(\omega) = \frac{1}{-\omega^2 + 0.1 \times (i\omega)^{1/2} + 1} \quad (44)$$

#### 250 *Sinusoidal loading*

251 Let the simple sinusoidal loading be  $f(t) = \sin t$ , which can be expressed as  $f(t) = \alpha e^{\lambda t} + \bar{\alpha} e^{\bar{\lambda} t}$   
252 where  $\alpha = -0.5i$  and  $\lambda = i$ . In other words, the chosen excitation has a pair of complex conjugate  
253 poles  $\lambda, \bar{\lambda} = \pm i$  and the corresponding residues  $\alpha, \bar{\alpha} = \mp 0.5i$ .

254 **Response calculation in the frequency domain** While applying the proposed method in the  
255 frequency domain, the formulation of  $\tilde{h}(s)$  is given in Eq. 17, whose poles are assigned at  $i\hat{\omega}_n$  and  
256 residues  $A_n = \mathcal{H}_n \Delta\hat{\omega} / (2\pi)$  are computed directly from a discretized FRF (see Eq. 13). In the  
257 numerical implementation, Eq. 17 has been utilized with  $\Delta\hat{\omega} = \pi/256$  and  $N_n = 2048$ . For the  
258 simple excitation  $f(t) = \sin t$ , while using Eq. 21, it has  $N_m = 2$ ,  $\omega_1 = 1$ , and  $C_1 = -0.5i$ .  
259 Plotted in Fig. 2 is the  $x(t)$  computed based on Eq. 25; it agrees well with that obtained from the  
260 time domain method. As indicated in Eq. 25, the response  $x(t)$  is composed of two terms, the  
261 natural response and the forced response. Fig. 3 plots these two terms separately. Note that the  
262 frequency domain method's natural response will also become periodic after  $2\pi/\Delta\hat{\omega} = 512$ .

263 **Response calculation in the Laplace domain** To apply the proposed method in the Laplace  
264 domain, one needs to follow the steps shown in Fig. 1 to obtain the pole-residue form of  $\tilde{h}(s)$ . To  
265 begin, a discretized FRF is generated from Eq. 44 with the frequency interval  $\Delta\omega = \pi/64$ , number  
266 of frequency components  $N_n = 4096$ , and cut-off frequency  $\omega_N = 64\pi$ . Then, performing the  
267 IFFT of this FRF to obtain a discrete IRF,  $h(t_k)$ , plotted in Fig. 4, which is in a good agreement  
268 with that reported in Ref. (Koh and Kelly 1990). Processing  $h(t_k)$  by the Prony-SS method results  
269 in an approximated IRF, denoted  $h_a(t)$ , to be

$$270 \quad h_a(t) = \beta_1 e^{\mu_1 t} + \bar{\beta}_1 e^{\bar{\mu}_1 t} + \beta_2 e^{\mu_2 t} \quad (45)$$

271 where  $\mu_1 = -0.0353 - 1.0353i$ ,  $\beta_1 = -0.0055 + 0.4957i$ ,  $\mu_2 = -0.2992$  and  $\beta_2 = 0.0119$ . Eq. 45  
272 is plotted in Fig. 5, which indicates that the IRF is dominated by an oscillatory term (complex  
273 conjugate poles), together with a negligibly small non-oscillatory term (real pole). This feature  
274 concurs with the derivation in Refs. (Suarez and Shokooh 1995; Suarez and Shokooh 1997). In  
275 addition, the obtained  $\mu_1$  value is nearly identical to that reported in Ref. (Suarez and Shokooh  
276 1995). To evaluate the accuracy of Eq. 45, the relative error  $\epsilon_h(t_k)$  between  $h(t_k)$  and  $h_a(t_k)$ ,  
277 defined as

$$278 \quad \epsilon_h(t_k) = \frac{h_a(t_k) - h(t_k)}{\max[h(t_k)]} \quad (46)$$

279 is computed and plotted in Fig. 6, which indicates the maximum of  $\epsilon_h$  occurring at  $t = 0$  is only  
 280 about 0.8%. Furthermore, from Eq. 45, the corresponding FRF is

$$281 \quad \mathcal{H}_a(\omega) = \frac{\beta_1}{i\omega - \mu_1} + \frac{\bar{\beta}_1}{i\omega - \bar{\mu}_1} + \frac{\beta_2}{i\omega - \mu_2} \quad (47)$$

282 Shown in Fig. 7 is the comparison between Eqs. 44 and 47, including the magnitude in Fig. 7(a)  
 283 and phase angle in Fig. 7(b). Indeed, they are in excellent agreement.

284 In this example, when the response functions  $\tilde{x}(s)$  and  $x(t)$  are expressed as Eq. 35 and Eq. 38,  
 285 respectively, there are 5 response poles, including two from the excitation poles and three system  
 286 poles; the corresponding residues to the excitation and system poles are computed from Eqs. 36  
 287 and 37, respectively. The numerical values for all poles and residues are listed in Table 1. From  
 288 them, the computed response  $x(t)$  is shown in Fig. 8, which agrees well with the  $x(t)$  that has been  
 289 obtained by performing the numerical Duhamel integral based on the original  $h(t)$ . The natural  
 290 response and forced response obtained from Eq. 38 are plotted in Fig. 9. As expected theoretically,  
 291 they are completely different from those in Fig. 3. While the natural response diminishes with time  
 292 due to the presence of the damping, the forced response remains sinusoidal with the frequency  
 293 identical to the excitation frequency.

294 The proposed method is much more efficient in computational time than the Duhamel integral  
 295 method, as shown in Fig. 10. In particular, the efficiency of the proposed method increases with  
 296 the number of the time steps. As the system poles and residues are computed prior to the dynamic  
 297 response calculation, the computational time for them are excluded in Fig. 10.

### 298 *Earthquake loading*

299 This example considers the oscillator characterized by Eq. 44 to earthquake-induced excitation  
 300  $f(t) = -m\ddot{x}(t)$ , where the chosen  $\ddot{x}(t)$  is the measured E1 Centro earthquake acceleration  
 301 signal in the EW direction, sampled with  $\Delta t = 0.02$  for  $N_s = 2048$  steps (see Fig. 11). In  
 302 view of the complexity of this  $f(t)$ , the FFT algorithm is utilized to decompose it into a pole-  
 303 residue form. The outcome of the FFT includes 1023 pairs of complex Fourier coefficients  $C_m$  at  
 304  $\omega_m = (2\pi m)/(N_s \Delta t)$ ,  $m = \pm 1, \dots, \pm 1023$ , along with two real Fourier coefficients at zero and

305 the Nyquist frequency, respectively. For the pole-residue form of  $\tilde{f}(s)$ ,  $i\omega_m$  are the preselected  
 306 excitation poles and  $C_m$  are the corresponding residues.

307 **Response calculation in the frequency domain** While conducting the proposed method in the  
 308 frequency domain,  $\hat{\omega}_n$  in Eq. 17, or  $\Delta\hat{\omega}$ , can be selected freely. Here, choosing  $\Delta\hat{\omega} = \Delta\omega$  is for  
 309 demonstrating the accuracy of the proposed method when it involves second-order poles. Using  
 310 FFT automatically imposes the frequency resolution  $\Delta\omega = 2\pi/T$  where  $T$  is the signal duration.  
 311 For increasing the frequency resolution, padding sufficient zeros at the end of the original signal  
 312 has been a common technique. After padding zeros to triple the length of the excitation, the  
 313 poles for both the excitation and system have been designated at  $i\hat{\omega}_n = i(2\pi n)/(3N_s\Delta t)$ , for  
 314  $n = -3072, \dots, 3071$ . The required system residues  $A_n = \mathcal{H}_n\Delta\hat{\omega}/(2\pi)$  are calculated accordingly  
 315 from the discretized FRF (see Eq. 13). From Eq. 29, the response  $x(t)$  is computed, as shown in  
 316 Fig. 12, which is in excellent agreement with that obtained by the Duhamel integral approach. For  
 317 completeness, the two components of Eq. 29 are plotted in Fig. 13. Note that the computed  $x(t)$  is  
 318 only meaningful for  $t < T = 122.88$ , which is 3 times the original earthquake signal length, due  
 319 to the usages of “periodic” oscillator and loading. Actually, when  $t$  becomes large, the computed  
 320  $x(t)$  from Eq. 29 will diverge because the first term of Eq. 29 is linearly proportional to  $t$ .

321 **Response calculation in the Laplace domain** As the pole-residue forms of the excitation and  
 322 system have been expressed as a Fourier series and Eq. 45, respectively, computing the response  
 323  $x(t)$  in the Laplace domain is carried out by Eq. 43. Fig. 14 shows the obtained  $x(t)$ , which  
 324 is in excellent agreement with that computed by the Duhamel integral in the time domain. For  
 325 completeness, the natural response and the steady-state response are also plotted in Fig. 15.

326 **Example 2: Fractional oscillator with two irrational fractional derivative terms:**  $q_1 = \pi/30$   
 327 **and**  $q_2 = 2\pi/7$

328 Unlike most traditional methods, the proposed frequency/Laplace methods is not limited to  
 329 oscillators with rational fractional orders. To demonstrate its broader application, the oscillator  
 330 considered in this example contains two fractional derivative terms:  $q_1 = \pi/30$  and  $q_2 = 2\pi/7$ .

331 Let other parameters be  $m = 5/2$ ,  $k = 8/5$ ,  $c_1 = 1/2$ , and  $c_2 = 6/5$ ; as a result, the oscillator's  
 332 FRF is:

$$333 \quad \mathcal{H}(\omega) = \frac{1}{-5/2 \times \omega^2 + 1/2 \times (i\omega)^{\pi/30} + 6/5 \times (i\omega)^{2\pi/7} + 8/5} \quad (48)$$

334 In the frequency domain approach, the poles assigned at  $i\hat{\omega}_n$  and residues  $A_n = \mathcal{H}_n \Delta\hat{\omega}/(2\pi)$   
 335 are computed based on  $\Delta\hat{\omega} = \pi/256$  and  $N_n = 2048$ . The same numerical verifications  
 336 conducted in previous examples are repeated here. Fig. 16, which plots the response caused by  
 337 the same earthquake loading utilized in the previous example, shows a good agreement between  
 338 the proposed method and the Duhamel method. For completeness, the two components of Eq. 29  
 339 are also plotted in Fig. 17.

340 To apply the Laplace domain approach, the pole-residue form of  $\tilde{h}(s)$  with true poles must be  
 341 determined first. As this method approximates a pole-residue form for  $h_a(t)$  by the data-driven  
 342 Prony-SS method, its implementation will not be affected by whether the fractional derivatives  
 343 are rational or irrational. Conducting the same procedure yields the approximated IRF with  $\mu_1 =$   
 344  $-0.2899 \mp 1.0081i$ ,  $\beta_1 = -0.0188 \pm 0.2230i$ ,  $\mu_2 = -0.1352$  and  $\beta_2 = 0.0246$ ; the two components  
 345 of  $h_a(t)$  are plotted in Fig. 18. Furthermore, Fig. 19 shows a good agreement between the original  
 346 IRF and the reconstructed  $h_a(t)$ . Lastly, the response due to the earthquake loading computed by  
 347 the Laplace domain approach is shown in Fig. 20, which has been verified by the Duhamel integral  
 348 approach.

## 349 CONCLUDING REMARKS

350 A pole-residue method, operated in either the frequency or the Laplace domain, to efficiently  
 351 compute the response of a fractional oscillator (FO) to complicated irregular loadings was  
 352 developed and tested. Unlike many existing approaches that had strict limitations on the fractional  
 353 order  $q$ , the proposed method was applicable to any  $q$  between 0 and 1. Despite the fact that the  
 354 exact transfer function (TF) and frequency response function (FRF) of an FO had been derived  
 355 analytically, using them directly to compute the FO's transient response was not possible. This  
 356 paper developed two distinct approaches to convert the exact TF into its pole-residue form.

357 The first approach was a frequency domain method that designated “artificial” poles along the  
 358 imaginary axis. The second approach was a Laplace domain method that extracted the “ture” poles  
 359 through two steps: (1) a discrete IRF was produced by taking the inverse Fourier transform of the  
 360 corresponding FRF that was readily obtained from the exact TF; (2) a complex exponential signal  
 361 decomposition method, *i.e.*, the Prony-SS method, was invoked to extract the poles and residues.  
 362 Through two numerical examples with oscillators involving rational and irrational derivatives,  
 363 respectively, the correctness of the proposed method operated in both the frequency and Laplace  
 364 domains was verified by a time-consuming numerical time domain method.

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### 369 APPENDIX I: SUMMARY OF THE PRONY-SS METHOD

370 Given the IRF signal  $h(t)$  that are sampled with interval  $\Delta t$ ,  $h(t_k) = h(k\Delta t)$ ,  $k =$   
 371  $0, 1, \dots, N - 1$ , using the Prony-SS method is to approximate  $h(t)$  as  $\sum_{n=1}^{N_n} \beta_n \exp(\mu_n t)$ .  
 372 Summarized here is the three sequential steps of the Prony-SS method to determine  $N_n$ ,  $\mu_n$  and  
 373  $\beta_n$ , respectively.

374 **Step 1 for determining  $N_n$ :** Construct the Hankel matrix  $\mathbf{H}(0) \in \mathbb{R}^{\xi \times \eta}$  from the sampled  
 375 signal  $h(t_k)$ :

$$376 \quad \mathbf{H}(0) = \begin{bmatrix} h(t_0) & h(t_1) & \cdots & h(t_{\eta-1}) \\ h(t_1) & h(t_2) & \cdots & h(t_{\eta}) \\ \vdots & \vdots & \ddots & \vdots \\ h(t_{\xi-1}) & h(t_{\xi}) & \cdots & h(t_{\xi+\eta-2}) \end{bmatrix} \quad (49)$$

377 where  $\xi + \eta = N$ , and a better choice is both  $\xi$  and  $\eta$  are close to  $N/2$ . Applying the singular value  
 378 decomposition of  $\mathbf{H}(0)$  gives

$$379 \quad \mathbf{H}(0) = [\mathbf{U}_1 \quad \mathbf{U}_2] \begin{bmatrix} \mathbf{S}_1 & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{bmatrix} \begin{bmatrix} \mathbf{V}_1^T \\ \mathbf{V}_2^T \end{bmatrix} = \mathbf{U}_1 \mathbf{S}_1 \mathbf{V}_1^T \quad (50)$$

380 Where  $\mathbf{U}_1 \in \mathbb{R}^{\xi \times N_n}$ ,  $\mathbf{S}_1 \in \mathbb{R}^{N_n \times N_n}$  and  $\mathbf{V}_1 \in \mathbb{R}^{\eta \times N_n}$ ; theoretically,  $N_n$  is the rank of  $\mathbf{H}(0)$ , i.e., the  
381 number of non-zero singular values in Eq. 50. Mathematically, the singular values go to zero when  
382 the rank of the matrix is exceeded; however, for data involving random errors or inconsistencies,  
383 some singular values will be very small, but not exactly zero. In this situation,  $N_n$  could be  
384 determined based on the magnitudes of the singular values having been ordered sequentially from  
385 the largest to the smallest; a conventional way to determine  $N_n$  is based on a significant drop of  
386 the normalized singular values.

387 **Step 2 for determining  $\mu_n$ :** Construct the Hankel matrix  $\mathbf{H}(1) \in \mathbb{R}^{\xi \times \eta}$  from the sampled  
388 signal  $h(t_k)$ :

$$389 \quad \mathbf{H}(1) = \begin{bmatrix} h(t_1) & h(t_2) & \cdots & h(t_\eta) \\ h(t_2) & h(t_3) & \cdots & h(t_{1+\eta}) \\ \vdots & \vdots & \ddots & \vdots \\ h(t_\xi) & h(t_{1+\xi}) & \cdots & h(t_{\xi+\eta-1}) \end{bmatrix} \quad (51)$$

390 Using  $\mathbf{U}_1$ ,  $\mathbf{S}_1$ ,  $\mathbf{V}_1$  and  $\mathbf{H}(1)$ , one computes

$$391 \quad \mathbf{A} = \mathbf{S}_1^{-1/2} \mathbf{U}_1^T \mathbf{H}(1) \mathbf{V}_1 \mathbf{S}_1^{-1/2} \quad (52)$$

392 After computing the eigenvalues  $z_n$ ,  $n = 1, \dots, N_n$ , of  $\mathbf{A}$ , one can get  $\mu_n = \ln(z_n)/\Delta t$ .

393 **Step 3 for determining  $\beta_n$ :** Compute the complex coefficients  $\beta_n$  by solving a set of linear  
394 equations using a least-square procedure, based on the obtained  $z_n$  and the sampled  $h(t_k)$ :

$$395 \quad \begin{bmatrix} z_1^0 & z_2^0 & \cdots & z_{N_n}^0 \\ z_1^1 & z_2^1 & \cdots & z_{N_n}^1 \\ \vdots & \vdots & \ddots & \vdots \\ z_1^{N-1} & z_2^{N-1} & \cdots & z_{N_n}^{N-1} \end{bmatrix} \begin{Bmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_{N_n} \end{Bmatrix} = \begin{Bmatrix} h(t_0) \\ h(t_1) \\ \vdots \\ h(t_{N-1}) \end{Bmatrix} \quad (53)$$

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402       **Conflicts of interest**

403       The authors declare that they have no known competing financial interests or personal  
404       relationships that could have appeared to influence the work reported in this paper.

405       **Availability of data and material**

406       All data and material that support the findings of this study are available from the corresponding  
407       author by email (jameshu@uri.edu).

408       **Code availability**

409       All code that support the findings of this study are available from the corresponding author by  
410       email (jameshu@uri.edu).

411       **Authors' contributions**

- 412       • Qianying Cao: Conceptualization, Methodology, Formal analysis, Software, Validation,  
413       Writing-Original draft, Funding acquisition
- 414       • Sau-Lon James Hu: Conceptualization, Methodology, Supervision, Writing-Review &  
415       Editing, Project administration
- 416       • Huajun Li: Resources, Review & editing, Funding acquisition

417       **Ethics approval**

418       Not applicable.

419       **Consent to participate**

420       Not applicable.

421       **Consent for publication**

422       Not applicable.

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485 the dynamical behaviour of systems with fractional damping for mechanical engineering  
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**Table 1. Computed poles and residues of the loading, transfer function and displacement response**

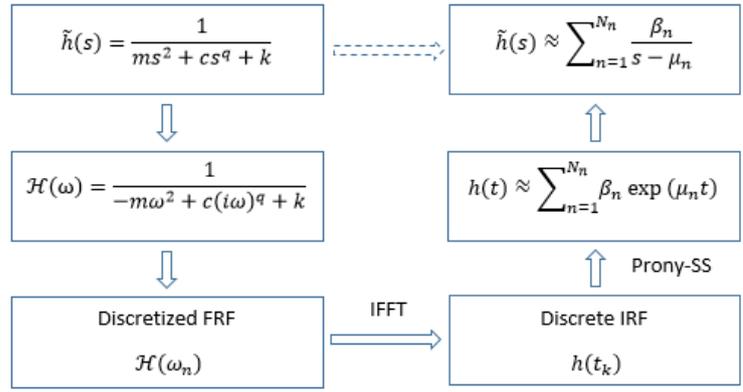
	Poles	Residues
Loading	$\lambda, \bar{\lambda} = \pm i$	$\alpha, \bar{\alpha} = \mp 0.5i$
Transfer function	$\mu_1, \bar{\mu}_1 = -0.0353 \mp 1.0353i$ $\mu_2 = -0.2992$	$\beta_1, \bar{\beta}_1 = -0.0055 \pm 0.4957i$ $\beta_2 = 0.0119$
Response	$\lambda, \bar{\lambda} = \pm i$ $\mu_1, \bar{\mu}_1 = -0.0353 \mp 1.0353i$ $\mu_2 = -0.2992$	$\kappa, \bar{\kappa} = -3.5355 \mp 3.5355i$ $\gamma_1, \bar{\gamma}_1 = 3.5423 \mp 3.3457i$ $\gamma_2 = 0.0110$

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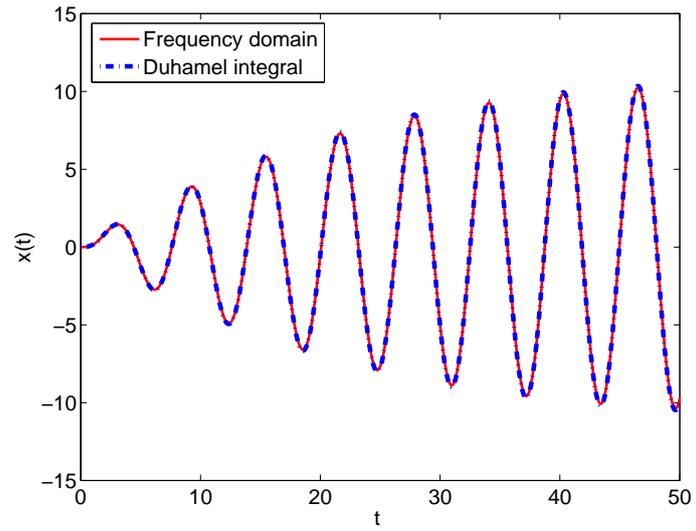
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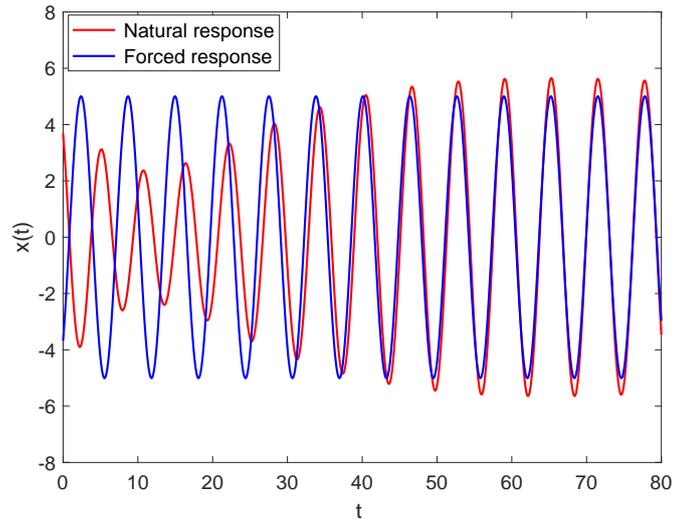
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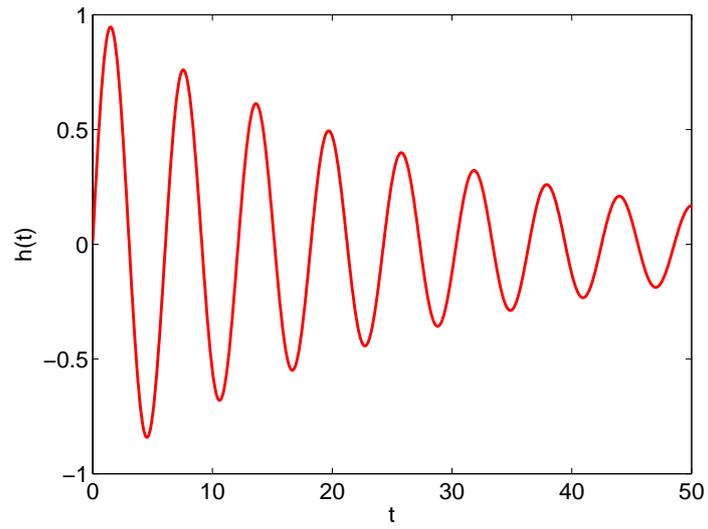
**Fig. 1. Computing the corresponding pole-residue form for  $\tilde{h}(s) = 1/(ms^2 + cs^q + k)$**



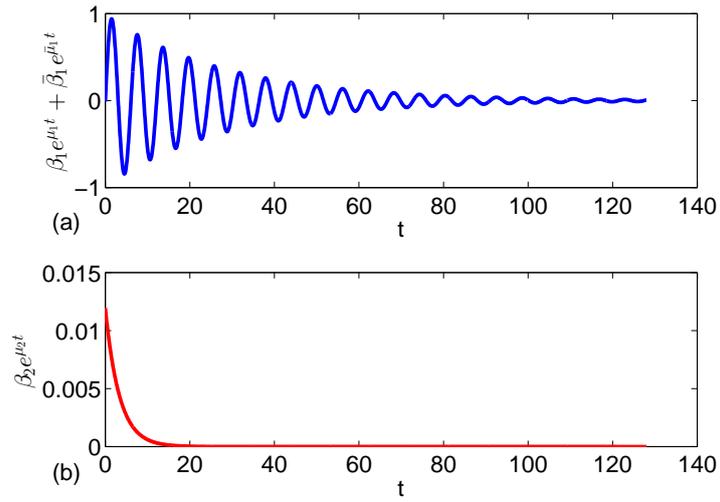
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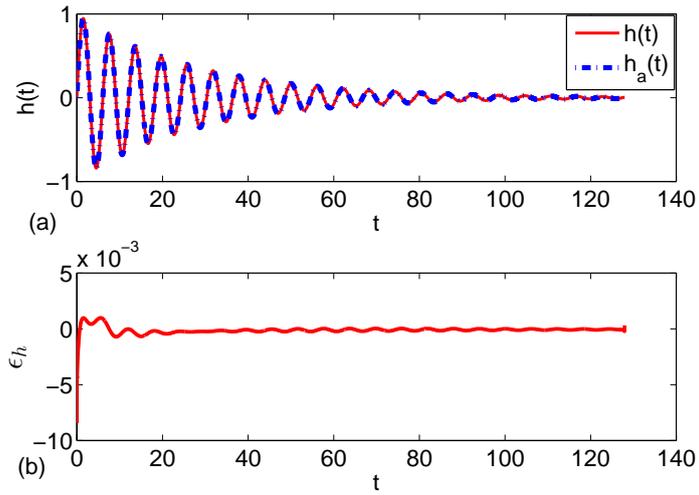
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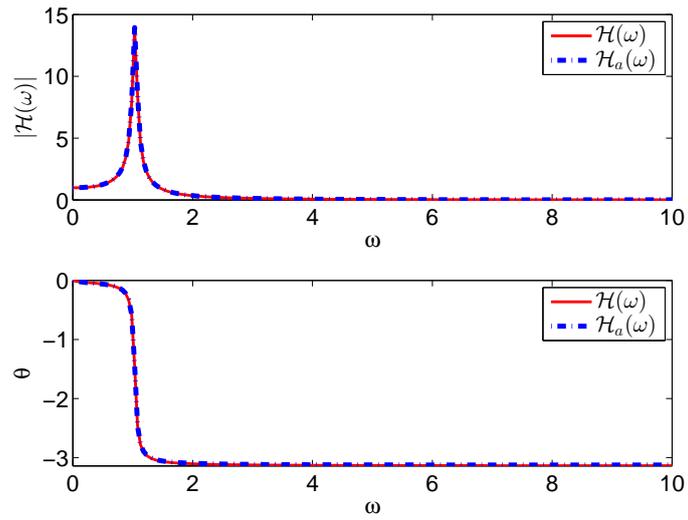
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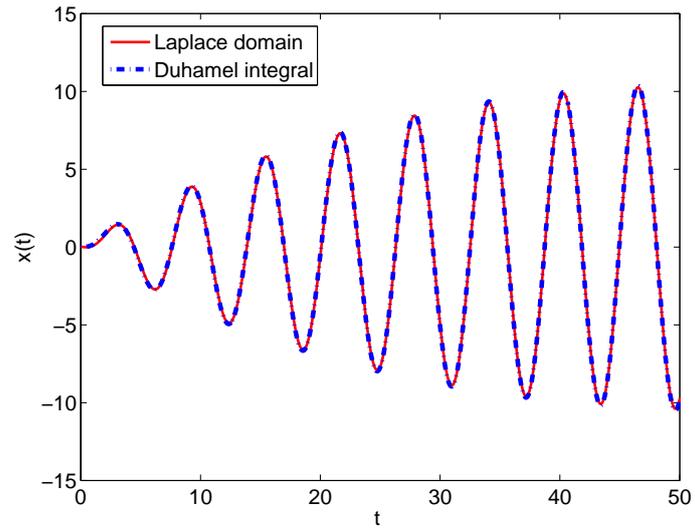
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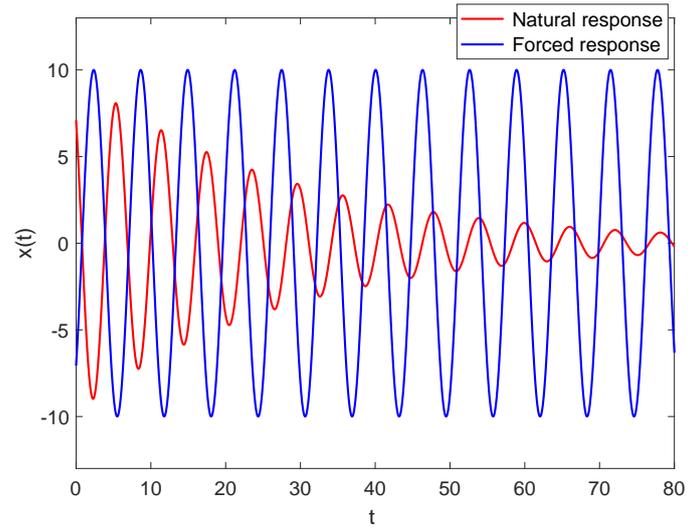
**Fig. 6. The SDOF fractional oscillator with  $q = 1/2$ : (a) Comparison between  $h(t)$  and  $h_a(t)$ ; (b) Relative error between  $h(t)$  and  $h_a(t)$**



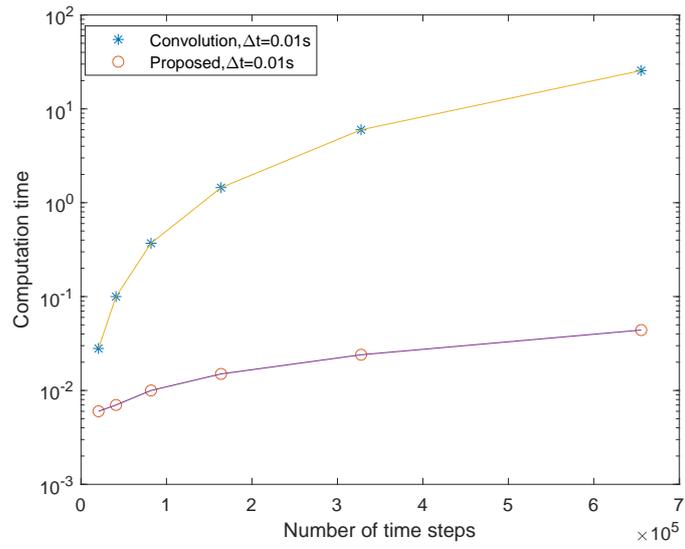
**Fig. 7. Comparison of  $\mathcal{H}(\omega)$  and  $\mathcal{H}_a(\omega)$  for oscillator with  $q = 1/2$ : (a) the magnitude  $|\mathcal{H}_a(\omega)|$ ; (b) the phase  $\theta$**



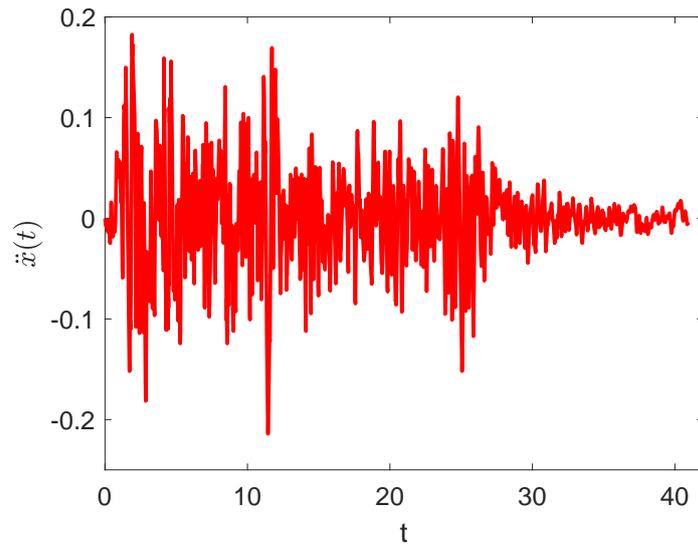
**Fig. 8. Response of the SDOF fractional oscillator with  $q = 1/2$  to sinusoidal loading based on the Laplace domain and the Duhamel integral methods**



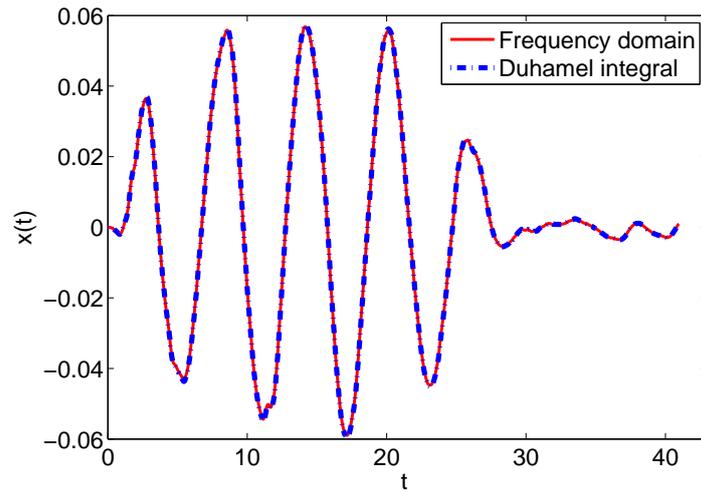
**Fig. 9. Natural and forced responses of the SDOF fractional oscillator with  $q = 1/2$  to sinusoidal loading by the Laplace domain method**



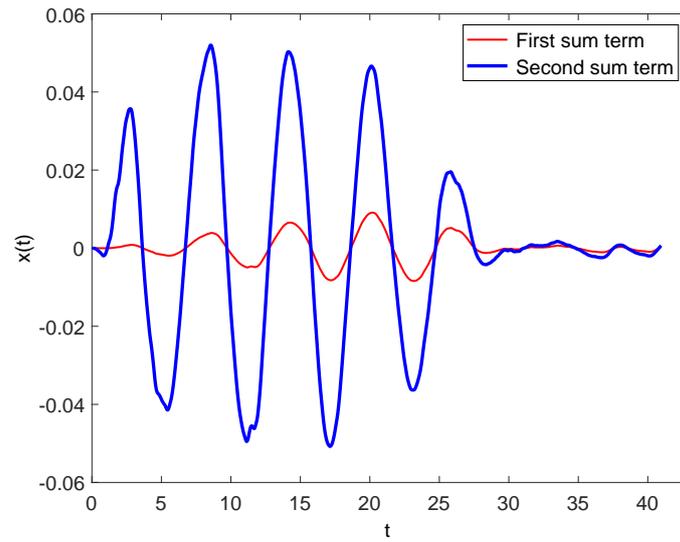
**Fig. 10. Comparison of computation efficiency of the Laplace method and the Duhamel integral method**



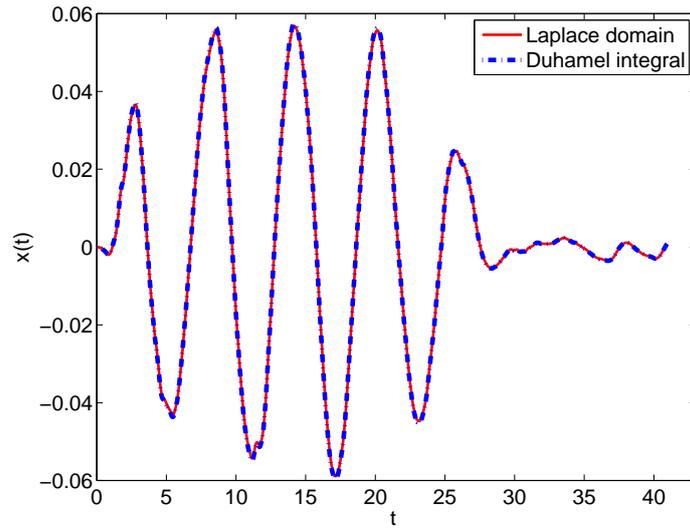
**Fig. 11. The measured E1 Centro earthquake acceleration signal**



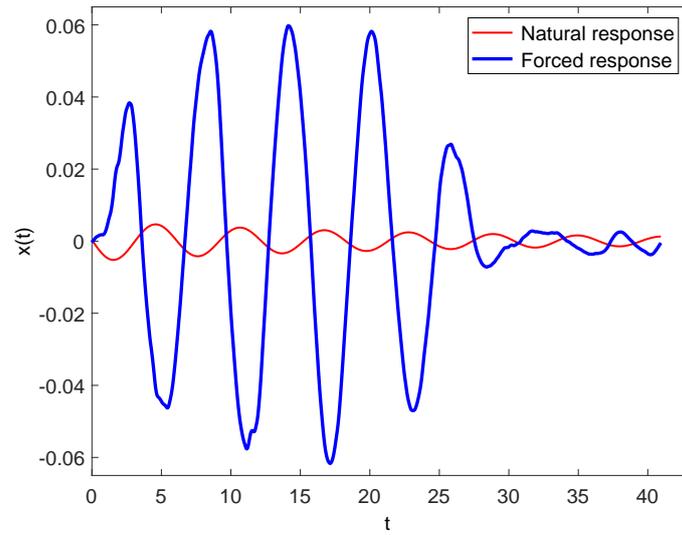
**Fig. 12. Response of the SDOF fractional oscillator with  $q = 1/2$  to the earthquake loading based on the frequency domain and Duhamel integral methods**



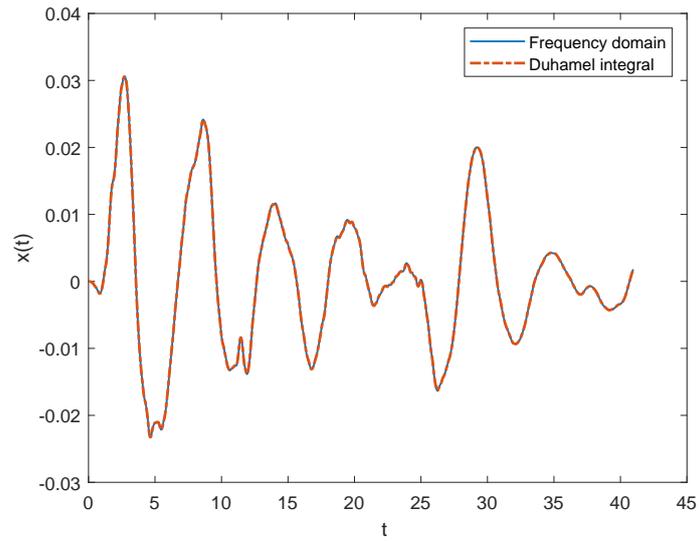
**Fig. 13.** Two response components of the SDOF fractional oscillator with  $q = 1/2$  to the earthquake loading by the frequency domain method



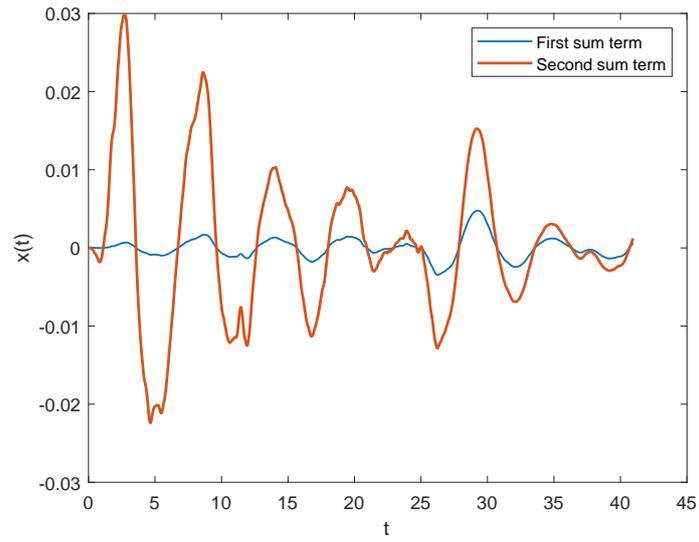
**Fig. 14. Response of the SDOF fractional oscillator with  $q = 1/2$  to the earthquake loading based on the Laplace domain and the Duhamel integral methods**



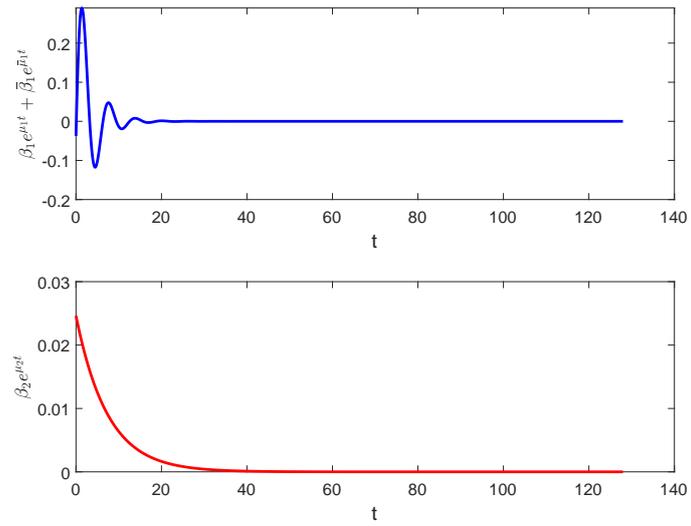
**Fig. 15. Natural and forced responses of the SDOF fractional oscillator with  $q = 1/2$  to the earthquake loading by the Laplace domain method**



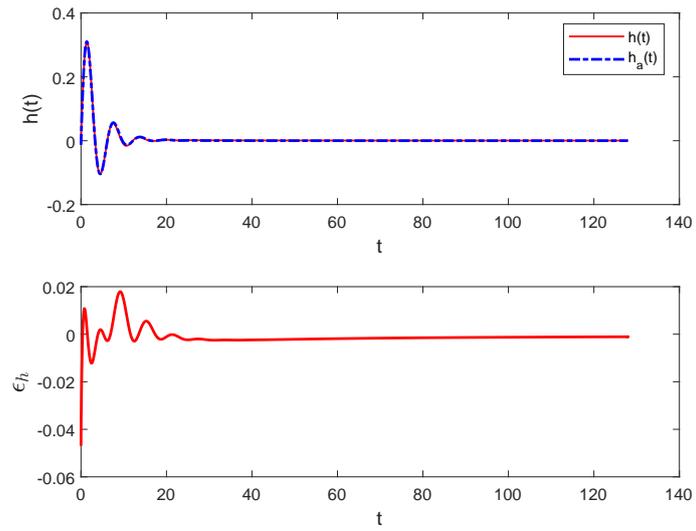
**Fig. 16. Response of the SDOF fractional oscillator with  $q_1 = \pi/30$  and  $q_2 = 2\pi/7$  to the earthquake loading based on the frequency domain and Duhamel integral methods**



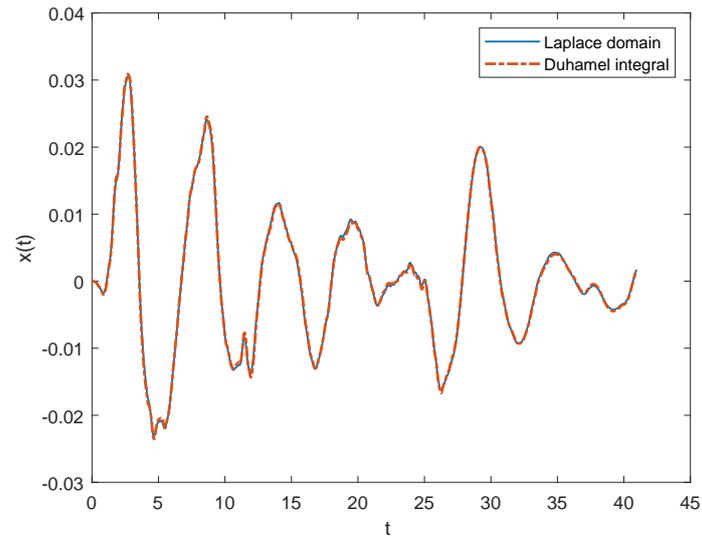
**Fig. 17. Two response components of the SDOF fractional oscillator with  $q_1 = \pi/30$  and  $q_2 = 2\pi/7$  to the earthquake loading by the frequency domain method**



**Fig. 18. Two components of the IRF for oscillator with  $q_1 = \pi/30$  and  $q_2 = 2\pi/7$ : (a) dominated oscillatory term; (b) minor non-oscillatory term**



**Fig. 19. The SDOF fractional oscillator with  $q_1 = \pi/30$  and  $q_2 = 2\pi/7$ : (a) Comparison between  $h(t)$  and  $h_a(t)$ ; (b) Relative error between  $h(t)$  and  $h_a(t)$**



**Fig. 20. Response of the SDOF fractional oscillator with  $q_1 = \pi/30$  and  $q_2 = 2\pi/7$  to the earthquake loading based on the Laplace domain and Duhamel integral methods**