

# The Reasons Why Fractional Flow Reserve and Instance Wave-Free Ratio are Similar Using Wave Separation Analysis

**SooHong Min**

Jeju National University <https://orcid.org/0000-0002-6201-2889>

**Gwansuk Kang**

Stanford University School of Medicine

**Dong-Guk Paeng**

Jeju National University

**Joon Hyouk Choi** (✉ [valgom@hanmail.net](mailto:valgom@hanmail.net))

Jeju National University School of Medicine, Jeju National University Hospital, Jeju, Korea  
<https://orcid.org/0000-0003-4245-1582>

---

## Research article

**Keywords:** Wave intensity analysis (WIA), fractional flow reserve (FFR), instantaneous wave-free ratio (IFR), coronary artery, wave separation analysis (WSA)

**Posted Date:** September 15th, 2020

**DOI:** <https://doi.org/10.21203/rs.3.rs-70810/v1>

**License:**  This work is licensed under a Creative Commons Attribution 4.0 International License.

[Read Full License](#)

---

**Version of Record:** A version of this preprint was published on January 25th, 2021. See the published version at <https://doi.org/10.1186/s12872-021-01855-4>.

1 **The Reasons Why Fractional Flow Reserve and Instance Wave-Free Ratio are Similar using Wave**  
2 **Separation Analysis**

3 Soohong Min<sup>1, [a]</sup>, Gwansuk Kang<sup>2, [b]</sup>, Dong-Guk Paeng<sup>1, [c]</sup>\*, Joon Hyouk Choi<sup>3, [d]</sup>\*

4 <sup>1</sup>Department of Ocean System Engineering, Jeju National University, Jeju, Korea

5 <sup>2</sup>Division of Gastroenterology and Hepatology, Stanford University School of Medicine, Stanford, CA,  
6 USA

7 <sup>3</sup>Department of Cardiology, Jeju National University School of Medicine, Jeju National University  
8 Hospital, Jeju, Korea

9 \*Corresponding author: Joon Hyouk Choi

10 [a] [tnghd337@naver.com](mailto:tnghd337@naver.com)

11 [b] [gwansuk@stanford.edu](mailto:gwansuk@stanford.edu)

12 [c] [paeng@jejunu.ac.kr](mailto:paeng@jejunu.ac.kr)

13 [d]\* [valgom@hanmail.net](mailto:valgom@hanmail.net)

14 **Abstract**

15 Background and Objectives: Fractional flow reserve (FFR) and instantaneous wave-free ratio (iFR) are the  
16 two most commonly used coronary indices of physiological stenosis severity based on pressure. To  
17 minimize the effect of wedge pressure ( $P_{wedge}$ ), FFR is measured during hyperemia conditions, and iFR is  
18 calculated as the ratio of distal and aortic pressures ( $P_d/P_a$ ) in the wave-free period. The goal of this study  
19 was to predict  $P_{wedge}$  using the backward wave ( $P_{back}$ ) through wave separation analysis (WSA) and to  
20 reflect the effect of  $P_{wedge}$  on FFR and iFR to identify the relationship between the two indices.

21 Methods: An *in vitro* circulation system was constructed to calculate  $P_{wedge}$ . The measurements were  
22 performed in cases with stenosis percentages of 48, 71, and 88% and with hydrostatic pressures of 10 and  
23 30 mmHg. Then, the correlation between  $P_{back}$  by WSA and  $P_{wedge}$  was calculated. *In vivo* coronary flow

24 and pressure were simultaneously measured for 11 vessels in all patients. The FFR and iFR values were  
25 reconstructed as the ratios of forward wave at distal and proximal sites during hyperemia and at rest,  
26 respectively.

27 Results: Based on the *in vitro* results, the correlation between  $P_{back}$  and  $P_{wedge}$  was high ( $r=0.990$ ,  $p<0.0001$ ).  
28 *In vivo* results showed high correlations between FFR and reconstructed FFR ( $r=0.992$ ,  $p<0.001$ ) and  
29 between iFR and reconstructed iFR ( $r=0.930$ ,  $p<0.001$ ).

30 Conclusions: Reconstructed FFR and iFR were in good agreement with conventional FFR and iFR. FFR  
31 and iFR can be expressed as the variation of trans-stenotic forward pressure, indicating that the two  
32 values are inferred from the same formula under different conditions.

### 33 **Keywords**

34 Wave intensity analysis (WIA), fractional flow reserve (FFR), instantaneous wave-free ratio (iFR),  
35 coronary artery, wave separation analysis (WSA)

### 36 **Background**

37 Fractional flow reserve (FFR) is considered the “gold standard” among aggressive physiological  
38 diagnostic methods for determining the percutaneous coronary intervention of intermediate lesions in  
39 patients with stable angina[1]. Therefore, FFR was used as a comparative group for instantaneous wave-  
40 free ratio (iFR) in some studies. In these studies, iFR has been reported to be faster, less uncomfortable,  
41 and not inferior compared to FFR[2, 3].

42 To explain FFR theoretically, coronary wedge pressure ( $P_{wedge}$ ) is a very important factor. FFR is  
43 measured when  $P_{wedge}$  is minimized by pharmacological hyperemia[4]. The  $P_{wedge}$  wave is characterized  
44 by rapid decline in and formation of baseline in pre-systole[5]. This event is explained by backward-  
45 propagating suction-waves in wave intensity analysis (WIA) and loss of the Windkessel effect due to  
46 occlusion[6, 7]. The Windkessel effect is defined as the condition where the pressure does not fall to zero

47 due to capacitive elements and resistance[8]. If iFR can also be explained by  $P_{wedge}$  or backward wave  
 48 through wave separation analysis (WSA), we can explain how the two indices are similar or different.  
 49 Thus, iFR could be measured when  $P_{wedge}$  is minimized during the wave-free period of diastole without  
 50 hyperemia.

51 This study was based on the assumption that coronary pressure waves can be separated into constituent  
 52 forward ( $P_{for}$ ) and backward ( $P_{back}$ ) waves using WSA. We attempted to prove this assumption as  
 53 follows: (1)  $P_{back}$  can replace  $P_{wedge}$  from an *in vitro* experimental study; and (2) FFR and iFR can be  
 54 reconstructed using  $P_{back}$  obtained from WSA and compared with conventional FFR and iFR from *in vivo*  
 55 measurement results. This study may be the first to identify similarities and differences between FFR and  
 56 iFR using WSA.

## 57 Experiment and Method

### 58 Theoretical background

59 The iFR is defined as the ratio of distal pressure ( $P_d$ ) to aortic pressure ( $P_a$ ) at rest during a wave-free  
 60 period, as shown in Equation 1:

$$61 \quad \text{iFR} = \frac{P_d}{P_a} \text{ at rest during wave - free period [1]}$$

62 Assuming that  $P_{wedge}$  or  $P_{back} + P_{static}$  is baseline in the wave-free period, the slopes of  $P_d$  and  $P_a$ , and for  
 63  $P_{for}(prox)$  and  $P_{for}(dist)$  in pre-systolic phase could be the same, as shown in Figure 1. Thus,  
 64 reconstructed iFR is redefined as follows in Equation 2:

$$65 \quad \text{reconstructed iFR} = \frac{P_d - (P_{back} + P_{static})}{P_a - (P_{back} + P_{static})} \approx \frac{P_{for}(dist)}{P_{for}(prox)} \text{ (at resting) [2]}$$

66 Reconstructed iFR is calculated as the average pressure of an entire cycle at rest and is not the same as  
 67 conventional iFR, which is determined only in the wave-free period. Reconstructed iFR, which is the ratio  
 68 of  $P_{for}$  at distal and proximal locations averaged over a whole cycle, is assumed to be similar to

69 conventional iFR. This assumption will be shown to be appropriate by in vitro and in vivo experimental  
 70 results in this study.

71 
$$\text{FFR} = \frac{P_d - P_{\text{wedge}}}{P_a - P_{\text{wedge}}} \text{ (at hyperemia) [3]}$$

72 FFR is defined as the ratio of distal pressure ( $P_d$ ) and aortic pressure ( $P_a$ ) when the effect of  $P_{\text{wedge}}$  is  
 73 subtracted using hyperemia as shown in Equation 3:

74 
$$\text{reconstructed FFR} = \frac{P_d - (P_{\text{back}} + P_{\text{static}})}{P_a - (P_{\text{back}} + P_{\text{static}})} = \frac{P_{\text{for}}(\text{dist})}{P_{\text{for}}(\text{prox})} \text{ (at hyperemia) [4]}$$

75 Reconstructed FFR is the ratio of  $P_{\text{for}}$  at the distal and proximal locations when  $P_{\text{wedge}}$  is assumed to be  
 76  $P_{\text{back}} + P_{\text{static}}$ .

77 WIA was performed to obtain wave-free periods using representative flow speed ( $U$ ) and pressure ( $P$ ) as  
 78 in Equations 5 and 6:

79 
$$\text{WI}(+) = \frac{1}{4\rho c} \left( \frac{dP}{dt} + \rho c \frac{dU}{dt} \right)^2 \text{ [5]}$$

80 
$$\text{WI}(-) = \frac{1}{4\rho c} \left( \frac{dP}{dt} - \rho c \frac{dU}{dt} \right)^2 \text{ [6]}$$

81 where  $\rho$  is the density of blood ( $1050 \text{ kg}^{-3}$ ), and  $c$  is wave speed calculated using the single-point equation.

82 The wave-free period is defined as the time from  $\text{WI}(-) = 0$  to the end of diastole for 5 ms[7].

83 WSA was performed to obtain  $P_{\text{for}}$  and  $P_{\text{back}}$  using representative flow ( $F(t)$ ) and pressure ( $P(t)$ ) obtained  
 84 from Equations 7 and 8:

85 
$$P_{\text{for}}(t) = \frac{[P(t) + Z_c \times F(t)]}{2} \text{ [7]}$$

86 
$$P_{\text{back}}(t) = \frac{[P(t) - Z_c \times F(t)]}{2} \text{ [8]}$$

87 where  $Z_c$  is characteristic impedance and is defined as an input impedance ( $Z_i$ ) in the absence of wave  
 88 reflection.  $Z_i$  is defined as resistance or impedance obtained by frequency analysis of representative  
 89 pressure and blood flow using Fourier analysis[9]. At the same time, the modulus (division) and phase

90 (subtraction) of impedance were automatically calculated. Therefore, the impedance modulus at zero  
91 frequency (0-impedance) is mean pressure/mean flow. There are many methods of obtaining  $Z_c$ . In  
92 general,  $Z_c$  is defined as the modulus at the zero crossing point or a point close to zero in phase. The  
93 reason for this distinction is that the negative phase is the imaginary component of Fourier analysis.  
94 Previous studies have addressed  $Z_c$  with a fixed frequency[9-15]. However,  $Z_c$  can change depending on  
95 the situation[9]. In this study, we used flexible  $Z_c$ , which is defined as the average modulus of four  
96 harmonics of the fundamental frequency after zero crossing or close to zero in phase less than 10 Hz.

### 97 ***In vitro* coronary artery circulation system**

98 In this study, we designed an *in vitro* coronary blood circulation system. As shown in Fig. 2, a catheter  
99 (Combo Wire XT®, Volcano Corporation, San Diego, CA, USA) was inserted into a tube to  
100 simultaneously measure pressure and flow speed at the proximal and distal sites of stenosis. A pulsatile  
101 pump (Model 55-3305, Harvard Apparatus Corp., USA) was used to mimic heart motion. The pump rate  
102 was fixed to 60 rotations/min, and the operative phase ratio (OPR; systolic time over a cyclic time) was set  
103 to 60%. The tube was filled with 1.5 L of Doppler fluid (Model 707, ATS Laboratories, USA). The viscosity  
104 of this fluid (5 cP) is similar to that of human blood. The tube was an IXAK® silicon tube (SL-0710,  
105 TOMMYHECO, KOREA) with an internal diameter of 5 mm. To reflect stenotic coronary arteries in the  
106 system, stenotic vessels of 48, 71, and 88% (minimum vessel area/maximum vessel area) were created  
107 using a three-dimensional printer. The minimal luminal dimensions of each model were 28, 46, and 64%.  
108 A Windkessel model was constructed using an air tank to control blood flow, pressure waveforms, and  
109 phase differences, which were similar to those observed in the human coronary artery. This approach can  
110 eliminate negative pressure and exerts zero flow on the system. Measurements were performed at 20 mm  
111 proximal to the stenosis site, and a catheter was inserted 200 mm proximal to the site of stenosis.

112 We created three conditions. Fig. 2 (a) is the basal condition. There is a combination of forward and  
113 backward pressures in the coronary artery. Resistance with stenosis can be used to control the ratio of  
114 forward and backward flow. By adjusting the inner diameter of the resistor, the amount of fluid directed  
115 to the stenotic phantom can be adjusted. Therefore, the ratio of forward and backward flow can be  
116 controlled, which makes it possible to reproduce a human-like automatic control ability of the blood flow.  
117 If the inner diameter of the resistor is larger than that of each site of stenosis, a phenomenon occurs where  
118 the backward flow is larger than the forward flow. Forward and backward pressures were separated  
119 using WSA in this condition. The reservoir was used to control  $P_{static}$  in the blood flow system, which  
120 was adjusted by varying the height.

121 Fig. 2 (b) is a condition of forward flow only without backward flow. By adjusting the height of the  
122 reservoir, the  $P_{static}$  was controlled. High  $P_{static}$  and low  $P_{static}$  values are assumed to represent pre-  
123 hyperemia and hyperemia conditions, respectively.  $P_d/P_a$  values measured in pre-hyperemia and  
124 hyperemia conditions were assumed to indicate reconstructed iFR and reconstructed FFR, respectively.  
125 To confirm that this *in vitro* circulatory system mimics the blood flow of the coronary system, the flow  
126 speed of Case 2 (only forward condition) was divided by that of Case 1 (basal condition), and the ratio  
127 was compared to the coronary flow reserve.

128 Fig. 2 (c) depicts the  $P_{wedge}$ . In clinical practice,  $P_{wedge}$  is measured at a distal site when the artery is  
129 blocked with a balloon. To reflect this in the *in vitro* system, we blocked the branch point toward the  
130 coronary phantom through the valve. The measured pressure in this case was compared with the  
131 backward pressure that was calculated using WSA.

### 132 ***In vivo* experiment**

133 The study protocol was approved by the institutional review board of Jeju National University Hospital  
134 (2016-07-011).

135 Coronary angiography and pressure-flow measurements were obtained using standard techniques[16].  
136 Angiographic views were obtained following administration of intracoronary nitrate in all cases (200 or  
137 300 µg). We used 0.014-inch pressure and Doppler sensor-tipped wires (ComboWire XT, Volcano  
138 Corporation, San Diego, CA, USA). The distal pressure was removed and equalized to the aortic pressure  
139 at the coronary ostium before being positioned at least three vessel diameters distal to the site of stenosis.  
140 Adenosine was administered for hyperemia by intravenous infusion based on 11 measurements (140  
141 µg/kg/min). When a ComboWire was used, the electrocardiogram, pressures, and flow velocity signals  
142 were directly extracted from the digital archive of the device console (ComboMap, Volcano Corporation).  
143 Data were analyzed off-line, using a custom software package designed by Labview (National  
144 Instruments, Austin, TX, USA). Stenosed vessels were defined as vessels that had an angiographically  
145 visible stenosis from 40–70% severity, as determined visually by an operating physician at the time of  
146 coronary angiography.  
147 Resting indices were calculated at a time of stability, allowing for a return to stable baseline conditions  
148 after any preceding injection of contrast or saline. Hyperemic indices were determined during stable  
149 hyperemia, excluding cases with ectopy or conduction delay. Representative flow and pressure waves  
150 were obtained by an average method using recordings of 5–15 consecutive cycles both at rest and during  
151 hyperemia. These procedures were necessary to achieve linearity and time invariance. FFR and iFR were  
152 calculated as the ratio of mean  $P_d$  to  $P_a$  at hyperemia during a whole cycle and at rest during a wave-free  
153 period, respectively.

#### 154 **Statistical analysis**

155 All statistical analyses were performed using the Statistical Package for the Social Sciences (SPSS), version  
156 23.0 software (SPSS Statistics for Macintosh, IBM Corp. Armonk, NY, USA). The values of continuous  
157 variables are mean and standard deviation (SD), and categorical variables are expressed as frequency and

158 percentage. The comparison of continuous variables between groups was performed using the  
 159 independent sample t-test, and the categorical variables were assessed with a chi-square test. The  
 160 correlation analysis between groups was performed by simple correlation analysis. For each statistic, the  
 161 significance level was less than 0.05.

162 **Result**

163 *In vitro* experiment

164 A total of 18 cases were analyzed according to stenoses (48, 71, and 88%), and  $P_{static}$  (10 and 30 mmHg)  
 165 values obtained from mock circulatory experiments. The measured and calculated data are summarized  
 166 in Table 1.

167 **Table 1.** Measured pressure and distal flow speed in the 3 models of stenosis.

Stenosis (%)	$P_{static}$ (mmHg)	Case 1					Case 2			Case3
		Basal condition					Forward flow condition			Wedge condition
		Observed Indices		Calculated Indices			Observed Indices			
		$P_a$ (mmHg)	$P_d$ (mmHg)	Distal Flow (cm/s)	$P_{for}$ (mmHg)	$P_{back}$ (mmHg)	$P_a$ (mmHg)	$P_d$ (mmHg)	Distal flow (cm/s)	$P_{wedge}$ (mmHg)
48	10	20.2	18.2	13.98	10.9	7.3	23.27	18.83	29.9	19.7
48	30	40.6	40.4	16.40	27.8	12.6	44.50	40.29	31.9	40.1
71	10	21.7	19.3	23.53	11.3	7.0	25.30	16.14	37.7	15.0
71	30	43.1	41.2	24.76	29.3	12.0	46.64	41.26	37.7	40.1
88	10	36.6	18.5	29.46	11.8	6.7	44.62	20.51	35.4	19.3
88	30	57.0	38.4	30.92	29.0	9.5	64.05	38.45	37.4	38.4

168  $P_a$ : coronary aortic pressure

169  $P_{back}$ : backward pressure

170  $P_d$ : coronary distal pressure

171  $P_{for}$ : forward pressure

172  $P_{static}$ : hydro static pressure

173  $P_{wedge}$ : coronary wedge pressure

174 When the static pressure was 10 mmHg, the distal flow ratio (only forward flow/basal flow) according to  
 175 stenosis increased to 2.2, 1.5, and 1.2 as the stenosis increased to 49, 71, and 88%, respectively. When the  
 176 static pressure was 30 mmHg, the distal flow ratios were 2.5, 1.6, and 1.2 at stenosis rates of 49, 71, and  
 177 88%, respectively.

178 The  $P_d/P_a$  ratio for Case 1 decreased in the order of stenosis (48, 71, and 88%) at each  $P_{static}$  (10 mmHg,  
 179 0.81, 0.64, 0.46; 30 mmHg, 0.91, 0.88, 0.60, respectively). The distal flow ratio in high  $P_{static}$  was higher  
 180 than that in low  $P_{static}$ .

181 The  $P_d/P_a$  ratio for Case 2 decreased in the order of stenosis (48, 71, and 88%) at each  $P_{static}$  (10 mm Hg,  
 182 0.90, 0.84, and 0.5; 30 mmHg, 1.00, 0.96, and 0.67, respectively). The change in  $P_d/P_a$  between Case 1 and  
 183 Case 2 was larger for low  $P_{static}$  than for high  $P_{static}$ , as shown in Fig. 3.

184 The waveforms and magnitude of the observed  $P_{wedge}$  and  $P_{back} + P_{static}$  were very similar (Figure 4).

185 This trend was also observed in other cases.  $P_{wedge}$  always contains static pressure. Correlation with the  
 186  $P_{back} + P_{static}$  and  $P_{wedge}$  was high ( $r = 0.990$ ,  $p < 0.0001$ , Figure 5), and the slope was 1.0612.

187 ***In vivo* experiment**

188 Nine patients in whom we were able to simultaneously measure blood flow and blood pressure in the  
 189 proximal and distal regions were compared with pre- and post-hyperemia values of distal forward  
 190 pressure  $P_{for}$  and \ distal backward pressure  $P_{back}$  using  $Z_c$  in 11 coronary blood vessels. The results are  
 191 summarized in Table 2.

192 **Table 2.** Pressures (mmHg) at proximal and distal site at rest and hyperemia, and indices obtained from  
 193 eleven coronary vessels in this study.

Patients	Vessels	FFR	iFR	$P_a$	$P_d$	Resting		Hyperemia		Reconstr ucted FFR	Reconstr ucted iFR
						$P_{for}$ (distal)	$P_{back}$ (distal)	$P_{for}$ (distal)	$P_{back}$ (distal)		
1	LAD	0.75	0.92	74.9	69.1	48.1	21	40.2	9.6	0.75	0.89
2	LAD	0.8	0.94	88.1	83.1	57.8	25.3	57.1	6.7	0.80	0.92

3	LAD	0.85	0.89	120.4	113.8	80.8	33	78.2	15.1	0.88	0.93
4	LAD	0.87	0.97	126.6	119.3	73.5	45.8	68.5	27.6	0.86	0.91
4	LCx	0.96	1.04	119.8	119.8	84.9	37.9	90	16.9	0.97	1.00
4	RCA	0.96	1.07	123.4	128.3	88.6	39.7	79.3	27.8	0.97	1.06
5	Ramus	0.94	0.99	102.5	101.3	60.2	40.1	70.3	20.5	0.95	0.98
6	LAD	0.73	0.82	109.7	96.0	70.3	25.7	68.6	11.6	0.70	0.84
7	LAD	0.8	0.98	108.8	104.5	62.4	42.1	59.2	28	0.81	0.94
8	LAD	0.66	0.74	88.1	67.6	39.6	28	45.2	12.2	0.66	0.66
9	LAD	0.89	1.07	105.7	108.3	63.7	44.6	75.1	15.2	0.90	0.94

194 **FFR:** fractional flow reserve

195 **iFR:** instantaneous wave-free ratio

196  **$P_a$ :** coronary aortic pressure

197  **$P_d$ :** coronary distal pressure

198  **$P_{back}$ :** backward pressure

199  **$P_{for}$ :** forward pressure

200 The correlations between conventional FFR and reconstructed FFR and between conventional iFR and  
 201 reconstructed iFR were positive ( $r = 0.992$ ,  $p < 0.001$  and  $0.930$ ,  $p < 0.001$ , respectively; Fig. 6).

## 202 **Discussion**

203 In this study, coronary pressure waves could be separated into constituent forward ( $P_{for}$ ) and backward  
 204 ( $P_{back}$ ) waves through WSA using frequency analysis. It could be said that  $P_{back}$  reflected  $P_{wedge}$  without  
 205  $P_{static}$  experimentally. It was shown that FFR and iFR could be expressed in trans-stenotic  $\Delta P_{for}$  either  
 206 with or without hyperemia, which indicated that the two indices were inferred by removing  $P_{wedge}$  or  
 207  $P_{back}$ . *In vivo*, FFR and iFR were reconstructed assuming that the  $P_{back}$  and  $P_{wedge}$  were very similar. The  
 208 reconstructed indices were highly correlated with the conventional indices. Therefore, to our knowledge,  
 209 this study is the first to identify similarities and differences between FFR and iFR using WSA.

## 210 **Theoretical background of FFR and iFR through WSA**

211 In this study,  $P_{back}$  was characterized as undergoing rapid decline and forming baseline observed during  
212 pre-systole either with or without hyperemia. This finding is similar to the characteristics of  $P_{wedge}$ [5].  
213 After forming the baseline of  $P_{back}$ , the slope of  $P_{for}$  was similar to the slope of coronary pressure. The  
214 period of forming the baseline of  $P_{back}$  was similar to the wave-free period. Eventually, the amplitude of  
215  $P_{for}$  was smaller than the amplitude of coronary pressure (Figure 1). During the wave-free period,  $P_a$ ,  $P_d$ ,  
216 and  $P_{for}$  could have the same slope because  $P_{back}$  forms the baseline. The ratio between the \ lines with  
217 the same slope may be different, but the value in that interval is constant. iFR is defined as  $P_d/P_a$  in the  
218 wave-free interval. Therefore, iFR may be related to  $P_{for}(distal) / P_{for}(proximal)$  during the wave-free  
219 period. Furthermore, as the amplitude of  $P_{for}$  without  $P_{back}$  is low, the mean  $P_{for}$  of the whole cycle and  
220 the mean  $P_{for}$  of the wave-free period may be similar as a factor of ratio. As a result, in this study,  
221 reconstructed iFR was defined as  $P_{for}(distal) / P_{for}(proximal)$  in Equation 1. The reconstructed and  
222 conventional iFRs showed a good correlation based on *in vivo* results.

223 During hyperemia, the theoretical FFR of the coronary artery (FFRcor) is  $(P_d - P_w)/(P_a - P_w)$ , while the  
224 FFR of the myocardium (FFRmyo) is  $(P_d - P_v)/(P_a - P_v)$ , where  $P_v$  represents the mean central venous  
225 pressure[4]. The FFR is the ratio between mean values. A mean value is decreased when both the peak  
226 and the baseline are lowered. In this study, hyperemia mainly reduced the baseline of pressure (Figure 1).  
227 Moreover,  $P_{back}$  was not zero but still decreased during hyperemia, and  $P_{for}$  was constant under the  
228 Windkessel effect.

229 The difference between FFRcor and FFRmyo is described by collateral flow[4].  $P_{wedge}$  is closely related to  
230 the collateral flow[17]. In addition, hyperemia theoretically reflects the offset of  $P_{wedge}$  and  $P_v$  in the  
231 conventional FFRs[4]. However, the values of the  $P_{wedge}$  or  $P_v$  would not be practically removed in  
232 hyperemia.

233 The FFR is based on the assumption that resistances both with and without stenosis are the same.  
234 Without collateral flow, this assumption implies that FFR<sub>myo</sub> progressively overestimates the FFR using  
235 flow with increasing stenosis severity[4]. Thus, an attempt has been made to overcome this mismatch in  
236 reconstructing the FFR using zero flow pressure ( $P_{zf}$ ). The formula is as follows:  $FFR = (P_d - P_{zf}) / (P_a -$   
237  $P_{zf})$ . FFR using  $P_{zf}$  was in good agreement with the FFR using flow compared to FFR using pressure[18].  
238 Because of the diastolic characteristics of the coronary arteries,  $P_{zf}$  is independent of contraction and auto-  
239 regulation, showing conductance of the vessels and pure resistance[19-21]. However,  $P_{wedge}$  is generally  
240 smaller than  $P_{zf}$  due to the non-linearity of the pressure-flow relationship and existence of cardiac  
241 contraction either with or without collateral flow[21-23]. Conceptually,  $P_{back}$  by WSA was similar to  $P_{zf}$  in  
242 this study. This means that both FFR and iFR could be trans-stenotic  $\Delta P_{for}$ , which can be expressed using  
243 the same formula, although their methods are different (Equations 1 and 2).

#### 244 **Difference between FFR and iFR**

245 In order to replace the FFR using flow with FFR using pressure, hyperemia is required to offset  $P_{wedge}$   
246 and  $P_v$ [4]. As mentioned above, the reconstructed iFR was calculated by subtracting  $P_{back}$  at rest, which is  
247 assumed to be  $P_{wedge}$ . Theoretically,  $P_{for}$  can be determined by the stroke volume, which is related with  
248 inflow, resistance, compliance, and volume capacity, because the Windkessel effect is observed and  
249 systolic resistance by subtracting  $P_{for}$  is absent[8]. It is similar to systemic circulation. When administered  
250 for hyperemia, adenosine is reported to have little effect on the stroke volume or ejection fraction[24].  
251 There is no significant change in blood volume without bleeding. Therefore, the difference in  $P_{for}$  with or  
252 without hyperemia is mainly dependent on resistance. The change of resistance according to the situation  
253 from rest to hyperemia could be the change of  $P_{static}$  or  $P_v$ . Thus, the difference between iFR and FFR is  
254 likely to be the difference of  $P_{for}$  in relation to  $P_{static}$  or  $P_v$  rather than  $P_{wedge}$  or  $P_{back}$ .

255 As myocardium oxygen consumption ( $MVO_2$ ) increases due to enlargement of micro-vessels, resistance is  
256 reduced, and flow is increased. This trend is mainly regulated by the adenosine and nitric oxide (NO)  
257 metabolites in the myocardium. In the presence of significant stenosis, the role of adenosine may be  
258 activated in micro-vessels, so the reactivity of hyperemia by adenosine may be lowered. In other words,  
259 resistance due to pharmacological hyperemia may be smaller in significant stenosis than in nonsignificant  
260 stenosis[25, 26].

261 The incidence of clinically appropriate hyperemia is not well known. In fact, it is difficult to verify  
262 hyperemia even with constant drug increases or drug changes. Thus, nonsignificant changes of  $P_{for}$   
263 during hyperemia may be explained by the limitations of the assumption of constant resistance either  
264 with or without stenosis in FFR and pharmacological hyperemia with inappropriate offsets of  $P_{wedge}$  and  
265  $P_v$ .

266 Nevertheless, this study assumes that  $P_{for}$  is the primary factor for determining iFR and FFR using  
267 pressure. This assumption was confirmed by *in vivo* and *in vitro* results.

#### 268 **Limitation**

269 In this paper, we tried to reflect the characteristics of various coronary arteries such as blood flow and  
270 pressure waveforms, in the human body. There are many differences in blood flow and pressure  
271 waveforms in human coronary arteries. However, this variation did not pose a problem because we used  
272 the average values for pressure and blood flow.

273 It cannot be said that  $P_{back}$  reflects  $P_{wedge}$  experimentally. The constituent waves from WSA are the  
274 estimated values[10]. Moreover, the purpose of this study was to prove that iFR and FFR share the same  
275 formula. Therefore, the most important factors are morphological pattern and phase; acquiring accurate  
276 values was not the main goal. Accordingly, several trials of WSA were performed that considered many  
277  $Z_c$  values. The results from various trials of WSA showed a similar pattern.

278 According to Van Huis et al.,  $Z_c$  increased during hyperemia[14]. However,  $Z_c$  decreased in this study.  
279 Although this result cannot be explained, it is inferred that there are differences in the species or drugs  
280 used for hyperemia. To verify this hypothesis, additional experiments for  $Z_c$  will be needed.

## 281 **Conclusions**

282 In this study, we calculated  $P_{back}$  in the coronary artery using WSA and confirmed that the correlation  
283 between  $P_{back}$  and  $P_{wedge}$  was high. The FFR and iFR were reconstructed by reflecting  $P_{wedge}$  calculated  
284 through  $P_{back}$ . It could be proved deductively that FFR and iFR can be expressed in the trans-stenotic  
285  $\Delta P_{for}$ . Therefore, the two indices are inferred from the same formula under different conditions.

286 Similarities and differences between iFR and FFR were thus confirmed.

## 287 **Abbreviations**

288 FFR: Fractional flow reserve; iFR: wave-free ratio;  $P_{wedge}$ : wedge pressure; WSA: wave separation  
289 analysis; WIA: wave intensity analysis;  $P_{for}$ : forward pressure;  $P_{back}$ : backward pressure;  $P_d$ : distal  
290 pressure;  $P_a$ : aortic pressure;  $P_{static}$ : static pressure;

## 291 **Declarations**

### 292 **Ethics approval and consent to participate**

293 Written consent was obtained from all participants, and the study protocol was approved by the  
294 institutional review board of Jeju National University Hospital (2016-07-011).

### 295 **Consent for publication**

296 Not applicable

### 297 **Availability of data and materials**

298 The datasets used and/or analyzed during the current study are available from the corresponding author  
299 on reasonable request.

**300 Competing interests**

**301** The authors declare no conflict of interest.

**302 Funding**

**303** This work was supported by the National Research Foundation of Korea(NRF) grant funded by the Korea  
**304** government (MSIT, No. 2018R1A2B2007997) and the research grant from Jeju national university hospital  
**305** development fund in 2016.

**306 Authors' contributions**

**307** SM performed validation experiment, analyzed the data, and was a major contributor in writing the  
**308** manuscript. GK developed the software that calculates various pressure. DP gave feedback on the  
**309** progress of all experiments and helped to draft the manuscript. JC designed and coordinated the study,  
**310** conducted all clinical trials and helped to draft the manuscript. All authors read and approved the final  
**311** manuscript.

**312 Acknowledgments**

**313** Not applicable

**314 References**

**315** 1. Fihn SD, Blankenship JC, Alexander KP, Bittl JA, Byrne JG, Fletcher BJ, et al. 2014  
**316** ACC/AHA/AATS/PCNA/SCAI/STS focused update of the guideline for the diagnosis and management of  
**317** patients with stable ischemic heart disease: A report of the American College of Cardiology/American  
**318** Heart Association Task Force on Practice Guidelines, and the American Association for Thoracic Surgery,  
**319** Preventive Cardiovascular Nurses Association, Society for Cardiovascular Angiography and  
**320** Interventions, and Society of Thoracic Surgeons. J Am Coll Cardiol. 2014;64(18):1929-49.

321 2. Götberg M, Christiansen EH, Gudmundsdottir IJ, Sandhall L, Danielewicz M, Jakobsen L, et al.  
322 Instantaneous wave-free ratio versus fractional flow reserve to guide PCI. *N Engl J Med.*  
323 2017;376(19):1813-23.

324 3. Davies JE, Sen S, Dehbi H, Al-Lamee R, Petraco R, Nijjer SS, et al. Use of the instantaneous wave-free  
325 ratio or fractional flow reserve in PCI. *N Engl J Med.* 2017;376(19):1824-34.

326 4. Pijls NH, van Son JA, Kirkeeide RL, De Bruyne B, Gould KL. Experimental basis of determining  
327 maximum coronary, myocardial, and collateral blood flow by pressure measurements for assessing  
328 functional stenosis severity before and after percutaneous transluminal coronary angioplasty. *Circulation*  
329 1993;87(4):1354-67.

330 5. Pacold I, Hwang MH, Piao ZE, Scanlon PJ, Loeb HS. The mechanism and significance of  
331 ventricularization of intracoronary pressure during coronary angiography. *Am Heart J.* 1989;118(6):1161-  
332 6.

333 6. Westerhof N, Segers P, Westerhof BE. Wave separation, wave intensity, the reservoir-wave concept,  
334 and the instantaneous wave-free ratio: Presumptions and principles. *Hypertension* 2015;66(1):93-8.

335 7. Davies J, Whinnett Z, Francis D, Manisty C, Guado-Sierra J, Willson K, et al. evidence of a dominant  
336 backward-propagating “suction” wave responsible for diastolic coronary filling in humans, attenuated in  
337 left ventricular hypertrophy. *Circulation* 2006;113:1768-78.

338 8. Westerhof N, Lankhaar J, Westerhof BE. The arterial Windkessel. *Med Biol Eng Comput.*  
339 2009;47(2):131-41.

340 9. O'Rourke MF, Taylor MG. Input impedance of the systemic circulation. *Circ Res.* 1967;20(4):365-80.

341 10. O'Rourke MF, Hartley C, McDonald DA. McDonald's blood flow in arteries: Theoretic, experimental,  
342 and clinical principles. *Arnold;* 1998.

343 11. Bergel DH, Milnor WR. Pulmonary vascular impedance in the dog. *Circ Res.* 1965;16(5):401-15.

344 12. Milnor WR, Bertram CD. The relation between arterial viscoelasticity and wave propagation in the  
345 canine femoral artery in vivo. *Circ Res.* 1978;43(6):870-9.

346 13. Stergiopoulos N, Westerhof BE, Westerhof N. Total arterial inertance as the fourth element of the  
347 Windkessel model. *Am J Physiol Heart Circ Physiol.* 1999;276(1):H81-8.

348 14. Van Huis GA, Sipkema P, Westerhof N. Coronary input impedance during cardiac cycle as  
349 determined by impulse response method. *Am J Physiol.* 1987;253(2 Pt 2):H317-24.

350 15. Cox RH, Bagshaw RJ. Baroreceptor reflex control of arterial hemodynamics in the dog. *Circ Res.*  
351 1975;37(6):772-86.

352 16. Kern MJ, Lerman A, Bech J, De Bruyne B, Eeckhout E, Fearon WF, et al. Physiological assessment of  
353 coronary artery disease in the cardiac catheterization laboratory: A scientific statement from the  
354 American Heart Association Committee on Diagnostic and Interventional Cardiac Catheterization,  
355 Council on Clinical Cardiology. *Circulation* 2006;114(12):1321-41.

356 17. Mohri M, Egashira K, Kuga T, Shimokawa H, Takeshita A. Correlations between recruitable coronary  
357 collateral flow velocities, distal occlusion pressure, and electrocardiographic changes in patients  
358 undergoing angioplasty. *Jpn Circ J.* 1997;61(12):971-8.

359 18. Claessens TE, Van Herck PL, Matthys KS, Segers P, Vrints CJ, Verdonck PR. Influence of zero flow  
360 pressure on fractional flow reserve. *Biomech Model Mechanobiol.* 2004;3(1):48-55.

361 19. Bellamy RF. Diastolic coronary artery pressure-flow relations in the dog. *Circ Res.* 1978;43(1):92-101.

362 20. Mancini GB, McGillem MJ, DeBoe SF, Gallagher KP. The diastolic hyperemic flow versus pressure  
363 relation. A new index of coronary stenosis severity and flow reserve. *Circulation* 1989;80(4):941-50.

364 21. Spaan JA, Piek JJ, Hoffman JJ, Siebes M. Physiological basis of clinically used coronary hemodynamic  
365 indices. *Circulation* 2006;113(3):446-55.

366 22. Messina LM, Hanley FL, Uhlig PN, Baer RW, Grattan MT, Hoffman JI. Effects of pressure gradients  
367 between branches of the left coronary artery on the pressure axis intercept and the shape of steady state  
368 circumflex pressure-flow relations in dogs. *Circ Res.* 1985;56(1):11-9.

369 23. Downey JM, Kirk ES. Inhibition of coronary blood flow by a vascular waterfall mechanism. *Circ Res.*  
370 1975;36(6):753-60.

371 24. Ogilby JD, Iskandrian AS, Untereker WJ, Heo J, Nguyen TN, Mercurio J. Effect of intravenous  
372 adenosine infusion on myocardial perfusion and function. hemodynamic/angiographic and scintigraphic  
373 study. *Circulation* 1992;86(3):887-95.

374 25. Gould KL, Lipscomb K, Hamilton GW. Physiologic basis for assessing critical coronary stenosis:  
375 Instantaneous flow response and regional distribution during coronary hyperemia as measures of  
376 coronary flow reserve. *Am J Cardiol.* 1974;33(1):87-94.

377 26. Gould KL, Kirkeeide RL, Buchi M. Coronary flow reserve as a physiologic measure of stenosis  
378 severity. *J Am Coll Cardiol.* 1990;15(2):459-74.

379

380 **Figures**

381 **Figure 1 Changes in pressures before and after Hyperemia and wave free period extraction**  
382 **through WIA analysis.** a) Aortic and distal pressures ( $P_a$ ,  $P_d$ ), forward and backward waves  
383 ( $P_{for}$ ,  $P_{back}$ ) in a cycle at pre-hyperemia and hyperemia, and horizontal lines are their average  
384 values over a cycle.  $P_a$  decreased little but  $P_d$  decreased more at hyperemia. Although overall  
385  $P_{back}$  decreases a lot at hyperemia, there is little change in  $P_{for}$  between pre-hyperemia and  
386 hyperemia (b) Wave free period was extracted through wave intensity analysis (WIA) as shown  
387 in green boxes.

388 **Figure 2 An *in vitro* coronary circulation system.** Three conditions were created as (a), (b) and  
389 (c). (a) Basal condition, in which both forward and backward flows existed. Resister was used to  
390 control the ratio of forward and backward flow, and the pressure and blood flow were  
391 stabilized using the Windkessel model. (b) Only forward condition, in which backward flow  
392 was blocked. By adjusting the height of the reservoir, the  $P_{static}$  was controlled. High  $P_{static}$  is  
393 assumed to be pre-hyperemia and low  $P_{static}$  is assumed to be hyperemia. (c) Only backward  
394 flow condition, in which forward flow was blocked for measuring.

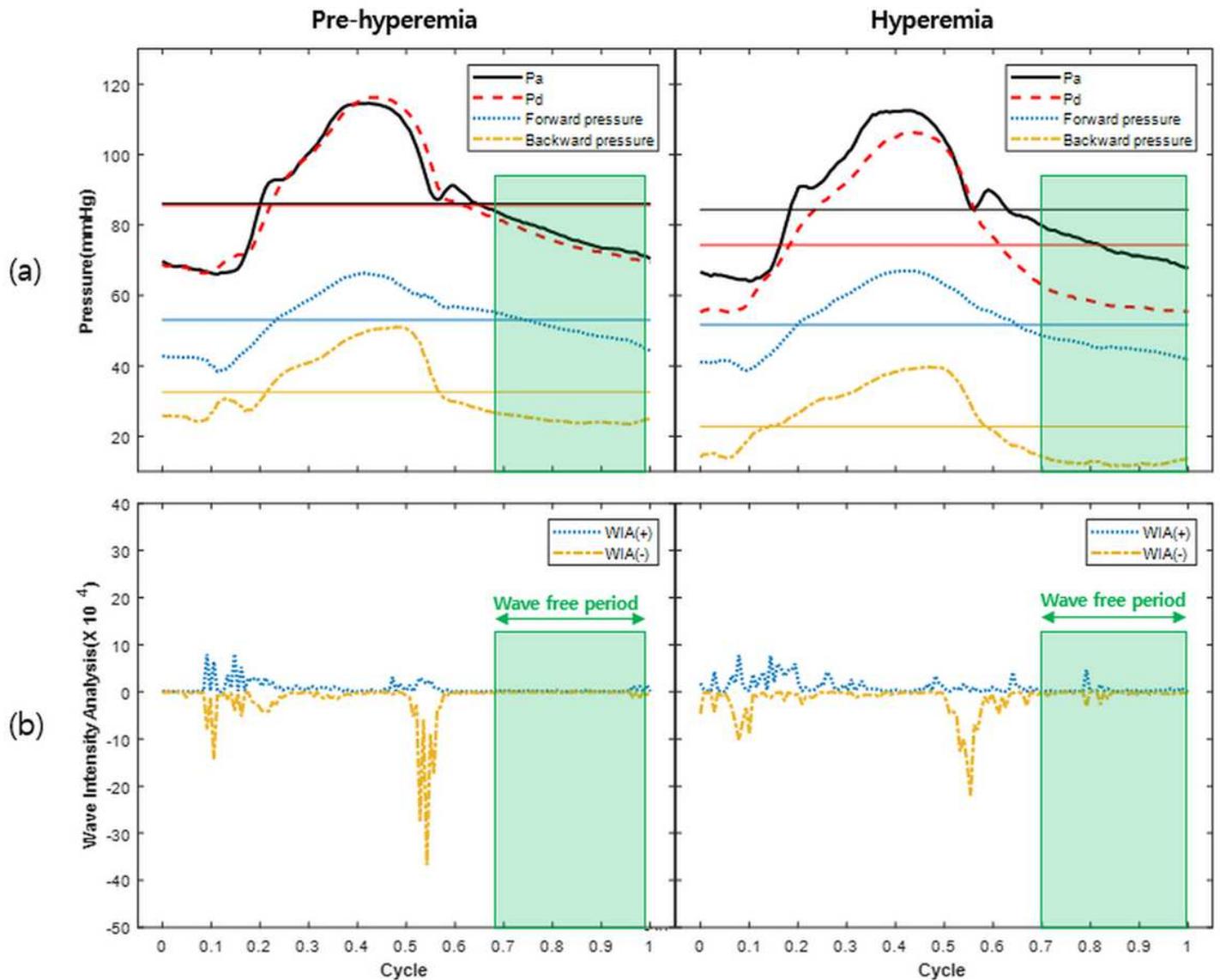
395 **Figure 3  $P_d/P_a$  in Case1 and 2 at 3 stenosis when  $P_{static}$  was 30 and 10 mmHg.** When the static  
396 pressure was 30 mmHg(High hydrostatic pressure),  $P_d/P_a$  in case 2 was set as reconstructed iFR,  
397 and when the static pressure was 10 mmHg(Low hydrostatic pressure),  $P_d/P_a$  in case 2 was set  
398 as reconstructed FFR.

399 **Figure 4 The waveform of  $P_{wedge}$  and  $P_{back}$ .** The static pressure was (a) 10 and (b) 30 mmHg at  
400 stenosis 48%. Each static pressure was added to  $P_{back}$ .

401 **Figure 5 The correlation between observed wedge pressure( $P_{wedge}$ ) and calculated wedge  
402 pressure( $P_{back} + P_{static}$ ).** The correlation was high ( $r = 0.990$ ,  $p < 0.0001$ ) and slope was 1.0612.

403 **Figure 6 Correlation between (a) FFR and reconstructed FFR, (b) iFR and reconstructed iFR.**  
404 Both graphs show a high correlation.

# Figures



**Figure 1**

Changes in pressures before and after Hyperemia and wave free period extraction through WIA analysis. a) Aortic and distal pressures ( $P_a$ ,  $P_d$ ), forward and backward waves ( $P_{for}$ ,  $P_{back}$ ) in a cycle at pre-hyperemia and hyperemia, and horizontal lines are their average values over a cycle.  $P_a$  decreased little but  $P_d$  decreased more at hyperemia. Although overall  $P_{back}$  decreases a lot at hyperemia, there is little change in  $P_{for}$  between pre-hyperemia and hyperemia (b) Wave free period was extracted through wave intensity analysis (WIA) as shown in green boxes.

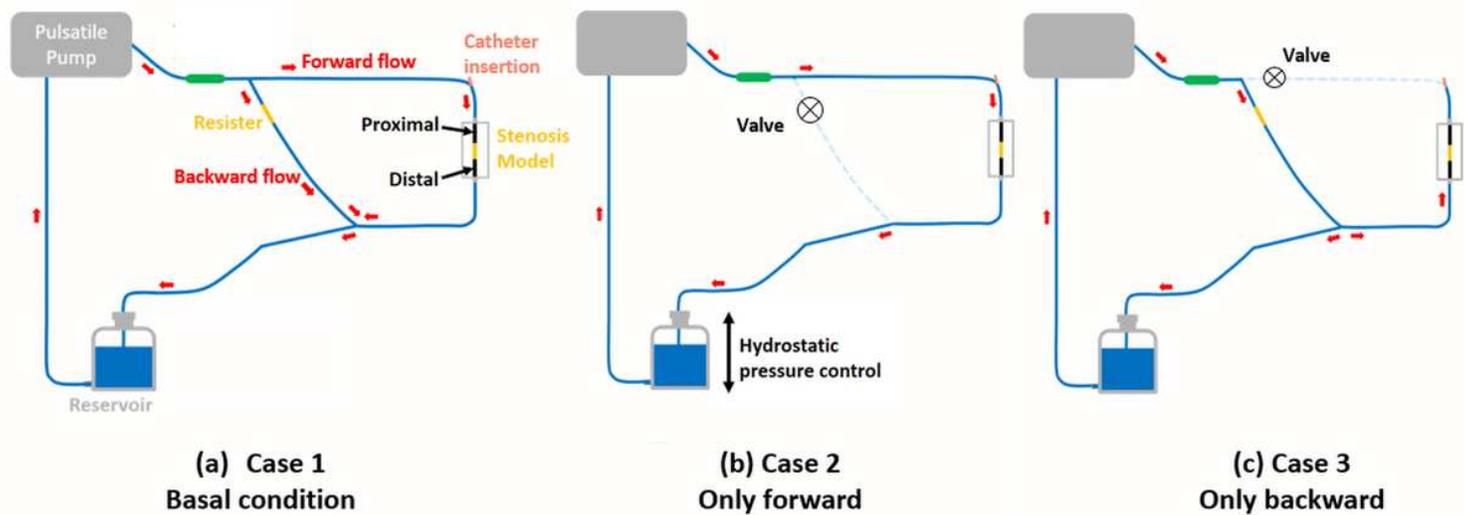


Figure 2

An in vitro coronary circulation system. Three conditions were created as (a), (b) and (c). (a) Basal condition, in which both forward and backward flows existed. Resister was used to control the ratio of forward and backward flow, and the pressure and blood flow were stabilized using the Windkessel model. (b) Only forward condition, in which backward flow was blocked. By adjusting the height of the reservoir, the  $P_{static}$  was controlled. High  $P_{static}$  is assumed to be pre-hyperemia and low  $P_{static}$  is assumed to be hyperemia. (c) Only backward flow condition, in which forward flow was blocked for measuring.

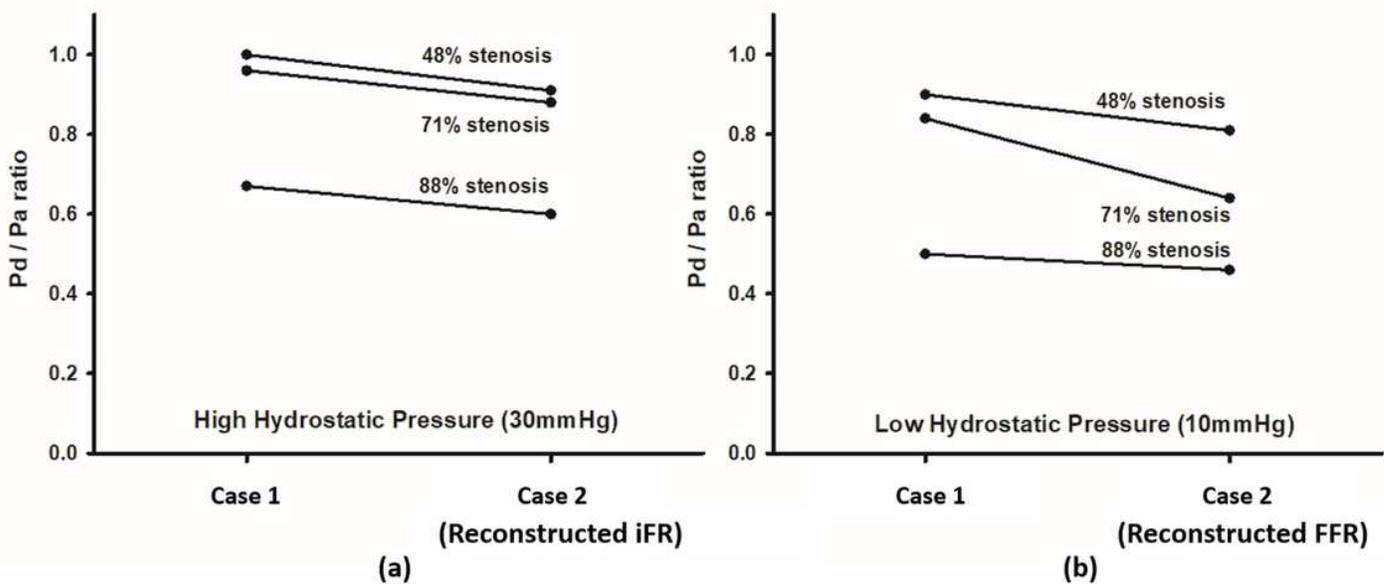
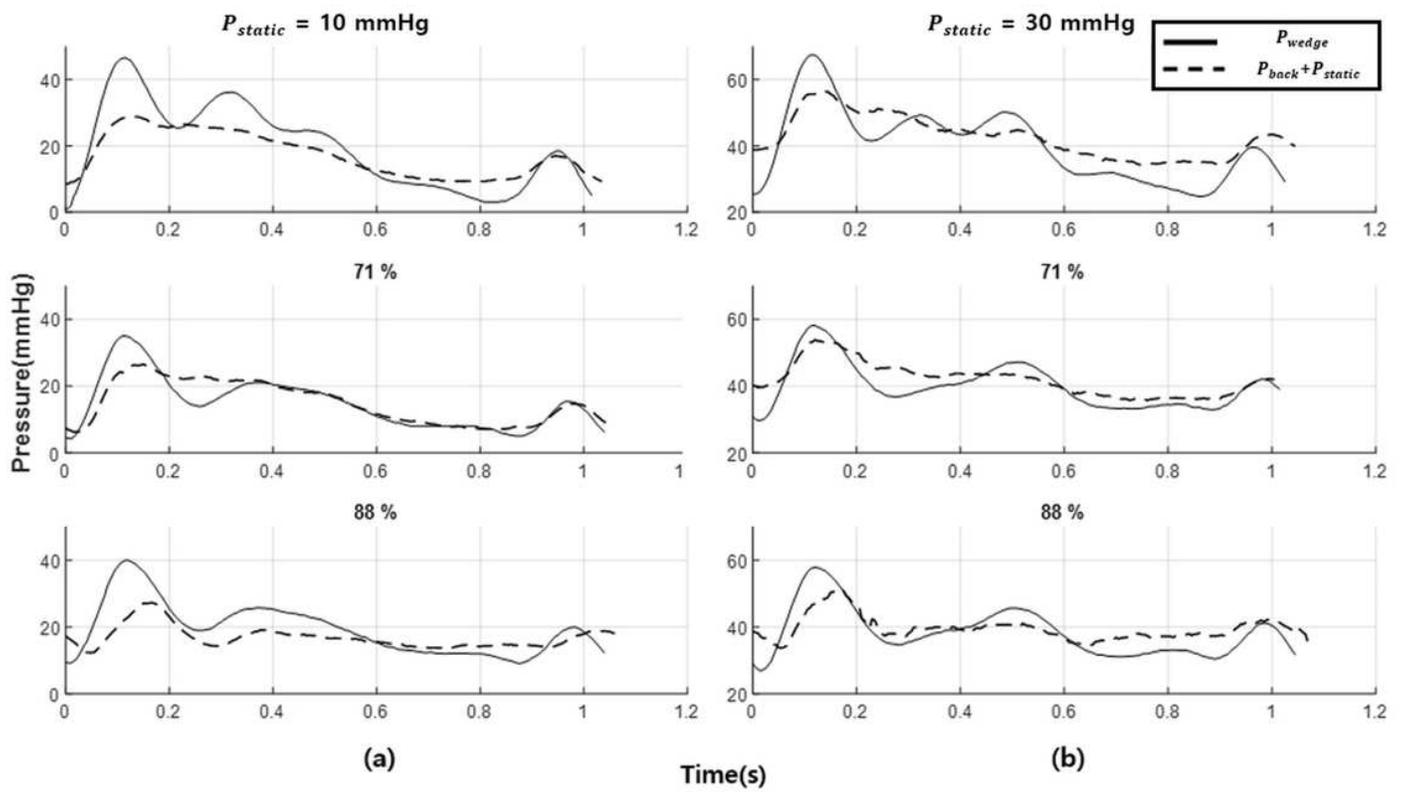


Figure 3

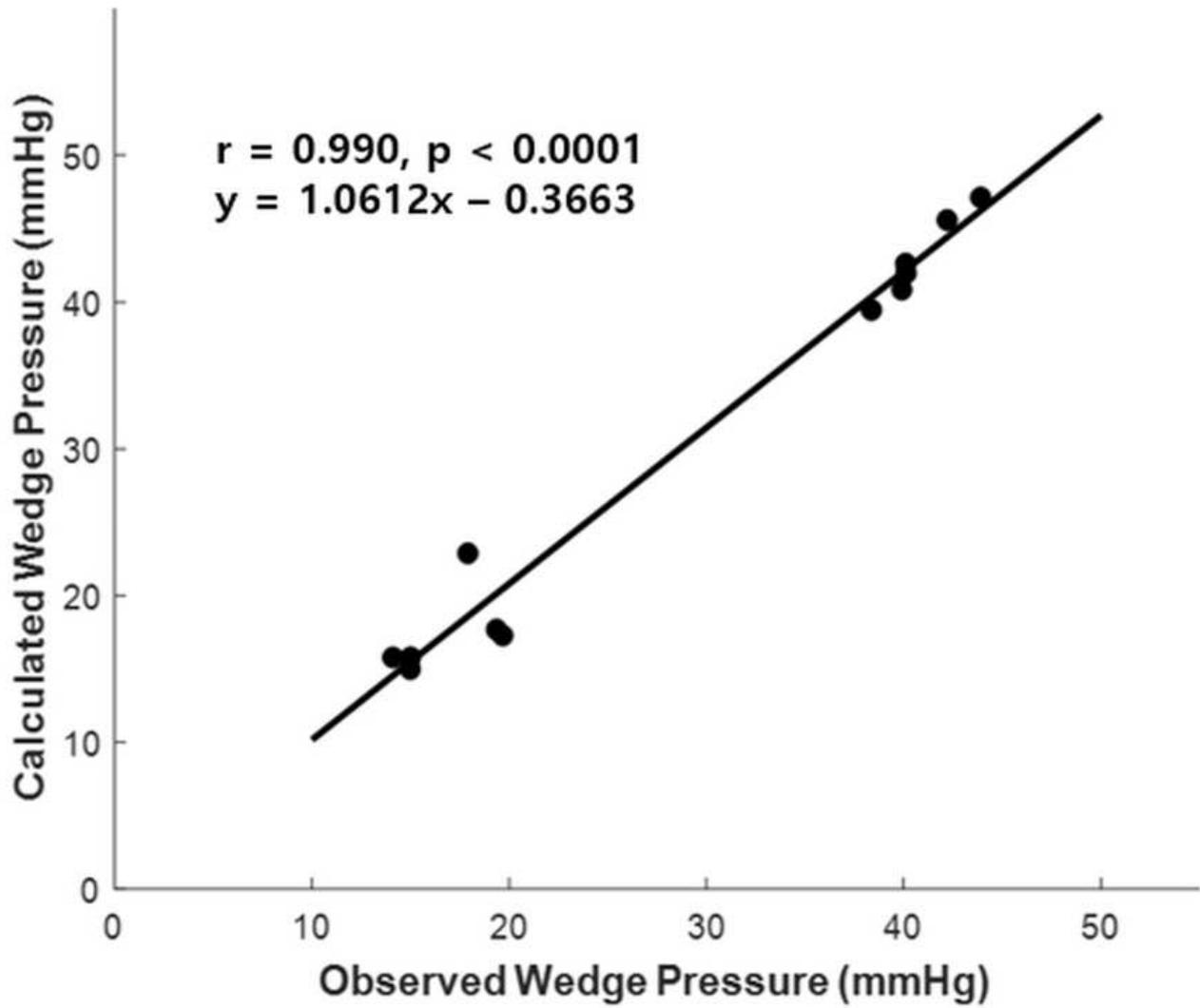
$P_d/P_a$  in Case1 and 2 at 3 stenosis when  $P_{static}$  was 30 and 10 mmHg. When the static pressure was 30 mmHg(High hydrostatic pressure),  $P_d/P_a$  in case 2 was set as reconstructed iFR, and when the

static pressure was 10 mmHg(Low hydrostatic pressure),  $P_d/P_a$  in case 2 was set as reconstructed FFR.



**Figure 4**

The waveform of  $P_{wedge}$  and  $P_{back}$ . The static pressure was (a) 10 and (b) 30 mmHg at stenosis 48%. Each static pressure was added to  $P_{back}$ .



**Figure 5**

The correlation between observed wedge pressure( $P_{\text{wedge}}$ ) and calculated wedge pressure( $P_{\text{back}}+P_{\text{static}}$ ). The correlation was high ( $r = 0.990, p < 0.0001$ ) and slope was 1.0612.

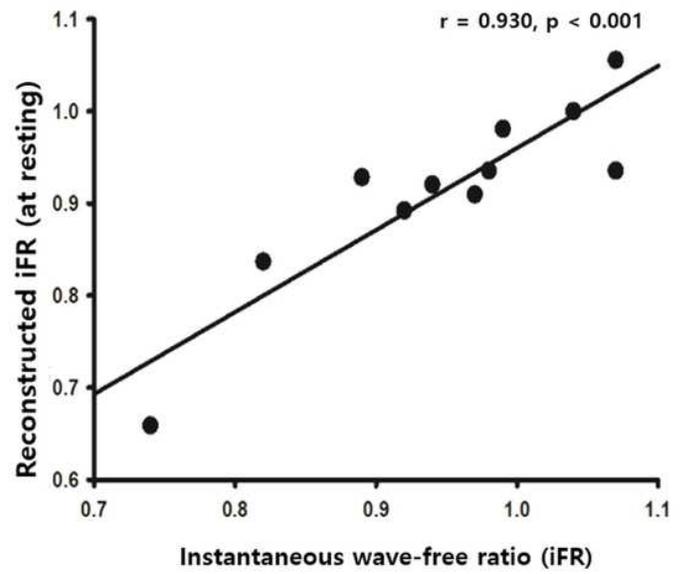
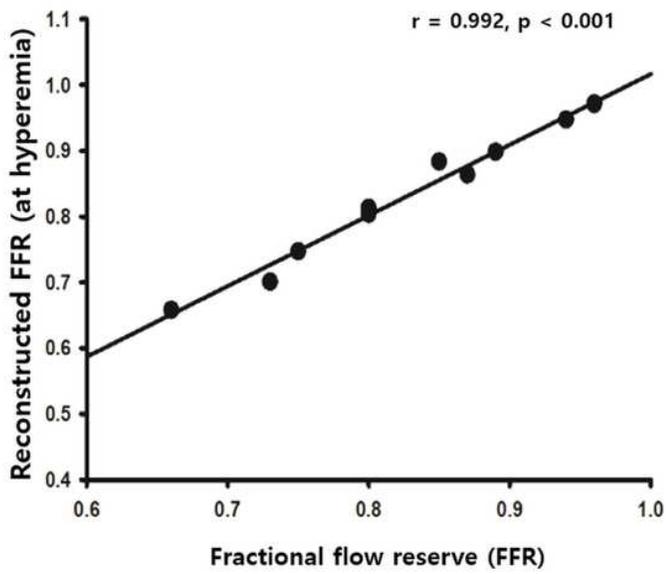


Figure 6

Correlation between (a) FFR and reconstructed FFR, (b) iFR and reconstructed iFR. Both graphs show a high correlation.