

# N Balance and Requirements Under Different N Management Practices in a No-Till Perennial Rice Cropping System

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## Research Article

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1 **N balance and requirements under different N management practices**  
2 **in a no-till perennial rice cropping system**

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10

11 **Abstract**

12 **Aims:** In the absence of tillage, perennial rice is an innovation and supplement to rice production.

13 Evaluating crop N uptake and N requirements and maintaining soil N balance are essential for  
14 informing decisions regarding optimal N management and the accessibility of the soil  
15 environment benefits of perennial rice cropping systems.

16 **Methods:** To assess the soil nitrogen cycle and balance, formulate optimal N fertilizer  
17 management for perennial rice, a field experiment with four nitrogen rates (N0, N1, N2 and N3  
18 refer to 0, 120, 180 and 240 kg N ha<sup>-1</sup>, respectively) integrated with three planting densities (D1,  
19 D2 and D3 refer to 100 × 10<sup>3</sup>, 167 × 10<sup>3</sup> and 226 × 10<sup>3</sup> plants ha<sup>-1</sup>, respectively) was conducted for  
20 two years over four seasons (2016-2017) in southern China.

21 **Results:** The results showed that N2D3 mode could sustainably produce higher dry matter

22 accumulation ( $15.15 \text{ t ha}^{-1}$ ) and grain yields ( $7.67 \text{ t ha}^{-1}$ ) over four seasons, showed significantly  
23 higher N uptake ( $201 \text{ kg ha}^{-1}$  each season) and less soil N loss (27.1%). Additionally, the N2D3  
24 mode could reach the optimal N balance ( $-0.2 \text{ kg ha}^{-1}$ ) in perennial rice fields with low N  
25 requirements ( $23.9 \text{ kg N Mg}^{-1}$  grain), resulting in higher N use efficiency (NAE:  $26.5 \text{ kg N kg}^{-1}$ ,  
26 NRE: 64.9%).

27 **Conclusion:** In the perennial rice cropping system,  $180 \text{ kg N ha}^{-1}$  integrated with  $226 \times 10^3$  plants  
28  $\text{ha}^{-1}$  resulted in higher grain yields with lower N requirements, higher N use efficiencies, and  
29 lower soil N losses, thereby maintaining the soil N balance for sustainable perennial rice  
30 production.

31 **Keywords:** N balance; N fertilizer; N uptake; perennial rice cropping system; soil N loss

32

### 33 1. Introduction

34 Due to the ongoing growth of the world's population, the demand for food is under great  
35 pressure (Godfray et al., 2010; Seck et al., 2012). Rice is a staple food for more than half of the  
36 world's population and faces more pressure than any other grain (Deng et al., 2019). In rice  
37 production, chemical fertilizer addition, increasing planting density, and improving cultivated area  
38 are proposed as effective ways to increase yields (Hayashi et al., 2006; Xu et al., 2017; Naylor et  
39 al., 2007). Due to the restricted amount of arable land available (Liu et al., 2005), fertilizer  
40 addition and increasing planting density are proposed as the primary ways to improve yield (Hou  
41 et al., 2019). Fertilizer addition is proposed as the main method due to farmers' desire to maximize  
42 grain yield (Lutes et al., 2016). Overfertilization has been a common phenomenon for farmers, but

43 excessive or inappropriate fertilization does not always contribute to high grain yield and may  
44 result in low fertilizer use efficiency and cause a series of environmental problems (Li et al., 2018;  
45 Jing et al., 2007; Zhang et al., 2018). The prevailing rice production mainly relies on annual rice  
46 with plowing cropping systems, which is intensive work for farmers, especially in terraces and  
47 mountains (Huang et al., 2018). Annual plowing also intensifies soil erosion and degradation,  
48 which is not conducive to sustainable soil production (Aziz et al., 2013).

49 Perennial rice is bred by the clone characteristics of the rhizome of *Oryza longistaminata* and  
50 can survive and produce for several successive seasons or years (Huang et al., 2018; Zhang et al.,  
51 2021). With the release of perennial rice cultivar 23 (PR23) in 2018, the revolution of rice  
52 production caused by perennial crops began. From the second season or year, perennial rice could  
53 ratoon from the rhizome of the stubble of the last season and produce for the successive years  
54 (Zhang et al., 2017; Zhang et al., 2019; Huang et al., 2018; Zhang et al., 2021). Without tillage,  
55 seeding, and transplanting, perennial rice reduces labor and material input, resulting in  
56 considerable economic profit for farmers (Huang et al., 2018; Zhang et al., 2021). The absence of  
57 tillage always reduces soil erosion and enhances soil properties, achieves sustainable and  
58 environmentally beneficial rice production, and balances ecological and food security (Pimentel et  
59 al., 2012; Zhang et al., 2018).

60 Nitrogen (N) is an essential element for perennial rice production, and increasing the N  
61 fertilizer rate and planting density has been regarded as way to considerably improve rice yield  
62 (Hou et al., 2019; Wu et al., 2020). However, unreasonable N management could result in low  
63 crop yields along with serious environmental problems (Tilman et al., 2011). Proper N and

64 planting density management formulated for perennial rice would help farmers produce rice  
65 environmentally and scientifically. Based on grain yield increases, evaluating the response of  
66 perennial rice to N rate and planting density, soil N balance and loss, and N requirements could  
67 help us formulate optimal N management and access the soil environment in perennial rice  
68 cropping systems. Due to the short-term perennial release of rice, the response of perennial rice to  
69 N and planting density management in perennial cropping systems is still unclear. Thus, a field  
70 experiment of four N application rates integrated with three planting densities was conducted to  
71 assess the dry matter accumulation and grain yield, plant nitrogen uptake and requirement, and  
72 soil nitrogen balance and loss of perennial rice. The objective of this paper was to explore the  
73 response of perennial rice to N fertilizer and planting density management, evaluate the  
74 productivity and soil nitrogen balance and loss in perennial rice fields, and formulate and provide  
75 proper N fertilizer management in a no-till perennial rice cropping system.

## 76 **2. Materials and methods**

### 77 **2.1 Site description**

78 This study was performed over four successive seasons from 2016 to 2017 at the Perennial  
79 Rice Research Station of Yunnan University located in the town of Gasa (N 20°57'22", E  
80 100°45'43", altitude 555 m), Jinghong, Yunnan Province, southern China, i.e., a typical double  
81 rice area that is characterized by a tropical monsoon climate. The average rainfall and temperature  
82 are 1136.6 mm and 23.3°C, respectively, and most rainfall occurs from April to October. Before  
83 2016, prevailing annual rice plowing was conducted in the trial field. The soil was classified as a  
84 ferralitic soil with a soil pH of 5.05, a soil organic matter content of 34 kg kg<sup>-1</sup>, and a total soil

85 nitrogen content of 2.1 kg kg<sup>-1</sup>, a total available soil nitrogen content of 156 mg kg<sup>-1</sup>, a total  
86 available soil phosphorous content of 7.6 mg kg<sup>-1</sup>, and a total available soil potassium content of  
87 139 mg kg<sup>-1</sup>.

## 88 2.2 Experimental design

89 A split-plot experiment with three replicates was applied over four successive seasons from  
90 2016 to 2017 as 2016F (first season) and regrowth seasons 2016S, 2017F and 2017S. Four N  
91 application rates of 0, 120, 180 and 240 kg N ha<sup>-1</sup>, abbreviated as N0, N1, N2 and N3, respectively,  
92 were used as the main plots, and three planting densities, 100×10<sup>3</sup>, 167×10<sup>3</sup> and 226×10<sup>3</sup> plants  
93 ha<sup>-1</sup>, abbreviated as D1, D2 and D3, respectively, were used as subplots (Fig. 1a). These four N  
94 application rates and three planting densities generated 12 combinations, namely, N0D1, N0D2,  
95 N0D3, N1D1, N1D2, N1D3, N2D1, N2D2, N2D3, N3D1, N3D2 and N3D3 (Fig. 1a), each of  
96 which covered an area of 20 m<sup>2</sup>.

97 The cultivar perennial rice 23 (PR23) was selected as the material, which was sown on 15  
98 Dec 2015, to be transplanted in a plowing and level field on 30 Jan 2016, and harvested in late  
99 June and October of each year (Fig. 1c). After harvesting was completed in each season, the rice  
100 stubble was cut back 5–10 cm above the ground to maintain the uniformity of new tillers arising  
101 from rhizomes and to depress tillers from the stem. The new tillers that emerged from the rhizome  
102 of the rice stubble were only maintained for successive regrowth seasons (2016S, 2017F and  
103 2017S). Meanwhile, no tilling was conducted across successive regrowth seasons. During winter,  
104 perennial rice lies dormant in the soil and emerges when warmer temperatures return in the next  
105 year.

106 N fertilizer (Urea) was manually and evenly spread at four stages as 50% at the transplanting  
107 time for 2016F or new tillers emerging for regrowth seasons (2016S, 2017F and 2017S), 20% at  
108 the tilling stage, 20% at heading stage and 10% at the filling stage, respectively. For different  
109 planting densities, the plant spacings for D1, D2 and D3 were 27, 20 and 17 cm, respectively, and  
110 the row spacings for these were 37, 30 and 26 cm, respectively.

## 111 2.3 Sampling and analytical methods

### 112 2.3.1 Grain yield

113 At harvest, grain yield and dry matter were manually harvested over an area greater than 5 m<sup>2</sup>,  
114 and grain yield was weighed and adjusted to a water content of 14%.

### 115 2.3.2 Soil and plant nitrogen

116 Soil nitrogen (N) and plant N were determined by using the Kjeldahl method. Soil samples  
117 were taken at five points forming an “S” shape and sieved through a 0.25 mm screen for soil  
118 nitrogen analysis. The plant samples were collected and divided into three grain, stem, and leaf  
119 sections at harvest time, crushed, and then sieved through a 0.25 mm screen for plant nitrogen  
120 analysis. Plant N uptake, soil N loss, N balance, N requirement, and N physical effect were  
121 calculated by the formulae as follows:

$$122 \quad \text{N uptake (kg ha}^{-1}\text{)} = \text{N\% in grain} \times Y_g + \text{N\% in stem} \times Y_s + \text{N\% in leaf} \times Y_l$$

$$123 \quad \text{N input (kg ha}^{-1}\text{)} = \text{N application} + \text{N addition by stubble}$$

$$124 \quad \text{N balance (kg ha}^{-1}\text{)} = \sum N_{\text{input}} - \sum N_{\text{uptake}}$$

$$125 \quad \text{N loss (kg ha}^{-1}\text{)} = \text{soil-based N variation (sowing-harvest)} + \text{N input} - \text{N uptake}$$

$$126 \quad \text{N requirement (kg Mg}^{-1}\text{ grain)} = \text{plant N uptake} / Y_g$$

127 N agronomic efficiency (NAE) ( $\text{kg N kg}^{-1}$ ) = grain yield (Ni-N0)/N application

128 N recovery efficiency (NRE) ( $\text{kg N kg}^{-1}$ ) = plant N uptake (Ni-N0)/N application

129 where Yg is the grain yield, Ys is the stem yield, Yl is the leaf yield, and  $i \geq 1$ .

## 130 2.4 Statistical analysis

131 Split-plot analysis with three-way ANOVA (N rate and planting density were set as two fixed  
132 factors, and season was set as a random factor) was used to assess differences between the  
133 significance of the main plot and subplots and the interactions of the treatments. Three replications  
134 were calculated for each measurement, and one-way ANOVA was used to compare the effects of  
135 the different treatments on the measured variables. F-tests were conducted, and multiple  
136 comparisons were performed using the least significant difference test (LSD) ( $P \leq 0.05$ ).  
137 Experimental data were analyzed with the IBM SPSS statistical package v.20.0 (SPSS, Inc.,  
138 Chicago, IL, USA), and the figures were generated using Origin 2015 (Sys Software, Inc.).

## 139 3. Results

### 140 3.1 Yield

#### 141 3.1.1 Grain yield

142 There was a significant difference in the grain yield of different treatments ( $P < 0.05$ ) (Table 1).  
143 The statistics describing the season ( $P < 0.001$ ), nitrogen ( $P < 0.001$ ), density ( $P < 0.05$ ) and  
144 interactive effects of nitrogen with density ( $P < 0.05$ ) and season, nitrogen and density ( $P < 0.01$ ) all  
145 determined the grain yield of perennial rice. For the effects of N fertilizer, N1, N2 and N3  
146 significantly increased the grain yield by 82.2%, 148% and 141%, respectively, compared with N0  
147 ( $2.69 \text{ t ha}^{-1}$ ) ( $P < 0.05$ ). For the planting densities, D2 ( $5.34 \text{ t ha}^{-1}$ ) and D3 ( $5.64 \text{ t ha}^{-1}$ ) had

148 significantly higher grain yields than D1 ( $4.59 \text{ t ha}^{-1}$ ) ( $P<0.05$ ). In the four seasons, N2D3 resulted  
149 in a significantly higher average grain yield of  $7.67 \text{ t ha}^{-1}$ .

### 150 3.1.2 Dry matter accumulation

151 The dry matter accumulation of perennial rice in 2016-2017 is shown in Fig. 2. The dry  
152 matter of the regrowth seasons (2016S, 2017F and 2017S) remained stable with the transplanting  
153 season (2016F), was significantly affected by season ( $P<0.01$ ), nitrogen ( $P<0.001$ ) and density  
154 ( $P<0.01$ ), and interacted with nitrogen and density ( $P<0.001$ ) (Table 1). As the N rate and planting  
155 density increased, the dry matter of the leaves, stems, and panicles increased. N2D3 showed the  
156 highest aboveground dry matter accumulation ( $15.46 \text{ t ha}^{-1}$ ) in the four seasons (Table 1), and the  
157 leaf, stem, and panicle weights were  $1.67$ ,  $5.34$  and  $8.45 \text{ t ha}^{-1}$ , respectively, followed by N3D3  
158 ( $15.15 \text{ t ha}^{-1}$ ). For the effect of N fertilizer, N1, N2 and N3 significantly improved the  
159 aboveground dry matter accumulation (leaf, stem and grain weight) compared with N0, and the  
160 increases were 43.2%, 77.5% and 77.1%, respectively ( $P<0.05$ ). For the effect of planting density,  
161 D3 and D2 significantly increased the aboveground dry matter accumulation by 38.6% and 19%,  
162 respectively, compared to D1 ( $P<0.05$ ).

163 In the first seasons of 2016 and 2017, the panicle accounted for a large proportion of dry  
164 matter, at 50.46% to 56.03%, and straw (leaf and stem) accounted for 43.97% to 49.54% (Fig. 2).  
165 In the second season (2016S, 2017S), straw accounted for a large proportion of dry matter (54.39%  
166 to 62.67%), and panicles accounted for 37.33% to 45.61%.

### 167 3.2 Plant N uptake

168 The N application rate and planting density significantly affected the N uptake of perennial

169 rice ( $P<0.05$ ) (Fig. 3), and the N uptake of perennial rice was stable in the first and second seasons.  
170 Compared to the second season (28.7 to 59.9%), perennial rice absorbs and transfers more N in  
171 grain in the first season (49.5% to 78.3%). The N uptake of grain accounted for 54.5% to 59.7% of  
172 that by dry matter, and those in 2016F, 2016S, 2017F and 2017S were 73.5%, 50.6%, 65.1% and  
173 37.9%, respectively. As the N application rate and planting density increased, N uptake by stems,  
174 leaves, and grains increased (Fig. 3). For the N uptake by grain, N0, N1, N2 and N3 were 43, 73,  
175 95 and 95 kg ha<sup>-1</sup>, respectively, and D1, D2 and D3 were 66, 74 and 89 kg ha<sup>-1</sup>, respectively. For  
176 the N uptake by stems, N3 and D3 showed the highest values of 57 and 55 kg ha<sup>-1</sup>, respectively.  
177 For the N uptake by leaves, N3 and D3 showed the highest values of 18 and 16 kg ha<sup>-1</sup>,  
178 respectively. In the four seasons, N3D3 and N2D3 showed the highest N uptake values of 204 and  
179 201 kg ha<sup>-1</sup>, respectively.

180 Accounted for all treatments, the dry mater, straw (stem and leaf), and grain yield were  
181 significantly and positively related to the N uptake ( $P<0.01$ ) (Fig. 4). The high N uptake of grain,  
182 straw yield, and dry matter in N2D3 and N3D3 resulted in a high dry matter and grain yield.

### 183 3.3 Soil N cycle

#### 184 3.3.1 Soil N

185 Across all four seasons of perennial rice, the soil N was significantly driven by the  
186 interactional effect of season, N rate with planting density ( $P<0.001$ ), N rate with planting density  
187 ( $P<0.05$ ) and season ( $P<0.001$ ) (Table 2). There was no significant difference among different N  
188 rates and planting densities ( $P<0.05$ ) (Fig. 5). In the four seasons, soil N declined slowly as the  
189 experiment continued ( $P<0.05$ ).

### 190 3.3.2 Soil N removal and loss

191 In the perennial rice cropping system, soil N was mainly taken up by plant removal (N uptake  
192 by plants) (Fig. 1b). There was a significant difference among the N removal of different  
193 treatments (Fig. 6 & Table 2). With the increment of N rate, the N removal by perennial rice  
194 significantly increased ( $P<0.05$ ), N0, N1, N2 and N3 were 80, 130, 164 and 170 kg ha<sup>-1</sup>,  
195 respectively, but there was no significance between N2 and N3 ( $P<0.05$ ). For different planting  
196 densities, as the density increased, N removal by plants increased significantly ( $P<0.05$ ); D1, D2  
197 and D3 were 154, 178 and 212 kg ha<sup>-1</sup>, respectively. In the four seasons, N3D3 and N2D3 resulted  
198 in the highest N removal values, which were 204 and 201 kg ha<sup>-1</sup>, respectively.

199 In contrast to the N removal by plants, the soil N loss and loss rate increased with the  
200 increase in the N rate and decrease in planting density (Fig. 6 & Table 2). The soil N losses in N0,  
201 N1, N2 and N3 were 15, 94, 110 and 172 kg ha<sup>-1</sup>, respectively, but there was no significant  
202 difference between N1 and N2 ( $P<0.05$ ). The soil N loss rates of N0, N1, N2 and N3 were 16.8%,  
203 41.8%, 40.3% and 50.6%, respectively. The soil N losses in D1, D2 and D3 were 146, 134 and 110  
204 kg ha<sup>-1</sup>, respectively. The soil N loss rates of D1, D2 and D3 were 57.3%, 50%.9 and 41.3%,  
205 respectively. High planting density significantly reduced soil N loss ( $P<0.05$ ). N3D1 resulted in  
206 the highest soil N loss and loss rate, which were 191 kg ha<sup>-1</sup> and 59.1%, respectively, and N0D2  
207 resulted in the lowest value, which was 12 kg ha<sup>-1</sup> and 13.3%, respectively (Fig. 6 & Fig. 7d).

### 208 3.3.3 Apparent N balance

209 The apparent soil N balance was calculated by the difference in soil N input and soil N  
210 removal. In the perennial rice cropping system, soil N input includes N fertilizer application and

211 decomposition of rice stubble (Fig. 1b). The N input by rice stubble was mainly related to the N  
212 rate in straw and the biomass of straw. A high N rate and planting density would lead to a high N  
213 input for perennial rice (Fig. 7c). In the four seasons, the N inputs by stubble of N0D1, N0D2,  
214 N0D3, N1D1, N1D2, N1D3, N2D1, N2D2, N2D3, N3D1, N3D2 and N3D3 were 8.4, 9.2, 10.8,  
215 12.9, 13.2, 16.9, 13.1, 17.3, 20.8, 14.9, 19.7 and 22.2 kg ha<sup>-1</sup>, respectively. According to the soil N  
216 input and soil N removal, the soil N balances of N0D1, N0D2, N0D3, N1D1, N1D2, N1D3, N2D1,  
217 N2D2, N2D3, N3D1, N3D2 and N3D3 were -66.3, -71.6, -73.5, 8.1, 14.7, -10.6, 63.4, 37.0, -0.2,  
218 122.3, 85.9 and 57.9 kg ha<sup>-1</sup>, respectively (Fig. 7e). Within the four seasons, N2D3 achieved the  
219 soil N balance among all treatments.

### 220 3.4 N effects and requirement

221 The N agronomic effect (NAE) and N recovery efficiency (NRE) are important indicators of  
222 the N fertilizer effect. With the increase in nitrogen, NAE and NRE increased, N2 resulted in  
223 better N effects (NRE: 46.5%, NAE: 22.2 kg N kg<sup>-1</sup>) (Fig. 8). For planting density, D3 had a better  
224 N effect, NRE was 55.9%, and NAE was 20.3 kg N kg<sup>-1</sup>. In the four seasons, N2D3 resulted in the  
225 best N effect, and the NAE and NRE were 64.9 kg N kg<sup>-1</sup> and 26.5%, respectively.

226 The N requirement refers to the amount of N required to produce 1 Mg of rice grain and is an  
227 important indicator to evaluate the N effect in perennial rice cropping systems. In the four seasons,  
228 the N requirement of perennial rice averaged 29.7 kg N Mg<sup>-1</sup> grain, and the N requirements of  
229 N0D1, N0D2, N0D3, N1D1, N1D2, N1D3, N2D1, N2D2, N2D3, N3D1, N3D2 and N3D3 were  
230 37.1, 37.9, 36.3, 29.2, 25.7, 32.4, 25.1, 23.8, 27.6, 23.9, 27.1 and 30.7 kg N Mg<sup>-1</sup> grain,  
231 respectively (Fig. 7f).

## 232 4. Discussion

### 233 4.1 Dry matter accumulation

234 Increasing fertilizer and planting density have been proposed as effective ways to improve  
235 rice yield (Hou et al., 2019; Ahmed et al., 2016). As N fertilizer and planting density increase,  
236 grain yields increase to a certain extent (Zhang et al., 2018; Hayashi et al., 2006). In accordance  
237 with the annual rice, the grain yield and dry matter accumulation of perennial rice showed the  
238 same response to N fertilizer and planting density (Fig. 2 & Table 1). However, the addition of  
239 fertilizer does not always result in a high crop yield as it may result in low fertilizer use efficiency  
240 and more fertilizer erosion, thus causing a series of economic and environmental problems  
241 (Sharma et al., 2018). The higher N fertilizer in N3 did not result in a significantly higher grain  
242 yield but more soil N loss and low N use efficiency (NAE and NRE) in the perennial rice cropping  
243 system. The proper N fertilizer rate and planting density in N2D3 are conducive to soil nitrogen  
244 absorption and crop production. By the positive relationship of N uptake with straw and grain  
245 yield, the high N uptake in N2, N3 and D3 would lead to a high grain yield and dry matter  
246 accumulation. Although N3 resulted in high grain yield and dry matter in four seasons, similar to  
247 N2, the high soil N loss and low N use efficiency would lead to high N erosion risk and less  
248 economic profit. Proper N application and planting density could help to obtain high grain yield  
249 and dry matter accumulation, improve N use efficiency, and reduce soil N erosion (Chen et al.,  
250 2014). The optimal combination of the N rate with planting density in N2D3 resulted in the  
251 highest dry matter accumulation and grain yield in the perennial rice cropping system.

### 252 4.2 Plants N uptake and N use efficiency

253 N is an essential element for perennial rice production, and plant N uptake is closely related  
254 to dry matter accumulation and grain yield (Gardner and Drinkwater, 2009). Recent literature has  
255 reported that increasing crop nutrient uptake has emphasized the need for greater synchrony  
256 between crop nutrient demand and the nutrient supply from all sources throughout the growing  
257 season (Chen et al., 2011; Cui et al., 2018). A properly high N rate could help plants absorb more  
258 N for production (Ladha et al., 2005; Zhang et al., 2020). The N uptake of perennial rice  
259 significantly increased with increasing N application rate and planting density, and N3D3 and  
260 N2D3 showed significantly high N uptake. However, there was no significant difference between  
261 them. N3 did not increase the N uptake of perennial rice but showed more soil N loss than N2.  
262 Excessive N fertilizer input leads to luxury N absorption but enhances soil N loss and leaching  
263 (Jing et al., 2007; Li et al., 2018). In all four seasons, the N uptake of perennial rice remained  
264 stable. In the first season, N uptake by plants mainly transferred to grain yield and then led to a  
265 high grain yield of perennial rice. In the second season, more N was absorbed by straw, and then  
266 the grain yield was lower than that in the first season. The lower N uptake in the second season  
267 was one of the main reasons for the low yield of perennial rice.

268 In recent years, increasing fertilizer loss and pollution have appeared in the field due to the  
269 desire for higher crop yields, which has caused more environmental problems (Zhang et al., 2018).  
270 In China, the fertilizer use efficiency is 30% to 35%, which is far below that of the rest of the  
271 world (Chen et al., 2014). Therefore, we need to improve the N fertilizer use efficiency while  
272 maintaining high crop yields. NAE and NRE were effective indicators to evaluate fertilizer use  
273 efficiency, and higher NAE and NRE values meant that fertilizer could produce more grain yield

274 and result in higher fertilizer use efficiency (Xie et al., 2013). The high NAE and NRE in N2 and  
275 D3 revealed that at this nitrogen and planting density, perennial rice could utilize N fertilizer  
276 efficiently and resulted in the best N effect in the N2D3 mode.

277 The N requirement denotes the N productivity ability and N production efficiency. The N  
278 requirement is also an effective indicator used to evaluate the N fertilizer use efficiency and  
279 productivity and refers to the N requirement to produce 1 Mg grain (Yin et al., 2019). The low N  
280 requirement revealed that producing the same grain yield requires less N fertilizer. The low N  
281 requirement in N2D3 indicated that N would produce more grain yield and have a higher use  
282 efficiency with less N loss or pollution in this mode. The highest AE and PEP and proper N  
283 requirement in N2D3 also illustrated that in this mode, the N fertilization effect was the best, and  
284 that perennial rice would produce more grain yield, less fertilizer loss and pollution, and obtain  
285 more economic profit.

#### 286 **4.3 N cycle and balance**

287 Soil N is the main soil nutrient used for enhancing crop production, and the soil N supply and  
288 balance immediately determines crop productivity (Cassman et al., 2002). The majority of crop N  
289 came from the soil; if the soil N was balanced in terms of inputs and outputs, the gaps between  
290 soil N consumption and fertilizer N replenishment would imply that other forms of exogenous N  
291 compensated for the soil N deficits, such as N deposition and biotic N fixation (Quan et al., 2020).  
292 In the perennial rice cropping system, the source of soil N included soil base N, N fertilizer  
293 application, and N from some stubble decomposition, while the removal of soil N, including N,  
294 was taken up by dry matter and soil N loss. Maintaining the soil N balance is the premise for

295 sustainable rice production. In this study, the N2D3 mode maintained the apparent soil N balance  
296 in the field, and the negative N balance in N0 would lead to soil degradation and reduced crop  
297 yields. If exogenous N replenishment was lower than soil N consumption, the soil N supply  
298 capacity would hardly be sustained after long-term soil N mining, which will eventually lead to  
299 soil fertility degradation and crop yield reductions (Mulvaney et al., 2009; Ju and Christie, 2011).  
300 The high N balance in N3 would lead to surplus N and more soil N loss, which would result in  
301 serious environmental problems.

## 302 5. Conclusion

303 Studying the N utilization and N cycle in perennial rice cropping systems helps us evaluate  
304 the N effects and soil N loss and to formulate optimal N management for sustainable perennial  
305 rice production. In this study, the N2D3 mode resulted in higher and sustainable grain yield and  
306 dry matter accumulation with better N effects (NAE and NRE). Additionally, the N2D3 mode took  
307 up more N nutrients from the soil and resulted in less soil N loss, maintaining the apparent N  
308 balance in the soil. In perennial rice cropping systems, the N2D3 (180 kg N ha<sup>-1</sup> integrated with  
309 226×10<sup>3</sup> plants ha<sup>-1</sup>) mode would be optimal for sustainable production and soil N balance,  
310 which would result in less soil N loss and pollution.

## 311 Conflict of interest

312 The authors declared that they have no conflicts of interest to this work.

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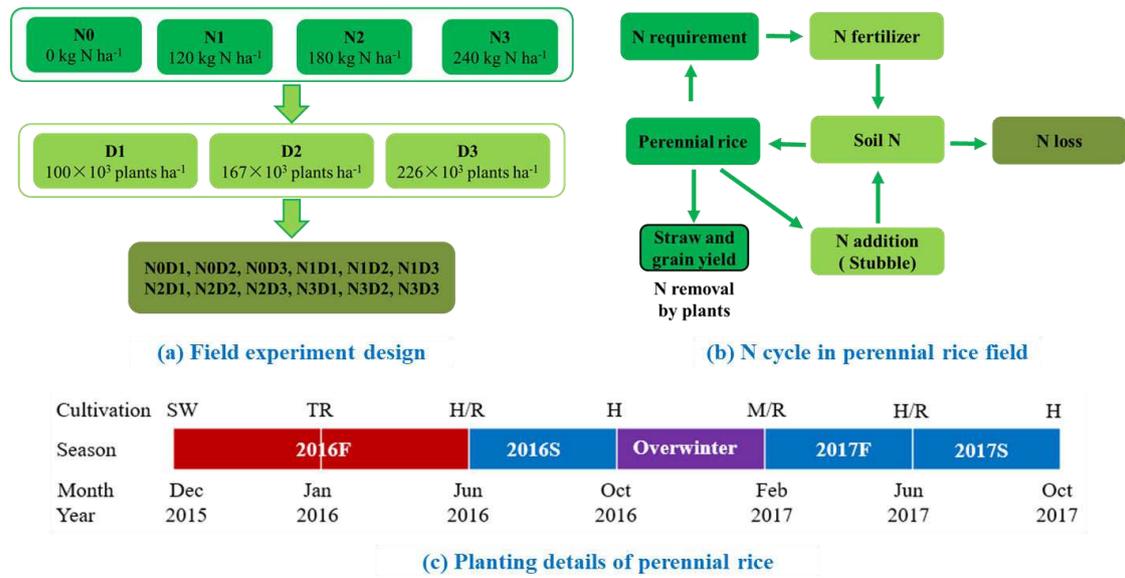
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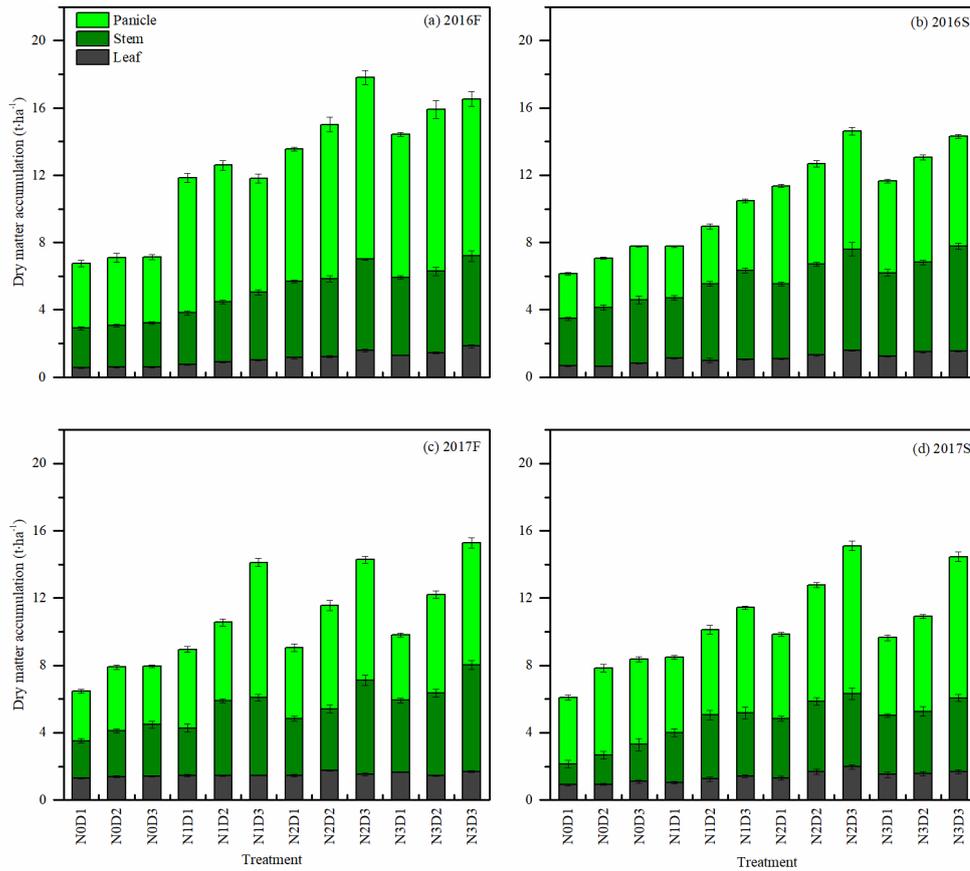
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411 Figures



412

413 Fig. 1 Field experimental design, planting details, and N cycle in a perennial rice field. (a) Field  
 414 experimental design with different N application rates and planting densities. (b) N cycle in  
 415 perennial rice cropping system. (c) Planting details of perennial rice. SW, sowing. TR,  
 416 transplanting. H, harvest. R, regrowth. M, stubble management (cutting back); 2016F, first season  
 417 (red color) from sowing to first harvest; 2016S, 2017F and 2017S, three regrowth seasons (blue  
 418 color) from regrowth to harvest in each season. Overwinter, from the last harvest in the first year  
 419 in winter to the first regrowth in the second year.



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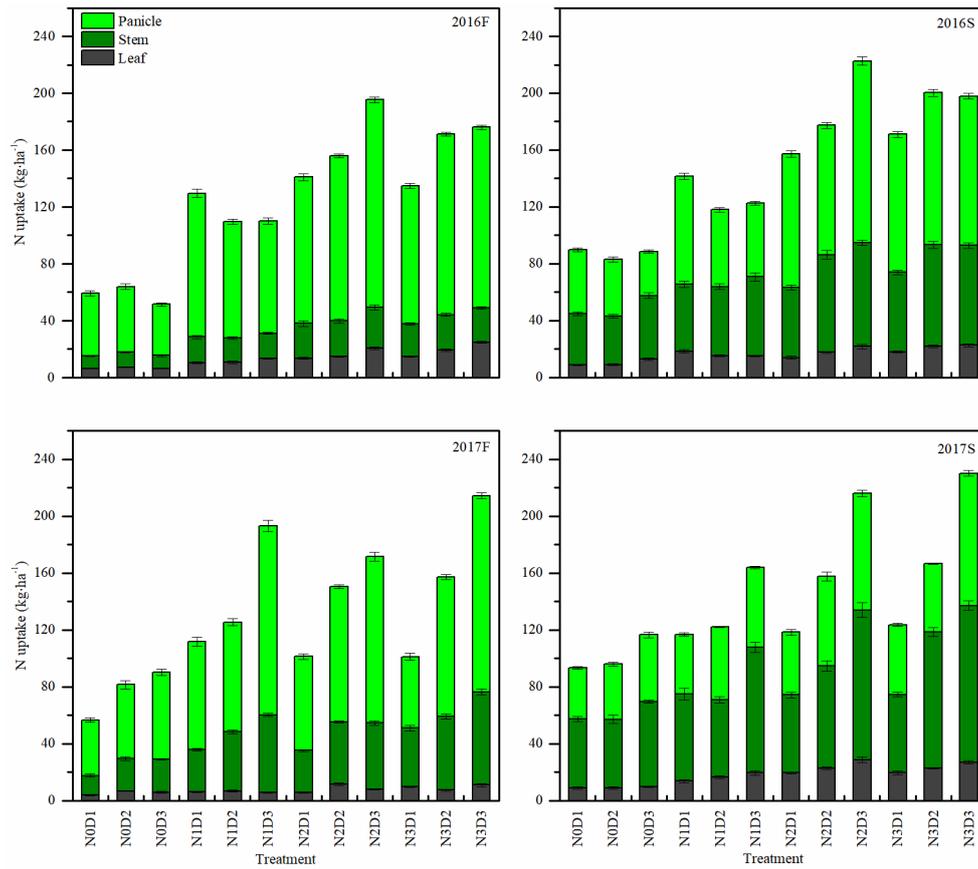
421 Fig. 2 Dry matter accumulation of different treatments. Dry matter accumulation includes the dry

422 matter of stems, leaves, and grains; 2016F, first season of 2016 (transplanting season); 2016S,

423 second season of 2016 (regrowth season); 2017F, first season of 2017 (regrowth season); 2017S,

424 second season of 2017 (regrowth season).

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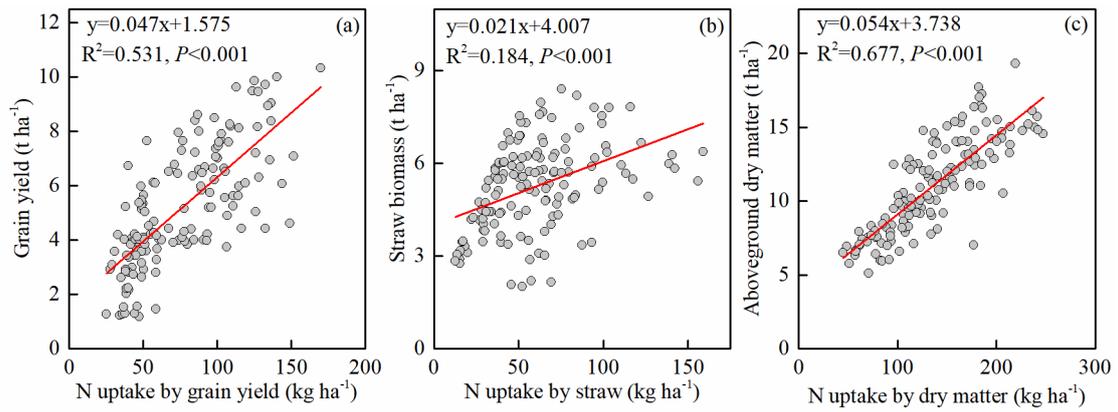
427 Fig. 3 N uptake of aboveground dry matter. Plant N uptake includes the N uptake of stems, leaves,

428 and grains; 2016F, first season of 2016 (transplanting season); 2016S, second season of 2016

429 (regrowth season); 2017F, first season of 2017 (regrowth season); 2017S, second season of 2017

430 (regrowth season).

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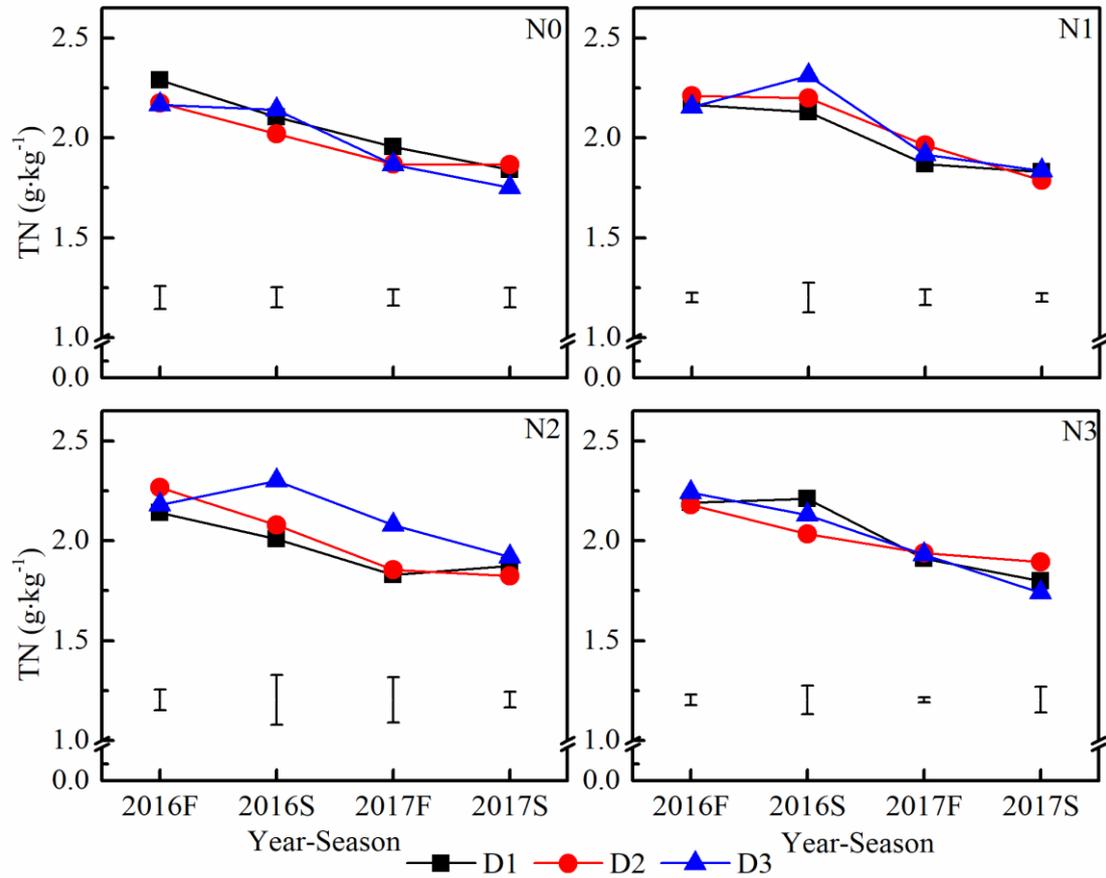
433 Fig. 4 The relationship of N uptake with grain yield, straw biomass, and aboveground dry matter.

434 (a) Relationship of N uptake by the grain and the corresponding grain yield. (b) Relationship of N

435 uptake by straw (stem and leaf) and straw biomass. (c) Relationship of N uptake by dry matter and

436 aboveground dry matter.

437



438

439 Fig. 5 Soil total nitrogen (TN) of different treatments; 2016F, first season of 2016 (transplanting

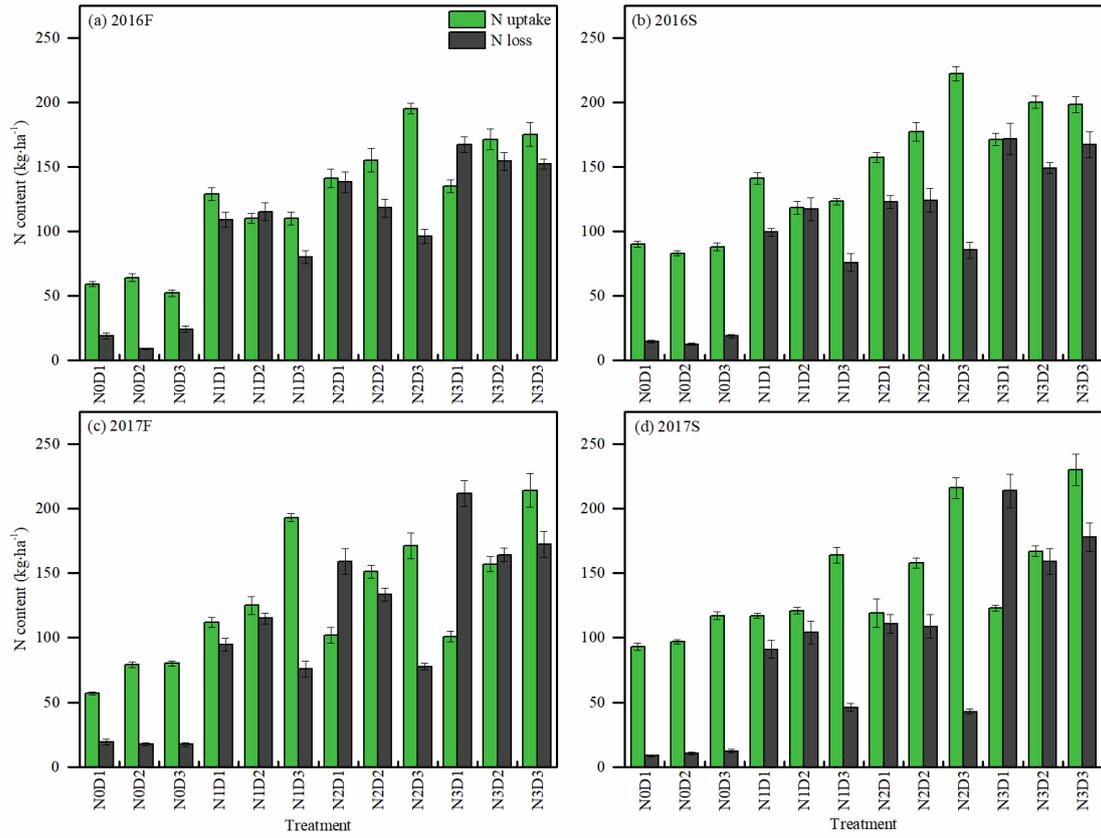
440 season). 2016S, second season of 2016 (regrowth season); 2017F, first season of 2017 (regrowth

441 season); 2017S, second season of 2017 (regrowth season). Vertical bars represent the standard error

442 for different treatments.

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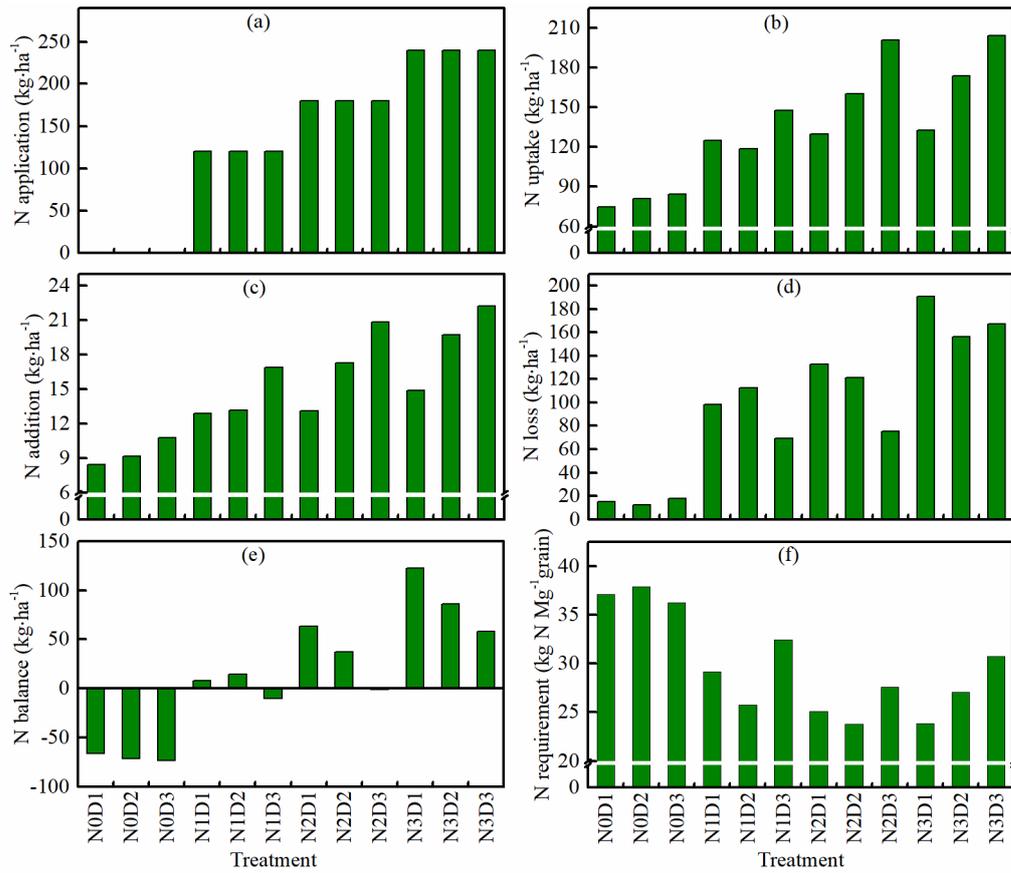
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446 Fig. 6 N removal by plants and soil N loss in the perennial rice cropping system.

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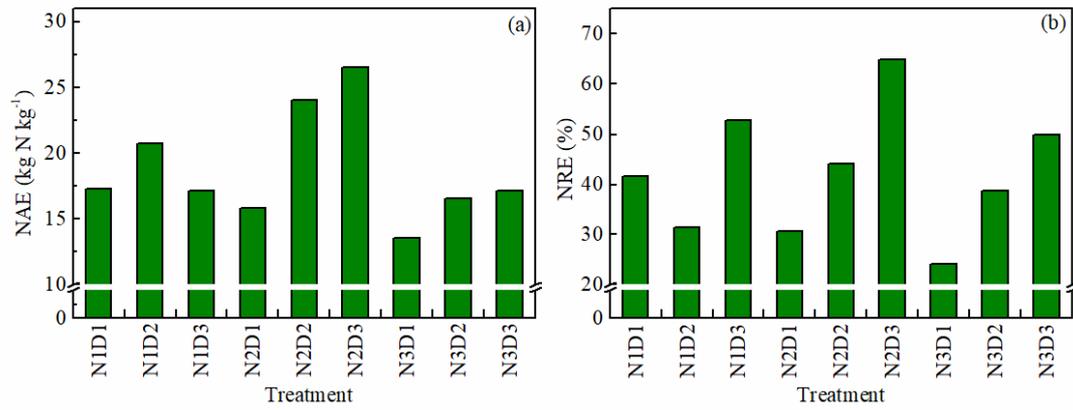
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449 Fig. 7 Soil N balance and N requirement under different N rates and plating densities in a

450 perennial rice cropping system. (a) N application. (b) N uptake. (c) N addition. (d) N loss. (e) N

451 balance. (f) N requirement.

452



453

454 Fig. 8 N agronomic efficiency and requirements under different N rates and planting densities in a

455 perennial rice cropping system. (a) N agronomic efficiency (NAE) of different treatments. (b) N

456 recovery efficiency (NRE) of different treatments.

457 Tables

458 Table 1 Dry matter accumulation of perennial rice under different N rates and planting densities  
459 over four seasons of 2016-2017

Treatment	Leaf (t ha <sup>-1</sup> )	Stem (t ha <sup>-1</sup> )	Panicle (t ha <sup>-1</sup> )	Biomass (t ha <sup>-1</sup> )	Grain (t ha <sup>-1</sup> )
Season					
2016F	1.08b	3.96b	7.50a	12.54a	7.26a
2016S	1.13b	4.65a	4.71c	10.49b	4.42b
2017F	1.50a	4.00b	5.17bc	10.68b	4.76b
2017S	1.36a	3.28c	5.79b	10.43b	4.32b
N rates					
N0	0.91c	2.55d	3.75c	7.22c	2.69d
N1	1.16b	3.88c	5.56b	10.59b	4.90c
N2	1.47a	4.59b	7.07a	13.14a	6.68a
N3	1.54a	4.87a	6.78a	13.19a	6.48b
Planting density					
D1	1.16c	3.40c	4.94c	9.49c	4.59c
D2	1.26b	3.96b	5.80b	11.02b	5.34b
D3	1.40a	4.56a	6.63a	12.59a	5.64a
ANOVA					
	F-value				
S(df=3)	7.330**	9.120**	5.792*	2.729(ns)	15.599***
N(df=3)	17.185***	54.708***	11.529**	28.399***	36.502***
D(df=2)	12.319**	22.538**	10.116*	25.797***	9.332*
N×S(df=9)	4.303**	4.041**	5.639***	9.051***	9.047***
D×S(df=6)	1.391(ns)	4.129**	2.701*	4.018*	4.071**
N×D(df=6)	1.524(ns)	2.979*	2.554(ns)	5.088**	7.399***
N×D×S(df=18)	1.757*	1.040(ns)	2.967***	1.916*	2.521**

460 Different letters within a column represent significant differences at  $P < 0.05$  (LSD). S: season. N:  
461 nitrogen rate. D: planting density. N × S: interaction effect between nitrogen rate and season. D × S:  
462 interaction effect between planting density and season. N × D: interaction effect between nitrogen  
463 rate and planting density. N × D × S: interaction effect between nitrogen rate, planting density and  
464 season. \*represents significance at  $P < 0.05$ , \*\* represents significance at  $P < 0.01$ , \*\*\* represents  
465 significance at  $P < 0.001$ , ns represents no significance.

**466** Table 2 N uptake and loss of perennial rice under different N rates and planting densities over four  
**467** successive seasons of 2016-2017

Treatment	N uptake (kg ha <sup>-1</sup> )	N loss (kg ha <sup>-1</sup> )	Soil N (g kg <sup>-1</sup> )
Season			
2016F	124.67a	98.42a	2.20a
2016S	147.33a	96.46a	2.14b
2017F	128.50a	104.81a	1.92c
2017S	143.50a	90.40a	1.83d
N rate			
N0	79.92d	12.29d	2.00a
N1	130.25c	93.52c	2.03a
N2	163.67b	109.67b	2.03a
N3	170.17a	171.60a	2.02a
Planting density			
D1	115.44c	109.31a	2.01a
D2	133.31b	100.67b	2.01a
D3	159.25a	82.58c	2.04a
ANOVA			
	F-value		
S(df=3)	1.096(ns)	0.987(ns)	58.506***
N(df=3)	38.063***	129.932***	0.612(ns)
D(df=2)	8.054*	24.154**	0.759(ns)
N×S(df=9)	3.514*	5.008**	0.876(ns)
D×S(df=6)	6.307***	1.616(ns)	1.783(ns)
N×D(df=6)	7.758***	17.010***	2.673*
N×D×S(df=18)	2.585**	2.289**	4.560***

**468** Different letters within a column represent significant differences at  $P < 0.05$  (LSD). S: season. N:  
**469** nitrogen rate. D: planting density. N ×S: interaction effect between nitrogen rate and season. D ×S:  
**470** interaction effect between planting density and season. N ×D: interaction effect between nitrogen  
**471** rate and planting density. N × D × S: interaction effect between nitrogen rate, planting density,  
**472** and season. \*represents significance at  $P < 0.05$ , \*\* represents significance at  $P < 0.01$ , \*\*\*  
**473** represents significance at  $P < 0.001$ , ns represents no significance.