

# Efficiency Exploration of Frequency Ratio, Entropy, and Weights of Evidence-Information Value Models in Flood Susceptibility Assessment: A Study of Raiganj Subdivision, Eastern India

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## Research Article

**Keywords:** Flood susceptibility mapping, Frequency ratio, Shannon's Entropy, Weights-of-evidence, GIS, Raiganj Subdivision

**Posted Date:** July 23rd, 2021

**DOI:** <https://doi.org/10.21203/rs.3.rs-729511/v1>

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1 **Efficiency Exploration of Frequency ratio, Entropy, and Weights of evidence-Information**  
2 **Value models in flood susceptibility assessment: A study of Raiganj Subdivision, Eastern**  
3 **India**

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12 **Abstract**

13 The primary objective of this research was to assess the efficiency of RS and GIS for  
14 predicting the flood risk using the Frequency ratio, Entropy index, and Weight of evidence-  
15 information Value models. Consequently, for spatial analyses fourteen flood conditioning  
16 variables are constructed. The research region is experiencing floods consequently in the  
17 past with moderate to high intensities. The assessment demonstrates that parameters such  
18 as elevation, LULC, rainfall, distance from rivers, and drainage density contributed  
19 significantly to the occurrence of floods. The validation of the results indicates that the  
20 success rate of the presently constructed models. Around 33 per cent to 47 per cent of the  
21 total area of each block in the subdivision is projected to be in danger of floods. The report's  
22 findings will aid planners in designing flood prevention measures as part of regional flood  
23 risk management programs and will serve as a platform for future research in the study  
24 area.

25 **Keywords**

26 Flood susceptibility mapping, Frequency ratio, Shannon's Entropy, Weights-of-evidence, GIS,  
27 Raiganj Subdivision.

28 **1. Introduction**

29 Flood is the most common and destructive weather phenomenon in India. Furthermore,  
30 second to Bangladesh, the most flood-affected country in the world is India with around  
31 one-eighth of its total land (40 million hectares) susceptible to flooding. The Central water  
32 commission (2010) estimated about 7.6 million hectares of geographical area are severely  
33 affected due to flooding each year. Between 13 years (1965-1978) the flood-affected area  
34 has been significantly increased from 7.6 million to 17.5 million hectares. Monsoon rainfall  
35 in India creates most of the flooding which happens in conjunction with the shifting nature  
36 of monsoonal low-pressure centers and cyclones throughout the monsoon period between  
37 June to September. Indian rivers namely Ganga, Brahmaputra, Mahanadi, Krishna, Kaveri  
38 are known for devastating flooding, massive flooding occurs on these rivers as a  
39 consequence of monsoon outbreaks.

40 Gupta et al. (2003), Singh and Kumar (2013) in their paper investigated that more than 30  
41 million individuals are impacted by flooding in India and more than 1500 people are killed  
42 each year, accounting for nearly one-fifth of the world deaths caused by this catastrophe.  
43 According to a government estimate (CWC, 2010), floods killed over 92 thousand people  
44 and cost \$200 billion in economic damage over a 56-year period (1953-2009). Kalsi and  
45 Srivastava (2006) reported that cyclone-induced floods in Orissa coastal area (Paradeep) in  
46 1999 caused devastating damages to properties and about 10 thousand people are died  
47 within two days (29 and 30 October). In Andhra Pradesh and Orissa, extremely destructive  
48 and sudden floods wreak havoc on property and kill a considerable number of people (Dhar  
49 et al., 1981a; WMO, 1994; Dhar and Nandargi, 2003). Due to the dam breaking as a  
50 consequence of the unexpectedly severe flooding, flash floods might occur downstream.  
51 Near 1979, the Machchu dam collapsed in Morbi town in Saurashtra, destroying  
52 unimaginable amounts of land, crops, animals, and almost 10000 human lives (Dhar et al.,  
53 1981b; Dhar and Nandargi, 2003). A total of 34 million hectares of land is under threat of  
54 flooding as per the national flood commission (NFC) in 1980 report and in the present day  
55 this number significantly increases due to climate change and unpredictability. Though the  
56 NFC study claimed that the government has secured 10 million hectares of land against  
57 flooding, effective protection may only be available for 6 million hectares.

58 According to Cloke and Pappenberger (2009), it is somewhat impossible to completely avoid  
59 or bypass the devastation of floods altogether, however, the severity of this catastrophe

60 may be somewhat reduced by adequate risk and susceptibility assessment measures.  
61 Prevention is better than quire, due to this relevance flood susceptibility evaluation and risk  
62 assessment are regarded as an extremely beneficial techniques to minimize flood severity  
63 (Sarkar and Mondal, 2020). In the various geospatial environments, the influencing  
64 capacities of individual flood conditioning factors are different. It is thus extremely  
65 important to evaluate numerous conditions that cause flood before any flood risk  
66 assessments. If we consider several flood conditioning factors and performed flood  
67 susceptibility mapping and risk assessment the resulted outcomes will more precise and  
68 accurate rather, we use one flood-induced variable.

69 Now a day, in the field of flood susceptibility analysis, geographical information system (GIS)  
70 and remotely sensed (RS) information serve as the main ingredient and achieved  
71 widespread acceptance (Kafira et al., 2014; Tehrany et al., 2014; Sachdeva et al., 2017; Al-  
72 Jauidi et al., 2018; Lee et al., 2018; Dano et al., 2019; Kanani-Sadat et al., 2019; Lappas and  
73 Kallioras, 2019; Castache et al., 2020; Pham et al., 2020; Khoirunisa et al., 2021). The RS and  
74 GIS techniques are used to gather reliable information about earth surface characteristics,  
75 topographic, morphological character, LULC, meteorological information, and a wide range  
76 of other associated information related to a specific environment. Concurrently, the GIS  
77 platform provides a fascinating environment for flood susceptibility mapping using RS  
78 datasets. The RS and GIS methodologies have effectively been used worldwide in the field of  
79 flood susceptibility mapping.

80 Around the globe, the RS and GIS based different numerical, statistical and machine learning  
81 methods have been used to assess flood risk and vulnerability such as, flood susceptibility  
82 assessment using SVM in Kuala Terengganu (Tehrany et al., 2015), bivariate and multivariate  
83 statistical approach based flood susceptibility mapping in Jeddah city (Youssef et al., 2016),  
84 FR and WoE model based flood susceptibility analysis in Iran (Khosravi et al., 2016a), SEI, SI  
85 and WoE model based flood susceptibility analysis in Iran (Khosravi et al., 2016b), flood  
86 susceptibility analysis using FR and WoE in Iran (Golastan province) (Rahmati et al., 2016),  
87 flood susceptibility mapping using hybrid AI in Haraz watershed (Chapi et al., 2017), Flood  
88 risk assessment using entropy index model in Madarsoo watershed (Haghizadeh et al.,  
89 2017), flood susceptibility analysis in Hengfeng using neuro-fuzzy in Hengfeng county (Hong  
90 et al., 2018), flood susceptibility analysis using FR model in Markham basin, Papua New

91 Guinea (Samanta et al., 2018a), flood risk assessment in Subarnarekha river basin using FR  
92 technique (Samanta et al., 2018b), flood risk evaluation using probabilistic techniques in  
93 Gucheng county (Tang et al., 2018), flood susceptibility assessment using MCDM and fuzzy  
94 in Haraz watershed (Azareh et al., 2019), fuzzy logic based flood risk assessment in Koshi  
95 river basin (Sahana and Patel, 2019), FR and LR based flood susceptibility assessment in  
96 Brisbane catchment, Australia (Tehrany et al., 2019), flood vulnerability mapping in Kulik  
97 river basin using frequency ratio model (Sarkar and Mondal, 2020), frequency ratio (FR) and  
98 SVM based flood susceptibility assessment in Sundarban BR (Sahana et al., 2020), novel  
99 ensemble and SVM based flood risk assessment (Saha et al., 2021),

100 In this present study, the bivariate techniques such as frequency ratio (FR), Shannon's  
101 entropy index (SEI), and weights of evidence (WoE) were utilized along with geospatial  
102 information of the study region. These bivariate methods are very effective and widely  
103 accepted techniques for susceptibility analysis. The main purpose of the present study is to  
104 construct and deploy a quantitative approach using bivariate techniques in conjunction with  
105 RS and GIS for flood susceptibility mapping and risk assessment of the study region. For this  
106 purpose, various flood conditioning parameters are taken into consideration.

## 107 **2. Description of the Study Area**

108 Raiganj subdivision is part of Uttar Dinajpur district (Fig. 1). The Uttar Dinajpur district was  
109 established on April 1, 1992, with the division of West Dinajpur into Dakshin and Uttar  
110 Dinajpur, and after the formation of the Raiganj Sub-division. The research area is spread  
111 out over a large geographical area with an extension of 25°14'34" N latitudes to 25°50'2.99"  
112 N latitudes and 88°01'16.49" E longitudes to 88°27'33.70" E longitudes. Raiganj Sub-division  
113 is divided into four Community Development Blocks and four Panchayat Samitis. The study  
114 area is around 1328.25 sq.km., or over 45 percent of the district's total area. Bihar in the  
115 east, Malda in the south and southwest, Dakshin Dinajpur district in the east, and  
116 Bangladesh in the north surround the research region. The older alluvial sediments are  
117 found to be from the Pleistocene epoch. Uttar Dinajpur has been blessed with extremely  
118 fertile land. The usual average high temperature is 39 °C (102 °F) in July and 26 °C (79 °F) in  
119 January, owing to the sedimentary deposition that aids in the growth of rice, Jute, Mesta,  
120 and Sugarcane, among other crops. The average annual temperature is around 25 ° (79

121 °). The study area is situated in the Mahananda-Nagar river basin region where the Kulik,  
122 Gamari, Chhiramati (Srimati), and Tangon, Sooin (Saha et al., 2021) are major rivers flowing  
123 as slope direction. In the year 2017, a major flash flood wreaked havoc in the Raiganj sub-  
124 division, North Dinajpur, W/B. The destruction of the 2017 flood was caused by unplanned  
125 construction, incorrect growth of the Raiganj sub-division region, and excessive rainfall in  
126 adjacent states and river basins. North Dinajpur and Raiganj have previously experienced  
127 floods in 1982, 1987, 1992, 1995, 1998, 2000, 2002, and 2005 and among the mentioned  
128 years, the floods of 1987, 1992, 1998, and 2000 had large magnitudes and wreaked havoc  
129 on the neighborhood (Saha and Mondal 2020). The flow diagram of the whole study is given  
130 in Fig. 2.

131 **Fig. 1** Geographical Location of the research area

132 **Fig.2** Flow diagram of the research

### 133 **3. Database and Method**

#### 134 **3.1. Data Sources**

##### 135 **3.1.1. Flood Inventory Map**

136 The probability of a future flood event in a certain area can be predicted by looking at  
137 previous flood records (Manandhar 2010). The flood inventory map (Fig. 3) is therefore  
138 regarded as a significant component in predicting future floods. In this study, a flood  
139 inventory map containing 70 training points was prepared using various sources of the  
140 district reports. The flood inventory map was randomly partitioned into (Pradhan  
141 2010; Pourtaghi & Pourghasemi 2014) two datasets such as 40 flood locations and 30 non-  
142 flood locations for training and 30 validation points, respectively.

143 **Fig. 3** Flood Inventory mapping of the research area

##### 144 **3.1.2. Flood predisposing Variables**

145 It is believed that the precision of susceptibility simulation improves when the analysis  
146 procedure integrates all event control factors. The acquisition of data and the construction  
147 of databases are essential parts of any research study. There were fourteen variables  
148 selected for the current simulation procedure on the expert's recommendation. The  
149 simulated flood susceptibility regions of the study area were afterward determined by FR,  
150 SEI, and WoE methodologies.

151 **3.1.3. Physical Variable**

152 The process of flood susceptibility mapping entails determining the flood-conditioning  
153 factors (Kia et al. 2012). This study anticipates a total of 10 independent physical factors.

154 The information about rainfall was collected from the CHRS data portal and thereafter it is  
155 verified with the Indian Meteorological Department's data.

156 The Digital Elevation Models (DEMs) were downloaded from the Alaska Satellite Facility with  
157 12.5 m cell size and thereafter the Elevation of the study area was estimated (Fernandez &  
158 Lutz 2010).

159 Similarly, the Slope of the study area was generated using the above DEMs to access the  
160 topographic inclination. The slope orientation regulates surface runoff infiltration and  
161 stream flow rate of any region (Adiat et al., 2012).

162 The erosive force of surface runoff is measured using SPI. Stream Power Index (SPI) was  
163 generated from the DEMs using Eq. 1.

164 
$$SPI = C_{area} \times \tan \theta \quad (1)$$

165 Where, SPI: Stream Power Index;  $C_{area}$  : Catchment Area ( $m^2/m$ );  $\tan \theta$  : Angle of the Slope.

166 Plan Curvature (PC), Flow Direction (FD), and Stream Density (SD) were also generated in  
167 the GIS environment using Alaska DEMs. The **predisposing** variable that significantly  
168 contributes to flooding prevalence is Stream Density (Eq. 2) (Gül 2013).

169 
$$S_{density} = \frac{S_{length}}{C_{area}} \quad (2)$$

170 Where,  $S_{density}$  : Stream Density ( $km^2$ );  $S_{length}$  : Length of the streams in the specific  
171 catchment;  $C_{area}$  : Catchment Area.

172 The data about geomorphology was collected from the data portal of the Geological Survey  
173 of India.

174 The Normalized Difference Vegetation Index (NDVI) was estimated (Eq. 3) using the pixel  
175 values of Near-Infrared Band (IR) and Red Band (RB) of Landsat-8 OLI imageries. This data  
176 was collected from the USGS Earth Explorer data portal with a 30 m cell size.

177 
$$NDVI = \frac{(NIR - RED)}{(NIR + RED)} = \frac{(Band5 - Band4)}{(Band5 + Band4)} \quad (3)$$

178 Where, NDVI: Normalized Difference Vegetation Index; NIR: Near-Infrared Band.

179 **3.1.4. Socio-economic Variable**

180 In order to evaluate the probable flood sensitivity region of the study area, some of the four  
181 variables were studied from the socio-economic group. From the Census of India, the  
182 statistics on population-related characteristics such as total population, total area, the total  
183 number of houses, total number of farmers, were collected to estimate the Population  
184 Density, Household Density, and Cultivator Density of the study area.

185 The River network of the study area was manually digitized from the Google Earth Pro  
186 software to measure the distance from the river (Tehrany et al. 2015).

187 The LULC data was collected from the Bhuvan data portal and thereafter the LULC layer of  
188 the study area was generated. A detailed account of variables and their sources is  
189 mentioned in table 1.

190 **Table 1** Data and their sources for the research work

191 **3.1.5. The rationale for the Selecting of the variables**

192 Rainfall is one of the prominent flood **predisposing** variables. Massive rainfall leads to a little  
193 probability that rainwater will be absorbed by the ground (infiltration), so it will wash off  
194 into the river. The quicker the water reaches the river, the greater the chance of flooding  
195 (Saha et al. 2020). Excessive rainfall causes flooding when existing watercourses are unable  
196 to carry the excess water (Ouma et al. 2014). The elevation influences flood vulnerability  
197 (Fernandez and Lutz 2010) by regulating stream direction and producing changes in  
198 vegetation and soil properties, which influence the runoff of the research area (Aniya  
199 1985). Steep slopes restrict water penetration into the ground, allowing water to flow  
200 quickly down to rivers as surface runoff, causing flooding. SPI was used to identify  
201 and analyze areas where soil management actions could reduce surface runoff-induced  
202 degradation (Jebur et al., 2014). Plan curvature is the frequency of slope changes in a  
203 specific direction (Wilson and Gallant 2000) and it is also determining the flow pattern (Oh  
204 and Pradhan 2011). Plan curvature measurements can be used to extract useful  
205 geomorphologic data from any area. The alignment and number of cells in the catchment  
206 area that contribute flow into a given cell are incorporated into the flow direction study,  
207 which reflects not only the drainage network but also the route a potential flood may take  
208 (Kourgialas and Karatzas 2011; Sahana et al. 2019). Geomorphology employs numerous  
209 research projects, modeling, and simulation to provide a better understanding of landform

210 histories and dynamics, as well as to identify its future shifts. The variation in reflectance of  
211 plant cover between visible and near-infrared wavelengths can be used to calculate the  
212 density of vegetation on a plot of land (Weier and Herring, 2000).

213 The density of the population as intended is a critical measure of vulnerability because the  
214 vulnerability is negligible if there is no population. Higher farmers' densities provide greater  
215 assurance that crops will be destroyed during flooding. A higher density of households  
216 creates a higher certainty of the destruction of houses during a flooding event. Distance  
217 from the river is the most significant contributing variable due to its significant effect on  
218 flood extent and intensity (Glenn et al. 2012). Improper land use and land cover pattern can  
219 entertain every type of susceptibilities to occur. The most common land-use types within  
220 the study area are cropland, Vegetation, bare land, built-up land, and water body. Surface  
221 runoff and floods are increased in built-up areas, which are primarily made up of  
222 impermeable surfaces (Tehrany et al. 2013). Due to the positive link between infiltration  
223 capability and vegetation density, however, vegetated areas are less prone to flooding.

## 224 **3.2. Methods of the study**

### 225 **3.2.1. Frequency Ratio Method (FR)**

226 The predictive relationship between dependent and independent variables can be easily  
227 quantified using the FR model. The FR method is a Basic Statistical Analysis method that  
228 assesses the impact of each type of conditioning factor on projected flooding (Lee et al.,  
229 2012; Sarkar and Mondal 2020). According to Bonham-Carter (1994), FR is the possibility of  
230 the emergence of a certain event and it reveals the relationship between the flood sites and  
231 their relevant variables.

232 This approach has been employed in several natural catastrophe circumstances including  
233 the estimation of groundwater potentiality (Manap et al., 2014), to estimate the blast-  
234 induced air-blast (Keshtegar et al. 2019), landslide susceptibility mapping (Lee and Pradhan  
235 2007; Mondal and Maiti 2013), and flood susceptibility mapping (Lee et al., 2012; Rahamti  
236 et al. 2015; Khosravi et al. 2016). The advantage of this model is that it is easy to apply and  
237 gives totally understood outcomes (Ozdemir and Altural 2013). Among numerous bivariate  
238 statistical approaches, the FR model was used in the current study to assess the flood  
239 susceptibility tendency of the study area (Althuwaynee et al. 2014). This method can be  
240 expressed by equation 4.

241 
$$FR = \frac{Pix_i^v / Pix_i^c}{Pix_i^v / Pix_i^c} \quad (4)$$

242 Where, FR: Frequency Ratio;  $Pix_i^v$ : Total vegetable pixel of  $i_{th}$  alternative;  $Pix_i^c$ : Total class  
 243 pixel of  $i_{th}$  alternative;  $Pix_i^v$ : Total vegetable pixel of the criteria;  $Pix_i^c$ : Total pixel of the  
 244 criteria.

245 In order to assess the association between the flood site and predictor classes, the Relative  
 246 Frequency Index (RF) was used. It is the normalized values of the previous frequency ratio  
 247 (FR) values of the variables. Eq. 5 demonstrates the formulation of FRF for a given class  
 248 factor field.

249 
$$RF = \frac{FR_i}{\sum FR^c} \quad (5)$$

250 Where, RF: Relative Frequency; FR: Frequency Ratio;  $FR_i$ : FR value  $i_{th}$  alternative;  $\sum FR^c$ :  
 251 Summation of FR values of C criteria.

252 The prediction rate was determined using equation 3 to recognize the relational  
 253 interrelationships among the independents. The entire procedure can be based on a  
 254 frequency ratio (FR) model and the individual rating of variables was expressed as the  
 255 prediction rate (Eq. 6) (Sabatakakis et al., 2013).

256 
$$PR = \frac{(Max_{RF} - Min_{RF})}{Min_{(Max_{RF} - Min_{RF})}} \quad (7)$$

257 Where, PR-Prediction Rate;  $Max_{RF}$ -Highest value from the RF values;  $Min_{RF}$ -Least value  
 258 from the RF values;  $Min_{(Max_{RF} - Min_{RF})}$  - is the minimum value from all selected variables  
 259  $(Max_{RF} - Min_{RF})$ .

260 The flood susceptibility was calculated using a factor analysis algorithm that projected the  
 261 probable influence and predictor interrelationships as a function of factor analysis. The  
 262 following (Eq. 7) is the proposed algorithm, which combines the amount of the products of  
 263 fourteen separate factor variables.

264 
$$FSZ = V_{\otimes PR}^1 \oplus V_{\otimes PR}^2 \oplus \dots \oplus V_{\otimes PR}^n$$

Where, 
$$V_{\otimes PR}^1 = V_{a \otimes RF}^{Slope} \dots \dots V_{\otimes PR}^n \quad (7)$$

265 Where, FSZ: Flood Susceptibility Zone; V: Variable; PR: Prediction Rate; a: Alternatives of  
 266 specific variables; RF: Relative Frequencies of the alternatives of that specific variable.

267 In each area of pixels, the Flood Susceptibility Zone (FSZ) was generated independently from  
 268 relative frequency values of the selected fourteen conditioning variables. Following that, the  
 269 pixel values were classified using a natural break classification scheme (Moghaddam et al.,  
 270 2013) in the ArcMap-10.5 environment.

271 **3.3.2. Shannon Entropy Weight Method**

272 Entropy is the measure of unpredictability, volatility, imbalanced behaviors, energy  
 273 distribution, and instability in a system (Pourghasemi et al. 2012; Khosravi et al. 2016).  
 274 Stephan Boltzmann was the first to propose this theory, while Shannon was the first to  
 275 present it statistically in 1948. Based on the Boltzmann theorem, Shannon expanded the  
 276 entropy model for information theory. The entropy model has been widely used in hazard  
 277 identification and risk management studies to calculate the leverage ratio of natural hazards  
 278 and to represent the priority of some variables in influencing a particular hazard (Al-Hinai et  
 279 al. 2021). The core aim of this research work is to measure flood susceptibility of the study  
 280 area using the entropy technique. The following Eq. 8 was used to normalize the arrays of  
 281 performance indices to obtain the probability density.

282 
$$Pd_{ij} = \frac{FR_{ij}}{\sum_{i=1}^{m_j} FR_{ij}} \quad (8)$$

283 Where,  $Pd_{ij}$ : Probability Density or Project outcomes;  $FR_{ij}$ : Frequency Ratio value of the  
 284 specific cell.

285 After calculating the probability density, the entropy measure of these probability densities  
 286 is estimated using equation 9.

287 
$$Ev_j = -\sum_{i=1}^{m_j} Pd_{ij} \log_2 Pd_{ij}, j = 1, \dots, n \quad (9)$$

288 
$$Ev_{j_{\max}} = \log_2 m_j$$

288 Where,  $Ev_j$  &  $Ev_{j_{\max}}$  : Entropy Value;  $m_j$  : Number of classes.

289 Furthermore, the weights of the criteria were estimated using Equations 10 and 11.

290 
$$Ic_j = \left( \frac{Ev_{j_{\max}} - Ev_j}{Ev_{j_{\max}}} \right), I = (0,1) j = 1, \dots, n \quad (10)$$

291 
$$Cw_j = I_j FR \quad (11)$$

292 Where,  $Ic_j$  : information coefficient;  $Cw_j$  : Weight of the criteria.

293 The criteria weights of all criteria were estimated using Shannon's entropy technique on MS  
 294 Excel. After calculating the entropy values, the final flood vulnerability map was generated  
 295 using the raster calculator tool in the ArcMap environment.

296 **3.3.3. Weight of Evidence and Information Value (WoE-IV)**

297 WoE is a quantitative data-driven strategy for predicting the recurrence of events based on  
 298 the Bayes rule (Rahmati et al., 2016). This approach, which is based on the Bayesian  
 299 probability model, has more facilitation when compared to other statistical methods  
 300 (Tehrany et al., 2014). The WoE model is built around the concept of determining positive  
 301 and negative weights. Based on the presence or absence of cultivations inside a certain  
 302 area, the approach estimates the weight of each cultivation conditioning variable. In this  
 303 study the following Eq. 12 was used to predict the WoE values.

304 
$$WoE = \ln \left( \frac{\%Pix_t}{\%Pix_v} \right) \quad (12)$$

305 Where,  $WoE$  : Weight of Evidence;  $\ln$  : Natural Log;  $\%Pix_t$  : Percentage of the total pixel of  
 306 the alternative;  $\%Pix_v$  : Percentage of the vegetable pixel of the same alternative.

307 A negative weight (W-) reflects the magnitude of negative association and implies the  
 308 absence of the conditioning variable and a positive weight ( W+) denotes the presence of

309 the conditioning factor at the cultivation sites, and its magnitude reflects the positive  
310 association between the presence of the conditioning component and vegetable cultivation  
311 (Pradhan et al. 2010).

312 One of the most useful techniques for selecting important variables in a predictive model is  
313 information value (IV). It facilitates the classification of variables according to their  
314 importance. The IV is determined using the formula below (Eq. 13).

$$315 \quad IV = (\%Pix_t - \%Pix_v) \times \ln \left( \frac{\%Pix_t}{\%Pix_v} \right) \quad (13)$$

316 Where,  $\ln$  : Natural Log;  $\%Pix_t$  : Percentage of the total pixel of the alternative;  $\%Pix_v$  :  
317 Percentage of the vegetable pixel of the same alternative.

### 318 **3.3.4. Weighted Linear Combination Method (WLCM)**

319 Several scholars have used AHP to investigate the 'Potentiality' of its effective mathematics  
320 qualities (Triantaphyllou and Mann, 1995; Srdevic et al. 2011). 'WLC' or 'The 'Weighted  
321 Linear Combination Model' (Fig. 8) is intended to rank the criteria in order to get a probable  
322 appropriateness index. The priority values of the parameters were evaluated using AHP, and  
323 then the Potential Suitability Zoning map was produced utilizing equation 14 in a GIS  
324 environment.

$$325 \quad FSZ_{WLC} = (Variable \times Rating_1) + \dots + (Variable \times Rating_n) \quad (14)$$

326 Where,  $FSZ$  : Flood Susceptibility Zone;  $WLC$  : Weighted Linear Combination;  $n$  : no. of the  
327 variable

## 328 **4. Discussion of the results**

### 329 **4.1. Execution Frequency ratio model**

330 The FR approach was used to determine the degree of correlation between flood sites and  
331 conditioning factors i.e. the frequency ratio model can be used to evaluate the correlation  
332 between each flood factor and the distribution of prior floods, which is based on  
333 probabilistic (statistical) methodologies (Manandhar 2010; Sarkar and Mondal 2018). Table  
334 2 shows the results of the geographic connection between the flood location and the  
335 conditioning factors using the FR model. In general, an FR value of 1 indicates that flood  
336 sites and affective factors have an average correlation (Pradhan 2010). There is a high

337 correlation if the FR value is greater than 1 and a lesser correlation if the FR value is less  
338 than 1.

339 The relative frequencies of all variables were estimated using equation 5 which is helpful in  
340 the calculation of priority values of the individual variable. A higher value of RF depicts a  
341 higher susceptibility to flooding and vice-versa. The estimation of FR (RF) for the relationship  
342 between flood sites and rainfall, it is found that the rainfall zone (Fig. 4a) of <1750 mm has  
343 the highest FR (RF) value (FR: 2.457; RF: 0.374) followed by the zone of 1850-1900 mm  
344 rainfall (FR: 1.067; RF: 0.162) which depict a higher probability of flooding. In the case of  
345 Elevation, the elevation zone (Fig. 4b) of 10 to 30 m has the larger FR value (FR: 1.639; RF:  
346 0.336) which indicates the higher chances of the flood occurring. The slope zone (Fig. 4c) of  
347 <1.0° has the higher FR value (FR: 1.008; RF: 0.211) followed by the zone of 1.0-2.0° which  
348 depicts the higher probabilities of the flood. The NDVI zones (Fig. 4d) of -0.12 – 0.05 (FR:  
349 1.119; RF: 0.228) and 0.05-0.12 (FR: 1.059; RF: 0.216) are most sensitive to flood with the  
350 higher values of FR. From the estimation of correlation between the plan curvature and  
351 flood sites, it is observed that flat curvature (Fig. 4e) areas are most susceptible to flood  
352 having a higher FR value (FR: 1.012; RF: 0.344). Flood locations are centered in the  
353 areas where the stream density (Fig. 4f) is 6.5 - 8.1 (FR: 1.866; RF: 0.303), SPI is 0.24-4.05  
354 (FR: 1.010; RF: 0.204) (Fig. 4h). It is observed that the higher stream density indicates higher  
355 FR values which is an indicator of higher flooding probabilities. It is because the infiltration  
356 of surface water is very low and the water carrying capacity of lands has become very low in  
357 the places where the density is higher in the rainy season. The flow direction zone (Fig. 4g)  
358 of South (FR: 1.045; RF: 0.131) and West (FR: 1.032; RF: 0.130) is most vulnerable to flood  
359 with a higher FR rating. The estimation of FR (RF) for the depiction of the relationship  
360 between flood sites and geomorphology is also carried out. It is observed that an old flood  
361 plain-water body is more susceptible to flood with FR 2.402 (RF: 0.442) followed by the old  
362 alluvial plain and the Young alluvial plain (Fig. 4i). The distance from the river (Fig. 4j) zone  
363 <1.2 km is more prone to flood with FR 1.255 (RF: 0.270). From the result, it is observed that  
364 the frequency of flood increased with the decreasing distance from the river. The results  
365 demonstrated that the flooding event mostly occurs where the Household Density (Fig. 4k)  
366 is 160 to 180 (FR: 1.427; RF: 0.280), Cultivator Density (Fig. 4l) is 88-98 (FR: 1.060; RF: 0.234)  
367 Population Density (Fig. 4m) is 1160 to 1270 (FR: 1.234; RF: 0.245) respectively. The layer of

368 Rainfall, Household Density, Cultivator Density, and Population Density were generated in  
369 the GIS environment using the IDW tool (Fig. 4p). The correlation between flood sites and  
370 the land use pattern (Fig. 4n) indicates that water bodies and cropland have a higher chance  
371 to flood followed by the Built-up area.

372 The variables-wise weighting was estimated using relative frequency values where  
373 Geomorphology is the most influencing variable with a priority value of 21.40 per cent  
374 followed by Rainfall, Elevation, and LULC with priorities values of 16.84 per cent, 13.84 per  
375 cent, and 10.10 per cent. SPI (Fig. 4h; Fig. 4o), Flow Direction, and Plan Curvature are the  
376 least influencing variables to flood with 0.53 per cent, 0.65 per cent, and 0.97 per cent  
377 variable priorities values.

378 Finally, the Flood Susceptibility Zoning map (Fig. 5a; Table 3) was generated using equation  
379 14 in the GIS environment. From the result, it is found that 11.02 per cent of the total area is  
380 very highly susceptible to flood whereas only 8.80 per cent area is least susceptible. 32.06  
381 per cent of the total area is moderately susceptible to flood.

#### 382 **4.2. Execution Shannon Entropy Index**

383 The relative frequency values were used to calculate the Shannon's Entropy Index to  
384 estimate the priority weights of the variables. A higher relative frequency value indicates a  
385 higher probability of the flood occurring and a lower value indicates a lesser probability to  
386 flood occurring. From the estimation of the relationship between the flood sites and  
387 variables, it is found that the rainfall zone of <1750 mm (RF: 0.374), the elevation zone of  
388 10-30 m (RF: 0.336), the slope zone of <1.0 ° (RF: 0.211), the NDVI zone of -0.12-0.05 (RF:  
389 0.228), the flat curvature zone (RF: 0.344), the stream density zone of 6.5-8.1 (RF: 0.303),  
390 the flow direction zone of South (RF: 0.131), the SPI zone of 0.24-4.05 (RF: 0.204), the old  
391 flood plain/water body geomorphic zone (RF: 0.442), the distance from the river zone of  
392 <1.2 km (RF: 0.270), the household density zone of 160-180 (RF: 0.280), the cultivator zone  
393 of 108-118 (RF: 0.252), the population density zone of 1160-1270 (RF: 0.252), and crop land  
394 of LULC zones have higher probabilities of flooding.

395 In Shannon's Entropy Index estimation, it found that Plan Curvature is the most influencing  
396 variable with 10.09 per cent criteria weight followed by Geomorphology with 9.65 per cent,  
397 LULC with 8.45 per cent of criteria weights. Flow direction is the least influencing variable

398 with a 3.34 per cent criteria weight. The rainfall and Elevation influence the estimation with  
399 7.62 per cent and 7.25 per cent of criteria weights respectively.

400 Finally, the Flood Susceptibility Zoning map (Fig. 5b; Table 3) was generated using equation  
401 14 in the GIS environment. From the result, it is found that 13.90 per cent of the total area is  
402 very highly susceptible to flood whereas only 7.20 per cent area is least susceptible. 31.44  
403 per cent of the total area is moderately susceptible to flood.

#### 404 **4.3. Execution Weight of Evidence model**

405 According to Armaş (2012), WofE is one of the most extensively used statistical data  
406 integration methods since it can be utilized with only a few predictive variables. According  
407 to Rahmati et al. (2015), the WoE method is an appropriate technique for flood hazard  
408 modeling, because its unpredictability is related to hazard events and their connections with  
409 the complex landscape. From the calculation, it was found that the rainfall zone of 1750-  
410 1800 mm (WoE: 0.023243), and 1800-1850 mm (WoE: 0.994778) are positively correlated  
411 with flood occurrence in the study area. In the case of elevation, the zone of 40-55 m is  
412 positively co-related with the flood with WoE 1.01696. The slope zone of <1.0 represents a  
413 WoE value of -0.00832 which indicates the negative influence of the alternative zone on the  
414 flood. The NDVI zones of 0.17-0.25 and 0.25-0.46 indicate a positive influence on the flood.  
415 The flat curvature zone is negatively co-related with the flood with a WoE of -0.01242. The  
416 stream density zone of 3.4-5.0 (WoE: 0.215628), Flow direction zones of North, North-East  
417 (WoE: 0.053785), East, and South-East, the SPI zone of -3.1- -0.38 are representing a positive  
418 relation with the flood. The geomorphic zone of the Active Alluvial Plain positively (WoE:  
419 1.598498) influences the flood. Excluding <1.2 km (WoE: -0.22745) distance from the river  
420 zones, all other zones positively influence the flood. The household density zone of 160-180  
421 is negative and 180-200 positively influences the flood. The cultivator density zone of 70-80,  
422 and 98-108 positively influences the flood with WoE of 0.308081, and 0.540594  
423 respectively. The population Density zone of 740-840 (WoE: -0.21018), and 1160-1270  
424 (WoE: -0.23727) negatively influence the flood. The LULC zone of Built-up represents a  
425 positive value of WoE 0.386191 which indicates the positive influence of the alternative on  
426 the flood.

427 **Table 3** Areal distribution of flood susceptibility zones of the study area

428 The WoE values are used to estimate the Information Values (IV) of the variables as well as  
429 of all the alternatives of the variables. Using Information Values, the final flood  
430 susceptibility map was generated. The IV of rainfall is 31.42 per cent followed by  
431 geomorphology with 27.78 per cent, elevation with 12.93 per cent whereas SPI represents a  
432 lower IV of 0.01 per cent followed by slope with 0.03 per cent respectively.

433 Finally, the Flood Susceptibility Zoning map (Fig. 5c; Table 3) was generated using equation  
434 14 in the GIS environment. From the result, it is found that 11.50 per cent of the total area is  
435 very highly susceptible to flood whereas only 8.08 per cent area is least susceptible. 31.46  
436 per cent of the total area is moderately susceptible to flood.

437 **Fig. 4** Performing Variables of the research; (a) Rainfall; (b)Elevation; (c) Slope; (d)NDVI;  
438 (e)Plan Curvature; (f) Stream Density; (g) Flow Direction; (h) SPI; (i) Geomorphology; (j) Dist.  
439 from the river; (k) Household Density; (l) Cultivator Density; (m) Population Density; (n)  
440 LULC; (o) Stream Network; (p) Spots of the IDW.

441 **Table 2** Areal distribution of the variables with their relative weight of applied statistical  
442 methods

#### 443 **4.4. Comparison of FR, SEI, and WoE-IV results**

444 The final results of all the models that were applied in the evaluation nearby represent a  
445 valid result. From the result changing matrix of FR and SEI, it is found that in the case of SEI  
446 estimation the very high susceptibility zone is larger than 2.88 per cent from FR estimation.  
447 The very low, Low, and Moderate susceptibility regions decreased in SEI estimation by -1.60  
448 per cent, -3.32 per cent, and -0.62 per cent respectively. The result of WoE-IV is also valid  
449 with FR estimation, where the higher flood susceptibility region is just 0.48 per cent more  
450 than the FR result. The result of SEI and WOE-IV are also comparing using changing matrix  
451 (Table 4; Fig. 7). The very high flood susceptibility region of the WoE-IV estimation is - 2.40  
452 per cent lower than SEI estimation (Table 5).

453 **Table 4** Changing comparison matrix of different alternatives of the FSZ (Flood Susceptibility  
454 Zone) for applied techniques

455 **Table 5** Areal changes of the result of using the methods

456 **Fig. 5** Potential Flood Susceptibility Outputs; (a) Frequency Ratio; (b) Shannon's Entropy  
457 Index; (c) WoE-IV

#### 458 **4.5. District-wise Flood Susceptibility Analysis**

459 From the estimation of district-wise flood susceptibility regions, it is found that more than  
460 33.39 per cent areas of the entire blocks are vulnerable to flood (Fig. 6). The middle,  
461 western and south-western portions of the Raiganj block are highly susceptible to flood. The  
462 southern and western portion of the Hemtabad block has very high susceptibility to flooding  
463 during the opposite time. The Kaliyaganj block is the least flood-affected region whereas  
464 only 8.16 per cent of the total area falls under the very high flood susceptibility zone. Most  
465 probably, itahar is the most vulnerable block to flood where 52.34 per cent of the total area  
466 falls under the very high flood susceptibility region. The southern and middle itahar is highly  
467 susceptible to flood during the rainy season.

468 **Fig. 6** Block-wise flood susceptibility mapping; (a)Raiganj; (b)Hemtabad; (c)Kaliyaganj; (d)  
469 Itahar

470 **Fig.7** Efficiency Comparison of applied methods

471 **Fig. 8** Weighted Linear Combination model for potentiality estimation

#### 472 **5. Validation of the flood susceptibility maps**

473 The principal objective is to find areas that may be affected by future flooding in the analysis  
474 of susceptibility to floods. So it is very crucial to check the resulting flood susceptibility maps  
475 in respect of any further unknown floods regardless of whether integration process is  
476 employed (Chung & Fabbri 2003). The examination of the accuracy of the flood  
477 susceptibility maps obtained using FR, SEI, and WoE-IV models was conducted using the  
478 Receiver Operating Characteristics (ROC) analysis (Rahmati et al., 2014; Sarkar and Mondal,  
479 2020). A receiver operating characteristic curve (ROC curve) is a graph that depicts a  
480 classification model's output across all classification thresholds. The ROC (Receiver  
481 operating features) curve, derived from the fields of signal detection, visual representation  
482 of the hit, and false-alarm rate exchange. TPR vs. FPR at different prediction thresholds is  
483 plotted on a ROC curve. As the rating threshold is reduced, more objects are labeled as  
484 positive, resulting in a rise in both False Positives and True Positives. It is obvious that the

485 AUC (Area Under Curve) is around 0.911 in flood susceptibility maps, which corresponds  
486 with the reliability of the forecast of 91.10 per cent by methods of the FR model (Fig. 9)  
487 whereas it is 86.70 per cent and 90.70 per cent for SEI and WoE-IV estimation. Therefore,  
488 the FR, SEI, and WoE-IV demonstrated almost the same and fair results based on the  
489 computed AUC.

490 **Fig. 9** Validation of the results using ROC curve

## 491 **6. Conclusion**

492 Floods have been labeled the world's worst and most devastating catastrophe in recent  
493 years, amid several geo-environmental risks and catastrophes. Flood susceptibility mapping  
494 can be used to detect flood-prone locations or regions. Flood susceptibility mapping is  
495 essential for flood-prone areas to prepare for proper field management. The major goal of  
496 creating a flood susceptibility map was to promote community awareness of the flood  
497 threat among residents, local government, and other organizations. The current research  
498 aims to define flood-prone locations in the Raiganj Sub-division by developing  
499 flood susceptibility maps. The geospatial technology and BSA-based  
500 statistical methodologies were used to design and assess the results of this study. This semi-  
501 quantitative and BSA-based statistical technique is useful for determining appropriate  
502 flood management strategies. Based on 70 training points, The FR approach was used to  
503 investigate the relationship between past flood events and the likelihood of forecasted  
504 future flood episodes. From the final outputs, it is found that about 11 to 14 per cent of the  
505 total area belongs to the high flood susceptibility region. The results of the FR Shannon's  
506 Entropy value and WoE-IV estimations demonstrate that variables like rainfall, elevation,  
507 LULC, Geomorphology, distance from the river, and drainage density play a crucial role in  
508 resulting in floods in the study region. The success rate of the frequency ratio model is  
509 0.911, while the success rate of Shannon's entropy is 0.867. The success rate of the WoE-  
510 IV model is 0.907 indicating that the used models for analyzing flood susceptibility of the  
511 Raiganj sub-division might be considered genuine. Itahar and Raiganj blocks of the district  
512 are demarcated as the area having very high flood risk potentiality. The main barrier to  
513 flood susceptibility mapping is the scarcity of trustworthy flood occurrence data  
514 sources, information, as well as a suitable modeling approach for replicating the findings.  
515 This study demonstrates that bivariate approaches such as FR, SEI, and WoE-IV, when

516 combined with a Geographic Information System, are always effective measures (GIS) to  
517 flood susceptibility mapping. A flood management strategy includes conducting a risk  
518 assessment and developing a flood susceptibility map. Developing and implementing an  
519 effective flood vulnerability map can help in identifying the flood hazard zones, putting  
520 adequate management systems in place and early warning systems, preparation for a swift  
521 response during a flood, flood shelters, and flood rescue planning are all instances of  
522 resilience methods.

### 523 **Acknowledgments**

524 The authors are grateful to acknowledge all the agencies especially, the Indian  
525 Meteorological Department (IMD), Geological Survey of India, Survey of India (SOI), USGS,  
526 and Alaska Sattelite Facility as sources of data required for the study. We'd like to thank Dr.  
527 Gopal Chandra Debnath (Retired Professor of Visva Bharati University, W/B) for his  
528 assistance with the data analysis and model validation parts.

### 529 **Compliance with ethical standards**

530 The manuscript of the research was prepared as per the Journal's ethical standards and all  
531 the authors were equally contributed to the manuscript preparation. The authors hereby  
532 declared that there is no conflict of interest. During the research work, no humans or  
533 animals are wounded or harmed in any way.

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# Figures

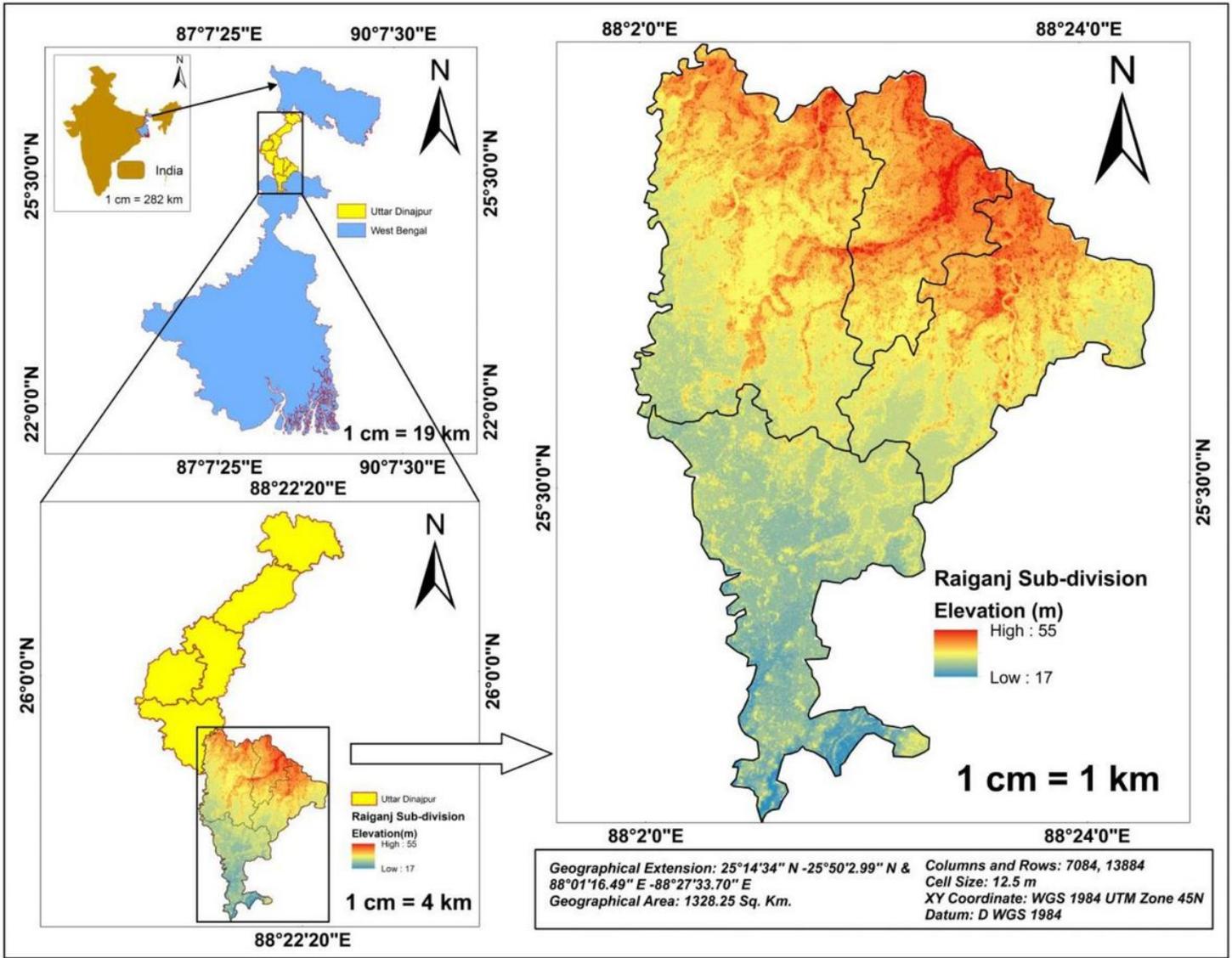


Figure 1

Geographical Location of the research area

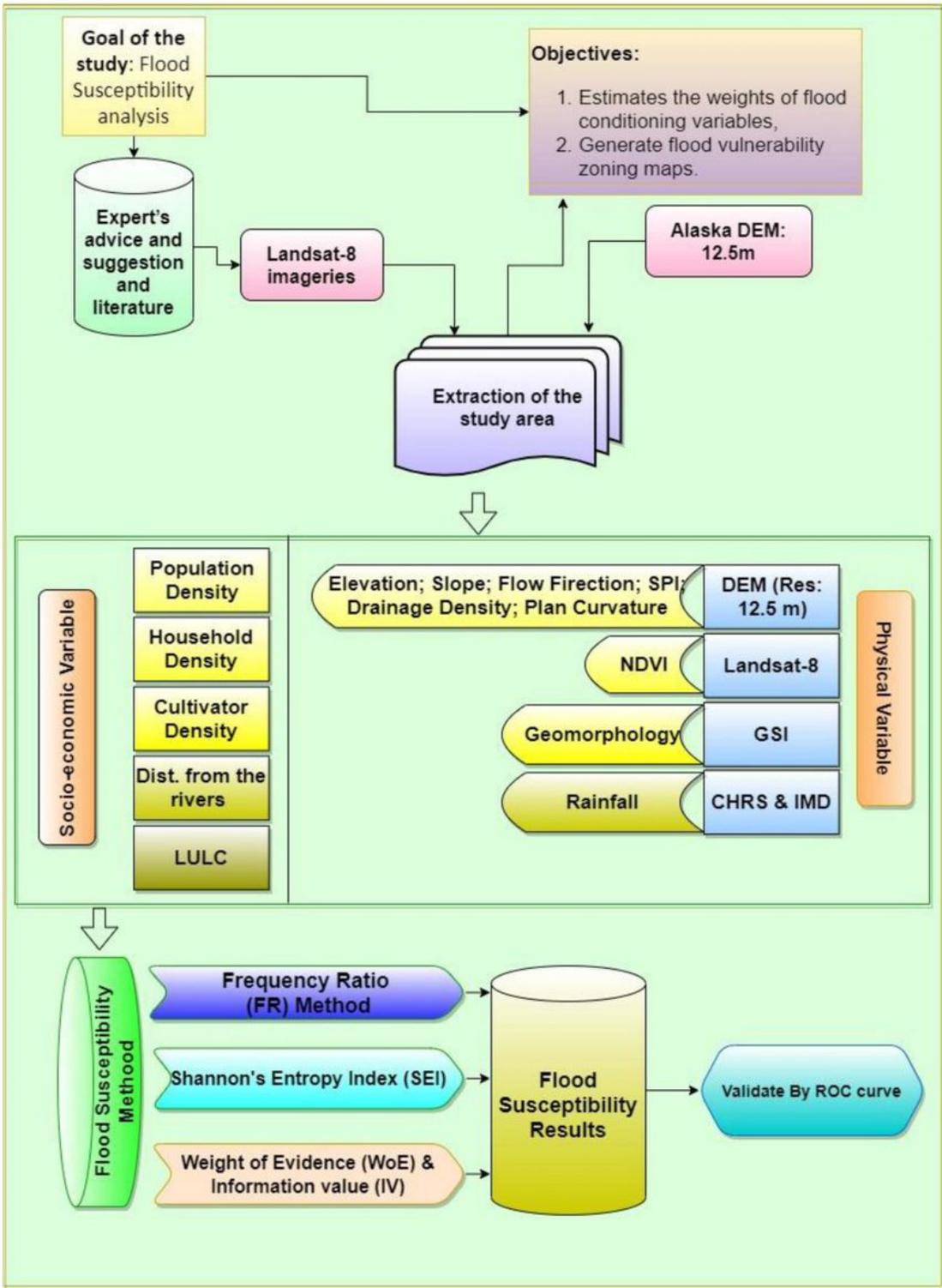


Figure 2

Flow diagram of the research

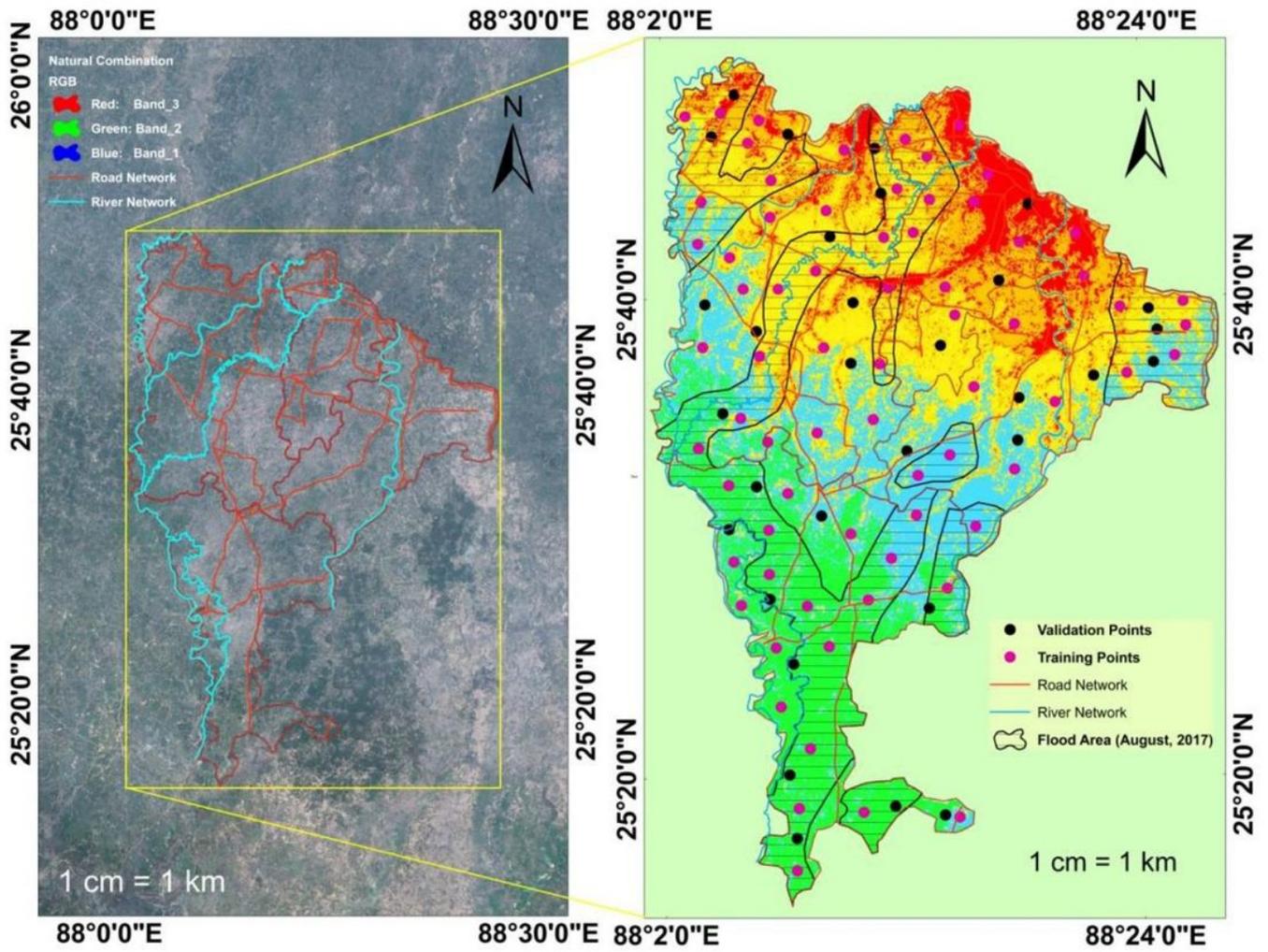
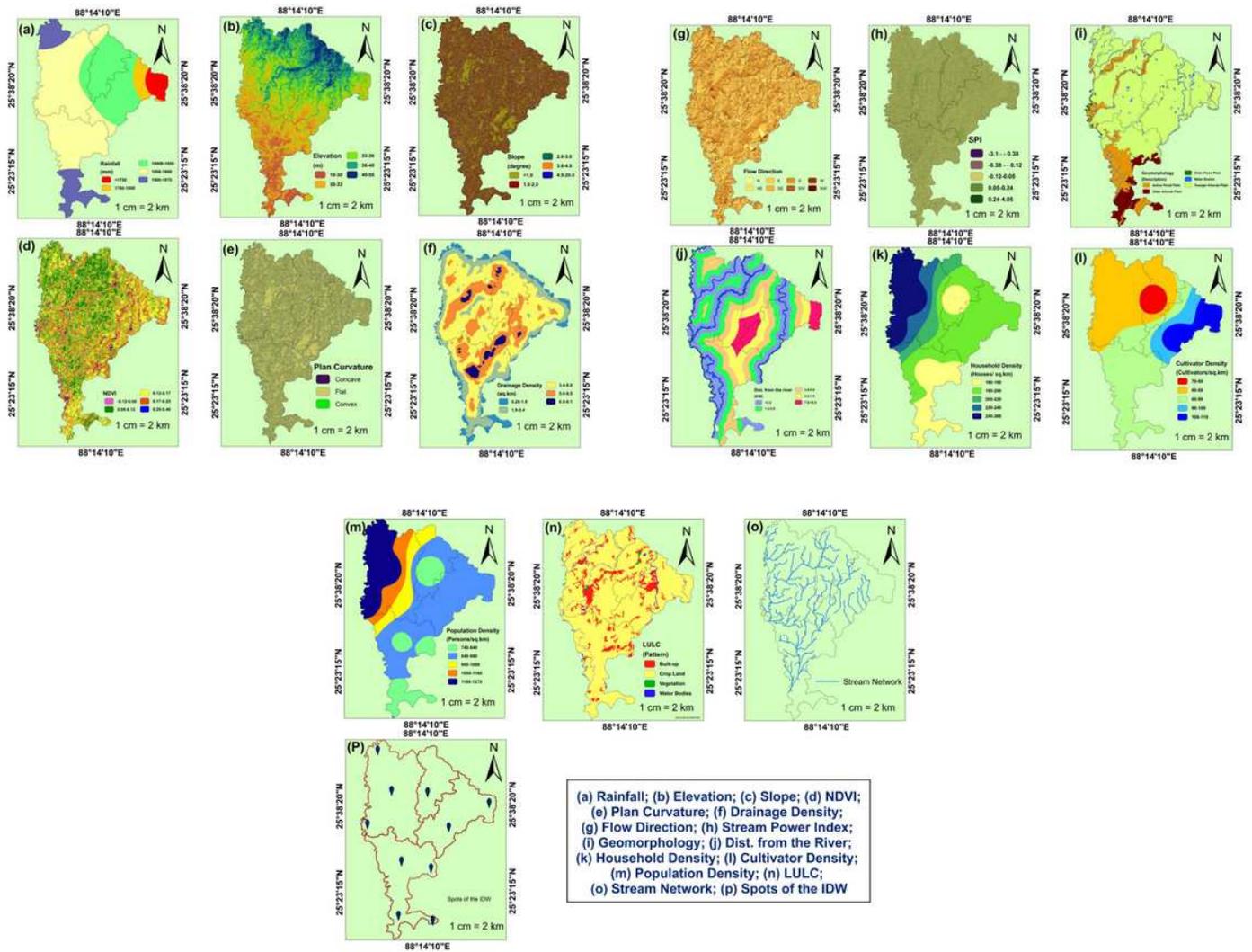


Figure 3

Flood Inventory mapping of the research area



**Figure 4**

Performing Variables of the research; (a) Rainfall; (b) Elevation; (c) Slope; (d) NDVI; (e) Plan Curvature; (f) Stream Density; (g) Flow Direction; (h) SPI; (i) Geomorphology; (j) Dist. from the river; (k) Household Density; (l) Cultivator Density; (m) Population Density; (n) LULC; (o) Stream Network; (p) Spots of the IDW.

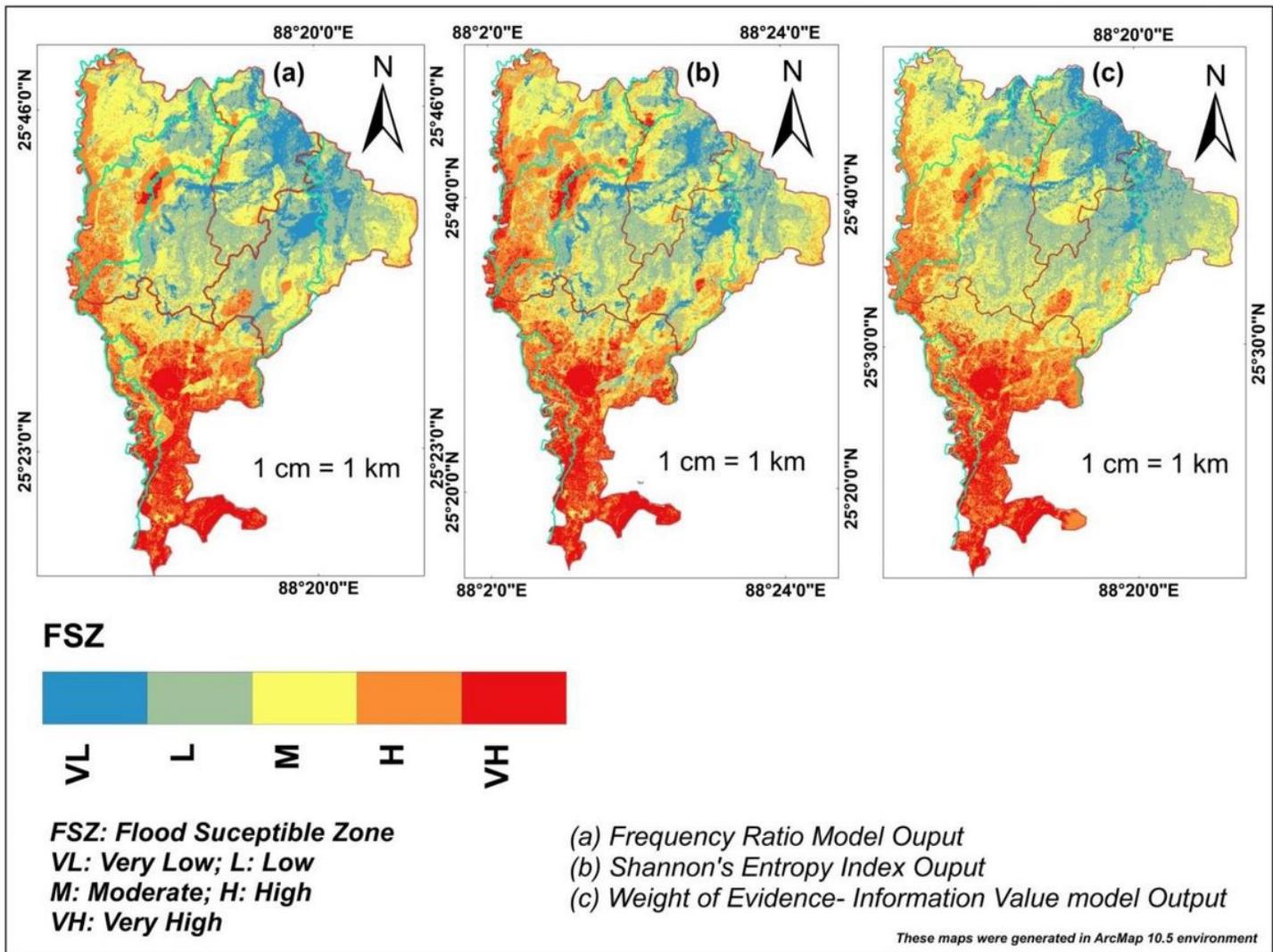


Figure 5

Potential Flood Susceptibility Outputs; (a) Frequency Ratio; (b) Shannon's Entropy Index; (c) WoE-IV

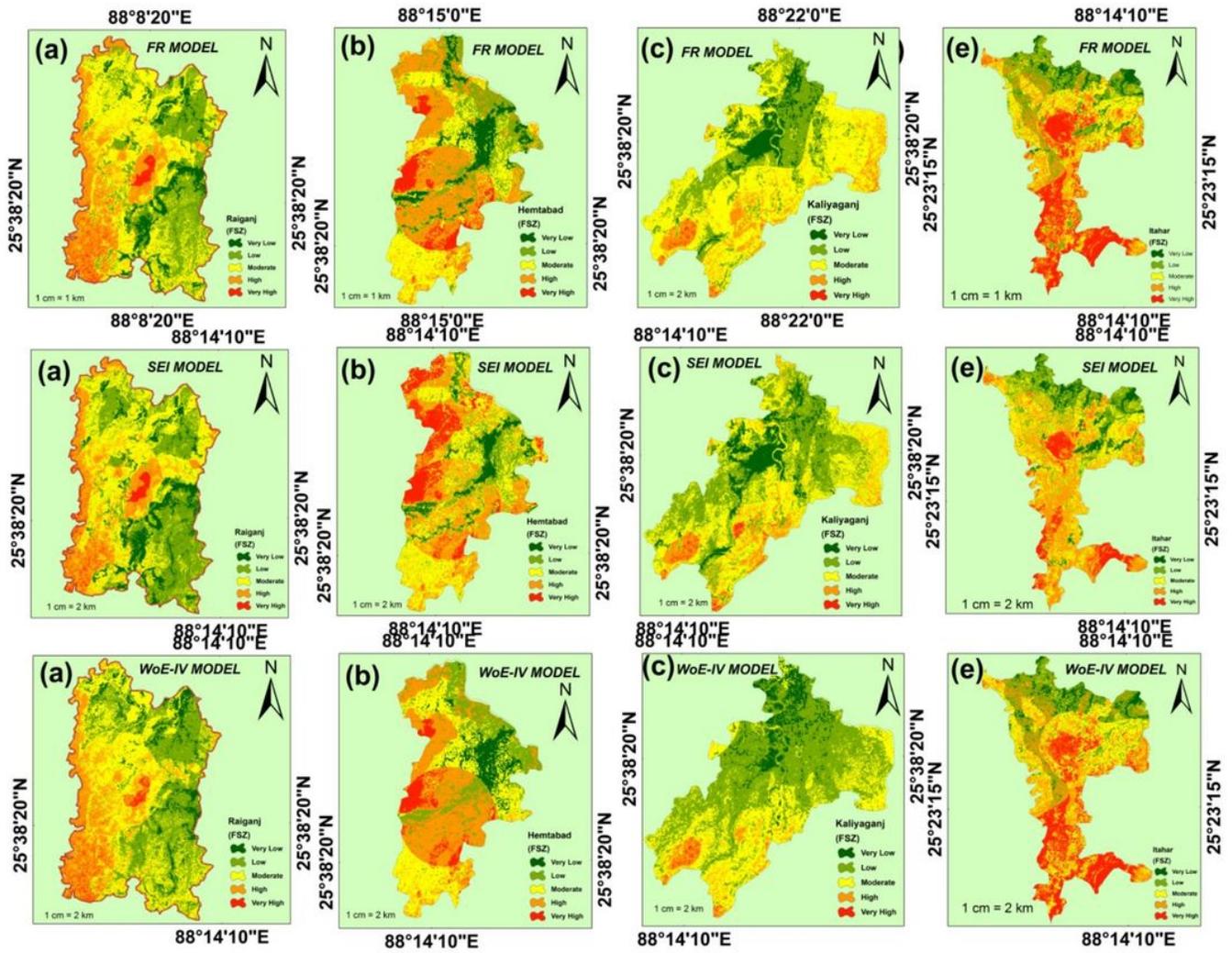


Figure 6

Block-wise flood susceptibility mapping; (a)Raiganj; (b)Hemtabad; (c)Kaliyaganj; (d) Itahar

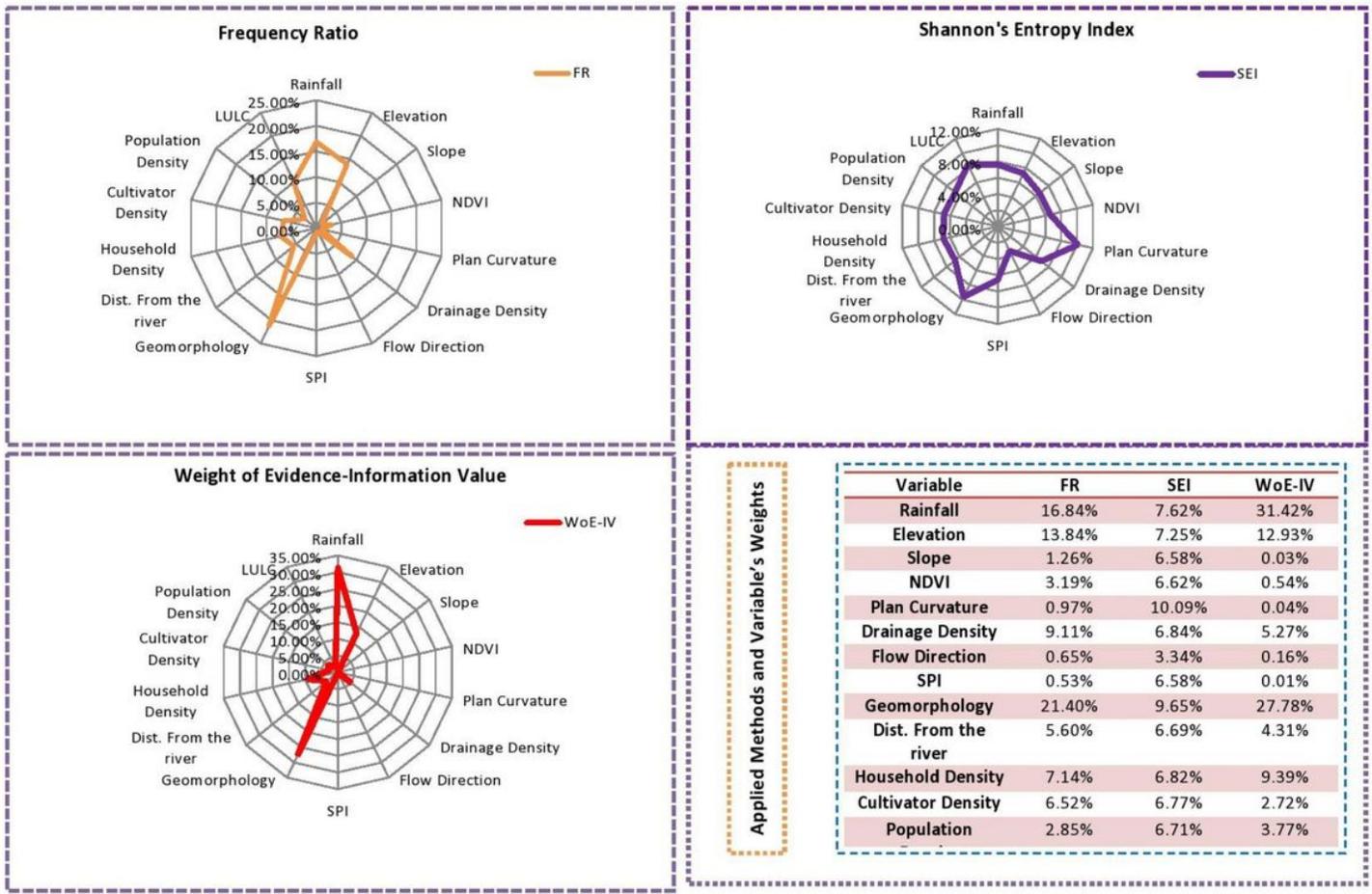


Figure 7

Efficiency Comparison of applied methods

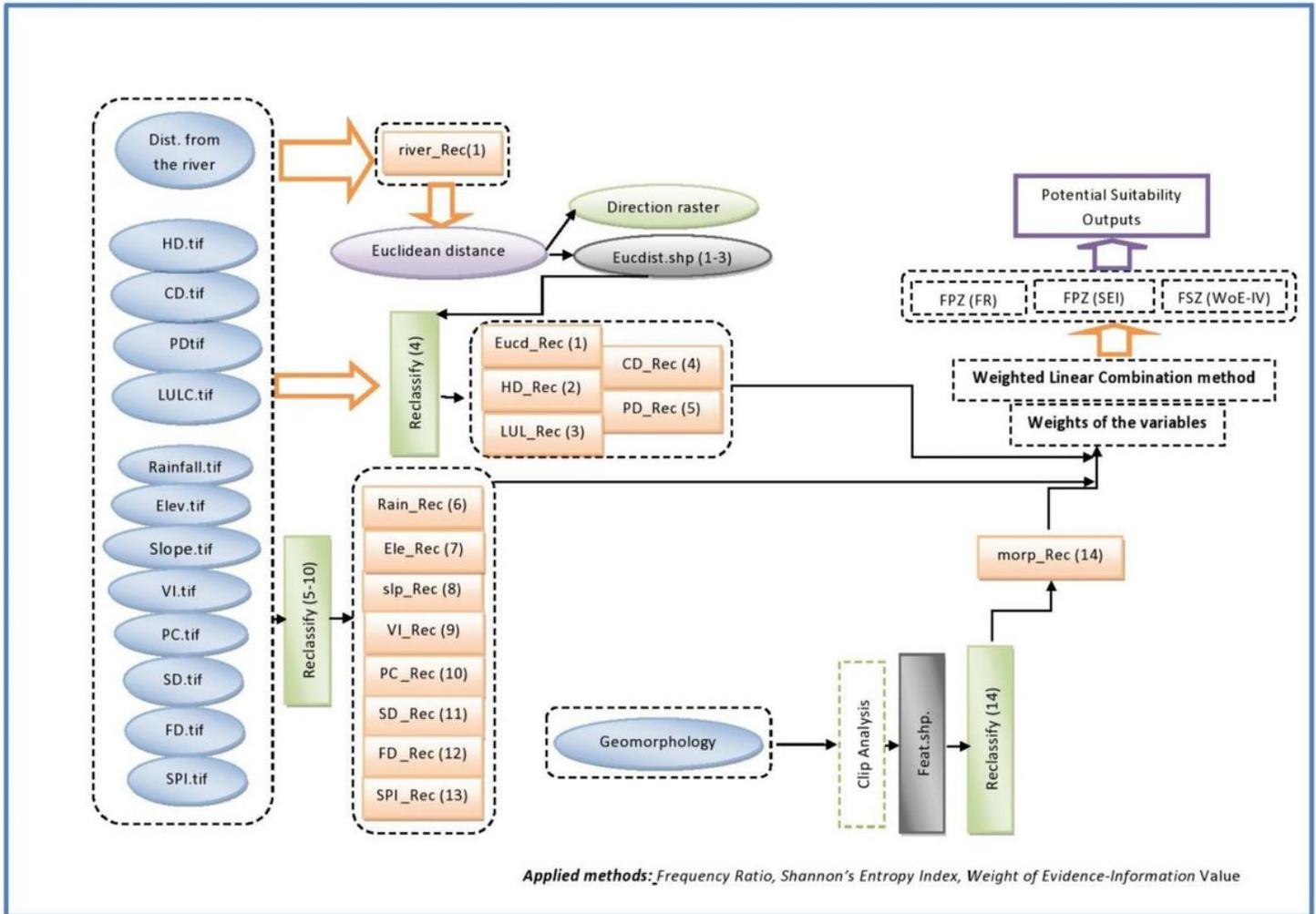


Figure 8

Weighted Linear Combination model for potentiality estimation

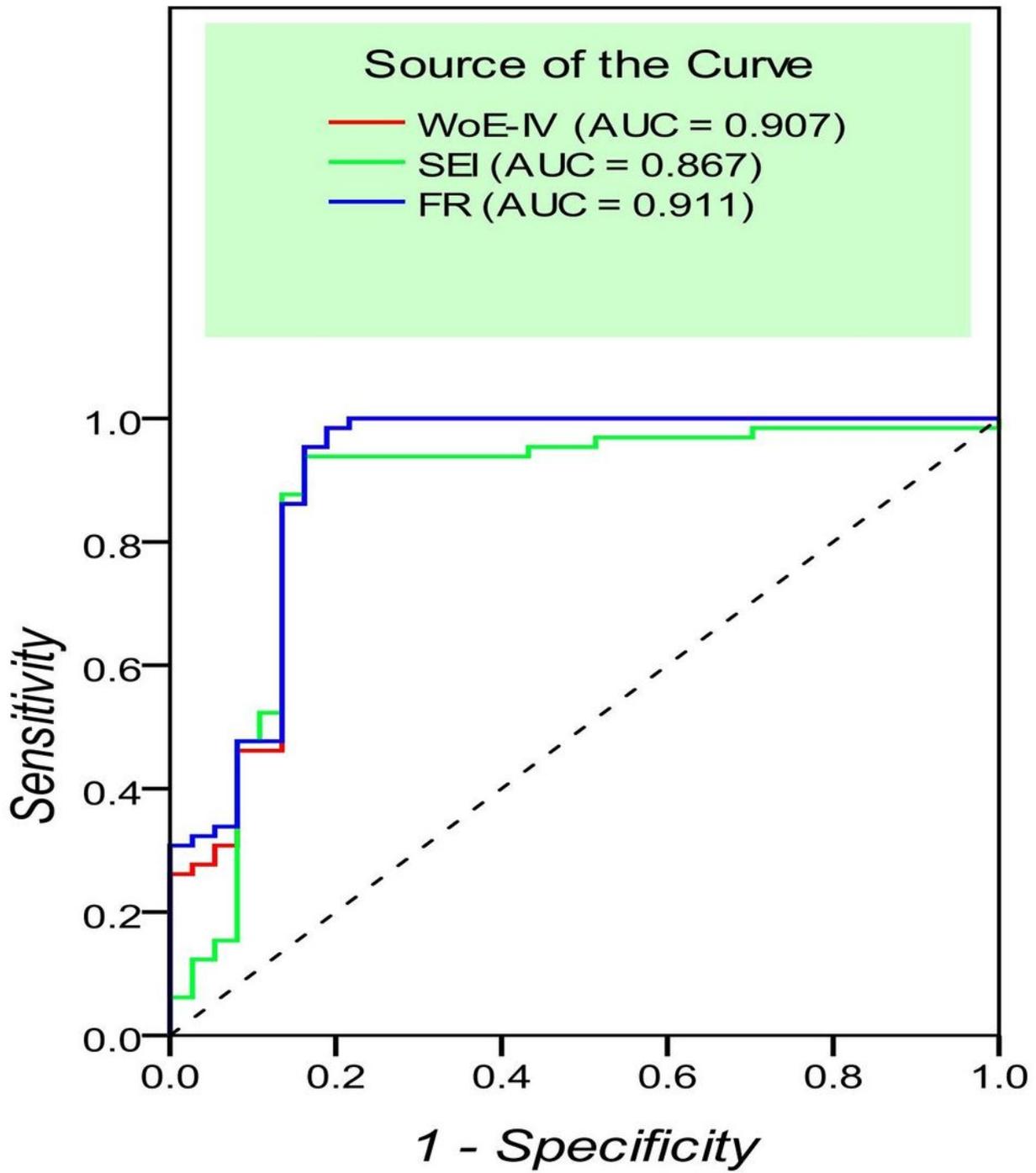


Figure 9

Validation of the results using ROC curve