

Breaking the symmetry: Suppressing Plateau-Rayleigh instability and optimizing hydropower utilization

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2 **Breaking the symmetry: Suppressing Plateau-Rayleigh instability and optimizing**
3 **hydropower utilization**

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17 **Abstract**

18 Droplet impact on solid surfaces is essential for natural and industrial processes. Particularly,
19 controlling the instability after droplet impact, and avoiding the satellite drops generation, have
20 aroused great interest for its significance in inkjet printing, pesticide spraying, and hydroelectric
21 power collection. Herein, we found that breaking the symmetry of the droplet impact dynamics
22 using patterned-wettability surfaces can suppress the Plateau-Rayleigh instability during the
23 droplet rebounding and improve the energy collection efficiency. Systematic experimental
24 investigation, together with mechanical modeling and numerical simulation, revealed that the
25 asymmetric wettability patterns can regulate the internal liquid flow and reduce the vertical
26 velocity gradient inside the droplet, thus suppressing the instability during droplet rebounding
27 and eliminating the satellite drops. Accordingly, the droplet energy utilization was promoted, as
28 demonstrated by the improved hydroelectric power generation efficiency by 36.5%. These
29 findings deepen the understanding of the wettability-induced asymmetrical droplet dynamics
30 during the liquid-solid interactions, and facilitate related applications such as hydroelectric
31 power generation and materials transportation.

32

33

34 **MAIN TEXT**

35 **Introduction**

36 Droplet impact on solid surfaces has been extensively investigated owing to its significance
37 in various fields such as hydroelectric power collection¹⁻⁴, inkjet printing⁵⁻⁸, and anti-icing⁹⁻¹⁶.
38 A very essential branch is the investigation of the droplet instability suppression after impacting
39 on various surfaces. Two types of instability exist during the droplet impact. One is the
40 splashing that is dominated by the Kelvin-Helmholtz instability in the droplet spreading stage
41^{17,18}, and the other is the Plateau-Rayleigh instability in the droplet retraction stage^{15,19}. The
42 generation of satellite drops is one of the most widely recognized phenomena of instability
43 during droplet rebounding process, and has adverse effects on inkjet printing²⁰, pesticide
44 spraying^{17,21}, droplet-based energy collection²², and many other applications^{19,23-27}. Great
45 efforts have been made to suppress the instability after droplet impacting on the solid surface
46 and suppress the satellite drops formation. For example, vesicle surfactants were added into
47 water droplets to prevent the droplet splashing when pesticide spraying¹⁷; and micro-holes
48 array were fabricated on the substrate to restrain the Kelvin-Helmholtz instability during droplet
49 impacting¹⁸. Despite these achievements, it is still challenging to regulate the Plateau-Rayleigh
50 instability during droplets rebounding from superhydrophobic surfaces.

51 Herein, we propose that using patterned-wettability substrates, the symmetry of the droplet
52 impacting dynamics can be broken, which suppresses the Plateau-Rayleigh instability during
53 the droplet rebounding process, and improves the hydroelectric energy collection efficiency. It
54 is demonstrated that the Plateau-Rayleigh instability can be evaluated by the droplet elongation
55 and the formation of satellite drops. Meanwhile, it is negatively correlated with the main droplet
56 rebounding kinetic energy. From both experimental and theoretical aspects, we uncover the
57 mechanism of suppressing instability during droplet rebounding, that the asymmetric
58 superhydrophilic pattern on the superhydrophobic surface regulates the liquid flow and reduces
59 the vertical velocity gradient inside the droplet. As a result, the instability is suppressed, with
60 the shortened droplet elongation and the suppressed satellite drops. On this basis, the
61 hydroelectric energy collection efficiency of a wettability-patterned device can be promoted by
62 36.5% compared with that of a superhydrophobic device. These findings provide new insights
63 into the asymmetrical liquid-solid dynamics, and inspire the innovative design of functional
64 surfaces for liquid instability suppression, high-performance hydroelectric energy collection,
65 and droplet directional transportation.

66 **Results**

67 **Suppress the Plateau-Rayleigh instability by breaking the symmetry of surface**
68 **wettability.**

69 We prepare superhydrophobic (SHB) substrates, and design superhydrophilic patterns on the
70 substrates to form wettability patterns. The surface with a line-shaped superhydrophilic pattern
71 is termed as line-patterned-wettability (LPW) surface, and the surface with an arc-shaped
72 superhydrophilic pattern is termed as arc-patterned-wettability (APW) surface. Note that for the
73 LPW surface, the line is deviated from the substrate center, while for the APW surface, the

76 centers of the patterns and the substrates are overlapped. Then water droplets are released to
77 impact on the center of the substrates at $We = 32.8$, where $We = \rho v^2 R / \gamma$ is the Weber number,
78 with ρ being the density, v being the impacting velocity, R being droplet radius, and γ the
79 surface tension of water (see Supplementary Movie 1). Selected snapshots of the droplet
80 evolution on these surfaces are shown in Fig. 1a-c. The droplet rebounds vertically upward and
81 generates 3 satellite drops after impacting on the SHB surface (Fig. 1a). In contrast, the droplets
82 rebound upward with lateral deviation on the LPW surface with 1 satellite drop and APW
83 surface with 0 satellite drop (Fig. 1b and 1c). To further confirm the differentiated number of
84 the satellite drops, we perform 50 independent droplet impacting tests on each of the three
85 surfaces. The results in Fig. 1d show that there is an 88% probability that the droplet will
86 produce more than 3 satellite drops when impacting on the SHB surface, 82% probability of
87 droplet producing 1 satellite drop on the LPW surface and 90% probability of droplet producing
88 0 satellite drop on the APW surface. It indicates that the different numbers of the satellite drop
89 in Fig. 1a-c are not accidental. Meanwhile, we find that the stretching length of the main droplet
90 at the moment of separating from the surface varies greatly on different surfaces. The droplet
91 elongation is the largest on the SHB surface, followed by the LPW and the APW surfaces, as
92 shown in Fig. 1e.

93 The droplet elongation and the satellite drops generation are originated from the instability
94 during droplet rebounding. On the one hand, due to the droplet elongation, more surface energy
95 is converted from kinetic energy, while the increased surface energy is fully dissipated during
96 the droplet rebounding (detailed discussion is provided in Supplementary Section 1). As a result,
97 the droplet elongation shows a negative effect on the main droplet kinetic energy. On the other
98 hand, the generation of satellite drops reduces the main droplet kinetic energy (see
99 Supplementary Fig. 1c). Therefore, we can use the kinetic energy E_k of the main droplet to
100 evaluate the instability during droplet rebounding. Fig. 1f illustrates the E_k on the SHB, LPW,
101 and APW surfaces. Detailed calculation process is provided in Supplementary Section 1.
102 Compared with the kinetic energy of the main droplet on the SHB surface, the LPW and APW
103 surfaces show 5.4% and 24.6% improvement, respectively, which is consistent with the analysis
104 above. The results indicate that the Plateau-Rayleigh instability during droplet rebounding can
105 be suppressed by the wettability patterns on the LPW and the APW surfaces. As the droplet
106 rebounding kinetic energy is related to the liquid flow inside the droplets^{19,28}, we hypothesize
107 that the instability suppression during droplet rebounding on the LPW and APW surfaces
108 originates from the differentiated liquid flow fields.

109 Characterization of asymmetrical liquid flow inside the droplet when retracting.

110 To investigate the liquid flow inside the droplets, we record the droplets evolution after
111 impacting on the SHB and the LPW surfaces. Here the LPW surface is selected as the
112 representative of patterned wettability surfaces. As the droplets show indistinguishable
113 spreading behaviors on the surfaces (Supplementary Fig. 3), we mainly focus on the droplet
114 retraction stage, as the sequenced images shown in Fig. 2a. The liquid film retracts
115 symmetrically on the SHB surface, with synchronized and continuous three-phase contact line
116 (TCL) dewetting on both sides. By contrast, the liquid film retracts asymmetrically on the LPW
117 surface, with the left TCL freely dewetting while the right TCL pinned. The position evolution

of the TCL on the two surfaces is shown in Fig. 2b. The curves overlap at the initial stage of retracting (0-1.9 ms), while noncoincidence appears when the right TCL on the LPW surface is captured by the superhydrophilic stripe. According to the previous result ²⁹, the droplet on the LPW surface is subjected to an unbalanced lateral force F_{Lat} , which may affect the liquid flow inside the droplet. For clear clarification, we simulate the droplet impact process on these surfaces using the coupled Level-Set and Volume of Fluid (CLSOVF) method (Details see Supplementary Section 3). For the droplet symmetrically retracting on the SHB surface, the TCLs move simultaneously toward each other, forming opposite liquid flow on the two halves of the droplet (the top image in Fig. 2c, $t/t_0 = 0\text{-}0.5$). Here t_0 is the duration of the droplet retraction. While for the droplet asymmetrically retracting on the LPW surface, the obvious retarding of the right TCL results in a dominated asymmetrical liquid flow (the bottom image in Fig. 2c, $t/t_0 = 0\text{-}0.5$). The droplet lateral momentum (x -direction) in the symmetrical and asymmetrical retraction stages are extracted and shown in Fig. 2d. The lateral momentum on the LPW surface gradually enlarges with droplet retracting, which is caused by the integration of unbalanced lateral force with time, while it remains zero on the SHB surface that shows little lateral force to the droplet. Meanwhile, as shown in Fig. 2e, when departing from the LPW surface, the vertical velocity gradient is smaller than that from the SHB surface, thus reducing the elongation of the droplet and suppressing the generation of satellite drops ^{19,28}.

Next, the influences of the symmetry of the liquid flow on the rebounding droplet elongation and the satellite drop generation are quantitatively discussed. The character, u , is used to describe the net liquid flow rate through a specific cross-section, as indicated by the black dashed line in Fig. 3a. Here, we assume that the height h of the left and right parts of the droplet is the same. The net liquid flow rate can be expressed as $u = dV/dt = S \times dh/dt + h \times dS/dt$, where V is the net liquid flow, h is the thickness of the liquid film, and S is the bottom areas difference of the two parts ($S = S_2 - S_1$). According to Fig. 2a, h almost remains unchanged ($0 \text{ ms} < t < 3.2 \text{ ms}$). Hence, we get $u \sim dS/dt$, which means that the net liquid flow rate can be evaluated by the change rate of the bottom area difference S . Fig. 3b plots the variation of the bottom area difference S on various surfaces, and the derivative of S with respect to time (dS/dt) is shown in Supplementary Fig. 4. Here we use the maximum value $(dS/dt)_{max}$ to evaluate the capability of the asymmetrical liquid flow on these surfaces. Fig. 3c shows the $(dS/dt)_{max}$ on different wettability surfaces, as well as the corresponding droplet elongation length and the generated satellite drop number. On the SHB surface (light grey area), the droplet possesses a small $(dS/dt)_{max}$, and shows the largest elongation length and generates the most satellite drops. Increasing $(dS/dt)_{max}$ using wettability-patterned substrates shortens the droplet elongation and suppresses the satellite drops generation (light yellow area). Using optimized wettability patterns, the satellite drops are fully suppressed (light green area). As $(dS/dt)_{max}$ shows quantitative influence on the satellite drop number and the droplet elongation length, which are affected by the liquid flow fields inside the droplets, we investigate the dependence of the main droplet rebounding kinetic energy on $(dS/dt)_{max}$. As shown in Fig. 3d, with the increase of $(dS/dt)_{max}$, the droplet rebounding kinetic energy gradually increases, and becomes larger than that on the SHB surface. Note that the SHB surface has the smallest $(dS/dt)_{max}$ but a relatively large kinetic energy, which can be explained that the adhesion force between the SHB surface and the droplet is negligible (Details see Supplementary Section 4). The results verify our hypothesis that Plateau-Rayleigh

162 instability of rebounding droplets is dominated by the symmetry of the liquid flow field inside
163 the droplet. In addition, the generality of suppressing Plateau-Rayleigh instability by breaking
164 the symmetry of surface wettability is proved applicable to a wide range of *We* numbers (see
165 Supplementary Fig. 5 and Supplementary Section 5).

166 Application of breaking the symmetry of the droplet impact dynamics.

167 Hydropower generation plays an increasingly important role in renewable energy.
168 Compared with the well-established hydroelectric plants that needs large amounts of water for
169 electricity generation, the collection and utilization of energy from more accessible forms of
170 water, such as raindrops, is also important but usually underestimated^{1,4,30-32}. Recently, the
171 innovation of triboelectric and piezoelectric generators has boosted the development of energy
172 collection from raindrops^{2,4,33-37}. For the piezoelectric generator, when an external force is
173 applied, the change in the structure of the piezoelectric materials induces the formation of an
174 electric dipole, which produces a voltage across the device. After a water droplet impacting on
175 the piezoelectric device, the interaction between the droplet and the device causes the
176 deformation of the device, and thus the electric energy is generated. The amplitude and period
177 of the piezoelectric device caused by the droplet impacting determine the power output of the
178 device. Therefore, the power output of the device can be optimized by regulating the droplet
179 impacting dynamics.

180 As discussed above, the Plateau-Rayleigh instability during droplet rebounding can be
181 suppressed using patterned wettability substrates. The instability suppression is realized by
182 regulating the liquid flow inside the droplet, which is governed by the asymmetric adhesion
183 force from the substrate. According to Newton's third law, the substrate is also subjected to an
184 additional force from the droplet. Here we demonstrate that through rational utilization of the
185 additional force, the hydropower collection efficiency using piezoelectric devices can be further
186 improved, compared with that using a superhydrophobic surface that is considered as the most
187 efficient surface^{19,38}. Fig. 4a is the scheme of the piezoelectric devices with APW and SHB
188 surfaces. When the water droplet impacts on the beam, the impulse from the droplet causes the
189 plate to deform and generate electricity. The short-circuit current of the device with APW
190 surface is increased to 0.34 μA , compared to 0.28 μA from the piezoelectric device with the
191 SHB surface (Fig. 4b). The increased current on the APW-based device results from the
192 increased vibration frequency of the device, which is caused by the asymmetrical liquid flow
193 inside the droplet (see Supplementary Fig. 7-8 and Supplementary Movie 2). The better
194 performance in the output power is applicable for all the investigated load resistance range, as
195 demonstrated in Fig. 4c and Supplementary Fig. 6. For a fixed external resistance of 100 K Ω ,
196 the maximum power output of the APW-based device shows an improvement by 36.5% than
197 that of the SHB-based device (Supplementary Fig. 6). In addition, the influence of the Weber
198 number on the power improvement is investigated, and the results are summarized in Fig. 4d.
199 The APW-based device shows improvements for a wide range of Weber numbers ($We \geq 15$).
200 Detailed discussion of the dependence is provided in Supplementary Fig. 9-10 and
201 Supplementary Section 6. This illustrates that by taking advantages of the asymmetrical droplet
202 impacting dynamics, the hydroelectric power generation performance could be efficiently
203 improved.

204
205 **Discussion**

206 We realize the suppression of the Plateau-Rayleigh instability during droplet rebounding,
207 through breaking the symmetry of droplet impact dynamics using patterned-wettability
208 substrates. The instability-induced satellite drops generation is suppressed, and the droplet
209 elongation is shortened. We reveal the mechanism that the wettability-pattern-induced
210 asymmetric adhesion force regulates the liquid flow inside the droplet, and reduces the vertical
211 velocity gradient of the rebounding droplet to suppress the instability. We propose that the
212 liquid flow regulation strategy can be used to improve the droplet-based piezoelectric power
213 generation efficiency, with the maximized improvement by 36.5 % compared with the
214 superhydrophobic piezoelectric device. It is anticipated that more counter-intuitive and useful
215 functionalities could be developed by inducing asymmetry to the solid-liquid interactions.
216

217 **Methods**

218 **Fabrication of substrates.**

219 The aluminum plates were cut into small flakes, and were corroded by Beck etchant (40 ml HCl (37 wt.%),
220 12.5 mL H₂O and 2.5 mL HF (40 wt.%) for 15 seconds. After blown drying with nitrogen and plasma
221 treatment, the flakes were fluorinated by 1H, 1H, 2H, 2H-Perfluorodecyltriethoxysilane for 2 hours at 80 °C.
222 After fluorination, the flakes became superhydrophobic. Photomasks were used to prepare superhydrophilic
223 patterns by UV radiation.

224 **Droplet impact experiments.**

225 The whole experimental set-up was placed at room temperature. Water drops (Diameter $D = 2.1$ mm) were
226 generated from a fine needle equipped with a syringe pump from pre-determined heights. The dynamics of drop
227 impact was recorded by high-speed cameras: Phantom V12.1, Phantom VEO401L (Vision Research Inc.)

228 **Piezoelectric energy harvesting.**

229 Piezoelectric film (DT1-028K) was attached to the fixed end of a PET cantilever beam, and connected to the
230 electrometer (Keithley 6514). The superhydrophobic and the patterned-wettability Aluminum flakes were
231 pasted on the free end of the cantilever beam. The droplets impacted on the center of the Aluminum flakes to
232 collect current and voltage signals.
233
234
235

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317 Author contributions:

318 Y. Song and H. Li conceived the project, Z. Zhao performed the experiment, W. Fang run the simulation,
319 all the authors analyzed the data and discussed the results, Z. Zhao, H. Li, and Y. Song wrote the paper. All
320 authors made comments and approved the manuscript.

321 Competing interests:

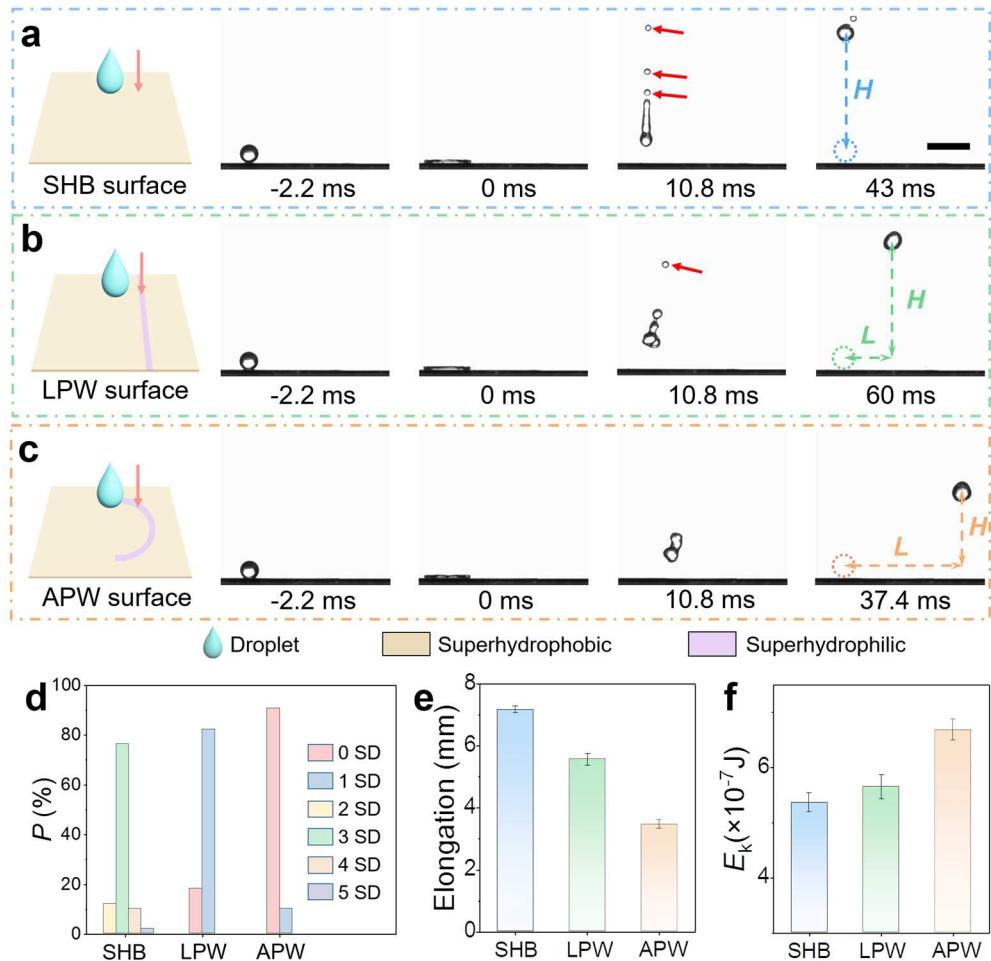
322 All other authors declare they have no competing interests.

323 Data and materials availability:

324 All data are available in the main text or the supplementary materials.

332 **Figures and Tables**

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Fig. 1. Retraction of the droplets impacting on different wettability surfaces. (a-c) Side view of the water droplets impacting on the superhydrophobic (SHB) surface, the line-patterned-wettability (LPW) surface, and the arc-patterned-wettability (APW) surface. Scale bar: 5 mm. $We = 32.8$. (d) Probability distribution of the satellite drop (SD) number of 3 surfaces (50 impacts for each surface). (e) Droplet elongation length of the 3 surfaces. (f) The rebounding kinetic energy E_k of the main droplets of the 3 surfaces. Each data in (e, f) is the average of 5 impacts.

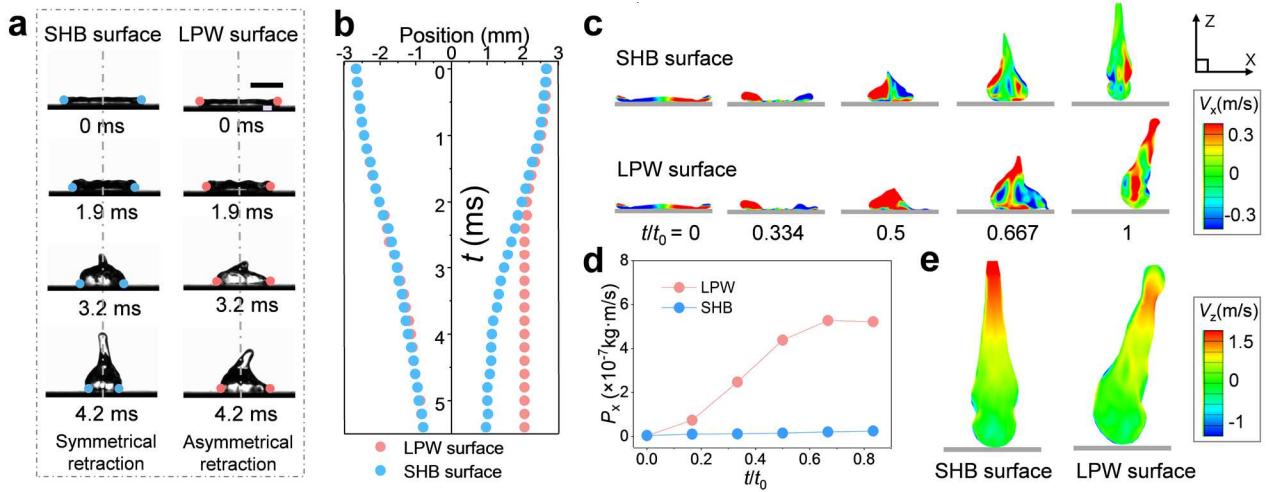
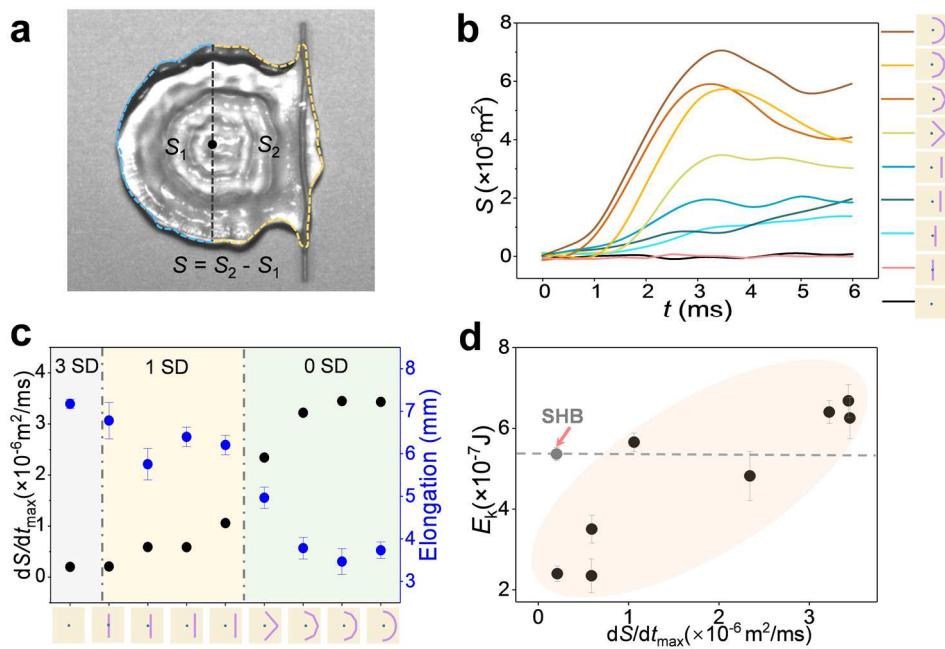


Fig. 2. Dynamics of the asymmetrical and symmetrical droplets retraction. (a) Snapshots of the symmetrical droplet retraction after impacting on the SHB surface, and the asymmetrical droplet retraction after impacting on the LPW surface. $We = 32.8$. Scale bar: 2 mm. (b) Plot of the TCL position of the symmetrical and asymmetrical retracting droplets. (c) Simulated results to show the evolution of the lateral (x -direction) velocity distribution in the cross-section of the droplets. (d) The momentum of the droplet along the x -direction (P_x) during the retraction process. (e) The vertical velocity gradient (z -direction) inside the droplets at $t/t_0 = 1$.



356 **Fig. 3. Effect of the liquid flow on the satellite drop, elongation length and the main droplet kinetic**
 357 **energy. (a)** The definition of area S at time t . **(b)** Changes of the area S with time t on different wettability

358 **(c)** Changes in satellite drop number and droplet elongation on different wettability surfaces. **(d)** The

359 influence of $(dS/dt)_{\max}$ on the kinetic energy of the main droplets E_k .

360

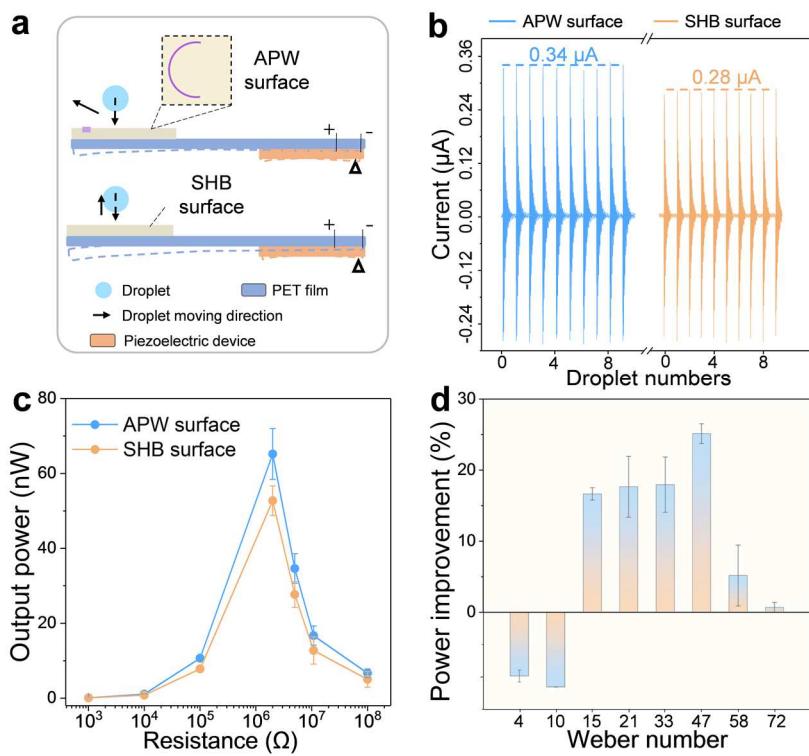


Fig. 4. Enhancing the hydropower collection efficiency. (a) Schematic diagram of the piezoelectric devices equipped with APW (up) or SHB (down) surfaces. (b) Comparison of the current of ten droplets impacting on the piezoelectric devices equipped with APW or SHB surfaces. (c) Different output powers with different resistances. $We = 47$. (d) Power improvement ($(P_{\text{APW}} - P_{\text{SHB}})/P_{\text{SHB}}$) under different Weber numbers. The external resistance is $2 \text{ M}\Omega$. Each data of output power is the average of ten impacts.

Supplementary Files

This is a list of supplementary files associated with this preprint. Click to download.

- [SupplementaryMovie1Symmetricalandsymmetricaldropletimpactdynamics.mp4](#)
- [SupplementaryMovie2DropletsimpactingonpiezoelectricdevicesatWe47.mp4](#)
- [SupplementaryInformation.pdf](#)