VHL Expression Level in the Pathological Tissue is Significantly Associated with Hematotoxicity of Platinum-based Chemotherapy in Non-Small Cell Lung Cancer Patients.

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Research

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Abstract

Objective To investigate the association between expression level of Von Hippel-Lindau(VHL) in pathological tissue and hematotoxicity of platinum-based chemotherapy in non-small cell lung cancer (NSCLC) patients.

Methods The pathological samples of NSCLC patients were obtained for immunohistochemical staining to evaluate the expression level of VHL. Furthermore, their clinical data were collected and prognosis was traced by phone. The correlation between gene expression level and the hematotoxicity was evaluated by chi-square test. The influence of VHL expression level on the risk of hematotoxicity was tested by logistic regression model. The survival curve was plotted by Kaplan-Meier method and the survival rate between the two groups was compared by log-rank test.

Results A total of 110 NSCLC patients were enrolled in this study, the median follow-up time of these patients was 27.5 months. In the whole group, 31 patients had died by the last date of follow-up to get their survival information (Nov.10,2020), with a median survival time of 24.3 months. Though immunohistochemical analysis,we found that 59 patients(53.6%) had weak expression level of VHL or lack of expression in their tumor tissues,while 51 patients(46.4%) presented moderate or high expression. We found that the patients with weak expression of VHL in their carcinoma tissue or lack of expression had more opportunities to occur neutropenia after platinum-based chemotherapy($OR=0.264,95\%CI=0.085-0.818,P\text{-value}=0.021$).And the expression level of VHL was correlated with OS(Logrank test: $P\text{-value}=0.007,HR=4.219,95\%CI:1.75-10.174,P\text{-value}=0.001$), while not related with DFS(Logrank test: $P\text{-value}=0.256,HR=1.334,95\%CI:0.642-2.769,P\text{-value}=0.440$).

Conclusion The expression level of VHL gene in pathological tissue is related with granulocytopenia and leukocytotoxicity after platinum-based chemotherapy in NSCLC patients. It can be used as a biomarker to predict the risk of neutropenia and the prognosis of NSCLC patients.

Introduction

Lung cancer is still a leading cause of the morbidity and mortality generated by cancers all over the world. The main pathological type of lung cancer is non-small cell lung cancer (NSCLC) which accounts for about 85% of lung cancer.(1–3) However,a large proportion of NSCLC patients diagnosed too late to accept surgery. Instead, they have to accept chemotherapy to prolong their survival time. Fortunately, the discovery of immunological therapy such as programmed death molecule-1 (PD-1) and its ligand (PD-L1) has significantly improved survival times of NSCLC patients. However, the promotion of immunological therapy is restricted by its low objective response rate and its expensive expense in China. Furthermore, platinum-based chemotherapy is often used in combination with immunotherapy, and platinum-based chemotherapy is also the first-line treatment for lung cancer. Therefore, the investigation on the platinum-based chemotherapy in cancer treatment still need to be performed.(1, 4, 5)

Chemotherapeutic drugs for NSCLC, represented by platinum-based drugs, mainly kill tumor cells extensively through the way of DNA damage, which inevitably cause damage to normal cells.(4, 5, 12) The most common side effects in clinical are hematotoxicity and gastrointestinal reactions. The blood toxicity, including neutropenia and leukopenia, is the most common toxicity in platinum chemotherapy. It not only affects the quality of NSCLC patients' lives, but also has a great impact on the follow-up treatment.

VHL is a classic tumor suppressor gene, located on the short arm of chromosome 3(3p25–26).(6, 7) Many researches have pointed out that VHL could influence the occurrence and metastasis of carcinomas through regulating the HIF pathway.(6–9) Several studies showed that lack of VHL could cause resistance in the chemotherapy of different carcinomas, including clear-cell renal cell carcinoma (ccRCC) and other solid tumors.(7, 10) However, little is known about the correlation between NSCLC chemotherapy and VHL gene.(7–10)

The aim of the current study is to investigate the association between Von Hippel-Lindau(VHL) expression and outcomes of platinum-based chemotherapy in NSCLC patient. Specially, we evaluated the expression of VHL in the pathological tissue of NSCLC patients by IHC analysis and research its relationship with the hematoxicity and clinical outcome of NSCLC patients. Given the fact that the biomarkers, to predict the risk of blood toxicity after platinum-based chemotherapy, are scarce for clinical use, our ongoing work on VHL has significant implications in the treatment of NSCLC.

Materials And Methods

Ethical approval

The procedures involved in our study conformed to the ethical standards of research Committee in Shanghai East Hospital. It is consistent with the ethical standards of the 1964 Helsinki Declaration and its subsequent revisions. All patients in the study were notified and given written consent. No animals were used in our research. All experiments involved in our study were conducted in accordance with approved guidelines and regulations.

Study cohorts

In this study, 110 patients who accepted first-line platinum-based double chemotherapy plan were recruited from January 2016 to July 2020. All patients received radical surgery and were diagnosed as NSCLC by pathology when enrolled. The pathological tissue samples in our study were taken from NSCLC patients who were underwent radical operation in Shanghai East Hospital from 2016 to 2020. Then, we evaluated the association with the expression of VHL gene in histopathological specimen and clinical outcomes in NSCLC patients treated by platinum-based chemotherapy.

Treatment

110 NSCLC patients in our study were treated with platinum-based chemotherapy, including double-DNA damage agents (cisplatin or nedaplatin combined with gemcitabine or pemetrexed) and platinum-tubulin targeting agents (cisplatin or nedaplatin combined with docetaxel). They received above plan for two to four cycles. The usages for cisplatin and nedaplatin were 75 mg/m² on day 2 every three weeks and 100mg/m² on day2 every three weeks. The usages for gemcitabine and pemetrexed were 1000mg/m² on day1, 8 every three weeks and 500mg/m² on day1 every three weeks. 75mg/m² on day 2 every three weeks was for docetaxel.

Specimen collection and immunohistochemistry assay

The tissue samples in our study were taken from NSCLC patients who were underwent radical operation in Shanghai East Hospital from 2016 to 2020. Immunohistochemical streptavidin-peroxidase (SP) method was used to detect pVHL in tumor tissue (Figure 1). The paraffin sections were dewaxed and dehydrated regularly, repaired under high pressure, sealed with hydrogen peroxide, incubated with primary antibody in a refrigerator at 4°C, and incubated with secondary antibody. Then, DAB reagent was used for color development, restained with hematoxylin, differentiated with hydrochloric acid alcohol, washed to return to blue, and sealed with neutral gum.

The result was evaluated by pathologists of Shanghai East Hospital through semi-quantitative counting method in a blinding method. Intensity of staining was assessed as follows: Negative expression of VHL for none staining, weak expression level for 11% to 50% 1+ staining or 11% to 25% 2+/3+ staining, moderate expression level for 50% to 75% 1+ staining or 26% to 50% 2+/3+ staining, high expression level for >75% 1+ staining or > 50% 2+/3+ staining.

Toxicity

Chemotherapy toxicities were evaluated third weekly based on the National Cancer Institute (NCI). No toxicities and Grades 1 were defined to negative or weak toxic effects. Grades 2, 3 and 4 which need to be intervened by drugs was defined to

severe ones. Grade 5, never observed in our study, meant death.

Statistical analysis

We used the SPSS version 26.0 software to analyse the data. Chi-squared test was used for illustrating the relevance between the expression level of VHL and blood toxicity of platinum-based chemotherapy. Furthermore, we used Logistic regression to evaluate its trend. The Kaplan-Meier curves and Cox regression analysis were used for illustrating the association between the expression level of VHL and patients' OS/PFS. Statistical results were taken $\alpha=0.05$ as the test level, and $P<0.05$ was considered statistically significant.

Results

Clinical characteristics of patients

The subjects, including 110 NSCLC patients, in our study were recruited from Shanghai East Hospital between January 2016 to July 2020. The clinical characteristics of them are summarized in Table 1. Though immunohistochemical analysis, we found that 59 patients (53.6%) had weak expression level of VHL or lack of expression in their tumor tissues, while 51 patients (46.4%) presented moderate or high expression. We compare the relationship between the expression level of VHL and patients' clinical characteristics, including age, gender, smoking status, histology of carcinoma, clinical stage for lung cancer and the size of carcinoma. The result showed that Age could influence the expression level of VHL (P -value=0.024), while other clinical features are not correlated with the expression of VHL (P -value>0.05).

The expression level of VHL was correlated with neutropenia

The association among clinical characteristics of NSCLC patients, the expression level of VHL in carcinoma's tissue and the incidence of granulocytopenia occurred in NSCLC patients who received platinum-based chemotherapy was calculated by Chi-squared test. We found that the expression of VHL was related to the neutropenia after platinum-based therapy (P -value=0.005), and other clinical features were not associated with neutropenia (P -value>0.05). Then, we further research above factors through multivariate regression analysis. The result showed that the patients with negative or weak expression of VHL had more opportunities to occur neutropenia after platinum-based chemotherapy (OR=0.264, 95%CI=0.085-0.818, P -value=0.021). More details are outlined in Table 2.

On the other way, the calculation through Chi-squared test showed that the expression of VHL ($\chi^2=5.044$, P -value=0.025) and gender ($\chi^2=4.632$, P -value=0.031) were related with leukopenia caused by platinum-based chemotherapy. Further study through multivariate regression analysis showed that the VHL expression level (OR=0.315, 95%CI=0.088-1.132, P -value=0.077) and gender (OR=4.088, 95%CI=1.012-16.52, P -value=0.048) were not the determining element for the leukopenia.

Association with disease-free survival (DFS) or overall survival (OS)

We utilized the Kaplan-Meier curves to analyze the relationship between the expression level of VHL and DFS or OS (Figure 2). In the whole group, 87 subjects with 8 ones lost connection were observed, 31 patients had died by the last date of follow-up to get their survival information (Nov.10,2020), with a median survival time of 24.3 months.

We found that the expression of VHL was correlated with OS (Logrank test P -value= 0.007), while not related with DFS (Logrank test P -value=0.256). Furthermore, We researched the association between VHL expression level and OS. The result of COX regression analysis showed that persons with high expression of VHL in their pathological tissue had a worse prognosis than those with negative or weak expression (HR= 4.219, 95%CI: 1.75-10.174, P -value=0.001). We also found that the higher the TMN stage, the worse the prognosis (HR=4.96, 95%CI: 1.902-12.932, P -value=0.001). The result was summarized in Table 3.

Our research also found that TMN stage could influence DFS(HR=5.072,95%CI: 2.101-12.244, P-value<0.001),however,the expression level of VHL was not related with PFS(HR=1.334,95%CI: 0.642-2.769, P-value=0.440).

Discussion

In this study,we found that the expression level of VHL in the tumor tissue of NSCLC patients plays an important role in predicting the risk of granulocytopenia in platinum-based chemotherapy. According to IHC staining result, we confirmed that granulocytopenia is more likely to happen in the person whose pathological tissue is lack or weak expression of VHL. Previous result has suggested that VHL is a classic tumor suppressor gene, which plays a significant role in the occurrence and progression of many cancers including clear-cell renal cell carcinoma(ccRCC),colon cancer,pancreatic cancer and so on. Early research showed that the absence of VHL led to the continuous accumulation of HIF-1 α , which in turn leads to the continuous opening of HIF pathway.(6-8,15,16) This results in continuous overexpression of downstream factors such as vascular endothelial growth factor (VEGF), which causes to high vascularization in normal tissues and promotes the production of erythropoietin (EPO), ultimately evoking the growth and proliferation of carcinoma and hindering the degradation of tumor cells. Furthermore, recent studies have shown that the HIF pathway mediated by VHL is closely associated with the resistance of multiple chemotherapeutic drugs and radiotherapy.(7,16) VHL regulates the HIF pathway, and leads to the overexpression of MDR (multi-drug resistance) gene, which has been widely proved to be related with the resistance of cisplatin, vinblastine, anthracycline, taxane and other chemotherapy drugs treated with some hypoxic carcinomas. However, the correlation between VHL gene and NSCLC chemoradiotherapy and prognosis has rarely been reported.(10-13,17,18)

For this reason, we evaluated the relationship between clinical characteristics of NSCLC patients and the expression level of VHL in their carcinoma tissues. Then, we explored the association among the protein expression level, granulocytosis and OS/DFS. In current study, we found that VHL was more likely to be absent or poorly expressed in NSCLC tissues and Age could influence the expression level of VHL(P-value=0.024). This finding may further support the description of VHL low expression or lack in tumor cells in previous studies. We also discovered that the expression of VHL was related to the neutropenia after platinum-based therapy(P-value=0.005), further multivariate regression analysis showed that lack or low expression of VHL increased the risk of neutropenia after platinum-based chemotherapy. At present, the mechanism of VHL expression level affecting hemotoxicity is still under study. We speculate that the reason for this phenomenon may be related to the regulation of VHL-mediated HIF pathway and its downstream genes on apoptosis in vivo, which is closely similar to the mechanism of MMP2 gene mediating NSCLC granulocyte toxicity.(21,22) In addition, we found that the expression of VHL($X^2=5.044$,P-value=0.025) and gender($X^2=4.632$,P-value=0.031) may be related with leukopenia caused by platinum-based chemotherapy. Many recent studies have shown that VHL gene plays an important role in the generation of resistance to platinum chemotherapy by blocking drug entry into cells, enhancing DNA damage repair, and inhibiting cell apoptosis. Based on these studies, we suspect that the negative or weak expression of VHL in NSCLC patients increase the reaction of granulocytopenia through hindering the drugs into the tumor cells and increasing the DNA damage repair ability,which make platinum drugs ineffectively kill cancer tissues and increase the accumulation of drugs in normal tissues.(19,20,23-25) While, multivariate regression analysis didn't support this in an individual prognostic factor, we planned to increase the sample cohort to verify it.

Furthermore, through the Kaplan-Meier curves, we explored the relationship between the expression level of VHL and DFS or OS. The conclusion showed that VHL expression level(Logrank test P-value= 0.007,HR= 4.219,95%CI: 1.75-10.174, P-value=0.001) was correlated with OS (Logrank test P-value= 0.007, while it did not related with DFS(Logrank test P-value=0.256,HR=1.334,95%CI:0.642-2.769, P-value=0.440).The person who had negative or weak expression in his tumor tissue could live longer than the one who had moderate or high expression in the tissue.Therefore, the expression of VHL in the pathological tissue may be a useful tool for early prediction of the hematotoxicity of platinum-based chemotherapy and the outcome of NSCLC patients. Unlike our study, a previous trial showed that loss of VHL might cause the poor survival of lung adenocarcinoma. However, unlike the previous trial, our study had a considerably larger population and

more various patients with different pathological pattern.(26) The difference in patient group may account for the different results. Because the expression level of VHL was effective in predicting the risk of neutropenia in platinum-based chemotherapy, it clearly that the polymorphisms of VHL in blood has potential as a prediction site for the hemotoxicity of platinum-based chemotherapy. Further studies need to be done, however, to firmly establish its place as a predictive marker for hematotoxicity of platinum-based chemotherapy in NSCLC patients.

In summary, severe neutropenia caused by platinum-based chemotherapy often results in severe infection, greatly destroying the patient's quality of life and effecting the subsequent treatment. In this study, we found that the expression level of VHL gene intumor tissues could be used as a biomarker to predict the risk of neutropenia. If clinical transformation could be achieved, it would be of great significance for individual therapy with platinum drugs. At the same time, VHL expression level could indicate the prognosis of NSCLC patients receiving chemotherapy, which can be used to evaluate the long-term prognosis of patients receiving chemotherapy.

Abbreviations

VHL

Von Hippel-Lindau; NSCLC:non-small cell lung cancer; PD-1:programmed death molecule-1; ccRCC:clear-cell renal cell carcinoma; SP:streptavidin-peroxidase; NCI:National Cancer Institute; DFS:disease free survival; OS:overall survival; HR:hazards ratio; OR $\square$ odds ratio; CI:confidence interval; TNM:tumor node metastasis;

Acknowledgements; VEGF

vascular endothelial growth factor; EPO:the production of erythropoietin; MMP2:matrix metalloproteinase 2 ; MDR:multi-drug resistance

Declarations

Authors'contributions

Yejun Cao, Qiying Zhang,Liang Ma, Jie Liu,Jinyi Wang,Zhengliang Sun,Qinchuan Li and Tian Zhao prepared the sample,Yejun Cao ,Lingwei Wang and Qiyang Zhang carried out the data analysis.Yang Han and Yin Song evaluated the result of immunohistochemistry.Yejun Cao, Lingwei Wang and Xuan Hong collected and checked the data of patients.Yejun Cao, Guohan Chen and Xuan Hong designed the project and wrote the manuscript.

Ethics approval and consent to participate

The study was performed in accordance with the Declaration of Helsinki and

was approved by the Ethics Committee of Shanghai East hospital.

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Availability of data and materials

There is no supporting data available.

Consent for publication

A written informed consent was obtained from the patient for publication of her clinical details and clinical images in this article.

Potential competing interests

The authors have no competing interests to declare.

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Tables

Table 1. The clinical characteristics of 110 NSCLC patients and its relationship with the expression of VHL

Variables	N=110	The expression of VHL		Chi-square	
		None or low expression,n(%)	Mid or high expression,n(%)	χ^2	P-value
Age(years)				5.616	0.024
<60	35	13(11.8%)	22(20%)		
≥60	75	46(41.8%)	29(26.4%)		
Gender				0.117	0.84
Male	73	40(36.4%)	33(30%)		
Female	37	19(17.3%)	18(16.3%)		
Smoking status				0.058	0.831
Nonsmoker	81	44(40%)	37(33.7%)		
Smoker	29	15(13.6%)	14(12.7%)		
TMN				1.007	0.810
I	33	19(17.3%)	14(12.7%)		
II	20	12(10.8%)	8(7.3%)		
III	39	19(17.3%)	20(18.2%)		
IV	18	9(8.2%)	9(8.2%)		
Tumor Histology				3.060	0.238
Squamous carcinoma	28	19(17.3%)	9(8.2%)		
adenocarcinoma	74	36(32.7%)	38(34.6%)		
Others ^a	8	4(3.6%)	4(3.6%)		
The size of tumor(cm)				2.961	0.126
<3	55	25(22.7%)	30(27.3%)		
≥3	55	34(30.9%)	21(19.1%)		

a Other carcinomas include neuroendocrine carcinoma and mixed carcinoma.

*P<0.05

Abbreviations: TNM, tumor node metastasis.

All patients received radical surgery of lung cancer in Shanghai East Hospital.

Table 1. The clinical characteristics of NSCLC patients treated with platinum-based chemotherapy and the association with neutropenia

Variables	N=110	None or mild neutropenia, n(%)	Severe neutropenia, n(%)	Chi-square		Multivariate analysis		
				χ^2	<i>P</i> -value	OR	95%CI	<i>P</i> -value
Age(years)				3.248	0.071	2.179	0.628-7.565	0.220
<60	35	31(28.2%)	4(3.6%)	2.046	0.153	1.837	0.551-6.131	0.322
≥60	75	55(50%)	20(18.2%)					
Gender				0.484	0.487	0.648	0.16-2.635	0.545
Male	73	60(54.6%)	13(11.8%)	0.468	0.792	1.085	0.409-2.874	0.87
Female	37	26(23.6%)	11(10%)					
Smoking status				2.521	0.112	0.471	0.157-1.411	0.178
Nonsmoker	81	62(56.4%)	19(17.3%)	0.213	0.644	1.286	0.442-3.742	0.644
Smoker	29	24(21.8%)	5(4.5%)					
Tumor Histology				8.046	0.005*	0.264	0.085-0.818	0.021*
Squamous carcinoma	28	22(20%)	6(5.4%)	0.213	0.644	1.286	0.442-3.742	0.644
Adenocarcinoma	74	57(51.8%)	17(15.5%)					
Others ^a	8	7(6.4%)	1(0.9%)	0.213	0.644	1.286	0.442-3.742	0.644
TNM				8.046	0.005*	0.264	0.085-0.818	0.021*
I-II	53	38(34.6%)	15(13.6%)	0.213	0.644	1.286	0.442-3.742	0.644
III-IV	57	48(43.6%)	9(8.2%)					
The size of tumor(cm)				8.046	0.005*	0.264	0.085-0.818	0.021*
<3	55	44(40%)	11(10%)	0.213	0.644	1.286	0.442-3.742	0.644
≥3	55	42(38.2%)	13(11.8%)					
The expression level of VHL				8.046	0.005*	0.264	0.085-0.818	0.021*
none or low expression	59	40(36.4%)	19(17.3%)	0.213	0.644	1.286	0.442-3.742	0.644
mid or high expression	51	46(41.8%)	5(4.5%)					

a Other carcinomas include neuroendocrine carcinoma and mixed carcinoma.

*p<0.05. Abbreviations: OR=odds ratio, CI: confidence interval

Abbreviations: TNM, tumor node metastasis.

All patients received radical surgery of lung cancer in Shanghai East Hospital.

Table 1. Association Between VHL Expression and OS in Patients With Platinum-Based Chemotherapy.

Variables	N=87	Univariate analysis			Multivariate analysis		
		HR	95%CI	P-value	HR	95%CI	P-value
Age(years)		0.894	0.425-1.879	0.767	1.548	0.658-3.644	0.317
<60	30						
≥60	57						
Gender		0.630	0.296-1.342	0.231	0.748	0.299-1.869	0.534
Male	54						
Female	33						
Smoking status		1.233	0.567-2.684	0.597	1.436	0.482-4.28	0.516
Nonsmoker	63						
Smoker	24						
Tumor Histology		0.510	0.255-1.019	0.057	0.503	0.232-1.089	0.081
Squamous carcinoma	19						
Adenocarcinoma	63						
Others ^a	5						
TNM		3.222	1.478-7.020	0.003*	4.96	1.902-12.932	0.001*
I-II	43						
III-IV	44						
The size of tumor(cm)		1.562	0.772-3.162	0.215	1.558	0.678-3.578	0.296
<3	48						
≥3	39						
The expression level of VHL		2.631	1.250-5.537	0.011*	4.219	1.75-10.174	0.001*
none or low expression	47						
mid or high expression	40						

a Other carcinomas include neuroendocrine carcinoma and mixed carcinoma.

*p<0.05. Abbreviations: HR, hazards ratio; CI, confidence interval; TNM, tumor node metastasis.

Tests of Model Coefficients,P-value=0.001

All patients received radical surgery of lung cancer in Shanghai East Hospital.

Figures

Figure 1

Representative IHC staining for VHL expression in non-small cell lung cancer (NSCLC). A, negative expression of VHL in tumor tissue. B, Weak expression of VHL. C, Moderate expression of VHL. D, High expression of VHL. $\times 200$

Figure 2

Disease-free Survival(DFS) of patients with non-small cell lung cancer(NSCLC) receiving platinum-based chemotherapy.

Figure 3

Overall survival(OS) of patients with non-small cell lung cancer(NSCLC) receiving platinum-based chemotherapy.