Lapatinib in combination with capecitabine versus continued use of trastuzumab in breast cancer patients with trastuzumab-resistance: a real-world study

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Abstract

Background: The efficacy and safety of lapatinib plus capecitabine (LC or LX) versus trastuzumab plus chemotherapy in patients with HER-positive metastatic breast cancer who were resistant to trastuzumab is largely unknown. **Methods:** we retrospectively analyzed breast cancer patients who began the treatment with regimen of lapatinib plus capecitabine (LC or LX) or trastuzumab beyond progression (TBP) at eight hospitals between May 2010 and October 2017. **Results:** Among 554 patients who had developed resistance to trastuzumab, the median PFS was 6.77 months in the LX group compared with 5.6 months in the TBP group (hazard ratio 0.804; 95% CI, 0.67 to 0.96; $P=0.019$). The central nervous system progression rate during treatment was 5.9% in LX group and 12.5% in TBP group, respectively ($P=0.018$). PIK3CA and TP53 are the most common mutations in resistant patients, but do not significantly differ between primary resistant cancers and secondary resistant cancers. **Conclusion:** The combination of lapatinib and capecitabine has shown a prolonged PFS compared with TBP in patients who had progressed on trastuzumab.

Background

Breast cancer is one of the most common invasive cancers and expected to account for 14 % of all cancer deaths in women world-wide[1]. Activation and over-expression of epidermal growth factor receptor (EGFR, also known as ErbB) family members, including EGFR (ErbB1 or HER1), HER3 (ErbB3), HER4 (ErbB4), and HER2 (ErbB2), govern multiple important cellular processes in breast cancer. Activation of HER2, a tyrosine kinase receptor, induces homo- and hetero-dimerization , which leads to the activation of downstream effectors and pathways such as PI3K/AKT and RAS/MAPK[2]. Amplification of the HER2 gene and/or over-expression of its protein product occurs in approximately 20-25% breast cancers[3]. Clinically, HER2-positive tumors are characterized by aggressive clinical course and poor overall prognosis[4]. The introduction of the anti-HER2 monoclonal antibody trastuzumab has dramatically improved the poor prognosis of this population of patients[5-7]. Trastuzumab binds to the extracellular domain of the HER2 receptor, prevents receptor homo- and hetero-dimerization, therefore inhibiting the activation of downstream oncogenic signaling[8]. Trastuzumab-containing treatment is the standard approach for HER-2 positive metastatic breast cancer. Despite its overall clinical efficacy, de novo and acquired resistance to trastuzumab administration have been observed[9]. The development of distant metastasis to liver, bone, lung and brain has become a major challenge in management of patients with HER-2 positive breast cancer, probably due to longer life expectancy and acquired trastuzumab resistance[10]. Therefore, it is urgently needed to develop new strategy of salvage therapy for patients who have developed resistance to trastuzumab.

However, the consensus guidelines on targeted treatment for resistance in HER2-positive breast cancer are not available[11,12]. Combination of anti-HER2 agents with chemotherapy, anti-HER2/HER3 dimerization, or inhibitors of the downstream signaling might improve prognosis[13]. Fujimoto-Ouchi demonstrated that trastuzumab in combination with taxanes or capecitabine showed antitumor activity in trastuzumab resistant model[14]. The GBG 26/BIG 3-05 enrolled patients with HER2-positive metastatic

breast cancer (Stage IV) that progresses during treatment with trastuzumab. Among these patients, 78 patients were randomly assigned to receive capecitabine, and 78 patients to capecitabine plus trastuzumab. The results showed that median TTP were 5.6 months vs 8.2 months, $P = 0.0338$ [15]. In a similar study, patients who received trastuzumab treatment beyond progression (TBP) had longer median OS than those who terminated (21.3 months VS 4.6 months ($P=0.0001$))[16]. Taken together, these studies suggest that a clinical benefit has been observed for treatment with trastuzumab beyond progression.

Lapatinib, an orally active, small molecule, tyrosine kinase inhibitor, has shown non-cross resistance with trastuzumab. It binds reversibly to the cytoplasmic domains of both EGFR and HER2 that in turn blocks the activating signaling cascades in the MAPK and PI3K pathways[17]. Given its unique mechanistic function, lapatinib might be a suitable option in HER2-positive MBC that have become resistant to suppression by trastuzumab. Studies have also shown that the phosphorylation of p95 HER2 (a truncated version lacking the extracellular domain) and the formation of heterodimers between HER2 and other members of the HER family might be inhibited by lapatinib, but not trastuzumab[18, 19]. In EGF100151 trial, lapatinib plus capecitabine reduced the hazard for time-to-disease progression (hazard ratio 0.49; 95%CI 0.34-0.71; $P<0.001$) in HER2-positive breast cancer that progressed on anthracycline, a taxane and trastuzumab[11, 20]. In 2010, the US FDA approved the use of lapatinib in combination with capecitabine for the treatment of patients with HER2-positive MBC. In addition, lapatinib in combination with capecitabine shows excellent activity against cerebral nervous system (CNS) disease. An study suggested that patients with brain metastasis achieved significant longer overall survival in the lapatinib group compared with trastuzumab-based therapy (19.1 vs. 12 months, $P = 0.039$)[21].

Clinical trials have demonstrated that other HER-2 targeted agents, such as T-DM1 and pertuzumab, have shown efficacy in patients pretreated with trastuzumab[22, 23]. However, these regimens remain unavailable in China. Therefore, trastuzumab plus chemotherapy or switching to the lapatinib plus capecitabine regimen are common options for most Chinese patients who have developed resistance to trastuzumab. No compelling evidence indicates if certain patients benefit more from continuation of trastuzumab compared with switching to lapatinib. In the present analysis, we compare the clinical outcome of continuing trastuzumab treatment or replacing trastuzumab with lapatinib for metastatic breast cancer (MBC) patients who are resistant to trastuzumab.

Methods

Patients

We retrospectively reviewed the medical records of HER2-positive metastatic breast cancer patients at eight hospitals from May 2010 to October 2017. HER-2 status was considered positive if immunohistochemistry (IHC) test showing +++ or if HER2 gene amplification was found by fluorescence in situ hybridization. Female patients who received lapatinib plus capecitabine or trastuzumab plus chemotherapy after developing resistance to trastuzumab were included. Primary resistance was defined

as new recurrences diagnosed on or within 12 months after the end of (neo)adjuvant trastuzumab or progressed at first radiological reassessment at 8–12 weeks or within 3 months at trastuzumab therapy for metastatic disease. Secondary resistance was defined as disease progression of metastatic cancer occurring on trastuzumab-containing regimens that initially achieved disease response or stabilization at first radiological assessment. We exclude patients whose therapeutic regimen has been administered beyond the third line for recurrent metastatic breast cancer and those received anti HER2 therapies except for trastuzumab. Patients have central nervous system metastasis were previously treated with radiotherapy or surgery. All patients who have at least one measurable disease and tumor response were evaluated according to Response Evaluation Criteria in Solid Tumors criteria 1.1. In addition, postprogression tumor biopsy specimens from a separate group of eight trastuzumab-refractory patients analysed with more than 300 genes by FoundationOne next-generation sequencing (NGS). The methods was described before. Four were primary and four were secondary resistance.

Endpoint

The primary endpoint was PFS, defined as time from the initiation of TBP or LX until the earliest date of disease progression or death. Secondary included ORR (the ratio of patients who have complete or partial tumor remission), CBR (clinical benefit rate), defined as the ratio of patients who have complete or partial tumor remission or stable disease for more than 6 months.

Statistical analysis

Statistical analyses were performed using SPSS version 24.0 (SPSS Inc, Chicago, IL, USA). A two-tailed $P < 0.05$. Kaplan-Meier estimate was used to compare PFS using the log-rank test. Comparisons of ORR, CBR, Central nervous system progression rate was conducted using chi-square test. Categorical variables were compared between the groups by chi-square tests too. The effect of various baseline covariates on PFS was analyzed by Cox regression modeling.

Results

Patient characteristics

A total of 554 patents were identified and the median follow-up time was 15 months. The demographic characteristics between the two groups were showed in Table 1 and the most variables were well-balanced. A higher proportion of patients in the TBP group was older than 50 years and had HR-positive tumors. 94 (36.9%) patients received lapatinib plus capecitabine (LX) and 164 (54.8%) patients received trastuzumab beyond progression (TBP) in the second line treatment ($P < 0.001$). While in the third line treatment, 124 (48.6%) patients received lapatinib plus capecitabine (LX) and 92 (30.8%) patients received trastuzumab beyond progression (TBP) ($P = 0.001$), which indicated more patients received LX in later lines. The predominant chemotherapy combined with trastuzumab was taxane (Table 2).

Efficacy

The median PFS was 6.77 months in the LX group compared with 5.6 months in the TBP group (hazard ratio 0.7955; 95% CI, 0.6632 to 0.9542; log-rank $P=0.014$; Figure 1A). In the primary resistant patients, the median was significantly increased from 4.3 months for TBP to 6.8 months for LX ($P<0.001$; Figure 1B). In the secondary resistant patients, no significant difference was observed (median PFS: 6.6 months for LX VS 6.3 months for TBP, $P=0.8827$; Figure 1C). The best overall response to treatment was not evaluable in 64 patients. We observed no significant difference in the ORR and CBR between two groups ($P=0.822$; $P=0.224$; eTable 1 in Supplement 1).

First line treatment

In the TBP group, 3 (7%) patients progressed on (neo)adjuvant trastuzumab therapy and 40 (93%) patients progressed within 12 months after completing (neo)adjuvant therapy. In the LX group, 3 (8.2%) patients relapsed on and 34 (91.8%) patients relapsed within 12 months after the end of (neo)adjuvant trastuzumab treatment. Hence, they are all primary resistant to trastuzumab. The median PFS was 7.9 months in the LX group compared with 4.4 months in the TBP group (hazard ratio 0.4565; 95% CI, 0.2754 to 0.7566; log-rank $P=0.002$; Figure 2). 15 patients were not evaluable in best response to treatment. The ORR was significantly increased from 8.3% for TBP to 27.6% for LX ($P=0.04$). The CBR was significantly improved too (36.1% to 69%, $P=0.008$; eTable 2 in Supplement 1).

Second and third line treatment

After developing resistance to the trastuzumab-containing treatment, 218 patients received LX, and 256 patients continued using trastuzumab in the later lines. The result showed that median PFS was 6.6 months for LX group compared with 5.9 months for TBP group (hazard ratio 0.8605; 95% CI, 0.7068 to 1.048; log-rank $P=0.135$; Figure 3A). No improvement in median PFS was observed. Median PFS in the primary resistant population increased from 4.3 months for TBP to 6.6 months for LX group (hazard ratio 0.5057; 95% CI, 0.335 to 0.7633; log-rank $P=0.001$; Figure 3B). The best response to treatment was missing in 22 patients in the second line setting. The ORR and CBR between the two groups have no statistical difference (eTable 3 in Supplement 1). In the third line setting, 27 patients were not evaluable in best response to treatment. We found no statistical difference in ORR or CBR (eTable 4 in Supplement 1).

Multivariate analysis

We carried out a multivariate analysis to investigate whether the anti-HER2 therapy effect was different according to baseline characters. The model included treatment after resistance to trastuzumab, age, hormone receptor status, metastatic sites, treatment line. We noted that secondary or primary resistance had a differential prognostic effect in trastuzumab treated patients, the HR for PFS favoured patients who were secondary resistance. Findings from our analysis suggest that the effect of lapatinib on PFS may be explained by excellent effect in primary resistant patients (Figure 4).

Central nervous system metastases

Response in the CNS was evaluable in 451 patients. 58 patients have baseline central nervous system metastases, all received prior local therapy and presented in Table 3. 3 patients in the LX group and 4 patients in the TBP group have more than 3 metastatic sites in the brain. In the patients with baseline CNS metastases, we observed 6 progressive disease in the LX group. While in the TBP group, patients who showed progression were 20. On the patients without baseline CNS metastases, 2.96% (6/203) and 4.44% (11/248) developed new CNS metastases in the LX and TBP group during the treatment. CNS progression rate was 5.9% and 12.5% respectively ($P=0.018$; Table 4).

Safety

The most common adverse events were neutropenia, thrombocytopenia and hand-foot syndrome. 42 (17.8%) patients in the LX group and 61 (20.6%) patients in the TBP group experienced grade 3 or 4 toxicities ($P=0.415$). The most frequent grade III-IV AEs were diarrhoea (5.1%), hand-foot syndrome (10.2%) in LX group, and ALT/AST increased (9.1%) and neutropenia (6.4%) in TBP group. Treatment-related LVEF decline was observed in 2 patients in the trastuzumab group but was moderate in severity (Table 5). This study was retrospective by nature, the adverse events may be underestimated.

Biomarker analyse

To determine the transcriptional differences between primary and secondary resistant tumors, we analysed four pairs trastuzumab-resistant patient metastatic samples. Metastatic sites including liver (1, 12.5%), brain (3, 37.5%), lymph node (2, 25%), breast (2, 25%). The NGS-based targeted sequencing was performed (Figure 5). We observed 50 clinically relevant genomic alterations (CRGAs) in 8 tumors, (mean 6.25, range 1-11), including 31 copy number amplifications (62%), 11 non-synonymous somatic mutations (22%). No deletions were detected, except a CDK12 truncation (Figure 6). Tumor mutation burdens were available for all eight tumors: predominantly were low ($n=3$) or intermediate ($n=3$). However, in all HR (-) samples, TMB were high ($n=2$). Microsatellite status were stable of no special type ($n=8$, 100%). The most commonly mutated genes were TP53 (7, 87.5%), HER2 (7, 87.5%), PIK3CA (3, 37.5%). Compared to extracranial metastases, intracranial tumors presented less CRGAs and higher TMB (Figure 7). In summary, the number of gene copy number alterations or tumor mutation burdens do not significantly differ between primary resistant cancers and secondary resistant cancers (Figure 8).

Discussion

Based on the results from CLEOPATRA and EMILIA trials, the international guidelines recommend horizontal dual blockade (trastuzumab + pertuzumab) as the first line treatment. The optimal treatment strategy in the second line is T-DM1. Lapatinib is prescribed in the 3rd line or later lines of treatment. Despite recent advancement in HER-2 targeted agents, T-DM1 and pertuzumab was not available in China. The clinical value of new agents in Chinese population warrants large randomized trials. Trastuzumab combined with chemotherapy is still the standard first line treatment. Following trastuzumab, lapatinib plus capecitabine and trastuzumab beyond progression are standard options. Clinical practice suggested that continued use of trastuzumab beyond disease progression is not rare.

Whether such extended therapy was superior to replacing trastuzumab with lapatinib plus capecitabine remains controversial[24]. Our study provided evidence that if patients were resistant to trastuzumab, switching to the combination of lapatinib and capecitabine had longer PFS than those patients continued use of trastuzumab.

The results of the current study accord with two small randomized trials comparing capecitabine plus lapatinib with trastuzumab plus lapatinib as treatment for patients progressed on trastuzumab-containing therapy. An analysis of 86 women who were HER-2 positive, locally advanced breast cancer or metastatic breast cancer (MBC) and developed resistance to trastuzumab demonstrated that the trastuzumab combined with capecitabine led to a not significant inferior PFS compared with lapatinib, median PFS (7.1 months VS 6.1 months, HR 0.81 90% CI 0.55-1.21, $P=0.39$)[25]. These data were supported by study results from Bian, et al—who randomly assigned 120 HER-2 positive MBC patients with resistance to trastuzumab in a 1:1 ratio to receive capecitabine with either trastuzumab or lapatinib, median PFS (4.5 months VS 6 months, HR=0.61 95%CI 0.42-0.88 $P=0.006$)[26]. 30% of patients in trastuzumab group and 55% in the lapatinib group experienced a PFS longer than 6 months. In consistence with those reports, our study suggests that patients can respond to further HER2-directed regimens after development of resistance to HER2- directed therapy. The optimal anti-HER2 treatment for patients who failed trastuzumab treatment in clinical practice would be lapatinib.

Our findings differ in part from two studies that compare tyrosine kinase inhibitors with trastuzumab for HER2-overexpressing metastatic breast cancer. In the LUX-Breast 1 trial[27], an oral irreversible ErbB family blocker afatinib plus vinorelbine resulted in similar PFS compared with trastuzumab plus vinorelbine in HER2-positive metastatic breast cancer who had progressed on trastuzumab. Median PFS was 5.5 months in the afatinib group and 5.6 months in the trastuzumab group (hazard ratio 1.10 95% CI 0.86–1.41; $P=0.43$). For patients receiving first-line therapy, PFS was also insignificantly different between the afatinib and trastuzumab-based therapy (hazard ratio 1.102 95% CI 0.759–1.600; $P=0.61$). In the MA.31 trial, PFS was shorter for lapatinib plus taxane compared with trastuzumab plus taxane administrated as first-line therapy of metastatic breast cancer (9.0 months VS 11.3 months; HR 1.37 [95% CI 1.13–1.65]; $P=0.001$)[28]. The trial was terminated earlier. However, although afatinib is a second-generation, broader inhibitor of the ErbB family of proteins[29], no randomized trials to compare the efficacy of afatinib with lapatinib in women progressed during trastuzumab treatment. Furthermore, a major difference between MA.31 trial and our study was that a large part of patients were newly diagnosed advanced breast cancer and were trastuzumab-naïve. This might affect the survival outcome.

Lapatinib has a different mechanism of inhibition on HER2 and EGFR signaling compared with trastuzumab. Preclinical evidence suggests non-cross resistance of trastuzumab and lapatinib. PTEN abrogates phosphatidyl inositol-3-kinase (PI3K), which results in inhibition of Akt signaling. Non-existent or limited expression of PTEN (phosphatase and tensin homologue deleted on chromosome 10), might be a marker of resistance to trastuzumab[30]. Previous studies have confirmed PTEN expression has no correlation with response to lapatinib[31]. IGF-1R (insulin-like growth factor receptor) is important for cell proliferation and survival[32]. It has been reported that overexpression of IGF-1R predicted resistance to

trastuzumab in breast cancer cells[32-34]. IGF-1R belongs to the tyrosine kinase receptor family and breast cancer cells that express IGF-1R may still be sensitive to lapatinib[35].

We try to identify subsets of patients who would derive the greatest benefit from further HER2-directed therapy. To this end, we examined whether the prognosis in the primary resistant patients paralleled those of the secondary resistant to HER2-directed therapy. Indeed, in multiple lines, the data showed that those primary resistant patients who received LX tended to have longer PFS with statistical significance, while the PFS of secondary resistant patients receiving the TBP regimen was similar with the patients receiving the LX regimen. p95 HER2 (a truncated version lacking the extracellular domain) would prevent trastuzumab binding and are associated with poor prognosis in patients. Lapatinib inhibits p95HER2 phosphorylation while trastuzumab doesn't[36]. That may explain why switching to lapatinib predicts for extended PFS in the primary resistant group.

Unlike primary resistant patients, a clinical benefit was observed for treatment with trastuzumab-containing regimen in patients acquired resistance to anti-HER-2 therapy. Trastuzumab might have additional anti-tumour efficacy via antibody-dependent cellular-cytotoxicity (ADCC), by which induces immune effector cells to kill cancer cells[37, 38].

We also found patients in the second line treatment had a higher proportion of trastuzumab beyond progression therapy than those in the third-line setting. The predominant HER-2 targeted therapy in the second line setting was trastuzumab instead of lapatinib. A plausible reason for the disparities concerns the assumption that patient refractory to prior chemotherapy agent but not to trastuzumab itself. Secondly, anti-HER2 therapy is expensive and time consuming, and varying medical insurance policies may contribute to the continued use of trastuzumab.

Breast cancer patients with HER2 overexpression have greater risk for developing brain metastasis, and trastuzumab treatment has emerged as a factor contributing to this risk[39]. Previous studies support the hypothesis that brain is a 'sanctuary' site for the development of metastases due to the limited ability of trastuzumab in penetrating the Blood Brain Barrier (BBB)[40]. Lapatinib is a small dual tyrosine-kinase inhibitor of HER1 and HER2 with a hypothetical ability to cross the BBB[41]. The combination of lapatinib with capecitabine has central nervous system (CNS) activity for the treatment of patients with HER2-positive brain metastatic breast cancer. Clinical evidence supports that patients with HER2-positive brain metastasis achieved a significant clinical benefit from lapatinib and capecitabine both as single agents and in combination in patients with brain metastases[42-44]. In the present study, the percentage of patients with central nervous system progression was higher in the TBP group. In addition, the comparison of CNS progression rate indicates that lapatinib is more effective in brain metastases than trastuzumab. These findings are consistent with the result of a randomized clinical trial which evaluate the effect of neratinib compared with trastuzumab in previously untreated metastatic ERBB2-Positive Breast Cancer. Neratinib, another kind of oral irreversible ERBB family blocker, was associated with fewer central nervous system recurrences (relative risk, 0.48; 95%CI, 0.29-0.79; $P=0.002$) and also delayed the time to CNS relapses compared with trastuzumab (HR, 0.45; 95%CI, 0.26-0.78; $P=0.004$)[45]. In EMILIA

trial, modest activity of lapatinib plus capecitabine upon CNS recurrences, 2.0% (9/450) in the T-DM1 group and 0.7% (3/446) in the LX group developed new brain metastases[22, 46]. It appears that switching patients with treated brain metastases to lapatinib-containing treatment more effectively prevent brain progression.

Recently, much effort has been made to develop newer anti-HER2 agents that could effectively overcome trastuzumab-resistance. Pyrotinib is an oral, irreversible tyrosine kinase inhibitor (TKI) against epidermal growth factor receptor (EGFR)/HER1, HER2, and HER4, has demonstrated an advantage over lapatinib[47, 48]. In a phase III study, the combination of pyrotinib and capecitabine was superior to LX regarding PFS with not reached vs. 7.1 months ($P=0.0031$) in HER2-positive metastatic breast cancer patients previously treated with trastuzumab. The multicenter, randomized phase III study is now ongoing in China. Continued attempts to develop new TKI will provide additional information on identifying the most appropriate treatment therapy in trastuzumab-refractory patients.

In this study, we focused on identifying mutations and copy number alterations that may render to response prediction to trastuzumab. Our study indicates PIK3CA and TP53 were the most frequently mutated genes in both primary and primary trastuzumab-resistant patients. This consistency was probably due to the two genes were resistance biomarkers.

Previously, a phase II clinical trial confirmed PIK3CA and TP53 mutation correlated with insensitivity to pyrotinib, a novel tyrosine kinase inhibitor[49]. In 2016, a large scale study assessed PIK3CA mutation in tumor samples from five prospectively randomized clinical trials (GeparQuattro8, GeparQuinto9, GeparSixto10, NeoALTTO11, CHERLOB). PIK3CA mutations were linked to significantly reduced pCR rate in patients receiving trastuzumab and lapatinib (16.7% vs 39.1%; $P<0.001$)[50]. Meta-analyses investigated correlation between PIK3CA mutation status and response towards HER2-targeted regimens in clinical settings. Although the power was too low, there was a trend of lower clinical benefit of anti-HER2 therapy in tumors with a PIK3CA mutation[51, 52]. The controversial results of mutant PIK3CA roles in responses to anti-HER2 treatment was probably the result of the heterogeneity between specimens.

We also found most samples TMBs were low to intermediate, the two hormone negative tumors were both TMB high. Breast cancers, especially HER2 positive subtype, commonly considered to be a 'non-inflamed' cancer, seldomly respond to immune checkpoint blockade therapy. Recently, most of the focus has been on the triple negative breast cancer. It is known that TMB is a predictive factor for response of immune therapy and sex hormones involved in anticancer immunity. Men have overall larger treatment effect from immune checkpoint inhibitors than do women [53]. Sex hormones may be a main regulator. Further investigation with a larger sample size is required.

It should be noted that there were a few limitations in our study. First, it's retrospectively, potential imbalance in contributing factors of patient prognosis and patient heterogeneity in terms of treatment does exist. For example, women who switching to lapatinib were younger and more likely to achieve antitumor activity of new anti-HER2 regimen. Second, patients who received chemotherapy and

trastuzumab sequentially or concomitantly may affect the prognosis. Third, some data could not be abstracted from medical records and missing.

Conclusions

In conclusion, these data confirm that after developing resistance to trastuzumab, patients can still derive benefit from HER-2 targeted therapy. The combination of lapatinib and capecitabine has shown a prolonged survival compared with TBP in patients with prior trastuzumab exposure.

Declarations

Ethics approval and consent to participate

The study was approved by First Affiliated Hospital of Nanjing Medical University (Nanjing, China) and written informed consent from each patient was obtained. The use of samples was approved by the Ethics Committee of the First Affiliated Hospital of Nanjing Medical University.

Consent for publication

Written informed consent for publication of their clinical details was obtained from the patients.

Availability of data and material

The datasets during and analysed during the current study available from the corresponding author on reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Tables

Table1. Baseline characteristics			
Parameter	LX (N=255)	TBP (N=299)	P value
Age(year)			
<50	137(53.7%)	161(53.8%)	0.977
≥50	59(23.1%)	95(31.8%)	0.024
Unknown	59(23.1%)	43(14.4%)	0.008
Menopausal status			
Premenopausal	40(15.7%)	68(20.7%)	0.126
Postmenopausal	182(71.4%)	204(68.2%)	0.422
Unknown	33(12.9%)	27(9%)	0.14
HR Status			
Negative	136(53.3%)	145(48.5%)	0.256
Positive	92(36.1%)	139(46.5%)	0.013
Unknown	27(10.6%)	15(5%)	0.014
Stage I at initial diagnosis	32(12.5%)	55(18.4%)	0.059
Number of metastatic sites			
<3	178(69.8%)	190(63.5%)	0.12
≥3	77(30.2%)	109(36.5%)	
Metastases			
Lung	123(48.2%)	162(54.2%)	0.163
Liver	109(42.7%)	143(47.8%)	0.213
Bone	62(24.3%)	86(28.8%)	0.238
Brain	24(9.4%)	34(11.4%)	0.453
Other	131(51.4%)	150(50.2%)	0.78
Resistance			
Primary	96(37.6%)	109(38.1%)	0.772
Secondary	159(62.4%)	190(61.9%)	
Treatment line			
1	37(14.5%)	43(14.4%)	0.966
2	94(36.9%)	164(54.8%)	0.001
3	124(48.6%)	92(30.8%)	0.001
Previous therapy			
Hormonal			
Adjuvant	76(29.8%)	96(32.1%)	0.559
Metastatic	60(23.5%)	91(30.4%)	0.069
Radiotherapy			
Adjuvant	86(33.7%)	104(34.8%)	0.794
Metastatic	44(17.3%)	54(18.1%)	0.804
Previous trastuzumab failure			
Adjuvant	37(14.5%)	43(14.4%)	0.966
Metastatic	218(85.5%)	256(85.6%)	
Previous trastuzumab treatment			
Adjuvant	78(30.6%)	67(22.4%)	0.029
Advanced disease only	177(69.4%)	232(77.6%)	

Table2. chemotherapy combined with trastuzumab

	Patients (N=299)
Taxane	146(48.8%)
Vinorelbine	33(11%)
Gemcitabine	75(25.1%)
Cisplatin	60(20.1%)
Pemetrexed	8(2.7%)
Carboplatin	6(2%)
Capecitabine	71(23.7%)

Table 3. Patients with CNS metastases

	LX (N=24)	TBP (N=34)
Number of brain metastatic sites		
<3	21(87.5%)	30(88.2%)
≥3	3(12.5%)	4(11.8%)
Local treatment		
Radiotherapy (WBRT and/or SRS)	19(79.2%)	28(82.4%)
Neurosurgery with WBRT and/or SRS	5(20.8%)	6(17.6%)

Table 4. Central nervous system metastases progression rate

	TBP (N=248)	LX (N=203)	P
CNS as new sites of progression	11	6	
Progression of CNS metastases at baseline	20	6	
CNS progression rate	12.5%	5.9%	0.018

reatment-related adverse events

	LX		TBP	
	(N=236)		(N=296)	
	grade1-2	grade3-4	grade1-2	grade3-4
ia	24(10.2%)	5(2.1%)	87(29.4%)	19(6.4%)
utropenia	4(1.7%)	0(0.0%)	20(6.8%)	4(1.4%)
utopenia	12(5.1%)	1(0.4%)	25(8.4%)	3(1%)
	4(1.7%)	0(0.0%)	40(13.5%)	0(0.0%)
omiting	60(25.4%)	0(0.0%)	56(18.9%)	8(2.7%)
	92(39.0%)	12(5.1%)	15(5.1%)	0(0.0%)
xicity	0(0.0%)	0(0.0%)	2(0.7%)	0(0.0%)
ythema	45(19.1%)	0(0.0%)	13(4.4%)	0(0.0%)
ncreased	28(11.9%)	0(0.0%)	32(10.8%)	27(9.1%)
syndrome	56(23.7%)	24(10.2%)	7(2.4%)	0(0.0%)
ons: NCI CTCAE, National Cancer Institute Common Terminology Criteria of Adverse Events;				

Figures

Figure 1

Kaplan-Meier analysis of progression-free survival (A) PFS in all patients (B) PFS in the primary resistant population (C) PFS in the secondary resistant population. CI, confidence interval; HR, hazard ratio; m, months; PFS, progression-free survival; LX, lapatinib plus capecitabine; TBP, trastuzumab beyond progression;

Figure 2

Kaplan-Meier analysis of progression-free survival in first line treatment population

Figure 3

Kaplan-Meier analysis of progression-free survival in second and third line treatment population (A) PFS in all patients (B) PFS in the primary resistant population

Figure 4

Multivariate analysis for progression-free survival Derived from the Cox regression model. HR hormone receptors status ; *Reference group

Figure 5

Comprehensive annotation of genomic alterations in this study.

Figure 6

Distribution of alterations observed in eight patients

Figure 7

The number of tumor mutation burdens and CRGAs in patients with Extracranial metastases compared with brain metastases

Figure 8

The number of tumor mutation burdens and CRGAs in primary and secondary resistant patients

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