

# The etiology and prognosis of canalicular laceration repair using canalicular anastomosis combined with bicanalicular stent intubation

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## Research article

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# Abstract

**Background:** To evaluate the etiology of lacrimal canalicular laceration and explore possible risk factors influencing prognosis.

**Methods:** A total of 142 patients (142 eyes) with lacrimal canalicular lacerations were reviewed and surgically repaired using canalicular anastomosis combined with bicanalicular stent intubation between March 2017 and March 2018. The analyzed data contained demographic information, types of trauma, injury location, associated additional ocular injuries and surgical outcomes at follow-up. The main outcome measures were anatomic success rate, functional success rate, and complications of surgery.

**Results:** The mean patient age was 42.07 years (ranging from 1-75 years) and 112 (78.87%) were men. Upper and lower canalicular lacerations were found in 14 (9.86%) and 112 (78.87%) patients, respectively, and both canalicular lacerations were found in 16 patients (11.27%). Electric bike accidents were the leading cause of injury with 76 (53.52%) patients. There were 100 (70.42%) patients who had lid lacerations without tarsal plate fracture and 42 (29.58%) patients who had lid lacerations with tarsal plate fracture. Anatomic success rate was 98.59%, and functional success rate was 83.8%. Functional reconstruction failure rates were higher in patients with indirect injuries, lid lacerations with tarsal plate fracture, and with punctum splitting ( $P < 0.05$ ). Surgical complications were detected in the form of lacrimal punctum ectropion in 3 (2.11%) patients, punctum splitting in 2 (1.41%) patients, stent extrusion and loss in 2 (1.41%) patients.

**Conclusions:** Electric bike accidents have become the leading cause of injury instead of the motor vehicle accidents because of changes in lifestyle. The indirect injuries, lid lacerations with tarsal plate fracture and with punctum splitting, were significantly more likely to lead to a poor prognosis, as confirmed by the lower functional success rate of surgery.

## Background

Canalicular laceration is commonly regarded as ocular emergency, caused by trauma in eyelids and periorbital areas, frequently involving the lower canaliculus, have been reported in all age groups[1]. They are present in approximately 16% of all eyelid lacerations due to ocular trauma[2]. It has been reported that 72% of lower canaliculus occurs in monocanalicular lacerations and that bicanalicular lacerations occur in 6% to 24% of all canalicular injuries[3]. According to the mechanism of damage, Wulc et al. divided canalicular lacerations into direct trauma like knife and dog bite injuries, and indirect trauma like blunt trauma[4]. It is reported that patients with canalicular lacerations due to indirect or diffuse injuries were more than due to the presence of penetrating injuries[4].

The canaliculus can undergo stenosis, causing lacrimal drainage dysfunction with epiphora if not appropriately managed[5]. The canalicular anastomosis combined with bicanalicular or monocanalicular stent intubation is used for primary canalicular laceration repair[5]. A variety of materials have been used to stent the torn canaliculus clinically, such as the medical-grade silicone stent[6,7], like the Freda<sup>®</sup>

silicone tube, the mini-Monoka<sup>®</sup> [8], the Masterka<sup>®</sup> [9]. The mini-Monoka<sup>®</sup> is one of the monocanalicular stents, comprised of a silicon rod with a bulb and collar at the proximal end, which makes it self-retaining[8]. Mini-Monoka<sup>®</sup> insertion is suitable for conditions, such as canalicular laceration involving external two-thirds of canaliculus without damaging canthal ligament. The silicone intubation is most commonly used in surgery based on its advantage of an inert nature, flexibility, and easy availability[6,7]. Several factors impacting the effectiveness of laceration repair include the extent and location of canalicular lacerations, intubation materials, duration of intubation, and surgical technique[10,11,12]. The present study was conducted to review 142 patients with primary canalicular lacerations in the department of Ophthalmology, Shanghai Ninth People's Hospital, China. We described the epidemiology and evaluated the etiology and prognosis of primary canalicular laceration repair using canalicular anastomosis combined with bicanalicular stent intubation.

## Methods

### Patients

We retrospectively reviewed the medical records of 142 patients (142 eyes) who had primary canalicular lacerations and required surgical repair within 48 hours at the Department of Ophthalmology, Shanghai Ninth People's Hospital, Shanghai JiaoTong University school of Medicine, Shanghai, China, between March 2017 and March 2018. Most of the patients were initially referred to the emergency room, while the others were recruited from clinics. The retrospective study was performed with the approval of the Ethics Committee of Shanghai Ninth People's Hospital, Shanghai JiaoTong University school of Medicine, China. The informed consent and the commitment to follow up were signed by all subjects in our study, including the parents or guardians of study participants who were minors at the time of study. These patients included 112 men, 30 women who were 1 to 75 years old ( 42.07years on average). Among these 142 patients, 134 received indirect injuries and 8 received direct injuries. The patient demographics, affected canaliculus, number of canaliculus injured, nature of injury, and associated injuries were obtained through patient records, and the data on complications and surgical success rate were also collected for this study, as the post-surgery follow-up visits were recorded at 1.0 week and 1.0, 2.0, 3.0, 6.0 months after surgery. Exclusion criteria included the lack of adequate follow up(<3 months), preinjury epiphora and pyorrhea, additional lacerations involving the lacrimal sac and/or nasolacrimal duct or congenital and/or acquired lacrimal stenosis and/or obstruction.

### Lacrimal system evaluation

We evaluated lacrimal system before surgery and estimated whether lacrimal system was involved when the eyelid laceration was very close to medial canthus. Further examination of the lacrimal system was done by irrigation of lacrimal canaliculus with a 2.0ml syringe of 0.9% saline solution under topical anesthesia. If the liquid flowed from the wound, a lacrimal probe was used to confirm the position of the distal lacerated end of lacrimal canaliculus, and the distance from lacrimal punctum and then the distal lacerated end was measured.

## Surgical procedure

Routine sterilization was provided and infratrochlear and infraorbital nerve block anesthesia were performed with 2ml 2% lidocaine and 2ml 0.75% bupivacaine for adults and general anesthesia for pediatric patients. The proximal lacerated end was found under a surgical microscope (ZEISS, Germany). Then a punctum dilator was used to enlarge the lacrimal punctum and bicanalicular silicone tube intubation was done using a 1.0-mm-diameter silicone tube with a probe at both heads (Shandong Freda Biotechnology Co., Ltd, China) as shown in Figure 1. One head was inserted into the ruptured canaliculus and nasal cavity, while the other end was placed into the upper or lower canaliculus and pulled out from the nasal cavity. The proximal and distal lacerated ends were subsequently anastomosed with 6–0 absorbable suture of polydioxanone (Johnson & Johnson, New Brunswick, NJ) around the silicone tube, and the meticulous re-approximation of the severed canaliculus was performed under an ophthalmic surgical microscope. The two corresponding ends of the silicone tube were tied securely with proper length. If any globe injury occurred, the globe wound repair needed to be performed before other management. Repairs of additional eyelid injuries were conducted after lacrimal intubation. Preoperative and postoperative images of a typical case were provided in Figure 2. All repairs were performed by the same experienced surgeon.

## Postoperative management

Antibiotics were simultaneously administered locally and intravenously to prevent infection. Post-surgery follow-up visits were recorded at 1.0 week and 1.0, 2.0, 3.0, 6.0 months. The silicone tube was shifted and checked monthly and extubation was performed 3–6 months after surgery followed by lacrimal irrigation. The surgery outcome was defined by lacrimal irrigation and the presence of symptomatic epiphora indoors.

## Statistical analysis

Data were presented as mean  $\pm$  SD or *n* patients. The SPSS 22.0 software was used for statistical analysis. The clinical prognosis and surgical effect of canalicular lacerations were compared with Chi Square test. Kaplan-Meier analysis and Cox proportional hazards regression analysis were used to determine risk factors influencing the prognosis of canalicular laceration. All *P* values were considered statistically significant when the values were  $< 0.05$ .

## Results

In our study, 112 (78.87%) of the patients were men, and 30 (21.13%) were women. The average age was 42.07 years (ranging from 1–79 years). A total of 126 (88.7%) patients had one canaliculus involved. Sixteen patients (11.3%) had 2 canaliculi involved and no patients had 3 or 4 canaliculi involved. The upper and lower canalicular lacerations were found in 14 (9.86%) and 112 (78.87%) patients, respectively, and both canalicular lacerations were found in 16 (11.27%) patients. The mean time interval between

injury and surgery was  $14.42 \pm 0.36$  hours (from 3-48 hours). The mean time of canalicular stent removal was  $4.5 \pm 0.54$  months, and the mean follow-up period was  $6.94 \pm 0.51$  months (Table 1).

The types of trauma that caused canalicular laceration were shown in Table 1. Of all patients, indirect canalicular injuries were detected in 134 (94.4%) patients, which were remarkably more frequent than direct injuries detected in 8 (5.6%) patients. Electric bike accidents were the leading cause of injury with 76 (53.52%) patients. The other mechanisms of injury were blunt injuries for 32 (22.54%) patients, car accidents for 10 (7.04%) patients, fights for 4 (2.82%) patients, falls for 12 (8.45%) patients, sharp objects for 6 (4.22%) patients, and dog bites for 2 (1.41%) patients, as shown in Table 1.

Other additional injuries associated with trauma occurred in all patients were also represented in Table 1. There were 100 (70.42%) patients who had lid lacerations without a tarsal plate fracture and 42 (29.58%) patients with a tarsal plate fracture. Canalicular lacerations combined with globe rupture had occurred in 6 (4.23%) patients of all the additional injuries. Some patients may have experienced 2 or more other additional injuries at the same time, while other injuries associated with trauma contained 14 (9.86%) extraocular muscle injuries, 10 (7.04%) head trauma, 7 (4.93%) ptosis, 2 (1.41%) optic neuropathies, and 2 (1.41%) vitreous and/or retinal detachments (Table 1).

All canalicular lacerations were repaired during this study. The mean time of canalicular stent removal was  $4.5 \pm 0.54$  months. During follow-up visit, there were 2 patients with stent extrusion and loss because of a loose knot and patients had pulled the suture out. No patients had infection of lacrimal canaliculus during the visit.

The surgery effects of canalicular laceration were presented in Table 2. After stent removal, patients were performed irrigation of lacrimal canaliculus, and asked about epiphora during follow-up. All 142 patients reflected anatomic success, besides 2 patients with stent extrusion and loss. Among these patients with anatomic success, 119 (83.8%) patients had functional success, claiming no epiphora. As shown in Table 2, among the upper, lower, and both canalicular laceration repair surgery, there was no significant difference between the anatomic success and functional success rate ( $P > 0.05$ ;  $P > 0.05$ ); the data also showed no significant difference in anatomic success rate between indirect and direct injury.

As shown in Table 2, the functional success rate was significantly lower in indirect injuries than direct injuries ( $P < 0.01$ ); between canalicular laceration with and without tarsal plate fracture, there was no significant difference in anatomic success rate, whereas the surgery had a higher functional success rate in canalicular laceration without tarsal plate fracture than with tarsal plate fracture ( $P < 0.01$ ); and between canalicular laceration with and without punctum splitting, no significant difference was shown in anatomic success rate, however, the surgery had a higher functional success rate in canalicular laceration without punctum splitting than with punctum splitting ( $P < 0.01$ ). About the surgery complications, we only found 3 (2.11%) patients with lacrimal punctum ectropion, as shown in Figure 3; 2 (1.41%) patients with punctum splitting as shown in Figure 4; and no patients had a false path.

The results of Kaplan-Meier analysis for treatment success rate are shown in Table 3, the Cox proportional hazards regression analysis of prognostic factors in canaliculus laceration repair surgery are also presented. Notably, canalicular lacerations with indirect injury, tarsal plate fracture, and punctum splitting were significantly more likely to have a poor prognosis ( $P=0.017$ ,  $0.036$ , and  $0.045$ ).

## Discussion

Canalicular laceration is common in facial trauma and requires early intervention (within 48 hours) to restore anatomy and function in ophthalmology department[4]. Men account for most of canalicular laceration or about 78.87% in our study, which is similar to the results of 86% male cases reported by Naik et al[8]. In this study, patients with lower canalicular laceration involvement are the most common (78.87%). Liang et al. reported that 82.9% had lower canalicular lacerations, 11.4% had upper canalicular lacerations, and 5.7% had bicanalicular lacerations in their studies[13]. Our data correspond with the findings of the above studies. Our mean time between injury and repair is  $14.42 \pm 0.36$  hours (from 3-48 hours). Some authors hold that early treatment (9-32 hours) was the key to success in canalicular repair[8,14]. Tint et al. showed poor outcome in 6 out of 40 patients with delayed in repair (2-3 days) [14]. Chatterjee et al. reported that 5 patients who even presented between 2 and 4 days since injuries also had a successful outcome after surgery[15].

Although the epidemiology of canalicular laceration have been published in some reports, the types of trauma causing injury are different due to change in lifestyle. In our study, electric bike accidents become the leading cause of injury, with 76 (53.52%) patients, instead of motor vehicle accidents (35.81%), as in the past[16]. Electric bike has replaced motorcycle with the advantages of being inexpensive and providing environmental protection, with the increasing number of electric bike, the related rate of accidents is also increasing. We also find that patients with indirect canalicular injuries are remarkably more prevalent than those with direct injuries, which is similar to the results derived by Wulc et al[4].

Our studies have shown the rate of patients who had lid lacerations with tarsal plate fracture was 29.58%. As there is no previous data reported on the incidence of tarsal plate fracture during injury, we conclude that lid lacerations without tarsal plate fracture are more frequent than that with tarsal plate fracture. In our study, globe rupture occurs in 6 (4.23%) patients. Herzum et al. reported a 20 to 44% incidence rate for globe injury in association with eyelid injuries[2]. These results are quite different from our own. Lee et al. later reported that traumatic hyphema and subconjunctival hemorrhage represented the most frequent associated ocular injuries instead of globe injury[17].

It is believed that the key to a successful surgical repair of canalicular lacerations is to find the proximal lacerated end quickly and precisely[18]. Many methods and skills to identify the proximal lacerated end of the canaliculus, such as the pigtail probe[19], upper canalicular probing, and injecting a bubble or colored opaque solution, have been shown in previous studies[17,18,20,21]. Silicone intubation is most commonly used in surgery because of its advantage of restoring a normal anatomical pathway to avoid false path[5]. With double-passage canalicular intubation, circular stents using silicone tubes

provide good stabilization, and keep the natural location of medial canthus, maintain the physiological anatomical reposition of superior and inferior punctum, which prevents ectropion and laceration of the lower eyelid and inferior punctum, and offers excellent tear drainage[5,6,7]. However, it is reported that the disadvantages of double-passage canalicular intubation include irritation symptoms and additional secretion[22]. All canalicular lacerations in our cases were repaired by double-passage canalicular intubation with successful anastomosis.

Among these patients, 140( 98.59%) had anatomic success, while 119 (83.8%) patients had functional success. Kersten et al. described an alternative surgical approach for the repair of canalicular laceration using silicone tube intubation with a success rate of 96% based on lack of symptomatic epiphora[20]. Liang et al. reported that 91.18% of patients experienced complete success with complete disappearance of epiphora and 8.82% eyes achieved partial success after tube removal[13]. The results of ours are similar to literatures reported above. Our results showed that certain factors, namely indirect injuries, lid laceration with tarsal plate fracture, and with lacrimal punctum splitting, lead to lower functional success rate of surgery, and were the risk factors for canalicular laceration repair surgery; the reason may be the severe scarring surrounding the canaliculus due to the tarsal plate fracture and lacrimal punctum splitting.

In conclusions, the reasons for canalicular lacerations have changed because of changes in lifestyle. Our studies showed that certain factors, namely indirect injuries, lid lacerations with tarsal plate fracture and with punctum splitting, led to lower functional success rate of surgery, and were the risk factors for functional reconstruction after repair surgery. A drawback of this study is its retrospective, noncomparative nature. However, a larger-scale study of a comparative nature is needed in future. The results of this study will provide some suggestions for the prognosis of surgical treatment for canalicular laceration.

## **Declarations**

Acknowledgements

Not applicable.

Abbreviations

The abbreviations are not applicable.

Authors' contributions

Conceived and designed the study: ZZZ, XCW and WHW; Acquisition of data: GT and QXH; Analysis and interpretation of data: JJL; Drafting the manuscript: LY and XL; All authors read and approved the final

manuscript.

### Consent for publication

Written informed consent was obtained from the patients for publication of this article and any accompanying images, written informed consent was also obtained from the patients on the figures to publish their face photos. The parents or guardians of the study participants who were minors at the time of study gave written consent for their personal or clinical details along with any identifying images to be published in this study. A copy of the written consent is available for review by the editor of this journal.

### Ethics approval and consent to participate

This study was performed in accordance with the declaration of Helsinki and was approved by the Ethics Committee of the Shanghai Ninth People's Hospital, Shanghai JiaoTong University school of Medicine, Shanghai, China. Written informed consent was obtained from all subjects after the aims and nature of the study were explained to the participants. The parents or guardians of the study participants who were minors at the time of study gave written consent for their particular children to be involved in the study.

### Availability of data and materials

The datasets of the current study are available upon request from the co-first author Jiali Ji and the co-correspondence author CaiWen Xiao.

### Competing interests

The authors declare no conflict of interest.

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## Tables

TABLE 1. Clinical characteristics of patients undergoing Canalicular laceration repair

<i>Variable</i>	Patient numbers	Proportion
Total patients	142	
Mean age	42.07(from1-79 year)	
Males	112	78.87%
Females	30	21.13%
Eye involved	142	
Right	91	64.08%
Left	51	35.92%
Canaliculus involved		
Upper	14	9.86%
Lower	112	78.87%
Both	16	11.27%
Mean time between injury and repair	14.42±0.36(from 3-48hours)	
Mean time of stent removal	4.5±0.54(from 3-6months)	
Mean follow-up period	6.94±0.51 (from 6-9months)	
Indirect injuries	134	
Electric bike accidents	76	53.52 %
Blunt injuries	32	22.54 %
Car accidents	10	7.04 %
Falls	12	8.45 %

Fights	4	2.82 %
Direct injuries	8	
Sharp objects	6	4.22%
Dog bites	2	1.41%
Additional injuries		
Lid laceration without tarsal plate fracture	100	70.42%
Lid laceration with tarsal plate fracture	42	29.58%
Lid laceration with lacrimal punctum splitting	6	4.23%
Extraocular muscle injuries	14	9.86%
Head trauma	10	7.04%
Ptosis	7	4.93%
Globe rupture	6	4.23%
Optic neuropathy	2	1.41%
Vitreous and/or retinal detachment	2	1.41%
Surgery complication		
Lacrimal punctum ectropion after surgery	3	2.11 %
Lacrimal punctum splitting after surgery	2	1.41 %
False path	0	0 %
Stent extrusion and loss	2	1.41%

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Data presented as mean+SD(range)or *n*(%)

TABLE 2. Outcomes of canaliculus anastomosis and bicanalicular stent intubation.

Parameters	Patients	Anatomic success	Functional success
Canaliculus anastomosis and bicanalicular stent intubation	142	140(98.59%)	119(83.80%)
Upper	13	12(92.31%)	10(83.33%)
Lower	113	111(98.23%)	96(86.49%)
Upper and lower	16	15(93.75%)	13(86.67%)
<i>P</i>		>0.05	>0.05
Indirect injuries	134	132(98.51%)	101(75.37%)
Direct injuries	8	8(100%)	7(87.5%)
<i>P</i>		>0.05	<0.01
Additional injuries			
Lid laceration without tarsal plate fracture	100	99(99%)	78(78%)
Lid laceration with tarsal plate fracture	42	41(97.62%)	30(71.43%)
<i>P</i>		>0.05	<0.01
Lid laceration without lacrimal punctum splitting	136	134(98.53%)	105(77.21%)
Lid laceration with lacrimal punctum splitting	6	6(100%)	3(50%)
<i>P</i>		>0.05	<0.01

TABLE 3. Cox proportional hazards regression analysis of risk factors for the canalicular lacerations.

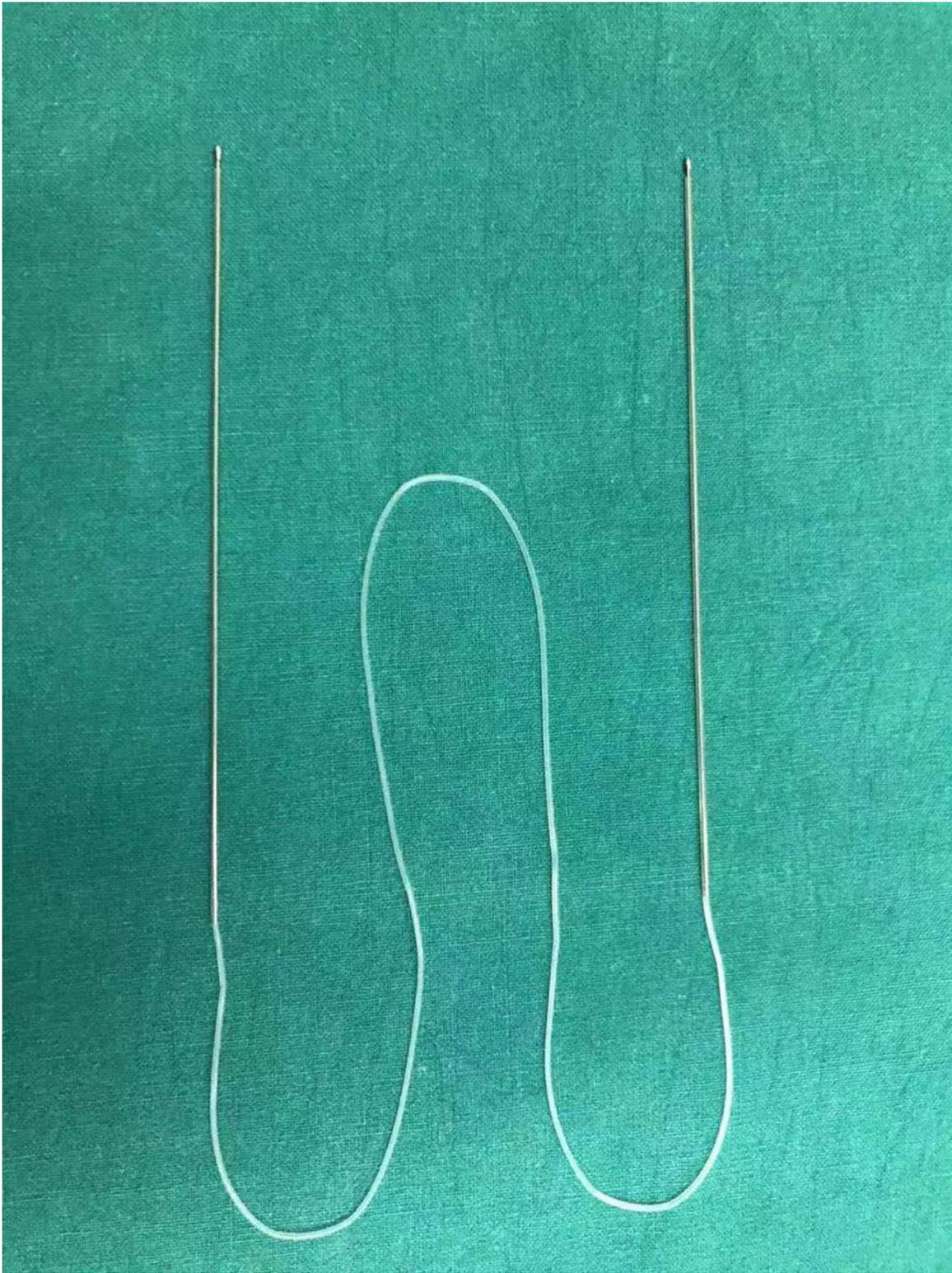
Risk factors	Hazard ratio(95% CI)	Statistical significance
Canaliculus involved	0.973 (0.901, 1.046)	NS
Indirect injuries	1.062 (1.005, 1.097)	0.641(0.157, 0.965) P=0.017
Lid laceration with tarsal plate fracture	32.783(1.091, 2475.563)	P=0.036
Lid laceration with lacrimal punctum splitting	1.371(0.255, 6.478)	P=0.045
Globe rupture		NS

Data are based on 142 Chinese patients with lacrimal laceration

CI, confidence interval.

NS, not statistically significant( $P \geq 0.05$ ).

## Figures



**Figure 1**

The silicone stent (Shandong Freda Biotechnology Co., Ltd, China)



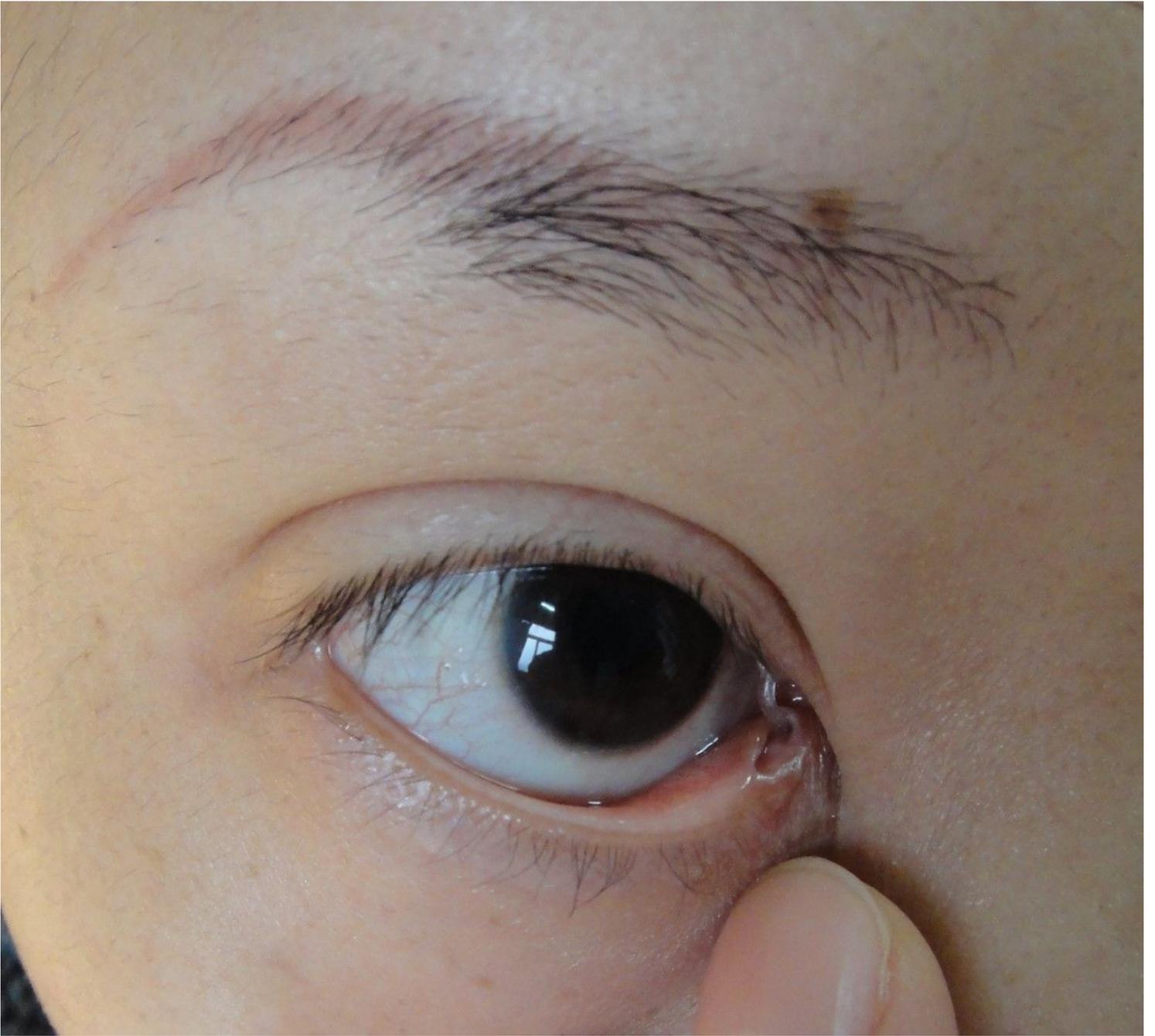
**Figure 2**

A female patient with lower lacrimal canalicular laceration and full-thickness eyelid laceration of her left eye. (A) Preoperative view of the patient (B) Postoperative view of the patient by surgery of silicone intubation. Intubation of a bicanalicular silicone stent was seen after surgery.



**Figure 3**

The figure of complication with lacrimal punctum ectropion and splitting.



**Figure 4**

The figure of complication with lacrimal punctum splitting.