

Characteristics of COVID-19-related Clinical Studies and Factors Affecting the Recruitment and Completeness: a Large Cross-sectional Study

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Research

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Abstract

Background: Coronavirus disease 2019 (COVID-19) has generated an unprecedented clinical research response, but the data about the characteristics of COVID-19-related clinical studies were scarce. The study aimed to describe the characteristics of COVID-19-related clinical studies registered at ClinicalTrials.gov and further identify factors affecting the recruitment and completeness of these studies.

Methods: The study extracted 5,672 studies and included 5,430 studies relating to COVID-19 registered at ClinicalTrials.gov. We presented the characteristics of all included clinical studies. Identification of risk factors for recruitment status was achieved using the multiple logistic regression models, and identification of risk factors for completion time was obtained using the multiple Cox proportional hazards regression models. Subgroup analyses were also performed in the interventional studies.

Results: Of the included studies, only 19.59% (1064/5430) had completed recruitment, and 55.93% (3037/5430) were interventional studies. The peak of the number of clinical studies relating to COVID-19 was seven months earlier than the first peak of the number of COVID-19 cases globally. In all included studies, participants only including male ($P=0.02$), Participants including child ($P=0.01$), smaller enrollment ($P<0.01$), and studies not being funded by industry ($P=0.01$) and the National Institutes of Health (NIH) ($P<0.01$), and observational studies ($P<0.01$) tended to be associated to higher completed recruitment rates. Regarding the interventional studies, Participants including child ($P=0.04$), smaller enrollment ($P<0.01$), a crossover intervention model ($P<0.01$), and primary purpose involving in device feasibility ($P<0.01$) and treatment ($P=0.03$) were associated with shorter completion time, while being funded by industry ($P=0.01$) and NIH ($P<0.01$), primary purpose involving in basic science ($P<0.01$), and biological interventions ($P<0.01$) were associated with longer completion time.

Conclusion: A multitude of clinical studies relating to COVID-19 are registered in responding to the pandemic and the response is rapid and timely, but these clinical studies are frequently not completed. Increased focus on establishing global initiatives and networks to coordinate recruitment efforts may be needed. Several independent risk factors are identified to guide the design of COVID-19-related clinical studies. This may be significant to avoid waste and ensure that the participation of all participants in clinical researches contributes to the treatment or prevention of COVID-19.

Background

A recent infection with the new severe acute respiratory syndrome coronavirus (SARS-CoV-2) has been reported in 2019 and has quickly spread worldwide in 2020. This infection, namely coronavirus disease 2019 (COVID-19), was considered a great pandemic in March 2020 by the World Health Organization due to its fast dissemination [1]. It has acclaimed for 183.56 million cases and 3.98 million deaths all over the world [1]. Meanwhile, the pandemic has generated an unprecedented clinical research response in order to find effective approaches curbing COVID-19 [2]. High-quality evidence generated by clinical studies is needed to advance care for patients with COVID-19, those who are susceptible to it, and other patients

during the pandemic. Although related clinical studies were continuously reported and researchers spent considerable effort on these studies processes, many unforeseen events could occur during the course of a clinical study. Consequently, as reported previously a multitude of studies were often not completed as initially planned, and the proportion of uncompleted study was as high as 25%, or even above 80% [3–6]. What's more, about 78% of trials constrained by mandatory reporting did not report results after a year of completion [7] and fewer than half of trials funded by NIH were published in a peer-reviewed journal even within 30 months of trial completion [8]. As for the published results in the high-impact journals, more than 90% of trials had at least one discordance between the publication-reported results and the ClinicalTrials.gov-reported results [9].

Premature discontinuation and limited dissemination of findings of these clinical studies raise both resources wasting and ethical concerns. Reducing waste has been identified as an important challenge in clinical researches [10, 11]. Although studies have highlighted frequent recruitment problems in clinical researches [3], few studies have empirically addressed factors influencing recruitment status and completion time among clinical studies. Notably, identification of risk factors for recruitment status and completion time is capable of guiding the design of clinical studies so as to reduce waste of resources, improve study efficiency, and consequently curb the pandemic earlier. Practical strategies aiming at directing the practice of clinical studies relating to COVID-19 are extremely warranted to control the epidemic as soon as possible.

In 2004, the International Committee of Medical Journal Editors (ICMJE) stated that only researches registered in ClinicalTrials.gov before the recruitment of patients would be considered for publication in major journals. There would be legal repercussions if the registries were inaccurate [12]. Thus, at present, most of the clinical researches are registered in ClinicalTrials.gov including COVID-19 [13–15]. Hence, we searched in the ClinicalTrials.gov database and collected all COVID-19-related researches. In this article, we described the characteristics of those clinical studies, identified potential factors affecting recruitment and completion time, and proposed suggestions to guide future clinical research.

Methods

Data Sources and Registry Search

We searched ClinicalTrials.gov on 14 May 2021 and obtained the listed clinical studies related to the coronavirus disease (COVID-19) using the link (<https://clinicaltrials.gov/ct2/results?cond=COVID-19>, available on 16 June 2021). The ClinicalTrials.gov was the largest registry of clinical studies, and it consisted of protocol information for more than 380,000 studies from 210 different nations in June 2021. The Web site was developed as a result of the Food and Drug Administration Modernization Act of 1997 and is maintained by the National Library of Medicine (NLM) at the National Institutes of Health (NIH), which provides people with easy access to information regarding clinical studies on a variety of diseases and conditions. The information of registered clinical studies is provided by study researchers or sponsors, and it is capable of serving as a quality control process before studies are completed and

results become public available. When and before studies start, their information is generally submitted to the Web site. The status of all registered studies is updated throughout the process of carrying out the studies.

The registration information of all COVID-19-related studies (n = 5672) was downloaded and analyzed. Studies were excluded if the study (1) had a status of no longer available, temporarily not available, and withdraw, (2) was an expanded access protocol, (3) registered before January 1, 2020, (4) was not relevant to COVID-19, (5) had start date before January 1, 2020, and (6) had an extreme value of completion time (less than 0 day or more than 20 years).

Basic Characteristics

We collected the registration information of all COVID-19-related studies, and the registration information included recruitment status, gender, age, enrollment, funder, study type, outcome measures, and completion time. Among interventional studies, we further collected allocation, intervention model, masking, primary purpose, interventions, and clinical phases. We reported and analyzed data for interventional studies and all studies as a whole, respectively.

Definition of Recruitment Status and Completion time

Recruitment status was classified into two types: completed and others in the study. Completed meant that the study had ended normally, and participants are no longer being examined or treated (that is, the last participant's last visit has occurred). Others included not yet recruiting, recruiting, enrolling by invitation, active but not recruiting, terminated, and suspended. Completion time was defined as the time interval from start date to completion date. Start date was defined as the actual date on which the first participant was enrolled in a clinical study or the "estimated" study start date was the date that the researchers think would be the study start date. Completion date was defined as the date on which the last participant in a clinical study was examined or received an intervention to collect final data for the primary outcome measure. The "estimated" primary completion date was the date that the researchers think would be the primary completion date for the study.

Potential Risk Factors for Predicting Recruitment Status and Completion time

When analyzing the potential risk factors associating with recruitment status and completion time, all studies relating to COVID-19 were included in the analysis. The potential risk factors included gender (male vs. female vs. both), Participants including child (yes vs. no), Participants including older adult (yes vs. no), enrollment (≤ 50 vs. >50 and ≤ 100 vs. >100 and ≤ 300 vs. >300 and ≤ 900 vs. >900), funded by industry (yes vs. no), funded by NIH (yes vs. no), study type (interventional vs. observational), outcome measures including ICU stay (yes vs. no), outcome measures including length of hospital stay (yes vs. no), outcome measures including mortality (yes vs. no), outcome measures including survival (yes vs. no). Child was participates with an age from birth to 17 years and older adults meant participates with an age of more than 65 years old. Enrollment is the number of participants in a clinical study. The

"estimated" enrollment is the target number of participants that the researchers need for the study. The study was funded by industry, for example: pharmaceutical and device companies.

Regarding interventional studies, apart from the above-mentioned potential risk factors, we further collected allocation (n/a vs. non-randomized vs. randomized), intervention model (single vs. sequential vs. parallel vs. factorial vs. crossover), masking (none vs. single vs. double vs. triple vs. quadruple), primary purpose (including basic science (yes vs. no), device feasibility (yes vs. no), diagnostic test (yes vs. no), health services research (yes vs. no), prevention (yes vs. no), screening (yes vs. no), supportive care (yes vs. no), treatment (yes vs. no)), interventions (biological (yes vs. no), behavioral (yes vs. no), device (yes vs. no), diagnostic test (yes vs. no), drug (yes vs. no)), and phases (not applicable vs. phase 1 vs. phase 2 vs. phase 3 vs. phase 4). The studies which were marked as phase 1 and phase 2 were classified into phase 2; the studies which were marked as phase 2 and phase 3 were classified into phase 3 in the study. Allocation refers to a method that used to assign participants to an arm of a clinical study. Intervention models refer to the general design of the strategy for assigning interventions to participants in a clinical study.

Statistical Analyses

Absolute numbers and percentages for categorical variables and medians and corresponding 95% interval confidence for continuous variables were presented in the study. The univariate and multivariate analyses of recruitment status were evaluated using logistic regression models, respectively. The univariate and multivariate analyses of completion time were evaluated using the Cox proportional hazards regression models, respectively. The univariate analysis of completion time was also evaluated using the log-rank test. Kaplan-Meier curves for each significant variables associating with completion time were also drawn in the study. A P value of 0.05 or less was considered statistically significant. Statistical analysis was performed using SAS 9.4 software for windows XP (SAS Institute Inc., Cary, NC).

Results

Basic Characteristics of Studies

We obtained 5,672 listed clinical studies relating to COVID-19 and a map about the number of clinical studies relating to COVID-19 in the whole world (Fig. 1). The figure indicates that Europe had the largest number of studies relating to COVID-19 (n = 2096), followed by America (n = 1304). According to the exclusive and inclusive criteria (Fig. 2), 5430 clinical studies were finally included in the analysis. The number of studies relating to COVID-19 increased exponentially from January to April in 2020 after the pandemic breakout and reached its peak in April 2020 with about more than 800 clinical studies registered at ClinicalTrial.gov that month (Fig. 3). After August 2020, the number of clinical studies remained stable, ranging from 200 to 300.

As for COVID-19 cases, the number rapidly increased from about 2000 cases in January 2020 to about 20 million cases in November 2020. After reaching its peak in November 2020, the number gradually has

decreased until February 2021 and then went up again. Notably, the peak of the number of clinical studies relating to COVID-19 was seven months earlier than the first peak of the number of COVID-19 cases (Fig. 3).

In the entire studies, 19.59% (1064/5430) studies had completed recruitment, 96.96% (5265/5430) studies involved in both male and female participants, 16.65% (904/5430) studies included child participants, 91.14% (4949/5430) studies included older adults, and 55.93% (3037/5430) studies were interventional studies. The majority of studies (24.73%, 1343/5430) enrolled participants ranging from 100 to 300, followed by participants less than 50 (21.07%, 1144/5430). Studies funded by industry were not common (19.52%, 1060/5430) and funded by NIH were rare (2.76%, 150/5430). The median time from the start date to completion date was 276.00 days (95% CI: 266.23-285.77 days). More details are shown in Table 1.

Table 1
 Characteristics of studies relating to COVID-19.

Characteristics	Samples (n = 5430)
Recruitment status	
Completed	19.59% (1064/5430)
Others	80.41% (4366/5430)
Gender	
Male	0.77% (42/5430)
Female	2.27% (123/5430)
Both	96.96% (5265/5430)
Participants including child	
Yes	16.65% (904/5430)
No	83.35% (4526/5430)
Participants including older adult	
Yes	91.14% (4949/5430)
No	8.86% (481/5430)
Enrollment	
≤ 50	21.07% (1144/5430)
>50 and ≤ 100	17.99% (977/5430)
>100 and ≤ 300	24.73% (1343/5430)
>300 and ≤ 900	16.54% (898/5430)
>900	19.67% (1068/5430)
Funded by industry	
Yes	19.52% (1060/5430)
No	80.48% (4370/5430)
Funded by NIH	
Yes	2.76% (150/5430)
No	97.24% (5280/5430)
Study type	
Interventional	55.93% (3037/5430)

Characteristics	Samples (n = 5430)
Observational	44.07% (2393/5430)
Outcome measures including ICU stay	
Yes	2.60% (141/5430)
No	97.40% (5289/5430)
Outcome measures including length of hospital stay	
Yes	4.44% (241/5430)
No	95.56% (5189/5430)
Outcome measures including mortality	
Yes	26.94% (1463/5430)
No	73.06% (3967/5430)
Outcome measures including survival	
Yes	3.85% (209/5430)
No	96.15% (5221/5430)
Time interval from start date to completion date (median, 95% CI, days)	276.00 (266.23-285.77)
Abbreviations: COVID-19, corona virus disease 2019; NIH, national institutes of health; ICU, intensive care unit; CI, confident interval.	

When subgroup analysis of interventional studies relating to COVID-19, 3037 studies were included in the analysis. The basic information of interventional studies is presented in Table 2. In detail, the multitude of interventional studies were randomized (73.79%, 2241/3037), parallel intervention model (71.65%, 2176/3037), and none masking (51.76%, 1572/3037). Phases, interventions types, and primary purposes were also shown.

Table 2
 Characteristics of interventional studies relating to COVID-19.

Characteristics	Samples (n = 3037)
Recruitment status	
Completed	15.90% (483/3037)
Others	84.10% (2554/3037)
Gender	
Male	0.95% (29/3037)
Female	1.25% (38/3037)
Both	97.79% (2970/3037)
Participants including child	
Yes	9.68% (294/3037)
No	90.32% (2743/3037)
Participants including older adult	
Yes	91.37% (2775/3037)
No	8.63% (262/3037)
Enrollment	
≤ 50	27.59% (838/3037)
>50 and ≤ 100	20.15% (612/3037)
>100 and ≤ 300	24.79% (753/3037)
>300 and ≤ 900	14.49% (440/3037)
>900	12.97% (394/3037)
Funded by industry	
Yes	29.31% (890/3037)
No	70.69% (2147/3037)
Funded by NIH	
Yes	2.73% (83/3037)
No	97.27% (2954/3037)
Outcome measures including ICU stay	
Yes	3.10% (94/3037)

Characteristics	Samples (n = 3037)
No	96.90% (2943/3037)
Outcome measures including length of hospital stay	
Yes	5.83% (177/3037)
No	94.17% (2860/3037)
Outcome measures including mortality	
Yes	33.52% (1018/3037)
No	66.48% (2019/3037)
Outcome measures including survival	
Yes	5.04% (153/3037)
No	94.96% (2884/3037)
Allocation	
N/A	17.25% (524/3037)
Non-randomized	8.96% (272/3037)
Randomized	73.79% (2241/3037)
Intervention Model	
Single	18.67% (567/3037)
Sequential	5.30% (161/3037)
Parallel	71.65% (2176/3037)
Factorial	1.38% (42/3037)
Crossover	3.00% (91/3037)
Masking	
None	51.76% (1572/3037)
Single	10.80% (328/3037)
Double	13.43% (408/3037)
Triple	8.92% (271/3037)
Quadruple	15.08% (458/3037)
Primary purpose	
Basic science	

Characteristics	Samples (n = 3037)
Yes	1.15% (35/3037)
No	98.85% (3002/3037)
Device feasibility	
Yes	0.26% (8/3037)
No	99.74% (3029/3037)
Diagnostic test	
Yes	4.87% (148/3037)
No	95.13% (2889/3037)
Health services research	
Yes	2.73% (83/3037)
No	97.27% (2954/3037)
Prevention	
Yes	17.45% (530/3037)
No	82.55% (2507/3037)
Screening	
Yes	1.22% (37/3037)
No	98.78% (3000/3037)
Supportive care	
Yes	4.94% (150/3037)
No	95.06% (2887/3037)
Treatment	
Yes	62.03% (1884/3037)
No	37.97% (1153/3037)
Interventions	
Biological	
Yes	17.16% (521/3037)
No	82.84% (2516/3037)
Behavioral	

Characteristics	Samples (n = 3037)
Yes	8.26% (251/3037)
No	91.74% (2786/3037)
Device	
Yes	6.55% (199/3037)
No	93.45% (2838/3037)
Diagnostic test	
Yes	4.02% (122/3037)
No	95.98% (2915/3037)
Drug	
Yes	45.11% (1370/3037)
No	54.89% (1667/3037)
Phases	
Not applicable	37.64% (1143/3037)
Phase 1	8.69% (264/3037)
Phase 2	27.89% (847/3037)
Phase 3	20.74% (630/3037)
Phase 4	5.04% (153/3037)
Time interval from start date to completion date (median, 95%CI, days)	276.00 (264.43-287.57)
Abbreviations: COVID-19, corona virus disease 2019; NIH, national institutes of health; ICU, intensive care unit; CI, confident interval.	

Risk Factors for Recruitment Status

According to the multivariate analysis in the entire studies (Table 3), gender (OR = 0.74, 95%CI: 0.57–0.96, P = 0.02), Participants including child (OR = 1.26, 95%CI: 1.05–1.50, P = 0.01), enrollment (OR = 0.88, 95%CI: 0.84–0.93, P < 0.01), funded by industry (OR = 0.78, 95%CI: 0.64–0.94, P = 0.01), funded by NIH (OR = 0.37, 95%CI: 0.20–0.67, P < 0.01), and study type (OR = 0.59, 95%CI: 0.51–0.68, P < 0.01) were found to be significantly associated with recruitment status. More explicitly, participants only including male, Participants including child, smaller enrollment, not being funded by industry and NIH, and observational studies were tend to be relevant to higher completed recruitment rates.

Table 3

Univariate and multivariate analyses of characteristics for recruitment status in studies relating to COVID-19.

Characteristics	Completed recruitment rates	Simple logistic regression		Multiple logistic regression	
		OR (95% CI)	P	OR (95% CI)	P
Gender					
Male	35.71% (15/42)	0.70 (0.54–0.91)	< 0.01	0.74 (0.57–0.96)	0.02
Female	23.58% (29/123)				
Both	19.37% (1020/5265)				
Participants including child					
Yes	23.89% (216/904)	1.36 (1.15–1.61)	< 0.01	1.26 (1.05–1.50)	0.01
No	18.74% (848/4526)				
Participants including older adults					
Yes	19.14% (947/4949)	0.74 (0.59–0.92)	< 0.01	Insignificance	
No	24.32% (117/481)				
Enrollment					
≤ 50	22.73% (260/1144)	0.93 (0.89–0.97)	< 0.01	0.88 (0.84–0.93)	< 0.01
>50 and ≤ 100	17.40% (170/977)				
>100 and ≤ 300	21.15% (284/1343)				
>300 and ≤ 900	20.04% (180/898)				
>900	15.92% (170/1068)				
Funded by industry					
Yes	14.62% (155/1060)	0.65 (0.54–0.79)	< 0.01	0.78 (0.64–0.94)	0.01
No	20.80% (909/4370)				
Funded by NIH					

Characteristics	Completed recruitment rates	Simple logistic regression		Multiple logistic regression	
		OR (95% CI)	P	OR (95% CI)	P
Yes	8.00% (12/150)	0.35 (0.19–0.63)	< 0.01	0.37 (0.20–0.67)	< 0.01
No	19.92% (1052/5280)				
Study type					
Interventional	15.90% (483/3037)	0.59 (0.52–0.68)	< 0.01	0.59 (0.51–0.68)	< 0.01
Observational	24.28% (581/2393)				
Outcome measures including ICU stay					
Yes	20.57% (29/141)	1.06 (0.70–1.61)	0.77	Insignificance	
No	19.57% (1035/5289)				
Outcome measures including length of hospital stay					
Yes	21.99% (53/241)	1.17 (0.85–1.59)	0.34	Insignificance	
No	19.48% (1011/5189)				
Outcome measures including mortality					
Yes	18.39% (269/1463)	0.90 (0.77–1.05)	0.17	Insignificance	
No	20.04% (795/3967)				
Outcome measures including survival					
Yes	15.79% (33/209)	0.76 (0.52–1.11)	0.16	Insignificance	
No	19.75% (1031/5221)				
Abbreviations: COVID-19, corona virus disease 2019; NIH, national institutes of health; ICU, intensive care unit; OR, odds rate; CI, confident interval.					

Regarding subgroup analysis of potential risk factors for interventional studies (Table 4), enrollment (OR = 0.88, 95%CI: 0.81–0.94, $P < 0.01$), masking (OR = 0.91, 95%CI: 0.84–0.97, $P < 0.01$), diagnostic test (OR = 1.60, 95%CI: 1.08–2.38, $P = 0.02$), and biological interventions (OR = 0.53, 95%CI: 0.39–0.73, $P < 0.01$) were found to be significantly associated with recruitment status. In detail, smaller enrollment and primary purpose involving in diagnostic test were associated with higher completed recruitment rates, while masking and biological interventions were associated with lower completed recruitment rates.

Table 4

Univariate and multivariate analyses of characteristics for recruitment status in interventional studies relating to COVID-19.

Characteristics	Completed recruitment rates	Simple logistic regression		Multiple logistic regression	
		OR (95% CI)	P	OR (95% CI)	P
Gender					
Male	37.93% (11/29)	0.63 (0.45– 0.90)	0.01	Insignificance	
Female	13.16% (5/38)				
Both	15.72% (467/2970)				
Participants including child					
Yes	20.07% (59/294)	1.37 (1.01– 1.86)	0.04	Insignificance	
No	15.46% (424/2743)				
Participants including older adults					
Yes	15.78% (438/2775)	0.90 (0.65– 1.27)	0.56	Insignificance	
No	17.18% (45/262)				
Enrollment					
≤ 50	20.05% (168/838)	0.86 (0.80– 0.93)	< 0.01	0.88 (0.81– 0.94)	< 0.01
>50 and ≤ 100	13.40% (82/612)				
>100 and ≤ 300	17.53% (132/753)				
>300 and ≤ 900	13.41% (59/440)				
>900	10.66% (42/394)				
Funded by industry					
Yes	14.38% (128/890)	0.85 (0.68– 1.06)	0.14	Insignificance	
No	16.53% (355/2147)				
Funded by NIH					

Characteristics	Completed recruitment rates	Simple logistic regression		Multiple logistic regression	
		OR (95% CI)	P	OR (95% CI)	P
Yes	10.84% (9/83)	0.64 (0.32– 1.28)	0.20	Insignificance	
No	16.05% (474/2954)				
Outcome measures including ICU stay					
Yes	17.02% (16/94)	1.09 (0.63– 1.88)	0.76	Insignificance	
No	15.87% (467/2943)				
Outcome measures including length of hospital stay					
Yes	16.38% (29/177)	1.04 (0.69– 1.57)	0.86	Insignificance	
No	15.87% (454/2860)				
Outcome measures including mortality					
Yes	14.64% (149/1018)	0.87 (0.70– 1.07)	0.18	Insignificance	
No	16.54% (334/2019)				
Outcome measures including survival					
Yes	15.69% (24/153)	0.98 (0.63– 1.54)	0.94	Insignificance	
No	15.92% (459/2884)				
Allocation					
N/A	18.89% (99/524)	0.86 (0.76– 0.97)	0.01	Insignificance	
Non-randomized	18.75% (51/272)				
Randomized	14.86% (333/2241)				
Intervention Model					
Single	19.40% (110/567)	0.98 (0.88– 1.09)	0.67	Insignificance	
Sequential	8.07% (13/161)				

Characteristics	Completed recruitment rates	Simple logistic regression		Multiple logistic regression	
		OR (95% CI)	P	OR (95% CI)	P
Parallel	15.07% (328/2176)				
Factorial	21.43% (9/42)				
Crossover	25.27% (23/91)				
Masking					
None	17.56% (276/1572)	0.87 (0.81–0.93)	< 0.01	0.91 (0.84–0.97)	< 0.01
Single	18.90% (62/328)				
Double	15.93% (65/408)				
Triple	11.07% (30/271)				
Quadruple	10.92% (50/458)				
Primary purpose					
Basic science					
Yes	8.57% (3/35)	0.49 (0.15–1.62)	0.24	Insignificance	
No	15.99% (480/3002)				
Device feasibility					
Yes	25.00% (2/8)	1.77 (0.36–8.79)	0.48	Insignificance	
No	15.88% (481/3029)				
Diagnostic test					
Yes	25.00% (37/148)	1.83 (1.24–2.69)	< 0.01	1.60 (1.08–2.38)	0.02
No	15.44% (446/2889)				
Health services research					
Yes	14.46% (12/83)	0.89 (0.48–1.66)	0.72	Insignificance	
No	15.94% (471/2954)				
Prevention					

Characteristics	Completed recruitment rates	Simple logistic regression		Multiple logistic regression	
		OR (95% CI)	P	OR (95% CI)	P
Yes	10.38% (55/530)	0.56 (0.42–0.76)	< 0.01	Insignificance	
No	17.07% (428/2507)				
Screening					
Yes	21.62% (8/37)	1.47 (0.68–3.23)	0.34	Insignificance	
No	15.83% (475/3000)				
Supportive care					
Yes	22.67% (34/150)	1.59 (1.07–2.36)	0.02	Insignificance	
No	15.55% (449/2887)				
Treatment					
Yes	16.08% (303/1884)	1.04 (0.85–1.27)	0.73	Insignificance	
No	15.61% (180/1153)				
Interventions					
Biological					
Yes	9.40% (49/521)	0.50 (0.37–0.68)	< 0.01	0.53 (0.39–0.73)	< 0.01
No	17.25% (434/2516)				
Behavioral					
Yes	19.52% (49/251)	1.32 (0.95–1.83)	0.10	Insignificance	
No	15.58% (434/2786)				
Device					
Yes	18.59% (37/199)	1.23 (0.85–1.78)	0.28	Insignificance	
No	15.72% (446/2838)				
Diagnostic test					

Characteristics	Completed recruitment rates	Simple logistic regression		Multiple logistic regression	
		OR (95% CI)	P	OR (95% CI)	P
Yes	24.59% (30/122)	1.77 (1.16–2.71)	< 0.01	Insignificance	
No	15.54% (453/2915)				
Drug					
Yes	15.99% (219/1370)	1.01 (0.83–1.23)	0.91	Insignificance	
No	15.84% (264/1667)				
Phases					
Not applicable	19.60% (224/1143)	0.86 (0.80–0.93)	< 0.01	Insignificance	
Phase 1	15.53% (41/264)				
Phase 2	13.11% (111/847)				
Phase 3	13.02% (82/630)				
Phase 4	16.34% (25/153)				
Abbreviations: COVID-19, corona virus disease 2019; NIH, national institutes of health; ICU, intensive care unit; CI, confident interval.					

Risk Factors for Completion Time

According to the multivariate analysis for completion time in the entire studies, enrollment (HR = 0.87, 95%CI: 0.86–0.89, P < 0.01, Table 5), funded by NIH (HR = 0.59, 95%CI: 0.50–0.70, P < 0.01), and outcome measures including survival (HR = 0.87, 95%CI: 0.76-1.00, P = 0.05) were significant. Namely, smaller enrollment was associated with shorter completion time, while being funded by NIH and outcome measures including survival were associated with longer completion time. Kaplan-Meier curves for each significant variables based on the univariate analysis are presented in the Fig. 4.

Table 5

Univariate and multivariate analyses of characteristics for completion time in studies relating to COVID-19.

Characteristics	Time interval (median (95% CI), days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
Gender					
Male	167.00 (60.09-273.91)	0.91 (0.81– 1.030)	0.14	Insignificance	
Female	287.00 (213.25-360.75)				
Both	278.00 (268.18-287.82)				
Participants including child					
Yes	275.00 (249.79-300.21)	0.86 (0.81– 0.93)	< 0.01	Insignificance	
No	277.00 (266.65-287.35)				
Participants including older adult					
Yes	282.00 (271.45-292.55)	0.91 (0.83-1.00)	0.05	Insignificance	
No	239.00 (212.03-265.97)				
Enrollment					
≤ 50	221.00 (206.55-235.45)	0.87 (0.85– 0.89)	< 0.01	0.87 (0.86– 0.89)	< 0.01
>50 and ≤ 100	249.00 (233.98-264.02)				
>100 and ≤ 300	274.00 (257.46-290.54)				
>300 and ≤ 900	304.00 (278.74-329.26)				
>900	365.00 (350.18-379.82)				
Funded by industry					
Yes	289.00 (272.75-305.25)	1.08 (1.01– 1.16)	0.02	Insignificance	

Characteristics	Time interval (median (95% CI), days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
No	275.00 (264.37-285.63)				
Funded by NIH					
Yes	471.00 (397.49-544.52)	0.55 (0.47-0.65)	< 0.01	0.59 (0.50-0.70)	< 0.01
No	274.00 (265.13-282.87)				
Study type					
Interventional	276.00 (264.43-287.57)	1.14 (1.08-1.21)	< 0.01	Insignificance	
Observational	279.00 (262.11-295.89)				
Outcome measures including ICU stay					
Yes	255.00 (199.73-310.27)	1.10 (0.93-1.30)	0.27	Insignificance	
No	277.00 (267.06-286.94)				
Outcome measures including length of hospital stay					
Yes	244.00 (217.67-270.33)	1.09 (0.96-1.24)	0.18	Insignificance	
No	280.00 (269.86-290.14)				
Outcome measures including mortality					
Yes	294.00 (280.07-307.93)	0.99 (0.94-1.06)	0.82	Insignificance	
No	274.00 (262.64-285.36)				
Outcome measures including survival					
Yes	346.00 (309.99-382.01)	0.93 (0.81-1.06)	0.28	0.87 (0.76-1.00)	0.05

Characteristics	Time interval (median (95% CI), days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
No	275.00 (265.86-284.14)				
Abbreviations: COVID-19, corona virus disease 2019; NIH, national institutes of health; ICU, intensive care unit; HR, hazard rate; CI, confident interval.					

Based on the multivariate analysis for completion time in the interventional studies, Participants including child (HR = 0.88, 95%CI: 0.78-1.00, P = 0.04, Table 6), enrollment (HR = 0.87, 95%CI: 0.85–0.90, P < 0.01), funded by industry (HR = 1.11, 95%CI: 1.02–1.20, P = 0.01), funded by NIH (HR = 0.69, 95%CI: 0.55–0.86, P < 0.01), intervention model (HR = 1.09, 95%CI: 1.05–1.14, P < 0.01), basic science (HR = 0.57, 95%CI: 0.40–0.79, P < 0.01), device feasibility (HR = 3.41, 95%CI: 1.70–6.86, P < 0.01), treatment (HR = 0.92, 95%CI: 0.85–0.99, P = 0.03), and biological interventions (HR = 0.75, 95%CI: 0.68–0.83, P < 0.01) were found to have significance. This was to say that Participants including child, smaller enrollment, crossover intervention model, and primary purpose involving in device feasibility and treatment were associated with shorter completion time, while being funded by industry and NIH, primary purpose involving in basic science, and biological interventions were associated with longer completion time. Kaplan-Meier curves for each significant variables based on the univariate analysis are presented in the Fig. 5.

Table 6

Univariate and multivariate analyses of characteristics for completed time in interventional studies relating to COVID-19.

Characteristics	Median, 95%CI (days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
Gender					
Male	120.00 (88.35-151.65)	0.89 (0.75-1.05)	0.16	Insignificance	
Female	287.00 (116.34-457.66)				
Both	276.00 (264.39-287.61)				
Participants including child					
Yes	275.00 (229.07-320.93)	0.82 (0.72-0.92)	< 0.01	0.88 (0.78-1.00)	0.04
No	276.00 (264.18-287.82)				
Participants including older adult					
Yes	278.00 (265.88-290.13)	0.89 (0.78-1.01)	0.07	Insignificance	
No	254.00 (221.14-286.86)				
Enrollment					
≤ 50	230.00 (214.65-245.35)	0.88 (0.86-0.90)	< 0.01	0.87 (0.85-0.90)	< 0.01
>50 and ≤ 100	252.00 (237.38-266.62)				
>100 and ≤ 300	280.00 (258.15-301.85)				
>300 and ≤ 900	327.00 (293.65-360.35)				
>900	375.00 (352.70-397.30)				
Funded by industry					
Yes	289.00 (272.76-305.24)	1.06 (0.98-1.15)	0.16	1.11 (1.02-1.20)	0.01

Characteristics	Median, 95%CI (days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
No	274.00 (260.32-287.68)				
Funded by NIH					
Yes	423.00 (335.71-510.29)	0.64 (0.52-0.80)	< 0.01	0.69 (0.55-0.86)	< 0.01
No	275.00 (263.60-286.40)				
Outcome measures including ICU stay					
Yes	247.00 (196.64-297.36)	1.13 (0.92-1.39)	0.25	Insignificance	
No	277.00 (265.30-288.70)				
Outcome measures including length of hospital stay					
Yes	244.00 (209.76-278.24)	1.07 (0.92-1.24)	0.41	Insignificance	
No	280.00 (268.03-291.97)				
Outcome measures including mortality					
Yes	287.00 (270.16-303.84)	0.96 (0.89-1.03)	0.26	Insignificance	
No	274.00 (260.66-287.34)				
Outcome measures including survival					
Yes	308.00 (58.84-357.16)	0.94 (0.80-1.11)	0.49	Insignificance	
No	275.00 (263.46-286.54)				
Allocation					
N/A	304.00 (266.61-341.39)	1.03 (0.99-1.08)	0.15	Insignificance	

Characteristics	Median, 95%CI (days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
Non-randomized	289.00 (246.09-331.91)				
Randomized	274.00 (262.06-285.94)				
Intervention model					
Single	304.00 (273.40-334.60)	1.06 (1.02-1.10)	< 0.01	1.09 (1.05-1.14)	< 0.01
Sequential	340.00 (293.66-386.34)				
Parallel	275.00 (262.48-287.52)				
Factorial	269.00 (153.62-384.38)				
Crossover	176.00 (139.39-212.61)				
Masking					
None	277.00 (259.21-294.79)	1.02 (0.99-1.04)	0.20	Insignificance	
Single	241.00 (210.72-271.28)				
Double	265.00 (240.60-289.40)				
Triple	276.00 (245.96-306.04)				
Quadruple	305.00 (281.97-328.03)				
Primary purpose					
Basic science					
Yes	399.00 (166.03-631.98)	0.59 (0.43-0.83)	< 0.01	0.57 (0.40-0.79)	< 0.01
No	275.00 (263.71-286.29)				
Device feasibility					

Characteristics	Median, 95%CI (days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
Yes	59.00 (0.00-135.23)	4.28 (2.14-8.57)	< 0.01	3.41 (1.70-6.86)	< 0.01
No	276.00 (264.51-287.49)				
Diagnostic test					
Yes	304.00 (231.75-376.25)	0.97 (0.82-1.15)	0.73	Insignificance	
No	276.00 (264.42-287.59)				
Health services research					
Yes	289.00 (248.33-329.67)	0.88 (0.71-1.09)	0.24	Insignificance	
No	276.00 (264.11-287.90)				
Prevention					
Yes	349.00 (324.83-373.17)	0.86 (0.78-0.94)	< 0.01	Insignificance	
No	271.00 (259.41-282.59)				
Screening					
Yes	284.00 (90.93-477.07)	0.84 (0.61-1.17)	0.30	Insignificance	
No	276.00 (264.49-287.52)				
Supportive care					
Yes	243.00 (197.24-288.76)	1.21 (1.03-1.43)	0.02	Insignificance	
No	278.00 (266.50-289.50)				
Treatment					
Yes	269.00 (256.59-281.41)	1.09 (1.01-1.17)	0.02	0.92 (0.85-0.99)	0.03

Characteristics	Median, 95%CI (days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
No	305.00 (284.37-325.63)				
Interventions					
Biological					
Yes	365.00 (344.56-385.44)	0.75 (0.68-0.82)	< 0.01	0.75 (0.68-0.83)	< 0.01
No	260.00 (249.31-270.69)				
Behavioral					
Yes	262.00 (226.35-297.65)	0.99 (0.87-1.13)	0.92	Insignificance	
No	277.00 (265.06-288.94)				
Device					
Yes	245.00 (189.11-300.89)	1.25 (1.08-1.45)	< 0.01	Insignificance	
No	277.00 (265.32-288.68)				
Diagnostic test					
Yes	269.00 (168.49-369.51)	0.97 (0.81-1.16)	0.74	Insignificance	
No	276.00 (264.34-287.66)				
Drug					
Yes	258.00 (246.25-269.75)	1.16 (1.08-1.24)	< 0.01	Insignificance	
No	304.00 (288.77-319.23)				
Phases					
Not applicable	254.00 (233.78-274.22)	0.97 (0.95-1.00)	0.04	Insignificance	
Phase 1	274.00 (238.17-309.83)				

Characteristics	Median, 95%CI (days)	Simple Cox regression		Multiple Cox regression	
		HR (95% CI)	P	HR (95% CI)	P
Phase 2	305.00 (285.00-325.00)				
Phase 3	276.00 (255.81-296.19)				
Phase 4	281.00 (228.24-333.76)				
Abbreviations: COVID-19, corona virus disease 2019; NIH, national institutes of health; ICU, intensive care unit; CI, confident interval.					

Association between Recruitment Status and Completion time

In the entire sample, studies with a completed status (median time = 97.00, 95%CI: 90.66-103.34 days) had a significant shorter completion time as compared with studies with other status (median time = 349.00, 95%CI: 342.89-355.11 days) (Fig. 6A, $P < 0.01$, log-rank test). Regarding the analysis for all interventional studies, similar trend was also observed among studies with a completed status (median time = 122.00, 95%CI: 112.06-131.94 days) vs. other status (median time = 333.00, 95%CI: 322.08-343.92 days) (Fig. 6B, $P < 0.01$, log-rank test).

Discussion

This study was the first to describe the whole trends of the number of COVID-19-related clinical studies registered at ClinicalTrial.gov and we find that the number of studies increased exponentially from January to April in 2020 after the pandemic breakout and reached its peak at April 2020 with about more than 800 clinical studies registered at ClinicalTrial.gov that month. After August 2020, the number of clinical studies remained stable, ranging from 200 to 300. Regarding the number of COVID-19 patients, it rapidly increased up to 20 million cases in November 2020 from about 2000 cases in January 2020. After reaching its first peak in November 2020, the number gradually has decreased until February 2021 and then went up again. The peak of the number of clinical studies relating to COVID-19 was seven months earlier than the first peak of the number of COVID-19 cases, which indicated that the response to the great pandemic was rapid and timely and it may save thousands of lives.

The rate of completed recruitment in our study was overall 19.59%, and 15.9% in exclusively interventional studies, and this is consistent with the rates in other clinical studies using the ClinicalTrials.gov database [16, 17]. Currently, it is rather clear that the majority of researches that could not be completed normally because of poor recruitment or other reasons, including preliminary safety and efficacy findings or changes in the standard of care that occurred after the study had been initiated [5, 18,

19]. Such termination likely wastes medical resources and may be unavoidable to some extent. However, there may be opportunities to reduce medical waste, such as improving study design and coordination issues with trial conduct [20, 21].

Our article focused on evaluating the factors impacting on the recruitment rates and completion time of clinical researches registered in ClinicalTrials.gov and showed that participants only including male, Participants including child, smaller enrollment, not being funded by industry and/or NIH, and observational studies were tend to be relevant to higher completed recruitment rates. In addition, smaller enrollment, none masking, primary purpose having diagnostic test, and non-biological interventions were identified as independent contributors of higher completed recruitment rates in interventional studies.

There is a usual belief that the younger the age, the more difficult it would to be recruited in a research project. Nevertheless, our results demonstrated that the probability of completing a trial was higher in clinical researches recruiting children, compared to those recruiting exclusively adults, which was consistent with the study conducted by Kasenda et al. [17]. That is to say, although challenges with regard to research participation and conduct of pediatric clinical researches differ from those encountered in adults, they do not necessarily compromise the completion of a trial when appropriately addressed [22, 23]. Indirectly, our results suggested that participants' young age was not related to such recruitment difficulties. It is also possible that because of the specific challenges and the vulnerability of children especially with COVID-19, pediatric clinical researches are more carefully planned and conducted [23].

Generally speaking, industry funding is thought to favor completion rates in a clinical research [17], and the adequacy of financial resources is more likely to ensure feasibility before trial initiation. However, in our article, both NIH and industry sponsors contributing to lower rate of completion and inefficiencies of clinical researches. Given the seriousness and particularity of COVID-19, countries attach great importance to prevention and control of the epidemic and are eager to find effective treatment or prevention methods at an early date. Therefore, they have strengthened financial support for relevant clinical researches. People usually have higher expectations on these clinical researches, they are usually designed in a more thorough and reasonable way at the beginning of the design, they rely on national medical treatment institutions, have sufficient recruitment sources and larger recruitment project. Due to the large workload and more detailed implementation process, the time to complete recruitment is correspondingly extended. This is consistent with larger enrollment number, longer recruitment times, and faster the recruitment, greater the probability of the final completion of clinical studies.

As for the suggestions for a specific study, the smaller the sample size and the simpler the evaluation process, such as primary purpose involving in diagnostic test, observational studies, non-masking, and non-biological interventions, the higher the possibility of completion of recruitment. This suggests that on the premise of ensuring the effectiveness of experimental evidence, the sample size should be appropriately reduced, if applicable, and the process and evaluation indicators should be simplified, although it is difficult to give consideration to both. Interestingly, observational studies have better rates

of completion as they are more resource-efficient than interventional trials especially with regard to sample size requirements and probably more positively viewed by participants as they allow access to the necessary and appropriate treatment whether or not under evaluation [24]. Crossover intervention model, and primary purpose involving in device feasibility and treatment were also associated with shorter completion time, while being funded by industry and NIH, primary purpose involving in basic science, and biological interventions were associated with longer completion time. The information may provide significant guides to clinical researchers about how to shorten studies' completion time during the COVID-19 pandemic.

However, our conclusions rely on the quality of the data registered in the ClinicalTrials.gov database and the database does not consist of all clinical trials around the world [25]. And the statistically significant differences are probably due to the use of other trial registries or that of a selection of trials registered in ClinicalTrials.gov, thus it is easy to misuse the information. However, our study included a large number of clinical studies and study design was carefully conducted, thus the results would be relative reliable. Although it is regularly updated by sponsors and investigators, actual research information may not be accurately reflected [26–28]. Another limitation of our study was that elements of trial methodology potentially associated with trial undone due to poor recruitment, eg, recruitment methods, financial or nonfinancial incentives, and study piloting, were frequently not reported and thus limited our risk factor analysis.

Conclusions

A multitude of clinical studies relating to COVID-19 are registered in responding to the pandemic and the response is rapid and timely, but these clinical studies are frequently not completed. Increased focus on establishing global initiatives and networks to coordinate recruitment efforts may be needed. Several independent risk factors are identified to guide the design of COVID-19-related clinical studies. This may be significant to avoid waste and ensure that the participation of all participants in clinical researches contributes to the treatment or prevention of COVID-19.

Abbreviations

CI, confident interval; COVID-19, corona virus disease 2019; HR, hazard rate; ICU, intensive care unit; NIH, national institutes of health; OR, odds rate.

Declarations

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Author Contributions

Conceptualization: Mingxing Lei, Feng Lin.

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Writing – original draft: Mingxing Lei, Jinglan Li.

Writing – review & editing: All authors.

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Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Competing interests

The authors declare that they have no competing interests.

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None.

Conflict of Interest Statements

None.

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Figures

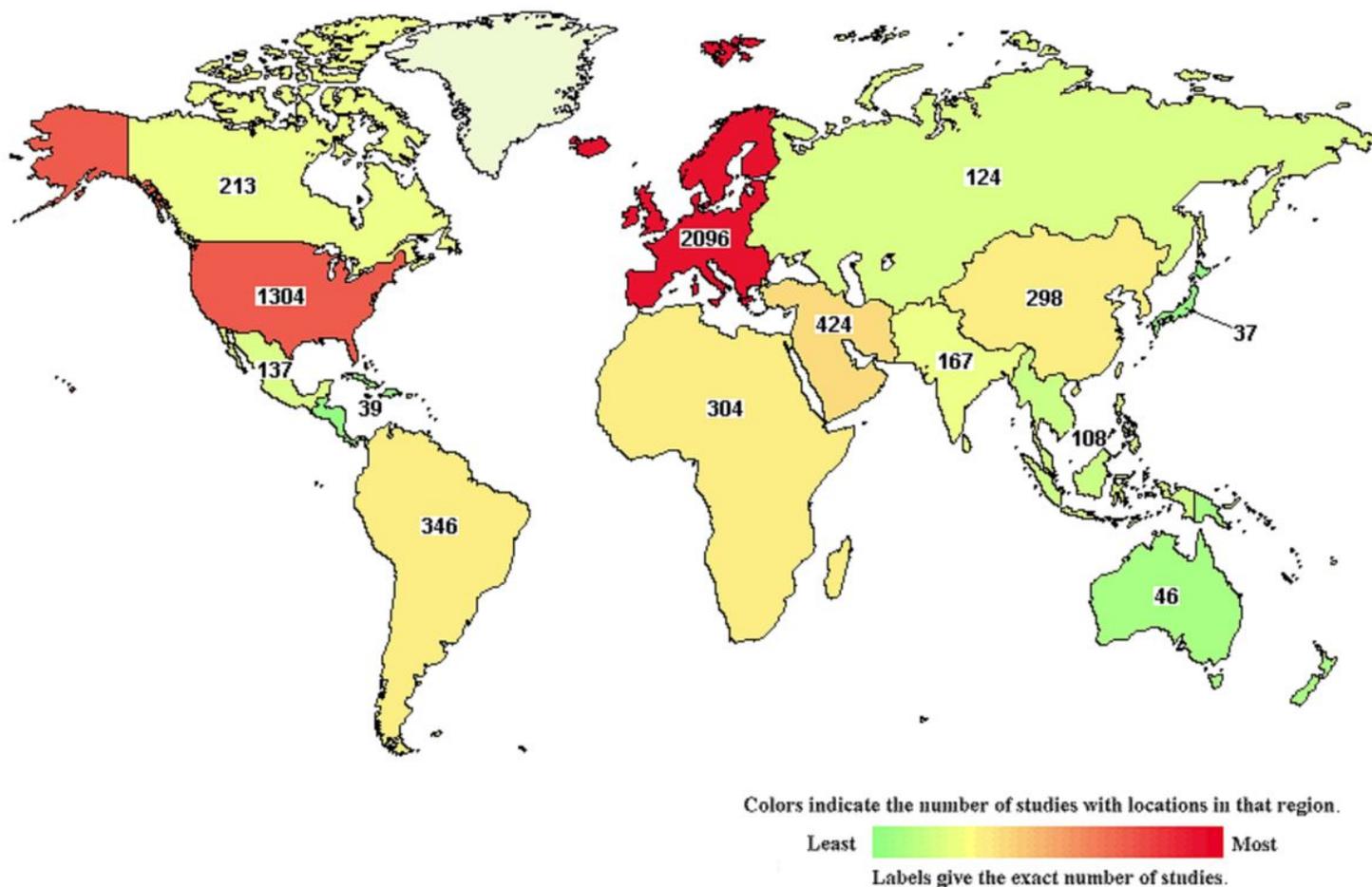


Figure 1

A map about the number of clinical studies relating to COVID-19 in the whole world (Green indicates the least; Red indicates the most).

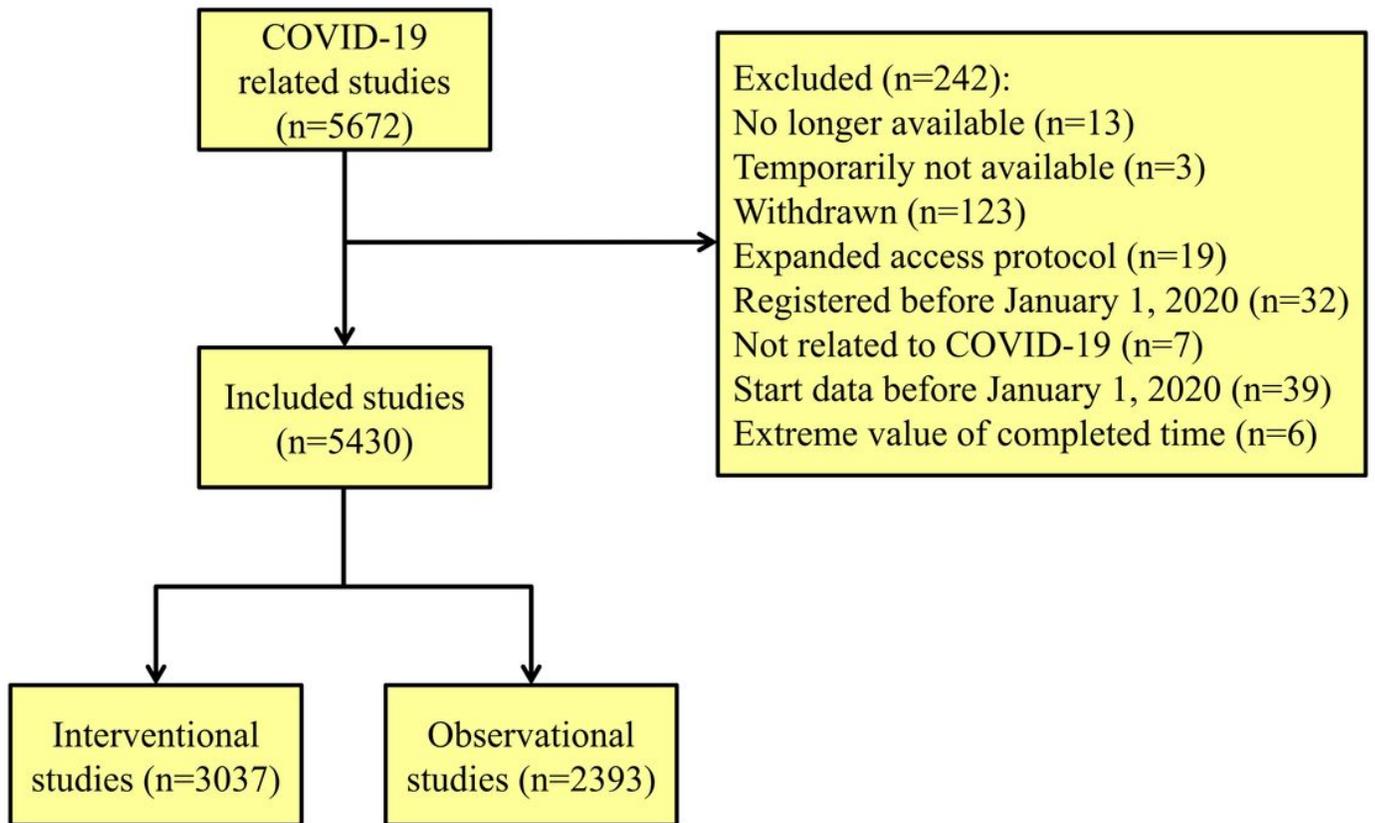


Figure 2

Flow chart of included clinical studies registered at ClinicalTrials.gov.

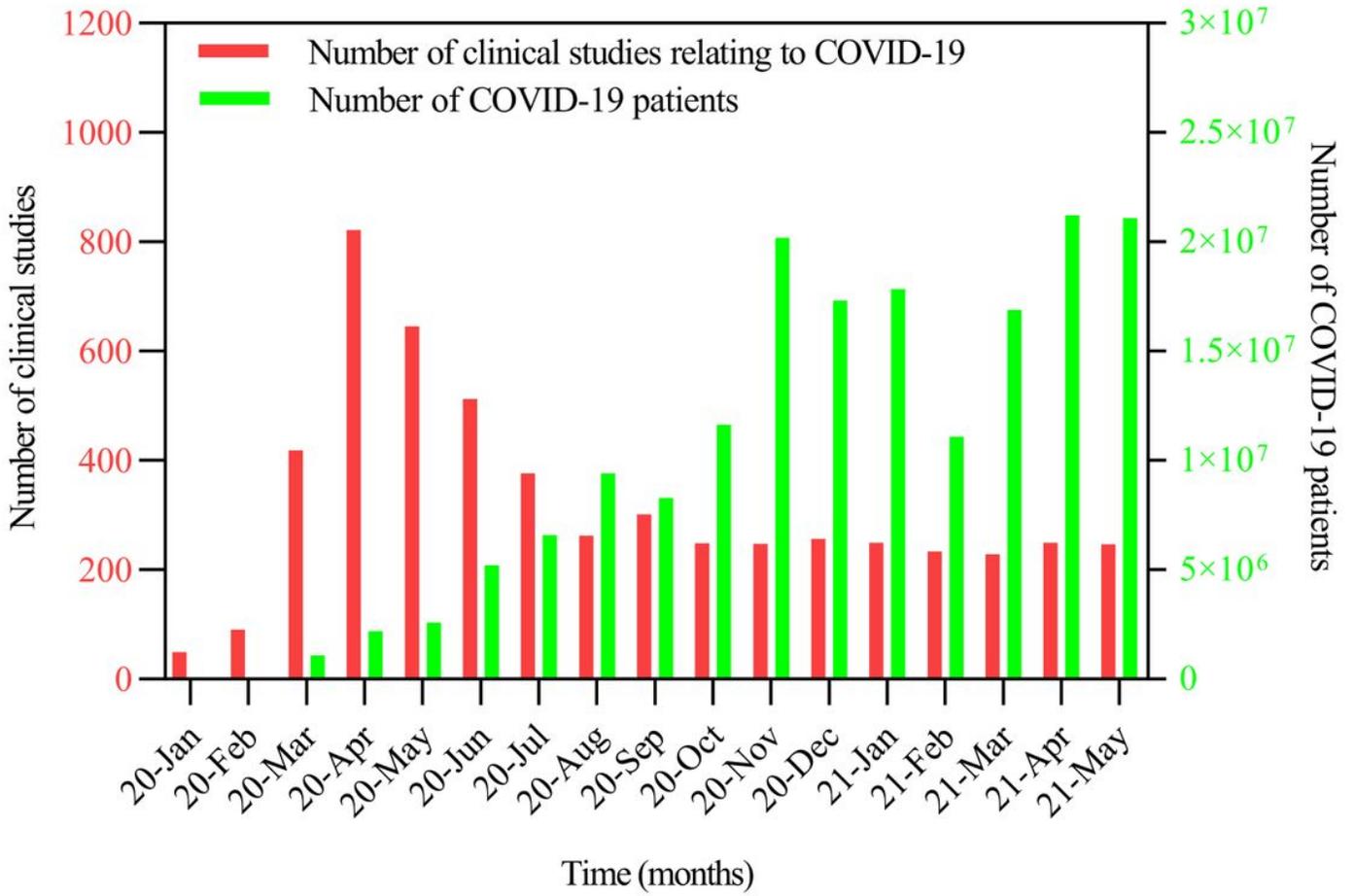


Figure 3

The number of COVID-19 patients and COVID-19-related clinical studies and corresponding time (months) (The red column indicates the number of clinical studies and the green column indicates the number of COVID-19 cases).

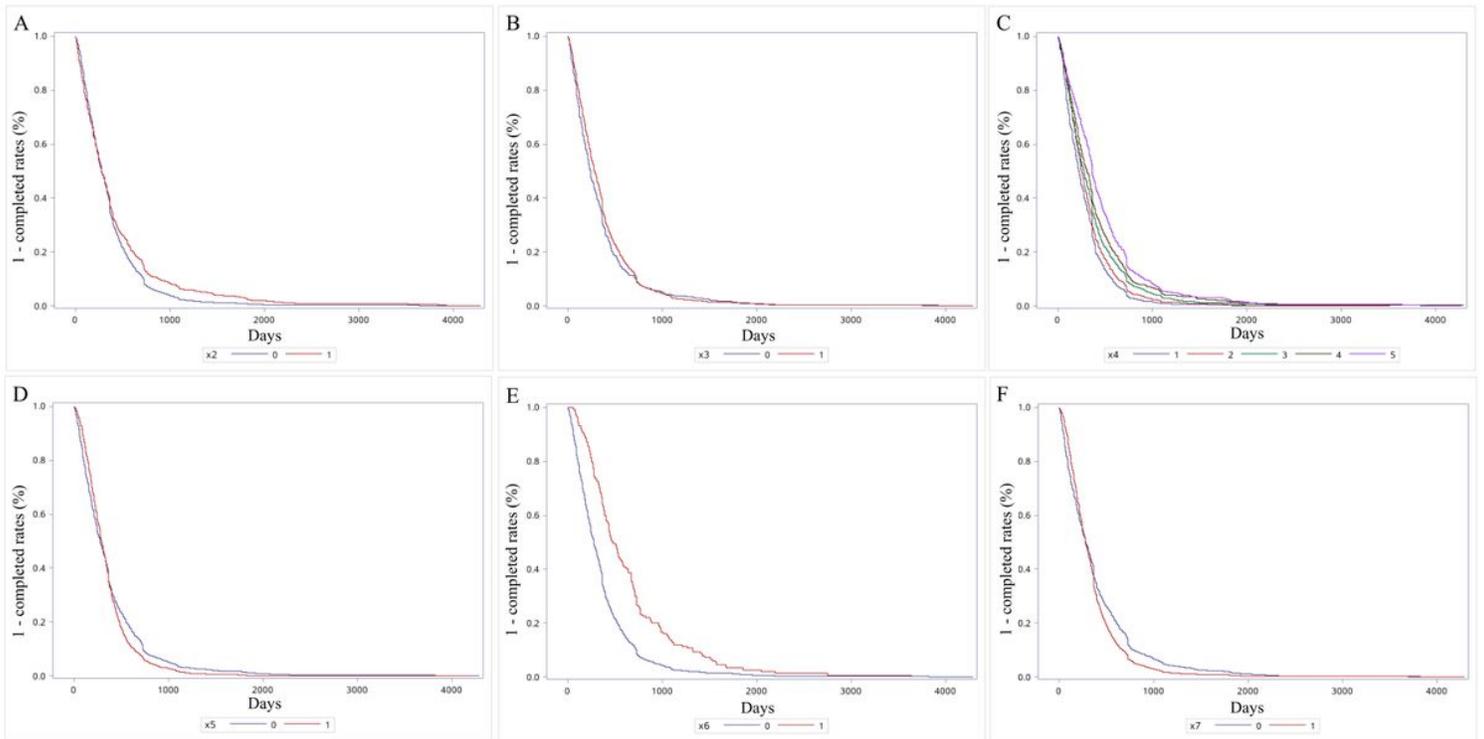


Figure 4

Kaplan-Meier curves for variables in the entire sample. A. x2 indicates Participants including child (0 indicates no and 1 indicates yes) ($P \leq 0.01$, log-rank test), B. x3 indicates Participants including older adults (0 indicates no and 1 indicates yes) ($P = 0.04$, log-rank test), C. x4 indicates enrollment (1 indicates ≤ 50 , 2 indicates > 50 and ≤ 100 , 3 indicates > 100 and ≤ 300 , 4 indicates > 300 and ≤ 900 , and 5 indicates > 900) ($P \leq 0.01$, log-rank test), D. x5 indicates funded by industry (0 indicates no and 1 indicates yes) ($P = 0.02$, log-rank test), E. x6 indicates funded by NIH (0 indicates no and 1 indicates yes) ($P = 0.02$, log-rank test), and F. x7 indicates study type (0 indicates observational and 1 indicates interventional) ($P \leq 0.01$, log-rank test).

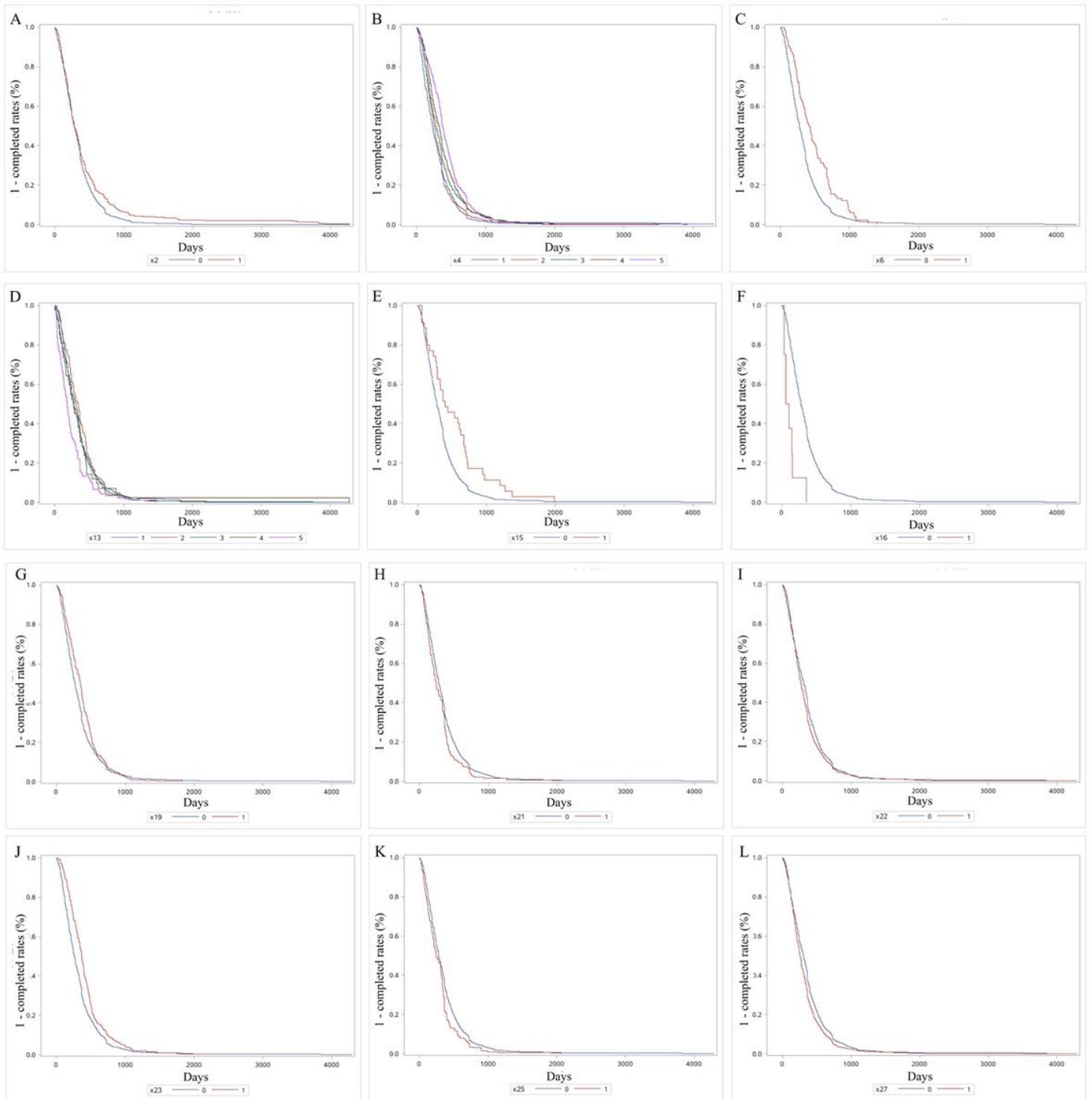


Figure 5

Kaplan-Meier curves for variables in the interventional studies. A. x2 indicates Participants including child (0 indicates no and 1 indicates yes) ($P < 0.01$, log-rank test), B. x4 indicates enrollment (1 indicates ≤ 50 , 2 indicates > 50 and ≤ 100 , 3 indicates > 100 and ≤ 300 , 4 indicates > 300 and ≤ 900 , and 5 indicates > 900) ($P < 0.01$, log-rank test), C. x6 indicates funded by NIH (0 indicates no and 1 indicates yes) ($P < 0.01$, log-rank test), D. x13 indicates intervention model (1 indicates Single, 2 indicates sequential, 3 indicates parallel, 4 indicates factorial, and 5 indicates crossover) ($P < 0.01$, log-rank test), E. x15 indicates basic science (0

indicates no and 1 indicates yes) ($P < 0.01$, log-rank test), F. x16 indicates device feasibility (0 indicates no and 1 indicates yes) ($P < 0.01$, log-rank test), G. x19 indicates prevention (0 indicates no and 1 indicates yes) ($P < 0.01$, log-rank test), H. x21 indicates supportive care ($P = 0.02$, log-rank test), I. x22 indicates treatment ($P = 0.02$, log-rank test), G. x23 indicates biological (0 indicates no and 1 indicates yes) ($P < 0.01$, log-rank test), K. x25 indicates device (0 indicates no and 1 indicates yes) ($P < 0.01$, log-rank test), and L. x27 indicates drug (0 indicates no and 1 indicates yes) ($P < 0.01$, log-rank test).

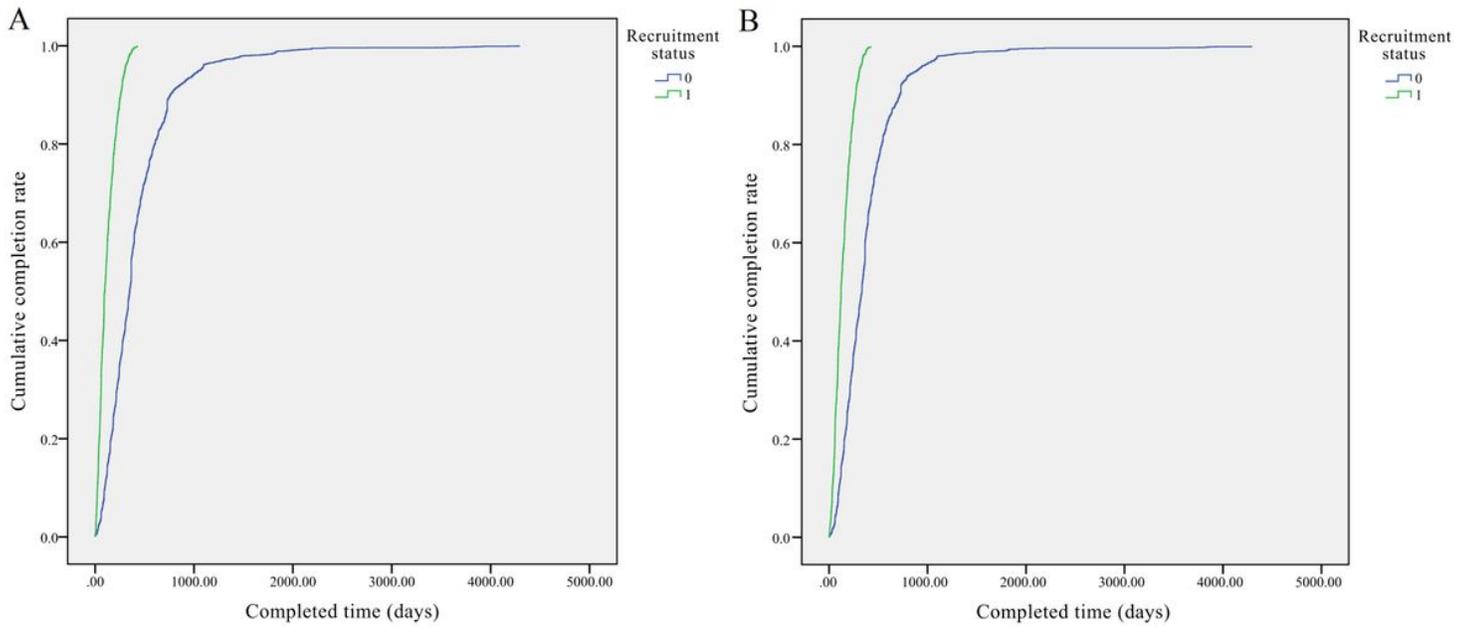


Figure 6

Cumulative curves for recruitment status in all clinical studies (A, $P < 0.01$, log-rank test) and the interventional studies (B, $P < 0.01$, log-rank test). 1 indicates completed status and 0 indicates other status.