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Liwei Wang

Shenyang Agricultural University

Kai Zhang

Shenyang Agricultural University

Kangjun Guo

Shenyang Agricultural University

Limin Liu

Shenyang Agricultural University

Tao Zhang

Shenyang Agricultural University

Mingjie Xu

Shenyang Agricultural University

Xuefei Yan

Shenyang Agricultural University

Xining Gao (✉ syaugxn@syau.edu.cn)

Shenyang Agricultural University <https://orcid.org/0000-0002-7166-5814>

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Multifactor effects on the N₂O emissions and yield of potato fields based on the DNDC model

Liwei Wang, Kai Zhang, Kangjun Guo, Limin Liu, Tao Zhang, Mingjie Xu, Xuefei Yan, Xining Gao*

College of Agronomy, Shenyang Agricultural University, Shenyang 110866, China;

wlw@syau.edu.cn (L.W.); zhangkaiheart@163.com (K.Z.); guokangjunSYAU@163.com (K.G.); l_limin@qq.com

(L.L.); zhangt@syau.edu.cn (T.Z.); xumj@syau.edu.cn (M.X.); syau_yanxuefei@163.com (X.Y.);

syaugxn@syau.edu.cn (X.G.)

* Correspondence: syaugxn@syau.edu.cn (X.G.)

Abstract: Maintaining or increasing grain yields while also reducing the emissions of field agricultural greenhouse gases is an important objective. To explore the multifactor effects of nitrogen fertilizer on nitrous oxide (N₂O) emissions and the yield of potato fields and to verify the applicability of the DeNitrification-DeComposition (DNDC) model when used to project the N₂O emission load and yield, this research chooses a potato field in Shenyang northeast China from 2017~2019 as the experiment site. The experiment includes four nitrogen levels observed the emission of N₂O by static chamber/gas chromatograph techniques. The results of this study are as follows: (1) DNDC has a good performance regarding the projection of N₂O emissions and yields. The model efficiency index EFs were 0.45~0.88 for N₂O emissions and 0.91, 0.85 and 0.85 for yields from 2017~2019. (2) The annual precipitation, soil organic carbon and soil bulk density had the most significant influence on the accumulated N₂O emissions during the growth period of potato. The annual precipitation, annual average temperature and CO₂ mass concentration had the most significant influences on yield. (3) Under the premise of a normal water supply, sowing potatoes within 5 days after the 5-day sliding average temperature in this area exceeds 10 °C can ensure the temperature required for the normal growth of potatoes and achieve the purpose of maintaining and increasing yield. (4) The application of 94.5 kg·hm⁻² nitrogen and 15 mm irrigation represented the best results for reducing N₂O emissions while also maintaining the yield in potato fields.

Key words: DNDC model; N₂O; Potato; Sensitivity test; Emission reducing; Yield

1. Introduction

The increasing concentration of greenhouse gases in the atmosphere is an important cause of global warming. The fifth report of the IPCC states that anthropogenic greenhouse gas emissions have a direct impact on global warming (Stocker, *et al.* 2013). N₂O is a potent greenhouse gas with a 120 year atmospheric lifespan and a global warming potential that is 298 times higher than that of CO₂ over a 100 year timescale, and it accounts for approximately 8% of

30 global warming effects (Hu, *et al.*, 2016). More than 59% of the anthropogenic N₂O emissions are from agricultural soil
31 (Sanchez-Martín, *et al.*, 2010); therefore, it is necessary to reduce N₂O emissions from agricultural activities.

32 Numerous studies have shown that farmland N₂O emissions are affected by many factors, such as soil temperature,
33 moisture, and fertilization levels (Barton, *et al.*, 2008; Elmi, *et al.*, 2009). Agehara *et al.* (2005) showed that the soil
34 N₂O emission flux increased along with temperature to a certain soil temperature and usually reached the maximum
35 within the range of 25~35 °C. Soil moisture can affect N₂O emissions by influencing the soil microbial activity, soil
36 REDOX potential and soil aeration (Luo, *et al.*, 2013), and it is generally expressed by the soil water filled pore space
37 (WFPS) in studies of soil moisture content. The relationship between N₂O emission and soil moisture content is
38 parabolic, with N₂O emissions increasing with increasing soil moisture content when the WFPS is less than 75% but
39 decreasing when the WFPS is more than 75% (Kallenbach, *et al.*, 2010). The application of nitrogen fertilizer is the
40 most direct source of nitrogen in farmland soil and has a significant effect on the N₂O emissions from farmland soil.
41 Many researchers have observed and verified that soil N₂O emissions increase rapidly with the increase of nitrogen
42 application (Burton, *et al.*, 2008; Zebarth, *et al.*, 2012). Field observations cannot accurately reflect the impact of
43 different management measures on N₂O emissions in farmland due to the high variability of soil N₂O emissions in time
44 and space and the complex relationship between climate and soil (Shang, *et al.*, 2011). Therefore, the emission law of
45 farmland N₂O and its emission reduction potential must be evaluated based on models (Robertson, *et al.*, 2004; Khalil,
46 *et al.*, 2020).

47 The DeNitrification-DeComposition (DNDC) model is a computer simulation model that describes the
48 biogeochemical processes of carbon and nitrogen in agricultural ecosystems (Li, *et al.*, 1992), and it can be used to
49 simulate the emissions of carbon, nitrogen and other gases in agricultural ecosystems as well as the crop yield, soil
50 carbon sequestration and nitrate leaching, etc. (Giltrap, *et al.*, 2010). The DNDC model is one of the most successful
51 biogeochemical models in the world. Many researchers have independently verified the DNDC model with their own
52 data, indicating that the DNDC model has a good simulation effect on agricultural greenhouse gas emissions, crop
53 yields and other parameters (Li, *et al.*, 2012; Han, *et al.*, 2014). Many studies have shown that N₂O emissions can be
54 affected significantly by environmental factors and farming management by DNDC (Shah, *et al.*, 2020; Deng, *et al.*,
55 2020). So we can use DNDC for reducing the need for replicated field experiments.

56 Previous studies on the driving factors underlying farmland N₂O emissions mainly focused on using models to
57 explore grain crops, such as wheat (*Triticum aestivum* L.), maize (*Zea mays* L.) and rice (*Oryza sativa*) (Tumer, *et al.*,
58 2015). However, little research has been done on field studies of N₂O and DNDC simulations in potato(*Solanum*
59 *tuberosum*) crop systems (Zhang and Niu, 2016). Because potato is one of the most important crops, it is becoming

60 increasingly important to explore the driving factors for the N₂O emissions and yield in potato fields, especially because
61 of the continuous increase of potato planting area in China (Zhang, *et al.*, 2014). We used the DNDC model to study the
62 N₂O emissions and yield driving factors and provided a scientific basis for the formulation of N₂O emission reduction
63 and yield promotion measures in potato fields.

64 2. Materials and methods

65 2.1 Study site

66 This study was conducted from 2017~2019 at the Shenyang Agricultural University Experimental Base (41°49'N,
67 123°33'E) in Shenyang, Liaoning Province, Northeast China. The base is located in a warm temperate sub-humid
68 continental monsoon climate region. The annual average temperature is 8.0 °C, and the average annual precipitation is
69 716.2 mm (80% of which occurs from June to September). The frost-free period is approximately 145~163 days each
70 year. The soil type is silty loam (clay=15%, loam=51%, and sand=34%), the soil bulk density is 1.297 g·cm⁻³, and the
71 pH is 6.42 on average in 0~20cm depth of the soil, respectively.

72 2.2 Experimental design

73 The potato-growing period lasted 88 days in 2017 (from April 28 to July 24), 88 days in 2018 (from April 30 to
74 July 26), and 90 days in 2019 (from April 26 to July 24). The experiment consisted of four different amounts of nitrogen
75 fertilizer (urea) and four treatments in one field: no N fertilizer (N0) (0 kgN·hm⁻²), low fertilizer (N1) (60 kgN·hm⁻²),
76 middle fertilizer (N2) (120 kgN·hm⁻²), and high fertilizer (N3) (180 kgN·hm⁻²). All the treatments were organized in a
77 randomized block design with three replicates (each treatment area was 5 m × 6 m). Potato planting row spacing was
78 0.5 m, and plant spacing was 0.4 m. Potatoes were planted by ridge planting with a ridge width of 0.5 m, a ridge height
79 of 0.15 m, and a ditch width of 0.5 m. Phosphatic fertilizer (P₂O₅) was applied at 225 kg·hm⁻², and potassic fertilizer
80 (K₂O) was applied at 75 kg·hm⁻². The fertilization method included a one-time base fertilizer application before sowing
81 1 day. The tillage method included plowing to 20 cm before sowing 5 days. No artificial irrigation was performed
82 (rain-fed). Regular artificial weeding (pulling weeds by hand) was performed. The potato cultivar was You Jin, which is
83 an early-maturing variety with a growth period of approximately 90 days. It is currently planted in large areas in
84 Liaoning Province.

85 2.3 Method of analyzing the samples

86 N₂O emission fluxes were observed using the static chamber-gas chromatography method and manual sampling
87 (Mapanda *et al.*, 2011). The static chamber size was 60 mm× 50 mm× 45 mm. The static chambers were set on ridges
88 included plants within the chamber in the middle of each experimental plot after basal fertilizer and before sowing. Gas
89 samples were measured daily five days after fertilization and then once a week at other times. If rain occurred, gas

90 samples would be measured 1 more time after the rain day. When the N₂O emission fluxes were measured, the soil
91 moisture (by the oven-drying method), air temperature (by thermometer) and soil temperature (by soil thermometer) at
92 0, 10 and 20 cm were synchronously measured. Each sampling time was at 09:00-11:00, and the gas sample amount
93 was 80-100 ml and extracted using an air pump. Gas samples were analyzed using an Agilent 7890A gas chromatograph
94 (Agilent Technologies, USA), and the daily N₂O emission fluxes were calculated using a linear regression analysis
95 according to the following equation:

$$96 \quad \text{Flux} = \rho \times V \times \Delta C \times 273/A \times \Delta t \times (273 + T) \quad (1)$$

97 where flux is the gas exchange flux of N₂O ($\mu\text{g m}^{-2} \text{h}^{-1}$), ρ is the gas density (mg m^{-2}), V is volume of the static
98 chamber box (m^3), A is the bottom area of the box (m^2), ΔC is the gas concentration difference, Δt is the time interval
99 (h), and T is the temperature ($^{\circ}\text{C}$). A negative gas exchange flux means that the observed system absorbs gas from the
100 atmosphere, while a positive flux means the system is discharging gas into the atmosphere.

101 2.4 DNDC Model

102 2.4.1 Initial conditions

103 The DNDC model is version 9.5, and it consists of two parts: the climate, crop growth, and soil conditions that
104 convert main drivers (e.g., climate, soil properties, vegetation, and anthropogenic activity) to soil environmental factors
105 (e.g., temperature, moisture, pH, redox potential, and substrate concentration gradients) and the nitrification,
106 denitrification, and fermentation submodels that simulate C and N transformations mediated by the soil microbial
107 activities (Deng, *et al.*, 2011). To correct the model, some parameters that are not consistent with the actual field growth
108 in the local area are modified so that the actual model parameters in the applied area are determined. The input
109 parameters were as follows: latitude and longitude ($41^{\circ}49'\text{N}$ and $123^{\circ}33'\text{E}$); maximum daily temperature ($^{\circ}\text{C}$),
110 minimum daily temperature ($^{\circ}\text{C}$), daily precipitation (mm), daily mean wind speed ($\text{m}\cdot\text{s}^{-1}$), and relative humidity (%),
111 which were provided by the Shenyang Meteorological Bureau; soil type (silty loam); pH (6.42); field capacity (0.25
112 $\text{g}\cdot\text{g}^{-1}$); soil bulk density ($1.297 \text{ g}\cdot\text{cm}^{-3}$); organic matter content of the topsoil (0~10 cm) ($12.48 \text{ g}\cdot\text{kg}^{-1}$); average mass
113 concentration of N in precipitation ($3.26 \text{ mg}\cdot\text{L}^{-1}$); and average concentration of CO₂ in the atmosphere ($400 \text{ mg}\cdot\text{m}^{-3}$),
114 which were determined according to local actual production conditions, test sample analyses as well as relevant
115 literature (Raymundo, *et al.*, 2017). The adjusted potato-related parameters were as follows: optimum potato yield
116 ($25000 \text{ kg}\cdot\text{hm}^{-2}$); potato growth cumulative temperature (1300°C); potato biomass allocation ratio (Grain: Leaf: Stem:
117 Root was $0.60:0.20:0.18:0.02$); and water requirement ($400 \text{ kg}\cdot\text{kg}^{-1}$ dry matter).

118 2.4.2 Model test method

119 Three statistical indexes, the coefficient of determination (R^2), average error (E) and model efficiency index (EF),

120 were used for the quantitative comparisons.

$$121 \quad R^2 = \left(\frac{\sum_{i=1}^n (S_i - \bar{S}_i)(M_i - \bar{M}_i)}{\sqrt{\sum_{i=1}^n (S_i - \bar{S}_i)^2 \sum_{i=1}^n (M_i - \bar{M}_i)^2}} \right)^2 \quad (2)$$

$$122 \quad E = \frac{\sum_{i=1}^n (S_i - M_i)}{n} \quad (3)$$

$$123 \quad EF = 1 - \frac{\sum_{i=1}^n (S_i - M_i)^2}{\sum_{i=1}^n (S_i - \bar{M})^2} \quad (4)$$

124 where S_i and M_i are the simulated and observed values, respectively; \bar{M}_i and \bar{S}_i are their averages,
 125 respectively; and n is the number of observations. The closer R^2 is to 1, the better the model fits. The average error E
 126 represents the average of the error between the observed value and the simulated value. The larger the absolute value of
 127 E , the larger the average error. When the average error E is > 0 , the observed value is less than the simulated value, and
 128 when E is < 0 , the observed value is greater. When EF is $0 \sim 1$, it is the larger the value, the greater the correlation
 129 between the observed value and the simulated value. When EF is < 0 , the observed value is extremely uncorrelated with
 130 the simulated value (Yang, *et al.*, 2014).

131 2.4.3 Sensitivity analysis method

Table 1 Background values and test values of the sensitivity test indexes.

Factors	Parameters	Baseline	Alternative range
	Annual precipitation /mm	716.2	859.4, 787.8, 716.2, 644.6, 573.0
Meteorological	Annual average temperature/°C	8.0	10.0, 9.0, 8.0, 7.0, 8.0
factors	CO ₂ mass concentration/(mg·m ⁻³)	400	440, 420, 400, 380, 360
	Nitrogen deposition(by N)/(mg·L ⁻¹)	3.26	3.912, 3.586, 3.912, 3.26, 2.934, 2.608
	Soil organic carbon/(g·g ⁻¹)	0.01248	0.01498, 0.01373, 0.01248, 0.01123, 0.00998
Soil factors	Soil pH	6.42	5.136, 5.778, 6.42, 7.062, 7.704
	Soil capacity/(g·cm ⁻³)	1.297	1.556, 1.427, 1.297, 1.167, 1.038
Field			
management	Nitrogen application/(kg·hm ⁻²)	120	240, 180, 120, 60, 0

factor

132 In the sensitivity analysis, the numerical input of one of the influencing factors in the DNDC model is changed
133 within a certain range while keeping the other influencing factors unchanged to obtain the change law of the output
134 value. Multi-year averages and actual local production conditions were selected as the baseline data. Different impact
135 factors were set to simulate the N₂O emissions and yield from the potato field (Table 1). The sensitivity index *S* (Walker,
136 *et al.*, 2000) was used to study the effect of these factors on the yield of potato field N₂O emissions. The calculation
137 formula of *S* was as follows:

$$138 \quad S = ((O_2 - O_1) / O_{avg}) / ((I_2 - I_1) / I_{avg}) \quad (5)$$

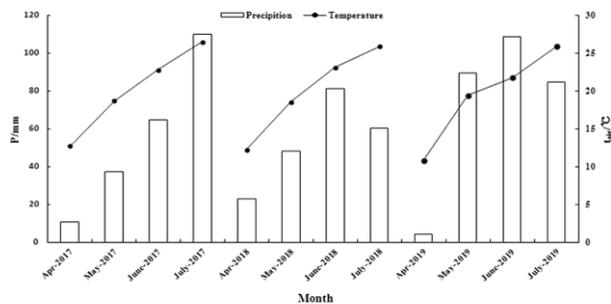
139 where *S* is sensitivity index; *I*₁ and *I*₂ are the minimum and maximum values of the input parameters, respectively;
140 *O*₁ and *O*₂ are the minimum and maximum values of the output parameters, respectively; *I*_{avg} is the average of the input
141 parameters; and *O*_{avg} is the average of the output parameters. The larger the absolute value of *S*, the greater the influence
142 of the input parameters on the output and the stronger the correlation between them. *S* > 0 indicates a positive
143 correlation between the input parameter and the output, and *S* < 0 indicates a negative correlation.

144 SPSS 17.0 and Excel 2010 software were used for the analysis of variance (ANOVA) and linear regression
145 analysis in this study. The meteorological data required for the test were provided by the Shenyang Meteorological
146 Bureau.

147 3. Results

148 3.1 Ancillary data

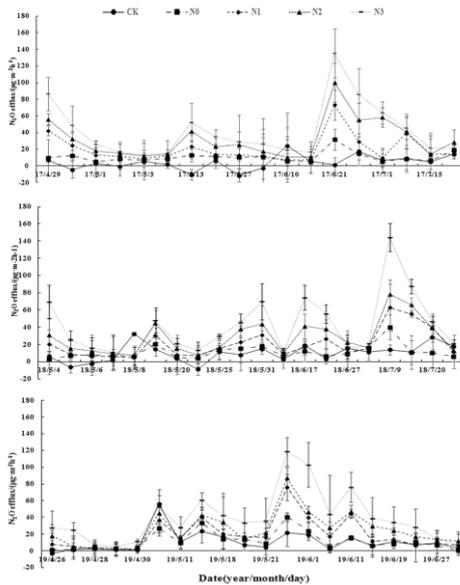
149 The daily average temperatures in the growing periods of 2017, 2018 and 2019 were 20.2 °C, 19.9 °C and 19.4 °C,
150 respectively, and the amount of precipitation in the growing periods was 222.4 mm, 212.0 mm and 286.7 mm,
151 respectively (Fig. 1).



152
153 Fig. 1 Monthly average air temperature (*t*_{air}) and monthly precipitation (*P*) in the experiment field during the experiment
154 stage.

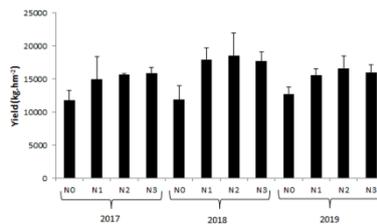
155 3.2 N₂O emission flux and yield with different nitrogen levels in the potato field

156 As shown in Fig. 2, the emission flux of the N3 treatment was significantly higher than that of other treatments,
 157 and the emission of CK was the lowest. The average N₂O emission rates of the CK, N0, N1, N2, and N3 treatments
 158 were 4.75 μg·m⁻²·h⁻¹, 9.97 μg·m⁻²·h⁻¹, 21.99 μg·m⁻²·h⁻¹, 45.83 μg·m⁻²·h⁻¹ and 77.05 μg·m⁻²·h⁻¹ in 2017, respectively;
 159 6.39 μg·m⁻²·h⁻¹, 7.70 μg·m⁻²·h⁻¹, 10.48 μg·m⁻²·h⁻¹, 21.29 μg·m⁻²·h⁻¹ and 35.27 μg·m⁻²·h⁻¹ in 2018, respectively; and
 160 10.92 μg·m⁻²·h⁻¹, 11.83 μg·m⁻²·h⁻¹, 19.43 μg·m⁻²·h⁻¹, 25.45 μg·m⁻²·h⁻¹ and 39.72 μg·m⁻²·h⁻¹ in 2019, respectively. The
 161 N₂O emission flux of soil showed a significant increasing trend as the nitrogen application rate increased. The trends
 162 and fluctuations of N₂O emissions in all treatments were basically consistent, which indicated that nitrogen application
 163 would not lead to the changes in the emission trend but only affected the amount of soil N₂O emissions.



164
 165 Fig. 2 N₂O emissions from the potato field under different nitrogen application levels from 2017 to 2019

166 As shown in Fig. 3, the potato yield of different treatments showed basically the same rule in three years. That is,
 167 the treatment without nitrogen fertilizer was significantly lower than that of other treatments. Moreover, it was found
 168 that the yield did not increase continuously with the increase of nitrogen application rate. Excessive application of
 169 nitrogen fertilizer would decrease the yield to some extent.



170
 171 Fig. 3 Yield of potato from 2017 to 2019

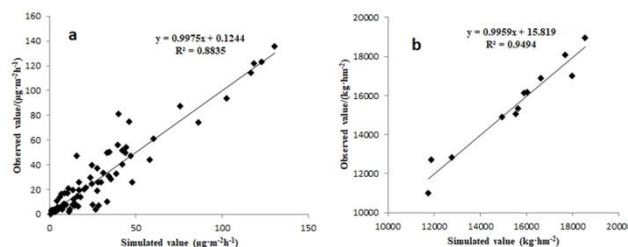
172 3.3 DNDC model calibration and validation

Table 2 Fitting indexes of N₂O emissions and yield for the DNDC model

Year	Treatment	R ²	P	E	EF
2017	N0	0.92	0.000	-1.17	0.88
	N1	0.88	0.000	4.90	0.85
	N2	0.74	0.000	1.98	0.73
	N3	0.62	0.000	-19.79	0.45
	Yield/(kg·hm ⁻²)	0.97	0.017	-403.82	0.91
2018	N0	0.87	0.000	-0.89	0.80
	N1	0.84	0.000	6.31	0.63
	N2	0.87	0.000	6.35	0.64
	N3	0.90	0.000	-0.78	0.83
	Yield/(kg·hm ⁻²)	0.95	0.027	761.58	0.85
2019	N0	0.76	0.000	5.43	0.58
	N1	0.88	0.000	9.36	0.63
	N2	0.83	0.000	11.24	0.56
	N3	0.81	0.000	4.37	0.66
	Yield/(kg·hm ⁻²)	0.92	0.040	52.29	0.85

174 Note: the number of N₂O emissions and yield observations were 18 and 4 in 2017, 20 and 4 in 2018, 20 and 4 in 2019,
175 respectively.

176 The data in 2017 and 2018 were used for DNDC model tuning, and the data in 2019 were used for DNDC model
177 validation. In general, the simulation of the N₂O emission effect for the low nitrogen treatment was better than that of
178 the high nitrogen treatment in Table.2. The average efficiency index EF of the N₂O emissions and yield simulation
179 models were 0.69 ($P<0.01$) and 0.87 ($P<0.01$) in 2017~2019, which indicated that DNDC model had a good simulation
180 effect on both the N₂O emissions and yield of the potato field as shown in Fig. 4.



181

182

Fig. 4 Comparison of simulated and observed values about N₂O emissions (a) and yield (b)

Factors	Index	Ranges and results of the sensitivity test					Sensitivity index
Meteorological factors	Annual precipitation/mm	-20%	-10%	B(0%)	10%	20%	
	Accumulated N ₂ O emission						
	during the growth period/(kg·hm ⁻²)	0.663	0.802	0.972	1.185	1.429	1.894
	Yield/(kg·hm ⁻²)	17012.5	18512.5	20025	21300	22775	0.723
	Annual average temperature/°C	-2	-1	B(0)	1	2	
	Accumulated N ₂ O emission						
	during the growth period/(kg·hm ⁻²)	0.905	0.941	0.972	1.010	1.071	0.405
	Yield/(kg·hm ⁻²)	20050	20300	20025	18025	16050	-0.508
	CO ₂ mass concentration/(mg·m ⁻³)	360	380	B(400)	420	440	
	Accumulated N ₂ O emission						
	during the growth period/(kg·hm ⁻²)	0.993	0.978	0.972	0.961	0.969	-0.123
	Yield/(kg·hm ⁻²)	17687.5	18875	20025	21400	22912.5	1.295
	Nitrogen deposition (by N)/(mg·L ⁻¹)	2.608	2.934	B(3.26)	3.586	3.912	
	Accumulated N ₂ O emission						
during the growth period/(kg·hm ⁻²)	0.962	0.969	0.972	0.975	0.979	0.043	
Yield/(kg·hm ⁻²)	20050	20000	20025	20062.5	20037.5	-0.015	
Soil factors	Soil organic carbon/(g·g ⁻¹)	-20%	-10%	B(0%)	10%	20%	
	Accumulated N ₂ O emission	0.779	0.872	0.972	1.076	1.191	1.052

	during the growth						
	period/(kg·hm ⁻²)						
	Yield/(kg·hm ⁻²)	20075	20025	20025	20037.5	20062.5	-0.002
	soil pH	-20%	-10%	B(0%)	10%	20%	
	Accumulated N ₂ O emission						
	during the growth	0.717	0.875	0.972	0.844	0.614	0.319
	period/(kg·hm ⁻²)						
	Yield/(kg·hm ⁻²)	20012.5	20062.5	20025	20000	19950	-0.008
	Soil capacity/(g·cm ⁻³)	-20%	-10%	B(0%)	10%	20%	
	Accumulated N ₂ O emission						
	during the growth	0.773	0.866	0.972	1.080	1.216	1.129
	period/(kg·hm ⁻²)						
	Yield/(kg·hm ⁻²)	20000	20025	20025	19950	20025	0.003
	Nitrogen						
	applications/(kg·hm ⁻²)	0	60	B(120)	180	240	
Field	Accumulated N ₂ O emission						
management	during the growth	0.214	0.771	0.972	1.144	1.315	0.624
factors	period/(kg·hm ⁻²)						
	Yield/(kg·hm ⁻²)	17012.5	20025	20025	20000	19937.5	0.045

185 Note: B means the baseline in Table 1.

186 The sensitivity testing identified changes in the different driving factors (Table 3), and the following results were
187 obtained.

188 Meteorological factors. (1) The cumulative N₂O emissions and yield of the potato field during the growth period
189 showed an increasing trend with the increase of annual precipitation. The cumulative N₂O emissions and yield during
190 the growing period of the potato field increased by 21.2% and 7.6% on average for every 10% increase in annual
191 precipitation, respectively. The sensitivity indexes were 1.894 and 0.723, which indicated high sensitivity. (2) The
192 cumulative N₂O emissions increased with the increase of average annual temperature during the growth period in the
193 potato field by 4.3% on average for every 1 °C increase in annual temperature. The sensitivity index was 0.405.
194 However, the yield decreased with the increase of annual temperature under the management of potato field in this

195 region by an average of 5.3% for every 1 °C increase in annual temperature. The sensitivity index was -0.508. (3) The
196 cumulative change of N₂O emissions during the growing period of the potato field was small with the change of the
197 atmospheric CO₂ mass concentration in the range of 360–440 mg·m⁻³ and decreased by an average of 0.6% for every 20
198 mg·m⁻³ increase in CO₂ mass concentration in the atmosphere. The sensitivity index was only -0.123. With the increase
199 of CO₂ concentration in the atmosphere, the potato yield increased by an average of 6.7% for every 20 mg·m⁻³ increase
200 in CO₂ mass concentration in the atmosphere. The sensitivity index was 1.295. (4) The cumulative change of N₂O
201 emissions during the growing period of the potato field was small with the change of nitrogen deposition in the range of
202 2.608~3.912 mg·L⁻¹. The cumulative N₂O emissions and yield during the growing period of potato field increase by
203 only by 0.4% and 0.02% on average for every 10% change of nitrogen deposition, and the sensitivity indexes were only
204 0.043 and -0.015, respectively.

205 Soil factors. (1) The cumulative N₂O emissions in the potato field during the growth period showed a significant
206 increasing trend as the soil organic carbon (SOC) content increased. The emission increased by 11.2% on average for
207 every 10% of SOC, and the sensitivity index was 1.052. However, as the organic carbon content in the soil gradually
208 increased between 0.00998 and 0.01498 g·g⁻¹, the potato yield remained almost unchanged, and the sensitivity index
209 was only -0.002. (2) The cumulative N₂O emissions and yield in the potato field showed an obvious trend of initially
210 increasing and then decreasing with the increase of soil pH during the growth period. The highest cumulative N₂O
211 emissions and yields were in neutral soil, and they decreased in acidic and alkaline soils, and the sensitivity indexes
212 were 0.319 and -0.008. (3) Soil bulk density was positively correlated with the cumulative N₂O emissions in the potato
213 field during the growth period. The cumulative N₂O emission increased by 12.1% on average for every 10% of soil bulk
214 density, and the sensitivity index was 1.129. The change of yield was small with the change of soil bulk density in the
215 range of 1.038~1.556 g·cm⁻³, and the sensitivity index was only 0.003.

216 Field management factors. The nitrogen application rate was positively correlated with the cumulative N₂O
217 emissions in the potato field during the growth period. The cumulative N₂O emissions increased by 79.7% on average
218 for every 60 kg·hm⁻² nitrogen application, and the sensitivity index was 0.624. The results showed that nitrogen
219 application significantly promoted N₂O emissions in the potato field and the nitrogen application rate and potato yield
220 showed a parabolic trend. The yield increased as the nitrogen application increased from low nitrogen levels, whereas
221 excessive nitrogen application tended to reduce the yield. The sensitivity index was 0.045.

222 4. Discussion

223 4.1 Effects of meteorological factors, soil factors and field management factors on the cumulative N₂O emissions and
224 yield in the potato field

225 Meteorological factors. (1) Precipitation could significantly affect the dynamic changes of soil moisture, change
226 the soil moisture content, and then affect the N₂O emissions and yields. The N₂O emissions and yield of the potato field
227 were very sensitive to the soil water content in this study, which has been verified by many research studies
228 (Reyes-Cabrera, *et al.*, 2016; Banerjee, *et al.*, 2016). (2) Air temperature affected the soil N₂O emissions and yields by
229 affecting the soil temperature. The soil microbial activity and N₂O emission rate during denitrification and nitrification
230 increased as the soil temperature increased in a certain range. Therefore, the soil N₂O emission flux is usually positively
231 correlated with soil temperature (Xu, *et al.*, 2017). There was a negative correlation between potato yield and average
232 annual temperature in this region in this study because potato prefers cooler temperatures (Pulatov, *et al.*, 2015). The
233 late sowing of potatoes in this experiment provided a basis for us to explore the early sowing date of potatoes in this
234 region (In section 4.3). (3) The cumulative change of N₂O emissions during the growing period of potato was small
235 with changes in the atmospheric CO₂ mass concentration in this study, which was similar to the results of other studies
236 (Dijkstra, *et al.*, 2012; Lam, *et al.*, 2012). As the basic raw material of photosynthesis, the increase of CO₂
237 concentrations in the atmosphere can affect the photosynthesis of C3 plants and potatoes in two aspects: competition for
238 the Rubisco binding sites to increase the rate of carboxylation and increasing the photosynthesis reaction substrate by
239 inhibiting photorespiration, thereby increasing the net photosynthetic rate (McGrath and Lobell, 2013). The
240 photosynthetic capacity of C3 plants can increase by 10% to 15% with the increase of CO₂ concentrations, thereby
241 increasing the yield (Kou, *et al.*, 2008). (4) The ecological effect of nitrogen deposition in the atmosphere has attracted
242 increasing attention in recent years. Nitrogen input through atmospheric deposition can increase the primary
243 productivity and biomass of nitrogen-deficient ecosystems (Matson, *et al.*, 2002). However, nitrogen inputs do not play
244 a significant nutritional role in nitrogen-saturated ecosystems (Magill, *et al.*, 2000). The baseline scenario in this study
245 is nitrogen-saturated for the potato field ecosystem, and the nitrogen that enters the soil via nitrogen deposition is very
246 small compared to the nitrogen content of the potato field ecosystem. Therefore, the cumulative N₂O emissions and
247 yields of the potato field were not significantly changed with nitrogen deposition during the growing period.

248 Soil factors. (1) Soils with higher organic carbon contained more dissolved organic carbon (DOC), which increases
249 substrates for soil nitrification and denitrification and enhances nitrification and denitrification, which in turn increased
250 soil N₂O emissions (Li, *et al.*, 2010). The results showed that the potato yield barely changed as the soil organic carbon
251 content increased, which was possibly due to stress from the water supply. (2) Soil pH affects nitrification and
252 denitrification by affecting the activity of nitrification and denitrification bacteria and changing the rate of nitrification
253 and denitrification and the final product ratio. The highest N₂O emissions were in neutral soil and they decreased under
254 low or high pH (Čuhel, *et al.*, 2010), which was consistent with this study. Potato has relatively loose requirements for

255 soil pH and can grow normally when planted with soil pH between 5 and 7.5 (Agbede, 2010). In this study, the pH
256 value was basically within this range; therefore, the change of pH value had no significant effect on the potato yield. (3)
257 Bulk density is an important physical property of soil that can reflect the porosity, tightness and fertility of soil. Soil
258 bulk density affects soil N₂O emission fluxes by affecting the soil permeability and water diffusion rate. The bulk
259 density reflects the degree of soil compactness. Under the condition of a similar soil texture, a decrease of bulk density
260 indicates that the soil compactness is low and there are more aeration pores. However, under the condition of a constant
261 soil moisture content, the diffusion rate of soil moisture decreases as the soil bulk density increases (Logsdon, *et al.*,
262 2004). Decreased soil bulk density increases the soil aeration and oxygen content, thereby reducing the number of
263 anaerobic bacteria, which inhibits denitrification and reduces N₂O emissions (Cavigelli, *et al.*, 2001; Balaine, *et al.*,
264 2016).

265 In reality, the influence effectiveness of different factors are very different, such as soil moisture and temperature
266 can directly change the microbial activity and the diffusion rate of soil gas into the atmosphere, and then thus affecting
267 the emissions of N₂O (Lan, *et al.*, 2018; Cui and Wang, *et al.*, 2019). This part is planned to be combined with
268 experimental data and models to carry out a deeper discussion in the future research.

269 Field management factors. The application of nitrogen fertilizer has an obvious effect on N₂O emissions as the
270 most direct nitrogen source. The excessive use of nitrogen fertilization was the primary reasons for the increase in N₂O
271 emissions from the farmland (Yang, *et al.*, 2017). Soil N₂O emissions increased rapidly with the increase of nitrogen
272 application, which has been observed and verified by many researchers (Zebarth, *et al.*, 2012; Wang, *et al.*, 2017).
273 Excessive application of nitrogen fertilizer not only did not increase the yield but also tended to decrease the yield,
274 which has also been reflected in other crops (Vanlauwe, *et al.*, 2011; Hou, *et al.*, 2012), and it was also consistent with
275 the results of our experiments.

276 4.2 Study on the earlier sowing date based on the potato yield increase

277 In the sensitivity study of the DNDC model, a negative correlation was found between potato yield and average
278 annual temperature, which indicated that lowering the temperature could increase the potato yield. In other words,
279 potato could be sown at an earlier date. Taking 2017 as an example, potato production showed a significant decline only
280 if the sowing date was advanced while the other conditions remained unchanged ($P < 0.05$), which was mainly because
281 the earlier sowing date corresponded to lower precipitation. Precipitation had a limiting effect on potato growth. When
282 the sowing date was 5, 10, 15, 20, 25 and 30 days earlier, the precipitation during the growing period decreased by
283 18.2%, 32.3%, 39.4%, 46.9% and 50.9%, respectively. However, in the DNDC model simulation, when the 2017 potato
284 growth period precipitation (211.7 mm) was held constant (the rainfall days advanced according to the sowing date), the

285 yield showed a parabolic trend ($y=-6.18x^2+278.38x+11024.0$, $R^2=0.84$, $P<0.01$; x is the number of days in the earlier
286 sowing date and y is the yield) with the earlier sowing dates. The number of days at the parabolic apex was 22.5,
287 meaning that the potato sowing date in 2017 should be 22.5 days earlier than April 28th (sowing on April 5th and April
288 6th) because the temperature conditions can meet the potato growth requirements. Moreover, the five day sliding
289 average temperature on April 2nd was 10.54 °C, and after April 2nd, it was higher than 10 °C, while before April 2nd, it
290 was lower than 10 °C. Therefore, April 2nd was the first day of 2017 when the five day sliding average temperature
291 stabilized through 10 °C, and April 5th and 6th were the 4th and 5th days.

292 The same phenomenon observed in 2018 and 2019, and the potato yield did not increase as expected and showed a
293 downward trend by simply advancing the sowing date. Under constant rainfall (212.0 mm and 286.7 mm) during the
294 potato growth period in 2018 and 2019, the potato yield also showed a parabolic trend with the earlier sowing date
295 (2018: $y=-4.45x^2+178.46x+17802.12$, $R^2=0.86$, $P<0.01$; 2019: $y = -6.30x^2 + 306.21x + 15852.05$, $R^2 = 0.90$, $P<0.01$).
296 The number of days in 2018 and 2019 at the parabolic apex were 20.0 and 24.3. Therefore, the potato sowing date in
297 2018 should be 20.0 days earlier than April 30th (sowing on April 10th), and the potato sowing date in 2019 should be
298 20.0 days earlier than April 26th (sowing on April 2th). An obvious phenomenon was observed in which five day sliding
299 average temperature was higher than 10 °C after April 8th in 2018 and April 1st in 2019 and lower than 10 °C after
300 these dates. That is, April 8th in 2018 and April 1st in 2019 were the first days when the five day sliding average
301 temperature stabilized through 10 °C, and April 10th and 2nd were the 3rd and 2nd days.

302 In Northeast China, potato could be seeded after the five day sliding average temperature passes through 10 °C (Li,
303 *et al.*, 2018). However, combining the yield simulation and actual production shows that the temperature is stabilized
304 through sowing within 5 days after increasing to 10 °C to achieve the purpose of increasing the yield under the premise
305 of maintaining a normal water supply (such as by proper irrigation). Potato prefers cooler temperatures, and research on
306 advancing potato sowing date is of positive significance to the improvement of potato yield under global warming
307 (Stocker, *et al.*, 2013).

308 4.3 Effects of optimized nitrogen application and irrigation on N₂O emissions and yield

309 The sensitivity analysis showed that both the cumulative N₂O emissions and yield were significantly affected
310 during the potato growth period, and the controllable impact factors in actual production were the water supply and
311 nitrogen application. In Section 4.2, it was mentioned that the earlier sowing date can increase the yield under the
312 condition of ensuring the water supply. However, in actual production, the water supply does not rely solely on
313 precipitation but is mainly achieved through irrigation. Therefore, this study took 2019 as an example and used the
314 DNDC model to advance the sowing date to April 2 to study the effect of irrigation on the cumulative N₂O emissions

315 and yields under the condition of optimized nitrogen application.

316 In this study, we used the DNDC model to simulate the change of potato yield with different nitrogen application
317 rates. The yields all showed a parabolic trend with increases in the nitrogen application rate in 2017, 2018 and 2019
318 ($P<0.05$). The nitrogen application rates at the parabolic apex were $91.1 \text{ kg}\cdot\text{hm}^{-2}$, $98.7 \text{ kg}\cdot\text{hm}^{-2}$ and $93.6 \text{ kg}\cdot\text{hm}^{-2}$ in
319 2017, 2018 and 2019, respectively. We took the average value of $94.5 \text{ kg}\cdot\text{hm}^{-2}$ as the nitrogen application rate based on
320 the optimal nitrogen application for increasing yield and the conventional irrigation time of local farmers
321 (approximately 45 days after sowing) to study the effects of these factors on the N_2O emissions and yield.

322 Table 4 N_2O emissions and yield under different irrigation volumes with the optimum nitrogen application level
323 ($94.5 \text{ kg}\cdot\text{hm}^{-2}$) for the potato field

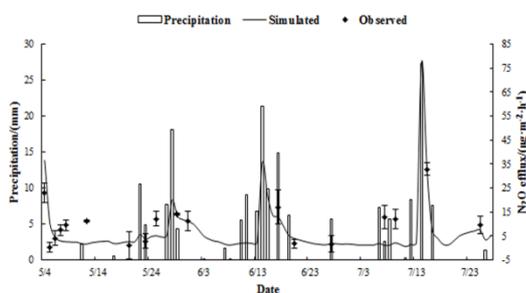
Irrigation volume per unit area/mm	Accumulated emission in growth period/($\text{kgN}\cdot\text{hm}^{-2}$)	Yield/ $\text{kg}\cdot\text{hm}^{-2}$	Yield-scaled N_2O intensity/($\text{gN}\cdot\text{kg}^{-1}$)
0	0.415	15075.0	0.028
5	0.447	16537.5	0.027
10	0.476	17987.5	0.026
15	0.523	19325.0	0.027
20	0.581	19212.5	0.030
25	0.624	19525.0	0.032
30	0.670	19725.0	0.034
35	0.721	19112.5	0.038
40	0.758	19712.5	0.038
45	0.809	19062.5	0.042
50	0.851	19062.5	0.045

324 The different irrigation amounts were set to simulate the changes of N_2O emissions and yields (Table 4). Table 4
325 shows that when the irrigation amount was less than 15 mm, the yield increased rapidly as the irrigation amount
326 increased. Compared with 0 mm irrigation, the yield under 5, 10 and 15 mm irrigation increased by 9.7%, 19.3% and
327 28.2%, respectively. Continuing to increase the irrigation amount would increase the yield slowly when the irrigation
328 amount was more than 15 mm. Compared with 15 mm irrigation, the yield of 20, 25, 30, 35, 40, 45 and 50 mm
329 irrigation increased by -0.6%, 1.0%, 2.1%, -1.1%, 2.0%, -1.4% and -1.4%, respectively. However, the cumulative N_2O
330 emissions still increased rapidly. Compared with 15 mm irrigation, the cumulative N_2O emissions increased by 11.1%,

331 19.3%, 28.1%, 37.9%, 44.9%, 54.7% and 62.7%. The yield-scaled N₂O intensity did not change significantly with the
 332 increase of irrigation amount when the irrigation amount was less than 15 mm. Compared with 0 mm irrigation, the
 333 yield-scaled N₂O intensity of 5, 10 and 15 mm irrigation increased by -3.6%, -7.1% and -3.6%, respectively. Continuing
 334 to increase the irrigation amount would increase the yield-scaled N₂O intensity rapidly. Compared with 15 mm
 335 irrigation, the yield-scaled N₂O intensity of 20, 25, 30, 35, 40, 45 and 50 mm irrigation increased by 11.1%, 18.5%,
 336 25.9%, 40.7%, 40.7%, 55.6% and 66.7%, respectively. Therefore, 15 mm irrigation was retained for the minimum
 337 yield-scaled N₂O intensity while maintaining yield. The results indicate that a nitrogen application amount of 94.5
 338 kg·hm⁻² and an irrigation amount of 15 mm are the optimal values for reducing N₂O emissions from potato fields under
 339 the premise of maintaining yield in the conventional farming systems used in the study area.

340 4.4 Suggestions for DNDC model improvement

341 There was a special phenomenon in this study by taking the N1 treatment in 2018 as an example (In Fig 5). The
 342 soil N₂O emission of observing showed a small peak on July 7 and July 9, 2018, but the DNDC model did not simulate
 343 the emission peak(In Fig 6a). We found that there were precipitation of 7.3, 2.5 and 5.7 mm in the first three days of
 344 July 9, 2018, respectively. Therefore, we guessed that this phenomenon may be due to the model's not obvious response
 345 to low precipitation for several consecutive days.

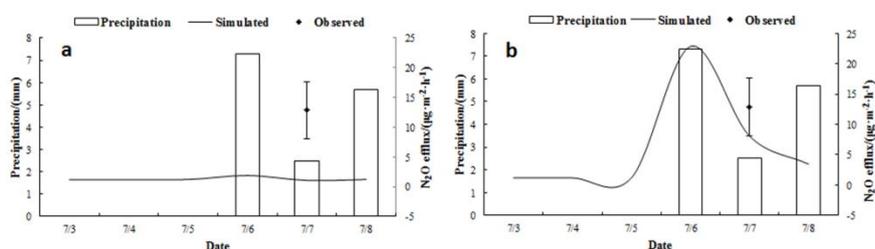


346
 347 Fig. 5 The simulation and observing of N₂O emission and precipitation in N1 treatment in 2018

348 Since farmland N₂O emissions have great temporal and spatial variability, which is related to the three factors
 349 controlling the production of N₂O (Eh in the soil (oxidation-reduction potential of the soil environment), Dissolved
 350 organic carbon (DOC), and available nitrogen (ammonium nitrogen, nitrate nitrogen)). Observations from around the
 351 world indicate that annual N₂O emissions in most places are integrated by a small number of emission peaks, and the
 352 DNDC model simulates the peak N₂O emission based on this(Li, 2016). Taking N1 nitrogen application level treatment
 353 as an example, DNDC model simulation showed that Eh was 764.3mV at 10cm of soil on July 7th, 2018. There was no
 354 obvious N₂O emission peak in the simulated values. However, a rainfall on July 14 was 27.3 mm, and Eh of the soil 10
 355 cm was 421.4 mV simulated by DNDC model. There was an obvious emission peak of N₂O. DNDC model is based on

356 Nengst equation to calculate the simulated soil Eh. When there is rainfall, soil Eh decreases, and the extent of the
 357 decrease is related to the duration of rainfall. The longer the duration of rainfall, the more soil Eh decreases. Moreover,
 358 a certain threshold value is assigned to the Eh of the soil at the beginning of N₂O emission (if the initial nitrate nitrogen
 359 concentration in the soil is 0.00357 mol•L⁻¹, Eh is 460 mV at the beginning of N₂O emission). In the DNDC model,
 360 intensity of precipitation (Ip) is defined as a fixed value, that is, 0.5 cm per hour (Ip=0.5 cm•h⁻¹). Then, according to the
 361 whole precipitation (Wp, cm•d⁻¹) provided by meteorological data, the time of precipitation (Tp, h) is defined as:
 362 $Tp=Wp/Ip$ (Li, 2016).

363 However, the Ip is not a fixed value. So the Tp cannot be simply calculated with $Tp=Wp/Ip$. The precipitation was
 364 7.3mm and 2.5mm on July 6 and July 7 of 2018, respectively. According to the $Tp=Wp/Ip$ formula, the Tp of these two
 365 days was only 1.46h and 0.5h in the simulation of DNDC, respectively. However, in fact, the Tp on July 6 and 7 of 2018
 366 was 3.5h and 0.75h, respectively. The actual Tp was converted into Wp by $Tp=Wp/Ip$, and input into the DNDC model.
 367 The DNDC model was able to accurately simulate the N₂O emission peak on 6 July 2018 (In Fig 6b). This practice of
 368 converting the actual Tp into Wp input into the model according to the formula applied in the model has increased the
 369 actual Wp, but this attempt had achieved more accurate simulation results. It shows that the optional input items of the
 370 input module of daily intensity of precipitation and time of precipitation should be considered in the DNDC model. This
 371 is to accurately simulate the N₂O emission characteristics of low and longtime of precipitation.



372
 373 Fig. 6 The simulation of N₂O emission before and after improvement and observing of N₂O emission and
 374 precipitation in N1 treatment in 2018

375 5. Conclusions

376 Based on a 3-year experiment in a potato field, the effects of multiple factors on N₂O emissions and yield have
 377 been discussed in this paper using the DNDC model, which had a good simulation effect on N₂O emission and yield in
 378 the potato field. The simulation effect of the DNDC model was better for N₂O emissions. The simulation of the N₂O
 379 emission effect in the low nitrogen treatment was better than that of the high nitrogen treatment. The model efficiency
 380 indexes EFs were from 0.45~0.88 for N₂O emissions 0.91, 0.85 and 0.85 for yield from 2017~2019. The annual
 381 precipitation, soil organic carbon and soil bulk density had the most significant influence on the accumulated N₂O

382 emissions during the growth period of potato, and the sensitivity index values were 1.894, 1.052 and 1.129, respectively.
383 Positive correlations were observed between those factors and emissions. The annual precipitation, annual average
384 temperature and CO₂ mass concentration had the most significant influence on yield, and the sensitivity index values
385 were 0.723, -0.508 and 1.295, respectively. Positive correlations were observed between the CO₂ mass concentration
386 and annual precipitation and the yield while a negative correlation was observed between the annual average
387 temperature and the yield. By combining the DNDC simulation and actual production, the temperature is stabilized by
388 sowing potato crops within 5 days after reaching 10 °C to achieve increased yield while maintaining a normal water
389 supply. The nitrogen application amount of 94.5 kg·hm⁻² and the irrigation amount of 15 mm represented the optimal
390 values for reducing N₂O emissions from the potato field under the premise of maintaining yield in the conventional
391 farming systems of the study area.

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404 **Consent to participate:** I am free to contact any of the people involved in the research to seek further clarification and
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